**3GPP TSG-RAN WG2 Meeting #109bis-e R2-200xxx Online, April 20th– 30th 2020**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v11.4* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** |  | **rev** |  | **Current version:** | **15.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network | **X** |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | 38.331 CR on Integrated Access and Backhaul for NR | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IAB Core | | | | |  | ***Date:*** | | | 2020-04-02 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Add the support for IAB. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | * Added clarification that IAB-MTs are not under UAC. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Rel-16 will not support IAB. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 1. Scope  2. References  3. Defintions, symbols and abbreviations  4.4 Functions  5.2.2.4.2 Actions upon recepton of the SIB1  5.3.3. RRC Connection establishment  5.3.5. RRC Connection Reconfiguration  5.3.8. RRC Connection Release  5.3.10. Radio link failure related actions  5.3.11. UE actions upon going to RRC\_IDLE  5.3.14 Unified Access Control  6.2.2. Message defintions (RRCReconfiguration, RRCSetupComplete)  6.3.2. Radio resource control information elements  6.4. RRC multiplicity and type constraint values | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

|  |  |
| --- | --- |
| ***This CR's revision history:*** |  |

FIRST CHANGE

# 1 Scope

The present document specifies the Radio Resource Control protocol for the radio interface between UE and NG-RAN.

The scope of the present document also includes:

- the radio related information transported in a transparent container between source gNB and target gNB upon inter gNB handover;

- the radio related information transported in a transparent container between a source or target gNB and another system upon inter RAT handover.

- the radio related information transported in a transparent container between a source eNB and target gNB during E-UTRA-NR Dual Connectivity.

The RRC protocol is also used to configure the radio interface between an IAB node and its parent node [2].

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.300: "NR; Overall description; Stage 2".

[3] 3GPP TS 38.321: "NR; Medium Access Control (MAC); Protocol specification".

[4] 3GPP TS 38.322: "NR; Radio Link Control (RLC) protocol specification".

[5] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) protocol specification".

[6] ITU-T Recommendation X.680 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation" (Same as the ISO/IEC International Standard 8824-1).

[7] ITU-T Recommendation X.681 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Information object specification" (Same as the ISO/IEC International Standard 8824-2).

[8] ITU-T Recommendation X.691 (08/2015) "Information technology – ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)" (Same as the ISO/IEC International Standard 8825-2).

[9] 3GPP TS 38.215: "NR; Physical layer measurements".

[10] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC); Protocol Specification".

[11] 3GPP TS 33.501: "Security Architecture and Procedures for 5G System".

[12] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".

[13] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[14] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[15] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[16] 3GPP TS 38.211: "NR; Physical channels and modulation".

[17] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[18] ITU-T Recommendation X.683 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Parameterization of ASN.1 specifications" (Same as the ISO/IEC International Standard 8824-4).

[19] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[20] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state".

[21] 3GPP TS 23.003: "Numbering, addressing and identification".

[22] 3GPP TS 36.101: "E-UTRA; User Equipment (UE) radio transmission and reception".

[23] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[24] 3GPP TS 37.324: "Service Data Adaptation Protocol (SDAP) specification".

[25] 3GPP TS 22.261: "Service requirements for the 5G System".

[26] 3GPP TS 38.306: "User Equipment (UE) radio access capabilities".

[27] 3GPP TS 36.304: "E-UTRA; User Equipment (UE) procedures in idle mode".

[28] ATIS 0700041: "WEA 3.0: Device-Based Geo-Fencing".

[29] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[30] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

[31] 3GPP TS 36.211: "E-UTRA; Physical channels and modulation".

[32] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[33] 3GPP TS 36.104:"E-UTRA; Base Station (BS) radio transmission and reception".

[34] 3GPP TS 38.101-3 "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[35] 3GPP TS 38.423: "NG-RAN, Xn application protocol (XnAP)".

[36] 3GPP TS 38.473: "NG-RAN; F1 application protocol (F1AP)".

[37] 3GPP TS 36.423: "E-UTRA; X2 application protocol (X2AP)".

[38] 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".

[39] 3GPP TS 38.101-2 "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".

[40] 3GPP TS 36.133:"E-UTRA; Requirements for support of radio resource management".

[41] 3GPP TS 37.340: "E-UTRA and NR; Multi-connectivity; Stage 2".

[X] 3GPP TS 38.340: “Backhaul Adaptation Protocol (BAP) specification”.

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**BH RLC channel:** The BH RLC channel consisting of an RLC and logical channel of an IAB node.

**CEIL:** Mathematical function used to 'round up' i.e. to the nearest integer having a higher or equal value.

**Dedicated signalling:** Signalling sent on DCCH logical channel between the network and a single UE.

**Field:** The individual contents of an information element are referred to as fields.

**FLOOR:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

**Information element:** A structural element containing single or multiple fields is referred as information element.

**Primary Cell**: The MCG cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure.

**Primary SCG Cell**: For dual connectivity operation, the SCG cell in which the UE performs random access when performing the Reconfiguration with Sync procedure.

**Primary Timing Advance Group**: Timing Advance Group containing the SpCell.

**PUCCH SCell:** An SCell configured with PUCCH.

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

**Secondary Cell**: For a UE configured with CA, a cell providing additional radio resources on top of Special Cell.

**Secondary Cell Group**: For a UE configured with dual connectivity, the subset of serving cells comprising of the PSCell and zero or more secondary cells.

**Serving Cell**: For a UE in RRC\_CONNECTED not configured with CA/DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of cells comprising of the Special Cell(s) and all secondary cells.

**Special Cell:** For Dual Connectivity operation the term Special Cell refers to the PCell of the MCG or the PSCell of the SCG, otherwise the term Special Cell refers to the PCell.

**Split SRB**: In MR-DC, an SRB that supports transmission via MCG and SCG as well as duplication of RRC PDUs as defined in TS 37.340 [41].

**SSB Frequency**: Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**UE Inactive AS Context**: UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.3.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CCCH Common Control Channel

CG Cell Group

CMAS Commercial Mobile Alert Service

CP Control Plane

C-RNTI Cell RNTI

CSI Channel State Information

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DL Downlink

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

MAC Medium Access Control

MCG Master Cell Group

MIB Master Information Block

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RRC Radio Resource Control

RS Reference Signal

SCell Secondary Cell

SCG Secondary Cell Group

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SpCell Special Cell

SRB Signalling Radio Bearer

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

NEXT CHANGE

# 4 Functions

## 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:

- Including NAS common information;

- Information applicable for UEs in RRC\_IDLE and RRC\_INACTIVE (e.g. cell (re-)selection parameters, neighbouring cell information) and information (also) applicable for UEs in RRC\_CONNECTED (e.g. common channel configuration information);

- Including ETWS notification, CMAS notification.

- RRC connection control:

- Paging;

- Establishment/modification/suspension/resumption/release of RRC connection, including e.g. assignment/modification of UE identity (C-RNTI, fullI-RNTI, etc.), establishment/modification/suspension/resumption/release of SRBs (except for SRB0);

- Access barring;

- Initial AS security activation, i.e. initial configuration of AS integrity protection (SRBs, DRBs) and AS ciphering (SRBs, DRBs);

- RRC connection mobility including e.g. intra-frequency and inter-frequency handover, associated AS security handling, i.e. key/algorithm change, specification of RRC context information transferred between network nodes;

- Establishment/modification/suspension/resumption/release of RBs carrying user data (DRBs);

- Radio configuration control including e.g. assignment/modification of ARQ configuration, HARQ configuration, DRX configuration;

- In case of DC, cell management including e.g. change of PSCell, addition/modification/release of SCG cell(s);

- In case of CA, cell management including e.g. addition/modification/release of SCell(s);

- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration and configured grant configuration for DL and UL respectively, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB.

- Recovery from radio link failure.

- Inter-RAT mobility including e.g. AS security activation, transfer of RRC context information;

- Measurement configuration and reporting:

- Establishment/modification/release of measurement configuration (e.g. intra-frequency, inter-frequency and inter- RAT measurements);

- Setup and release of measurement gaps;

- Measurement reporting.

- Configuration of BAP entity at the IAB-MT [X] and BH RLC channels for the support of IAB-nodes.

- Other functions including e.g. generic protocol error handling, transfer of dedicated NAS information, transfer of UE radio access capability information.

NEXT CHANGE

# 5 Procedures

## 5.2 System information

#### 5.2.2.4 Actions upon receipt of System Information

##### 5.2.2.4.2 Actions upon reception of the *SIB1*

Upon receiving the *SIB1* the UE shall:

1> store the acquired *SIB1*;

1> if the *cellAccessRelatedInfo* contains an entry with the *PLMN-Identity* of the selected PLMN:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *PLMN-IdentityInfo* containing the selected PLMN;

1> if in RRC\_CONNECTED while T311 is not running:

2> disregard the *frequencyBandList*, if received, while in RRC\_CONNECTED;

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers;

2> apply the configuration included in the *servingCellConfigCommon*;

1> else:

2> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for downlink for TDD, or one or more of the frequency bands indicated in the *frequencyBandList* for uplink for FDD, and they are not downlink only bands, and

2> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported band in the downlink for TDD, or a supported band in uplink for FDD, and

2> if the UE supports an uplink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP, and

2> if the UE supports a downlink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP), and which

- is wider than or equal to the bandwidth of the initial downlink BWP:

3> apply a supported uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the uplink;

3> apply a supported downlink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the downlink;

3> select the first frequency band in the *frequencyBandList*, for FDD from *frequencyBandList* for uplink, or for TDD from *frequencyBandList* for downlink,which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

3> forward the *cellIdentity* to upper layers;

3> if *trackingAreaCode* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:

4> consider the cell as barred in accordance with TS 38.304 [20];

4> if *intraFreqReselection* is set to notAllowed:

5> consider cell re-selection to other cells on the same frequency as the barred cell as not allowed, as specified in TS 38.304 [20];

4> else:

5> consider cell re-selection to other cells on the same frequency as the barred cell as allowed, as specified in TS 38.304 [20];

3> else:

4> forward the *trackingAreaCode* to upper layers;

3> forward the PLMN identity to upper layers;

3> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:

4> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:

5> initiate an RNA update as specified in 5.3.13.8;

3> forward the *ims-EmergencySupport* to upper layers, if present;

3> forward the *uac-AccessCategory1-SelectionAssistanceInfo* to upper layers, if present;

3> apply the configuration included in the *servingCellConfigCommon*;

3> apply the specified PCCH configuration defined in 9.1.1.3;

3> if the UE has a stored valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with sub-clause 5.2.2.1:

4> use the stored version of the required SIB;

3> if the UE has not stored a valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, of one or several required SIB(s), in accordance with sub-clause 5.2.2.1:

4> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to broadcasting:

5> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;

4> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to *notBroadcasting*:

5> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3;

3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* in *uplinkConfigCommon* for FDD or in *downlinkConfigCommon* for TDD;

3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList*:

4> apply the *additionalPmax* for UL;

3> else:

4> apply the *p-Max* in *uplinkConfigCommon* for UL;

3> if *supplementaryUplink* is present in *servingCellConfigCommon*; and

3> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* of supplementary uplink; and

3> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported supplementary uplink band; and

3> if the UE supports an uplink channel bandwidth with a maximum transmission bandwith configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the carrierBandwidth (indicated in supplementaryUplink for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP of the SUL:

4> consider supplementary uplink as configured in the serving cell;

4> select the first frequency band in the *frequencyBandList* of supplementary uplink which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

4> apply a supported supplementary uplink channel bandwidth with a maximum transmission bandwidth which

- is contained withn the carrierBandwidth (indicated in supplementaryUplink for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial BWP of the SUL;

4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* for the *supplementaryUplink*;

4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList* for the *supplementaryUplink*:

5> apply the *additionalPmax* in *supplementaryUplink* for SUL;

4> else:

5> apply the *p-Max* in *supplementaryUplink* for SUL;

3> if *iab-Support* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:

4> consider the cell as barred for IAB-MT in accordance with TS 38.304 [20];

2> else:

3> consider the cell as barred in accordance with TS 38.304 [20]; and

3> perform barring as if *intraFreqReselection* is set to *notAllowed*;

NEXT CHANGE

## 5.3 Connection control

### 5.3.3 RRC connection establishment

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration and CCCH configuration;

2> indicate to upper layers fallback of the RRC connection;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301 or T319 if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityList* in *SIB1*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends

NEXT CHANGE

### 5.3.5 RRC reconfiguration

#### 5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration*:

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in section 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in section 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.X;

1> set the content of *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*; or

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each serving cell with UL;

3> if UE is configured with SUL carrier:

4> include *uplinkDirectCurrentBWP-SUL* for each serving cell with SUL within the *uplinkTxDirectCurrentList*;

2> if the received *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include *eutra-SCG-Response* within *scg-Response* in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the received *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include *nr-SCG-Response* within *scg-Response*;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (MCG is E-UTRA):

2> if *RRCReconfiguration* was received via SRB1:

3> submit the *RRCReconfigurationComplete* via the E-UTRA MCG embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10];

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3):

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

1> else if RRCReconfiguration was received via SRB3:

2> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(MCG RRCReconfiguration):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above;

2> stop timer T304 for that cell group;

2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

NEXT CHANGE

#### 5.3.5.5 Cell Group configuration

##### 5.3.5.5.1 General

The network configures the UE with Master Cell Group (MCG), and zero or one Secondary Cell Group (SCG). In (NG)EN-DC, the MCG is configured as specified in TS 36.331 [10], and for NE-DC, the SCG is configured as specified in TS 36.331 [10]. The network provides the configuration parameters for a cell group in the *CellGroupConfig* IE.

The UE performs the following actions based on a received *CellGroupConfig* IE:

1> if the *CellGroupConfig* contains the *spCellConfig* with *reconfigurationWithSync*:

2> perform Reconfiguration with sync according to 5.3.5.5.2;

2> resume all suspended radio bearers and resume SCG transmission for all radio bearers, if suspended;

1> if the *CellGroupConfig* contains the *rlc-BearerToReleaseList*:

2> perform RLC bearer release as specified in 5.3.5.5.3;

1> if the *CellGroupConfig* contains the *rlc-BearerToAddModList*:

2> perform the RLC bearer addition/modification as specified in 5.3.5.5.4;

1> if the *CellGroupConfig* contains the *mac-CellGroupConfig*:

2> configure the MAC entity of this cell group as specified in 5.3.5.5.5;

1> if the *CellGroupConfig* contains the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.5.5.8;

1> if the *CellGroupConfig* contains the *spCellConfig*:

2> configure the SpCell as specified in 5.3.5.5.7;

1> if the *CellGroupConfig* contains the *sCellToAddModList*:

2> perform SCell addition/modification as specified in 5.3.5.5.9.

