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Technical Specification Group Services and System Aspects Meeting #21, Frankfurt, Germany, 22-25 September 2003

Source: SA WG3

Title: 6 Release 6 CRs to 33.108 (Rel-6)

Document for: Approval

Agenda Item: 7.3.3

Note:

These CRs have been modified from those originally submitted in SP-030480 to the latest version of the specification as they were agreed by the SA WG3-LI Group prior to TSG SA #20, where the version number was upgraded. The changes have been verified by MCC support as being to the correct text in version 6.2.0.

Meet	SA Doc	TS No.	CR No	Rev	Rel	Cat	Cat Subject		Vers New	SAWG3 Doc
SP-21	SP-030508	33.108	017	1	Rel-6	D	Correct Abbreviations in TS 33.108	6.2.0	6.3.0	rev_S3-030352
SP-21	SP-030508	33.108	020	1	Rel-6	D	Inconsistency in Annex B.3	6.2.0	6.3.0	rev_S3-030352
SP-21	SP-030508	33.108	021	1	Rel-6	F	Data Link Establishment and Sending part for ROSE operation	6.2.0	6.3.0	rev_S3-030352
SP-21	SP-030508	33.108	022	1	Rel-6	F	Correction on the usage of Lawful Interception identifiers	6.2.0	6.3.0	rev_S3-030352
SP-21	SP-030508	33.108	023	1	Rel-6	F	F Subscriber controlled input clarification		6.3.0	rev_S3-030352
SP-21	SP-030508	33.108	024	1	Rel-6	D	Field separator in subaddress	6.2.0	6.3.0	rev_S3-030352

3GPP TSG SA3-LI Meeting #9 Vienna, Austria, 20 – 22 May 2003

Tdoc S3LI03_035 R2rev

(modified by MCC to correct base version)

		СНА	NGE RE	QUES	ST .		CR-Form-v7		
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Proposed change affects: UICC apps# ME Radio Access Network Core Network X									
Title: ₩	Correct	Abbreviations	in TS 33.108						
Source: #	SA WG	3							
Work item code: ∺	SEC-LI				Date: 3	€ 20/05/03			
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Reason for change	e: Ж <mark>In</mark> d	correct abbrevia	tions for NWO	s/APs/Sv	Ps				
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Clauses affected:		2, 4.4, 4.4.1, 4.5 2.3, 7.1.1, 7.1.2				5.4.1, 6.1.1, 6.	1.2, 6.2.2,		
Other specs Affected:	#	N X Other core s X Test specifi X O&M Specif		*					
Other comments:	#								

*** FIRST CHANGE ***

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

APAN Access Provider Network

ASN.1 Abstract Syntax Notation, Version 1
ASE Application Service Element
BER Basic Encoding Rules

BER Basic Encoding Rules
CC Content of Communication
CSCF Call Session Control Function

DF Delivery Function FTP File Transfer Protocol

GGSN Gateway GPRS Support Node

GLIC GPRS LI Correlation

GPRS General Packet Radio Service

GSM Global System for Mobile communications GSN GPRS Support Node (SGSN or GGSN)

GTP GPRS Tunnelling Protocol

HI Handover Interface

HI1 Handover Interface Port 1 (for Administrative Information)
HI2 Handover Interface Port 2 (for Intercept Related Information)
HI3 Handover Interface Port 3 (for Content of Communication)

HLC High Layer Compatibility

IA Interception Area

IA5 International Alphabet No. 5
IAP Interception Access Point

ICI Interception Configuration Information

IE Information Element

IIF Internal Interception Function

IMEI International Mobile station Equipment Identity
 IMS IP Multimedia Core Network Subsystem
 IMSI International Mobile Subscriber Identity

INI Internal network interface

IP Internet Protocol
IPS Internet Protocol Stack
IRI Intercept Related Information
LEA Law Enforcement Agency

LEMF Law Enforcement Monitoring Facility

LI Lawful Interception

LIID Lawful Interception Identifier
LLC Lower layer compatibility
LSB Least significant bit
MAP Mobile Application Part
MF Mediation Function
MS Mobile Station
MSB Most significant bit

MSISDN Mobile Subscriber ISDN Number
MSN Multiple Subscriber Number
NEID Network Element Identifier

NID Network Identifier

Network Operator

OA&M Operation, Administration & Maintenance P-CSCF Proxy Call Session Control Function

PDP Packet Data Protocol
PLMN Public land mobile network

PSTN Public Switched Telephone Network ROSE Remote Operation Service Element

R_x Receive direction

S-CSCF Serving Call Session Control Function

SGSN Serving GPRS Support Node

SMAF Service Management Agent Function SMF Service Management Function

SMS Short Message Service
SvPSP Service Provider

TCP Transmission Control Protocol

 $egin{array}{lll} TI & Target identity \ TP & Terminal Portability \ T-PDU & tunneled PDU \ T_x & Transmit direction \ UI & User Interaction \ \end{array}$

UMTS Universal Mobile Telecommunication System

VPN Virtual Private Network

*** NEXT CHANGE ***

4.4 Overview of handover interface

The generic handover interface adopts a three port structure such that administrative information (HI1), intercept related information (HI2), and the content of communication (HI3) are logically separated.

Figure 4.1 shows a block diagram with the relevant entities for Lawful Interception.

The outer circle represents the NWO/AP/SvPoperator's (NO/AN/SP) domain with respect to lawful interception. It contains the network internal functions, the internal network interface (INI), the administration function and the mediation functions for IRI and CC. The inner circle contains the internal functions of the network (e.g. switching, routing, handling of the communication process). Within the network internal function the results of interception (i.e., IRI and CC) are generated in the Internal Interception Function (IIF).

