**3GPP TSG-RAN WG2 Meeting #116bis ElectronicR2-220xxxx**

**Elbonia, 17 – 25 January 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **xxxx** | **rev** | **-** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | in NR | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | CATT, CMCC, Huawei, HiSilicon, MediaTek, Ericsson, China Unicom, China Telecom, OPPO, ZTE, Samsung, Apple, Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_UDC-Core | | | | |  | ***Date:*** | | | 2021-12 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In Rel-17, NR UDC is introduced. Therefore, to support UL data compression functionality, signaling and parameters related to UDC capabilities and configurations should be added to the specification. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Add abbreviation of UDC. 2. Add UDC capabilities in the corresponding singaling. 3. Add UDC related parameters in PDCP-Config. 4. Add UDC DRB number in CG-ConfigInfo for SN terminated bearer in NGEN-DC, NR-DC and NE-DC. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The corresponding singalings and parameters are missing, and as a result NR UDC function would not be supported in Rel-17. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.2, 5.3.5.6.5, 6.3.2, 6.3.3, 11.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS 38.323 CR  TS 38.306 CR  TS 38.300 CR  TS 37.340 CR | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Change*

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BCD Binary Coded Decimal

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CAPC Channel Access Priority Class

CBR Channel Busy Ratio

CCCH Common Control Channel

CG Cell Group

CHO Conditional Handover

CLI Cross Link Interference

CMAS Commercial Mobile Alert Service

CP Control Plane

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DFN Direct Frame Number

DL Downlink

DL-PRS Downlink Positioning Reference Signal

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HRNN Human Readable Network Name

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LBT Listen Before Talk

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MIB Master Information Block

MPE Maximum Permissible Exposure

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

posSIB Positioning SIB

PRS Positioning Reference Signal

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RS Reference Signal

SBAS Satellite Based Augmentation System

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SL Sidelink

SLSS Sidelink Synchronisation Signal

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRB Signalling Radio Bearer

SRS Sounding Reference Signal

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

UDC Uplink Data Compression

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

*Next Change*

##### 5.3.5.6.5 DRB addition/modification

The UE shall:

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):

2> establish a PDCP entity and configure it in accordance with the received *pdcp-Config*;

2> if the PDCP entity of this DRB is not configured with *cipheringDisabled:*

3> if target RAT of handover is E-UTRA/5GC; or

3> if the UE is connected to E-UTRA/5GC:

4> if the UE is capable of E-UTRA/5GC but not capable of NGEN-DC:

5> configure the PDCP entity with the ciphering algorithm and KUPenc key configured/derived as specified in TS 36.331 [10];

4> else (i.e., a UE capable of NGEN-DC):

5> configure the PDCP entity with the ciphering algorithms according to *securityConfig* and apply the key (KUPenc) associated with the master key (KeNB) or secondary key (S-KgNB) as indicated in *keyToUse*, if applicable;

3> else (i.e., UE connected to NR or UE connected to E-UTRA/EPC):

4> configure the PDCP entity with the ciphering algorithms according to *securityConfig* and apply the KUPenc key associated with the master key (KeNB/KgNB) or the secondary key (S-KgNB/S-KeNB) as indicated in keyToUse;

2> if the PDCP entity of this DRB is configured with *integrityProtection*:

3> configure the PDCP entity with the integrity protection algorithms according to *securityConfig* and apply the KUPint key associated with the master (KgNB) or the secondary key (S-KgNB) as indicated in *keyToUse*;

2> if an *sdap-Config* is included:

3> if an SDAP entity with the received *pdu-Session* does not exist:

4> establish an SDAP entity as specified in TS 37.324 [24] clause 5.1.1;

4> if an SDAP entity with the received *pdu-Session* did not exist prior to receiving this reconfiguration:

5> indicate the establishment of the user plane resources for the *pdu-Session* to upper layers;

3> configure the SDAP entity in accordance with the received *sdap-Config* as specified in TS 37.324 [24] and associate the DRB with the SDAP entity;

3> for each QFI value added in *mappedQoS-FlowsToAdd*, if the QFI value is previously configured, the QFI value is released from the old DRB;

2> if the DRB is associated with an *eps-BearerIdentity*:

3> if the DRB was configured with the same *eps-BearerIdentity* either by NR or E-UTRA prior to receiving this reconfiguration:

4> associate the established DRB with the corresponding *eps-BearerIdentity;*

3> else:

4> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration and configured as DAPS bearer:

2> reconfigure the PDCP entity to configure DAPS with the ciphering function, integrity protection function and ROHC function of the target cell group as specified in TS 38.323 [5] and configure it in accordance with the received *pdcp-Config*;

2> if the *masterKeyUpdate* is received:

3> if the ciphering function of the target cell group PDCP entity is not configured with *cipheringDisabled:*

4> configure the ciphering function of the target cell group PDCP entity with the ciphering algorithm according to *securityConfig* and apply the KUPenc key associated with the master key (KgNB), as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received from the target cell group and sent to the target cell group by the UE;

3> if the integrity protection function of the target cell group PDCP entity is configured with *integrityProtection*:

4> configure the integrity protection function of the target cell group PDCP entity with the integrity protection algorithms according to *securityConfig* and apply the KUPint key associated with the master key (KgNB) as indicated in *keyToUse*;

2> else:

3> configure the ciphering function and the integrity protection function of the target cell group PDCP entity with the same security configuration as the PDCP entity for the source cell group;

2> if the *sdap-Config* is included and when indication of successful completion of random access towards target cell is received from lower layers as specified in [3]:

3> reconfigure the SDAP entity in accordance with the received *sdap-Config* as specified in TS 37.324 [24];

3> for each QFI value added in *mappedQoS-FlowsToAdd*, if the QFI value is previously configured, the QFI value is released from the old DRB;

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration and not configured as DAPS bearer:

2> if the *reestablishPDCP* is set:

3> if target RAT of handover is E-UTRA/5GC; or

3> if the UE is connected to E-UTRA/5GC:

4> if the UE is capable of E-UTRA/5GC but not capable of NGEN-DC:

5> if the PDCP entity of this DRB is not configured with *cipheringDisabled:*

6> configure the PDCP entity with the ciphering algorithm and KUPenc key configured/derived as specified in TS 36.331 [10], clause 5.4.2.3, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received and sent by the UE;

4> else (i.e., a UE capable of NGEN-DC):

5> if the PDCP entity of this DRB is not configured with *cipheringDisabled*:

6> configure the PDCP entity with the ciphering algorithm and KUPenc key associated with the master key (KeNB) or the secondary key (S-KgNB), as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received and sent by the UE;

3> else (i.e., UE connected to NR or UE in EN-DC):

4> if the PDCP entity of this DRB is not configured with *cipheringDisabled:*

5> configure the PDCP entity with the ciphering algorithm and KUPenc key associated with the master key (KeNB/ KgNB) or the secondary key (S-KgNB/S-KeNB), as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received and sent by the UE;

4> if the PDCP entity of this DRB is configured with *integrityProtection*:

5> configure the PDCP entity with the integrity protection algorithms according to *securityConfig* and apply the KUPint key associated with the master key (KgNB) or the secondary key (S-KgNB) as indicated in *keyToUse*;

3> if *drb-ContinueROHC* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueROHC* is configured;

3> if *drb-ContinueEHC-DL* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueEHC-DL* is configured;

3> if *drb-ContinueEHC-UL* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueEHC-UL* is configured;

3> if *drb-ContinueUDC* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueUDC* is configured;3> re-establish the PDCP entity of this DRB as specified in TS 38.323 [5], clause 5.1.2;

2> else, if the *recoverPDCP* is set:

3> trigger the PDCP entity of this DRB to perform data recovery as specified in TS 38.323 [5];

2> if the *pdcp-Config* is included:

3> reconfigure the PDCP entity in accordance with the received *pdcp-Config*.