1> if the *CellGroupConfig* contains the *bh-RLC-ChannelToReleaseList*:

2> perform BH RLC channel release as specified in 5.3.5.5.X;

1> if the *CellGroupConfig* contains the *bh-RLC-ChannelToAddModList*:

2> perform the BH RLC channel addition/modification as specified in 5.3.5.5.Y;

NEXT CHANGE

#### 5.3.5.X BAP configuration

The IAB-MT shall:

1> if the *bap-config* is set to *setup*:

2> if no BAP entity is established:

3> establish a BAP entity as specified in TS 38.340 [x];

2> configure the BAP entity to use the *bap-Address* as this node’s BAP address;

2> if *defaultUL-BAProutingID* is included:

3> configure the BAP entity to apply the default UL BAP routing ID according to the configuration;

2> if *defaultUL-BH-RLC-Channel* is included:

3> configure the BAP entity to apply the default UL *bh-RLC-Channel* according to the configuration;

1> if the *bap-config* is set to *release*:

2> release the BAP entity as specified in TS 38.340 [x].

Editor’s note: It is FFS if other information should be included in the BAP configuration.

NEXT CHANGE

##### 5.3.5.5.X BH RLC channel release

The IAB-node shall:

1> for each *BH-LogicalChannelIdentity* value included in the *bh-RLC-ChannelToReleaseList* that is part of the current IAB-node configuration within the same cell group (LCH release); or

1> for each *BH-LogicalChannelIdentity* value that is to be released as the result of an SCG release according to 5.3.5.4:

2> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3;

2> release the corresponding logical channel.

##### 5.3.5.5.Y BH RLC channel addition/modification

For each *BH-RLC-ChannelConfig* received in the *bh-RLC-ChannelToAddModList* IE the IAB-node shall:

1> if the current configuration contains a BH RLC Channel with the received *bh-LogicalChannelIdentity* within the same cell group:

2> if *reestablishRLC* is received:

3> re-establish the RLC entity as specified in TS 38.322 [4];

2> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;

2> reconfigure the logical channel in accordance with the received *mac-LogicalChannelConfig*;

1> else (a logical channel with the given *bh-LogicalChannelIdentity* was not configured before within the same cell group):

2> establish an RLC entity in accordance with the received *rlc-Config*;

2> configure this MAC entity with a logical channel in accordance to the received *mac-LogicalChannelConfig*;

NEXT CHANGE

### 5.3.8 RRC connection release

#### 5.3.8.3 Reception of the *RRCRelease* by the UE

The UE shall:

1> delay the following actions defined in this sub-clause 60 ms from the moment the *RRCRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCRelease* message has been successfully acknowledged, whichever is earlier;

1> stop timer T380, if running;

1> stop timer T320, if running;

1> if theAS security is not activated:

2> ignore any field included in *RRCRelease* message except *waitTime*;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with the release cause 'other' upon which the procedure ends;

1> if the *RRCRelease* message includes *redirectedCarrierInfo* indicating redirection to *eutra*:

2> if *cnType* is included:

3> after the cell selection, indicate the available CN Type(s) and the received *cnType* to upper layers;

NOTE: Handling the case if the E-UTRA cell selected after the redirection does not support the core network type specified by the *cnType,* is up to UE implementation.

1> if the *RRCRelease* message includes the *cellReselectionPriorities*:

2> store the cell reselection priority information provided by the *cellReselectionPriorities*;

2> if the *t320* is included:

3> start timer T320, with the timer value set according to the value of *t320*;

1> else:

2> apply the cell reselection priority information broadcast in the system information;

1> if *deprioritisationReq* is included:

2> start or restart timer T325 with the timer value set to the *deprioritisationTimer* signalled;

2> store the *deprioritisationReq* until T325 expiry;

1> if the *RRCRelease* includes *suspendConfig*:

2> apply the received *suspendConfig*;

2> reset MAC and release the default MAC Cell Group configuration, if any;

2> re-establish RLC entities for SRB1;

2> if the *RRCRelease* message with *suspendConfig* was received in response to an *RRCResumeRequest* or an *RRCResumeRequest1*:

3> stop the timer T319 if running;

3> in the stored UE Inactive AS context:

4> replace the KgNB and KRRCint keys with the current KgNB and KRRCint keys;

4> replace the C-RNTI with the temporary C-RNTI in the cell the UE has received the *RRCRelease* message;

4> replace the *cellIdentity* with the *cellIdentity* of the cell the UE has received the *RRCRelease* message;

4> replace the physical cell identitywith the physical cell identity of the cell the UE has received the *RRCRelease* message;

2> else:

3> store in the UE Inactive AS Context the current KgNB and KRRCint keys, the ROHC state, the C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, and all other parameters configured except for the ones within *ReconfigurationWithSync* and *servingCellConfigCommonSIB*;

2> suspend all SRB(s) and DRB(s), except SRB0;

2> indicate PDCP suspend to lower layers of all DRBs;

2> if the *t380* is included:

3> start timer T380, with the timer value set to *t380*;

2> if the *RRCRelease* message is including the *waitTime*:

3> start timer T302 with the value set to the *waitTime*;

3> inform upper layers that access barring is applicable for all access categories except categories '0' and '2';

2> if T390 is running:

3> stop timer T390 for all access categories;

3> perform the actions as specified in 5.3.14.4;

2> indicate the suspension of the RRC connection to upper layers;

2> enter RRC\_INACTIVE and perform cell selection as specified in TS 38.304 [20];

1> else

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with the release cause 'other'.

NEXT CHANGE

### 5.3.10 Radio link failure related actions

#### 5.3.10.3 Detection of radio link failure

The UE shall:

1> upon T310 expiry in PCell; or

1> upon random access problem indication from MCG MAC while neither T300, T301, T304, T311 nor T319 are running; or

1> upon indication from MCG RLC that the maximum number of retransmissions has been reached; or

1> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the MCG:

2> if the indication is from MCG RLC and CA duplication is configured and activated, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

3> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

2> else:

3> consider radio link failure to be detected for the MCG i.e. RLF;

3> if AS security has not been activated:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other';

3> else if AS security has been activated but SRB2 and at least one DRB have not been setup:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

Editor’s note: FFS if the check for SRB2 activation and the setup of one DRB is applicable to IAB nodes.

3> else:

4> initiate the connection re-establishment procedure as specified in 5.3.7.

The UE shall:

1> upon T310 expiry in PSCell; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC that the maximum number of retransmissions has been reached; or

1> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the SCG:

2> if the indication is from SCG RLC and CA duplication is configured and activated; and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

3> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

2> else:

3> consider radio link failure to be detected for the SCG, i.e. SCG RLF;

3> initiate the SCG failure information procedure as specified in 5.7.3 to report SCG radio link failure.

### 5.3.11 UE actions upon going to RRC\_IDLE

The UE shall:

1> reset MAC;

1> if going to RRC\_IDLE was triggered by reception of the *RRCRelease* message including a *waitTime*:

2> if T302 is running:

3> stop timer T302;

2> start timer T302 with the value set to the *waitTime*;

2> inform upper layers that access barring is applicable for all access categories except categories '0' and '2'.

1> else:

2> if T302 is running:

3> stop timer T302;

3> perform the actions as specified in 5.3.14.4;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if the UE is leaving RRC\_INACTIVE:

2> if going to RRC\_IDLE was not triggered by reception of the *RRCRelease message*:

3> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities*;

3> stop the timer T320, if running;

1> stop all timers that are running except T302, T320 and T325;

1> discard the UE Inactive AS context, if any;

1> release the *suspendConfig*, if configured;

1> set the variable *pendingRnaUpdate* to *false*, if that is set to *true*;

1> discard the KgNB key, the S-KgNB key, the S-KeNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key, if any;

1> release all radio resources, including release of the RLC entity, the BAP entity, the MAC configuration and the associated PDCP entity and SDAP for all established RBs;

1> indicate the release of the RRC connection to upper layers together with the release cause;

1> enter RRC\_IDLE and perform cell selection as specified in TS 38.304 [20], except if going to RRC\_IDLE was triggered by inter-RAT cell reselection while the UE is in RRC\_INACTIVE or when selecting an inter-RAT cell while T311 was running;

NEXT CHANGE

### 5.3.14 Unified Access Control

#### 5.3.14.1 General

The purpose of this procedure is to perform access barring check for an access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers according to TS 24.501 [23] or the RRC layer. This procedure does not apply to IAB nodes.

After a PCell change in RRC\_CONNECTED the UE shall defer access barring checks until it has obtained *SIB1* (as specified in 5.2.2.2) from the target cell.

#### 5.3.14.2 Initiation

Upon initiation of the procedure, the UE shall:

1> if timer T390 is running for the Access Category:

2> consider the access attempt as barred;

1> else if timer T302 is running and the Access Category is neither '2' nor '0':

2> consider the access attempt as barred;

1> else:

2> if the Access Category is '0':

3> consider the access attempt as allowed;

2> else:

3> if *SIB1* includes *uac-BarringPerPLMN-List* and the *uac-BarringPerPLMN-List* contains an *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 24.501 [23]):

4> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;

4> in the remainder of this procedure, use the selected *UAC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the *uac-BarringForCommon* included in *SIB1*;

3> else if SIB1 includes *uac-BarringForCommon*:

4> in the remainder of this procedure use the *uac-BarringForCommon* (i.e. presence or absence of these parameters) included in *SIB1*;

3> else:

4> consider the access attempt as allowed;

3> if *uac-BarringForCommon* is applicable or the *uac-ACBarringListType* indicates that *uac-ExplicitACBarringList* is used:

4> if the corresponding *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:

5> select the *UAC-BarringPerCat* entry;

5> if the *uac-BarringInfoSetList* contains a *UAC-BarringInfoSet* entry corresponding to the selected *uac-barringInfoSetIndex* in the *UAC-BarringPerCat*:

6> select the *UAC-BarringInfoSet* entry;

6> perform access barring check for the Access Category as specified in 5.3.14.5, using the selected *UAC-BarringInfoSet* as "UAC barring parameter";

5> else:

6> consider the access attempt as allowed;

4> else:

5> consider the access attempt as allowed;

3> else if the *uac-ACBarringListType* indicates that *uac-ImplicitACBarringList* is used:

4> select the *uac-BarringInfoSetIndex* corresponding to the Access Category in the *uac-ImplicitACBarringList*;

4> if the *uac-BarringInfoSetList* contains the *UAC-BarringInfoSet* entry corresponding to the selected *uac-BarringInfoSetIndex*:

5> select the *UAC-BarringInfoSet* entry;

5> perform access barring check for the Access Category as specified in 5.3.14.5, using the selected *UAC-BarringInfoSet* as "UAC barring parameter";

4> else:

5> consider the access attempt as allowed;

3> else:

4> consider the access attempt as allowed;

1> if the access barring check was requested by upper layers:

2> if the access attempt is considered as barred:

3> if timer T302 is running:

4> if timer T390 is running for Access Category '2':

5> inform the upper layer that access barring is applicable for all access categories except categories '0', upon which the procedure ends;

4> else

5> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2', upon which the procedure ends;

3> else:

4> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;

2> else:

3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends;

1> else:

2> the procedure ends.

NEXT CHANGE

# 6 Protocol data units, formats and parameters (ASN.1)

### 6.2.2 Message definitions

#### – *RRCReconfiguration*

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*RRCReconfiguration message*

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcReconfiguration RRCReconfiguration-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCReconfiguration-IEs ::= SEQUENCE {

radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

secondaryCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

measConfig MeasConfig OPTIONAL, -- Need M

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCReconfiguration-v1530-IEs OPTIONAL

}

RRCReconfiguration-v1530-IEs ::= SEQUENCE {

masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

fullConfig ENUMERATED {true} OPTIONAL, -- Cond FullConfig

dedicatedNAS-MessageList SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message OPTIONAL, -- Cond nonHO

masterKeyUpdate MasterKeyUpdate OPTIONAL, -- Cond MasterKeyChange

dedicatedSIB1-Delivery OCTET STRING (CONTAINING SIB1) OPTIONAL, -- Need N

dedicatedSystemInformationDelivery OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

otherConfig OtherConfig OPTIONAL, -- Need M

nonCriticalExtension RRCReconfiguration-v1540-IEs OPTIONAL

}

RRCReconfiguration-v1540-IEs ::= SEQUENCE {

otherConfig-v1540 OtherConfig-v1540 OPTIONAL, -- Need M

nonCriticalExtension RRCReconfiguration-v1560-IEs OPTIONAL

}

RRCReconfiguration-v1560-IEs ::= SEQUENCE {

mrdc-SecondaryCellGroupConfig SetupRelease { MRDC-SecondaryCellGroupConfig } OPTIONAL, -- Need M

radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

sk-Counter SK-Counter OPTIONAL, -- Need N

nonCriticalExtension RRCReconfiguration-v16xy OPTIONAL

}

RRCReconfiguration-v16xy ::= SEQUENCE {

bap-Config-r16 SetupRelease { BAP-Config-r16 } OPTIONAL, -- Need M

nonCriticalExtension SEQUENCE {} OPTIONAL

}

BAP-Config-r16 ::= SEQUENCE {

bap-Address-r16 BIT STRING (SIZE (10))

defaultUL-BAProutingID-r16 BAP-Routing-ID-r16 OPTIONAL, -- Need M

defaultUL-BH-RLC-Channel-r16 BH-LogicalChannelIdentity-r16 OPTIONAL, -- Need M

flowControlFeedbackType ENUMERATED {perBH-RLC-Channel, perRoutingID, both} OPTIONAL, -- Need M

...

}

MRDC-SecondaryCellGroupConfig ::= SEQUENCE {

mrdc-ReleaseAndAdd ENUMERATED {true} OPTIONAL, -- Need N

mrdc-SecondaryCellGroup CHOICE {

nr-SCG OCTET STRING (CONTAINING RRCReconfiguration),

eutra-SCG OCTET STRING

}

}

MasterKeyUpdate ::= SEQUENCE {

keySetChangeIndicator BOOLEAN,

nextHopChainingCount NextHopChainingCount,

nas-Container OCTET STRING OPTIONAL, -- Cond securityNASC

...