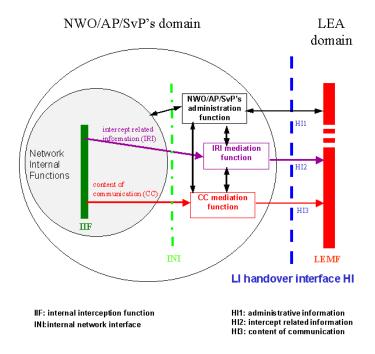


Figure 4.1: Functional block diagram showing handover interface HI

- NOTE 1: Figure 4.1 shows only a reference configuration, with a logical representation of the entities involved in lawful interception and does not mandate separate physical entities.
- NOTE 2: The mediation functions may be transparent.
- NOTE 3: The LEMF is responsible for collecting and analyzing IRI and CC information. The LEMF is the responsibility of the LEA.

4.4.1 Handover interface port 2 (HI2)

The handover interface port 2 shall transport the IRI from the NWO/AP/SvPoperator's (NO/AN/SP) IIF to the LEMF.

The delivery to the handover interface port 2 shall be performed via data communication methods which are suitable for the network infrastructure and for the kind and volume of data to be transmitted. From the hwwos/APs/SvPsoperator (NO/AN/SP) to LEMF delivery is subject to the facilities procured by the government.

The delivery can in principle be made via different types of lower communication layers, which should be standard or widely used data communication protocols.

The individual IRI parameters shall be coded using ASN.1 and the basic encoding rules (BER). The format of the parameter's information content shall be based on existing telecommunication standards, where possible.

The individual IRI parameters have to be sent to the LEMF at least once (if available).

The IRI records are transmitted individually. As an option, IRI records can be aggregated for delivery to the same LEA (i.e. in a single delivery interaction). As there are time constraints associated with the delivery of IRI, the use of this optional

feature is subject to national or regional requirements. As a general principle, IRI records shall be sent immediately and shall not be withheld in the MF/DF in order to use the IRI record aggregation option.

The IRI records shall contain information available from normal NWO/APs/SvPprovider (NO/AN/SP) operating procedures. In addition the IRI records shall include information for identification and control purposes as specifically required by the HI2 port.

The IIF is not required to make any attempt to request explicitly extra information which has not already been supplied by a signalling system.

*** NEXT CHANGE ***

4.5.1 Data transmission protocols

The protocol used by the "LI application" for the encoding and the sending of data between the MF and the LEMF is based on already standardized data transmission protocols like ROSE or FTP.

The specified data communication methods provide a general means of data communication between the LEA and the NWO/AP/SvP'soperator's (NO/AN/SP) mediation function. They are used for the delivery of:

- HI2 type of information (IRI records);
- Certain types of content of communication (e.g., SMS).

The present document specifies the use of the two possible methods for delivery: ROSE or FTP on the application layer and the BER on the presentation layer. The lower layers for data communication may be chosen in agreement with the https://www.nwo/ap/svpoperator (NO/AN/SP) and the LEA.

The delivery to the LEMF should use the internet protocol stack.

4.5.2 Application for IRI (HI2 information)

The handover interface port 2 shall transport the intercept related information (IRI) from the NWO/AP/SvP'soperator's (NO/AN/SP) MF to the LEMF.

The individual IRI parameters shall be coded using ASN.1 and the basic encoding rules (BER). Where possible, the format of the information content shall be taken over from existing telecommunication standards, which are used for these parameters with the network already (e.g., IP). Within the ASN.1 coding for IRI, such standard parameters are typically defined as octet strings.

*** NEXT CHANGE ***

5.1.1 Lawful Interception IDentifier (LIID)

The Lawful Interception IDentifier LIID is a component of the CC delivery procedure and of the IRI records. It shall be used within any information exchanged at the Handover Interfaces HI2 and HI3 for identification and correlation purposes.

The LIID format shall consist of alphanumeric characters (or digit string for sub-address option, see annex J). It might for example, among other information, contain a lawful authorization reference number, and the date, when the lawful authorization was issued.

The authorized NWO/AP/SvPoperator (NO/AN/SP) shall enter for each target identity of the interception subject a unique LIID.

If more than one LEA intercepts the same target identity, there shall be unique LIIDs assigned, relating to each LEA.

5.1.2.1 Network Identifier (NID)

The Network IDentifier is a mandatory parameter; it should be internationally unique. It consists of one or both of the following two identifiers.

- NWO/AP/SvPOperator- (NO/AN/SP)- identifier (mandatory):
 Unique identification of network operator, access <u>network</u> provider or service provider.
- Network element identifier NEID (optional):
 The purpose of the network element identifier is to uniquely identify the relevant network element carrying out the LI operations, such as LI activation, IRI record sending, etc.

A network element identifier may be:

- an E.164 international node number
- an X.25 address;
- an IP address.

*** NEXT CHANGE ***

5.2.2.1 Control Information for HI2

The main purpose of this information is the unique identification of records related to a target identity, including their unique mapping to the links carrying the Content of Communication. In general, parameters of this category are mandatory, i.e. they have to be provided in any record.

The following items are identified (in brackets: ASN.1 name and reference to the ASN.1 definition or clause B.3a):

- 1) Record type (*IRIContent*, see clause B.3a) IRI-BEGIN, IRI-CONTINUE, IRI-END, IRI-REPORT-record types.
- 2) Version indication (*iRIversion*, see clause B.3a) Identification of the particular version of the HI2 interface specification.
- 3) Communication Identifier (CommunicationIdentifier, see clauses 5.1.2 and B.3a).
- 4) Lawful Interception Identifier (LawfulInterceptionIdentifier, see clauses 5.1.1 and B.3a).
- 5) Date & time (*TimeStamp*, see clause B.3a)
 Date & time of record trigger condition.
 The parameter shall have the capability to indicate whether the time information is given as Local time without time zone, GMT with time zone, or UTC. Normally, the NWO/AP/SvPoperator (NO/AN/SP) shall define these options.
- 6) CC Link Identifier (CC-Link-Identifier, see clause 5.1.3 for definition and clause B.3a for ASN.1 definition).

