**3GPP TSG-RAN WG Meeting #96 *RP-22xxxx***

**Budapest, Hungary, 06 – 09 June 2022 *RP-221478***

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| --- |
| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** |  | **rev** | **1** | **Current version:** | **17.0.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | HARQ-ACK multiplexing on PUSCH in the absence of PUCCH |
|  |  |
| ***Source to WG:*** | - |
| ***Source to TSG:*** | Nokia, Nokia Shanghai Bell, Ericsson |
|  |  |
| ***Work item code:*** | TEI16, NR\_newRAT-Core |  | ***Date:*** | 2022-05-30 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | 17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | Release 15 TS 38.213 was missing a behaviour for multiplexing HARQ-ACK bits in a PUSCH in a PUCCH slot when the UE has no HARQ-ACK to transmit in any PUCCH but it receives UL grant(s) with UL-TDAI field to transmit multiple PUSCHs in the PUCCH slot.RAN1#109 agreed to introduce the missing behaviour to TS38.213 as part of the CRs 0316/0317 to TS38.213 in R1-2205628/5629 starting from Rel-16, and in addition introduce a new UE capability indicating that the UE behaviour is according to the CR.LS on the UE capability in R1-2205634. |
|  |  |
| ***Summary of change:*** | Introduce new per-UE capability mux-HARQ-ACK-withoutPUCCH-onPUSCH-r16 for the RAN1-specified UCI on PUSCH multiplexing case**Impact analysis**Impacted functionality: HARQ-ACK multiplexing on a PUSCH triggered by a DAI-field on the PUSCH-scheduling DCI twhen the UE ransmits multiple PUSCHs in the PUCCH slot.Inter-operability: Since this is a new UE capability, no inter-operability issues are foreseen  |
|  |  |
| ***Consequences if not approved:*** | It is not possible for the network to know if the UE has implemented the behaviour introduced by the 38.213 CR |
|  |  |
| ***Clauses affected:*** |  |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS38.213 CR0317, TS38.306 CR0756 |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | RP-221478 --> RP-22xxxx (R1) |

*First Modified Subclause*

#### – *Phy-Parameters*

The IE *Phy-Parameters* is used to convey the physical layer capabilities.

*Phy-Parameters* information element

-- ASN1START

-- TAG-PHY-PARAMETERS-START

Phy-Parameters ::= SEQUENCE {

 phy-ParametersCommon Phy-ParametersCommon OPTIONAL,

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 phy-ParametersFR1 Phy-ParametersFR1 OPTIONAL,

 phy-ParametersFR2 Phy-ParametersFR2 OPTIONAL

}

Phy-ParametersCommon ::= SEQUENCE {

 csi-RS-CFRA-ForHO ENUMERATED {supported} OPTIONAL,

 dynamicPRB-BundlingDL ENUMERATED {supported} OPTIONAL,

 sp-CSI-ReportPUCCH ENUMERATED {supported} OPTIONAL,

 sp-CSI-ReportPUSCH ENUMERATED {supported} OPTIONAL,

 nzp-CSI-RS-IntefMgmt ENUMERATED {supported} OPTIONAL,

 type2-SP-CSI-Feedback-LongPUCCH ENUMERATED {supported} OPTIONAL,

 precoderGranularityCORESET ENUMERATED {supported} OPTIONAL,

 dynamicHARQ-ACK-Codebook ENUMERATED {supported} OPTIONAL,

 semiStaticHARQ-ACK-Codebook ENUMERATED {supported} OPTIONAL,

 spatialBundlingHARQ-ACK ENUMERATED {supported} OPTIONAL,

 dynamicBetaOffsetInd-HARQ-ACK-CSI ENUMERATED {supported} OPTIONAL,

 pucch-Repetition-F1-3-4 ENUMERATED {supported} OPTIONAL,

 ra-Type0-PUSCH ENUMERATED {supported} OPTIONAL,

 dynamicSwitchRA-Type0-1-PDSCH ENUMERATED {supported} OPTIONAL,

 dynamicSwitchRA-Type0-1-PUSCH ENUMERATED {supported} OPTIONAL,

 pdsch-MappingTypeA ENUMERATED {supported} OPTIONAL,

 pdsch-MappingTypeB ENUMERATED {supported} OPTIONAL,

 interleavingVRB-ToPRB-PDSCH ENUMERATED {supported} OPTIONAL,

 interSlotFreqHopping-PUSCH ENUMERATED {supported} OPTIONAL,

 type1-PUSCH-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 type2-PUSCH-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 pusch-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 pdsch-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 downlinkSPS ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType1 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType2 ENUMERATED {supported} OPTIONAL,