2> if the *sdap-Config* is included:

3> reconfigure the SDAP entity in accordance with the received *sdap-Config* as specified in TS37.324 [24];

3> for each QFI value added in *mappedQoS-FlowsToAdd*, if the QFI value is previously configured, the QFI value is released from the old DRB;

NOTE 1: Void.

NOTE 2: When determining whether a *drb-Identity* value is part of the current UE configuration, the UE does not distinguish which *RadioBearerConfig* and *DRB-ToAddModList* that DRB was originally configured in. To re-associate a DRB with a different key (KeNB to S-KgNB,KgNB to S-KeNB, KgNB to S-KgNB, or vice versa), the network provides the *drb-Identity* value in the (target) *drb-ToAddModList* and sets the *reestablishPDCP* flag. The network does not list the *drb-Identity* in the (source) *drb-ToReleaseList*.

NOTE 3: When setting the *reestablishPDCP* flag for a radio bearer, the network ensures that the RLC receiver entities do not deliver old PDCP PDUs to the re-established PDCP entity. It does that e.g. by triggering a reconfiguration with sync of the cell group hosting the old RLC entity or by releasing the old RLC entity.

NOTE 4: In this specification, UE configuration refers to the parameters configured by NR RRC unless otherwise stated.

NOTE 5: Ciphering and integrity protection can be enabled or disabled for a DRB. The enabling/disabling of ciphering or integrity protection can be changed only by releasing and adding the DRB.

NOTE 6: In DAPS handover, the UE may perform PDCP entity re-establishment (if *reestablishPDCP* is set) or the PDCP data recovery (if *recoverPDCP* is set) for a non-DAPS bearer when indication of successful completion of random access towards target cell is received from lower layers as specified in TS 38.321 [3]. In this case, the UE suspends data transmission and reception for all non-DAPS bearers in the source MCG for duration of the DAPS handover.

*Next Change*

### 6.3.2 Radio resource control information elements

– *PDCP-Config*

The IE *PDCP-Config* is used to set the configurable PDCP parameters for signalling and data radio bearers.

***PDCP-Config* information element**

-- ASN1START

-- TAG-PDCP-CONFIG-START

PDCP-Config ::= SEQUENCE {

drb SEQUENCE {

discardTimer ENUMERATED {ms10, ms20, ms30, ms40, ms50, ms60, ms75, ms100, ms150, ms200,

ms250, ms300, ms500, ms750, ms1500, infinity} OPTIONAL, -- Cond Setup

pdcp-SN-SizeUL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup2

pdcp-SN-SizeDL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup2

headerCompression CHOICE {

notUsed NULL,

rohc SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0001 BOOLEAN,

profile0x0002 BOOLEAN,

profile0x0003 BOOLEAN,

profile0x0004 BOOLEAN,

profile0x0006 BOOLEAN,

profile0x0101 BOOLEAN,

profile0x0102 BOOLEAN,

profile0x0103 BOOLEAN,

profile0x0104 BOOLEAN

},

drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

},

uplinkOnlyROHC SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0006 BOOLEAN

},

drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

},

...

},

integrityProtection ENUMERATED { enabled } OPTIONAL, -- Cond ConnectedTo5GC1

statusReportRequired ENUMERATED { true } OPTIONAL, -- Cond Rlc-AM-UM

outOfOrderDelivery ENUMERATED { true } OPTIONAL -- Need R

} OPTIONAL, -- Cond DRB

moreThanOneRLC SEQUENCE {

primaryPath SEQUENCE {

cellGroup CellGroupId OPTIONAL, -- Need R

logicalChannel LogicalChannelIdentity OPTIONAL -- Need R

},

ul-DataSplitThreshold UL-DataSplitThreshold OPTIONAL, -- Cond SplitBearer

pdcp-Duplication BOOLEAN OPTIONAL -- Need R

} OPTIONAL, -- Cond MoreThanOneRLC

t-Reordering ENUMERATED {

ms0, ms1, ms2, ms4, ms5, ms8, ms10, ms15, ms20, ms30, ms40,

ms50, ms60, ms80, ms100, ms120, ms140, ms160, ms180, ms200, ms220,

ms240, ms260, ms280, ms300, ms500, ms750, ms1000, ms1250,

ms1500, ms1750, ms2000, ms2250, ms2500, ms2750,

ms3000, spare28, spare27, spare26, spare25, spare24,

spare23, spare22, spare21, spare20,

spare19, spare18, spare17, spare16, spare15, spare14,

spare13, spare12, spare11, spare10, spare09,

spare08, spare07, spare06, spare05, spare04, spare03,

spare02, spare01 } OPTIONAL, -- Need S

...,

[[

cipheringDisabled ENUMERATED {true} OPTIONAL -- Cond ConnectedTo5GC

]],

[[

discardTimerExt-r16 SetupRelease { DiscardTimerExt-r16 } OPTIONAL, -- Cond DRB2

moreThanTwoRLC-DRB-r16 SEQUENCE {

splitSecondaryPath-r16 LogicalChannelIdentity OPTIONAL, -- Cond SplitBearer2

duplicationState-r16 SEQUENCE (SIZE (3)) OF BOOLEAN OPTIONAL -- Need S

} OPTIONAL, -- Cond MoreThanTwoRLC-DRB

ethernetHeaderCompression-r16 SetupRelease { EthernetHeaderCompression-r16 } OPTIONAL -- Need M

]],

[[

uplinkDataCompression-r17 SetupRelease { UplinkDataCompression-r17 } OPTIONAL -- Cond Rlc-AM

]]

}

EthernetHeaderCompression-r16 ::= SEQUENCE {

ehc-Common-r16 SEQUENCE {

ehc-CID-Length-r16 ENUMERATED { bits7, bits15 },

...

},

ehc-Downlink-r16 SEQUENCE {

drb-ContinueEHC-DL-r16 ENUMERATED { true } OPTIONAL, -- Need N

...

