**Agenda Item:** 5.3.3 **Source:** T3

Title: CRs to TS 31.111

**Document for:** approval

This document contains the following change requests that are approved by 3GPP TSG T3 and forwarded to 3GPP TSG T#26 for approval:

Doc-2nd- Level	Spec	CR	Rev	Phase	Subject	Cat	Version -Current	Version- New	Workite m
T3-040785	31.111	129	-	Rel-6	Correction to allow "3G Session Reset Refresh" to change the IMSI	F	6.3.0	6.4.0	TEI6
T3-040826	31.111	128	-	Rel-6	Clarification of Terminal Profile procedure	В	6.3.0	6.4.0	TEI6
T3-040836	31.111	123	-	Rel-4	Correction for non 3GPP references	F	4.12.0	4.13.0	TEI4
T3-040837	31.111	124	-	Rel-5	Correction for non 3GPP references	F	5.7.0	5.8.0	TEI5
T3-040838	31.111	125	-	Rel-6	Correction for non 3GPP references	F	6.3.0	6.4.0	TEI6
T3-040839	31.111	126	-	Rel-6	Terminal Profile alignement with ETSI TS 102 223	F	6.3.0	6.4.0	TEI6
T3-040840	31.111	127	-	Rel-6	Correction for a missing letter class in Annex A	F	6.3.0	6.4.0	TEI6
T3-040842	31.111	131	-	Rel-6	Display Multimedia Messages from the USIM	В	6.3.0	6.4.0	UEMMS
T3-040871	31.111	130	-	Rel-6	UICC initiated GBAU Bootstrapp	В	6.3.0	6.4.0	SEC1- SC

Core Network

Proposed change affects:

# 31.111 CR 129		CHAN	IGE REQ	UES1	Γ	(	CR-Form-v7.1
	#	31.111 CR 129	жrev	<b>-</b> #	Current version:	6.3.0	¥

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the \mathbb{K} symbols.

ME X Radio Access Network

UICC apps# X

Title: Correction to allow "3G Session Reset Refresh" to change the IMSI Source: **光 T3** Date: 

18/11/2004 ₩ F Release: # Rel-6 Category: Use one of the following categories: Use one of the following releases: (GSM Phase 2) **F** (correction) Ph2 A (corresponds to a correction in an earlier release) R96 (Release 1996) **B** (addition of feature), (Release 1997) R97 **C** (functional modification of feature) (Release 1998) R98 **D** (editorial modification) R99 (Release 1999) Detailed explanations of the above categories can Rel-4 (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5) Rel-6 (Release 6) Rel-7 (Release 7)

Reason for change: # The specification contradicts itself and it is impossible to get a correct implementation of "3G Session Reset Refresh" when IMSI is changed. "6.4.7.1 EF<sub>IMSI</sub> changing procedure" currently states "If an EF<sub>IMSI</sub> is to be updated, neither EF<sub>IMSI</sub> nor EF<sub>LOCI</sub> shall be updated in the UICC before the 3G session termination procedure has been completed by the ME." "3G Session Reset" Refresh currently allows for the IMSI to be changed but the procedure does not include a "3G Session Termination" (currently it is specified that the ME carries out USIM initialisation starting after PIN verification procedure. For Release 6 all this information has been moved to 102.223 as follows QUOTE NAA Session Reset. This mode is equivalent to "NAA Initialization and File Change Notification" mode and in addition requires the terminal to perform a specific NAA procedure. This mode is only applicable on a 3G platform, and shall not be used on a 2G platform. **ENDQUOTE** There is now the additional problem that the "specific NAA procedure" is not specified. Summary of change: # Add extra description to allow implementers to get a working feature. Consequences if Performing IMSI change in collaboration with "3G Session Reset Refresh" will not approved: result in unpredictable behaviour in the UE

Clauses affected:	<b>光 6.4.7</b>
	YN
Other specs	★
affected:	X Test specifications
	X O&M Specifications
Other comments:	# T3-040784 also needs to be approved to enable this feature to work

### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 6.4.7 REFRESH

See TS 102 223 [32] except for "3G Session Reset" which is defined as follows.

3G Session Reset. This mode causes the ME to reset the 3G session, in accordance with the 3G session reset procedure defined in TS 31.102 [14]. Subsequently, the ME performs the "USIM Initialization and File Change Notification" procedure and the MM Restart procedure as defined in TS 23.122 [7].

### 6.4.7.1 EF<sub>IMSI</sub> changing procedure

When an  $EF_{IMSI}$  is changed via Data Download or a USAT application and a REFRESH command is issued by the UICC the following rules apply to the UICC and ME:

- USIM Initialization. This command shall not be used if an  $EF_{IMSI}$  is changed, as the behaviour of the UE is unpredictable;
- File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- USIM Initialization and File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- USIM Initialization and Full File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- UICC Reset. Normal UICC Reset procedure is carried out;
- USIM Application Reset. Normal USIM Application Reset procedure is carried out;
- 3G Session Reset. Normal 3G Session Reset procedure is carried out.

If an  $EF_{IMSI}$  is to be updated, neither  $EF_{IMSI}$  nor  $EF_{LOCI}$  shall be updated in the UICC before the 3G session termination procedure has been completed by the ME.