}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

|  |
| --- |
| *RRCReconfiguration-IEs* field descriptions |
| ***bap-Config***  This field is used to configure the BAP entity at the IAB-MT [x]. It is only used for IAB nodes. |
| ***bap-Address***  Indicates the BAP address of an IAB node. |
| ***dedicatedNAS-MessageList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. |
| ***dedicatedSIB1-Delivery***  This field is used to transfer *SIB1* to the UE. The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***  This field is used to transfer *SIB6*, *SIB7*, *SIB8* to the UE. |
| ***defaultUL-BAProutingID***  This field is only used for IAB nodes to configure the default uplink Routing ID *during IAB node bootstrapping for F1-AP and non-F1 traffic*. |
| ***defaultUL-BH-RLC-Channel***  This field is only used for IAB nodes to configure the default uplink *bh-RLC-Channel during IAB node bootstrapping for F1-AP and non-F1 traffic*. |
| ***flowControlFeedbackType***  This field is only used for IAB nodes that support hop-by-hop flow control to configure the type of flow control feed back. Value *perBH-RLC-Channel* indicates the IAB node shall provide flow control feedback per BH RLC channel, value *perRoutingID* indicates the IAB node shall provide flow control feedback per routing ID, and value *both* indicates that the IAB node shall provide flow control both per BH RLC channel and per routing ID: |
| ***fullConfig***  Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. |
| ***keySetChangeIndicator***  Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***  Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***  This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***  Includes an RRC message for SCG configuration in NR-DC or NE-DC. For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup* and *measConfig*.  For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.501 [11] |
| ***otherConfig***  Contains configuration related to other configurations. |
| ***radioBearerConfig***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***secondaryCellGroup***  Configuration of secondary cell group ((NG)EN-DC or NR-DC). This field is absent when the *RRCReconfiguration* message is directly transmitted via MCG SRB1 and not within *mrdc-secondaryCellGroup*. |
| ***sk-Counter***  A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *nonHO* | The field is absent in case of reconfiguration with sync within NR or to NR; otherwise it is optionally present, need N. |
| *securityNASC* | This field is mandatory present in case of inter system handover. Otherwise the field is optionally present, need N. |
| *MasterKeyChange* | This field is mandatory present in case *masterCellGroup* includes *ReconfigurationWithSync* and *RadioBearerConfig* includes *SecurityConfig* with *SecurityAlgorithmConfig*, indicating a change of the AS security algorithms associated to the master key. If *ReconfigurationWithSync* is included for other cases, this field is optionally present, need N. Otherwise the field is absent. |
| *FullConfig* | The field is mandatory present in case of inter-system handover from E-UTRA/EPC to NR. It is optionally present, Need N, during reconfiguration with sync and also in first reconfiguration after reestablishment; or for intra-system handover from E-UTRA/5GC to NR. It is absent otherwise. |

NEXT CHANGE

#### – *RRCSetupComplete*

The *RRCSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*RRCSetupComplete* message

-- ASN1START

-- TAG-RRCSETUPCOMPLETE-START

RRCSetupComplete ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcSetupComplete RRCSetupComplete-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCSetupComplete-IEs ::= SEQUENCE {

selectedPLMN-Identity INTEGER (1..maxPLMN),

registeredAMF RegisteredAMF OPTIONAL,

guami-Type ENUMERATED {native, mapped} OPTIONAL,

s-NSSAI-List SEQUENCE (SIZE (1..maxNrofS-NSSAI)) OF S-NSSAI OPTIONAL,

dedicatedNAS-Message DedicatedNAS-Message,

ng-5G-S-TMSI-Value CHOICE {

ng-5G-S-TMSI NG-5G-S-TMSI,

ng-5G-S-TMSI-Part2 BIT STRING (SIZE (9))

} OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCSetupComplete-v16xy OPTIONAL

}

RRCSetupComplete-v16xy ::= SEQUENCE {

iab-NodeIndication-r16 ENUMERATED {true} OPTIONAL,

iab-IpAddressRequest-r16 ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

RegisteredAMF ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL,

amf-Identifier AMF-Identifier

}

-- TAG-RRCSETUPCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| *RRCSetupComplete-IEs* field descriptions |
| ***guami-Type***  This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [23]. |
| ***iab-IpAddressRequest***  This field is used to request an IP address by an IAB-node [2]. |
| ***iab-NodeIndication-r16***  This field is used to indicate that the connection is being established by an IAB-node [2]. |
| ***ng-5G-S-TMSI-Part2***  The leftmost 9 bits of 5G-S-TMSI. |
| ***registeredAMF***  This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [21]. |
| ***selectedPLMN-Identity***  Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. |

NEXT CHANGE

### 6.3.2 Radio resource control information elements

#### – AvailabilityCombinationsPerCell

The IE *AvailabiltyCombinationsPerCell* is used to configure the AvailabiltyCombinations applicable for a serving cell of the IAB-node DU (see TS 38.213 [13], clause 14).

***AvailabilityCombinationsPerCell* information element**

-- ASN1START

-- TAG-AVAILABILITYCOMBINATIONSPERCELL-START

AvailabilityCombinationsPerCell-r16 ::= SEQUENCE {

iabDuCellId-AI-r16 IAB-DU-CellID-AI-r16,

positionInDCI-AI-r16 INTEGER(0..maxAI-DCI-PayloadSize-r16-1) OPTIONAL, -- Need M

availabilityCombinations-r16 SEQUENCE (SIZE (1..maxNrofAvailabilityCombinationsPerSet)) OF AvailibilityCombination-r16,

...

}

AvailabilityCombination-r16 ::= SEQUENCE {

availabilityCombinationId-r16 AvailabilityCombinationId-r16,

resourceAvailability-r16 SEQUENCE (SIZE (1..maxNrofResourceAvailabilityPerCombination-r16)) OF INTEGER (0..7)

}

IAB-DU-CellID-AI-r16 ::= CellIdentity,

AvailabilityCombinationId-r16 ::= INTEGER (0..maxNrofAvailabilityCombinationsPerSet-r16-1)

-- TAG-AVAILABILITYCOMBINATIONSPERCELL-STOP

-- ASN1STOP

|  |
| --- |
| ***AvailabilityCombination* field descriptions** |
| ***resourceAvailability***  Indicates the resource availability for a set of consecutive slots in the time domain. The meaning of this field is described in TS 38.213 [13], Table 14.2. |
| ***availabiltyCombinationId***  This ID is used in the DCI Format 2\_[5] payload to dynamically select this *AvailabilityCombination*, see TS 38.213 [13], clause 14. |

|  |
| --- |
| ***AvailabilityCombinationsPerCell-r16* field descriptions** |
| ***iabDuCellId-AI***  The ID of the IAB-DU cell for which the *availabilityCombinations* are applicable. |
| ***positionInDC-AI***  The (starting) position (bit) of the AvailabilitytCombinationId (AI-Index) for the indicated IAB-DU cell (*iabDuCellId-AI*) within the DCI payload. |

NEXT CHANGE

#### – *AvailabilityIndicator*

The IE *AvailabilityIndicator* is used to configure monitoring a PDCCH for Availability Indicators (AI).

*AvailabilityIndicator* information element

-- ASN1START

-- TAG-AVAILABILITYINDICATOR-START

AvailabilityIndicator-r16 ::= SEQUENCE {

ai-RNTI-r16 AI-RNTI-r16,

dci-PayloadSize-AI-r16 INTEGER (1..maxAI-DCI-PayloadSize),

availableCombToAddModList-r16 SEQUENCE (SIZE(1..maxNrofAssociatedDUCellsPerMT-r16)) OF AvailabilityCombinationsPerCell-r16

OPTIONAL, -- Need N

availableCombToReleaseList-r16 SEQUENCE (SIZE(1..maxNrofDUCells-r16)) OF CellIdentity OPTIONAL, -- Need N

...

}

AI-RNTI-r16 ::= RNTI-Value

-- TAG-AVAILABILITYINDICATOR-STOP

-- ASN1STOP

|  |
| --- |
| *AvailabilityIndicator-r16* field descriptions |
| ***ai-RNTI***  Used by an IAB-MT for detection of DCI format 2\_[5] indicating DU-IA to an IAB-DU’s cells. |
| ***availableCombToAddModList***  A list of *availabilityCombinations* to add for the IAB-DU’s cells. (see TS 38.213 [13], clause 14). |
| ***availableCombToReleaseList***  A list of *availabilityCombinations* to release for the IAB-DU’s cells. (see TS 38.213 [13], clause 14). |
| ***dci-PayloadSize-AI***  Total length of the DCI payload scrambled with ai-RNTI (see TS 38.213 [13]). |

NEXT CHANGE

#### – *BH-RLC-ChannelConfig*

The IE *BH-RLC-ChannelConfig* is used to configure an RLC entity, a corresponding logical channel in MAC for BH RLC channels between IAB-node and its parent node.

*BH-RLC-ChannelConfig* information element

-- ASN1START

-- TAG-BH-RLCCHANNELCONFIG-START

BH-RLC-ChannelConfig-r16::= SEQUENCE {

bh-LogicalChannelIdentity-r16 BH-LogicalChannelIdentity-r16,

bh-RLC-ChannelID-r16 BH-LogicalChannelIdentity-r16,

reestablishRLC-r16 ENUMERATED {true} OPTIONAL, -- Need N

rlc-Config-r16 RLC-Config OPTIONAL, -- Cond LCH-Setup

mac-LogicalChannelConfig-r16 LogicalChannelConfig OPTIONAL, -- Cond LCH-Setup

...

}

-- TAG-BH-RLCCHANNELCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *BH-RLCChannelConfig-r16* field descriptions |
| ***bh-LogicalChannelIdentity***  Indicates the bh-LogicalChannelIdentity for the IAB nodes. |
| ***bh-RLC-ChannelID***  Indicates the bh-RLC channel in the link between IAB-MT of the IAB node and IAB-DU of the parent IAB node. |
| ***reestablishRLC***  Indicates that RLC should be re-established. |
| ***rlc-Config***  Determines the RLC mode (UM, AM) and provides corresponding parameters. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *LCH-Setup* | This field is mandatory present upon creation of a new logical channel for a BH RLC channel. It is optionally present, Need M, otherwise. |

NEXT CHANGE

#### – *BH-LogicalChannelIdentity*

The IE *BH-LogicalChannelIdentity* is used to configure a logical channel in MAC for BH RLC channels between an IAB-node and its parent node.

*BH-LogicalChannelIdentity* information element

-- ASN1START

-- TAG-BH-LOGICALCHANNELIDENTITY-START

BH-LogicalChannelIdentity-r16 ::= CHOICE {

bh-LogicalChannelIdentity-r16 LogicalChannelIdentity,

bh-LogicalChannelIdentityExt-r16 BH-LogicalChannelIdentity-Ext-r16

}

-- TAG-BH-LOGICALCHANNELIDENTITY-STOP

-- ASN1STOP

|  |
| --- |
| *BH-LogicalChannelIdentity* field descriptions |
| ***bh-LogicalChannelIdentity***  ID used commonly for the MAC logical channel and for the BH RLC channel. |
| ***bh-LogicalChannelIdentityExt***  ID used commonly for the MAC logical channel and for the BH RLC channel. |

NEXT CHANGE

#### – *BH-LogicalChannelIdentity-Ext*

The IE *BH-LogicalChannelIdentity-Ext* is used to identify one backhaul logical channel (*BH-RLC-ChannelConfig*) and the corresponding RLC configuration (*RLC-Config*).

*BH-LogicalChannelIdentity* information element

-- ASN1START

-- TAG-BH-LOGICALCHANNELIDENTITY-Ext-START

BH-LogicalChannelIdentity-Ext-r16 ::= INTEGER (33.. maxLC-ID-Iab-r16)

-- TAG-BH-LOGICALCHANNELIDENTITY-Ext-STOP

-- ASN1STOP

NEXT CHANGE

#### – *BWP-UplinkCommon*

The IE *BWP-UplinkCommon* is used to configure the common parameters of an uplink BWP. They are "cell specific" and the network ensures the necessary alignment with corresponding parameters of other UEs. The common parameters of the initial bandwidth part of the PCell are also provided via system information. For all other serving cells, the network provides the common parameters via dedicated signalling.

*BWP-UplinkCommon* information element

-- ASN1START

-- TAG-BWP-UPLINKCOMMON-START

BWP-UplinkCommon ::= SEQUENCE {

genericParameters BWP,

rach-ConfigCommon SetupRelease { RACH-ConfigCommon } OPTIONAL, -- Need M

pusch-ConfigCommon SetupRelease { PUSCH-ConfigCommon } OPTIONAL, -- Need M

pucch-ConfigCommon SetupRelease { PUCCH-ConfigCommon } OPTIONAL, -- Need M

...,

[[

rach-ConfigCommonIAB-r16 SetupRelease { RACH-ConfigCommonIAB-v16xy } OPTIONAL -- Need M

]]

}

-- TAG-BWP-UPLINKCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| *BWP-UplinkCommon* field descriptions |
| ***pucch-ConfigCommon***  Cell specific parameters for the PUCCH of this BWP. |
| ***pusch-ConfigCommon***  Cell specific parameters for the PUSCH of this BWP. |
| ***rach-ConfigCommon***  Configuration of cell specific random access parameters which the UE uses for contention based and contention free random access as well as for contention based beam failure recovery in this BWP. The NW configures SSB-based RA (and hence *RACH-ConfigCommon*) only for UL BWPs if the linked DL BWPs (same *bwp-Id* as UL-BWP) are the initial DL BWPs or DL BWPs containing the SSB associated to the initial DL BWP. The network configures *rach-ConfigCommon*, whenever it configures contention free random access (for reconfiguration with sync or for beam failure recovery). |
| ***rach-ConfigCommonIAB***  Configuration of cell specific random access parameters for the IAB-MT. |

NEXT CHANGE

#### – *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

*CellGroupConfig* information element

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

cellGroupId CellGroupId,

rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

spCellConfig SpCellConfig OPTIONAL, -- Need M

sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

...,

[[

reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

]],

[[

bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

bh-RLC-ChannelToAddModList-r16 SEQUENCE (SIZE(1..maxLC-ID-Iab-r16)) OF BH-RLC-ChannelConfig-r16 OPTIONAL, -- Need N

bh-RLC-ChannelToReleaseList-r16 SEQUENCE (SIZE(1..maxLC-ID-Iab-r16)) OF BH-LogicalChannelIdentity-r16 OPTIONAL -- Need N

]]

}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

...