Table 5.3 summarizes the items of HI2 control information. It is mandatory information, except the CID - it may be omitted for non-call related IRI records - and the CCLID. Their format and coding definition is LI specific, i.e. not based on other signalling standards.

Table 5.3: Parameters for LI control information in IRI records (HI2 interface port)

IRI parameters: LI control information									
IRI parameter name	ASN.1 name (used in annex B)								
Type of record	IRIContent								
Version indication	iRIversion								
Lawful Interception IDentifier (LIID)	LawfulInterceptionIdentifier								
Communication IDentifier (CID)	CommunicationIdentifier								
 Communication Identity Number 									
(CIN)									
- Network IDentifier (NID)									
Date & time	TimeStamp								
CC Link IDentifier (CCLID) (only used in case	CC-Link-Identifier								
of option B)									

5.3.1 Delivery of Content of Communication

CC will be delivered as described in annex J.

Exceptionally, SMS will be delivered via HI2.

The transmission media used to support the HI3 port shall be standard ISDN calls, based on 64 kbit/s circuit switched bearer connections. The CC links are set up on demand to the LEMF. The LEMF constitutes an ISDN DSS1 user function, with an ISDN DSS1 basic or primary rate access. It may be locally connected to the target switching node, or it may be located somewhere in the target network or in another network, with or without a transit network in between.

For network signalling, the standard ISDN user part shall be used. No modifications of the existing ISDN protocols shall be required. Any information needed for LI, like to enable correlation with the IRI records of a call, can be inserted in the existing messages and parameters, without the need to extend the ETSI standard protocols for the LI application.

For each LI activation, a fixed LEMF address is assigned; this address is, within the present document, not used for any identification purposes; identification and correlation of the CC links is performed by separate, LI specific information, see clause 5.1.

The functions defined in the ISDN user part standard, Version 1 (ETSI ISUP V1) are required as a minimum within the target network and, if applicable, the destination and transit networks, especially for the support of:

- Correlation of HI3 information to the other HI port's information, using the supplementary service user-to-user signalling 1 implicit (UUS1).
- Access verification of the delivery call (see clause 5.3.3).

The bearer capability used for the CC links is 64 kbit/s unrestricted digital information; this type guarantees that the information is passed transparently to the LEMF. No specific HLC parameter value is required.

The CC communication channel is a one-way connection, from the NWO/AP/SvPoperator's (NO/AN/SP) IIF to the LEMF, the opposite direction is not switched through in the switching node of the target.

The scenario for delivery of the Content of Communication is as follows:

- 1) At call attempt initiation, for one 64 kbit/s bi-directional target call, two ISDN delivery calls are established from the MF to the LEMF. One call offers the Content of Communication towards the target identity (CC Rx call/channel), the other call offers the Content of Communication from the target identity (CC Tx call/channel). See figure 5.1.
- 2) During the establishment of each of these calls, appropriate checks are made (see clause 5.3.3).

- 3) The MF passes during call set up, within the signalling protocol elements of the CC link the LIID and the CID to the LEMF. The LEMF uses this information to identify the target identity and to correlate between the IRI and CC.
- 4) At the end of a call attempt, each delivery call associated with that call attempt shall be released by the MF.

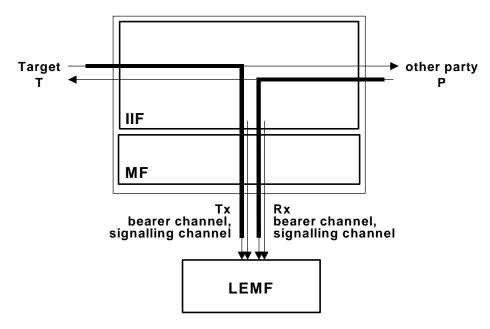


Figure 5.1: Content of Communication transmission from MF to LEMF

5.4.1 General

In general, LI shall be possible for all connections and activities in which the target is involved. The target shall not be able to distinguish alterations in the offered service. It shall also not be possible to prevent interception by invoking supplementary services. Consequently, from a supplementary services viewpoint, the status of interactions with LI is "no impact", i.e. the behaviour of supplementary services shall not be influenced by interception.

Depending on the type of supplementary service, additional CC links to the LEA may be required, in addition to already existing CC links.

Within the IRI records, the transmission of additional, supplementary service specific data may be required.

Supplementary services, which have an impact on LI, with respect to CC links or IRI record content, are shown in table 5.7. The table is based on UMTS services, it considers the services which have been standardized at the time of finalizing the present document. Future services should be treated following the same principles.

NOTE 1: Co-ordination of handling of new services should be performed via 3GPP SA WG3-LI. If required, additions will be included in a subsequent version of the present document.

The question of Lawful Interception with Intelligent Networks is not covered in this version (see note 2).

NOTE 2: The general principle is, that LI takes place on the basis of a technical identity, i.e. a directory number. Only numbers which are known to the <a href="https://www.numbers.org/

Additional CC links are only required, if the target is the served user. IRI Records may also carry data from other parties being served users.

Clause 5.5 specifies details for relevant services:

- The procedures for CC links, depending on the call scenario of the target.
- Related to the IRI records, the point in time of sending and supplementary service specific information.
- Additional remarks for services with "no impact" on LI.

The specifications for supplementary services interactions are kept as far as possible independent of the details of the used signalling protocols; service related events are therefore described in more general terms, rather than using protocol dependent messages or parameters.

Interactions with services of the same family, like call diversion services, are commonly specified, if the individual services behaviour is identical, with respect to LI.

With respect to the IRI records, clause 5.5 specifies typical cases; the general rules for data which shall be included in IRI records are defined in clause 5.2, specifically in clause 5.4.3.