 pre-EmptIndication-DL ENUMERATED {supported} OPTIONAL,

 cbg-TransIndication-DL ENUMERATED {supported} OPTIONAL,

 cbg-TransIndication-UL ENUMERATED {supported} OPTIONAL,

 cbg-FlushIndication-DL ENUMERATED {supported} OPTIONAL,

 dynamicHARQ-ACK-CodeB-CBG-Retx-DL ENUMERATED {supported} OPTIONAL,

 rateMatchingResrcSetSemi-Static ENUMERATED {supported} OPTIONAL,

 rateMatchingResrcSetDynamic ENUMERATED {supported} OPTIONAL,

 bwp-SwitchingDelay ENUMERATED {type1, type2} OPTIONAL,

 ...,

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 dummy ENUMERATED {supported} OPTIONAL

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 maxNumberSearchSpaces ENUMERATED {n10} OPTIONAL,

 rateMatchingCtrlResrcSetDynamic ENUMERATED {supported} OPTIONAL,

 maxLayersMIMO-Indication ENUMERATED {supported} OPTIONAL

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 spCellPlacement CarrierAggregationVariant OPTIONAL

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 -- R1 9-1: Basic channel structure and procedure of 2-step RACH

 twoStepRACH-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-1: Monitoring DCI format 1\_2 and DCI format 0\_2

 dci-Format1-2And0-2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-1a: Monitoring both DCI format 0\_1/1\_1 and DCI format 0\_2/1\_2 in the same search space

 monitoringDCI-SameSearchSpace-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-10: Type 2 configured grant release by DCI format 0\_1

 type2-CG-ReleaseDCI-0-1-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-11: Type 2 configured grant release by DCI format 0\_2

 type2-CG-ReleaseDCI-0-2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-3: SPS release by DCI format 1\_1

 sps-ReleaseDCI-1-1-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-3a: SPS release by DCI format 1\_2

 sps-ReleaseDCI-1-2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-8: CSI trigger states containing non-active BWP

 csi-TriggerStateNon-ActiveBWP-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-2: Support up to 4 SMTCs configured for an IAB node MT per frequency location, including IAB-specific SMTC window periodicities

 seperateSMTC-InterIAB-Support-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-3: Support RACH configuration separately from the RACH configuration for UE access, including new IAB-specific offset and scaling factors

 seperateRACH-IAB-Support-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-5a: Support semi-static configuration/indication of UL-Flexible-DL slot formats for IAB-MT resources

 ul-flexibleDL-SlotFormatSemiStatic-IAB-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-5b: Support dynamic indication of UL-Flexible-DL slot formats for IAB-MT resources

 ul-flexibleDL-SlotFormatDynamics-IAB-r16 ENUMERATED {supported} OPTIONAL,

 dft-S-OFDM-WaveformUL-IAB-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-6: Support DCI Format 2\_5 based indication of soft resource availability to an IAB node

 dci-25-AI-RNTI-Support-IAB-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-7: Support T\_delta reception.

 t-DeltaReceptionSupport-IAB-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 20-8: Support of Desired guard symbol reporting and provided guard symbok reception.

 guardSymbolReportReception-IAB-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 18-8 HARQ-ACK codebook type and spatial bundling per PUCCH group

 harqACK-CB-SpatialBundlingPUCCH-Group-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 19-2: Cross Slot Scheduling

 crossSlotScheduling-r16 SEQUENCE {

 non-SharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL,

 sharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 maxNumberSRS-PosPathLossEstimateAllServingCells-r16 ENUMERATED {n1, n4, n8, n16} OPTIONAL,

 extendedCG-Periodicities-r16 ENUMERATED {supported} OPTIONAL,

 extendedSPS-Periodicities-r16 ENUMERATED {supported} OPTIONAL,

 codebookVariantsList-r16 CodebookVariantsList-r16 OPTIONAL,

 -- R1 11-6: PUSCH repetition Type A

 pusch-RepetitionTypeA-r16 SEQUENCE {

 sharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL,

 non-SharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 -- R1 11-4b: DL priority indication in DCI with mixed DCI formats

