**3GPP T****SG-RAN WG2 Meeting #116-bis-e R2-220xxxx**

**E-Meeting, Jan 17th – Jan 25th, 2022**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.306** | **CR** | **Draft** | **rev** | **-** | **Current version:** | **16.6.0** |  |
|  |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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|  |
| ***Title:***  | Draft 306 CR for NR NTN UE capabilities |
|  |  |
| ***Source to WG:*** | Intel Corporation |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_NTN\_solutions-Core |  | ***Date:*** | 2021-12-17 |
|  |  |  |  |  |
| ***Category:*** | B |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | Introduction of R17 NR NTN features. |
|  |  |
| ***Summary of change:*** | UE capabilities for NR NTN related features are defined. |
|  |  |
| ***Consequences if not approved:*** | No UE capabilities for NR NTN are defined. |
|  |  |
| ***Clauses affected:*** | 3.3, 4.2.2, 4.2.6, 4.2.9, 4.2.18 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS38.331 CR TBD |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*First change*

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BAP Backhaul Adaptation Protocol

BC Band Combination

BT Bluetooth

DAPS Dual Active Protocol Stack

DL Downlink

EHC Ethernet Header Compression

FS Feature Set

FSPC Feature Set Per Component-carrier

GSO Geo Synchronous Orbit

IAB-MT Integrated Access Backhaul Mobile Termination

MAC Medium Access Control

MCG Master Cell Group

MN Master Node

MR-DC Multi-RAT Dual Connectivity

NGSO Non-Geo Synchronous Orbit

NTN Non-Terrestrial Network

PDCP Packet Data Convergence Protocol

RLC Radio Link Control

RTT Round Trip Time

SCG Secondary Cell Group

SDAP Service Data Adaptation Protocol

SN Secondary Node

UL Uplink

WLAN Wireless Local Area Network

*Next change*

### 4.2.2 General parameters

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Definitions for parameters | Per | M | FDD-TDD DIFF | **FR1-FR2**DIFF |
| ***accessStratumRelease***Indicates the access stratum release the UE supports as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***delayBudgetReporting***Indicates whether the UE supports delay budget reporting as specified in TS 38.331 [9]. | UE | No | No | No |
| ***dl-DedicatedMessageSegmentation-r16***Indicates whether the UE supports reception of segmented DL RRC messages. | UE | No | No | No |
| ***drx-Preference-r16***Indicates whether the UE supports providing its preference of a cell group on DRX parameters for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***inactiveState***Indicates whether the UE supports RRC\_INACTIVE as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***inDeviceCoexInd-r16***Indicates whether the UE supports IDC (In-Device Coexistence) assistance information as specified in TS 38.331 [9]. | UE | No | No | No |
| ***maxBW-Preference-r16***Indicates whether the UE supports providing its preference of a cell group on the maximum aggregated bandwidth for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***maxCC-Preference-r16***Indicates whether the UE supports providing its preference of a cell group on the maximum number of secondary component carriers for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***maxMIMO-LayerPreference-r16***Indicates whether the UE supports providing its preference of a cell group on the maximum number of MIMO layers for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***mcgRLF-RecoveryViaSCG-r16***Indicates whether the UE supports recovery from MCG RLF via split SRB1 (if supported) and via SRB3 (if supported) as specified in TS 38.331[9]. | UE | No | No | No |
| ***minSchedulingOffsetPreference-r16***Indicates whether the UE supports providing its preference on the minimum scheduling offset for cross-slot scheduling of the cell group for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***mpsPriorityIndication-r16***Indicates whether the UE supports *mpsPriorityIndication* on RRC release with redirect as defined in TS 38.331 [9]. | UE | No | No | No |
| ***nonTerrestrialNetwork-r17*** Indicates whether the UE supports NR NTN access in both NGSO and GSO cases. If the UE indicates this capability the UE shall support timer extension in MAC/RLC/PDCP layers and RACH adaptation to handle long RTT, more than one TAC per PLMN broadcast in one cell, event-triggered SMTC assistance information reporting, 2 SMTCs in parallel, time based and Event A4 based conditional handover. | UE | No | No | No |
| ***onDemandSIB-Connected-r16***Indicates whether the UE supports the on-demand request procedure of SIB(s) or posSIB(s) while in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***overheatingInd***Indicates whether the UE supports overheating assistance information. | UE | No | No | No |
| ***partialFR2-FallbackRX-Req***Indicates whether the UE meets only a partial set of the UE minimum receiver requirements for the eligible FR2 fallback band combinations as defined in Clause 4.2 of TS 38.101-2 [3] and Clause 4.2 of TS 38.101-3 [4]. If not indicated, the UE shall meet all the UE minimum receiver requirements for all the FR2 fallback combinations in TS 38.101-2 [3] and TS 38.101-3 [4]. The UE shall support configuration of any of the FR2 fallback band combinations regardless of the presence or the absence of this field. | UE | No | No | No |
| ***redirectAtResumeByNAS-r16***Indicates whether the UE supports reception of *redirectedCarrierInfo* in an *RRCRelease* message in response to an *RRCResumeRequest* or *RRCResumeRequest1* which is triggered by the NAS layer, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***reducedCP-Latency***Indicates whether the UE supports reduced control plane latency as defined in TS 38.331 [9] | UE | No | No | No |
| ***referenceTimeProvision-r16***Indicates whether the UE supports provision of referenceTimeInfo in *DLInformationTransfer* message and in SIB9 and reference time information preference indication via assistance information, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***releasePreference-r16***Indicates whether the UE supports providing its preference assistance information to transition out of RRC\_CONNECTED for power saving, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***resumeWithStoredMCG-SCells-r16***Indicates whether the UE supports not deleting the stored MCG SCell configuration when initiating the resume procedure. | UE | No | No | No |
| ***resumeWithStoredSCG-r16***Indicates whether the UE supports not deleting the stored SCG configuration when initiating resume. The UE which indicates support for *resumeWithStoredSCG-r16* shall also indicate support for *resumeWithSCG-Config-r16*. | UE | No | No | No |
| ***resumeWithSCG-Config-r16***Indicates whether the UE supports (re-)configuration of an SCG during the resume procedure. | UE | No | No | No |
| ***splitSRB-WithOneUL-Path***Indicates whether the UE supports UL transmission via MCG path and DL reception via either MCG path or SCG path, as specified for the split SRB in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | No | No | No |
| ***splitDRB-withUL-Both-MCG-SCG***Indicates whether the UE supports UL transmission via both MCG path and SCG path for the split DRB as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | Yes | No | No |
| ***srb3***Indicates whether the UE supports direct SRB between the SN and the UE as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). This field is not applied to NE-DC. | UE | Yes | No | No |