Services, which are not part of table 5.7, do not require the generation of LI information: No CC links are generated or modified, and no specific information on the service is present in the IRI records. That is, these services have "no impact" on LI, no special functions for LI are required. However, within the IIF, functions may be required to realize the principle, that the service behaviour shall not be influenced by LI.

"No impact" is not automatically applicable for new services. Each new service has to be checked for its impact on LI.

The present document does not intend to give a complete description of all possible cases and access types of interactions with supplementary services.

Table 5.7: Supplementary Services with impact on LI CC links or IRI records content; see also clause 5.5

RETRIEVE ECT SUB CLIP	CC links for active or all calls (option A/B) CC links for active or all calls (option A/B) CC links for active or all calls (option A/B) Before transfer: see HOLD After transfer: LI may or may not be stopped No impact on CC links No impact on CC links	Target: call waiting indication, calling party address other party: generic notification indicator Target: call hold indication other party: generic notification indicator Target: call retrieve indication other party: generic notification indicator Target: components of Facility IE other party: generic notification indicator Subaddress IE, as available (calling, called,) CLI parameter: part of originating-Party information Restriction indicator is part of CLI parameter
RETRIEVE ECT SUB CLIP	A/B) CC links for active or all calls (option A/B) Before transfer: see HOLD After transfer: LI may or may not be stopped No impact on CC links No impact on CC links	Target: call hold indication other party: generic notification indicator Target: call retrieve indication other party: generic notification indicator Target: components of Facility IE other party: generic notification indicator Subaddress IE, as available (calling, called,) CLI parameter: part of originating-Party information
ETRIEVE ECT SUB CLIP CLIR	CC links for active or all calls (option A/B) Before transfer: see HOLD After transfer: LI may or may not be stopped No impact on CC links No impact on CC links	Target: call retrieve indication other party: generic notification indicator Target: components of Facility IE other party: generic notification indicator Subaddress IE, as available (calling, called,) CLI parameter: part of originating-Party information
SUB CLIP CLIR	After transfer: LI may or may not be stopped No impact on CC links No impact on CC links	Target: components of Facility IE other party: generic notification indicator Subaddress IE, as available (calling, called,) CLI parameter: part of originating-Party information
CLIP CLIR	No impact on CC links No impact on CC links	called,) CLI parameter: part of originating-Party information
CLIR	·	CLI parameter: part of originating-Party information
	No impact on CC links	Restriction indicator is part of CLI parameter
COLP	1	
	No impact on CC links	COL parameter: part of terminating-Party information
OLR	No impact on CC links	Restriction indicator is part of COL parameter
CUG	No impact on CC links	CUG interlock code
	Initially: held and active calls see HOLD Conf.: T _X : signal from target; Rx call sum signal	Target: components of Facility IE other party: generic notification indicator
	One CC links depending on option A/B One CC link for each call, which is forwarded by the target Forwarding by other parties: no impact	Target: see clause 5.2.2.3, point 2, 3.; if redirecting no. = target DN: not included Other party (call to target is a forwarded call): See clause 5.2.2.3, point 1 Other party (call from target gets forwarded): See clause 5.2.2.3, point 3
	basic call with standards CC links, released after time-out (incl. CC links) forwarding: same as CFU	basic call, released after time-out, standard IRI forwarding: same parameters as for CFU
FNRc	See CFU	See CFU
CFB	Network determined user busy: see CFU User determined user busy: see CFNR	Network determined user busy: see CFU user determined user busy: see CFNR
D	See CFNR	See CFNR
JUS	No impact on CC links	User-to-user information, more data IE (part of HI2 information, see clause B.3a). In ETSI HI3 was used. Optionally, ETSI's HI3 interface for UUS may be maintained for backwards compatibility reasons.
	No impact on CC links	Target or other party: new basic service IE
	FNRy FNRc FB D US	PTY Initially: held and active calls see HOLD Conf.: T _X : signal from target; Rx call sum signal CC links depending on option A/B FU One CC link for each call, which is forwarded by the target Forwarding by other parties: no impact FNRy 1) basic call with standards CC links, released after time-out (incl. CC links) 2) forwarding: same as CFU FNRc See CFU FB Network determined user busy: see CFU User determined user busy: see CFNR D See CFNR No impact on CC links

6.1.1 Lawful interception identifier

The LIID is a component of the CC delivery procedure and of the IRI records. It shall be used within any information exchanged at the handover interfaces HI2 and HI3 for identification and correlation purposes.

The LIID format shall consist of alphanumeric characters. It might for example, among other information, contain a lawful authorization reference number, and the date, when the lawful authorization was issued.

The authorized NWO/AP/SvPoperator (NO/AN/SP) shall either enter a unique LIID for each target identity of the interception subject or a single LIID for multiple target identities all pertaining to the same interception subject.

If more than one LEA intercepts the same target identity, there shall be unique LIIDs assigned relating to each LEA.

6.1.2 Network identifier

The network identifier (NID) is a mandatory parameter; it should be internationally unique. It consists of the following two identifiers.

- NWO/AP/SvPOperator- (NO/AN/SP)- identifier (mandatory):
 Unique identification of network operator, access <u>network</u> provider or service provider.
- 2) Network element identifier NEID (optional): The purpose of the network element identifier is to uniquely identify the relevant network element carrying out the LI operations, such as LI activation, IRI record sending, etc.

A network element identifier may be an IP address or other identifier. For GSM and UMTS systems deployed in the U.S., the network element identifier is required.

*** NEXT CHANGE ***

6.2.2 Quality

6.2.3 Reliability

The reliability associated with the result of interception should be (at least) equal to the reliability of the original content of communication. This may be derived from the QoS class used for the original intercepted session [7].

Reliability from the NWOs/APs/SvPsoperator (NO/AN/SP) to the LEMF is determined by what NWOs/APs/SvPsoperators (NO/AN/SP) and law enforcement agree upon.