 dci-DL-PriorityIndicator-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-1a: UL priority indication in DCI with mixed DCI formats

 dci-UL-PriorityIndicator-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1e: Maximum number of configured pathloss reference RSs for PUSCH/PUCCH/SRS by RRC for MAC-CE based pathloss reference RS update

 maxNumberPathlossRS-Update-r16 ENUMERATED {n4, n8, n16, n32, n64} OPTIONAL,

 -- R1 18-9: Usage of the PDSCH starting time for HARQ-ACK type 2 codebook

 type2-HARQ-ACK-Codebook-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1g-1: Resources for beam management, pathloss measurement, BFD, RLM and new beam identification across frequency ranges

 maxTotalResourcesForAcrossFreqRanges-r16 SEQUENCE {

 maxNumberResWithinSlotAcrossCC-AcrossFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n64, n128} OPTIONAL,

 maxNumberResAcrossCC-AcrossFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n40, n48, n64, n72, n80, n96, n128, n256}

 OPTIONAL

 } OPTIONAL,

 -- R1 16-2a-4: HARQ-ACK for multi-DCI based multi-TRP – separate

 harqACK-separateMultiDCI-MultiTRP-r16 SEQUENCE {

 maxNumberLongPUCCHs-r16 ENUMERATED {longAndLong, longAndShort, shortAndShort} OPTIONAL

 } OPTIONAL,

 -- R1 16-2a-4: HARQ-ACK for multi-DCI based multi-TRP – joint

 harqACK-jointMultiDCI-MultiTRP-r16 ENUMERATED {supported} OPTIONAL,

 -- R4 9-1: BWP switching on multiple CCs RRM requirements

 bwp-SwitchingMultiCCs-r16 CHOICE {

 type1-r16 ENUMERATED {us100, us200},

 type2-r16 ENUMERATED {us200, us400, us800, us1000}

 } OPTIONAL

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 targetSMTC-SCG-r16 ENUMERATED {supported} OPTIONAL,

 supportRepetitionZeroOffsetRV-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-12: in-order CBG-based re-transmission

 cbg-TransInOrderPUSCH-UL-r16 ENUMERATED {supported} OPTIONAL

 ]],

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 -- R4 6-3: Dormant BWP switching on multiple CCs RRM requirements

 bwp-SwitchingMultiDormancyCCs-r16 CHOICE {

 type1-r16 ENUMERATED {us100, us200},

 type2-r16 ENUMERATED {us200, us400, us800, us1000}

 } OPTIONAL,

 -- R1 16-2a-8: Indicates that retransmission scheduled by a different CORESETPoolIndex for multi-DCI multi-TRP is not supported.

 supportRetx-Diff-CoresetPool-Multi-DCI-TRP-r16 ENUMERATED {notSupported} OPTIONAL,

 -- R1 22-10: Support of pdcch-MonitoringAnyOccasionsWithSpanGap in case of cross-carrier scheduling with different SCSs

 pdcch-MonitoringAnyOccasionsWithSpanGapCrossCarrierSch-r16 ENUMERATED {mode2, mode3} OPTIONAL

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 -- R1 16-1j-1: Support of 2 port CSI-RS for new beam identification

 newBeamIdentifications2PortCSI-RS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1j-2: Support of 2 port CSI-RS for pathloss estimation

 pathlossEstimation2PortCSI-RS-r16 ENUMERATED {supported} OPTIONAL

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 -- R1 31-1: Support of Desired Guard Symbol reporting and provided guard symbol reception.

