**3GPP TSG-RAN WG2 Meeting #117-e R2-2203550**

**E-Meeting, Feb 21th – Mar 3rd, 2022**

|  |
| --- |
| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **Draft** | **rev** |  | **Current version:** | **16.7.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| --- |
|  |
| ***Title:***  | Draft 331 CR for NR NTN UE capabilities |
|  |  |
| ***Source to WG:*** | Intel Corporation |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_NTN\_solutions-Core |  | ***Date:*** | 2022-03-03 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* *Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | Introduction of R17 NR NTN features. |
|  |  |
| ***Summary of change:*** | UE capabilities for NR NTN related features are defined. |
|  |  |
| ***Consequences if not approved:*** | No UE capabilities for NR NTN are defined. |
|  |  |
| ***Clauses affected:*** | 6.3.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS38.306 CR TBD |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

# 6 Protocol data units, formats and parameters (ASN.1)

*First change*

### 6.3.3 UE capability information elements

**< *unmodified Subclauses removed*>**

#### – *MAC-Parameters*

The IE *MAC-Parameters* is used to convey capabilities related to MAC.

*MAC-Parameters* information element

-- ASN1START

-- TAG-MAC-PARAMETERS-START

MAC-Parameters ::= SEQUENCE {

 mac-ParametersCommon MAC-ParametersCommon OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL

}

MAC-Parameters-v1610 ::= SEQUENCE {

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

MAC-ParametersCommon ::= SEQUENCE {

 lcp-Restriction ENUMERATED {supported} OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 lch-ToSCellRestriction ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 recommendedBitRate ENUMERATED {supported} OPTIONAL,

 recommendedBitRateQuery ENUMERATED {supported} OPTIONAL

 ]],

 [[

 recommendedBitRateMultiplier-r16 ENUMERATED {supported} OPTIONAL,

 preEmptiveBSR-r16 ENUMERATED {supported} OPTIONAL,

 autonomousTransmission-r16 ENUMERATED {supported} OPTIONAL,

 lch-PriorityBasedPrioritization-r16 ENUMERATED {supported} OPTIONAL,

 lch-ToConfiguredGrantMapping-r16 ENUMERATED {supported} OPTIONAL,

 lch-ToGrantPriorityRestriction-r16 ENUMERATED {supported} OPTIONAL,

 singlePHR-P-r16 ENUMERATED {supported} OPTIONAL,

 ul-LBT-FailureDetectionRecovery-r16 ENUMERATED {supported} OPTIONAL,

 -- R4 8-1: MPE

 tdd-MPE-P-MPR-Reporting-r16 ENUMERATED {supported} OPTIONAL,

 lcid-ExtensionIAB-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 spCell-BFR-CBRA-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 srs-ResourceId-Ext-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 harq-FeedbackDisabled-r17 ENUMERATED {supported} OPTIONAL,

uplink-Harq-ModeB-r17 ENUMERATED {supported} OPTIONAL,

sr-TriggeredBy-TA-Report-r17 ENUMERATED {supported} OPTIONAL

 ]]

}

MAC-ParametersFRX-Diff-r16 ::= SEQUENCE {

 directMCG-SCellActivation-r16 ENUMERATED {supported} OPTIONAL,

 directMCG-SCellActivationResume-r16 ENUMERATED {supported} OPTIONAL,

 directSCG-SCellActivation-r16 ENUMERATED {supported} OPTIONAL,

 directSCG-SCellActivationResume-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 19-1: DRX Adaptation

 drx-Adaptation-r16 SEQUENCE {

 non-SharedSpectrumChAccess-r16 MinTimeGap-r16 OPTIONAL,

 sharedSpectrumChAccess-r16 MinTimeGap-r16 OPTIONAL

 } OPTIONAL,

 ...