}

ReconfigurationWithSync ::= SEQUENCE {

spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

newUE-Identity RNTI-Value,

t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

rach-ConfigDedicated CHOICE {

uplink RACH-ConfigDedicated,

supplementaryUplink RACH-ConfigDedicated

} OPTIONAL, -- Need N

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]]

}

SCellConfig ::= SEQUENCE {

sCellIndex SCellIndex,

sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]]

}

-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *CellGroupConfig* field descriptions |
| *bap-Address*  BAP address of parent node in this cell group. |
| *bh-RLC-ChannelToAddModList*  Configuration of the MAC Logical Channel, the corresponding backhaul RLC enitities to be added and modified. |
| *bh-RLC-ChannelToReleaseList*  List of MAC Logical Channel, the corresponding backhaul RLC enitities to be released. |
| ***mac-CellGroupConfig***  MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***  Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***  Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***rlmInSyncOutOfSyncThreshold***  BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellToAddModList***  List of seconary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***  List of secondary serving cells (SCells) to be released. |
| ***spCellConfig***  Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG). |

|  |
| --- |
| *ReconfigurationWithSync* field descriptions |
| ***rach-ConfigDedicated***  Random access configuration to be used for the reconfiguration with sync (e.g. handover). The UE performs the RA according to these parameters in the *firstActiveUplinkBWP* (see *UplinkConfig*). |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell change and NR PCell change. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *spCellConfigCommon*. For case of NR PCell change, the *smtc* is based on the timing reference of source PCell. For case of NR PSCell change, it is based on the timing reference of source PSCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

|  |
| --- |
| *SCellConfig* field descriptions |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR SCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *sCellConfigCommon*. The *smtc* is based on the timing of the SpCell of associated cell group. In case of inter-RAT handover to NR, the timing reference is the NR PCell. In case of intra-NR PCell change (standalone NR) or NR PSCell change (EN-DC), the timing reference is the target SpCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

|  |
| --- |
| *SpCellConfig* field descriptions |
| ***reconfigurationWithSync***  Parameters for the synchronous reconfiguration to the target SpCell. |
| ***rlf-TimersAndConstants***  Timers and constants for detecting and triggering cell-level radio link failure. For the SCG, *rlf-TimersAndConstants* can only be set to *setup* and is always included at SCG addition. |
| ***servCellIndex***  Serving cell ID of a PSCell. The PCell of the Master Cell Group uses ID = 0. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *BWP-Reconfig* | The field is optionally present, Need N, if the BWPs are reconfigured or if serving cells are added or removed. Otherwise it is absent. |
| *ReconfWithSync* | The field is mandatory present in case of SpCell change, PSCell addition, update of required SI for PSCell and AS security key change; otherwise it is optionally present, need M. The field is absent in *RRCResume* or *RRCSetup* messages. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is absent, Need M. |
| *SCellAddMod* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need M. |
| *SCG* | The field is mandatory present in an *SpCellConfig* for the PSCell. It is absent otherwise. |

NEXT CHANGE

#### – *BAP-Routing-ID*

The IE *BAP-Routing-ID* is used for IAB nodes to configure the default uplink Routing ID.

*BAP-Routing-ID* information element

-- ASN1START

-- TAG-BAP-Routing-ID-START

BAP-Routing-ID-r16::= SEQUENCE{

bap-Address-r16 BIT STRING (SIZE (10)),

bap-PathId-r16 BIT STRING (SIZE (10))

}

-- TAG-BAP-Routing-ID-STOP

-- ASN1STOP

|  |
| --- |
| *BAP-Routing-ID* field descriptions |
| ***bap-Address***  The ID of a destination IAB node or IAB donor-DU used in the BAP header. |
| ***bap-PathId***  The ID of a path used in the BAP header. |

NEXT CHANGE

#### – *DownlinkPreemption*

The IE *DownlinkPreemption* is used to configure the UE to monitor PDCCH for the INT-RNTI (interruption).

*DownlinkPreemption* information element

-- ASN1START

-- TAG-DOWNLINKPREEMPTION-START

DownlinkPreemption ::= SEQUENCE {

int-RNTI RNTI-Value,

timeFrequencySet ENUMERATED {set0, set1},

dci-PayloadSize INTEGER (0..maxINT-DCI-PayloadSize),

int-ConfigurationPerServingCell SEQUENCE (SIZE (1..maxNrofServingCells)) OF INT-ConfigurationPerServingCell,

...,

[[

dci-PayloadSize-Al-r16 INTEGER (1..maxAI-DCI-PayloadSize-r16) OPTIONAL --Need N,

int-ConfigurationPerServingCell-r16 SEQUENCE (SIZE (1..maxNrofServingCells-r16)) OF INT-ConfigurationPerServingCellAI-r16 OPTIONAL -Need N

}

INT-ConfigurationPerServingCell ::= SEQUENCE {

servingCellId ServCellIndex,

positionInDCI INTEGER (0..maxINT-DCI-PayloadSize-1)

}

INT-ConfigurationPerServingCellAI-r16 ::= SEQUENCE {

servingCellId-r16 ServCellIndex,

positionInDCI-AI-r16 INTEGER (0..maxAI-DCI-PayloadSize-r16-1)

}

-- TAG-DOWNLINKPREEMPTION-STOP

-- ASN1STOP

|  |
| --- |
| *DownlinkPreemption* field descriptions |
| ***dci-PayloadSize***  Total length of the DCI payload scrambled with INT-RNTI (see TS 38.213 [13], clause 11.2). |
| ***dci-PayloadSize-AI***  Total length of the AI-DCI payload scrambled with ai-RNTI (see TS 38.213 [13], clause 14). |
| ***int-ConfigurationPerServingCell***  Indicates (per serving cell) the position of the 14 bit INT values inside the DCI payload (see TS 38.213 [13], clause 11.2). |
| ***int-ConfigurationPerServingCell***  Indicates (per serving cell) the position of the 14 bit INT values inside the DCI payload for IAB-MT (see TS 38.213 [13], clause 14). |
| ***int-RNTI***  RNTI used for indication pre-emption in DL (see TS 38.213 [13], clause 10). |
| ***timeFrequencySet***  Set selection for DL-preemption indication (see TS 38.213 [13], clause 11.2) The set determines how the UE interprets the DL preemption DCI payload. |

|  |
| --- |
| *INT-ConfigurationPerServingCell* field descriptions |
| ***positionInDCI***  Starting position (in number of bit) of the 14 bit INT value applicable for this serving cell (*servingCellId*) within the DCI payload (see TS 38.213 [13], clause 11.2). Must be multiples of 14 (bit). |

|  |
| --- |
| *INT-ConfigurationPerServingCellAI* field descriptions |
| ***PositionInDCI-AI***  Starting position (in number of bit) of the *availabilityCombinationId* (AI-Index) for the indicated IAB-DU cell (*iabDuCellId-AI*) within the DCI payload. |

NEXT CHANGE

#### – *RACH-ConfigCommonIAB*

The IE *RACH-ConfigCommonIAB* is used to specify the cell specific random-access parameters for IAB-MT.

*RACH-ConfigCommonIAB* information element

-- ASN1START

-- TAG-RACH-CONFIGCOMMONIAB-START

RACH-ConfigCommonIAB-v16xy ::= SEQUENCE {

rach-configIAB-r16 RACH-ConfigCommon OPTIONAL, -- Need S

prach-ConfigurationPeriodScaling-r16 ENUMERATED {scf1,scf2,scf4,scf16,scf32,scf64} OPTIONAL, -- Need M

prach-ConfigurationFrameOffset-r16 INTEGER (0..63) OPTIONAL, -- Need M

prach-ConfigurationSOffset-r16 INTEGER (0..39) OPTIONAL, -- Need M

...

}

-- TAG-RACH-CONFIGCOMMONIAB-STOP

-- ASN1STOP

|  |
| --- |
| *RACH-ConfigCommonIAB* field descriptions |
| ***prach-ConfigurationPeriodScaling***  Scaling factor to extend the periodicity of the baseline configuration indicated by *prach-ConfigurationIndex.* Value scf1 correponds to scaling factor of 1 and so on. |
| ***prach-ConfigurationFrameOffset***  Scaling factor for ROs defined in the baseline configuration indicated by *prach-ConfigurationIndex.* |
| ***prach-ConfigurationSOffset***  Subframe/Slot offset for ROs defined in the baseline configuration indicated by *prach-ConfigurationIndex.* |
| ***rach-ConfigIAB***  Configuration of cell specific random access parameters which the IAB-MT uses for contention based and contention free random access as well as for contention based beam failure recovery in this BWP. The NW configures SSB-based RA (and hence *RACH-ConfigCommon*) only for UL BWPs if the linked DL BWPs (same *bwp-Id* as UL-BWP) are the initial DL BWPs or DL BWPs containing the SSB associated to the initial DL BWP. The network may configure *rach-ConfigIAB*, whenever it configures contention free random access (for reconfiguration with sync or for beam failure recovery).  If the field is absent, the IAB-MT applies the configuration indicated in the IE rach-ConfigCommon included in BWP-UplinkCommon. |

NEXT CHANGE

#### – *RACH-ConfigDedicated*

The IE *RACH-ConfigDedicated* is used to specify the dedicated random access parameters.

*RACH-ConfigDedicated* information element

-- ASN1START

-- TAG-RACH-CONFIGDEDICATED-START

RACH-ConfigDedicated ::= SEQUENCE {

cfra CFRA OPTIONAL, -- Need S

ra-Prioritization RA-Prioritization OPTIONAL, -- Need N

...,

[[

rach-ConfigDedicatedIAB-r16 RACH-ConfigDedicated-IAB-v16xy OPTIONAL -- Need N

]]

}

CFRA ::= SEQUENCE {

occasions SEQUENCE {

rach-ConfigGeneric RACH-ConfigGeneric,

ssb-perRACH-Occasion ENUMERATED {oneEighth, oneFourth, oneHalf, one, two, four, eight, sixteen}

OPTIONAL -- Cond SSB-CFRA

} OPTIONAL, -- Need S

resources CHOICE {

ssb SEQUENCE {

ssb-ResourceList SEQUENCE (SIZE(1..maxRA-SSB-Resources)) OF CFRA-SSB-Resource,

ra-ssb-OccasionMaskIndex INTEGER (0..15)

},

csirs SEQUENCE {

csirs-ResourceList SEQUENCE (SIZE(1..maxRA-CSIRS-Resources)) OF CFRA-CSIRS-Resource,

rsrp-ThresholdCSI-RS RSRP-Range

}

},

...,

[[

totalNumberOfRA-Preambles-v1530 INTEGER (1..63) OPTIONAL -- Cond Occasions

]]

}

CFRA-SSB-Resource ::= SEQUENCE {

ssb SSB-Index,

ra-PreambleIndex INTEGER (0..63),

...

}

CFRA-CSIRS-Resource ::= SEQUENCE {

csi-RS CSI-RS-Index,

ra-OccasionList SEQUENCE (SIZE(1..maxRA-OccasionsPerCSIRS)) OF INTEGER (0..maxRA-Occasions-1),

ra-PreambleIndex INTEGER (0..63),

...

}

RACH-ConfigDedicated-IAB-v16xy ::= SEQUENCE {

prach-ConfigurationPeriodScaling-r16 ENUMERATED {scf1,scf2,scf4,scf16,scf32,scf64},

prach-ConfigurationFrameOffset-r16 INTEGER (0..63),

prach-ConfigurationSOffset-r16 INTEGER (0..39)

}

-- TAG-RACH-CONFIGDEDICATED-STOP

-- ASN1STOP

|  |
| --- |
| *CFRA-CSIRS-Resource* field descriptions |
| ***csi-RS***  The ID of a CSI-RS resource defined in the measurement object associated with this serving cell. |
| ***ra-OccasionList***  RA occasions that the UE shall use when performing CF-RA upon selecting the candidate beam identified by this CSI-RS. The network ensures that the RA occasion indexes provided herein are also configured by prach-ConfigurationIndex and msg1-FDM. Each RACH occasion is sequentially numbered, first, in increasing order of frequency resource indexes for frequency multiplexed PRACH occasions; second, in increasing order of time resource indexes for time multiplexed PRACH occasions within a PRACH slot and Third, in increasing order of indexes for PRACH slots. |
| ***ra-PreambleIndex***  The RA preamble index to use in the RA occasions associated with this CSI-RS. |

|  |
| --- |
| *CFRA* field descriptions |
| ***occasions***  RA occasions for contention free random access. If the field is absent, the UE uses the RA occasions configured in *RACH-ConfigCommon* in the first active UL BWP. |
| ***ra-ssb-OccasionMaskIndex***  Explicitly signalled PRACH Mask Index for RA Resource selection in TS 38.321 [3]. The mask is valid for all SSB resources signalled in *ssb-ResourceList*. |
| ***rach-ConfigGeneric***  Configuration of contention free random access occasions for CFRA. The UE shall ignore *preambleReceivedTargetPower*, *preambleTransMax*, *powerRampingStep*, *ra-ResponseWindow* signaled within this field and use the corresponding values provided in *RACH-ConfigCommon*. |
| ***ssb-perRACH-Occasion***  Number of SSBs per RACH occasion. |
| ***totalNumberOfRA-Preambles***  Total number of preambles used for contention free random access in the RACH resources defined in CFRA, excluding preambles used for other purposes (e.g. for SI request). If the field is absent but the field *occasions* is present, the UE may assume all the 64 preambles are for RA. The setting should be consistent with the setting of *ssb-perRACH-Occasion*, if present, i.e. it should be a multiple of the number of SSBs per RACH occasion. |

|  |
| --- |
| *CFRA-SSB-Resource* field descriptions |
| ***ra-PreambleIndex***  The preamble index that the UE shall use when performing CF-RA upon selecting the candidate beams identified by this SSB. |
| ***ssb***  The ID of an SSB transmitted by this serving cell. |

|  |
| --- |
| *RACH-ConfigDedicated* field descriptions |
| ***cfra***  Parameters for contention free random access to a given target cell. If the field is absent, the UE performs contention based random access. |
| ***rach-ConfigDedicatedIAB***  PRACH configuration for the IAB-MT. |
| ***ra-prioritization***  Parameters which apply for prioritized random access procedure to a given target cell (see TS 38.321 [3], clause 5.1.1). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *SSB-CFRA* | The field is mandatory present if the field resources in CFRA is set to ssb; otherwise it is absent. |
| *Occasions* | The field is optionally present, Need S, if the field *occasions* is present, otherwise it is absent. |

NEXT CHANGE

#### – *SearchSpace*

The IE *SearchSpace* defines how/where to search for PDCCH candidates. Each search space is associated with one *ControlResourceSet*. For a scheduled cell in the case of cross carrier scheduling, except for *nrofCandidates*, all the optional fields are absent.

