3GPP TSG-T (Terminals) Meeting #18 New Orleans, USA, 4-6 December 2002

Source:TSG-T3Title:Change Requests to TS 11.11 and TS 51.011 "SIM"Document for:Approval

This document contains several change requests as follows:

Doc-1st- Level	Spec	CR	Phas e	Subject	Cat	Vers. old	Vers. new	Doc-2nd- Level
TP-020278	11.11	A133	R99	Essential corrections file size and record lengths in several EFs	F	8.8.0	8.9.0	T3-020917
TP-020278	51.011	016	Rel-4	Essential corrections file size and record lengths in several EFs	A	4.5.0	4.6.0	T3-020918

3GPP TSG-T3 Meeting #25 Maastricht, Netherlands, 5-8 November 2002

Tdoc **#***T3-020917*

	CHANGE REQUEST										CR-Form-v7		
æ		11.11	CR	A133		жrev		-	Ħ	Current ver	sion:	8.8.0	ж
For <u>HELP</u> or	า นะ	sing this fo	orm, see	e bottom o	of this	page o	or loc	ok a	t the	e pop-up tex	t over	⁻ the	nbols.
Proposed chang	e a	affects:	UICC a	pps# X		ME	<mark>X</mark> R	Radi	o Ao	ccess Netwo	ork	Core Ne	etwork
Title:	ж	Essentia	l correc	<mark>tions file s</mark>	size a	nd rec	ord le	eng	ths i	in several E	Fs		
Source:	Ħ	TSG T3											
Work item code:	Ж	TEI								Date: ៖	€ <mark>07</mark> /	/11/2002	
Category:	ж	Use <u>one</u> o F (co A (co B (ac C (fu	rrection) prrespond Idition of nctional litorial m xplanatio	ds to a corr feature), modification odification) ns of the a	rection n of fe	n in an e eature)			ease	2	of the fo (GSN (Rela (Rela (Rela (Rela (Rela (Rela	9 billowing rele M Phase 2) ease 1996) ease 1997) ease 1998) ease 1999) ease 4) ease 5) ease 6)	

Reason for change:	# The file size and record length bytes are incorrect in several EFs
Summary of change:	# The description of file size of the file EF _{HPLMWAct} and the record length in EF _{ORPK} , EF _{ARPK} , EF _{TPRPK} and EF _{SDN} were changed to the correct length. Comments were added in the chapter "Elementary Files".
Consequences if	# Incorrect description of the file sizes and record length of several EFs within the
not approved:	specification
Clauses affected:	# 6.4; 10.3.77; 10.4.2.2; 10.4.2.3; 10.4.2.4; 10.5.9
	Y N % X Other core specifications % TS 51.011 ; 31.102
Affected:	X Test specifications X O&M Specifications
Other comments:	ж

6.4 Elementary files

An Elementary File (EF) is composed of a header and a body part. The following three structures of an EF are used by GSM.

A file is associated with attributes that depending of the file type indicates how data is to be accessed e.g. file size, record length etc. Although in the present document some files and data structures stored in a file are indicated as having a fixed length; when reading such structures the terminal shall derive the length of the structure from the attributes provided in the file information i.e. not use the fixed value specified for the file in the present document. Although the terminal is able to read the entire structure it should only use those elements from the structure which is recognised by the terminal.

10.3.37 EF_{HPLMNwact} (HPLMN Selector with Access Technology)

The HPLMN Selector with access technology data field shall contain the HPLMN code, or codes together with the respective access technology in priority order (see TS 23.122 [51]).

Identifier: '	6F62'	Str	ucture: transparent		Optional		
File size:	5n <u>(n ≥ 1)</u> byte	es	Updat	e activity	: low		
Access Conditions	Access Conditions:						
READ		CHV	1				
UPDATE		ADM					
INVALIDA	TE	ADM					
REHABILI	TATE	ADM					
Bytes		Descript	ion	M/O	Length		
1 to 3	1 st PLMN (hig	ghest priority)	М	3 bytes		
4 to 5	1 st PLMN Ac	cess Techno	logy Identifier	М	2 bytes		
6 to 8	2 nd PLMN			0	3 bytes		
9 to 10	2 nd PLMN Ac	2 nd PLMN Access Technology Identifier			2 bytes		
:		:					
(5n-4) to (5n-2)	N th PLMN (lo	west priority)	0	3 bytes		
(5n-1) to 5n	N th PLMN Ac	cess Techno	ology Identifier	0	2 bytes		

- PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding: according to TS 24.008 [47].