*** NEXT CHANGE ***

7.1.1 Lawful interception identifier

For each target identity related to an interception measure, the authorized NWO/AP/SvPoperator (NO/AN/SP) operator shall assign a special Lawful Interception Identifier (LIID), which has been agreed between the LEA and the NWO/AP/SvPoperator (NO/AN/SP).

The LIID is a component of the CC delivery procedure and of the IRI records. It shall be used within any information exchanged at the handover interfaces HI2 and HI3 for identification and correlation purposes.

The LIID format shall consist of alphanumeric characters. It might for example, among other information, contain a lawful authorization reference number, and the date, when the lawful authorization was issued.

The authorized NWO/AP/SvPoperator (NO/AN/SP) shall either enter a unique LIID for each target identity of the interception subject or a single LIID for multiple target identities all pertaining to the same interception subject.

If more than one LEA intercepts the same target identity, there shall be unique LIIDs assigned relating to each LEA.

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 Unique identification of network operator, access <u>network</u> provider or service provider.
- 2) Network element identifier NEID (optional): The purpose of the network element identifier is to uniquely identify the relevant network element carrying out the LI operations, such as LI activation, IRI record sending, etc.

A network element identifier may be an IP address or other identifier.

*** NEXT CHANGE ***

Annex B (normative): Structure of data at the handover interface

At the HI2 and HI3 handover interface ports, the following data may be present:

- interface port HI2: Intercept related information (IRI);

- interface port HI3: records containing content of communication (CC).

It must be noticed some data are ROSE specific and have no meaning when FTP is used. Those specificities are described at the beginning of each sub-annex.

*** NEXT CHANGE ***

Annex D (informative): LEMF requirements - handling of unrecognised fields and parameters

During decoding of a record at the LEA, the following exceptional situations may occur:

- 1) Unrecognized parameter: The parameter layout can be recognized, but its name is not recognized: The parameter shall be ignored, the processing of the record proceeds.
- 2) The parameter content or value is not recognized or not allowed: The parameter shall be ignored, the processing of the record proceeds.
- 3) The record cannot be decoded (e.g. it seems to be corrupted):

 The whole record shall be rejected when using ROSE delivery mechanism or ignored.

NOTE: In cases 2 and 3, the LEMF may wish to raise an alarm to the NWO/AP/SvPoperator (NO/AN/SP) administration centre. For case 1, no special error or alarm procedures need be started at the LEA, because the reason may be the introduction of a new version of the specification in the network, not be an error as such security aspects.

*** NEXT CHANGE ***

G.4 Cross reference of terms between J-STD-025-A and 3GPP

Table G-1: Cross Reference of Terms between J-STD-025-A and 3GPP

	J-STD-025-A	3GPP LI Specifications [18], [19]			
-	Call Content	CC	Content of Communication		
CCC	Call Content Channel	-	Handover Interface port 3		
CDC	Call Data Channel	-	Handover Interface port 2		
CF	Collection Function	LEMF	Law Enforcement Monitoring Facility		
-	Call-identifying Information	IRI	Intercept Related Information		
-	Call-identifying message	-	IRI record		
DF	Delivery Function	-	Delivery Function / Mediation Function		
-	a-interface	-	X1_1 interface		
-	b-interface	-	HI1 interface		
-	c-interface	-	X1_2 and X1_3 interfaces		
-	d-interface	-	X2 and X3 interfaces		
-	e-interface	HI	Handover Interface (HI2 and HI3)		
IAP	Intercept Access Point	ICE+INE	Intercepting Control Element +		
			Intercepting Network Element		
-	Intercept subject	-	Target		
LAES	Lawful Authorized Electronic Surveillance	LI	Lawful Intercept		
-	CaseIdentity	LIID	Lawful Interception IDentifier		
LEAF	Law Enforcement Administration Function	ADMF	Administration Function		
SPAF	Service Provider Administration Function	ADMF	Administration Function		
-	SystemIdentity	NID	Network IDentifier		
TSP	Telecommunication Service Provider	NWO/AP/SvP	Network Operator/Access Provider/Service		
		NO/AN/SP	Provider Network Operator, Access Network		
			Provider, Service Provider		

*** END OF CHANGES ***

3GPP TSG SA3-LI #9 Meeting Vienna, Austria, 20 – 22 May 2003

Tdoc S3LI03_038r2rev (modified by MCC to correct base version)

	CHANG	SE REQ	UE	ST	•		CR-Form-v7
*	33.108 CR 020	≋rev	1	¥	Current version:	6.2.0	æ

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For <u>HELP</u> on u	sing this form, see bottom of this	page or look at the p	oop-up text over	the
Proposed change a	affects: UICC apps器	ME Radio Acc	ess Network	Core Network X
Title: Ж	Inconsistency in Annex B.3			
Source: #	SA WG3			
Work item code: ₩	SEC1-LI		Date: 第 21/0	05/03
Reason for change	in the ASN1 definition of A	eature) categories can wording between E	R96 (Relea R97 (Relea R98 (Relea R99 (Relea Rel-4 (Relea Rel-5 (Relea Rel-6 (Relea	lowing releases: Phase 2) ase 1996) ase 1997) ase 1998) ase 1999) ase 4) ase 5) ase 6) and 3GPP 33.108
Consequences if not approved:	# Implementors might assumusing ROSE as long as the the File terminology, as the	ASN1 script source	of TS 33.108 ma	kes mention to
Clauses affected:	器 Annex B.3			
Other specs Affected: Other comments:	*** *** *** ** ** ** ** ** **			

B.3 Intercept related information (HI2)

Declaration of ROSE operation umts-sending-of-IRI is ROSE delivery mechanism specific. When using FTP delivery mechanism, data <u>uUmtsIRIsContent</u> must be considered.