*Next change*

### 4.2.6 MAC parameters

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| --- | --- | --- | --- | --- |
| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| ***autonomousTransmission-r16***Indicates whether the UE supports autonomous transmission of the MAC PDU generated for a deprioritized configured uplink grant as specified in TS 38.321 [8]. A UE supporting this feature shall also support *lch-priorityBasedPrioritization-r16*. | UE | No | No | No |
| ***directMCG-SCellActivation-r16***Indicates whether the UE supports direct NR MCG SCell activation, as specified in TS 38.321 [8], upon SCell addition, upon reconfiguration with sync of the MCG, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***directMCG-SCellActivationResume-r16***Indicates whether the UE supports direct NR MCG SCell activation, as specified in TS 38.321 [8], upon reception of an *RRCResume* message, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***directSCG-SCellActivation-r16***Indicates whether the UE supports direct NR SCG SCell activation, as specified in TS 38.321 [8], upon SCell addition and upon reconfiguration with sync of the SCG, both performed via an *RRCReconfiguration* message received via SRB3 or contained in an *RRC(Connection)Reconfiguration* message received via SRB1, as specified in TS 38.331 [9] and TS 36.331 [17].A UE indicating support of *directSCG-SCellActivation-r16* shall indicate support of EN-DC or support of NGEN-DC as specified in TS 36.331 [17] or support of NR-DC as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***directSCG-SCellActivationResume-r16***Indicates whether the UE supports direct NR SCG SCell activation, as specified in TS 38.321 [8]:- upon reception of an *RRCReconfiguration* included in an *RRCConnectionResume* message, as specified in TS 38.331 [9] and TS 36.331 [17], if the UE indicates support of EN-DC or NGEN-DC, and support of *resumeWithSCG-Config-r16* as specified in TS 36.331 [17],- upon reception of an *RRCReconfiguration* included in an *RRCResume* message, as specified in TS 38.331 [9], if the UE indicates support of NR-DC and of *resumeWithSCG-Config-r16* as specified in TS 38.331 [9].A UE indicating support of *directSCG-SCellActivationResume-r16* shall indicate support of EN-DC or NGEN-DC and support of *resumeWithSCG-Config-r16* as specified in TS 36.331 [17] or indicate support of NR-DC and of *resumeWithSCG-Config-r16* as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***drx-Adaptation-r16***Indicates whether the UE supports DRX adaptation comprised of the following functional components:- Configured *ps-Offset* for the detection of DCI format 2\_6 with CRC scrambling by *ps*-RNTI and reported *MinTimeGap* before the start of *drx-onDurationTimer* of Long DRX- Indication of UE whether or not to start *drx-onDurationTimer* for the next Long DRX cycle by detection of DCI format 2\_6- Configured UE wakeup or not when DCI format 2\_6 is not detected at all monitoring occasions outside Active Time- Configured periodic CSI report apart from L1-RSRP (*ps-TransmitOtherPeriodicCSI*) when impacted by DCI format 2\_6 that *drx-onDurationTimer* does not start for the next Long DRX cycle- Configured periodic L1-RSRP report (*ps-TransmitPeriodicL1-RSRP*) when impacted by DCI format 2\_6 that *drx-onDurationTimer* does not start for the next Long DRX cycleThe capability signalling includes the minimum time gap between the end of the slot of last DCI format 2\_6 monitoring occasion and the beginning of the slot where the UE would start the *drx-onDurationTimer* of Long DRX for each SCS. The value *sl1* indicates 1 slot. The value *sl2* indicates 2 slots, and so on. Support of this feature is reported for licensed and unlicensed bands, respectively. When this field is reported, either of *sharedSpectrumChAccess-r16* or *non-SharedSpectrumChAccess-r16* shall be reported, at least. | UE | No | No | Yes |
| ***enhancedSkipUplinkTxConfigured-r16***Indicates whether the UE supports skipping UL transmission for a configured uplink grant only if no data is available for transmission and no UCI is multiplexed on the corresponding PUSCH of the uplink grant as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***enhancedSkipUplinkTxDynamic-r16***Indicates whether the UE supports skipping UL transmission for an uplink grant addressed to a C-RNTI only if no data is available for transmission and no UCI is multiplexed on the corresponding PUSCH of the uplink grant as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***eventTriggerredTA-Reporting-NTN-r17***Indicates whether the UE supports event-triggered TA Reporting in connected mode. A UE supporting this feature shall also support *nonTerrestrialNetwork-r17*. | UE | No | No | No |