*SearchSpace* information element

-- ASN1START

-- TAG-SEARCHSPACE-START

SearchSpace ::= SEQUENCE {

searchSpaceId SearchSpaceId,

controlResourceSetId ControlResourceSetId OPTIONAL, -- Cond SetupOnly

monitoringSlotPeriodicityAndOffset CHOICE {

sl1 NULL,

sl2 INTEGER (0..1),

sl4 INTEGER (0..3),

sl5 INTEGER (0..4),

sl8 INTEGER (0..7),

sl10 INTEGER (0..9),

sl16 INTEGER (0..15),

sl20 INTEGER (0..19),

sl40 INTEGER (0..39),

sl80 INTEGER (0..79),

sl160 INTEGER (0..159),

sl320 INTEGER (0..319),

sl640 INTEGER (0..639),

sl1280 INTEGER (0..1279),

sl2560 INTEGER (0..2559)

} OPTIONAL, -- Cond Setup

duration INTEGER (2..2559) OPTIONAL, -- Need R

monitoringSymbolsWithinSlot BIT STRING (SIZE (14)) OPTIONAL, -- Cond Setup

nrofCandidates SEQUENCE {

aggregationLevel1 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel2 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel4 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel8 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8},

aggregationLevel16 ENUMERATED {n0, n1, n2, n3, n4, n5, n6, n8}

} OPTIONAL, -- Cond Setup

searchSpaceType CHOICE {

common SEQUENCE {

dci-Format0-0-AndFormat1-0 SEQUENCE {

...

} OPTIONAL, -- Need R

dci-Format2-0 SEQUENCE {

nrofCandidates-SFI SEQUENCE {

aggregationLevel1 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel2 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel4 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel8 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel16 ENUMERATED {n1, n2} OPTIONAL -- Need R

},

...

} OPTIONAL, -- Need R

dci-Format2-1 SEQUENCE {

...

} OPTIONAL, -- Need R

dci-Format2-2 SEQUENCE {

...

} OPTIONAL, -- Need R

dci-Format2-3 SEQUENCE {

dummy1 ENUMERATED {sl1, sl2, sl4, sl5, sl8, sl10, sl16, sl20} OPTIONAL, -- Cond Setup

dummy2 ENUMERATED {n1, n2},

...

} OPTIONAL, -- Need R

dci-Format2-5-v16xy SEQUENCE {

nrofCandidates-IAB-r16 SEQUENCE {

aggregationLevel1-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel2-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel4-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel8-r16 ENUMERATED {n1, n2} OPTIONAL, -- Need R

aggregationLevel16-r16 ENUMERATED {n1, n2} OPTIONAL -- Need R

},

...

} OPTIONAL …. – Need R

},

ue-Specific SEQUENCE {

dci-Formats ENUMERATED {formats0-0-And-1-0, formats0-1-And-1-1},

...

},

mt-Specific-v16xy SEQUENCE {

dci-Formats-r16 ENUMERATED {formats2-0-And-2-5},

...

} OPTIONAL -- Need R

} OPTIONAL -- Cond Setup

}

-- TAG-SEARCHSPACE-STOP

-- ASN1STOP

|  |
| --- |
| *SearchSpace* field descriptions |
| ***common***  Configures this search space as common search space (CSS) and DCI formats to monitor. |
| ***controlResourceSetId***  The CORESET applicable for this SearchSpace. Value 0 identifies the common CORESET#0 configured in MIB and in *ServingCellConfigCommon*. Values 1..*maxNrofControlResourceSets-1* identify CORESETs configured in System Information or by dedicated signalling. The CORESETs with *non-zero controResourceSetId* locate in the same BWP as this *SearchSpace*. |
| ***dummy1, dummy2***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***dci-Format0-0-AndFormat1-0***  If configured, the UE monitors the DCI formats 0\_0 and 1\_0 according to TS 38.213 [13], clause 10.1. |
| ***dci-Format2-0***  If configured, UE monitors the DCI format 2\_0 according to TS 38.213 [13], clause 10.1, 11.1.1. |
| ***dci-Format2-1***  If configured, UE monitors the DCI format 2\_1 according to TS 38.213 [13], clause 10.1, 11.2. |
| ***dci-Format2-2***  If configured, UE monitors the DCI format 2\_2 according to TS 38.213 [13], clause 10.1, 11.3. |
| ***dci-Format2-3***  If configured, UE monitors the DCI format 2\_3 according to TS 38.213 [13], clause 10.1, 11.4 |
| ***dci-Format2-5***  If configured, IAB-MT monitors the DCI format 2\_5 according to TS 38.213 [13], clause 14. |
| ***dci-Formats***  Indicates whether the UE monitors in this USS for DCI formats 0-0 and 1-0 or for formats 0-1 and 1-1. |
| ***duration***  Number of consecutive slots that a SearchSpace lasts in every occasion, i.e., upon every period as given in the *periodicityAndOffset*. If the field is absent, the UE applies the value 1 slot, except for DCI format 2\_0. The UE ignores this field for DCI format 2\_0. The maximum valid duration is periodicity-1 (periodicity as given in the *monitoringSlotPeriodicityAndOffset*).  For IAB-MT, duration indicates number of consecutive slots that a SearchSpace lasts in every occasion, i.e., upon every period as given in the *periodicityAndOffset*. If the field is absent, the IAB-MT applies the value 1 slot, except for DCI format 2\_0 and DCI format 2\_5. The UE ignores this field for DCI format 2\_0 and DCI format 2\_5. The maximum valid duration is periodicity-1 (periodicity as given in the *monitoringSlotPeriodicityAndOffset*). |
| ***monitoringSlotPeriodicityAndOffset***  Slots for PDCCH Monitoring configured as periodicity and offset. If the UE is configured to monitor DCI format 2\_1, only the values 'sl1', 'sl2' or 'sl4' are applicable. If the UE is configured to monitor DCI format 2\_0, only the values ′sl1′, ′sl2′, ′sl4′, ′sl5′, ′sl8′, ′sl10′, ′sl16′, and ′sl20′ are applicable (see TS 38.213 [13], clause 10).  For IAB-MT, If the IAB-MT is configured to monitor DCI format 2\_1, only the values 'sl1', 'sl2' or 'sl4' are applicable. If the IAB-MT is configured to monitor DCI format 2\_0 or DCI format 2\_5, only the values ′sl1′, ′sl2′, ′sl4′, ′sl5′, ′sl8′, ′sl10′, ′sl16′, and ′sl20′ are applicable (see TS 38.213, clause 10). |
| ***monitoringSymbolsWithinSlot***  The first symbol(s) for PDCCH monitoring in the slots configured for PDCCH monitoring (see *monitoringSlotPeriodicityAndOffset* and *duration*). The most significant (left) bit represents the first OFDM in a slot, and the second most significant (left) bit represents the second OFDM symbol in a slot and so on. The bit(s) set to one identify the first OFDM symbol(s) of the control resource set within a slot. If the cyclic prefix of the BWP is set to extended CP, the last two bits within the bit string shall be ignored by the UE .  For DCI format 2\_0, the first one symbol applies if the *duration* of CORESET (in the IE *ControlResourceSet*) identified by *controlResourceSetId* indicates 3 symbols, the first two symbols apply if the *duration* of CORESET identified by *controlResourceSetId* indicates 2 symbols, and the first three symbols apply if the *duration* of CORESET identified by *controlResourceSetId* indicates 1 symbol.  See TS 38.213 [13], clause 10. |
| ***nrofCandidates-SFI***  The number of PDCCH candidates specifically for format 2-0 for the configured aggregation level. If an aggregation level is absent, the UE does not search for any candidates with that aggregation level. The network configures only one aggregationLevel and the corresponding number of candidates (see TS 38.213 [13], clause 11.1.1). |
| ***nrofCandidates***  Number of PDCCH candidates per aggregation level. The number of candidates and aggregation levels configured here applies to all formats unless a particular value is specified or a format-specific value is provided (see inside *searchSpaceType*). If configured in the *SearchSpace* of a cross carrier scheduled cell, this field determines the number of candidates and aggregation levels to be used on the linked scheduling cell (see TS 38.213 [13], clause 10). |
| ***searchSpaceId***  Identity of the search space. SearchSpaceId = 0 identifies the *searchSpaceZero* configured via PBCH (MIB) or *ServingCellConfigCommon* and may hence not be used in the *SearchSpace* IE. The *searchSpaceId* is unique among the BWPs of a Serving Cell. In case of cross carrier scheduling, search spaces with the same *searchSpaceId* in scheduled cell and scheduling cell are linked to each other. The UE applies the search space for the scheduled cell only if the DL BWPs in which the linked search spaces are configured in scheduling cell and scheduled cell are both active.  For an IAB-MT, the search space defines how/where to search for PDCCH candidates for an IAB-MT. Each search space is associated with one ControlResearchSet. For a scheduled cell in the case of cross carrier scheduling, except for nrofCandidates, all the optional fields are absent. |
| ***searchSpaceType***  Indicates whether this is a common search space (present) or a UE specific search space as well as DCI formats to monitor for. |
| ***ue-Specific***  Configures this search space as UE specific search space (USS). The UE monitors the DCI format with CRC scrambled by C-RNTI, CS-RNTI (if configured), and SP-CSI-RNTI (if configured) |
| ***mt-Specific***  Configure this search space as IAB-MT specific search space (MSS). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *Setup* | This field is mandatory present upon creation of a new *SearchSpace*. It is optionally present, Need M, otherwise. |
| *SetupOnly* | This field is mandatory present upon creation of a new *SearchSpace*. It is absent, Need M, otherwise. |

NEXT CHANGE

#### *– MeasObjectNR*

The IE *MeasObjectNR* specifies information applicable for SS/PBCH block(s) intra/inter-frequency measurements and/or CSI-RS intra/inter-frequency measurements.

*MeasObjectNR* information element

-- ASN1START

-- TAG-MEASOBJECTNR-START

MeasObjectNR ::= SEQUENCE {

ssbFrequency ARFCN-ValueNR OPTIONAL, -- Cond SSBorAssociatedSSB

ssbSubcarrierSpacing SubcarrierSpacing OPTIONAL, -- Cond SSBorAssociatedSSB

smtc1 SSB-MTC OPTIONAL, -- Cond SSBorAssociatedSSB

smtc2 SSB-MTC2 OPTIONAL, -- Cond IntraFreqConnected refFreqCSI-RS ARFCN-ValueNR OPTIONAL, -- Cond CSI-RS

referenceSignalConfig ReferenceSignalConfig,

absThreshSS-BlocksConsolidation ThresholdNR OPTIONAL, -- Need R

absThreshCSI-RS-Consolidation ThresholdNR OPTIONAL, -- Need R

nrofSS-BlocksToAverage INTEGER (2..maxNrofSS-BlocksToAverage) OPTIONAL, -- Need R

nrofCSI-RS-ResourcesToAverage INTEGER (2..maxNrofCSI-RS-ResourcesToAverage) OPTIONAL, -- Need R

quantityConfigIndex INTEGER (1..maxNrofQuantityConfig),

offsetMO Q-OffsetRangeList,

cellsToRemoveList PCI-List OPTIONAL, -- Need N

cellsToAddModList CellsToAddModList OPTIONAL, -- Need N

blackCellsToRemoveList PCI-RangeIndexList OPTIONAL, -- Need N

blackCellsToAddModList SEQUENCE (SIZE (1..maxNrofPCI-Ranges)) OF PCI-RangeElement OPTIONAL, -- Need N

whiteCellsToRemoveList PCI-RangeIndexList OPTIONAL, -- Need N

whiteCellsToAddModList SEQUENCE (SIZE (1..maxNrofPCI-Ranges)) OF PCI-RangeElement OPTIONAL, -- Need N

... ,

[[

freqBandIndicatorNR-v1530 FreqBandIndicatorNR OPTIONAL, -- Need R

measCycleSCell-v1530 ENUMERATED {sf160, sf256, sf320, sf512, sf640, sf1024, sf1280} OPTIONAL -- Need R

]],

[[

smtc3list-v16xy SSB-MTC3List-v16xy OPTIONAL -- Need R

]]

}

SSB-MTC3List-v16xy::= SEQUENCE (SIZE(1..4)) OF SSB-MTC3-v16xy

ReferenceSignalConfig::= SEQUENCE {

ssb-ConfigMobility SSB-ConfigMobility OPTIONAL, -- Need M

csi-rs-ResourceConfigMobility SetupRelease { CSI-RS-ResourceConfigMobility } OPTIONAL -- Need M

}

SSB-ConfigMobility::= SEQUENCE {

ssb-ToMeasure SetupRelease { SSB-ToMeasure } OPTIONAL, -- Need M

deriveSSB-IndexFromCell BOOLEAN,

ss-RSSI-Measurement SS-RSSI-Measurement OPTIONAL, -- Need M

...