ASN1 description of IRI (HI2 interface)

```
UmtsHI2Operations {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
lawfulintercept(2) threeGPP(4) hi2(1) version-2(2)}

DEFINITIONS IMPLICIT TAGS ::=

REGIN
```

```
IMPORTS
        OPERATION,
        ERROR
            FROM Remote-Operations-Information-Objects
            {joint-iso-itu-t(2) remote-operations(4) informationObjects(5) version1(0)}
        LawfulInterceptionIdentifier,
       TimeStamp,
        Network-Identifier,
       National-Parameters,
       DataNodeAddress,
        IPAddress,
        IP-value,
        X25Address
            FROM HI2Operations
            {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
                  lawfulIntercept(2) hi2(1) version3(3)}; -- TS 101 671 Edition 3
```

```
-- Object Identifier Definitions

-- Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0)
securityDomain(2) lawfulIntercept(2)}

-- Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
hi2DomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi2(1) version-2(2)}
```

- -- Aggregation of **UmtsIRIContent** is an **optional** feature.
- -- It may be applied in cases when at a given point in time
- -- several IRI records are available for delivery to the same LEA destination.
- -- As a general rule, records created at any event shall be sent
- -- immediately and not withheld in the DF or MF in order to
- -- apply aggragation.
- -- When aggregation is not to be applied,
 -- UmtsIRIContent needs to be chosen.

3GPP TSG SA3-LI #9 Meeting Vienna, Austria, 20 – 22 May 2003

Tdoc S3LI03_040r2rev

(modified by MCC to correct base version)

			С	HANG	GE RE	QUI	EST	-			CR-Form-v7
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For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the % symbols.											
Proposed change	Proposed change affects: UICC apps# ME Radio Access Network Core Network X										
Title: ₩	Da	ta Link	Establi	shment a	<mark>nd Sendin</mark>	g part	for RO	OSE operation	n		
Source: #	SA	WG3									
Work item code: ₩	SE	C1-LI						Date: ₩	21/	05/03	
Reason for change	Use Deta be fo	F (con A (con B (add C (fund D (edia illed exp bund in a TS 33 related That C When makes LEMF That (theref	rection) responds responds lition of t ctional m corial mo colanation GRP TI CR gives separa s clear t CR does ore, for	eature), nodification diffication) s of the ab 21.900. ctions A. SE opera s a rewortion between the data limits and interest and inter	n of feature pove catego 1.2.3 (Data tions can ding for cla een those nk establis	Link I be congrificate both postments the be	Manag sidere on of arts b	2 R96 R97 R98 R99 Rel-4 Rel-5 Rel-6	the for (GSN) (Release (Releas	ollowing related Phase 2) pase 1996) pase 1997) pase 1998) pase 4) pase 5) pase 6) 1 (Sending more according the TS by MF or I	g part) curacy. 33.108 by and
Summary of chang	де: Ж	Modi	fied tex	t in sectio	n A.1.2.3	and A.	1.2.1				
Consequences if not approved:	#			s might a and LEM		t there	are r	estrictions fo	r data	link mana	agment
Clauses affected:	*	A.1.2	2.3, A.1.	2.1 and <i>A</i>	\.1.2.3.1						
Other specs Affected:	ૠ	Y N X X	Other Test s		cifications ons	ж					
Other comments:	ж										

A.1.2.1 Sending part

To request the sending of data to a peer entity, the LI_Application provides the ASE_HI, the address of the peer entity, the nature of the data and the data.

On receiving a request of the LI_Application:

- If the data link toward the peer entity address is active, the ASE_HI, from the nature of the data provided, encapsulates this data in the relevant RO-Invoke operation.
- If the data link toward the peer entity address isn't active, the ASE_HI establishes this data link (see annex A.1.2.3). Then, depending on the nature of the data provided, the ASE_HI encapsulates this data in the relevant RO-Invoke operation.reports the data link unavailability to the LI-Application.

Note: Until the data link is established according to A.1.2.3.1, the request of the LI_Application cannot be successfully processed by ASE_HI.

Depending on the natures of the data provided by the LI_Application, the ASE_HI encapsulates this data within the relevant ROSE operation:

- IRI: in this case the data provided by the application are encoded within the class 2 RO-Invoke operation *Umts Sending of IRI*.
- SMS: in this case the data provided by the application are encoded within the class 2 RO-Invoke operation *Umts_Sending-of-IRI*.

Depending on the class of the operation, the ASE-HI may have to wait for an answer. In this case a timer, depending on the operation, is started on the sending of the operation and stopped on the receipt of an answer (RO_Result, RO_Error, RO_Reject).

On timeout of the timer, the ASE_HI indicates to the LI_Application that no answer has been received. It is under the LI_Application responsibility to send again the data or to inform the administrator of the problem.

On receipt of an answer component (after verification that the component isn't erroneous), the ASE_HI stop the relevant timer and acts depending on the type of component:

- On receipt of a RO_Result, the ASE_HI provide the relevant LI_Application an indication that the data has been received by the peer LI-application and the possible parameters contained in the RO_Result.
- On receipt of a RO_Error, the ASE_HI provide the relevant LI_Application an indication that the data hasn't been received by the peer LI-application and the possible "Error cause". The error causes are defined for each operation in the relevant ASN1 script. It is under the LI_Application responsibility to generate or not an alarm message toward an operator or administrator.
- On receipt of a RO_Reject_U/P, the ASE_HI provide the relevant LI_Application an indication that the data hasn't been received by the peer LI-application and the "Problem cause". The "problem causes" are defined in [7] to [8]. It is under the LI_Application responsibility to send again the data or to inform the operator/administrator of the error.

On receipt of an erroneous component, the ASE_HI acts as described in ITU-T Recommendations [7] to [8].