}

MAC-ParametersXDD-Diff ::= SEQUENCE {

 skipUplinkTxDynamic ENUMERATED {supported} OPTIONAL,

 logicalChannelSR-DelayTimer ENUMERATED {supported} OPTIONAL,

 longDRX-Cycle ENUMERATED {supported} OPTIONAL,

 shortDRX-Cycle ENUMERATED {supported} OPTIONAL,

 multipleSR-Configurations ENUMERATED {supported} OPTIONAL,

 multipleConfiguredGrants ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 secondaryDRX-Group-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 enhancedSkipUplinkTxDynamic-r16 ENUMERATED {supported} OPTIONAL,

 enhancedSkipUplinkTxConfigured-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

MinTimeGap-r16 ::= SEQUENCE {

 scs-15kHz-r16 ENUMERATED {sl1, sl3} OPTIONAL,

 scs-30kHz-r16 ENUMERATED {sl1, sl6} OPTIONAL,

 scs-60kHz-r16 ENUMERATED {sl1, sl12} OPTIONAL,

 scs-120kHz-r16 ENUMERATED {sl2, sl24} OPTIONAL

}

-- TAG-MAC-PARAMETERS-STOP

-- ASN1STOP

#### – *MeasAndMobParameters*

The IE *MeasAndMobParameters* is used to convey UE capabilities related to measurements for radio resource management (RRM), radio link monitoring (RLM) and mobility (e.g. handover).

*MeasAndMobParameters* information element

-- ASN1START

-- TAG-MEASANDMOBPARAMETERS-START

MeasAndMobParameters ::= SEQUENCE {

 measAndMobParametersCommon MeasAndMobParametersCommon OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

MeasAndMobParametersCommon ::= SEQUENCE {

 supportedGapPattern BIT STRING (SIZE (22)) OPTIONAL,

 ssb-RLM ENUMERATED {supported} OPTIONAL,

 ssb-AndCSI-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 eventB-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFDD-TDD ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting ENUMERATED {supported} OPTIONAL

 ]],

 [[

 independentGapConfig ENUMERATED {supported} OPTIONAL,

 periodicEUTRA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFR1-FR2 ENUMERATED {supported} OPTIONAL,

 maxNumberCSI-RS-RRM-RS-SINR ENUMERATED {n4, n8, n16, n32, n64, n96} OPTIONAL

 ]],

 [[

 nr-CGI-Reporting-ENDC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 eutra-CGI-Reporting-NEDC ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting-NRDC ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NEDC ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NRDC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 reportAddNeighMeasForPeriodic-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverParametersCommon-r16 SEQUENCE {

 condHandoverFDD-TDD-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFR1-FR2-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 nr-NeedForGap-Reporting-r16 ENUMERATED {supported} OPTIONAL,

 supportedGapPattern-NRonly-r16 BIT STRING (SIZE (10)) OPTIONAL,

 supportedGapPattern-NRonly-NEDC-r16 ENUMERATED {supported} OPTIONAL,

 maxNumberCLI-RSSI-r16 ENUMERATED {n8, n16, n32, n64} OPTIONAL,

 maxNumberCLI-SRS-RSRP-r16 ENUMERATED {n4, n8, n16, n32} OPTIONAL,

 maxNumberPerSlotCLI-SRS-RSRP-r16 ENUMERATED {n2, n4, n8} OPTIONAL,

 mfbi-IAB-r16 ENUMERATED {supported} OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NPN-r16 ENUMERATED {supported} OPTIONAL,

 idleInactiveEUTRA-MeasReport-r16 ENUMERATED {supported} OPTIONAL,

 idleInactive-ValidityArea-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGaps-NEDC-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGaps-NRDC-r16 ENUMERATED {supported} OPTIONAL,

 pcellT312-r16 ENUMERATED {supported} OPTIONAL,

 supportedGapPattern-r16 BIT STRING (SIZE (2)) OPTIONAL

]]

}

*Editor’s Note:* FFS on the SMTC related NTN enhancements

MeasAndMobParametersXDD-Diff ::= SEQUENCE {

 intraAndInterF-MeasAndReport ENUMERATED {supported} OPTIONAL,

 eventA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE-EPC ENUMERATED {supported} OPTIONAL,

 handoverLTE-5GC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 sftd-MeasNR-Neigh ENUMERATED {supported} OPTIONAL,

 sftd-MeasNR-Neigh-DRX ENUMERATED {supported} OPTIONAL

 ]],

 [[

 dummy ENUMERATED {supported} OPTIONAL

 ]]