16-19 No	ovember 2004							
		CHANG	SE REQ	UE	ST	-	C	CR-Form-v7.1
*	31.111	CR 128	<b>≋rev</b>	-	$\mathbb{H}$	Current version:	6.3.0	¥
For <b>HF</b>	<b>IP</b> on using this for	m see hottom of	this page or	look :	at th	e non-un text over	the ¥ svi	nhols

*	31.111 CR 128					
For <u>HELP</u> on us	ing this form, see bottom of this page or look at the pop-up text over the 光 symbols.					
Proposed change affects: UICC apps X ME X Radio Access Network Core Network						
Title: 第	Clarification of Terminal Profile procedure					
Source: #	Т3					
Work item code: ₩	TEI Date: 第 19/11/2004					
1	Release: # Rel-6  Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification)  Detailed explanations of the above categories can be found in 3GPP TR 21.900.  Release: # Rel-6 Use one of the following releases: Ph2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) Rel-7 (Release 7)					
Reason for change:	Applets and applications that were designed for earlier releases may expect a Terminal Profile only during the initialisation procedure. Due to the lack of other mechanisms, the Terminal Profile could have been used for startup processing, etc. Allowing additional Terminal Profiles, as introduced in TS 102 223 v6.4.0, could cause problems, if cards with these applications were used in new phones.					
Summary of change	Clarify that Terminal Profiles are only allowed within the initialisation sequence, unless additional Terminal Profiles are activated in the UST.					
Consequences if not approved:	Backwards compatibility problems with applications on existing cards used in new phones.					
Clauses affected:	<b>第 5.1</b>					
Other specs affected:	Y N  X Other core specifications Test specifications O&M Specifications					
Other comments:	策 related to T3-040674					

Clauses affected:	第 5.1
Other specs affected:	Y N  X Other core specifications
Other comments:	# related to T3-040674

# 5 Profile download

### 5.1 Procedure

The profile download instruction is sent by the ME to the UICC as part of the UICC initialization procedure. The <u>UICC</u> initialization procedure is specified in 3GPP TS 31.101 [13].

If the UICC indicates the support of "Additional TERMINAL PROFILE after UICC activation" in its USIM Service Table, the ME shall handle the profile download procedure as specified in TS 102 223 [32].

If the UICC does not indicate the support of "Additional TERMINAL PROFILE after UICC activation" in its USIM Service Table, the profile download instruction shall only be sent by the ME to the UICC as part of the UICC initialization procedure.

The profile(s) sent by the ME shall state the facilities relevant to USAT that are supported by the ME.

See additional details in TS 102 223 [32].

Sophia Antipolis, France, 16 – 19 November 2004

 $\mathfrak{R}$ 

(Release 7)

Rel-7

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the \mathbb{K} symbols.

Proposed chang	ge a	ffects:	UICC apps#	X M	E X Radio Ac	cess Networ	k Core Net	work
Γ=								
Title:	æ	Correc	tion for non 3GPI	P reference	S			
Source:	¥	T3						
Work item code.	<b>:</b>	TEI				Date: ₩	18/11/2004	
Category:	æ	F				Release: ♯	Rel-4	
		Use <u>one</u>	of the following ca	tegories:		Use <u>one</u> of	the following relea	ases:
		٠,	correction)			Ph2	(GSM Phase 2)	
			corresponds to a co		n earlier release)	) R96	(Release 1996)	
		<b>B</b> (8	addition of feature)	,		R97	(Release 1997)	
		<b>C</b> (1	functional modificat	tion of featur	e)	R98	(Release 1998)	
		<b>D</b> (6	editorial modification	n)		R99	(Release 1999)	
		Detailed	explanations of the	above cate	gories can	Rel-4	(Release 4)	
			in 3GPP TR 21.90		-	Rel-5	(Release 5)	
						Rel-6	(Release 6)	

Reason for change: #	During last T3 meeting, it was aggred to indicate which release of ETSI TS 102 223 was referred to, to avoid potential troublesome mix of releases when implementing tollkit.
Summary of change: #	A clear reference to the release for ETSI TS 102 223 is added.
Consequences if # not approved:	Possible mix and misimplementation of the specification.

Clauses affected:	策 2	
	[V]N	
Other specs	Y N X Other core specifications	
affected:	X Test specifications	
	X O&M Specifications	
Other comments:	$\mathfrak{H}$	

#### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked \( \mathcal{H} \) contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

- downloaded from the 3GPP server under  $\underline{\text{ftp://ftp.3gpp.org/specs/}}$  For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1]	3GPP TS 22.002: "Circuit Bearer Services (BS) supported by a Public Land Mobile Network (PLMN)".
[2]	3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)".
[3]	3GPP TS 22.042: "Network Identity and Time Zone (NITZ); Service description; Stage 1".
[4]	3GPP TS 23.038: "Alphabets and language-specific information".
[5]	3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
[6]	3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
[7]	3GPP TS 23.122: "Non-Access Stratum functions related to Mobile Station (MS) in idle mode".
[8]	3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
[9]	3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
[10]	3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
[11]	3GPP TS 24.080: "Mobile radio layer 3 supplementary services specification; Formats and coding".
[12]	3GPP TS 27.007: "AT command set for 3G User Equipment (UE)".
[13]	3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".
[14]	3GPP TS 31.102: "Characteristics of the USIM application".
[15]	3GPP TS 31.110: "Numbering system for telecommunication IC card applications".
[16]	ISO/IEC 7816-3 (1997): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols".
[17]	ISO/IEC 7816-4 (1995): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 4: Interindustry commands for interchange".
[18]	ISO/IEC 7816-6 (1995): "Identification cards - Integrated circuit(s) cards with contacts - Part 6: Interindustry data elements".
[19]	ISO 639 (1988): "Codes for the representation of names of languages".
[20]	GSM 02.07: "Digital cellular telecommunications system (Phase 2+); Mobile Stations (MS) features".
[21]	3GPP TS 42.017: "Subscriber Identity Modules (SIM); Functional characteristics".
[22]	3GPP TS 22.001: "Principles of circuit telecommunication services supported by a Public Land

Mobile Network (PLMN)".