} OPTIONAL, -- Need M

ehc-Uplink-r16 SEQUENCE {

maxCID-EHC-UL-r16 INTEGER (1..32767),

drb-ContinueEHC-UL-r16 ENUMERATED { true } OPTIONAL, -- Need N

...

} OPTIONAL -- Need M

}

UL-DataSplitThreshold ::= ENUMERATED {

b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800, b25600, b51200, b102400, b204800,

b409600, b819200, b1228800, b1638400, b2457600, b3276800, b4096000, b4915200, b5734400,

b6553600, infinity, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1}

DiscardTimerExt-r16 ::= ENUMERATED {ms0dot5, ms1, ms2, ms4, ms6, ms8, spare2, spare1}

UplinkDataCompression-r17 ::= CHOICE {

newSetup SEQUENCE {

bufferSize-r17 ENUMERATED {kbyte2, kbyte4, kbyte8, spare1},

dictionary-r17 ENUMERATED {sip-SDP, operator} OPTIONAL, -- Need N

}

drb-ContinueUDC-r17 ENUMERATED { true } OPTIONAL -- Need N

}

-- TAG-PDCP-CONFIG-STOP

-- ASN1STOP

| *PDCP-Config* field descriptions |
| --- |
| ***cipheringDisabled***  If included, ciphering is disabled for this DRB regardless of which ciphering algorithm is configured for the SRB/DRBs. The field may only be included if the UE is connected to 5GC. Otherwise the field is absent. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***discardTimer***  Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms10* corresponds to 10 ms, value *ms20* corresponds to 20 ms and so on. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***discardTimerExt***  Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms0dot5* corresponds to 0.5 ms, value *ms1* corresponds to 1ms and so on. If this field is present, the field *discardTimer* is ignored and *discardTimerExt* is used instead. |
| ***Drb-ContinueROHC***  Indicates whether the PDCP entity continues or resets the ROHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. This field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. The network does not include the field if the bearer is configured as DAPS bearer. |
| ***duplicationState***  This field indicates the uplink PDCP duplication state for the associated RLC entities at the time of receiving this IE. If set to *true,* the PDCP duplication state is activated for the associated RLC entity. The index for the indication is determined by ascending order of logical channel ID of all RLC entities other than the primary RLC entityindicated by *primaryPath* in the order of MCG and SCG, as in clause 6.1.3.32 of TS 38.321 [3]. If the number of associated RLC entities other than the primary RLC entity is two, UE ignores the value in the largest index of this field. If the field is absent, the PDCP duplication states are deactivated for all associated RLC entities. |
| ***ethernetHeaderCompression***  This fields configures Ethernet Header Compression. This field can only be configured for a bi-directional DRB. The network reconfigures *ethernetHeaderCompression* only upon reconfiguration involving PDCP re-establishment and with neither *drb-ContinueEHC-DL* nor *drb-ContinueEHC-UL* configured. Network only configures this field when *uplinkDataCompression* is not configured. |
| ***headerCompression***  If rohc is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink. If *uplinkOnlyROHC* is configured, the UE shall apply the configured ROHC profile(s) in uplink (there is no header compression in downlink). ROHC can be configured for any bearer type. ROHC and EHC can be both configured simultaneously for a DRB. The network reconfigures *headerCompression* only upon reconfiguration involving PDCP re-establishment, and without any *drb-ContinueROHC*. Network configures *headerCompression* to *notUsed* when *outOfOrderDelivery* is configured. Network only configures this field when *uplinkDataCompression* is not configured. |
| ***integrityProtection***  Indicates whether or not integrity protection is configured for this radio bearer. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***maxCID***  Indicates the value of the MAX\_CID parameter as specified in TS 38.323 [5].  The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE. |
| ***moreThanOneRLC***  This field configures UL data transmission when more than one RLC entity is associated with the PDCP entity. This field is not present if the bearer is configured as DAPS bearer. |
| ***moreThanTwoRLC-DRB***  This field configures UL data transmission when more than two RLC entities are associated with the PDCP entity for DRBs. |
| ***outOfOrderDelivery***  Indicates whether or not *outOfOrderDelivery* specified in TS 38.323 [5] is configured. This field should be either always present or always absent, after the radio bearer is established. |
| ***Pdcp-Duplication***  Indicates whether or not uplink duplication status at the time of receiving this IE is configured and activated as specified in TS 38.323 [5]. The presence of this field indicates that duplication is configured. PDCP duplication is not configured for CA packet duplication of LTE RLC bearer. The value of this field, when the field is present, indicates the state of the duplication at the time of receiving this IE. If set to *true*, duplication is activated. The value of this field is always *true*, when configured for a SRB. For PDCP entity with more than two associated RLC entities for UL transmission, this field is always present. If the field *moreThanTwoRLC-DRB* is present, the value of this field is ignored and the state of the duplication is indicated by *duplicationState*. For PDCP entity with more than two associated RLC entities, only NR RLC bearer is supported. |
| ***Pdcp-SN-SizeDL***  PDCP sequence number size for downlink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***Pdcp-SN-SizeUL***  PDCP sequence number size for uplink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***primaryPath***  Indicates the cell group ID and LCID of the primary RLC entity as specified in TS 38.323 [5], clause 5.2.1 for UL data transmission when more than one RLC entity is associated with the PDCP entity. In this version of the specification, only cell group ID corresponding to MCG is supported for SRBs. The NW indicates *cellGroup* for split bearers using logical channels in different cell groups. The NW always indicates *logicalChannel* if CA based PDCP duplication is configured in the cell group indicated by *cellGroup* of this field. |
| ***splitSecondaryPath***  Indicates the LCID of the split secondary RLC entity as specified in TS 38.323 [5] for fallback to split bearer operation when UL data transmission with more than two RLC entities is associated with the PDCP entity. This RLC entity belongs to a cell group that is different from the cell group indicated by *cellGroup* in the field *primaryPath.* |
| ***statusReportRequired***  For AM DRBs and DAPS UM DRBs, indicates whether the DRB is configured to send a PDCP status report in the uplink, as specified in TS 38.323 [5]. For DAPS AM DRBs, it also indicates whether the DRB is configured to send a second PDCP status report in the uplink, as specified in TS 38.323 [5]. |
| ***t-Reordering***  Value in ms of t-Reordering specified in TS 38.323 [5]. Value *ms0* corresponds to 0 ms, value *ms20* corresponds to 20 ms, value *ms40* corresponds to 40 ms, and so on. When the field is absent the UE applies the value *infinity*. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***Ul-DataSplitThreshold***  Parameter specified in TS 38.323 [5]. Value *b0* corresponds to 0 bytes, value *b100* corresponds to 100 bytes, value *b200* corresponds to 200 bytes, and so on. The network sets this field to *infinity* for Ues not supporting *splitDRB-withUL-Both-MCG-SCG*. If the field is absent when the split bearer is configured for the radio bearer first time, then the default value *infinity* is applied. |
| ***uplinkDataCompression***  Indicates the UDC configuration that the UE shall apply. Network does not configure *uplinkDataCompression* for a DRB, if *headerCompression* or *ethernetHeaderCompression* is already configured or *outOfOrderDelivery* or DAPS is configured for the DRB. The maximum number of DRBs where uplinkDataCompression can be applied is two. The network reconfigures *uplinkDataCompression* only upon reconfiguration involving PDCP re-establishment, and without any *drb-ContinueUDC*. |