}

MeasAndMobParametersFRX-Diff ::= SEQUENCE {

 ss-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithSSB ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithoutSSB ENUMERATED {supported} OPTIONAL,

 csi-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE-EPC ENUMERATED {supported} OPTIONAL,

 handoverLTE-5GC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxNumberResource-CSI-RS-RLM ENUMERATED {n2, n4, n6, n8} OPTIONAL

 ]],

 [[

 simultaneousRxDataSSB-DiffNumerology ENUMERATED {supported} OPTIONAL

 ]],

 [[

 nr-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-ENDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-NEDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-NRDC-r16 ENUMERATED {supported} OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 cli-RSSI-Meas-r16 ENUMERATED {supported} OPTIONAL,

 cli-SRS-RSRP-Meas-r16 ENUMERATED {supported} OPTIONAL,

 interFrequencyMeas-NoGap-r16 ENUMERATED {supported} OPTIONAL,

 simultaneousRxDataSSB-DiffNumerology-Inter-r16 ENUMERATED {supported} OPTIONAL,

 idleInactiveNR-MeasReport-r16 ENUMERATED {supported} OPTIONAL,

 -- R4 6-2: Support of beam level Early Measurement Reporting

 idleInactiveNR-MeasBeamReport-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 increasedNumberofCSIRSPerMO-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-MEASANDMOBPARAMETERS-STOP

-- ASN1STOP

*Next change*

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

*RF-Parameters* information element

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

 supportedBandCombinationListSidelinkEUTRA-NR-r16 BandCombinationListSidelinkEUTRA-NR-r16 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1630 BandCombinationList-v1630 OPTIONAL,

 supportedBandCombinationListSidelinkEUTRA-NR-v1630 BandCombinationListSidelinkEUTRA-NR-v1630 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1630 BandCombinationList-UplinkTxSwitch-v1630 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1640 BandCombinationList-v1640 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1640 BandCombinationList-UplinkTxSwitch-v1640 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1650 BandCombinationList-v1650 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1650 BandCombinationList-UplinkTxSwitch-v1650 OPTIONAL

 ]],

 [[

 extendedBand-n77-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-UplinkTxSwitch-v1670 BandCombinationList-UplinkTxSwitch-v1670 OPTIONAL

 ]]

}

RF-Parameters-v15g0 ::= SEQUENCE {

 supportedBandCombinationList-v15g0 BandCombinationList-v15g0 OPTIONAL

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 channelBWs-DL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL

 ]],

 [[

 asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

 ]],

 [[

 -- R1 10: NR-unlicensed

 sharedSpectrumChAccessParamsPerBand-r16 SharedSpectrumChAccessParamsPerBand-r16 OPTIONAL,

 -- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

 cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-1: Multiple LTE-CRS rate matching patterns

 multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

 maxNumberPatterns-r16 INTEGER (2..6),

 maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

 } OPTIONAL,

 -- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

 overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

 pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-3: One slot periodic TRS configuration for FR1

 oneSlotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

 olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

 spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

 simulSRS-MIMO-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

 channelBW-DL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 channelBW-UL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

 ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

 condHandover-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChange-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChangeTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 mpr-PowerBoost-FR2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-9: Multiple active configured grant configurations for a BWP of a serving cell

 activeConfiguredGrant-r16 SEQUENCE {

 maxNumberConfigsPerBWP-r16 ENUMERATED {n1, n2, n4, n8, n12},

 maxNumberConfigsAllCC-r16 INTEGER (2..32)

 } OPTIONAL,

 -- R1 11-9a: Joint release in a DCI for two or more configured grant Type 2 configurations for a given BWP of a serving cell

 jointReleaseConfiguredGrantType2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-2: Multiple SPS configurations

 sps-r16 SEQUENCE {

 maxNumberConfigsPerBWP-r16 INTEGER (1..8),

 maxNumberConfigsAllCC-r16 INTEGER (2..32)