[23]	3GPP TS 23.048: "Security mechanisms for the (U)SIM application toolkit; Stage 2".
[24]	IETF RFC 1738: "Uniform Resource Locators (URL)".
[25]	IETF RFC 768: "User Datagram Protocol".
[26]	IETF RFC 793: "Transmission Control Protocol".
[27]	3GPP TS 44.018: "Mobile radio interface Layer 3 specification; Radio Resource Control Protocol".
[28]	"Specification of the Bluetooth system; Profiles part" <a href="http://www.virelex.com/bluetooth/specification.asp">http://www.virelex.com/bluetooth/specification.asp</a> .
[29]	TIA/EIA-136-123 (April 2001): "Third Generation Wireless - Digital Control Channel Layer 3".
[30]	3GPP TS 23.003: "Numbering, addressing and identification".
[31]	TIA/EIA/IS-820: "Removable User Identity Module (R-UIM) for TIA/EIA Spread Spectrum Systems".
[32]	ETSI TS 102 223: "Smart cards; Card Application Toolkit (CAT) (Release 4)".
[33]	3GPP TR 21.905: "Vocabulary for 3GPP specifications".
[34]	3GPP TS 22.101: "Service aspects; Service Principles".
[35]	3GPP TS 25.401: "UTRAN overall description".
[36]	3GPP TS 25.413: "UTRAN Iu interface RANAP signalling".

Sophia Antipolis, France, 16 – 19 November 2004								
CHANGE REQUEST								R-Form-v7.1
*	31.111 CR	124	жrev	-	$\mathfrak{H}$	Current version:	5.7.0	¥

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	roposed change affects: UICC apps第 X ME X Radio Access Network Core Network							
74.	00	0	· · · · · · · · · · · · · · · · · · ·	<b>.</b>				
Title:	ж	Corre	ction for non 3GPP re	rerence	<b>!</b> S			
Source:	$\mathbb{H}$	T3						
Work item code	: <b>#</b>	TEI5				<i>Date:</i> ∺	18/11/2004	4
Category:	**	Use one F A B C D Detailed	e of the following catego (correction) (corresponds to a correction) (addition of feature), (functional modification) (editorial modification) dexplanations of the about in 3GPP TR 21.900.	ction in a	an earlier release) re)	Ph2	the following I (GSM Phase (Release 199 (Release 199 (Release 199 (Release 4) (Release 5) (Release 6) (Release 7)	2) 6) 7) 8)

Reason for change: #	During last T3 meeting, it was aggred to indicate which release of ETSI TS 102 223 was referred to, to avoid potential troublesome mix of releases when implementing tollkit.
Summary of change: #	A clear reference to the release for ETSI TS 102 223 is added.
Consequences if # not approved:	Possible mix and misimplementation of the specification.

Clauses affected:	策 2	
	YN	
Other specs	策 X Other core specifications	<b>*</b>
affected:	X Test specifications X O&M Specifications	
Other comments:	<b>*</b>	

### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

- downloaded from the 3GPP server under  $\underline{\text{ftp://ftp.3gpp.org/specs/}}$  For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

[22]

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1]	3GPP TS 22.002: "Circuit Bearer Services (BS) supported by a Public Land Mobile Network (PLMN)".
[2]	3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)".
[3]	3GPP TS 22.042: "Network Identity and Time Zone (NITZ); Service description; Stage 1".
[4]	3GPP TS 23.038: "Alphabets and language-specific information".
[5]	3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
[6]	3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
[7]	3GPP TS 23.122: "Non-Access Stratum functions related to Mobile Station (MS) in idle mode".
[8]	3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
[9]	3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
[10]	3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
[11]	3GPP TS 24.080: "Mobile radio layer 3 supplementary services specification; Formats and coding".
[12]	3GPP TS 27.007: "AT command set for 3G User Equipment (UE)".
[13]	3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".
[13] [14]	3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics". 3GPP TS 31.102: "Characteristics of the USIM application".
	· · · · · ·
[14]	3GPP TS 31.102: "Characteristics of the USIM application".
[14] [15]	3GPP TS 31.102: "Characteristics of the USIM application".  3GPP TS 31.110: "Numbering system for telecommunication IC card applications".  ISO/IEC 7816-3 (1997): "Information technology - Identification cards - Integrated circuit(s) cards
[14] [15] [16]	3GPP TS 31.102: "Characteristics of the USIM application".  3GPP TS 31.110: "Numbering system for telecommunication IC card applications".  ISO/IEC 7816-3 (1997): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols".  ISO/IEC 7816-4 (1995): "Information technology - Identification cards - Integrated circuit(s) cards
[14] [15] [16] [17]	3GPP TS 31.102: "Characteristics of the USIM application".  3GPP TS 31.110: "Numbering system for telecommunication IC card applications".  ISO/IEC 7816-3 (1997): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols".  ISO/IEC 7816-4 (1995): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 4: Interindustry commands for interchange".  ISO/IEC 7816-6 (1995): "Identification cards - Integrated circuit(s) cards with contacts -
[14] [15] [16] [17] [18]	3GPP TS 31.102: "Characteristics of the USIM application".  3GPP TS 31.110: "Numbering system for telecommunication IC card applications".  ISO/IEC 7816-3 (1997): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols".  ISO/IEC 7816-4 (1995): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 4: Interindustry commands for interchange".  ISO/IEC 7816-6 (1995): "Identification cards - Integrated circuit(s) cards with contacts - Part 6: Interindustry data elements".

Mobile Network (PLMN)".

3GPP TS 22.001: "Principles of circuit telecommunication services supported by a Public Land

[23]	3GPP TS 23.048: "Security Mechanisms for the (U)SIM application toolkit; Stage 2".
[24]	IETF RFC 1738: "Uniform Resource Locators (URL)".
[25]	IETF RFC 768: "User Datagram Protocol".
[26]	IETF RFC 793: "Transmission Control Protocol".
[27]	3GPP TS 44.018: "Mobile radio interface Layer 3 specification; Radio Resource Control Protocol".
[28]	"Specification of the Bluetooth system; Profiles part" <a href="http://www.virelex.com/bluetooth/specification.asp">http://www.virelex.com/bluetooth/specification.asp</a> ;
[29]	TIA/EIA-136-123 (April 2001): "Third Generation Wireless - Digital Control Channel Layer 3".
[30]	3GPP TS 23.003: "Numbering, addressing and identification".
[31]	TIA/EIA/IS-820: "Removable User Identity Module (R-UIM) for TIA/EIA Spread Spectrum Standards".
[32]	ETSI TS 102 223: "Smart Cards; Card Application Toolkit (Release 5)".
[33]	3GPP TR 21.905: "Vocabulary for 3GPP specifications".
[34]	3GPP TS 22.101: "Service aspects; Service principles".
[35]	3GPP TS 25.401: "UTRAN overall description".
[36]	3GPP TS 25.413: "UTRAN Iu interface RANAP signalling".

