**3GPP TSG-RAN WG2 Meeting #112 Electronic *R2-2011034***

**Elbonia, 02 – 13 November 2020**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  |  | **CR** |  | **rev** | **1** | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | UE Capabilities Description | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia (Rapporteur), Ericsson, Nokia Shanghai Bell, Qualcomm Incorporated, Sanechips, ZTE | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-11 |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | | 15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The description of UE capabilites lacks the high level concept of hierarchical structure and does not introduce the notions of feature sets and feature set combinations. | | | | | | | | |
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| ***Summary of change:*** | | The following information is added to subclause 14.   1. High level description of the hierarchical structure 2. Concepts of Feature Set, Feature Set per Band and Feature Set Combination as well as Feature Set per Component Carrier.   **Impact analysis**  Impacted functionality: UE Capabilities.  Inter-operability: none as the correponding requirements are captured in 3GPP TS 38.331 and 38.306 | | | | | | | | |
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| ***Consequences if not approved:*** | | There is no overview of the UE capability structure and no basis for capturing agreements in later releases modifying or relying on those. | | | | | | | | |
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| ***Clauses affected:*** | | 3.1, 14 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | |  | | |
| ***affected:*** | |  | **x** | Test specifications | | | |  | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | |  | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

## 3.1 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], in TS 36.300 [2] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] and TS 36.300 [2].

5GC 5G Core Network

5QI 5G QoS Identifier

A-CSI Aperiodic CSI

AKA Authentication and Key Agreement

AMBR Aggregate Maximum Bit Rate

AMC Adaptive Modulation and Coding

AMF Access and Mobility Management Function

ARP Allocation and Retention Priority

BA Bandwidth Adaptation

BCH Broadcast Channel

BPSK Binary Phase Shift Keying

C-RNTI Cell RNTI

CBRA Contention Based Random Access

CCE Control Channel Element

CD-SSB Cell Defining SSB

CFRA Contention Free Random Access

CMAS Commercial Mobile Alert Service

CORESET Control Resource Set

DFT Discrete Fourier Transform

DCI Downlink Control Information

DL-SCH Downlink Shared Channel

DMRS Demodulation Reference Signal

DRX Discontinuous Reception

ETWS Earthquake and Tsunami Warning System

FS Feature Set

GFBR Guaranteed Flow Bit Rate

I-RNTI Inactive RNTI

INT-RNTI Interruption RNTI

KPAS Korean Public Alarm System

LDPC Low Density Parity Check

MDBV Maximum Data Burst Volume

MIB Master Information Block

MICO Mobile Initiated Connection Only

MFBR Maximum Flow Bit Rate

MMTEL Multimedia telephony

MNO Mobile Network Operator

MU-MIMO Multi User MIMO

NCGI NR Cell Global Identifier

NCR Neighbour Cell Relation

NCRT Neighbour Cell Relation Table

NGAP NG Application Protocol

NR NR Radio Access

P-RNTI Paging RNTI

PCH Paging Channel

PCI Physical Cell Identifier

PDCCH Physical Downlink Control Channel

PDSCH Physical Downlink Shared Channel

PO Paging Occasion

PRACH Physical Random Access Channel

PRB Physical Resource Block

PRG Precoding Resource block Group

PSS Primary Synchronisation Signal

PUCCH Physical Uplink Control Channel

PUSCH Physical Uplink Shared Channel

PWS Public Warning System

QAM Quadrature Amplitude Modulation

QFI QoS Flow ID

QPSK Quadrature Phase Shift Keying

RA-RNTI Random Access RNTI

RACH Random Access Channel

RANAC RAN-based Notification Area Code

REG Resource Element Group

RMSI Remaining Minimum SI

RNA RAN-based Notification Area

RNAU RAN-based Notification Area Update

RNTI Radio Network Temporary Identifier

RQA Reflective QoS Attribute

RQoS Reflective Quality of Service

RS Reference Signal

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

SD Slice Differentiator

SDAP Service Data Adaptation Protocol

SFI-RNTI Slot Format Indication RNTI

SIB System Information Block

SI-RNTI System Information RNTI

SLA Service Level Agreement

SMC Security Mode Command

SMF Session Management Function

S-NSSAI Single Network Slice Selection Assistance Information

SPS Semi-Persistent Scheduling

SR Scheduling Request

SRS Sounding Reference Signal

SS Synchronization Signal

SSB SS/PBCH block

SSS Secondary Synchronisation Signal

SST Slice/Service Type

SU-MIMO Single User MIMO

SUL Supplementary Uplink

TA Timing Advance

TPC Transmit Power Control

UCI Uplink Control Information

UL-SCH Uplink Shared Channel

UPF User Plane Function

URLLC Ultra-Reliable and Low Latency Communications

Xn-C Xn-Control plane

Xn-U Xn-User plane

XnAP Xn Application Protocol

*Next Modified Subclause*

# 14 UE Capabilities

The UE capabilities in NR rely on a hierarchical structure where each capability parameter is defined per UE, per duplex mode (FDD/TDD), per frequency range (FR1/FR2), per band, per band combinations, … as the UE may support different functionalities depending on those (see TS 38.306 [11]).

NOTE: Some capability parameters are always defined per UE (e.g. SDAP, PDCP and RLC parameters) while some other not always (e.g. MAC and Physical Layer Parameters).

The UE capabilities in NR do not rely on UE categories: UE categories associated to fixed peak data rates are only defined for marketing purposes and not signalled to the network. Instead, the peak data rate for a given set of aggregated carriers in a band or band combination is the sum of the peak data rates of each individual carrier in that band or band combination, where the peak data rate of each individual carrier is computed according to the capabilities supported for that carrier in the corresponding band or band combination.

For each block of contiguous serving cells in a band, the set of features supported thereon is defined in a Feature Set (FS). The UE may indicate several Feature Sets for a band (also known as feature sets per band) to advertise different alternative features for the associated block of contiguous serving cells in that band. The two-dimensional matrix of feature sets for all the bands of a band combination (i.e. all the feature sets per band) is referred to as a feature set combination. In a feature set combination, the number of feature sets per band is equal to the number of band entries in the corresponding band combination, and all feature sets per band have the same number of feature sets. Each band combination is linked to one feature set combination. This is depicted on Figure 14.1 below:

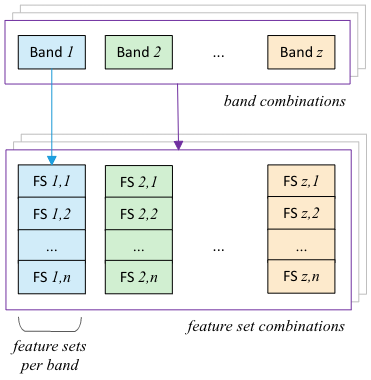
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Figure 14.1: Feature Set Combinations

In addition, for some features in intra-band contiguous CA, the UE reports its capabilities individually per carrier. Those capability parameters are sent in feature set per component carrier and they are signalled in the corresponding FSs (per Band) i.e. for the corresponding block of contiguous serving cells in a band. The capability applied to each individual carrier in a block is agnostic to the order in which they are signalled in the corresponding FS.

NOTE: For intra-band non-contiguous CA, there are as many feature sets per band signalled as the number of (groups of contiguous) carriers that the UE is able to aggregate non-contiguously in the corresponding band.

To limit signalling overhead, the gNB can request the UE to provide NR capabilities for a restricted set of bands. When responding, the UE can skip a subset of the requested band combinations when the corresponding UE capabilities are the same.

*End of Changes*