| ***harqFeedbackDisabled-NTN-r17***Indicates whether the UE supports disabled HARQ feedback for downlink transmission. A UE supporting this feature shall also support *nonTerrestrialNetwork-r17*. | UE | No | No | No |
| ***lch-PriorityBasedPrioritization-r16***Indicates whether the UE supports prioritization between overlapping grants and between scheduling request and overlapping grants based on LCH priority as specified in TS 38.321 [8].  | UE | No | No | No |
| ***lch-ToConfiguredGrantMapping-r16***Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of configured grant configurations (see *allowedCG-List-r16* in *LogicalChannelConfig* in TS 38.331 [9]) as specified in TS 38.321 [8].  | UE | No | No | No |
| ***lch-ToGrantPriorityRestriction-r16***Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of dynamic grant priority levels (see *allowedPHY-PriorityIndex-r16* in *LogicalChannelConfig* in TS 38.331 [9]) as specified in TS 38.321 [8].  | UE | No | No | No |
| ***lch-ToSCellRestriction***Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of serving cells (see *allowedServingCells* in *LogicalChannelConfig*). A UE supporting *pdcp-DuplicationMCG-OrSCG-DRB* or *pdcp-DuplicationSRB* (see *PDCP-Config*) shall also support *lch-ToSCellRestriction*. | UE | No | No | No |
| ***lcp-Restriction***Indicates whether UE supports the selection of logical channels for each UL grant based on RRC configured restriction using RRC parameters *allowedSCS-List*, *maxPUSCH-Duration*, and *configuredGrantType1Allowed* as specified in TS 38.321 [8]. | UE | No | No | No |
| ***logicalChannelSR-DelayTimer***Indicates whether the UE supports the *logicalChannelSR-DelayTimer* as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***longDRX-Cycle***Indicates whether UE supports long DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***multipleConfiguredGrants***Indicates whether UE supports more than one configured grant configurations (including both Type 1 and Type 2) in a cell group. For each cell, the UE supports at most one configured grant per BWP and the maximum number of configured grant configurations per cell group is 2. If absent, for each configured cell group, the UE only supports one configured grant configuration on one serving cell. | UE | No | Yes | No |
| ***multipleSR-Configurations***Indicates whether the UE supports 8 SR configurations per PUCCH cell group as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***recommendedBitRate***Indicates whether the UE supports the bit rate recommendation message from the gNB to the UE as specified in TS 38.321 [8]. | UE | No | No | No |
| ***recommendedBitRateMultiplier-r16***Indicates whether the UE supports the bit rate multiplier for recommended bit rate MAC CE as specified in TS 38.321 [8], clause 6.1.3.20. This field is only applicable if the UE supports recommendedBitRate. | UE | No | No | No |
| ***recommendedBitRateQuery***Indicates whether the UE supports the bit rate recommendation query message from the UE to the gNB as specified in TS 38.321 [8]. This field is only applicable if the UE supports *recommendedBitRate*. | UE | No | No | No |
| ***secondaryDRX-Group-r16***Indicates whether UE supports secondary DRX group as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***shortDRX-Cycle***Indicates whether UE supports short DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***singlePHR-P-r16***Indicates whether UE supports the P bit in single PHR MAC CE as specified in TS 38.321 [8]. | UE | No | No | No |
| ***skipUplinkTxDynamic***Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***spCell-BFR-CBRA-r16***Indicates whether the UE supports sending BFR MAC CE for SpCell BFR as specified in TS 38.321 [8]. | UE | No | No | No |
| ***srs-ResourceId-Ext-r16***Indicates whether the UE supports the extended 6-bit (Positioning) SRS resource ID in SP Positioning SRS Activation/Deactivation MAC CE, as specified in TS 38.321 [8]. | UE | No | No | No |
| ***ta-ReportDuringRACH-NTN-r17***Indicates whether the UE supports TA reporting during RACH in RRC\_IDLE/RRC\_INACTIVE. A UE supporting this feature shall also support *nonTerrestrialNetwork-r17*. | UE | No | No | No |
| ***tdd-MPE-P-MPR-Reporting-r16***Indicates whether the UE supports P-MPR reporting for Maximum Permissible Exposure, as specified in TS38.321 [8]. | UE | No | TDD only | FR2 only |
| *ul-LBT-FailureDetectionRecovery-r16*Indicates whether the UE supports consistent uplink LBT detection and recovery, as specified in TS 38.321 [8], for cells operating with shared spectrum channel access.This field applies to all serving cells with which the UE is configured with shared spectrum channel access. | UE | No | No | No |
| ***uplinkHarqStateB-NTN-r17***Indicates whether the UE supports HARQ state B for uplink transmission. A UE supporting this feature shall also support *nonTerrestrialNetwork-r17*. | UE | No | No | No |