- Access Technology

Contents: The Access Technology of the HPLMN that the MS will assume when searching for the HPLMN, in priority order. The first Access Technology in the list has the highest priority.

Coding: See $EF_{PLMNwAcT}$ for coding.

10.4.2.2 EF_{ORPK} (Operator Root Public Key)

This EF contains the descriptor(s) of certificates containing the Operator Root Public Key. This EF shall only be allocated if the operator wishes to verify applications and certificates in the MExE operator domain using a root public key held on the SIM. Each record of this EF contains one certificate descriptor.

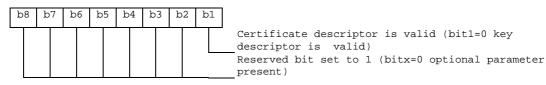
For example, Operator may provide a second key for recover disaster procedure in order to limit OTA data to load.

Identifier: '4F41'			ructure: linear fixed Optional			
Record length : X + 10 bytes, $X \ge 1$ Update			Update	e activity	/: low	
Access Conditions: READ CHV1 UPDATE ADM INVALIDATE ADM REHABILITATE ADM						
Bytes		Description			Length	
1	Parameters indic	cator		М	1 byte	
2	Flags			Μ	1 byte	
3	Type of certificat	e		М	1 byte	
4 to 5	Key/certificate fil	e identifier		М	2 bytes	
6 to 7	Offset into key/c	ertificate file		М	2 bytes	
8 to 9	Length of key/certificate data			М	2 bytes	
10	Key identifier length (<u>X</u> k)			М	1 byte	
11 to 10+ <u>X</u> k	Key identifier			М	<u>X</u> k bytes	

Parameter indicator

Contents:

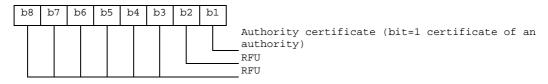
The parameter indicator indicates if record is full and which optional parameters are present Coding: bit string



Flags

Contents:

The authority flag indicates whether the certificate identify an authority (i.e. CA or AA) or not. Coding: bit string



- Type of certificate

Contents:

This field indicates the type of certificate containing the key.

- Coding: binary :
 - 0 : WTLS
 - 1 : X509
 - 2 : X9.68

Other values are reserved for further use

- Key/certificate File Identifier

Contents:

these bytes identify an EF which is the key/certificate data file (see subclause 10.7.5), holding the actual key/certificate data for this record.

Coding:

byte 4: high byte of Key/certificate File Identifier; byte 5: low byte of Key/certificate File Identifier.

- Offset into Key/certificate File

Contents:

these bytes specify an offset into the transparent key/certificate data File identified in bytes 4 and 5. Coding:

byte 6: high byte of offset into Key/certificate Data File; byte 7: low byte of offset into Key/certificate Data File

Length of Key/certificate Data

Contents:

these bytes yield the length of the key/certificate data, starting at the offset identified in "Offset into Key/certificate File" field.

Coding:

byte 8: high byte of Key/certificate Data length; byte 9: low byte of Key/certificate Data length.

- Key identifier length

Contents:

This field gives length of key identifier Coding:

binary

- Key identifier

Contents:

This field provides a means of identifying certificates that contain a particular public key (chain building) and linking the public key to its corresponding private key. For more information about value and using see TS 23.057 [50].

Coding:

octet string

Note: transparent key/certificate data longer than 256 bytes may be read using successive READ BINARY commands.

10.4.2.3 EF_{ARPK} (Administrator Root Public Key)

This EF contains the descriptor(s) of certificates containing the Administrator Root Public Key. This EF shall only be allocated if the SIM issuer wishes to control the Third Party certificates on the terminal using an Administrator Root Public Key held on the SIM. Each record of this EF contains one certificate descriptor.

This file shall contain only one record.