Sopina Antipons	s, rrance, 16 – 1	3 NOVEIII	Dei 2004	+				
	CI	HANGE	FREQ	UF	ST	•	C	R-Form-v7.1
æ	31.111 CR		_			Current version:	6.3.0	¥

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

ge a	iffects:	UICC apps# X	M	IE X Radio Aco	cess Netwo	k	Core Network	
Ħ	Correct	ion for non 3GPP refe	erence	es es				
¥	T3							
:#	TEI6				Date: ℜ	18/	11/2004	
	Use <u>one</u> (c) F (c) A (c) B (a) C (f) D (e) Detailed (e)	orrection) corresponds to a correct addition of feature), unctional modification o editorial modification) explanations of the above	ion in a f featur	an earlier release) re)	Use <u>one</u> of Ph2	the foll (GSM (Relea (Relea (Relea (Relea (Relea (Relea (Relea	llowing releases: 1 Phase 2) ase 1996) ase 1997) ase 1998) ase 1999) ase 4) ase 5) ase 6)	
	# # :# #	米 T3 : 米 TEI6  米 F Use one one one one one one one one one on	<ul> <li>第 Correction for non 3GPP reference</li> <li>第 T3</li> <li>: 第 TEI6</li> <li>第 F  Use one of the following categori</li></ul>	# Correction for non 3GPP reference  # T3  # TEI6  # F Use one of the following categories:     F (correction)     A (corresponds to a correction in a B (addition of feature),     C (functional modification)  Detailed explanations of the above cate	# Correction for non 3GPP references  # T3  # TEI6  # F  Use one of the following categories:     F (correction)     A (corresponds to a correction in an earlier release)     B (addition of feature),     C (functional modification of feature)     D (editorial modification)  Detailed explanations of the above categories can	# Correction for non 3GPP references  # T3  # TEI6  Date: #  # F  Use one of the following categories:  A (corresponds to a correction in an earlier release)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification of feature)  D (editorial modification)  Page  Page  Page  Release: #  Use one of  Release: #  Use one of  F (correction)  Release: #  Release: #	# Correction for non 3GPP references  # T3  # TEI6  Date: # 18/*  # F  Use one of the following categories:  Use one of the following categories:  Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification of feature)  D (editorial modification)  Detailed explanations of the above categories can be found in 3GPP TR 21.900.  # 18/*  Release: # Release: # Release: # Release:  Use one of the following categories:  Use one of the following categories:  Use one of the following categories can be feature.  Ph2 (GSM: Release: # Release:	# Correction for non 3GPP references  # T3  # TEI6  # Date: # 18/11/2004  # F  Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification of feature)  D (editorial modification)  Detailed explanations of the above categories can be found in 3GPP TR 21.900.  # Correction

Reason for change: #	During last T3 meeting, it was aggred to indicate which release of ETSI TS 102 223 was referred to, to avoid potential troublesome mix of releases when implementing tollkit.
Summary of change: #	A clear reference to the release for ETSI TS 102 223 is added.
Consequences if # not approved:	Possible mix and misimplementation of the specification.

Clauses affected:	<b>光 2</b>
	YN
Other specs	★ X Other core specifications # A Section A Sectio
affected:	X Test specifications
	X O&M Specifications
Other comments:	<b>x</b>

### **How to create CRs using this form:**

- 1) Fill out the above form. The symbols above marked \$\mathbb{K}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

- downloaded from the 3GPP server under  $\underline{\text{ftp://ftp.3gpp.org/specs/}}$  For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1]	3GPP TS 22.002: "Circuit Bearer Services (BS) supported by a Public Land Mobile Network (PLMN)".
[2]	3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)".
[3]	3GPP TS 22.042: "Network Identity and Time Zone (NITZ); Service description; Stage 1".
[4]	3GPP TS 23.038: "Alphabets and language-specific information".
[5]	3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
[6]	3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
[7]	3GPP TS 23.122: "Non-Access Stratum functions related to Mobile Station (MS) in idle mode".
[8]	3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
[9]	3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
[10]	3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
[11]	3GPP TS 24.080: "Mobile radio layer 3 supplementary services specification; Formats and coding".
[12]	3GPP TS 27.007: "AT command set for 3G User Equipment (UE)".
[13]	3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".
[14]	3GPP TS 31.102: "Characteristics of the USIM application".
[15]	Void.
[16]	Void.
[17]	Void.
[18]	Void.
[19]	Void.
[20]	Void.
[21]	Void.
[22]	3GPP TS 22.001: "Principles of circuit telecommunication services supported by a Public Land Mobile Network (PLMN)".
[23]	3GPP TS 23.048: "Security Mechanisms for the (U)SIM application toolkit; Stage 2".
[24]	Void.

[25]	Void.
[26]	Void.
[27]	3GPP TS 44.018: "Mobile radio interface Layer 3 specification; Radio Resource Control Protocol".
[28]	Void.
[29]	Void.
[30]	3GPP TS 23.003: "Numbering, addressing and identification".
[31]	Void.
[32]	ETSI TS 102 223: "Smart Cards; Card Application Toolkit (Release 6)".
[33]	3GPP TR 21.905: "Vocabulary for 3GPP specifications".
[34]	3GPP TS 22.101: "Service aspects; Service principles".
[35]	3GPP TS 25.401: "UTRAN overall description".
[36]	3GPP TS 25.413: "UTRAN Iu interface RANAP signalling".
[37]	3GPP TS 24.090: "Unstructured Supplementary Service Data (USSD) - Stage 3".
[38]	3GPP TS 25.331: "Radio Resource Control (RRC) Protocol Specification".
[39]	3GPP TS 25.133: "Requirements for support of radio resource management".
[40]	3GPP TS 23.140: "Multimedia Messaging Service (MMS), Stage 2".