*Next change*

### 4.2.9 *MeasAndMobParameters*

| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| --- | --- | --- | --- | --- |
| ***cli-RSSI-Meas-r16***Indicates whether the UE can perform CLI RSSI measurements as specified in TS 38.215 [13] and supports periodical reporting and measurement event triggering as specified in TS 38.331 [9]. If the UE supports this feature, the UE needs to report *maxNumberCLI-RSSI-r16*. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measurement resources to be measured. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-Meas-r16***Indicates whether the UE can perform SRS RSRP measurements as specified in TS 38.215 [13] and supports periodical reporting and measurement event triggering based on SRS-RSRP as specified in TS 38.331 [9]. If the UE supports this feature, the UE needs to report *maxNumberCLI-SRS-RSRP-r16* and *maxNumberPerSlotCLI-SRS-RSRP-r16*. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measurement resources to be measured. | UE | No | TDD only | Yes |
| ***condHandoverFDD-TDD-r16***Indicates whether the UE supports conditional handover between FDD and TDD cells. The parameter can only be set if *condHandover-r16* is set for at least one FDD band and one TDD band. The UE that indicates support of this feature shall also indicate support of *handoverFDD-TDD*. | UE | No | No | No |
| ***condHandoverFR1-FR2-r16***Indicates whether the UE supports conditional handover HO between FR1 and FR2. The parameter can only be set if *condHandover-r16* is set for at least one FR1 band and one FR2 band. The UE that indicates support of this feature shall also indicate support of *handoverFR1-FR2*. | UE | No | No | No |
| ***csi-RS-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of CSI-RS as specified in TS 38.213 [11] and TS 38.133 [5]. This parameter needs FR1 and FR2 differentiation. If the UE supports this feature, the UE needs to report *maxNumberResource-CSI-RS-RLM*. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *csi-RS-RLM-r16* applies. | UE | Yes | No | Yes |
| ***csi-RSRP-AndRSRQ-MeasWithSSB***Indicates whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured with an associated SS/PBCH. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *csi-RS-RLM-r16* applies. | UE | No | No | Yes |
| ***csi-RSRP-AndRSRQ-MeasWithoutSSB***Indicates whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that transmits SS/PBCH block and without an associated SS/PBCH block. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *csi-RSRP-AndRSRQ-MeasWithoutSSB-r16* applies. | UE | No | No | Yes |
| ***csi-SINR-Meas***Indicates whether the UE can perform CSI-SINR measurements based on configured CSI-RS resources as specified in TS 38.215 [13]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponding to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *csi-SINR-Meas-r16* applies. | UE | No | No | Yes |
| ***eutra-AutonomousGaps-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when MR-DC is not configured.  | UE | No | No | No |
| ***eutra-AutonomousGaps-NEDC-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when NE-DC is configured. | UE | No | No | No |
| ***eutra-AutonomousGaps-NRDC-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when NR-DC is configured. | UE | No | No | No |
| ***eutra-CGI-Reporting***Defines whether the UE supports acquisition of relevant CGI-information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the (NG)EN-DC and NE-DC are not configured or, when consistent DRX is configured in NR-DC. The consistent DRX configuration implies that MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***eutra-CGI-Reporting-NEDC***Defines whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when theNE-DCis configured. | UE | No | No | No |
| ***eutra-CGI-Reporting-NRDC***Defines whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when theNR-DC is configured wherein MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if the DRX cycles are the same. | UE | No | No | No |
| ***eventA-MeasAndReport***Indicates whether the UE supports NR measurements and events A triggered reporting as specified in TS 38.331 [9]. This field only applies to SN configured measurement when (NG)EN-DC is configured. For NR MCG, this feature is mandatory supported. | UE | Yes | Yes | No |
| ***eventB-MeasAndReport***Indicates whether the UE supports EUTRA measurement and event B triggered reporting as specified in TS 38.331 [9]. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***handoverLTE-5GC***Indicates whether the UE supports HO to EUTRA connected to 5GC. It is mandated if the UE supports EUTRA connected to 5GC. | UE | CY | Yes | Yes |