Identifier: '4F42' S		Str	tructure: linear fixed Optiona		
Record le	Record length: X + 10 bytes, $X \ge 1$ Updat			e activity	: low
Access Condit	ions:				
READ UPDA	r -	CHV ADM			
INVAL	—	ADM			
REHA	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1	Parameters indic	cator		М	1 byte
2	Flags			М	1 byte
3	Type of certificat	e		М	1 byte
4 to 5	Key/certificate fil	e identifier		М	2 bytes
6 to 7	Offset into key/c	Offset into key/certificate file			2 bytes
8 to 9	Length of key/certificate data			М	2 bytes
10	Key identifier length (Xk)			М	1 byte
11 to 10+ <u>X</u> k	Key identifier			М	<u>X</u> k bytes

For contents and coding of all data items see the respective data items of the EF_{ORPK} (sub-clause 10.4.2.1).

10.4.2.4 EF_{TPRPK} (Third Party Root Public key)

This EF contains descriptor(s) of certificates containing the Third Party Root Public key (s). This EF shall only be allocated if the SIM issuer wishes to verify applications and certificates in the MExE Third Party domain using root public key(s) held on the SIM. This EF can contain one or more root public keys. Each record of this EF contains one certificate descriptor.

For example, an operator may provide several Third Party root public keys.

Identifi	er: '4F43'	Sti	ructure: linear fixed Optional		
Record lei	$\begin{array}{c} \text{Record length}: X + Y + 1\underline{1}\theta \text{ bytes}, \\ \underline{X \geq 1}: Y \geq \underline{1} \end{array} \qquad $			e activity	: low
Access Condit READ UPDAT INVALI REHAE	ΓE	CHV [,] ADM ADM ADM			
Bytes		Descriptio	n	M/O	Length
1	Parameters indi	cator		М	1 byte
2	Flags			М	1 byte
3	Type of certificat	e		М	1 byte
4 to 5	Key/certificate fil	e identifier		М	2 bytes
6 to 7	Offset into key/c	ertificate file		М	2 bytes
8 to 9	Length of key/ce	rtificate data		М	2 bytes
10	Key identifier ler	igth (<u>X</u> k)		М	1 byte
11 to 10+ <u>X</u> k	Key identifier			М	<u>X</u> k bytes
11+ <u>X</u> k to11+k	Certificate identi	fier length (<u>Y</u>	(m)	М	1 byte
12+ <u>X</u> k to 11+ <u>X</u> k+ <u>Y</u> m	Certificate identi	fier		М	<u>Y</u> m bytes

- Certificate identifier length
 - Contents:

This field gives length of certificate identifier

Coding:

binary

- Certificate identifier

Contents:

This field identify the issuer and provide a easy way to find a certificate. For more information about value and usage, see TS 23.057 [50].

Coding:

Octet string

For contents and coding of all other data items see the respective data items of the EF_{ORPK} (sub-clause 10.7.1).

10.5.9 EF_{SDN} (Service Dialling Numbers)

This EF contains special service numbers (SDN) and/or the respective supplementary service control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain associated alpha-tagging.

Identifi	Identifier: '6F49' Stru			r fixed		Optional	
Recor	Record length: X+14 bytes				Update activity: low		
Access Condit READ UPDAT INVALI REHAB	ГЕ	CHV ⁷ ADM ADM ADM	1				
Bytes		Descriptio	n		M/O	Length	
1 <u>to</u> -X	Alpha identifier				0	X bytes	
X+1	Length of BCD n	umber/SSC	contents		М	1 bytes	
X+2	TON and NPI				М	1 byte	
X+3 <u>to</u> X+12	Dialling Number/SSC String				М	10 bytes	
X+13	Capability/Configuration Identifier				М	1 byte	
X+14	Extension3 Reco	ord Identifier			М	1 byte	

For contents and coding of all data items see the respective data items of the EF_{ADN} (subclause 10.5.1), with the exception that extension records are stored in the EF_{EXT3} .

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in EF_{ADN} .