 $\mathfrak{R}$ 

CR-Form-v7.1

CHANGE REQUEST

31.111 CR 126 # rev - # Current version: 6.3.0 #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **X** symbols.

Proposed chan	ge a	affects:	UICC apps第 <mark>X</mark>	M	EX Radio Acc	ess Netwo	ck Core Network
Title:	$\mathfrak{H}$	Termin	al Profile alignemen	t with E	TSI TS 102 223		
Source:	$\mathfrak{H}$	T3					
Work item code	:: X	TEI6				Date: ₩	18/11/2004
Category:	$\mathfrak{H}$	F			F	Release: ∺	Rel-6
			of the following catego	ories:			the following releases:
		,	correction)			Ph2	(GSM Phase 2)
		-	corresponds to a corre	ection in a	an earlier release)	R96	(Release 1996)
		•	addition of feature),			R97	(Release 1997)
		•	unctional modification	of featur	re)	R98	(Release 1998)
		١,	editorial modification)			R99	(Release 1999)
		Detailed of	explanations of the ab	ove cate	gories can	Rel-4	(Release 4)
		be found	in 3GPP <u>TR 21.900</u> .			Rel-5	(Release 5)
						Rel-6	(Release 6)
						Rel-7	(Release 7)

Reason for change: %	Byte 18 should refer correctly to TS 102 223, as done for the other bytes. Byte 22 is not clear on bit affectation.
Summary of change: ₩	Bytes 18 and 22 updated
Consequences if ₩	Possible inconsistencies when implementing the specification.
not approved:	

Clauses affected:	Ж	5.	.2		•	
		Υ	N			
Other specs	$\aleph$		X	Other core specifications	$\mathfrak{H}$	
affected:			X	Test specifications O&M Specifications		
	L			•		
Other comments:	$\mathbb{H}$					

### How to create CRs using this form:

- Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3)	3) With "track changes" disabled, paste the entire CR form (the clause containing the first piece of changed text. Delethe change request.	use CTRL-A to select it) into the specification just in front of the those parts of the specification which are not relevant to

# 5.2 Structure and coding of TERMINAL PROFILE

Direction: ME to UICC.

The command header is specified in 3GPP TS 31.101 [13].

Command parameters/data:

Description	Clause	M/O/C	Length
Profile	-	М	lgth

Profile:

Contents:

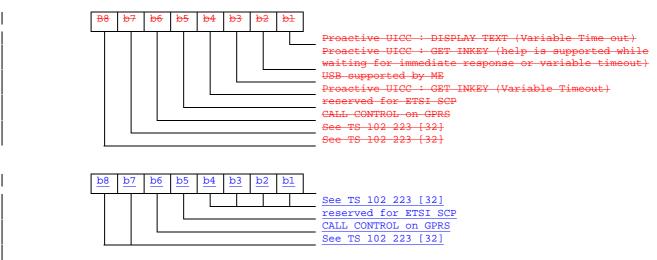
- The list of USAT facilities that are supported by the ME.

### Coding:

- 1 bit is used to code each facility:
  - bit = 1: facility supported by ME.
  - bit = 0: facility not supported by ME.

# [...]

### Eighteenth byte:



Nineteenth byte: (reserved for TIA/EIA-136 facilities):

- See TS 102 223 [32].

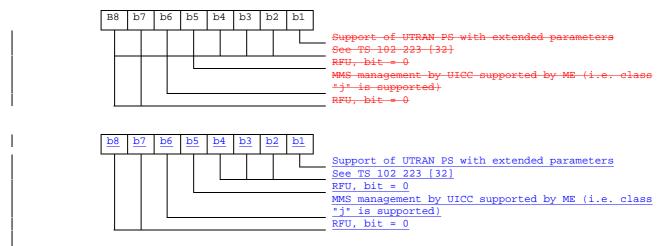
Twentieth byte: (reserved for TIA/EIA/IS-820 facilities):

- See TS 102 223 [32].

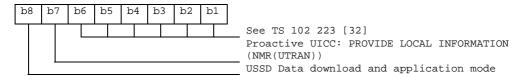
Twenty-first byte (Extended Launch Browser Capability) for class "c":

- See TS 102 223 [32].

### Twenty second byte:



### Twenty third byte:



### Subsequent bytes:

- See TS 102 223 [32].

### Response parameters/data:

- None.

Sophia Antipolis, France, 16 – 19 November 2004

Sopilia Antip	ons, France, 16 -	19 NOVEII	ibei zuu	4				
							C	R-Form-v7.1
CHANGE REQUEST								
*	31.111 CR	127	<b>≋rev</b>	-	Ħ	Current version:	6.3.0	$\mathfrak{H}$

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	ge a	affects:	UICC apps業 <mark>X</mark>	M	E X Radio Acc	ess Networ	ck Core Network
Title:	Ж	Correc	tion for a missing let	ter class	s in Annex A		
Source:	ж	T3					
Work item code	:#	TEI6				Date: ₩	18/11/2004
Category:	¥	F (c) A (c) B (d) C (f) D (d) Detailed	of the following categororrection) corresponds to a correction of feature), functional modification editorial modification) explanations of the ab in 3GPP TR 21.900.	ction in a	an earlier release) re)	Ph2 R96 R97 R98 R99	Rel-6 the following releases: (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5) (Release 6) (Release 7)

Reason for change:	$\mathfrak{H}$	The letter class "i" is missing in the annex A table, though existing in TS 102 223.
Summary of change: ₩		Letter class "i" is added
Consequences if	$\mathfrak{H}$	Inconsistency in letter classes.
not approved:		

Clauses affected:	₩ Annex A
Othersen	Y N
Other specs affected:	<ul><li></li></ul>
	X O&M Specifications
Other comments:	<b>x</b>

#### How to create CRs using this form:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3)	3) With "track changes" disabled, paste the entire CR form (the clause containing the first piece of changed text. Delethe change request.	use CTRL-A to select it) into the specification just in front of the those parts of the specification which are not relevant to

# Annex A (normative): Support of USAT by Mobile Equipment

Support of USAT is optional for Mobile Equipment. However, if an ME states conformance with a specific 3G release, it is mandatory for the ME to support all functions of that release.