| ***handoverFDD-TDD***Indicates whether the UE supports HO between FDD and TDD. It is mandated if the UE supports both FDD and TDD. This field only applies to NR SA/NR-DC/NE-DC (e.g. PCell handover). For PSCell change when (NG)EN-DC/NR-DC is configured, this feature is mandatory supported. UEs supporting this shall indicate support of *handoverInterF* for both FDD and TDD. | UE | Yes | No | No |
| ***handoverFR1-FR2***Indicates whether the UE supports HO between FR1 and FR2. Support is mandatory for the UE supporting both FR1 and FR2. This field only applies to NR SA/NR-DC/NE-DC (e.g. PCell handover). For PSCell change when (NG)EN-DC/NR-DC is configured, this feature is mandatory supported. UEs supporting this shall indicate support of *handoverInterF* for both FR1 and FR2. | UE | Yes | No | No |
| ***handoverInterF***Indicates whether the UE supports inter-frequency HO. It indicates the support for inter-frequency HO from the corresponding duplex mode and from frequency range indicated to be supported as described in Annex B. This field only applies to NR SA/NR-DC/NE-DC (e.g. PCell handover). For PSCell change when (NG)EN-DC/NR-DC is configured, this feature is mandatory supported. | UE | Yes | Yes | Yes |
| ***handoverLTE-EPC***Indicates whether the UE supports HO to EUTRA connected to EPC. It is mandated if the UE supports EUTRA connected to EPC. | UE | CY | Yes | Yes |
| ***idleInactiveNR-MeasReport-r16***Indicates whether the UE supports configuration of NR SSB measurements in RRC\_IDLE/RRC\_INACTIVE and reporting of the corresponding results upon network request as specified in TS 38.331 [9]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***idleInactiveNR-MeasBeamReport-r16***Indicates whether the UE supports beam level measurements in RRC\_IDLE/RRC\_INACTIVE and reporting of the corresponding beam measurement results upon network request as specified in TS 38.331 [9]. A UE supports this feature shall also support *idleInactiveNR-MeasReport-r16*. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***idleInactiveEUTRA-MeasReport-r16***Indicates whether the UE supports configuration of E-UTRA measurements in RRC\_IDLE/RRC\_INACTIVE and reporting of the corresponding results upon network request as specified in TS 38.331 [9]. | UE | No | No | No |
| ***idleInactive-ValidityArea-r16***Indicates whether the UE supports configuration of a validity area for NR measurements in RRC\_IDLE/RRC\_INACTIVE as specified in TS 38.331 [9]. | UE | No | No | No |
| ***independentGapConfig***This field indicates whether the UE supports two independent measurement gap configurations for FR1 and FR2 specified in clause 9.1.2 of TS 38.133 [5]. The field also indicates whether the UE supports the FR2 inter-RAT measurement without gaps when (NG)EN-DC is not configured. | UE | No | No | No |
| ***intraAndInterF-MeasAndReport***Indicates whether the UE supports NR intra-frequency and inter-frequency measurements and at least periodical reporting. This field only applies to NE-DC and SN configured measurement when (NG)EN-DC is configured. For NR MCG, this feature is mandatory supported. | UE | Yes | Yes | No |
| ***interFrequencyMeas-NoGap-r16***Indicates whether the UE can perform inter-frequency SSB based measurements without measurement gaps if the SSB is completely contained in the active BWP of the UE as specified in TS 38.133 [5]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of cells to be measured. | UE | No | No | Yes |
| ***locationBasedCondHandover-NTN-r17***Indicates whether the UE supports location based conditional handover. A UE supporting this feature shall also indicate support of *condHandover-r16* for at least one band. A UE supporting this feature shall also support *nonTerrestrialNetwork-r17*. | UE | No | No | No |
| ***periodicEUTRA-MeasAndReport***Indicates whether the UE supports periodic EUTRA measurement and reporting. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***maxNumberCLI-RSSI-r16***Defines the maximum number of CLI-RSSI measurement resources for CLI RSSI measurement. If the UE supports *cli-RSSI-Meas-r16*, the UE shall report this capability. | UE | CY | TDD only | No |
| ***maxNumberCLI-SRS-RSRP-r16***Defines the maximum number of SRS-RSRP measurement resources for SRS-RSRP measurement. If the UE supports *cli-SRS-RSRP-Meas-r16*, the UE shall report this capability.NOTE 1: A slot is based on minimum SCS among active BWPs across all CCs configured for SRS-RSRP measurement.NOTE 2: A SRS resource occasion that overlaps with the slot is counted as one measurement resource in the slot. | UE | CY | TDD only | No |