|  |
| --- |
| *EthernetHeaderCompression field descriptions* |
| ***drb-ContinueEHC-DL***  Indicates whether the PDCP entity continues or resets the downlink EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***Drb-ContinueEHC-UL***  Indicates whether the PDCP entity continues or resets the uplink EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***Ehc-CID-Length***  Indicates the length of the CID field for EHC packet. The value *bits7* indicates the length is 7 bits, and the value *bits15* indicates the length is 15 bits. Once the field *ethernetHeaderCompression-r16* is configured for a DRB, the value of the field *ehc-CID-Length* for this DRB is not reconfigured to a different value. |
| ***Ehc-Common***  Indicates the configurations that apply for both downlink and uplink. |
| ***Ehc-Downlink***  Indicates the configurations that apply for only downlink. If the field is configured, then Ethernet header compression is configured for downlink. Otherwise, it is not configured for downlink. |
| ***Ehc-Uplink***  Indicates the configurations that apply for only uplink. If the field is configured, then Ethernet header compression is configured for uplnik. Otherwise, it is not configured for uplink. |
| ***maxCID-EHC-UL***  Indicates the value of the MAX\_CID\_EHC\_UL parameter as specified in TS 38.323 [5]. The total value of MAX\_CID\_EHC\_UL across all bearers for the UE should be less than or equal to the value of *maxNumberEHC-Contexts* parameter as indicated by the UE. |

|  |
| --- |
| *UplinkDataCompression field descriptions* |
| ***bufferSize***  This field indicates the buffer size applied for UDC as specified in TS 38.323 [5]. Value *kbyte2* means 2048 bytes, *kbyte4* means 4096 bytes and so on. |
| ***dictionary***  This field indicates which pre-defined dictionary is used for UDC as specified in TS 38.323 [5]. The value *sip-SDP* means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 38.323 [5], and the value *operator* means that UE shall prefill the buffer with operator-defined dictionary. |
| ***drb-ContinueUDC***  Indicates whether the PDCP entity continues or resets the uplink data compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |

| Conditional presence | Explanation |
| --- | --- |
| *DRB* | This field is mandatory present when the corresponding DRB is being set up, absent for SRBs. Otherwise this field is optionally present, need M. |
| *DRB2* | This field is optionally present in case of DRB, need M. Otherwise, it is absent for SRBs. |
| *MoreThanOneRLC* | This field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than one associated logical channel and upon RRC reconfiguration with the association of additional logical channels to the PDCP entity.  The field is also mandatory present in case the field *moreThanTwoRLC-DRB* is included in *PDCP-Config*.  Upon RRC reconfiguration when a PDCP entity is associated with multiple logical channels, this field is optionally present need M. Otherwise, this field is absent. Need R. |
| *MoreThanTwoRLC-DRB* | For SRBs, this field is absent.  For DRBs, this field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than two associated logical channels and upon RRC reconfiguration with the association of one or more additional logical channel(s) to the PDCP entity so that the PDCP entity has more than two associated logical channels.  Upon RRC reconfiguration when a PDCP entity is associated with more than two logical channels, this field is optionally present, Need M. Otherwise, the field is absent, Need R. |
| *Rlc-AM* | For RLC AM, the field is optionally present, need M. Otherwise, the field is absent. |
| *Rlc-AM-UM* | For RLC UM (if the UE supports DAPS handover) or RLC AM, the field is optionally present, need R. Otherwise, the field is absent. |
| *Setup* | The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need M. |
| *SplitBearer* | The field is absent for SRBs. Otherwise, the field is optional present, need M, in case of radio bearer with more than one associated RLC mapped to different cell groups. |
| *SplitBearer2* | The field is mandatory present, in case of a split bearer. Otherwise the field is absent. |
| *ConnectedTo5GC* | The field is optionally present, need R, if the UE is connected to 5GC. Otherwise the field is absent. |
| *ConnectedTo5GC1* | The field is optionally present, need R, if the UE is connected to NR/5GC. Otherwise the field is absent. |
| *Setup2* | This field is mandatory present in case for radio bearer setup for RLC-AM and RLC-UM. Otherwise, this field is absent, Need M. |

*Next Change*

6.3.3 UE capability information elements

#### – *PDCP-Parameters*

The IE *PDCP-Parameters* is used to convey capabilities related to PDCP.

*PDCP-Parameters* information element

-- ASN1START

-- TAG-PDCP-PARAMETERS-START

PDCP-Parameters ::= SEQUENCE {

supportedROHC-Profiles SEQUENCE {

profile0x0000 BOOLEAN,

profile0x0001 BOOLEAN,

profile0x0002 BOOLEAN,

profile0x0003 BOOLEAN,

profile0x0004 BOOLEAN,

profile0x0006 BOOLEAN,

profile0x0101 BOOLEAN,

profile0x0102 BOOLEAN,

profile0x0103 BOOLEAN,

profile0x0104 BOOLEAN

},

maxNumberROHC-ContextSessions ENUMERATED {cs2, cs4, cs8, cs12, cs16, cs24, cs32, cs48, cs64,

cs128, cs256, cs512, cs1024, cs16384, spare2, spare1},

uplinkOnlyROHC-Profiles ENUMERATED {supported} OPTIONAL,

continueROHC-Context ENUMERATED {supported} OPTIONAL,

outOfOrderDelivery ENUMERATED {supported} OPTIONAL,

shortSN ENUMERATED {supported} OPTIONAL,

pdcp-DuplicationSRB ENUMERATED {supported} OPTIONAL,

pdcp-DuplicationMCG-OrSCG-DRB ENUMERATED {supported} OPTIONAL,

...,

[[

drb-IAB-r16 ENUMERATED {supported} OPTIONAL,

non-DRB-IAB-r16 ENUMERATED {supported} OPTIONAL,

extendedDiscardTimer-r16 ENUMERATED {supported} OPTIONAL,

continueEHC-Context-r16 ENUMERATED {supported} OPTIONAL,

ehc-r16 ENUMERATED {supported} OPTIONAL,

maxNumberEHC-Contexts-r16 ENUMERATED {cs2, cs4, cs8, cs16, cs32, cs64, cs128, cs256, cs512,

cs1024, cs2048, cs4096, cs8192, cs16384, cs32768, cs65536} OPTIONAL,

jointEHC-ROHC-Config-r16 ENUMERATED {supported} OPTIONAL,

pdcp-DuplicationMoreThanTwoRLC-r16 ENUMERATED {supported} OPTIONAL

]],

[[

udc-r17 SEQUENCE {

standardDictionary-r17 ENUMERATED {supported} OPTIONAL,

operatorDictionary-r17 SEQUENCE {

versionOfDictionary-r17 INTEGER (0..15),

associatedPLMN-ID-r17 PLMN-Identity

} OPTIONAL,

continueUDC-r17 ENUMERATED {supported} OPTIONAL

} OPTIONAL

]]

}

-- TAG-PDCP-PARAMETERS-STOP

-- ASN1STOP

*Next Change*

### 11.2.2 Message definitions

*– CG-ConfigInfo*

This message is used by master eNB or gNB to request the SgNB or SeNB to perform certain actions e.g. to establish, modify or release an SCG. The message may include additional information e.g. to assist the SgNB or SeNB to set the SCG configuration. It can also be used by a CU to request a DU to perform certain actions, e.g. to establish, or modify an MCG or SCG.