}

Q-OffsetRangeList ::= SEQUENCE {

rsrpOffsetSSB Q-OffsetRange DEFAULT dB0,

rsrqOffsetSSB Q-OffsetRange DEFAULT dB0,

sinrOffsetSSB Q-OffsetRange DEFAULT dB0,

rsrpOffsetCSI-RS Q-OffsetRange DEFAULT dB0,

rsrqOffsetCSI-RS Q-OffsetRange DEFAULT dB0,

sinrOffsetCSI-RS Q-OffsetRange DEFAULT dB0

}

ThresholdNR ::= SEQUENCE{

thresholdRSRP RSRP-Range OPTIONAL, -- Need R

thresholdRSRQ RSRQ-Range OPTIONAL, -- Need R

thresholdSINR SINR-Range OPTIONAL -- Need R

}

CellsToAddModList ::= SEQUENCE (SIZE (1..maxNrofCellMeas)) OF CellsToAddMod

CellsToAddMod ::= SEQUENCE {

physCellId PhysCellId,

cellIndividualOffset Q-OffsetRangeList

}

-- TAG-MEASOBJECTNR-STOP

-- ASN1STOP

|  |
| --- |
| *CellsToAddMod* field descriptions |
| ***cellIndividualOffset***  Cell individual offsets applicable to a specific cell. |
| ***physCellId***  Physical cell identity of a cell in the cell list. |

|  |
| --- |
| *MeasObjectNR* field descriptions |
| ***absThreshCSI-RS-Consolidation***  Absolute threshold for the consolidation of measurement results per CSI-RS resource(s) from L1 filter(s). The field is used for the derivation of cell measurement results as described in 5.5.3.3 and the reporting of beam measurement information per CSI-RS resource as described in 5.5.5.2. |
| ***absThreshSS-BlocksConsolidation***  Absolute threshold for the consolidation of measurement results per SS/PBCH block(s) from L1 filter(s). The field is used for the derivation of cell measurement results as described in 5.5.3.3 and the reporting of beam measurement information per SS/PBCH block index as described in 5.5.5.2. |
| ***blackCellsToAddModList***  List of cells to add/modify in the black list of cells. It applies only to SSB resources. |
| ***blackCellsToRemoveList***  List of cells to remove from the black list of cells. |
| ***cellsToAddModList***  List of cells to add/modify in the cell list. |
| ***cellsToRemoveList***  List of cells to remove from the cell list. |
| ***freqBandIndicatorNR***  The frequency band in which the SSB and/or CSI-RS indicated in this *MeasObjectNR* are located and according to which the UE shall perform the RRM measurements. This field is always provided when the network configures measurements with this *MeasObjectNR*. |
| ***measCycleSCell***  The parameter is used only when an SCell is configured on the frequency indicated by the measObjectNR and is in deactivated state, see TS 38.133 [14]. gNB configures the parameter whenever an SCell is configured on the frequency indicated by the *measObjectNR*, but the field may also be signalled when an SCell is not configured. Value *sf160* corresponds to 160 sub-frames, value *sf256* corresponds to 256 sub-frames and so on. |
| ***nrofCSInrofCSI-RS-ResourcesToAverage***  Indicates the maximum number of measurement results per beam based on CSI-RS resources to be averaged. The same value applies for each detected cell associated with this *MeasObjectNR*. |
| ***nrofSS-BlocksToAverage***  Indicates the maximum number of measurement results per beam based on SS/PBCH blocks to be averaged. The same value applies for each detected cell associated with this *MeasObject*. |
| ***offsetMO***  Offset values applicable to all measured cells with reference signal(s) indicated in this *MeasObjectNR*. |
| ***quantityConfigIndex***  Indicates the n-*th* element of *quantityConfigNR-List* provided in *MeasConfig*. |
| ***referenceSignalConfig***  RS configuration for SS/PBCH block and CSI-RS. |
| ***refFreqCSI-RS***  Point A which is used for mapping of CSI-RS to physical resources according to TS 38.211 [16] clause 7.4.1.5.3. |
| ***smtc1***  Primary measurement timing configuration. (see clause 5.5.2.10). |
| ***smtc2***  Secondary measurement timing configuration for SS corresponding to this *MeasObjectNR* with PCI listed in *pci-List*. For these SS, the periodicity is indicated by *periodicity* in *smtc2* and the timing offset is equal to the offset indicated in *periodicityAndOffset* modulo *periodicity*. *periodicity* in smtc2 can only be set to a value strictly shorter than the periodicity indicated by *periodicityAndOffset* in *smtc1* (e.g. if *periodicityAndOffset* indicates *sf10*, *periodicity* can only be set of *sf5*, if *periodicityAndOffset* indicates *sf5*, *smtc2* cannot be configured). |
| ***smtc3list***  Measurement timing configuration list for SSB corresponding to IAB-MT. |
| ***ssbFrequency*** Indicates the frequency of the SS associated to this *MeasObjectNR*. |
| ***ssbSubcarrierSpacing***  Subcarrier spacing of SSB. Only the values 15 kHz or 30 kHz (FR1), and 120 kHz or 240 kHz (FR2) are applicable. |
| ***whiteCellsToAddModList***  List of cells to add/modify in the white list of cells. It applies only to SSB resources. |
| ***whiteCellsToRemoveList***  List of cells to remove from the white list of cells. |

|  |
| --- |
| *ReferenceSignalConfig* field descriptions |
| ***csi-rs-ResourceConfigMobility***  CSI-RS resources to be used for CSI-RS based RRM measurements. |
| ***ssb-ConfigMobility***  SSB configuration for mobility (nominal SSBs, timing configuration). |

|  |
| --- |
| *SSB-ConfigMobility* field descriptions |
| ***deriveSSB-IndexFromCell***  If this field is set to *true*, UE assumes SFN and frame boundary alignment across cells on the same frequency carrier as specified in TS 38.133 [14]. Hence, if the UE is configured with a serving cell for which (*absoluteFrequencySSB*, *subcarrierSpacing*) in *ServingCellConfigCommon* is equal to (*ssbFrequency*, *ssbSubcarrierSpacing*) in this *MeasObjectNR*, this field indicates whether the UE can utilize the timing of this serving cell to derive the index of SS block transmitted by neighbour cell. Otherwise, this field indicates whether the UE may use the timing of any detected cell on that target frequency to derive the SSB index of all neighbour cells on that frequency. |
| ***ssb-ToMeasure***  The set of SS blocks to be measured within the SMTC measurement duration. The first/leftmost bit corresponds to SS/PBCH block index 0, the second bit corresponds to SS/PBCH block index 1, and so on. Value 0 in the bitmap indicates that the corresponding SS/PBCH block is not to be measured while value 1 indicates that the corresponding SS/PBCH block is to be measured (see TS 38.215 [9]). When the field is not configured the UE measures on all SS blocks. Regardless of the value of this field, SS/PBCH blocks outside of the applicable *smtc* are not to be measured. See TS 38.215 [9] clause 5.1.1. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *CSI-RS* | This field is mandatory present if *csi-rs-ResourceConfigMobility* is configured, otherwise, it is absent. |
| *SSBorAssociatedSSB* | This field is mandatory present if *ssb-ConfigMobility* is configured or *associatedSSB* is configured in at least one cell. Otherwise, it is absent, Need R. |
| *IntraFreqConnected* | This field is optionally present, Need R if the UE is configured with a serving cell for which (absoluteFrequencySSB, subcarrierSpacing) in ServingCellConfigCommon is equal to (*ssbFrequency*, *ssbSubcarrierSpacing*) in this *MeasObjectNR*, otherwise, it is absent. |

NEXT CHANGE

#### – *ServingCellConfig*

The IE *ServingCellConfig* is used to configure (add or modify) the UE with a serving cell, which may be the SpCell or an SCell of an MCG or SCG. The parameters herein are mostly UE specific but partly also cell specific (e.g. in additionally configured bandwidth parts). Reconfiguration between a PUCCH and PUCCHless SCell is only supported using an SCell release and add.

*ServingCellConfig* information element

-- ASN1START

-- TAG-SERVINGCELLCONFIG-START

ServingCellConfig ::= SEQUENCE {

tdd-UL-DL-ConfigurationDedicated TDD-UL-DL-ConfigDedicated OPTIONAL, -- Cond TDD

initialDownlinkBWP BWP-DownlinkDedicated OPTIONAL, -- Need M

downlinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

downlinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Downlink OPTIONAL, -- Need N

firstActiveDownlinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

bwp-InactivityTimer ENUMERATED {ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30,

ms40,ms50, ms60, ms80,ms100, ms200,ms300, ms500,

ms750, ms1280, ms1920, ms2560, spare10, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL, --Need R

defaultDownlinkBWP-Id BWP-Id OPTIONAL, -- Need S

uplinkConfig UplinkConfig OPTIONAL, -- Need M

supplementaryUplink UplinkConfig OPTIONAL, -- Need M

pdcch-ServingCellConfig SetupRelease { PDCCH-ServingCellConfig } OPTIONAL, -- Need M

pdsch-ServingCellConfig SetupRelease { PDSCH-ServingCellConfig } OPTIONAL, -- Need M

csi-MeasConfig SetupRelease { CSI-MeasConfig } OPTIONAL, -- Need M

sCellDeactivationTimer ENUMERATED {ms20, ms40, ms80, ms160, ms200, ms240,

ms320, ms400, ms480, ms520, ms640, ms720,

ms840, ms1280, spare2,spare1} OPTIONAL, -- Cond ServingCellWithoutPUCCH

crossCarrierSchedulingConfig CrossCarrierSchedulingConfig OPTIONAL, -- Need M

tag-Id TAG-Id,

dummy ENUMERATED {enabled} OPTIONAL, -- Need R

pathlossReferenceLinking ENUMERATED {spCell, sCell} OPTIONAL, -- Cond SCellOnly

servingCellMO MeasObjectId OPTIONAL, -- Cond MeasObject

...,

[[

lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

downlinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

tdd-UL-DL-ConfigurationDedicated-iab-mt-v16xy TDD-UL-DL-ConfigDedicated-IAB-MT-v16xy OPTIONAL -- Cond TDD\_IAB

]]

}

UplinkConfig ::= SEQUENCE {

initialUplinkBWP BWP-UplinkDedicated OPTIONAL, -- Need M

uplinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

uplinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Uplink OPTIONAL, -- Need N

firstActiveUplinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

pusch-ServingCellConfig SetupRelease { PUSCH-ServingCellConfig } OPTIONAL, -- Need M

carrierSwitching SetupRelease { SRS-CarrierSwitching } OPTIONAL, -- Need M

...,

[[

powerBoostPi2BPSK BOOLEAN OPTIONAL, -- Need M

uplinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]]

}

-- TAG-SERVINGCELLCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *ServingCellConfig* field descriptions |
| ***bwp-InactivityTimer***  The duration in ms after which the UE falls back to the default Bandwidth Part (see TS 38.321 [3], clause 5.15). When the network releases the timer configuration, the UE stops the timer without switching to the default BWP. |
| ***crossCarrierSchedulingConfig***  Indicates whether this serving cell is cross-carrier scheduled by another serving cell or whether it cross-carrier schedules another serving cell. |
| ***defaultDownlinkBWP-Id***  The initial bandwidth part is referred to by BWP-Id = 0. ID of the downlink bandwidth part to be used upon expiry of the BWP inactivity timer. This field is UE specific. When the field is absent the UE uses the initial BWP as default BWP. (see TS 38.213 [13], clause 12 and TS 38.321 [3], clause 5.15). |
| ***downlinkBWP-ToAddModList***  List of additional downlink bandwidth parts to be added or modified. (see TS 38.213 [13], clause 12). |
| ***downlinkBWP-ToReleaseList***  List of additional downlink bandwidth parts to be released. (see TS 38.213 [13], clause 12). |
| ***downlinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *DownlinkConfigCommon* / *DownlinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***firstActiveDownlinkBWP-Id***  If configured for an SpCell, this field contains the ID of the DL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.  If configured for an SCell, this field contains the ID of the downlink bandwidth part to be used upon MAC-activation of an SCell. The initial bandwidth part is referred to by BWP-Id = 0.  Upon PCell change and PSCell addition/change, the network sets the *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* to the same value. |
| ***initialDownlinkBWP***  The dedicated (UE-specific) configuration for the initial downlink bandwidth-part (i.e. DL BWP#0). If any of the optional IEs are configured within this IE, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***pathlossReferenceLinking***  Indicates whether UE shall apply as pathloss reference either the downlink of SpCell (PCell for MCG or PSCell for SCG) or of SCell that corresponds with this uplink (see TS 38.213 [13], clause 7). |
| ***pdsch-ServingCellConfig***  PDSCH related parameters that are not BWP-specific. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology. See TS 38.214 [19], clause 5.1.2.2.3. |
| ***sCellDeactivationTimer***  SCell deactivation timer in TS 38.321 [3]. If the field is absent, the UE applies the value infinity. |
| ***tdd-UL-DL-ConfigurationDedicated-iab-mt***  Resource configuration per IAB-MT D/U/F overrides all symbols (with a limitation that effectively only flexible symbols can be overwritten in Rel-16) per slot over the number of slots as provided by *TDD-UL-DL ConfigurationCommon*. |

NOTE 1: If the dedicated part of initial UL/DL BWP configuration is absent, the initial BWP can be used but with some limitations. For example, changing to another BWP requires *RRCReconfiguration* since DCI format 1\_0 doesn't support DCI-based switching.

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *MeasObject* | This field is mandatory present for the SpCell if the UE has a *measConfig*, and it is optionally present, Need M, for SCells. |
| *SCellOnly* | This field is optionally present, Need R, for SCells. It is absent otherwise. |
| *ServingCellWithoutPUCCH* | This field is optionally present, Need S, for SCells except PUCCH SCells. It is absent otherwise. |
| *SyncAndCellAdd* | This field is mandatory present for a SpCell upon PCell change and PSCell addition/change and upon *RRCSetup*/*RRCResume*.  The field is mandatory present for an SCell upon addition.  For SpCell, the field is optionally present, Need N, upon reconfiguration without *reconfigurationWithSync*.  In all other cases the field is absent. |
| *TDD* | This field is optionally present, Need R, for TDD cells. It is absent otherwise. |
| *TDD\_IAB* | For IAB-MT, this field is optionally present, Need R, for TDD cells. It is absent otherwise. |

NEXT CHANGE

#### – *SSB-MTC*

The IE *SSB-MTC* is used to configure measurement timing configurations, i.e., timing occasions at which the UE measures SSBs.

*SSB-MTC* information element

-- ASN1START

-- TAG-SSB-MTC-START

SSB-MTC ::= SEQUENCE {

periodicityAndOffset CHOICE {

sf5 INTEGER (0..4),

sf10 INTEGER (0..9),

sf20 INTEGER (0..19),

sf40 INTEGER (0..39),

sf80 INTEGER (0..79),

sf160 INTEGER (0..159)

},

duration ENUMERATED { sf1, sf2, sf3, sf4, sf5 }

}

SSB-MTC2 ::= SEQUENCE {

pci-List SEQUENCE (SIZE (1..maxNrofPCIsPerSMTC)) OF PhysCellId OPTIONAL, -- Need M

periodicity ENUMERATED {sf5, sf10, sf20, sf40, sf80, spare3, spare2, spare1}

}

SSB-MTC3-v16xy ::= SEQUENCE {

ssb-MTC-Periodicity-r16 ENUMERATED {ms5, ms10, ms20, ms40, ms80, ms160, ms320, ms640, ms1280},

ssb-MTC-Timingoffset-r16 INTEGER (0..127),

ssb-MTC-Duration-r16 ENUMERATED {sf1, sf2, sf3, sf4, sf5},

ssb-MTC-pci-List-r16 SEQUENCE (SIZE (0..63)) OF PhysCellId,

ssb-ToMeasure-r16 SetupRelease { SSB-ToMeasure } OPTIONAL -- Need M

}

-- TAG-SSB-MTC-STOP

-- ASN1STOP

|  |
| --- |
| *SSB-MTC* field descriptions |
| ***duration***  Duration of the measurement window in which to receive SS/PBCH blocks. It is given in number of subframes (see TS 38.213 [13], clause 4.1). |
| ***periodicityAndOffset***  Periodicity and offset of the measurement window in which to receive SS/PBCH blocks, see 5.5.2.10. Periodicity and offset are given in number of subframes. |

|  |
| --- |
| *SSB-MTC2* field descriptions |
| ***pci-List***  PCIs that are known to follow this SMTC. |

|  |
| --- |
| *SSB-MTC3-v16xy* field descriptions |
| ***ssb-MTC-Duration-r16***  SMTC window duration. |
| ***ssb-MTC-pci-List-r16***  List of physical cell IDs to be measured. |
| ***ssb-MTC-Periodity-r16***  SMTC window periodicity. |
| ***ssb-MTC-Timingoffset-r16***  SMTC window timing offset. |
| ***ssb-ToMeasure-r16***  The set of SS blocks to be measured within the SMTC measurement duration. The first/leftmost bit corresponds to SS/PBCH block index 0, the second bit corresponds to SS/PBCH block index 1, and so on. Value 0 in the bitmap indicates that the corresponding SS/PBCH block is not to be measured while value 1 indicates that the corresponding SS/PBCH block is to be measured (see TS 38.215 [9]). When the field is not configured the UE measures on all SS blocks. Regardless of the value of this field, SS/PBCH blocks outside of the applicable *smtc* are not to be measured. See TS 38.215 [9] clause 5.1.1. |

NEXT CHANGE

#### – *MAC-CellGroupConfig*

The IE *MAC-CellGroupConfig* is used to configure MAC parameters for a cell group, including DRX.

