**3GPP TSG-SA5 Meeting #129-e *S5-201369rev1***

**Online, 24th Feb 2020 - 4th Mar 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **32.423** | **CR** | **0099** | **rev** | **-** | **Current version:** | **15.1.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **X** |

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|  | | | | | | | | | | |
| ***Title:*** | Add missing MDT trace record for LTE measurements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | |  | | --- | |  | | Oy LM Ericsson AB | | | | | | | | | | |
| ***Source to TSG:*** | S5 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI15 | | | | |  | ***Date:*** | | | 2020-02-25 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The MDT measurement list in this specification is not complete. There are additional measurements in 37.320. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add missing LTE MDT trace record, and update the references for all trace records | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | A number of MDT trace records would be missing which is not correct. In addition there is no complete information to documents that this specification refers to. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 2, 3.1, 3.3, 4.16.1, 4.17.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

***First change***

# 2 References

The following documents contain provisions, which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".

[2] 3GPP TS 32.421: "Telecommunication management; Subscriber and equipment trace: Trace concepts and requirements."

[3] 3GPP TS 32.422: "Telecommunication management; Subscriber and equipment trace: Trace control and configuration management ".

[4] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[5] W3C Recommendation "Extensible Markup Language (XML) 1.0" (Second Edition, 6 October 2000) http://www.w3.org/TR/2000/REC-xml-20001006

[6] W3C Recommendation "Namespaces in XML" (14 January 1999)  
http://www.w3.org/TR/1999/REC-xml-names-19990114

[7] W3C Recommendation "XML Schema Part 0: Primer" (2 May 2001)  
http://www.w3.org/TR/2001/REC-xmlschema-0-20010502

[8] W3C Recommendation "XML Schema Part 1: Structures" (2 May 2001)  
http://www.w3.org/TR/2001/REC-xmlschema-1-20010502

[9] W3C Recommendation "XML Schema Part 2: Datatypes" (2 May 2001)  
http://www.w3.org/TR/2001/REC-xmlschema-2-20010502

[10] International Standard ISO 8601: 1988 (E) "Representations of dates and times" (1988-06-15)  
http://www.iso.ch/markete/8601.pdf

[11] 3GPP TS 32.300: "Telecommunication management; Configuration Management (CM); Name convention for Managed Objects".

[12] 3GPP TS 32.622: "Telecommunication management; Configuration Management (CM); Generic network resources Integration Reference Point (IRP): Network Resource Model (NRM)".

[13] 3GPP TS 29.274: "3GPP Evolved Packet System (EPS); Evolved General Packet Radio Service (GPRS) Tunnelling Protocol for Control plane (GTPv2-C); Stage 3".

[14] 3GPP TS 29.212: "Policy and Charging Control (PCC);Reference points".

[15] 3GPP TS 29.273: "Evolved Packet System (EPS); 3GPP EPS AAA interfaces".

[16] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".

[17] 3GPP TS 36.423 "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)".

[18] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[19] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2"

[20] 3GPP TS 38.300: "NR and NG-RAN Overall Description; Stage 2".

[21] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".

[22] 3GPP TS 38.401: "NG-RAN; Architecture Description".

[23] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)".

[24] 3GPP TS 38.423: "NG-RAN; Xn Application Protocol (XnAP)".

[25] 3GPP TS 38.463: "NG-RAN; E1 Application Protocol (E1AP)".

[26] 3GPP TS 38.473: "NG-RAN; F1 Application Protocol (F1AP)".

[27] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[28] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".

[U] 3GPP TS 23.107: "Quality of Service (QoS) concept and architecture".

[V] 3GPP TS 25.331: "Radio Resource Control (RRC); Protocol specification".

[W] 3GPP TS 36.314: "Evolved Universal Terrestrial Radio Access (E-UTRA); Layer 2 - Measurements".

[X] 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRA); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".

[Y] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

[Z] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".

***Second change***

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [4], 3GPP TS 32.421 [2], 3GPP TS 32.422 [3], 23.501 [18], 3GPP TS 38.300 [20], 3GPP TS 38.401 [22], 3GPP TS 37.320 [X]and the following apply.

**Minimum Level of detail**: Allows for retrieval of a decoded subset of the IEs contained in the signalling interface messages.

**Medium Level of detail**: Allows for retrieval of the decoded subset of the IEs contained in the signalling interface messages in the Minimum Level plus a selected set of decoded radio measurement IEs.

**Maximum Level of detail**: Allows for retrieval of signalling interface messages within the Trace Scope in encoded format.

***Third change***

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [4], 3GPP TS 32.101 [1], 3GPP TS 23.501 [18], 3GPP TS 38.300 [20] 3GPP TS 38.401 [22] and 3GPP TS 37.320 [X] apply.

NSA Non Stand Alone

***Fourth change***

## 4.16 LTE MDT Trace Record Content

### 4.16.1 Trace Record for Immediate MDT measurements

The following table contains the Trace record description for LTE immediate MDT measurements.   
The trace record is the same for management based activation and for signalling based activation.