 guardSymbolReportReception-IAB-r17 ENUMERATED {supported} OPTIONAL,

 -- R1 31-2: support of restricted IAB-DU beam reception

 restricted-IAB-DU-BeamReception-r17 ENUMERATED {supported} OPTIONAL,

 -- R1 31-3: support of recommended IAB-MT beam transmission for DL and UL beam

 recommended-IAB-MT-BeamTransmission-r17 ENUMERATED {supported} OPTIONAL,

 -- R1 31-4: support of case 6 timing alignment indication reception

 case6-TimingAlignmentReception-IAB-r17 ENUMERATED {supported} OPTIONAL,

 -- R1 31-5: support of case 7 timing offset indication reception and case 7 timing at parent-node indication reception

 case7-TimingAlignmentReception-IAB-r17 ENUMERATED {supported} OPTIONAL,

 -- R1 31-6: support of desired DL Tx power adjustment reporting and DL Tx power adjustment reception

 dl-tx-PowerAdjustment-IAB-r17 ENUMERATED {supported} OPTIONAL

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}

Phy-Parameters-v16xy ::= SEQUENCE {

 mux-HARQ-ACK-withoutPUCCH-onPUSCH-r16 ENUMERATED {supported} OPTIONAL

}

Phy-ParametersXDD-Diff ::= SEQUENCE {

 dynamicSFI ENUMERATED {supported} OPTIONAL,

 twoPUCCH-F0-2-ConsecSymbols ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUSCH ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUCCH ENUMERATED {supported} OPTIONAL,

 ...,

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 dl-SchedulingOffset-PDSCH-TypeA ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeB ENUMERATED {supported} OPTIONAL,

 ul-SchedulingOffset ENUMERATED {supported} OPTIONAL

 ]]

}

Phy-ParametersFRX-Diff ::= SEQUENCE {

 dynamicSFI ENUMERATED {supported} OPTIONAL,

 dummy1 BIT STRING (SIZE (2)) OPTIONAL,

 twoFL-DMRS BIT STRING (SIZE (2)) OPTIONAL,

 dummy2 BIT STRING (SIZE (2)) OPTIONAL,

 dummy3 BIT STRING (SIZE (2)) OPTIONAL,

 supportedDMRS-TypeDL ENUMERATED {type1, type1And2} OPTIONAL,

 supportedDMRS-TypeUL ENUMERATED {type1, type1And2} OPTIONAL,

 semiOpenLoopCSI ENUMERATED {supported} OPTIONAL,

 csi-ReportWithoutPMI ENUMERATED {supported} OPTIONAL,

 csi-ReportWithoutCQI ENUMERATED {supported} OPTIONAL,

 onePortsPTRS BIT STRING (SIZE (2)) OPTIONAL,

 twoPUCCH-F0-2-ConsecSymbols ENUMERATED {supported} OPTIONAL,

 pucch-F2-WithFH ENUMERATED {supported} OPTIONAL,

 pucch-F3-WithFH ENUMERATED {supported} OPTIONAL,

 pucch-F4-WithFH ENUMERATED {supported} OPTIONAL,

 pucch-F0-2WithoutFH ENUMERATED {notSupported} OPTIONAL,

 pucch-F1-3-4WithoutFH ENUMERATED {notSupported} OPTIONAL,

 mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot ENUMERATED {supported} OPTIONAL,

 uci-CodeBlockSegmentation ENUMERATED {supported} OPTIONAL,

 onePUCCH-LongAndShortFormat ENUMERATED {supported} OPTIONAL,

 twoPUCCH-AnyOthersInSlot ENUMERATED {supported} OPTIONAL,

 intraSlotFreqHopping-PUSCH ENUMERATED {supported} OPTIONAL,

 pusch-LBRM ENUMERATED {supported} OPTIONAL,

 pdcch-BlindDetectionCA INTEGER (4..16) OPTIONAL,

 tpc-PUSCH-RNTI ENUMERATED {supported} OPTIONAL,

 tpc-PUCCH-RNTI ENUMERATED {supported} OPTIONAL,

 tpc-SRS-RNTI ENUMERATED {supported} OPTIONAL,

 absoluteTPC-Command ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUSCH ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUCCH ENUMERATED {supported} OPTIONAL,

 pusch-HalfPi-BPSK ENUMERATED {supported} OPTIONAL,

 pucch-F3-4-HalfPi-BPSK ENUMERATED {supported} OPTIONAL,

 almostContiguousCP-OFDM-UL ENUMERATED {supported} OPTIONAL,

 sp-CSI-RS ENUMERATED {supported} OPTIONAL,

 sp-CSI-IM ENUMERATED {supported} OPTIONAL,

 tdd-MultiDL-UL-SwitchPerSlot ENUMERATED {supported} OPTIONAL,

 multipleCORESET ENUMERATED {supported} OPTIONAL,

 ...,

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 csi-RS-IM-ReceptionForFeedback CSI-RS-IM-ReceptionForFeedback OPTIONAL,