*MAC-CellGroupConfig* information element

-- ASN1START

-- TAG-MAC-CELLGROUPCONFIG-START

MAC-CellGroupConfig ::= SEQUENCE {

drx-Config SetupRelease { DRX-Config } OPTIONAL, -- Need M

schedulingRequestConfig SchedulingRequestConfig OPTIONAL, -- Need M

bsr-Config BSR-Config OPTIONAL, -- Need M

tag-Config TAG-Config OPTIONAL, -- Need M

phr-Config SetupRelease { PHR-Config } OPTIONAL, -- Need M

skipUplinkTxDynamic BOOLEAN,

...,

[[

csi-Mask BOOLEAN OPTIONAL, -- Need M

dataInactivityTimer SetupRelease { DataInactivityTimer } OPTIONAL -- Cond MCG-Only

]],

[[

usePreBSR-r16 ENUMERATED {true} OPTIONAL -- Need R

]]

}

DataInactivityTimer ::= ENUMERATED {s1, s2, s3, s5, s7, s10, s15, s20, s40, s50, s60, s80, s100, s120, s150, s180}

-- TAG-MAC-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *MAC-CellGroupConfig* field descriptions |
| ***usePreBSR***  If set to true, the MAC entity of the IAB-MT will activate the pre-emptive BSR. |
| ***csi-Mask***  If set to true, the UE limits CSI reports to the on-duration period of the DRX cycle, see TS 38.321 [3]. |
| ***dataInactivityTimer***  Releases the RRC connection upon data inactivity as specified in clause 5.3.8.5 and in TS 38.321 [3]. Value *s1* corresponds to 1 second, value s2 corresponds to 2 seconds, and so on. |
| ***drx-Config***  Used to configure DRX as specified in TS 38.321 [3]. |
| ***skipUplinkTxDynamic***  If set to *true*, the UE skips UL transmissions as described in TS 38.321 [3]. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *MCG-Only* | This field is optionally present, Need M, for the *MAC-CellGroupConfig* of the MCG. It is absent otherwise. |

NEXT CHANGE

#### – *PDCCH-ServingCellConfig*

The IE *PDCCH-ServingCellConfig* is used to configure UE specific PDCCH parameters applicable across all bandwidth parts of a serving cell.

*PDCCH-ServingCellConfig* information element

-- ASN1START

-- TAG-PDCCH-SERVINGCELLCONFIG-START

PDCCH-ServingCellConfig ::= SEQUENCE {

slotFormatIndicator SetupRelease { SlotFormatIndicator } OPTIONAL, -- Need M

...,

[[

availabilityIndicator-r16 SetupRelease {AvailabilityIndicator-r16} OPTIONAL, -- Need M

commonSearchSpaceListIAB-r16 SEQUENCE (SIZE(1..4)) OF SearchSpace OPTIONAL -- Need N

]]

}

-- TAG-PDCCH-SERVINGCELLCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *PDCCH-ServingCellConfig* field descriptions |
| ***availabilityIndicator***  Use to configure monitoring a PDCCH for Availability Indicators (AI). |
| ***commonSearchSpaceListIAB-v16xy***  A list of additional common search spaces for IAB-MT. |
| ***slotFormatIndicator***  Configuration of Slot-Format-Indicators to be monitored in the correspondingly configured PDCCHs of this serving cell. |

NEXT CHANGE

– *PLMN-IdentityInfoList*

The IE *PLMN-IdentityInfoList* includes a list of PLMN identity information.

***PLMN-IdentityInfoList* information element**

-- ASN1START

-- TAG-PLMN-IDENTITYINFOLIST-START

PLMN-IdentityInfoList ::= SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-IdentityInfo

PLMN-IdentityInfo ::= SEQUENCE {

plmn-IdentityList SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-Identity,

trackingAreaCode TrackingAreaCode OPTIONAL, -- Need R

ranac RAN-AreaCode OPTIONAL, -- Need R

cellIdentity CellIdentity,

cellReservedForOperatorUse ENUMERATED {reserved, notReserved},

...,

[[

iab-Support-r16 ENUMERATED {true} OPTIONAL -- Need R

]]

}

-- TAG-PLMN-IDENTITYINFOLIST-STOP

-- ASN1STOP

|  |
| --- |
| ***PLMN-IdentityInfo* field descriptions** |
| ***cellReservedForOperatorUse***  Indicates whether the cell is reserved for operator use (per PLMN), as defined in TS 38.304 [20]. |
| ***iab-Support***  This field combines both the support of IAB-node and the cell status for IAB-node. If the field is present, the cell supports IAB-nodes and the cell is also considered as a candidate for IAB-nodes; if the field is absent, the cell does not support IAB and/or the cell is barred for IAB-node. Change of iab-Support should not result in system information change notifications in Short Message transmitted with P-RNTI over DCI (see clause 6.5). |
| ***trackingAreaCode***  Indicates Tracking Area Code to which the cell indicated by cellIdentity field belongs. The presence of the field indicates that the cell supports at least standalone operation (per PLMN); the absence of the field indicates that the cell only supports EN-DC functionality (per PLMN). |

NEXT CHANGE

#### – *TDD-UL-DL-ConfigDedicated*

The IE *TDD-UL-DL-ConfigDedicated* determines the UE-specific Uplink/Downlink TDD configuration.

*TDD-UL-DL-ConfigDedicated* information element

-- ASN1START

-- TAG-TDD-UL-DL-CONFIGDEDICATED-START

TDD-UL-DL-ConfigDedicated ::= SEQUENCE {

slotSpecificConfigurationsToAddModList SEQUENCE (SIZE (1..maxNrofSlots)) OF TDD-UL-DL-SlotConfig OPTIONAL, -- Need N

slotSpecificConfigurationsToReleaseList SEQUENCE (SIZE (1..maxNrofSlots)) OF TDD-UL-DL-SlotIndex OPTIONAL, -- Need N

...

}

TDD-UL-DL-ConfigDedicated-IAB-MT-v16xy::= SEQUENCE {

slotSpecificConfigurationsToAddModList-IAB-MT-v16xy SEQUENCE (SIZE (1..maxNrofSlots)) OF TDD-UL-DL-SlotConfig-IAB-MT-v16xy OPTIONAL, -- Need N

slotSpecificConfigurationsToreleaseList-IAB-MT-v16xy SEQUENCE (SIZE (1..maxNrofSlots)) OF TDD-UL-DL-SlotIndex OPTIONAL, -- Need N

...

}

TDD-UL-DL-SlotConfig ::= SEQUENCE {

slotIndex TDD-UL-DL-SlotIndex,

symbols CHOICE {

allDownlink NULL,

allUplink NULL,

explicit SEQUENCE {

nrofDownlinkSymbols INTEGER (1..maxNrofSymbols-1) OPTIONAL, -- Need S

nrofUplinkSymbols INTEGER (1..maxNrofSymbols-1) OPTIONAL -- Need S

}

}

}

TDD-UL-DL-SlotConfig-IAB-MT-v16xy::= SEQUENCE {

slotIndex-r16 TDD-UL-DL-SlotIndex,

symbols-IAB-MT-r16 CHOICE {

allDownlink-r16 NULL,

allUplink-r16 NULL,

explicit-r16 SEQUENCE {

nrofDownlinkSymbols-r16 INTEGER (1..maxNrofSymbols-1) OPTIONAL, -- Need S

nrofUplinkSymbols-r16 INTEGER (1..maxNrofSymbols-1) OPTIONAL -- Need S

},

explicit-IAB-MT-r16 SEQUENCE {

nrofDownlinkSymbols-r16 INTEGER (1..maxNrofSymbols-1) OPTIONAL, -- Need S

nrofUplinkSymbols-r16 INTEGER (1..maxNrofSymbols-1) OPTIONAL -- Need S

}

}

}

TDD-UL-DL-SlotIndex ::= INTEGER (0..maxNrofSlots-1)

-- TAG-TDD-UL-DL-CONFIGDEDICATED-STOP

-- ASN1STOP

|  |
| --- |
| *TDD-UL-DL-ConfigDedicated* field descriptions |
| ***slotSpecificConfigurationsToAddModList***  The *slotSpecificConfigurationToAddModList* allows overriding UL/DL allocations provided in tdd-UL-DL-configurationCommon, see TS 38.213 [13], clause 11.1. |

|  |
| --- |
| *TDD-UL-DL-ConfigDedicated-IAB-MT* field descriptions |
| ***slotSpecificConfigurationsToAddModList-IAB-MT***  The *slotSpecificConfigurationToAddModList-IAB-MT* allows overriding UL/DL allocations provided in tdd-UL-DL-configurationCommon with a limitation that effectively only flexible symbols can be overwritten in Rel-16. |
| ***slotSpecificConfigurationsToreleaseList-IAB-MT***  The *slotSpecificConfigurationToreleaseList-IAB-MT* allows release of a set of slot configuration previously add with *slotSpecificConfigurationToAddModList-IAB-MT*. |

|  |
| --- |
| *TDD-UL-DL-SlotConfig* field descriptions |
| ***nrofDownlinkSymbols***  Number of consecutive DL symbols in the beginning of the slot identified by *slotIndex*. If the field is absent the UE assumes that there are no leading DL symbols. (see TS 38.213 [13], clause 11.1). |
| ***nrofUplinkSymbols***  Number of consecutive UL symbols in the end of the slot identified by *slotIndex*. If the field is absent the UE assumes that there are no trailing UL symbols. (see TS 38.213 [13], clause 11.1). |
| ***slotIndex***  Identifies a slot within a slot configuration periodgiven in *tdd-UL-DL-configurationCommon*, see TS 38.213 [13], clause 11.1. |
| ***symbols***  The direction (downlink or uplink) for the symbols in this slot. Value *allDownlink* indicates that all symbols in this slot are used for downlink; value *allUplink* indicates that all symbols in this slot are used for uplink; value *explicit* indicates explicitly how many symbols in the beginning and end of this slot are allocated to downlink and uplink, respectively. |

|  |
| --- |
| *TDD-UL-DL-SlotConfig-IAB-MT* field descriptions |
| ***symbols-IAB-MT***  The *Symbols-IAB-MT*is used to configure an IAB-MT with the SlotConfig applicable for one serving cell. Value *allDownlink* indicates that all symbols in this slot are used for downlink; value *allUplink* indicates that all symbols in this slot are used for uplink; value *explicit* indicates explicitly how many symbols in the beginning and end of this slot are allocated to downlink and uplink, respectively; value *explicit-{IAB-MT}* indicates explicitly how many symbols in the beginning and end of this slot are allocated to uplink and downlink, respectively. |

NEXT CHANGE

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxNrofFFS-r16 INTEGER ::= 65536 -- Maximum number of FFS

maxAI-DCI-PayloadSize-r16 INTEGER ::= 128 --Maximum size of the DCI payload scrambled with ai-RNTI

maxAI-DCI-PayloadSize-r16-1 INTEGER ::= 127 --Maximum size of the DCI payload scrambled with ai-RNTI minus 1

maxBandComb INTEGER ::= 65536 -- Maximum number of DL band combinations

maxCellBlack INTEGER ::= 16 -- Maximum number of NR blacklisted cell ranges in SIB3, SIB4

maxCellInter INTEGER ::= 16 -- Maximum number of inter-Freq cells listed in SIB4

maxCellIntra INTEGER ::= 16 -- Maximum number of intra-Freq cells listed in SIB3

maxCellMeasEUTRA INTEGER ::= 32 -- Maximum number of cells in E-UTRAN

maxEARFCN INTEGER ::= 262143 -- Maximum value of E-UTRA carrier frequency

maxEUTRA-CellBlack INTEGER ::= 16 -- Maximum number of E-UTRA blacklisted physical cell identity ranges

-- in SIB5

maxEUTRA-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands that a cell belongs to

maxNARFCN INTEGER ::= 3279165 -- Maximum value of NR carrier frequency

maxNR-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxNrofServingCells INTEGER ::= 32 -- Max number of serving cells (SpCells + SCells)

maxNrofServingCells-1 INTEGER ::= 31 -- Max number of serving cells (SpCell + SCells) per cell group

maxNrofAggregatedCellsPerCellGroup INTEGER ::= 16

maxNrofDUCells-r16 INTEGER ::= 512 -- Max number of cells configured on the collocated IAB-DU

maxNrofAssociatedDUCellsPerMT-r16 INTEGER ::= 65535 -- FFS

maxNrofAvailabilityCombinationsPerSet-r16 INTEGER ::= 512 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5

maxNrofAvailabilityCombinationsPerSet-r16-1 INTEGER ::= 511 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5 minus 1

maxNrofSCells INTEGER ::= 31 -- Max number of secondary serving cells per cell group

maxNrofCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the cell lists in a measurement

-- object

maxNrofSS-BlocksToAverage INTEGER ::= 16 -- Max number for the (max) number of SS blocks to average to determine cell

-- measurement

maxNrofCSI-RS-ResourcesToAverage INTEGER ::= 16 -- Max number for the (max) number of CSI-RS to average to determine cell