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Tdoc **#***T3-020918*

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				IIEQT	-		CR-Form-v7	
CHANGE REQUEST								
ж	51.011	CR 016	жrev	_ #	Current vers	ion: 4.5.0	ж	
For <u>HELP</u> on	using this fo	rm, see bottom of t	his page or	look at th	ne pop-up text	over the X syr	nbols.	
Proposed change	affocts	UICC apps 🕷 🗴		Radio A	Access Networ	k Core Ne	atwork	
Proposed change	anecis.				ACCESS MELWOR			
Title: ៖	f Essential	corrections file siz	e and recor	d lengths	in several EF	S		
Source: ៖	f TSG T3							
Work item code: भ	tEI				Date: ೫	07/11/2002		
WOIN REIN COUE.					Date. 80	07/11/2002		
Category:	€ <mark>A</mark>				Release: Ж	Rel-4		
	Use <u>one</u> of	the following categor	ries:		Use <u>one</u> of	the following rele	eases:	
	F (cor	rection)			2	(GSM Phase 2)		
	A (coi	rresponds to a correc	tion in an ea	rlier releas	se) R96	(Release 1996)		
		dition of feature),			R97	(Release 1997)		
		ctional modification of	of feature)			(Release 1998)		
		itorial modification)				(Release 1999)		
		planations of the abo	ve categorie	s can		(Release 4)		
	be found in	3GPP <u>TR 21.900</u> .			Rel-5	(Release 5)		
					Rel-6	(Release 6)		

Reason for change: भ	The file size and record length bytes are incorrect in several EFs and the link to the core chapter in Annex D is not correct.					
Summary of change: #	The description of file size of the file $EF_{HPLMWAct}$ and the record length in EF_{PNN} , EF_{ORPK} , EF_{ARPK} , EF_{TPRPK} and EF_{SDN} were changed to the correct length. Comments were added in the chapter "Application and File Structure".					
Consequences if # not approved:	Incorrect description of the file sizes and record length of several EFs. An incorrect reference in Annex D					
Clauses affected: #	6; 10.3.37, 10.3.41; 10.4.2.2; 10.4.2.3; 10.4.2.4; 10.5.9; Annex D					
Other specs ¥ Affected:	Y N X Other core specifications X TS 31.102 ; TS 11.11 X Test specifications X O&M Specifications					
Other comments: #						

6 Application and File structure

This clause describes the logical structure for a SIM if different from that specified in TS 31.101 [55], the code associated with it, and the structure of files used.

A file is associated with attributes that depending of the file type indicates how data is to be accessed e.g. file size, record length etc. Although in the present document some files and data structures stored in a file are indicated as having a fixed length; when reading such structures the terminal shall derive the length of the structure from the attributes provided in the file information i.e. not use the fixed value specified for the file in the present document. Although the terminal is able to read the entire structure it should only use those elements from the structure which is recognised by the terminal.

10.3.37 EF_{HPLMNWACT} (HPLMN Selector with Access Technology)

The HPLMN Selector with access technology data field shall contain the HPLMN code, or codes together with the respective access technology in priority order (see TS 23.122 [51]).

Identifier: '	6F62'	Str	ucture: transparent		Optional
File size:	5n <u>(n ≥ 1)</u> byte	es	Upda	te activity	: low
Access Conditions	s:				
READ		CHV'	1		
UPDATE		ADM			
INVALIDA	TE	ADM			
REHABILI	TATE	ADM			
Bytes		Descript	ion	M/O	Length
1 to 3	1 st PLMN (hig	ghest priority	')	М	3 bytes
4 to 5	1 st PLMN Ac	cess Techno	logy Identifier	М	2 bytes
6 to 8	2 nd PLMN			0	3 bytes
9 to 10	2 nd PLMN Ac	cess Techno	ology Identifier	0	2 bytes
:		:			
(5n-4) to (5n-2)	N th PLMN (Io	west priority)	0	3 bytes
(5n-1) to 5n	N th PLMN Ac	cess Techno	ology Identifier	0	2 bytes

- PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC). Coding:

according to TS 24.008 [47].

- Access Technology

Contents: The Access Technology of the HPLMN that the MS will assume when searching for the HPLMN, in priority order. The first Access Technology in the list has the highest priority.

Coding: See $EF_{PLMNwAcT}$ for coding.

10.3.41 EF_{PNN} (PLMN Network Name)

This EF contains the full and short form versions of the network name for the registered PLMN. The ME shall use these versions in place of its own versions of the network name for the PLMN (stored in the ME's memory list), and also in place of the versions of the network name received when registered to the PLMN, as defined by 3G TS 24.008 [47].

The first record in this EF is used for the default network name when registered to the HPLMN. Subsequent records are to be used for other network names.