 csi-RS-ProcFrameworkForSRS CSI-RS-ProcFrameworkForSRS OPTIONAL,

 csi-ReportFramework CSI-ReportFramework OPTIONAL,

 mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot SEQUENCE {

 sameSymbol ENUMERATED {supported} OPTIONAL,

 diffSymbol ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 mux-SR-HARQ-ACK-PUCCH ENUMERATED {supported} OPTIONAL,

 mux-MultipleGroupCtrlCH-Overlap ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeA ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeB ENUMERATED {supported} OPTIONAL,

 ul-SchedulingOffset ENUMERATED {supported} OPTIONAL,

 dl-64QAM-MCS-TableAlt ENUMERATED {supported} OPTIONAL,

 ul-64QAM-MCS-TableAlt ENUMERATED {supported} OPTIONAL,

 cqi-TableAlt ENUMERATED {supported} OPTIONAL,

 oneFL-DMRS-TwoAdditionalDMRS-UL ENUMERATED {supported} OPTIONAL,

 twoFL-DMRS-TwoAdditionalDMRS-UL ENUMERATED {supported} OPTIONAL,

 oneFL-DMRS-ThreeAdditionalDMRS-UL ENUMERATED {supported} OPTIONAL

 ]],

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 pdcch-BlindDetectionNRDC SEQUENCE {

 pdcch-BlindDetectionMCG-UE INTEGER (1..15),

 pdcch-BlindDetectionSCG-UE INTEGER (1..15)

 } OPTIONAL,

 mux-HARQ-ACK-PUSCH-DiffSymbol ENUMERATED {supported} OPTIONAL

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 -- R1 11-1b: Type 1 HARQ-ACK codebook support for relative TDRA for DL

 type1-HARQ-ACK-Codebook-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-8: Enhanced UL power control scheme

 enhancedPowerControl-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1b-1: TCI state activation across multiple CCs

 simultaneousTCI-ActMultipleCC-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1b-2: Spatial relation update across multiple CCs

 simultaneousSpatialRelationMultipleCC-r16 ENUMERATED {supported} OPTIONAL,

 cli-RSSI-FDM-DL-r16 ENUMERATED {supported} OPTIONAL,

 cli-SRS-RSRP-FDM-DL-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 19-3: Maximum MIMO Layer Adaptation

 maxLayersMIMO-Adaptation-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-5: Configuration of aggregation factor per SPS configuration

 aggregationFactorSPS-DL-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1g: Resources for beam management, pathloss measurement, BFD, RLM and new beam identification

 maxTotalResourcesForOneFreqRange-r16 SEQUENCE {

 maxNumberResWithinSlotAcrossCC-OneFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n64, n128} OPTIONAL,

 maxNumberResAcrossCC-OneFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n40, n48, n64, n72, n80, n96, n128, n256}

 OPTIONAL

 } OPTIONAL,

 -- R1 16-7: Extension of the maximum number of configured aperiodic CSI report settings

 csi-ReportFrameworkExt-r16 CSI-ReportFrameworkExt-r16 OPTIONAL

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 twoTCI-Act-servingCellInCC-List-r16 ENUMERATED {supported} OPTIONAL

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 -- R1 22-11: Support of 'cri-RI-CQI' report without non-PMI-PortIndication

 cri-RI-CQI-WithoutNon-PMI-PortInd-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

Phy-ParametersFR1 ::= SEQUENCE {

 pdcch-MonitoringSingleOccasion ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR1 ENUMERATED {supported} OPTIONAL,

 pdsch-RE-MappingFR1-PerSymbol ENUMERATED {n10, n20} OPTIONAL,

 ...,

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 pdsch-RE-MappingFR1-PerSlot ENUMERATED {n16, n32, n48, n64, n80, n96, n112, n128,

 n144, n160, n176, n192, n208, n224, n240, n256} OPTIONAL

 ]]