 } OPTIONAL,

 -- R1 12-2a: Joint release in a DCI for two or more SPS configurations for a given BWP of a serving cell

 jointReleaseSPS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 13-19: Simultaneous positioning SRS and MIMO SRS transmission within a band across multiple CCs

 simulSRS-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

 trs-AdditionalBandwidth-r16 ENUMERATED {trs-AddBW-Set1, trs-AddBW-Set2} OPTIONAL,

 handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 -- R1 22-5a: Simultaneous transmission of SRS for antenna switching and SRS for CB/NCB /BM for intra-band UL CA

 -- R1 22-5c: Simultaneous transmission of SRS for antenna switching and SRS for antenna switching for intra-band UL CA

 simulTX-SRS-AntSwitchingIntraBandUL-CA-r16 SimulSRS-ForAntennaSwitching-r16 OPTIONAL,

 -- R1 10: NR-unlicensed

 sharedSpectrumChAccessParamsPerBand-v1630 SharedSpectrumChAccessParamsPerBand-v1630 OPTIONAL

 ]],

 [[

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

 -- R4 7-4: Report the shorter transient capability supported by the UE: 2, 4 or 7us

 enhancedUL-TransientPeriod-r16 ENUMERATED {us2, us4, us7} OPTIONAL,

 sharedSpectrumChAccessParamsPerBand-v1640 SharedSpectrumChAccessParamsPerBand-v1640 OPTIONAL

 ]],

 [[

 type1-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 type2-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 pusch-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType1-v1650 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType2-v1650 ENUMERATED {supported} OPTIONAL,

 sharedSpectrumChAccessParamsPerBand-v1650 SharedSpectrumChAccessParamsPerBand-v1650 OPTIONAL

 ]],

 [[

 enhancedSkipUplinkTxConfigured-v1660 ENUMERATED {supported} OPTIONAL,

 enhancedSkipUplinkTxDynamic-v1660 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxUplinkDutyCycle-PC1dot5-MPE-FR1-r16 ENUMERATED {n10, n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL,

 txDiversity-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 locationBasedCondHandover-r17 ENUMERATED {supported} OPTIONAL,

 timeBasedCondHandover-r17 ENUMERATED {supported} OPTIONAL,

 eventA4BasedCondHandover-r17 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| *RF-Parameters* field descriptions |
| ***appliedFreqBandListFilter***In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for NR (and NR-DC, if requested). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationListSidelinkEUTRA-NR***A list of band combinations that the UE supports for NR sidelink communication only, for joint NR sidelink communication and V2X sidelink communication, or for V2X sidelink communication only. The UE does not include this field if the UE capability is requested by E-UTRAN (see TS 36.331[10]) and the network request includes the field *eutra-nr-only*. |
| ***supportedBandCombinationList-UplinkTxSwitch***A list of band combinations that the UE supports dynamic uplink Tx switching for NR UL CA and SUL. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

*Next change*

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

 nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

 partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

 powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

 directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

 bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

 referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

 sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

 highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

 mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

 mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

 ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

 onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

 redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

 phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

 mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v17x0 OPTIONAL

}

UE-NR-Capability-v17x0 ::= SEQUENCE {

nonTerrestrialNetwork-r17 ENUMERATED {supported} OPTIONAL,

ntn-ScenarioSupport-r17 ENUMERATED {GSO, NGSO, both} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

 powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

 flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

 flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

|  |
| --- |
| *UE-NR-Capability-v1540 field descriptions* |
| ***fr1-fr2-Add-UE-NR-Capabilities***This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

*End of change*

### Annex: UE capability agreements

**RAN2#116bis:**

Agreements:

1. define one single NR NTN UE capability to encompass essential features to support NTN, and UE can further indicate other optional capabilities.
2. gnss-Location-r16 is conditionally mandatory when UE indicates the support of NR NTN access, and update the field description to cover NTN case.
3. consider the following differentiation of user plane enhancements as baseline:

 Essential sub-features include:

 1) the adaptations of RACH;

 2) DRX HARQ RTT timer extension;

 3) the timer extension to accommodate long RTT for other MAC timers (e.g., extended sr-ProhibitTimer);

 4) the timer extension to accommodate long RTT in RLC and PDCP layers (FFS for LEO)

 Optional sub-features include:

 1) TA reporting (TA reporting during RACH using MAC CE, and Event-triggers for TA reporting in connected mode);

 2) disabling HARQ feedback for downlink transmission;

 3) new HARQ state for uplink transmission and the corresponding new LCP mapping rule for dynamic grants.

4. consider the following differentiation of control plane enhancements as baseline:

 Essential sub-features include (for NGSO, FFS for GEO):

 1) soft TAC update;

 2) SMTC enhancements (event-triggered assistance information reporting, 2 SMTC in parallel);

 Optional sub-features include:

 1) cell stop-time based neighbour cell measurements;

 2) location based cell reselection criteria;

 3) SMTC enhancements (4 SMTC in parallel and UE based solution in idle/inactive);

 4) CHO enhancements (location based CHO).

 FFS if CHO enhancements (time based and Event A4 based CHO) is essential or optional

1. Postpone the UE capability discussion on location reporting

Working Assumption (further check if anything can be per band):

1. the granularities of all the optional RAN2 determined sub-features with capability signalling are per UE.

Agreements via email - from offline 112:

1. RAN2 confirms that the RLC timer extension (i.e., t-Reassembly timer) is also essential for NGSO.
2. RAN2 confirms that the PDCP timer extension (i.e., discardTimer and t-Reordering timer) is also essential for NGSO.
3. RAN2 confirms that Multiple TACs feature (i.e., UE should be able derive multiple TACs per PLMN in a cell, and indicate to NAS layer all received TACs per PLMN) is essential for both GSO and NGSO.
4. The support of essential NTN features should be the Prerequisite for optional NR NTN UE capabilities.

Agreements:

1. Define single UE capability to encompass all features essential to support both GSO and NGSO, i.e., when UE indicates it, it means UE supports all the GSO and NGSO essential features (FFS for SMTC enhancements). (this does not automatically mean that interoperability testing between GSO and NGSO is also supported)
2. UE capabilities for optional CHO enhancements (at least location based CHO) for NTN are per band, which is also in line with R16 CHO design

**RAN2#117:**

Agreements via email - from offline 104:

1. The SMTC enhancements (event-triggered assistance information reporting, 2 SMTC in parallel) are essential for NGSO capable UEs.
2. Incorporate event-triggered TA reporting feature into TA reporting UE capability defined in RAN1 feature list.
3. Specify single UE capability to represent the support of both UL HARQ state B and the new LCP restriction.
4. Postpone the discussion on NTN SMTC UE capabilities, and if the updated RAN1/4 feature lists during this meeting don’t include NTN SMTC related UE capabilities, RAN2 sends an LS to RAN1/4 for triggering this discussion.

Agreements online:

1. RAN2 understands that in NTN, RTT values are assumed to be longer in the calculation of L2 buffer. No spec change

Agreements via email - from offline 104 - second round:

1. the UE capabilities for time based CHO and Event A4 based CHO are optional with capability signalling.
2. RAN2 confirms that, if UE supports both GSO and NGSO, it means UE also supports mobility between GSO and NGSO.
3. If a TA report is triggered and there are no available UL-SCH resources, the network may optionally configure UE to trigger an SR. A UE capability is introduced for this.

|  |
| --- |
| **ð  Agreed adding an FFS for optional features, e.g.**"Define IoT bit for the support of {GSO, NGSO, both}, and this indication means all NTN essential features and optional features (FFS) UE indicates have been tested in the corresponding scenario(s). The exemplary spec change may be like:ntn-ScenarioSupport-r17      ENUMERATED {GSO, NGSO, both}      OPTIONAL,nonTerrestrialNetwork-r17    ENUMERATED {supported}            OPTIONAL,(FFS for optional features) |