Identifier	: '6FC5'	St	ructure: linear fixe	Optional		
Record length: X bytes , $X \ge 3$			Update activity: low			
Access Conditio READ UPDATE ACTIVAT DEACTIV	ΓE	ALW ADM ADM ADM				
Bytes		ion	M/O	Length		
1 to X	Network name TLV objects			М	X bytes	

- Network Name TLV objects.

The content and coding (Full name for network and Short name for network) is defined below, where the fields within the objects are defined in 3G TS 24.008 [47]:

Length	Description	Status		
1 byte	Full name for network IEI	М		
	(This shall be the same as that used in the			
	MM information message).			
1 byte	Length of Full name for network Name	М		
	contents			
Y bytes	Full name for network contents (Octets 3 to n	М		
	of network name information element)			
1 byte	Short name for network IEI	0		
	(This shall be the same as that used in the MM			
	information message).			
1 byte	Length of Short name for network	C1		
Z bytes	Short name for network contents (Octets 3 to n	C1		
	of network name information element)			
C1: this field shall be present if the short name for network IEI is present				

Coding of the Network Name TLV objects

Unused bytes shall be set to 'FF'.

10.4.2.2 EF_{ORPK} (Operator Root Public Key)

This EF contains the descriptor(s) of certificates containing the Operator Root Public Key. This EF shall only be allocated if the operator wishes to verify applications and certificates in the MExE operator domain using a root public key held on the SIM. Each record of this EF contains one certificate descriptor.

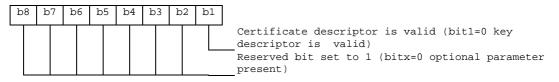
For example, Operator may provide a second key for recover disaster procedure in order to limit OTA data to load.

Identifi	er: '4F41'	Str	ucture: linear fixed		Optional
Record le	Record length : X + 10 bytes, $X \ge 1$ Update		e activity: low		
Access Condit READ UPDA ⁻ INVAL REHAI	ГЕ	CHV1 ADM ADM ADM			
Bytes	Description		M/O	Length	
1	Parameters indicator			Μ	1 byte
2	Flags			М	1 byte
3	Type of certificate			М	1 byte
4 to 5	Key/certificate file identifier			Μ	2 bytes
6 to 7	Offset into key/certificate file			М	2 bytes
8 to 9	Length of key/certificate data		М	2 bytes	
10	Key identifier length (<u>X</u> k)			М	1 byte
11 to 10+ <u>X</u> k	Key identifier			М	<u>X</u> k bytes

- Parameter indicator

Contents:

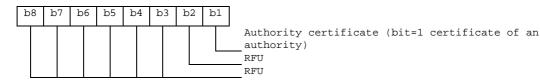
The parameter indicator indicates if record is full and which optional parameters are present Coding: bit string



- Flags

Contents:

The authority flag indicates whether the certificate identify an authority (i.e. CA or AA) or not. Coding: bit string



Type of certificate

Contents:

This field indicates the type of certificate containing the key. Coding: binary :

0 : WTLS

- 1 : X509
- 2 : X9.68
- 2 : A9.08

Other values are reserved for further use

- Key/certificate File Identifier

Contents:

these bytes identify an EF which is the key/certificate data file (see clause 10.7.5), holding the actual key/certificate data for this record.

Coding:

byte 4: high byte of Key/certificate File Identifier; byte 5: low byte of Key/certificate File Identifier.

- Offset into Key/certificate File

Contents:

these bytes specify an offset into the transparent key/certificate data File identified in bytes 4 and 5. Coding:

byte 6: high byte of offset into Key/certificate Data File; byte 7: low byte of offset into Key/certificate Data File

Length of Key/certificate Data

Contents:

these bytes yield the length of the key/certificate data, starting at the offset identified in "Offset into Key/certificate File" field.

Coding:

byte 8: high byte of Key/certificate Data length; byte 9: low byte of Key/certificate Data length.

Key identifier length

Contents:

This field gives length of key identifier Coding: binary

Key identifier

Contents:

This field provides a means of identifying certificates that contain a particular public key (chain building) and linking the public key to its corresponding private key. For more information about value and using see TS 23.057 [50].

Coding:

octet string

NOTE: transparent key/certificate data longer than 256 bytes may be read using successive READ BINARY commands.