Direction: Master eNB or gNB to secondary gNB or eNB, alternatively CU to DU.

***CG-ConfigInfo* message**

-- ASN1START

-- TAG-CG-CONFIG-INFO-START

CG-ConfigInfo ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE{

cg-ConfigInfo CG-ConfigInfo-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

CG-ConfigInfo-IEs ::= SEQUENCE {

ue-CapabilityInfo OCTET STRING (CONTAINING UE-CapabilityRAT-ContainerList) OPTIONAL,-- Cond SN-AddMod

candidateCellInfoListMN MeasResultList2NR OPTIONAL,

candidateCellInfoListSN OCTET STRING (CONTAINING MeasResultList2NR) OPTIONAL,

measResultCellListSFTD-NR MeasResultCellListSFTD-NR OPTIONAL,

scgFailureInfo SEQUENCE {

failureType ENUMERATED { t310-Expiry, randomAccessProblem,

rlc-MaxNumRetx, synchReconfigFailure-SCG,

scg-reconfigFailure,

srb3-IntegrityFailure},

measResultSCG OCTET STRING (CONTAINING MeasResultSCG-Failure)

} OPTIONAL,

configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

drx-InfoMCG DRX-Info OPTIONAL,

measConfigMN MeasConfigMN OPTIONAL,

sourceConfigSCG OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

scg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

mcg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

mrdc-AssistanceInfo MRDC-AssistanceInfo OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1540-IEs OPTIONAL

}

CG-ConfigInfo-v1540-IEs ::= SEQUENCE {

ph-InfoMCG PH-TypeListMCG OPTIONAL,

measResultReportCGI SEQUENCE {

ssbFrequency ARFCN-ValueNR,

cellForWhichToReportCGI PhysCellId,

cgi-Info CGI-InfoNR

} OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1560-IEs OPTIONAL

}

CG-ConfigInfo-v1560-IEs ::= SEQUENCE {

candidateCellInfoListMN-EUTRA OCTET STRING OPTIONAL,

candidateCellInfoListSN-EUTRA OCTET STRING OPTIONAL,

sourceConfigSCG-EUTRA OCTET STRING OPTIONAL,

scgFailureInfoEUTRA SEQUENCE {

failureTypeEUTRA ENUMERATED { t313-Expiry, randomAccessProblem,

rlc-MaxNumRetx, scg-ChangeFailure},

measResultSCG-EUTRA OCTET STRING

} OPTIONAL,

drx-ConfigMCG DRX-Config OPTIONAL,

measResultReportCGI-EUTRA SEQUENCE {

eutraFrequency ARFCN-ValueEUTRA,

cellForWhichToReportCGI-EUTRA EUTRA-PhysCellId,

cgi-InfoEUTRA CGI-InfoEUTRA

} OPTIONAL,

measResultCellListSFTD-EUTRA MeasResultCellListSFTD-EUTRA OPTIONAL,

fr-InfoListMCG FR-InfoList OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1570-IEs OPTIONAL

}

CG-ConfigInfo-v1570-IEs ::= SEQUENCE {

sftdFrequencyList-NR SFTD-FrequencyList-NR OPTIONAL,

sftdFrequencyList-EUTRA SFTD-FrequencyList-EUTRA OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1590-IEs OPTIONAL

}

CG-ConfigInfo-v1590-IEs ::= SEQUENCE {

servFrequenciesMN-NR SEQUENCE (SIZE (1.. maxNrofServingCells-1)) OF ARFCN-ValueNR OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1610-IEs OPTIONAL

}

CG-ConfigInfo-v1610-IEs ::= SEQUENCE {

drx-InfoMCG2 DRX-Info2 OPTIONAL,

alignedDRX-Indication ENUMERATED {true} OPTIONAL,

scgFailureInfo-r16 SEQUENCE {

failureType-r16 ENUMERATED { scg-lbtFailure-r16, beamFailureRecoveryFailure-r16,

t312-Expiry-r16, bh-RLF-r16,

spare4, spare3, spare2, spare1},

measResultSCG-r16 OCTET STRING (CONTAINING MeasResultSCG-Failure)

} OPTIONAL,

dummy1 SEQUENCE {

failureTypeEUTRA-r16 ENUMERATED { scg-lbtFailure-r16, beamFailureRecoveryFailure-r16,

t312-Expiry-r16, spare5,

spare4, spare3, spare2, spare1},

measResultSCG-EUTRA-r16 OCTET STRING

} OPTIONAL,

sidelinkUEInformationNR-r16 OCTET STRING (CONTAINING SidelinkUEInformationNR-r16) OPTIONAL,

sidelinkUEInformationEUTRA-r16 OCTET STRING OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1620-IEs OPTIONAL

}

CG-ConfigInfo-v1620-IEs ::= SEQUENCE {

ueAssistanceInformationSourceSCG-r16 OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1640-IEs OPTIONAL

}

CG-ConfigInfo-v1640-IEs ::= SEQUENCE {

servCellInfoListMCG-NR-r16 ServCellInfoListMCG-NR-r16 OPTIONAL,

servCellInfoListMCG-EUTRA-r16 ServCellInfoListMCG-EUTRA-r16 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

ServCellInfoListMCG-NR-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCells)) OF ServCellInfoXCG-NR-r16

ServCellInfoListMCG-EUTRA-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCellsEUTRA)) OF ServCellInfoXCG-EUTRA-r16

SFTD-FrequencyList-NR ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueNR

SFTD-FrequencyList-EUTRA ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueEUTRA

ConfigRestrictInfoSCG ::= SEQUENCE {

allowedBC-ListMRDC BandCombinationInfoList OPTIONAL,

powerCoordination-FR1 SEQUENCE {

p-maxNR-FR1 P-Max OPTIONAL,

p-maxEUTRA P-Max OPTIONAL,

p-maxUE-FR1 P-Max OPTIONAL

} OPTIONAL,

servCellIndexRangeSCG SEQUENCE {

lowBound ServCellIndex,

upBound ServCellIndex

} OPTIONAL, -- Cond SN-AddMod

maxMeasFreqsSCG INTEGER(1..maxMeasFreqsMN) OPTIONAL,

dummy INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

...,

[[

selectedBandEntriesMNList SEQUENCE (SIZE (1..maxBandComb)) OF SelectedBandEntriesMN OPTIONAL,

pdcch-BlindDetectionSCG INTEGER (1..15) OPTIONAL,

maxNumberROHC-ContextSessionsSN INTEGER(0.. 16384) OPTIONAL

]],

[[

maxIntraFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

maxInterFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL

]],

[[

p-maxNR-FR1-MCG-r16 P-Max OPTIONAL,

powerCoordination-FR2-r16 SEQUENCE {

p-maxNR-FR2-MCG-r16 P-Max OPTIONAL,

p-maxNR-FR2-SCG-r16 P-Max OPTIONAL,

p-maxUE-FR2-r16 P-Max OPTIONAL

} OPTIONAL,

nrdc-PC-mode-FR1-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

nrdc-PC-mode-FR2-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

maxMeasSRS-ResourceSCG-r16 INTEGER(0..maxNrofCLI-SRS-Resources-r16) OPTIONAL,

maxMeasCLI-ResourceSCG-r16 INTEGER(0..maxNrofCLI-RSSI-Resources-r16) OPTIONAL,

maxNumberEHC-ContextsSN-r16 INTEGER(0..65536) OPTIONAL,

allowedReducedConfigForOverheating-r16 OverheatingAssistance OPTIONAL,

maxToffset-r16 T-Offset-r16 OPTIONAL

]],

[[

maxNumberUDC-DRB-r17 INTEGER(0..2) OPTIONAL

]]

}

SelectedBandEntriesMN ::= SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandEntryIndex

BandEntryIndex ::= INTEGER (0.. maxNrofServingCells)

PH-TypeListMCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF PH-InfoMCG

PH-InfoMCG ::= SEQUENCE {

servCellIndex ServCellIndex,

ph-Uplink PH-UplinkCarrierMCG,

ph-SupplementaryUplink PH-UplinkCarrierMCG OPTIONAL,

...

}

PH-UplinkCarrierMCG ::= SEQUENCE{

ph-Type1or3 ENUMERATED {type1, type3},

...

}

BandCombinationInfoList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombinationInfo

BandCombinationInfo ::= SEQUENCE {

bandCombinationIndex BandCombinationIndex,

allowedFeatureSetsList SEQUENCE (SIZE (1..maxFeatureSetsPerBand)) OF FeatureSetEntryIndex

}

FeatureSetEntryIndex ::= INTEGER (1.. maxFeatureSetsPerBand)

DRX-Info ::= SEQUENCE {

drx-LongCycleStartOffset CHOICE {

ms10 INTEGER(0..9),

ms20 INTEGER(0..19),

ms32 INTEGER(0..31),

ms40 INTEGER(0..39),

ms60 INTEGER(0..59),

ms64 INTEGER(0..63),

ms70 INTEGER(0..69),

ms80 INTEGER(0..79),

ms128 INTEGER(0..127),

ms160 INTEGER(0..159),

ms256 INTEGER(0..255),

ms320 INTEGER(0..319),

ms512 INTEGER(0..511),

ms640 INTEGER(0..639),

ms1024 INTEGER(0..1023),

ms1280 INTEGER(0..1279),

ms2048 INTEGER(0..2047),

ms2560 INTEGER(0..2559),

ms5120 INTEGER(0..5119),

ms10240 INTEGER(0..10239)

},

shortDRX SEQUENCE {

drx-ShortCycle ENUMERATED {

ms2, ms3, ms4, ms5, ms6, ms7, ms8, ms10, ms14, ms16, ms20, ms30, ms32,

ms35, ms40, ms64, ms80, ms128, ms160, ms256, ms320, ms512, ms640, spare9,

spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 },

drx-ShortCycleTimer INTEGER (1..16)

} OPTIONAL

}

DRX-Info2 ::= SEQUENCE {

drx-onDurationTimer CHOICE {

subMilliSeconds INTEGER (1..31),

milliSeconds ENUMERATED {

ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60,

ms80, ms100, ms200, ms300, ms400, ms500, ms600, ms800, ms1000, ms1200,

ms1600, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 }

}

}

MeasConfigMN ::= SEQUENCE {

measuredFrequenciesMN SEQUENCE (SIZE (1..maxMeasFreqsMN)) OF NR-FreqInfo OPTIONAL,

measGapConfig SetupRelease { GapConfig } OPTIONAL,

gapPurpose ENUMERATED {perUE, perFR1} OPTIONAL,

...,

[[

measGapConfigFR2 SetupRelease { GapConfig } OPTIONAL

]]

}

MRDC-AssistanceInfo ::= SEQUENCE {

affectedCarrierFreqCombInfoListMRDC SEQUENCE (SIZE (1..maxNrofCombIDC)) OF AffectedCarrierFreqCombInfoMRDC,

...,

[[

overheatingAssistanceSCG-r16 OCTET STRING (CONTAINING OverheatingAssistance) OPTIONAL

]]

}

AffectedCarrierFreqCombInfoMRDC ::= SEQUENCE {

victimSystemType VictimSystemType,

interferenceDirectionMRDC ENUMERATED {eutra-nr, nr, other, utra-nr-other, nr-other, spare3, spare2, spare1},

affectedCarrierFreqCombMRDC SEQUENCE {

affectedCarrierFreqCombEUTRA AffectedCarrierFreqCombEUTRA OPTIONAL,

affectedCarrierFreqCombNR AffectedCarrierFreqCombNR

} OPTIONAL

}

VictimSystemType ::= SEQUENCE {

gps ENUMERATED {true} OPTIONAL,

glonass ENUMERATED {true} OPTIONAL,

bds ENUMERATED {true} OPTIONAL,

galileo ENUMERATED {true} OPTIONAL,

wlan ENUMERATED {true} OPTIONAL,

bluetooth ENUMERATED {true} OPTIONAL

}

AffectedCarrierFreqCombEUTRA ::= SEQUENCE (SIZE (1..maxNrofServingCellsEUTRA)) OF ARFCN-ValueEUTRA

AffectedCarrierFreqCombNR ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF ARFCN-ValueNR