| ***increasedNumberofCSIRSPerMO-r16***Indicates support of up to 192 CSI-RS resource for L3 mobility configuration per measurement object configured with *associatedSSB*. | UE | No | No | Yes |
| ***maxNumberCSI-RS-RRM-RS-SINR***Defines the maximum number of CSI-RS resources for RRM and RS-SINR measurement across all measurement frequencies per slot. If UE supports any of *csi-RSRP-AndRSRQ-MeasWithSSB*, *csi-RSRP-AndRSRQ-MeasWithoutSSB*, and *csi-SINR-Meas*, UE shall report this capability. | UE | CY | No | No |
| ***maxNumberPerSlotCLI-SRS-RSRP-r16***Defines the maximum number of SRS-RSRP measurement resources per slot for SRS-RSRP measurement. If the UE supports *cli-SRS-RSRP-Meas-r16*, the UE shall report this capability. | UE | CY | TDD only | No |
| ***maxNumberResource-CSI-RS-RLM***Defines the maximum number of CSI-RS resources within a slot per spCell for CSI-RS based RLM. If UE supports any of *csi-RS-RLM* and *ssb-AndCSI-RS-RLM*, UE shall report this capability. | UE | CY | No | Yes |
| ***maxNumberSMTC-NTN-r17***Defines the maximum number of simultaneous SMTC supported by UE. A UE indicating this capability shall also support *nonTerrestrialNetwork-r17*. | UE | No | No | No |
| ***nr-AutonomousGaps-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when MR-DC is not configured. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***nr-AutonomousGaps-ENDC-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when (NG)EN-DC is configured. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***nr-AutonomousGaps-NEDC-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when NE-DC is configured. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***nr-AutonomousGaps-NRDC-r16***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when NR-DC is configured. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***nr-CGI-Reporting***Defines whether the UE supports acquisition of relevant CGI-information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when (NG)EN-DC and NE-DC are not configured or, when consistent DRX is configured in NR-DC. The consistent DRX configuration implies that MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN. | UE | Yes | No | No |
| ***nr-CGI-Reporting-ENDC***Defines whether the UE supports acquisition of relevant CGI-information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the (NG)EN-DC is configured. | UE | Yes | No | No |
| ***reportAddNeighMeasForPeriodic-r16***Defines whether the UE supports periodic reporting of best neighbour cells per serving frequency, as defined in TS 38.331 [9]. | UE | Yes | No | No |
| ***nr-CGI-Reporting-NEDC***Defines whether the UE supports acquisition of relevant information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the NE-DC is configured. | UE | Yes | No | No |
| ***nr-CGI-Reporting-NPN-r16***Defines whether the UE supports acquisition of NPN-relevant CGI-information from a neighbouring intra-frequency or inter-frequency NR NPN cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9]. If UE supports NPN, UE shall report this capability. | UE | CY | No | No |
| ***nr-CGI-Reporting-NRDC***Defines whether the UE supports acquisition of relevant information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the NR-DC is configured wherein MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if the DRX cycles are the same. | UE | Yes | No | No |
| ***nr-NeedForGap-Reporting-r16***Indicates whether the UE supports reporting the measurement gap requirement information for NR target in the UE response to a network configuration RRC message. | UE | No | No | No |
| ***pcellT312-r16***Indicates whether the UE supports T312 based fast failure recovery for PCell. | UE | No | No | No |
| ***simultaneousRxDataSSB-DiffNumerology***Indicates whether the UE supports concurrent intra-frequency measurement on serving cell or neighbouring cell and PDCCH or PDSCH reception from the serving cell with a different numerology as defined in clause 8 and 9 of TS 38.133 [5]. | UE | No | No | Yes |
| ***simultaneousRxDataSSB-DiffNumerology-Inter-r16***Indicates whether the UE supports concurrent SSB based inter-frequency measurement without measurement gap on neighbouring cell and PDCCH or PDSCH reception from the serving cell with a different numerology as defined in clause 8 and 9 of TS 38.133 [5]. UE indicates support of this indicates support of *interFrequencyMeas-NoGap-r16*. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range where the SSB and PDCCH/PDSCH are received. | UE | No | No | Yes |