*** NEXT CHANGE ***

A.1.2.3 Data link management

This function is used to establish or release a data link between two peer LI_Applications entities (MF and LEMF). Depending on a per destination address configuration data, the data link establishment may be required either by the LEMF LI_Application or by the MF LI_Application.

A.1.2.3.1 Data link establishment

Depending on a per destination address configuration data, the data link establishment may be requested either by the LEMF LI Application or by the MF LI Application.

To request the establishment of a data link toward a peer entity, the LI_Application provides, among others, the destination address of the peer entity (implicitly, this address defined the protocol layers immediately under the ASE_HI: TCP/IP, X25, ...). On receipt of this request, the ASE_HI request the establishment of the data link with respect of the rules of the under layers protocol.

As soon as the data link is established, the requesting LI Application initiates an authentication procedure:

- the origin LI_Application requests the ASE_HI to send the class 2 RO-Invoke operation "Sending_of_Password" which includes the "origin password" provided by the LI_Application;
- the peer LI-Application, on receipt of the "origin password" and after acceptance, requests to its ASE_HI to send back a RO-Result. In addition, this destination application requests the ASE_HI to send the class 2 RO-Invoke operation "Sending-of-Password" which includes the "destination password" provided by the LI_Application;
- the origin LI-Application, on receipt of the "destination password" and after acceptance, requests to its ASE_HI to send back a RO-Result. This application is allowed to send data;
- after receipt of the RO_Result, this application is allowed to send data.

In case of erroneous password, the data link is immediately released and an "password error indication" is sent toward the operator.

Optionally a Data link test procedure may be used to verify periodically the data link:

- When no data have been exchanged during a network dependent period of time toward an address, (may vary from 1 to 30 minutes) the LI_Application requests the ASE_HI to send the class 2 RO-Invoke operation Data-Link-Test;
- The peer LI-Application, on receipt of this operation, requests to it's ASE_HI to send back a RO-Result;
- On receipt of the Result the test is considered valid by the LI_Application;
- If no Result is received or if a Reject/Error message is received, the LI_Aplication requests the ASE_LI to release the data link and send an error message toward the operator.

*** END OF CHANGES ***

3GPP TSG-SA3-LI Meeting #2/2003

Tdoc #S3LI03_041rev

Wien, Austria, 2	0-22	May	2003	j					(modifi	ed by N	ACC to	correct ba	ase version)
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*	33.	108	CR	022	ж	rev	1	¥	Currer	nt vers	ion:	6.2.0	Ħ
For <u>HELP</u> on u	ising th	his for	m, see	bottom of	this pa	age or	look	at th	e pop-u	p text	over	the ₩ sy	mbols.
Proposed change	affect	s : L	JICC a	npps#		ME	Rac	dio A	ccess N	Vetwor	·k	Core N	etwork X
Title:	Cori	rection	on th	e usage of	Lawfu	l Interd	eptic	n id	entifiers	3			
Source: #	SAV	WG3											
Work item code: ∺	SEC	1-LI							Da	nte: #	12/	05/2003	
Reason for change	Detail be fou	The control of the Co	rection) respondition of ctional molanation Garage currenception des as is not a state be pose C and RI if re	ds to a correct feature), modification) ons of the above the version of the according to according to according the scillage of the scillage o	TS 33 anged I potion to 3GP 5.2.1.1) equest feather the LE	segories 3.108 (increase of the interpretation of the interpretat	rel-6) en the ercep ful In As a spec tinati	des e me tion o terce resu eified on a	e) R: R: R: R: R: Cribes h diation of Call (eption R It of the target, ddresse	one of 96 97 98 99 el-4 el-5 el-6 now th functio	the formation (GSM) (Relection (Relection (Relection and only continuous)) (IRI, other details)	llowing rei 1 Phase 2 ase 1996) ase 1997) ase 1998) ase 1999) ase 4) ase 5) ase 6) ntifiers fo d the LEI y. ts TS 33. (of a warr or both the	r Lawful MF and 106, rant) it e IRI and the CC
Summary of chang	ge: Ж	The c		otion of usa	age of i	dentifi	ers a	pplic	able to	the "C	C on	ly" option	is
Consequences if not approved:	Ж		ception	rception Ha									
Clauses offered	مه	E 1 E											
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Other comments:	\aleph												

*** FIRST CHANGE ***

5.1.5 Usage of Identifiers

The identifiers are exchanged between the mediation function and the LEMF via the interfaces HI1, HI2 and HI3. There exist several interface options for the exchange of information. Tables 5.1 and 5.2 define the usage of numbers and identifiers depending on these options.

NOTE: X in tables 5.1 and 5.2: Identifier used within parameters of the interface.

Table 5.1: Usage of identifiers, IRI and CC transmitted; options A, B (see clause 5.4.4)

Identifier	IRI and CO	transmitted	(option A)	tion A) IRI and CC transmitted (option E				
	HI1	HI2	HI3	HI1	HI2	HI3		
LIID	Х	X	X	X	Х	X		
NID		X	X		Х	X		
CIN		Х	X		Х	X (see note 1)		
CCLID					Х	X (see note 2)		

NOTE 1: The CIN of the 1st call for which this CC link has been set-up.

NOTE 2: The CCLID may be omitted, see clause 5.1.3.

Table 5.2: Usage of identifiers, only IRI or only CC transmitted

Identifier	Only IRI tr	ansmitted	Only CC transmitted				
	HI1	HI2	HI1	HI3			
LIID	X	X	X	X			
NID		X		×			
CIN		X		X			
CCLID							

<u>Identifier</u>	Only IRI transmitted						
	<u>HI1</u>	HI2					
LIID	X	X					
NID		X					
CIN		X					
CCLID							

*** END OF CHANGES ***

3GPP TSG-SA3-LI Meeting #2/2003 Wien, Austria, 20-22 May 2003

Tdoc #S3LI03_043rev

(modified by MCC to correct base version)

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Proposed change affects: UICC apps# ME Radio Access Network Core Network X													
Title: ₩	Sub	scriber	contro	lled input	t clarifi	cation							
Source: #	SA	WG3											
Work item code: ₩	SEC	C1-LI							Date	e:	2/05/200	3	
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Other specs affected:	ж Ж	Y N X X	Test sp	core spec pecification specification	ons	ons	¥						
Other comments:	¥	The c	urrent t	ext was i	ncorpo	orated I	оу ЕТ	SIE	S 201 67	1.			