| MDT measurement  name | Measurement  attribute name(s) | Measurement attribute definition | Notes |
| --- | --- | --- | --- |
| M1 | RSRPs | List of RSRP values received in RRC measurement report. One value per measured cell. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| RSRQs | List of RSRQ values received in RRC measurement report. One value per measured cell. | 3GPP TS 32.422 [3]3GPP TS 37.320 [X] |
| PCIs | List of Physical Cell Identity of measured cells. The order of PCI values in the list should be the same as the corresponding measured values in the RSRPs and RSRQs attributes. | 3GPP TS 36.331 [28] |
| Triggering event | Event that triggered the M1 measurement report, used only in case of RRM configured measurements (events A1, A2, A3, A4, A5, A6, B1 or B2) | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M2 | PH distr | Distribution of the power headroom samples reported by the UE during the collectionperiod. The distribution is the interval of [40; -23] dB. | 3GPP TS 36.213 [Y]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M3 | RIP distr | Distribution of the measured Received Interference Power samples obtained during the collection period. The distribution is in the interval of [-126, -75] dBm. | 3GPP TS 36.133 [Z]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M4 | UL volumes | List of measured UL volumes in bytes per E-RAB. One value per E-RAB. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL volumes | List of measured DL volumes in bytes per E-RAB. One value per E-RAB. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| QCIs | List of QCIs of the E-RABs for which the volume and throughput measurements apply. The order of QCI values in the list should be the same as the corresponding measured values in the UL volumes and DL volumes attributes. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M5 | UL Thp Time | Throughput time used for calculation of the uplink throughput (per UE). | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| UL Thp Volume | Throughput volume used for calculation of the uplink throughput (per UE). | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| UL LastTTI Volume | Volume transmitted in the last TTI and excluded from throughput calculation in the uplink. | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL Thp Times | List of throughput times used for calculation of the downlink throughput (per E-RAB). One value per E-RAB. | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL Thp Volumes | List of Throughput volumes used for calculation of the downlink throughput (per E-RAB). One value per E-RAB. | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| QCIs | List of QCIs of the E-RABs for which the volume and throughput measurements apply. The order of QCI values in the list should be the same as the corresponding measured values in the DL Thp Volumes and DL Thp Times attributes. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL Thp Time UE | Throughput time used for calculation of the downlink throughput (per UE). | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL Thp Volume UE | Throughput volume used for calculation of the downlink throughput (per UE). | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL LastTTI Volume | Volume transmitted in the last TTI and excluded from the throughput calculation in the downlink (per UE). | 3GPP TS 36.314 [W]  3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M6 | DL packet delay per QCI | L2 Packet Delay for OAM performance observability or for QoS verification of MDT (per QCI). | 3GPP TS 36.314 [W] 3GPP TS 37.320 [X] |
| UL packet delay per QCI | Excess Packet Delay Ratio in Layer PDCP for QoS verification of MDT (per QCI). | 3GPP TS 36.314 [W]  3GPP TS 37.320 [X] |
| M7 | DL packet loss rate per QCI | packets that are lost at Uu transmission, for OAM performance observability. | 3GPP TS 36.314 [W] 3GPP TS 37.320 [X] |
| UL packet loss rate per QCI | packets that are lost in the UL, for OAM performance observability or QoS verification of MDT. | 3GPP TS 36.314 [W]  3GPP TS 37.320 [X] |
| M8 | RSSI (WLAN, Bluetooth) | RSSI measurement by UE. | 3GPP TS 36.331 [28]  3GPP TS 37.320 [X] |
| M9 | RTT (WLAN) | RTT measurement by UE. | 3GPP TS 36.331 [28]  3GPP TS 37.320 [X] |

***Fifth change***

## 4.17 UMTS MDT Trace Record Content

### 4.17.1 Trace Record for Immediate MDT measurements

The following table contains the Trace record description for UMTS immediate MDT measurements.   
The trace record is the same for management based activation and for signalling based activation.

| MDT measurement  name | Measurement  attribute name(s) | Measurement attribute definition | Notes |
| --- | --- | --- | --- |
| M1 | RSCPs | List of RSCP values received in RRC measurement report. One value per measured cell. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| Ec/Nos | List of Ec/No values received in RRC measurement report. One value per measured cell. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| SCs | List of Scrambling Codes of measured cells. The order of SC values in the list should be the same as the corresponding measured values in the RSCPs and Ec/Nos attributes. | 3GPP TS 25.331 [V] |
| M2 | RSCPs | List of RSCP values received in RRC measurement report. One value per measured cell. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| ISCPs | List of ISCP values received in RRC measurement report. One value per measured cell. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| SCs | List of Scrambling Codes of measured cells. The order of SC values in the list should be the same as the corresponding measured values in the RSCPs and ISCPs attributes. | 3GPP TS 25.331 [V] |
| M3 | SIR | Distribution of the SIR samples measured by the network during the collection period. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| SIR error | Distribution of the SIRerror samples measured by the network during the collection period. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M4 | EDCH PH distr | Distribution of the power headroom samples reported by the UE according to RRM configuration during the collection period. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M5 | RTWP distr | Distribution of the measured Total Wideband Power samples obtained during the collection period. The distribution is in the interval of [-112, -50] dBm. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| M6 | UL volumes | List of measured UL volumes in bytes per RAB. One value per RAB. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL volumes | List of measured DL volumes in bytes per RAB. One value per RAB. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| Traffic classes | List of Traffic class parameters (conversational, streaming, interactive, background) of the RABs for which the volume and throughput measurements apply. The order of Traffic class values in the list should be the same as the corresponding measured values in the UL volumes and DL volumes attributes. | 3GPP TS 25.331 [V] |
| M7 | UL Thps | List of measured UL throughputs in bytes/sec per RAB. One value per RAB. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL Thps | List of measured DL throughputs in bytes/sec per RAB. One value per RAB. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| Traffic classes | List of Traffic class parameters (conversational, streaming, interactive, background) of the RABs for which the volume and throughput measurements apply. The order of Traffic class values in the list should be the same as the corresponding measured values in the UL Thps and DL Thps attributes. | 3GPP TS 23.107 [U] |
| UL Thp UE | Measured UL throughput in bytes/sec per UE. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |
| DL Thp UE | Measured DL throughput in bytes/sec per UE. | 3GPP TS 32.422 [3]  3GPP TS 37.320 [X] |

***End of changes***