| ***sftd-MeasPSCell***Indicates whether the UE supports SFTD measurements between the PCell and a configured PSCell. If this capability is included in UE-MRDC-Capability, it indicates that the UE supports SFTD measurement between PCell and PSCell in (NG)EN-DC. If this capability is included in UE-NR-Capability, it indicates that the UE supports SFTD measurement between PCell and PSCell in NR-DC. | UE | No | Yes | No |
| ***sftd-MeasPSCell-NEDC***Indicates whether the UE supports SFTD measurement between the NR PCell and a configured E-UTRA PSCell in NE-DC. | UE | No | Yes | No |
| ***sftd-MeasNR-Cell***Indicates whether the SFTD measurement with and without measurement gaps between the EUTRA PCell and the NR cells is supported by the UE which is capable of EN-DC/NGEN-DC when EN-DC/NGEN-DC is not configured. The SFTD measurement without gaps can be used when the UE supports at least one EN-DC band combination consisting of the set of the current E-UTRA serving frequencies and the NR frequency where SFTD measurement is configured. In UE-NR-Capability, this field is not used, and UE does not include the field. | UE | No | Yes | No |
| ***sftd-MeasNR-Neigh***Indicates whether the inter-frequency SFTD measurement with and without measurement gaps between the NR PCell and inter-frequency NR neighbour cells is supported by the UE when MR-DC is not configured. The SFTD measurement without gaps can be used when the UE supports at least one DC or CA band combination consisting of the set of the current NR serving frequencies and the NR frequency where SFTD measurement is configured.  | UE | No | Yes | No |
| ***sftd-MeasNR-Neigh-DRX***Indicates whether the inter-frequency SFTD measurement using DRX off period between the NR PCell and the inter-frequency NR neighbour cells is supported by the UE when MR-DC is not configured. | UE | No | Yes | No |
| ***ssb-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of SS/PBCH block as specified in TS 38.213 [11] and TS 38.133 [5]. This field shall be set to *supported*. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *ssb-RLM-DynamicChAccess-r16* or *ssb-RLM-Semi-StaticChAccess-r16* applies. | UE | Yes | No | No |
| ***ssb-AndCSI-RS-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of SS/PBCH block and CSI-RS as specified in TS 38.213 [11] and TS 38.133 [5]. If the UE supports this feature, the UE needs to report *maxNumberResource-CSI-RS-RLM*. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *ssb-AndCSI-RS-RLM-r16* applies. | UE | No | No | No |
| ***ss-SINR-Meas***Indicates whether the UE can perform SS-SINR measurement as specified in TS 38.215 [13]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. This applies only to non-shared spectrum channel access. For shared spectrum channel access, *ss-SINR-Meas-r16* applies. | UE | No | No | Yes |
| ***supportedGapPattern***Indicates measurement gap pattern(s) optionally supported by the UE for NR SA, for NR-DC, for NE-DC and for independent measurement gap configuration on FR2 in (NG)EN-DC. The leading / leftmost bit (bit 0) corresponds to the gap pattern 2, the next bit corresponds to the gap pattern 3, as specified in TS 38.133 [5] and so on. The UE shall set the bits corresponding to the measurement gap pattern 13, 14, 17, 18 and 19 to 1 if the UE is an NR standalone capable UE that supports a band in FR2 or if the UE is an (NG)EN-DC capable UE that supports *independentGapConfig* and supports a band in FR2. | UE | CY | No | No |
| ***supportedGapPattern-r16***Indicates measurement gap pattern(s) optionally supported by the UE for NR SA, for NR-DC for PRS measurement and NR/E-UTRA RRM measurement. The leading / leftmost bit (bit 0) corresponds to the gap pattern 24, the next bit corresponds to the gap pattern 25, as specified in TS 38.133 [5]. The applicability of the gap patterns 24 and 25 is defined in clause 9.1.2 of TS 38.133 [5]. A UE that indicates support of this capability shall indicate support of *NR-DL-PRS-ProcessingCapability-r16* defined in TS 37.355 [22]. | UE | No | No | No |
| ***supportedGapPattern-NRonly-r16***Indicates measurement gap pattern(s) optionally supported by the UE for NR SA and NR-DC when the frequencies to be measured within this measurement gap are all NR frequencies. The leading / leftmost bit (bit 0) corresponds to the gap pattern 2, the next bit corresponds to the gap pattern 3 and so on. The UE shall set the bits corresponding to the measurement gap pattern 2, 3 and 11 to 1. | UE | FD | No | No |
| ***supportedGapPattern-NRonly-NEDC-r16***Indicates whether the UE supports gap patterns 2, 3 and 11 in NE-DC when the frequencies to be measured within this measurement gap are all NR frequencies. | UE | No | No | No |