-- measurement

maxNrofDL-Allocations INTEGER ::= 16 -- Maximum number of PDSCH time domain resource allocations

maxNrofSR-ConfigPerCellGroup INTEGER ::= 8 -- Maximum number of SR configurations per cell group

maxLCG-ID INTEGER ::= 7 -- Maximum value of LCG ID

maxLC-ID INTEGER ::= 32 -- Maximum value of Logical Channel ID

maxLC-ID-Iab-r16 INTEGER ::= FFS -- Maximum value of BH Logical Channel ID extension

maxNrofTAGs INTEGER ::= 4 -- Maximum number of Timing Advance Groups

maxNrofTAGs-1 INTEGER ::= 3 -- Maximum number of Timing Advance Groups minus 1

maxNrofBWPs INTEGER ::= 4 -- Maximum number of BWPs per serving cell

maxNrofCombIDC INTEGER ::= 128 -- Maximum number of reported MR-DC combinations for IDC

maxNrofSymbols-1 INTEGER ::= 13 -- Maximum index identifying a symbol within a slot (14 symbols, indexed

-- from 0..13)

maxNrofSlots INTEGER ::= 320 -- Maximum number of slots in a 10 ms period

maxNrofSlots-1 INTEGER ::= 319 -- Maximum number of slots in a 10 ms period minus 1

maxNrofPhysicalResourceBlocks INTEGER ::= 275 -- Maximum number of PRBs

maxNrofPhysicalResourceBlocks-1 INTEGER ::= 274 -- Maximum number of PRBs minus 1

maxNrofPhysicalResourceBlocksPlus1 INTEGER ::= 276 -- Maximum number of PRBs plus 1

maxNrofControlResourceSets-1 INTEGER ::= 11 -- Max number of CoReSets configurable on a serving cell minus 1

maxCoReSetDuration INTEGER ::= 3 -- Max number of OFDM symbols in a control resource set

maxNrofSearchSpaces-1 INTEGER ::= 39 -- Max number of Search Spaces minus 1

maxSFI-DCI-PayloadSize INTEGER ::= 128 -- Max number payload of a DCI scrambled with SFI-RNTI

maxSFI-DCI-PayloadSize-1 INTEGER ::= 127 -- Max number payload of a DCI scrambled with SFI-RNTI minus 1

maxINT-DCI-PayloadSize INTEGER ::= 126 -- Max number payload of a DCI scrambled with INT-RNTI

maxINT-DCI-PayloadSize-1 INTEGER ::= 125 -- Max number payload of a DCI scrambled with INT-RNTI minus 1

maxNrofRateMatchPatterns INTEGER ::= 4 -- Max number of rate matching patterns that may be configured

maxNrofRateMatchPatterns-1 INTEGER ::= 3 -- Max number of rate matching patterns that may be configured minus 1

maxNrofRateMatchPatternsPerGroup INTEGER ::= 8 -- Max number of rate matching patterns that may be configured in one group

maxNrofCSI-ReportConfigurations INTEGER ::= 48 -- Maximum number of report configurations

maxNrofCSI-ReportConfigurations-1 INTEGER ::= 47 -- Maximum number of report configurations minus 1

maxNrofCSI-ResourceConfigurations INTEGER ::= 112 -- Maximum number of resource configurations

maxNrofCSI-ResourceConfigurations-1 INTEGER ::= 111 -- Maximum number of resource configurations minus 1

maxNrofAP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrOfCSI-AperiodicTriggers INTEGER ::= 128 -- Maximum number of triggers for aperiodic CSI reporting

maxNrofReportConfigPerAperiodicTrigger INTEGER ::= 16 -- Maximum number of report configurations per trigger state for aperiodic

-- reporting

maxNrofNZP-CSI-RS-Resources INTEGER ::= 192 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources

maxNrofNZP-CSI-RS-Resources-1 INTEGER ::= 191 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources minus 1

maxNrofNZP-CSI-RS-ResourcesPerSet INTEGER ::= 64 -- Maximum number of NZP CSI-RS resources per resource set

maxNrofNZP-CSI-RS-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-RS resources per cell

maxNrofNZP-CSI-RS-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-RS resources per cell minus 1

maxNrofNZP-CSI-RS-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of resource sets per resource configuration

maxNrofNZP-CSI-RS-ResourcesPerConfig INTEGER ::= 128 -- Maximum number of resources per resource configuration

maxNrofZP-CSI-RS-Resources INTEGER ::= 32 -- Maximum number of Zero-Power (ZP) CSI-RS resources

maxNrofZP-CSI-RS-Resources-1 INTEGER ::= 31 -- Maximum number of Zero-Power (ZP) CSI-RS resources minus 1

maxNrofZP-CSI-RS-ResourceSets-1 INTEGER ::= 15

maxNrofZP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrofZP-CSI-RS-ResourceSets INTEGER ::= 16

maxNrofCSI-IM-Resources INTEGER ::= 32 -- Maximum number of CSI-IM resources. See CSI-IM-ResourceMax in 38.214.

maxNrofCSI-IM-Resources-1 INTEGER ::= 31 -- Maximum number of CSI-IM resources minus 1. See CSI-IM-ResourceMax

-- in 38.214.

maxNrofCSI-IM-ResourcesPerSet INTEGER ::= 8 -- Maximum number of CSI-IM resources per set. See CSI-IM-ResourcePerSetMax

-- in 38.214

maxNrofCSI-IM-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-IM resources per cell

maxNrofCSI-IM-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-IM resources per cell minus 1

maxNrofCSI-IM-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of CSI IM resource sets per resource configuration

maxNrofCSI-SSB-ResourcePerSet INTEGER ::= 64 -- Maximum number of SSB resources in a resource set

maxNrofCSI-SSB-ResourceSets INTEGER ::= 64 -- Maximum number of CSI SSB resource sets per cell

maxNrofCSI-SSB-ResourceSets-1 INTEGER ::= 63 -- Maximum number of CSI SSB resource sets per cell minus 1

maxNrofCSI-SSB-ResourceSetsPerConfig INTEGER ::= 1 -- Maximum number of CSI SSB resource sets per resource configuration

maxNrofFailureDetectionResources INTEGER ::= 10 -- Maximum number of failure detection resources

maxNrofFailureDetectionResources-1 INTEGER ::= 9 -- Maximum number of failure detection resources minus 1

maxNrofObjectId INTEGER ::= 64 -- Maximum number of measurement objects

maxNrofPageRec INTEGER ::= 32 -- Maximum number of page records

maxNrofPCI-Ranges INTEGER ::= 8 -- Maximum number of PCI ranges

maxPLMN INTEGER ::= 12 -- Maximum number of PLMNs broadcast and reported by UE at establisghment

maxNrofCSI-RS-ResourcesRRM INTEGER ::= 96 -- Maximum number of CSI-RS resources for an RRM measurement object

maxNrofCSI-RS-ResourcesRRM-1 INTEGER ::= 95 -- Maximum number of CSI-RS resources for an RRM measurement object minus 1

maxNrofMeasId INTEGER ::= 64 -- Maximum number of configured measurements

maxNrofQuantityConfig INTEGER ::= 2 -- Maximum number of quantity configurations

maxNrofCSI-RS-CellsRRM INTEGER ::= 96 -- Maximum number of cells with CSI-RS resources for an RRM measurement

-- object

maxNrofSRS-ResourceSets INTEGER ::= 16 -- Maximum number of SRS resource sets in a BWP.

maxNrofSRS-ResourceSets-1 INTEGER ::= 15 -- Maximum number of SRS resource sets in a BWP minus 1.

maxNrofSRS-Resources INTEGER ::= 64 -- Maximum number of SRS resources.

maxNrofSRS-Resources-1 INTEGER ::= 63 -- Maximum number of SRS resources in an SRS resource set minus 1.

maxNrofSRS-ResourcesPerSet INTEGER ::= 16 -- Maximum number of SRS resources in an SRS resource set

maxNrofSRS-TriggerStates-1 INTEGER ::= 3 -- Maximum number of SRS trigger states minus 1, i.e., the largest code

-- point.

maxNrofSRS-TriggerStates-2 INTEGER ::= 2 -- Maximum number of SRS trigger states minus 2.

maxRAT-CapabilityContainers INTEGER ::= 8 -- Maximum number of interworking RAT containers (incl NR and MRDC)

maxSimultaneousBands INTEGER ::= 32 -- Maximum number of simultaneously aggregated bands

maxNrofSlotFormatCombinationsPerSet INTEGER ::= 512 -- Maximum number of Slot Format Combinations in a SF-Set.

maxNrofSlotFormatCombinationsPerSet-1 INTEGER ::= 511 -- Maximum number of Slot Format Combinations in a SF-Set minus 1.

maxNrofPUCCH-Resources INTEGER ::= 128

maxNrofPUCCH-Resources-1 INTEGER ::= 127

maxNrofPUCCH-ResourceSets INTEGER ::= 4 -- Maximum number of PUCCH Resource Sets

maxNrofPUCCH-ResourceSets-1 INTEGER ::= 3 -- Maximum number of PUCCH Resource Sets minus 1.

maxNrofPUCCH-ResourcesPerSet INTEGER ::= 32 -- Maximum number of PUCCH Resources per PUCCH-ResourceSet

maxNrofPUCCH-P0-PerSet INTEGER ::= 8 -- Maximum number of P0-pucch present in a p0-pucch set

maxNrofPUCCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUCCH power control.

maxNrofPUCCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUCCH power

-- control minus 1.

maxNrofP0-PUSCH-AlphaSets INTEGER ::= 30 -- Maximum number of P0-pusch-alpha-sets (see 38,213, clause 7.1)

maxNrofP0-PUSCH-AlphaSets-1 INTEGER ::= 29 -- Maximum number of P0-pusch-alpha-sets minus 1 (see 38,213, clause 7.1)

maxNrofPUSCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUSCH power control.

maxNrofPUSCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUSCH power

-- control minus 1.

maxNrofNAICS-Entries INTEGER ::= 8 -- Maximum number of supported NAICS capability set

maxBands INTEGER ::= 1024 -- Maximum number of supported bands in UE capability.

maxBandsMRDC INTEGER ::= 1280

maxBandsEUTRA INTEGER ::= 256

maxCellReport INTEGER ::= 8

maxDRB INTEGER ::= 29 -- Maximum number of DRBs (that can be added in DRB-ToAddModLIst).

maxFreq INTEGER ::= 8 -- Max number of frequencies.

maxFreqIDC-MRDC INTEGER ::= 32 -- Maximum number of candidate NR frequencies for MR-DC IDC indication

maxNrofCandidateBeams INTEGER ::= 16 -- Max number of PRACH-ResourceDedicatedBFR that in BFR config.

maxNrofPCIsPerSMTC INTEGER ::= 64 -- Maximun number of PCIs per SMTC.

maxNrofQFIs INTEGER ::= 64

maxNrofResourceAvailabilityPerCombination-r16 INTEGER ::= 64 -- FFS

maxNrOfSemiPersistentPUSCH-Triggers INTEGER ::= 64 -- Maximum number of triggers for semi persistent reporting on PUSCH

maxNrofSR-Resources INTEGER ::= 8 -- Maximum number of SR resources per BWP in a cell.

maxNrofSlotFormatsPerCombination INTEGER ::= 256

maxNrofSpatialRelationInfos INTEGER ::= 8

maxNrofIndexesToReport INTEGER ::= 32

maxNrofIndexesToReport2 INTEGER ::= 64

maxNrofSSBs-1 INTEGER ::= 63 -- Maximum number of SSB resources in a resource set minus 1.

maxNrofS-NSSAI INTEGER ::= 8 -- Maximum number of S-NSSAI.

maxNrofTCI-StatesPDCCH INTEGER ::= 64

maxNrofTCI-States INTEGER ::= 128 -- Maximum number of TCI states.

maxNrofTCI-States-1 INTEGER ::= 127 -- Maximum number of TCI states minus 1.

maxNrofUL-Allocations INTEGER ::= 16 -- Maximum number of PUSCH time domain resource allocations.

maxQFI INTEGER ::= 63

maxRA-CSIRS-Resources INTEGER ::= 96

maxRA-OccasionsPerCSIRS INTEGER ::= 64 -- Maximum number of RA occasions for one CSI-RS

maxRA-Occasions-1 INTEGER ::= 511 -- Maximum number of RA occasions in the system

maxRA-SSB-Resources INTEGER ::= 64

maxSCSs INTEGER ::= 5

maxSecondaryCellGroups INTEGER ::= 3

maxNrofServingCellsEUTRA INTEGER ::= 32

maxMBSFN-Allocations INTEGER ::= 8

maxNrofMultiBands INTEGER ::= 8

maxCellSFTD INTEGER ::= 3 -- Maximum number of cells for SFTD reporting

maxReportConfigId INTEGER ::= 64

maxNrofCodebooks INTEGER ::= 16 -- Maximum number of codebooks suppoted by the UE

maxNrofCSI-RS-Resources INTEGER ::= 7 -- Maximum number of codebook resources supported by the UE

maxNrofSRI-PUSCH-Mappings INTEGER ::= 16

maxNrofSRI-PUSCH-Mappings-1 INTEGER ::= 15

maxSIB INTEGER::= 32 -- Maximum number of SIBs

maxSI-Message INTEGER::= 32 -- Maximum number of SI messages

maxPO-perPF INTEGER ::= 4 -- Maximum number of paging occasion per paging frame

maxAccessCat-1 INTEGER ::= 63 -- Maximum number of Access Categories minus 1

maxBarringInfoSet INTEGER ::= 8 -- Maximum number of Access Categories

maxCellEUTRA INTEGER ::= 8 -- Maximum number of E-UTRA cells in SIB list

maxEUTRA-Carrier INTEGER ::= 8 -- Maximum number of E-UTRA carriers in SIB list

maxPLMNIdentities INTEGER ::= 8 -- Maximum number of PLMN identites in RAN area configurations

maxDownlinkFeatureSets INTEGER ::= 1024 -- (for NR DL) Total number of FeatureSets (size of the pool)

maxUplinkFeatureSets INTEGER ::= 1024 -- (for NR UL) Total number of FeatureSets (size of the pool)

maxEUTRA-DL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxEUTRA-UL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxFeatureSetsPerBand INTEGER ::= 128 -- (for NR) The number of feature sets associated with one band.

maxPerCC-FeatureSets INTEGER ::= 1024 -- (for NR) Total number of CC-specific FeatureSets (size of the pool)

maxFeatureSetCombinations INTEGER ::= 1024 -- (for MR-DC/NR)Total number of Feature set combinations (size of the

-- pool)

maxInterRAT-RSTD-Freq INTEGER ::= 3

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-STOP

-- ASN1STOP