-- TAG-CG-CONFIG-INFO-STOP

-- ASN1STOP

|  |
| --- |
| ***CG-ConfigInfo* field descriptions** |
| ***alignedDRX-Indication***  This field is signalled upon MN triggered CGI reporting by the UE that requires aligned DRX configurations between the MCG and the SCG (i.e. same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN). |
| ***allowedBC-ListMRDC***  A list of indices referring to band combinations in MR-DC capabilities from which SN is allowed to select the SCG band combination. Each entry refers to:  - a band combination numbered according to *supportedBandCombinationList* and *supportedBandCombinationList-UplinkTxSwitch* in the *UE-MRDC-Capability* (in case of (NG)EN-DC), or according to *supportedBandCombinationList* and *supportedBandCombinationListNEDC-Only* in the *UE-MRDC-Capability* (in case of NE-DC), or according to *supportedBandCombinationList* in the UE-NR-Capability (in case of NR-DC),  - and the Feature Sets allowed for each band entry. All MR-DC band combinations indicated by this field comprise the MCG band combination, which is a superset of the MCG band(s) selected by MN. |
| ***allowedReducedConfigForOverheating***  Indicates the reduced configuration that the SCG is allowed to configure.  *reducedMaxCCs* in *allowedReducedConfigForOverheating* indicates the maximum number of downlink/uplink PSCell/SCells that the SCG is allowed to configure. This field is used in (NG)EN-DC and NR-DC.  *reducedMaxBW-FR1* and *reducedMaxBW-FR2* in *allowedReducedConfigForOverheating* indicates the maximum aggregated bandwidth across all downlink/uplink carriers of FR1 and FR2, respectively that the SCG is allowed to configure. This field is only used in NR-DC.  *reducedMaxMIMO-LayersFR1* and *reducedMaxMIMO-LayersFR2* in *allowedReducedConfigForOverheating* indicates the maximum number of downlink/uplink MIMO layers of each serving cell operating on FR1 and FR2, respectively that the SCG is allowed to configure. This field is only used in NR-DC. |
| ***candidateCellInfoListMN***, ***candidateCellInfoListSN***  Contains information regarding cells that the master node or the source node suggests the target gNB or DU to consider configuring.  For (NG)EN-DC, including CSI-RS measurement results in *candidateCellInfoListMN* is not supported in this version of the specification. For NR-DC, including SSB and/or CSI-RS measurement results in *candidateCellInfoListMN* is supported. |
| ***candidateCellInfoListMN-EUTRA***, ***candidateCellInfoListSN-EUTRA***  Includes the *MeasResultList3EUTRA* as specified in TS 36.331 [10]. Contains information regarding cells that the master node or the source node suggests the target secondary eNB to consider configuring. These fields are only used in NE-DC. |
| ***configRestrictInfo***  Includes fields for which SgNB is explicitly indicated to observe a configuration restriction. |
| ***drx-ConfigMCG***  This field contains the complete DRX configuration of the MCG. This field is only used in NR-DC. |
| ***drx-InfoMCG***  This field contains the DRX long and short cycle configuration of the MCG. This field is used in (NG)EN-DC and NE-DC. |
| ***drx-InfoMCG2***  This field contains the *drx-onDurationTimer* configuration of the MCG. This field is only used in (NG)EN-DC. |
| ***fr-InfoListMCG***  Contains information of FR information of serving cells that include PCell and SCell(s) configured in MCG. |
| ***dummy, dummy1***  These fields are not used in the specification and SN ignores the received value(s). |
| ***maxInterFreqMeasIdentitiesSCG***  Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for inter-frequency measurement. The maximum value for this field is 10. If the field is absent, the SCG is allowed to configure inter-frequency measurements up to the maximum value. This field is only used in NR-DC. |
| ***maxIntraFreqMeasIdentitiesSCG***  Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for intra-frequency measurement on each serving frequency. The maximum value for this field is 9 (in case of (NG)EN-DC or NR-DC) or 10 (in case of NE-DC). If the field is absent, the SCG is allowed to configure intra-frequency measurements up to the maximum value on each serving frequency. |
| ***maxMeasCLI-ResourceSCG***  Indicates the maximum number of CLI RSSI resources that the SCG is allowed to configure. |
| ***maxMeasFreqsSCG***  Indicates the maximum number of NR inter-frequency carriers the SN is allowed to configure with PSCell for measurements. |
| ***maxMeasSRS-ResourceSCG***  Indicates the maximum number of SRS resources that the SCG is allowed to configure for CLI measurement. |
| ***maxNumberROHC-ContextSessionsSN***  Indicates the maximum number of ROHC context sessions allowed to SN terminated bearer, excluding context sessions that leave all headers uncompressed. |
| ***maxNumberEHC-ContextsSN***  Indicates the maximum number of EHC contexts allowed to the SN terminated bearer. The field indicates the number of contexts in addition to CID = "all zeros", as specified in TS 38.323 [5]. |
| ***maxNumberUDC-DRB***  Indicates the maximum number of UDC DRBs allowed to SN terminated bearer. This field is used in NGEN-DC, NR-DC and NE-DC. |
| ***maxToffset***  Indicates the maximum Toffset value the SN is allowed to use for scheduling SCG transmissions (see TS 38.213 [13]). This field is used in NR-DC only when the fields *nrdc-PC-mode-FR1-r16* or *nrdc-PC-mode-FR2-r16* are set to dynamic. Value *ms0dot5* corresponds to 0.5 ms, value *ms0dot75* corresponds to 0.75 ms, value *ms1* corresponds to 1 ms and so on. |
| ***measuredFrequenciesMN***  Used by MN to indicate a list of frequencies measured by the UE. |
| ***measGapConfig***  Indicates the FR1 and perUE measurement gap configuration configured by MN. |
| ***measGapConfigFR2***  Indicates the FR2 measurement gap configuration configured by MN. |
| ***mcg-RB-Config***  Contains all of the fields in the IE *RadioBearerConfig* used in MN, used by the SN to support delta configuration to UE (i.e. when MN does not use full configuration option), for bearer type change between MN terminated bearer with NR PDCP to SN terminated bearer. It is also used to indicate the PDCP duplication related information for MN terminated split bearer (whether duplication is configured and if so, whether it is initially activated) in SN Addition/Modification procedure. Otherwise, this field is absent. |
| ***measResultReportCGI, measResultReportCGI-EUTRA***  Used by MN to provide SN with CGI-Info for the cell as per SN′s request. In this version of the specification, the *measResultReportCGI* is used for (NG)EN-DC and NR-DC and the *measResultReportCGI-EUTRA* is used only for NE-DC. |
| ***measResultSCG-EUTRA***  This field includes the *MeasResultSCG-FailureMRDC* IE as specified in TS 36.331 [10]. This field is only used in NE-DC. |
| ***measResultSFTD-EUTRA***  SFTD measurement results between the PCell and the E-UTRA PScell in NE-DC. This field is only used in NE-DC. |
| ***mrdc-AssistanceInfo***  Contains the IDC assistance information for MR-DC reported by the UE (see TS 36.331 [10]). |
| ***nrdc-PC-mode-FR1***  Indicates the uplink power sharing mode that the UE uses in NR-DC FR1 (see TS 38.213 [13], clause 7.6). |
| ***nrdc-PC-mode-FR2***  Indicates the uplink power sharing mode that the UE uses in NR-DC FR2 (see TS 38.213 [13], clause 7.6). |
| ***overheatingAssistanceSCG***  Contains the UE's preference on reduced configuration for NR SCG to address overheating. This field is only used in (NG)EN-DC. |
| ***p-maxEUTRA***  Indicates the maximum total transmit power to be used by the UE in the E-UTRA cell group (see TS 36.104 [33]). This field is used in (NG)EN-DC and NE-DC. |
| ***p-maxNR-FR1***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]). The field is used in (NG)EN-DC and NE-DC. |
| ***p-maxUE-FR1***  Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1). |
| ***p-maxNR-FR1-MCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]) the UE can use in NR MCG. This field is only used in NR-DC. |
| ***p-maxNR-FR2-SCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR SCG. |
| ***p-maxUE-FR2***  Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 2 (FR2). |
| ***p-maxNR-FR2-MCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR MCG. |
| ***pdcch-BlindDetectionSCG***  Indicates the maximum value of the reference number of cells for PDCCH blind detection allowed to be configured for the SCG. |
| ***ph-InfoMCG***  Power headroom information in MCG that is needed in the reception of PHR MAC CE in SCG. |
| ***ph-SupplementaryUplink***  Power headroom information for supplementary uplink. For UE in (NG)EN-DC, this field is absent. |
| ***ph-Type1or3***  Type of power headroom for a serving cell in MCG (PCell and activated SCells). *type1* refers to type 1 power headroom, *type3* refers to type 3 power headroom. (See TS 38.321 [3]). |
| ***ph-Uplink***  Power headroom information for uplink. |
| ***powerCoordination-FR1***  Indicates the maximum power that the UE can use in FR1. |
| ***powerCoordination-FR2***  Indicates the maximum power that the UE can use in frequency range 2 (FR2). This field is only used in NR-DC. |
| ***scgFailureInfo***  Contains SCG failure type and measurement results. In case the sender has no measurement results available, the sender may include one empty entry (i.e. without any optional fields present) in *measResultPerMOList*. This field is used in (NG)EN-DC and NR-DC. |
| ***scg-RB-Config***  Contains all of the fields in the IE RadioBearerConfig used in SN, used to allow the target SN to use delta configuration to the UE, e.g. during SN change. The field is signalled upon change of SN unless MN uses full configuration option. Otherwise, the field is absent. |
| ***selectedBandEntriesMNList***  A list of indices referring to the position of a band entry selected by the MN, in each band combination entry in *allowedBC-ListMRDC* IE. *BandEntryIndex* 0 identifies the first band in the *bandList* of the *BandCombination*, *BandEntryIndex* 1 identifies the second band in the *bandList* of the *BandCombination*, and so on. This *selectedBandEntriesMNList* includes the same number of entries, and listed in the same order as in *allowedBC-ListMRDC*. The SN uses this information to determine which bands out of the NR band combinations in *allowedBC-ListMRDC* it can configure in SCG in NR-DC. The SN can use this information to determine for which band pair(s) it should check *SimultaneousRxTxPerBandPair*. |
| ***servCellIndexRangeSCG***  Range of serving cell indices that SN is allowed to configure for SCG serving cells. |
| ***servCellInfoListMCG-EUTRA***  Indicates the carrier frequency and the transmission bandwidth of the serving cell(s) in the MCG in intra-band (NG)EN-DC. The field is needed when MN and SN operate serving cells in the same band for either contiguous or non-contiguous intra-band band combination or LTE NR inter-band band combinations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [34]) in (NG)EN-DC. |
| ***servCellInfoListMCG-NR***  Indicates the frequency band indicator, carrier center frequency, UE specific channel bandwidth and SCS of the serving cell(s) in the MCG in intra-band NE-DC. The field is needed when MN and SN operate serving cells in the same band for either contiguous or non-contiguous intra-band band combination or LTE NR inter-band band combinations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [34]) in NE-DC. |
| ***servFrequenciesMN-NR***  Indicates the frequency of all serving cells that include PCell and SCell(s) with SSB configured in MCG. This field is only used in NR-DC. *servFrequenciesMN-NR* indicates *absoluteFrequencySSB*. |
| ***sftdFrequencyList-NR***  Includes a list of SSB frequencies. Each entry identifies the SSB frequency of a PSCell, which corresponds to one *MeasResultCellSFTD-NR* entry in the *MeasResultCellListSFTD-NR*. |
| ***sftdFrequencyList-EUTRA***  Includes a list of E-UTRA frequencies. Each entry identifies the carrier frequency of a PSCell, which corresponds to one *MeasResultSFTD-EUTRA* entry in the *MeasResultCellListSFTD-EUTRA*. |
| ***sidelinkUEInformationEUTRA***  This field contains the E-UTRA *SidelinkUEInformation* message as specified in TS 36.331 [10]. |
| ***sidelinkUEInformationNR***  This field contains the NR *SidelinkUEInformationNR* message. |
| ***sourceConfigSCG***  Includes all of the current SCG configurations used by the target SN to build delta configuration to be sent to UE, e.g. during SN change. The field contains the *RRCReconfiguration* message, i.e. including *secondaryCellGroup* and *measConfig*. The field is signalled upon change of SN, unless MN uses full configuration option. Otherwise, the field is absent. |
| ***sourceConfigSCG-EUTRA***  Includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration.* In this version of the specification, this field is absent when master gNB uses full configuration option. This field is only used in NE-DC. |
| ***ueAssistanceInformationSourceSCG***  Includes for each UE assistance feature associated with the SCG, the information last reported by the UE in the NR *UEAssistanceInformation* message for the source SCG, if any. |
| ***ue-CapabilityInfo***  Contains the IE *UE-CapabilityRAT-ContainerList* supported by the UE (see NOTE 3). A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |

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| ***BandCombinationInfo* field descriptions** |
| ***allowedFeatureSetsList***  Defines a subset of the entries in a *FeatureSetCombination*. Each index identifies a position in the *FeatureSetCombination*, which corresponds to one *FeatureSetUplink*/*Downlink* for each band entry in the associated band combination. |
| ***bandCombinationIndex***  In case of NR-DC, this field indicates the position of a band combination in the *supportedBandCombinationList*. In case of NE-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationListNEDC-Only*. In case of (NG)EN-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationList-UplinkTxSwitch*. Band combination entries in *supportedBandCombinationList* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationListNEDC-Only* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationListNEDC-Only* increased by the number of entries in *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationList-UplinkTxSwitch* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList-UplinkTxSwitch* increased by the number of entries in *supportedBandCombinationList*. |

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| **Conditional Presence** | **Explanation** |
| *SN-AddMod* | The field is mandatory present upon SN addition and SN change. It is optionally present upon SN modification and inter-MN handover without SN change. Otherwise, the field is absent. |

NOTE 3: The following table indicates per MN RAT and SN RAT whether RAT capabilities are included or not in *ue-CapabilityInfo*.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **MN RAT** | **SN RAT** | **NR capabilities** | **E-UTRA capabilities** | **MR-DC capabilities** |
| E-UTRA | NR | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Not included | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise |
| NR | E-UTRA | Not included | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise |
| NR | NR | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Not included | Not included |

*End of Change*