The support of USAT implies the support of CAT (TS 102 223 [32]).

The support of letter classes, which specify mainly ME hardware dependent features, is optional for the ME and may supplement the USAT functionality described in the present document. If an ME states conformance to a letter class, it is mandatory to support all functions within the respective letter class.

The table below indicates the commands and functions of the optional letter classes.

Letter classes	Command/function description
а	See TS 102 223 [32]
b	See TS 102 223 [32]
С	See TS 102 223 [32]
d	See TS 102 223 [32]
е	See TS 102 223 [32]
f	See TS 102 223 [32]
g	See TS 102 223 [32]
h	See TS 102 223 [32]
<u>i</u>	See TS 102 223 [32]
j	Proactive command: RETRIEVE MULTIMEDIA MESSAGE
	Proactive command: SUBMIT MULTIMEDIA MESSAGE Event download: MMS Transfer status

CR-Form-v7.1

### CHANGE REQUEST

 $\mathfrak{R}$ 

31.111 CR

131

**#rev** 

Current version: 6.3.0
 Current version: 6.3.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the \mathbb{H} symbols.

Proposed change affects:

UICC apps X X

ME X Radio Access Network Core Network

Title:

Display Multimedia Messages from the USIM

Source:

T3

Date: 第 19/11/2004

Category:

**₩ B** 

Use one of the following categories:

F (correction)

**A** (corresponds to a correction in an earlier release)

**B** (addition of feature), **C** (functional modification of feature)

**D** (editorial modification)

Detailed explanations of the above categories can

be found in 3GPP TR 21.900.

Release: # Rel-6

Ph2

Use one of the following releases:

(GSM Phase 2)

R96 (Release 1996)

R97 (Release 1997) R98

(Release 1998) R99 (Release 1999)

Rel-4 (Release 4)

Rel-5 (Release 5) Rel-6 (Release 6)

Rel-7 (Release 7)

Reason for change: %

A new requirement on USAT MMS presentation has been introduced in TS 22.038 release 6:

#### 7.7 MMS presentation requirements

The USAT shall be able to request the ME to present to the user a Multimedia Message (i.e. via the MMS user agent in the ME) stored in the UICC (see TS 22.140 [16]).

The aim of this CR is to implement this requirement in the toolkit Technical Specification.

Summary of change: # A new proactive command is added to initiate a display of a multimedia message on the terminal.

Consequences if  $\mathfrak{R}$ not approved:

Clauses affected:

第 3.2 - 6.4.X (new) - 6.6.X (new) - 6.9 - 6.11 - 8.6 - 8.43 - 9.4 - 10 - Annex A

Other specs

Other core specifications

affected:	X Test specifications O&M Specifications
Other comments:	₩ Replaces T3-040489

### 3.2 Abbreviations

For the purpose of the present document, the abbreviations given in TS 102 223 [32] and TR 21.905 [33] and the following apply:

ADN Abbreviated Dialling Number

CB Cell Broadcast

CBMID Cell Broadcast Message IDentifier EGPRS EDGE General Packet Radio Service

FDN Fixed Dialling Number
GGSN Gateway GPRS Support Node
GPRS General Packet Radio Service

GSM Global System for Mobile communications

MM Multimedia Message

MMS Multimedia Messaging Service

MMI Man Machine Interface

PDP Packet Data Protocol, e.g., Ip or X25 or PPP

RFU Reserved for Future Use SS Supplementary Service

SSC Supplementary Service Control string

USAT USIM Application Toolkit

USIM Universal Subscriber Identity Module
USSD Unstructured Supplementary Service Data

### 6.4.x DISPLAY MULTIMEDIA MESSAGE

This command shall be used to display a multimedia message (if class j is supported). The multimedia message is defined in TS 23.140 [40].

This command allows the UICC to define the priority of the message. Two types of priority are defined:

- display normal priority multimedia message;
- display high priority multimedia message.

A flag (see command qualifier, clause 6.8.1) shall be set to inform the terminal whether the availability of the screen for subsequent information display after its use for "Display Multimedia Message" should be either after a short delay (the duration of the delay being at the discretion of the terminal manufacturer), or following a user MMI action.

An immediate response object may be included by the UICC, to indicate if the terminal should sustain the display beyond sending the TERMINAL RESPONSE.

The behaviour of Terminals supporting this feature is described below:

- if the user has indicated the need to end the proactive UICC application session, the terminal shall send a TERMINAL RESPONSE with "Proactive UICC application session terminated by the user" result value;
- if the user has indicated the need to go backwards in the proactive UICC application session, the terminal shall send a TERMINAL RESPONSE with "Backward move in the proactive UICC session requested by the user" result value;
- if a flag of the command qualifier (see clause 6.8.1) indicates that the terminal shall wait for the user to clear message and if the terminal decides that no user response has been received, the terminal shall send a TERMINAL RESPONSE with "No response from user" result value;
- if the UICC includes an immediate response object, the terminal shall immediately send TERMINAL
   RESPONSE (Command performed successfully). The terminal shall continue to display the multimedia message until one of the following events occurs:
  - a subsequent proactive command is received containing display data;
  - the expiration of the short delay, if so indicated by the command qualifier;
  - following a user MMI action;
  - when a higher priority event occurs, e.g. an incoming mobile terminated call.
- no further TERMINAL RESPONSE shall be sent when the terminal removes the multimedia message from the display, regardless of the cause;
- otherwise, the terminal shall send TERMINAL RESPONSE (Command performed successfully) at the expiration of either the short delay or the variable display timeout, or following a user MMI action not described above.

In each case the availability of the screen for the subsequent information display is defined in clause 6.9.

NOTE: For the case where the message is cleared after a short delay, the terminal may also allow the user to clear the display via the MMI prior to this.