10.4.2.3 EF_{ARPK} (Administrator Root Public Key)

This EF contains the descriptor(s) of certificates containing the Administrator Root Public Key. This EF shall only be allocated if the SIM issuer wishes to control the Third Party certificates on the terminal using an Administrator Root Public Key held on the SIM. Each record of this EF contains one certificate descriptor.

This file shall contain only one record.

Identifier: '4F42'		Structure: linear fixed		Optional	
Record length: X + 10 bytes, $X \ge 1$, X ≥ <u>1</u>	Update activity: low		
Access Condit READ UPDAT INVALI REHAE	ΓE	CHV ADM ADM ADM	1		
Bytes	Description			M/O	Length
1	Parameters indicator			М	1 byte
2	Flags			М	1 byte
3	Type of certificate			М	1 byte
4 to 5	Key/certificate file identifier			М	2 bytes
6 to 7	Offset into key/certificate file			М	2 bytes
8 to 9	Length of key/certificate data			М	2 bytes
10	Key identifier length (<u>X</u> k)			М	1 byte
11 to 10+ <u>k</u> X	Key identifier			М	<u>X</u> k bytes

For contents and coding of all data items see the respective data items of the EF_{ORPK} (clause 10.4.2.1).

10.4.2.4 EF_{TPRPK} (Third Party Root Public key)

This EF contains descriptor(s) of certificates containing the Third Party Root Public key (s). This EF shall only be allocated if the SIM issuer wishes to verify applications and certificates in the MExE Third Party domain using root public key(s) held on the SIM. This EF can contain one or more root public keys. Each record of this EF contains one certificate descriptor.

Identifier: '4F43'		Structure: linear fixed		Optional	
Record length : $\frac{X + 10}{X \ge 1}$; $\frac{X + Y + 10}{X \ge 1}$		<u>11 </u> bytes <u>,</u>	Updat	e activity	r: low
Access Condit READ UPDA INVALI REHAB	ΓE	CHV1 ADM ADM ADM			
Bytes		Description	1	M/O	Length
1	Parameters indicator			М	1 byte
2	Flags			М	1 byte
3	Type of certificate			М	1 byte
4 to 5	Key/certificate file identifier			М	2 bytes
6 to 7	Offset into key/certificate file			М	2 bytes
8 to 9	Length of key/certificate data			М	2 bytes
10	Key identifier length (Xk)			М	1 byte
11 to 10+ <u>X</u> k	Key identifier		М	<u>X</u> k bytes	
11+ <u>X</u> k to11+k	Certificate identi	fier length (<u>Y</u> r	m)	М	1 byte
12+ <u>X</u> k to 11+ <u>X</u> k+Ym	Certificate identi	fier		М	<u>Y</u> m bytes

For example, an operator may provide several Third Party root public keys.

Certificate identifier length

Contents:

This field gives length of certificate identifier

Coding:

binary

Certificate identifier

Contents:

This field identify the issuer and provide a easy way to find a certificate. For more information about value and usage, see TS 23.057 [50].

Coding:

Octet string

For contents and coding of all other data items see the respective data items of the EF_{ORPK} (clause 10.7.1).

10.5.9 EF_{SDN} (Service Dialling Numbers)

This EF contains special service numbers (SDN) and/or the respective supplementary service control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain associated alpha-tagging.

Identifi	Identifier: '6F49' Stru		ructure: linear fixed			Optional	
Record length: X+14 bytes		Update activity: low			: low		
Access Condit	Access Conditions:						
READ		CHV [,]	1				
UPDAT	ΓE	ADM					
INVALIDATE ADI		ADM					
REHAE	REHABILITATE ADM						
Bytes	Description				M/O	Length	
1 <u>to -</u> X	Alpha identifier				0	X bytes	
X+1	Length of BCD number/SSC contents				М	1 bytes	
X+2	TON and NPI		М	1 byte			
X+3 <u>to</u> -X+12	Dialling Number/SSC String			М	10 bytes		
X+13	Capability/Configuration Identifier		М	1 byte			
X+14	Extension3 Record Identifier			М	1 byte		

For contents and coding of all data items see the respective data items of the EF_{ADN} (clause 10.5.1), with the exception that extension records are stored in the EF_{EXT3} .

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in EF_{ADN} .