}

Phy-ParametersFR2 ::= SEQUENCE {

 dummy ENUMERATED {supported} OPTIONAL,

 pdsch-RE-MappingFR2-PerSymbol ENUMERATED {n6, n20} OPTIONAL,

 ...,

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 pCell-FR2 ENUMERATED {supported} OPTIONAL,

 pdsch-RE-MappingFR2-PerSlot ENUMERATED {n16, n32, n48, n64, n80, n96, n112, n128,

 n144, n160, n176, n192, n208, n224, n240, n256} OPTIONAL

 ]],

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 -- R1 16-1c: Support of default spatial relation and pathloss reference RS for dedicated-PUCCH/SRS and PUSCH

 defaultSpatialRelationPathlossRS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 16-1d: Support of spatial relation update for AP-SRS via MAC CE

 spatialRelationUpdateAP-SRS-r16 ENUMERATED {supported} OPTIONAL,

 maxNumberSRS-PosSpatialRelationsAllServingCells-r16 ENUMERATED {n0, n1, n2, n4, n8, n16} OPTIONAL

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}

-- TAG-PHY-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| *Phy-ParametersFRX-Diff* field descriptions |
| ***csi-RS-IM-ReceptionForFeedback/ csi-RS-ProcFrameworkForSRS/ csi-ReportFramework***These fields are optionally present in *fr1-fr2-Add-UE-NR-Capabilities* in *UE-NR-Capability*. They shall not be set in any other instance of the IE *Phy-ParametersFRX-Diff*. If the network configures the UE with serving cells on both FR1 and FR2 bands, these parameters, if present, limit the corresponding parameters in *MIMO-ParametersPerBand*. |

*Next Modified Subclause*

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

 nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

 partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v15g0 OPTIONAL

}

UE-NR-Capability-v15g0 ::= SEQUENCE {

 rf-Parameters-v15g0 RF-Parameters-v15g0 OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

 powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

 directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

 bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

 referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

 sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

 highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

 mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

 mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

 ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

 onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

 redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

 phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

 mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v16xy OPTIONAL

}

UE-NR-Capability-v16xy ::= SEQUENCE {

 phy-Parameters-v16xy Phy-Parameters-v16xy OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1700 OPTIONAL

}

UE-NR-Capability-v1700 ::= SEQUENCE {

 inactiveStatePO-Determination-r17 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1700 HighSpeedParameters-v1700 OPTIONAL,

 powSav-Parameters-v1700 PowSav-Parameters-v1700 OPTIONAL,

 mac-Parameters-v1700 MAC-Parameters-v1700 OPTIONAL,

 ims-Parameters-v1700 IMS-Parameters-v1700 OPTIONAL,

 measAndMobParameters-v1700 MeasAndMobParameters-v1700,

 qoe-Parameters-r17 QoE-Parameters-r17 OPTIONAL,

 redCapParameters-r17 RedCapParameters-r17 OPTIONAL,

 ra-SDT-r17 ENUMERATED {supported} OPTIONAL,

 srb-SDT-r17 ENUMERATED {supported} OPTIONAL,

 gNB-SideRTT-BasedPDC-r17 ENUMERATED {supported} OPTIONAL,

 bh-RLF-RecoveryDetection-Indication-r17 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1700 NRDC-Parameters-v1700 OPTIONAL,

 bap-Parameters-v1700 BAP-Parameters-v1700 OPTIONAL,

 musimGapPreference-r17 ENUMERATED {supported} OPTIONAL,

 musimLeaveConnected-r17 ENUMERATED {supported} OPTIONAL,

 mbs-Parameters-r17 MBS-Parameters-r17,

 nonTerrestrialNetwork-r17 ENUMERATED {supported} OPTIONAL,

 ntn-ScenarioSupport-r17 ENUMERATED {gso, ngso} OPTIONAL,

 sliceInfoforCellReselection-r17 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

 powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

 flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

 flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

BAP-Parameters-v1700 ::= SEQUENCE {

 bapHeaderRewriting-Rerouting-r17 ENUMERATED {supported} OPTIONAL,

 bapHeaderRewriting-Routing-r17 ENUMERATED {supported} OPTIONAL

}

MBS-Parameters-r17 ::= SEQUENCE {

 maxMRB-Add-r17 INTEGER (1..16) OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

|  |
| --- |
| *UE-NR-Capability-v1540 field descriptions* |
| ***fr1-fr2-Add-UE-NR-Capabilities***This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

*End of Changes*