The terminal shall reject normal priority text or multimedia messages commands if the screen is currently being used for more than its normal stand-by display. If the command is rejected, the terminal informs the UICC using TERMINAL RESPONSE (terminal currently unable to process command - screen busy).

High priority text or multimedia message should be displayed on the screen immediately, except if there is a conflict of priority level of alerting (e.g. emergency call, incoming calls, low battery warning). In that situation, the resolution is left to the terminal. If the command is rejected in spite of the high priority, the terminal shall inform the UICC using TERMINAL RESPONSE (terminal currently unable to process command - screen is busy).

If help information is requested by the user, this command may be used to display help information on the screen. The help information should be sent as high priority message and with the option that it should be cleared after a short delay.

[...]

# 6.6.X DISPLAY MULTIMEDIA MESSAGE

<u>Description</u>	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	M	<u>Y</u>	<u>1</u>
Length (A+B+C+D+E)	<u>=</u>	<u>M</u>	<u>Y</u>	<u>1 or 2</u>
Command details	<u>8.6</u>	<u>M</u>	<u>Y</u>	<u>A</u>
Device identities	8.7	<u>M</u>	<u>Y</u>	<u>B</u>
MMS Submission File	<u>8.18</u>	<u>M</u>	<u>Y</u>	<u>C</u>
Multimedia Message identifier	<u>8.75</u>	<u>M</u>	<u>Y</u>	D
Immediate response	<u>8.43</u>	<u>O</u>	<u>N</u>	<u>E</u>

[...]

# 6.9 Proactive UICC session and ME display interaction

The procedure defined in TS 102 223 [32] for ME display interaction applies also for DISPLAY MULTIMEDIA MESSAGE. See TS 102 223 [32].

[...]

# 6.11 Proactive commands versus possible Terminal response

Table 6.1 shows for each proactive command the possible terminal response returned (marked by a "●" character), in addition to those defined in TS 102 223 [32].

CR page 7

Table 6.1: Proactive commands versus possible Terminal response (continued overleaf...)

									PROACTIVE COMMAND												
		RE- FRESH	MORE TIME	POLL INTER- VAL	POLL- ING OFF	SETUP EVENT LIST	SET UP CALL	SEND SS	SEND USSD		SEND DTMF	LAUNC H BROW SER	PLAY TONE	DIS- PLAY TEXT	GET INKEY	GET INPUT	SEL- ECT ITEM	SET UP MENU	PRO- VIDE LOCAL INFO		SETU P IDLE MODE TEXT
	TERMINAL RESPONSE	'01'	'02'	'03'	'04'	'05'	'10'	'11'	'12'	'13'	'14'	'15'	'20'	'21'	'22'	'23'	'24'	'25'	'26'	'27'	'28'
14	USSD or SS Transaction terminated by user						•	•	•												
27	MMS Temporary Problem																				
34	SS Return Error						•	•													
35	SMS RPERROR									•											
37	USSD return error								•												
39	Interaction with call/SM control by USIM, permanent problem						•	•	•	•											
3D	MMS Error																				

Table 6.1: Proactive commands versus possible Terminal response

										4 O TIV / E					1				
									PRO	ACTIVE	COMI	IAND							
		CARD APDU	POWER ON CARD	OFF CARD	GET READ- ER STATUS	RUN AT COMM- AND	LANG NOTIFI CA TION	OPEN CHANN EL	CLOSE CHANN EL	RECEIVE DATA	SEND DATA	GET CHANN EL STATUS	SERVIC E SEARC H	GET SERVIC E INFORM ATION	RE SERVIC	RETRIE VE MM		DISPLA Y MULTIM EDIA MESSA GE	
	TERMINAL RESPONSE	'30'	'31'	'32'	'33'	'34'	'35'	'40'	'41'	'42'	'43'	'44'	'45'	'46'	'47'	'60'	'61'	<u>'XX'</u>	
14	USSD or SS Transaction terminated by user																		
27	MMS Temporary Problem															•	•	•	
34	SS Return Error																		
35	SMS RPERROR																		
37	USSD return error		_																
39	Interaction with call/SM control by USIM, permanent problem																		
3D	MMS Error															•	•	•	

### 8.6 Command details

The content and the coding of the Command Details TLV object is defined in TS 102 223 [32], except for the following.

The coding of the Command Qualifier is defined for the following commands:

- SEND SS:
  - this byte is RFU.
- SEND USSD:
  - this byte is RFU.
- PROVIDE LOCAL INFORMATION. The following additional values are defined:
  - '00' = Location Information (MCC, MNC, LAC, Cell Identity and Extended Cell Identity)
  - '02' = Network Measurement results.
  - '05' = Timing Advance.
- DISPLAY MULTIMEDIA MESSAGE:
  - bit 1: 0 = normal priority;
    - 1 = high priority.
  - bits 2 to 7: = RFU.
  - bit 8: 0 = clear message after a delay;
    - 1 = wait for user to clear message.

[...]

# 8.43 Immediate response

In addition to what is defined in TS 102 223 [32], this TLV object is used in the sustained DISPLAY MULTIMEDIA MESSAGE command. See TS 102 223 [32].

[...]

# 9.4 Type of Command and Next Action Indicator

The table below shows the values which shall be used for Type of Command coding (see clause 8.6) and Next Action Indicator coding (see clause 8.24) in addition to those defined in TS 102 223 [32].

Value	Name	used for Type of Command coding	used for Next Action Indicator coding
'11'	SEND SS	X	X
'12'	SEND USSD	X	X
'60'	RETRIEVE MULTIMEDIA MESSAGE	Х	X
'61'	SUBMIT MULTIMEDIA MESSAGE	X	X
'XX'	DISPLAY MULTIMEDIA MESSAGE	X	X

# 10 Allowed Type of command and Device identity combinations

Only certain types of commands can be issued with certain device identities. These combinations are defined below, in addition to TS 102 223 [32].