*Next change*

### 4.2.18 UE-based performance measurement parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| --- | --- | --- | --- | --- |
| ***barometerMeasReport-r16***Indicates whether UE supports uncompensated barometeric pressure measurement reporting upon request from the network. | UE | No | No | No |
| ***immMeasBT-r16***Indicates whether the UE supports Bluetooth measurements in RRC\_CONNECTED state. | UE | No | No | No |
| ***immMeasWLAN-r16***Indicates whether the UE supports WLAN measurements in RRC\_CONNECTED state. | UE | No | No | No |
| ***loggedMeasBT-r16***Indicates whether the UE supports Bluetooth measurements in RRC\_IDLE and RRC\_INACTIVE state. | UE | No | No | No |
| ***loggedMeasurements-r16***Indicates whether the UE supports logged measurements in RRC\_IDLE and RRC\_INACTIVE. A UE that supports logged measurements shall support both periodical logging and event-triggered logging. The memory size of MDT logged measurements is 64KB. | UE | No | No | No |
| ***loggedMeasWLAN-r16***Indicates whether the UE supports WLAN measurements in RRC\_IDLE and RRC\_INACTIVE state. | UE | No | No | No |
| ***orientationMeasReport-r16***Indicates whether the UE supports orientation information reporting upon request from the network. | UE | No | No | No |
| ***speedMeasReport-r16***Indicates whether the UE supports speed information reporting upon request from the network. | UE | No | No | No |
| ***gnss-Location-r16***Indicates whether the UE is equipped with a GNSS or A-GNSS receiver that may be used to provide detailed location information along with SON, MDT, and NTN related measurements in RRC\_CONNECTED, RRC\_IDLE and RRC\_INACTIVE. A UE shall set this field to *supported* if it indicates the support of *nonTerrestrialNetwork-r17*. | UE | CY | No | No |
| ***ulPDCP-Delay-r16***Indicates whether the UE supports UL PDCP Packet Average Delay measurement (as specified in TS 38.314 [26]) and reporting in RRC\_CONNECTED state. | UE | No | No | No |

*End of change*

# Annex

According to the following agreements made in RAN2#116-e, a draft subsection of RAN2 determined UE capabilities for TR 38.822 is included.

* Include an annex containing the RAN2 determined UE capabilities in the feature list format in the running UE capability CRs (similar to annex containing RAN2 agreements) for easy compilation into the TR38.822 in the later stage.
* For capabilities developed in R2, WIs will provide input to the mega CR.

5.2.x NR\_NTN\_solutions-Core

**Table 5.2.x-1: Layer-2 and Layer-3 feature list for NR\_NTN\_solutions-Core**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Features** | **Index** | **Feature group** | **Components** | **Prerequisite feature groups** | **Field name in TS 37.355 [9]** | **Parent IE in TS 37.355 [9]** | **Need of FDD/TDD differentiation** | **Need of FR1/FR2 differentiation** | **Note** | **Mandatory/Optional** |
| X. NR\_NTN\_solutions-Core | x-1 | Support of non Terrestrial Network | Indicates whether the UE supports NR NTN access in both GSO and NGSO cases |  |  |  | No | No |  | Optional with capability signalling |
| x-2 | TA Reporting during RACH | Indicates whether the UE supports TA reporting during RACH | x-1 |  |  | No | No |  | Optional with capability signalling |
| x-3 | Event-triggerred TA reporting in connected mode | Indicates whether the UE supports event-triggered TA Reporting in connected mode | x-1 |  |  | No | No |  | Optional with capability signalling |
| x-4 | Disabling HARQ feedback for downlink transmission  | Indicates whether the UE supports disabled HARQ feedback for downlink transmission | x-1 |  |  | No | No |  | Optional with capability signalling |
| x-5 | HARQ state B for uplink transmission  | Indicates whether the UE supports HARQ state B for uplink transmission | x-1 |  |  | No | No |  | Optional with capability signalling |
| x-6 | Simultaneous SMTC number | Indicates the max simultaneous SMTC supported by UE | x-1 |  |  | No | No |  | Optional with capability signalling |
| x-7 | Location based CHO | Indicates whether the UE supports location based CHO | 1. x-1
2. *condHandover-r16* is set for at least one band.
 |  |  | No | No |  | Optional with capability signalling |
| x-8 | Cell stop-time based neighbour cell measurements | It’s optional for UE to start neighbour cell measurements before the broadcast cell stop-time | x-1 |  |  | No | No |  | Optional without capability signalling |
| x-9 | Location based cell reselection criteria | It’s optional for UE to perform location based cell reselection | x-1 |  |  | No | No |  | Optional without capability signalling |
| x-10 | UE based SMTC adjustment in idle/inactive | It’s optional for UE to perform SMTC adjustment in idle/inactive | x-1 |  |  | No | No |  | Optional without capability signalling |