Command description	Source	Destination
CELL BROADCAST DOWNLOAD	Network	UICC
MO SHORT MESSAGE CONTROL	ME	UICC
SEND SS	UICC	Network
SEND USSD	UICC	Network
RETRIEVE MULTIMEDIA MESSAGE	UICC	Network
SUBMIT MULTIMEDIA MESSAGE	UICC	Network
MMS Transfer Status	Network	UICC
DISPLAY MULTIMEDIA MESSAGE	<u>UICC</u>	<u>ME</u>

[...]

# Annex A (normative): Support of USAT by Mobile Equipment

Support of USAT is optional for Mobile Equipment. However, if an ME states conformance with a specific 3G release, it is mandatory for the ME to support all functions of that release.

The support of USAT implies the support of CAT (TS 102 223 [32]).

The support of letter classes, which specify mainly ME hardware dependent features, is optional for the ME and may supplement the USAT functionality described in the present document. If an ME states conformance to a letter class, it is mandatory to support all functions within the respective letter class.

The table below indicates the commands and functions of the optional letter classes.

Letter classes	Command/function description
а	See TS 102 223 [32]
b	See TS 102 223 [32]
С	See TS 102 223 [32]
d	See TS 102 223 [32]
е	See TS 102 223 [32]
f	See TS 102 223 [32]
g	See TS 102 223 [32]
h	See TS 102 223 [32]
j	Proactive command: RETRIEVE MULTIMEDIA
	MESSAGE
	Proactive command: SUBMIT MULTIMEDIA MESSAGE
	Proactive command: DISPLAY MULTIMEDIA MESSAGE
	Event download: MMS Transfer status

	CI	HANG	E REQ	UEST	-	C	R-Form-v7.1
*	31.111 CR	130	<b>≋rev</b>	<b>-</b> #	Current version:	6.3.0	*

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	ge a	affects:	UICC apps光 <mark>X</mark>	N	IE <mark>X</mark> Radio Ac	cess Netwo	rk Core Network
Title:	$\mathfrak{H}$	UICC ir	nitiated GBAU Bootstr	арр			
Source:	$\mathfrak{H}$	Axalto					
Work item code	:#	SEC1-S	SC .			Date: ₩	04/11/2004
Category:	¥	В				Release: ૠ	Rel-6
			of the following categori orrection)	es:		Use <u>one</u> of Ph2	the following releases: (GSM Phase 2)
		•	corresponds to a correct	on in a	an earlier release)	R96	(Release 1996)
		<b>B</b> (a	nddition of feature),		,	R97	(Release 1997)
		,	unctional modification o	featur	re)	R98	(Release 1998)
		,	editorial modification)			R99	(Release 1999)
			explanations of the above	e cate	gories can	Rel-4	(Release 4)
		be found	in 3GPP <u>TR 21.900</u> .			Rel-5	(Release 5)
						Rel-6	(Release 6)
						Rel-7	(Release 7)

Reason for change: # GBA with UICC-based enhancements (GBA\_U) may be used to establish security associations between any UE application and a Network Application Function (NAF).

In the case of a USAT application, a mechanism for initiating a bootstrapping procedure is needed in case that bootstrapped keys are not available.

This proposal has already been presented during T3#32 in doc T3-040486, which was delayed while waiting for a response from a LS T3 sent to SA3 (T3-040562).

In their response (T3-040636), SA3 provide some considerations and ask T3 to implement the involved functionality within Rel-6 time frame.

Summary of change: 第 The following changes are included:

- addition of a bit in TERMINAL PROFILE to indicate the support by the ME of Toolkit-initiated GBA
- Inclusion of specific requirements to perform GBA bootstrap procedure initiatiated by a REFRESH command on  $\text{EF}_{\text{GBABP}}$

Consequences if not approved:

# USAT applications will not be able to use GBA\_U when a bootstrapp procedure has not been performed by the ME.

Clauses affected: # 5.2 - 6.4.7.2 (new)

YN

Other specs affected:	#		Other core specifications Test specifications O&M Specifications	¥	
Other comments:	æ				

### 5.2 Structure and coding of TERMINAL PROFILE

Direction: ME to UICC.

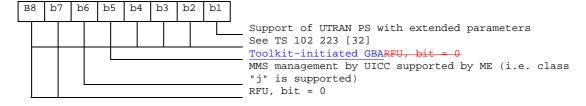
The command header is specified in 3GPP TS 31.101 [13].

Command parameters/data:

Description	Clause	M/O/C	Length
Profile	-	M	lgth

[...]

Twenty second byte:



 $[\ldots]$ 

# 6 Proactive UICC

[...]

### 6.4.7 REFRESH

See TS 102 223 [32].

### 6.4.7.1 EF<sub>IMSI</sub> changing procedure

When an  $EF_{IMSI}$  is changed via Data Download or a USAT application and a REFRESH command is issued by the UICC the following rules apply to the UICC and ME:

- USIM Initialization. This command shall not be used if an  $EF_{IMSI}$  is changed, as the behaviour of the UE is unpredictable;
- File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- USIM Initialization and File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- USIM Initialization and Full File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- UICC Reset. Normal UICC Reset procedure is carried out;

- USIM Application Reset. Normal USIM Application Reset procedure is carried out;
- 3G Session Reset. Normal 3G Session Reset procedure is carried out.

If an  $EF_{IMSI}$  is to be updated, neither  $EF_{IMSI}$  nor  $EF_{LOCI}$  shall be updated in the UICC before the 3G session termination procedure has been completed by the ME.

### 6.4.7.2 Generic Bootstrapping Procedure Request

If Toolkit-initiated GBA is supported by the ME, as indicated in the TERMINAL PROFILE, then the following applies:

When the UICC issues a REFRESH command implying a File Change Notification on EF<sub>GBABP</sub> under ADF USIM (GBA Bootstrapping parameters) the ME shall perform a GBA bootstrapping procedure (as defined in TS 31.102 [14]).

This procedure applies to REFRESH command only in the following modes: USIM File Change Notification; USIM Initialization and File Change Notification; and 3G Session Reset.