*** FIRST CHANGE ***

5.4.5 Subscriber Controlled Input (SCI): Activation / Deactivation / Interrogation of Services

For user procedures for control of Supplementary Services (Activation/Deactivation/Interrogation), a special IRI record type (IRI-REPORT record) is defined to transmit the required information.

The IRI-REPORT record shall contain an indicator, whether the request of the target has been processed successfully or not.

At the exchange, where the subscriber data of a target shall be modified via a remote control procedure, an IRI-REPORT record shall be generated as if the control procedure had taken place locally.

*** END OF CHANGES ***

3GPP TSG-SA3-LI Meeting #2/2003 Wien, Austria, 20-22 May 2003

Tdoc #S3LI03_074r1rev

(modified by MCC to correct base version)

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CHANGE REQUEST									
*	33.108	CR <mark>024</mark>	⊭rev	1 * '	Current version:	6.2.0	#		
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			, -			-			
Proposed change affects: UICC apps# ME Radio Access Network Core Network X									
Title: ₩	Field sep	arator in subaddı	ress						
Source: #	SA WG3								
Work item code: ₩	SEC1-LI				Date: ₩ 21,	/05/2003			
Category: 岩	F (cor A (cor B (add C (fur D (edd Detailed ex	the following categrection) responds to a correlition of feature), ctional modification itorial modification) planations of the a 3GPP TR 21.900.	ection in an ear	lier release)	R96 (Rele R97 (Rele R98 (Rele R99 (Rele Rel-4 (Rele Rel-5 (Rele	-	ases:		
	00 5: 1		.6. 1			0 0			
Reason for change	auth	I separator is not orities have quer oved in ETSI TC	y interpretatio	n of the cla	use J.2.3.2. Res	pective CR	R was		
Summary of chang	e: ## Addition	on to J.2.3.2 and	example of u	sage of Fie	eld separator in ta	able J.2.5			
Consequences if not approved:	ж <mark>Misin</mark>	terpretation of se	parated fields	and misali	gment with ETSI	TS 101 67	'1.		
Clauses affected:	策 J.2.3	2							
Other specs affected:	¥ X]	ons	*					
Other comments:	X								

J.2.3.2 Field order and layout

Fields shall be presented into the subaddress in the following order:

Table J.2.3: Fields in the Called Party Subaddress

Order	Field
1	Operator-ID
2	CIN
3	CCLID
4	National Parameters

Table J.2.4: Fields in the Calling Party Subaddress

Order	Field						
1	Lawful Interception Identifier (LIID)						
2	Direction						
3	Service Octets						

Each field noted above shall be included, whether empty or not, and a field separator shall separate each field. When a field is empty, that shall be indicated by two consecutive field separators (including field separator from the previous field). There shall be a field separator after the final field, too.

Table J.2.4A: Example of how field separator should be used when field is empty

<u>Bits</u>								Octets
8	7	<u>6</u>	<u>5</u>	4	3	2	1	
	Called	d party	/ suba	ddres	s ider	ntifier		<u>1</u>
Length of called party subaddress contents								1 2 3
Type of subaddress = user specified,							<u>l,</u>	<u>3</u>
odd/even indicator_								
		or-ID @			perato			<u>4</u>
		or-ID @			<u>perato</u>		_	<u>5</u>
<u>F1</u>		parato	<u>or</u>		perato		_	<u>6</u>
	CCLI			FI	eld se		<u>or</u>	<u>/</u>
	CCLI				CCLI			8
	CCLI				CCLI			910
E1					CCLI			10 11
<u> </u>	eiu se	parato	<u>//</u>		CCLI	<u>U</u>		11
								1 <u>2</u> 13
								1 <u>13</u>
								15
			(see i	note)				16
	<u>(acc note)</u>						17	
								18
								41516178191011121314151617181910121213
								<u>20</u>
								<u>21</u>
							<u>22</u>	
								<u>23</u>
NOT								_ID) of the
								ved for
	national use, e.g. for authentication purposes.							

The Service Octets as available shall always be mapped into octets 19 to 23 of the Calling Party Subaddress, as appropriate. If one of the parameters TMR, BC or HLC is not available, the octet shall be fill with "FF" hex. If Mobile Teleservice Code is not available, octet 23 shall not be transmitted. If Mobile Teleservice Code and Mobile Bearer Service Code are not available, octets 22 and 23 shall not be transmitted.

Table J.2.5 represent called party subaddress and table J.2.6 calling party subaddress with the maximum length of the identifiers.

Table J.2.5: Called Party Subaddress

Bits								Octets
8	7	6	5	4	3	2	1	
Called party subaddress identifier							1	
Length of called party subaddress contents								2 3
Type of subaddress = user specified,								3
		odd/	even	indica	tor			
0	perato	or-ID 🤅	2)	O	perato	or-ID (D	4
		or-ID @				or-ID (5
Fi		parato	or	O		or-ID (5)	6
	CIN	_			CIN	-		7
	CIN	-			CIN	-		8
	CIN	_			CIN	-		9
	CIN	-			CIN	7		10
	CCLI			Fie	11			
	CCLI				12			
	CCLI				CCLI			13
	CCLI	D Ø			CCLI			14
Field separator CCLID ®					15			
			see i	note				16
								17
								18
								19
							20	
							21	
							22 23	
NOT	NOTE: The Octets after the final field (CCLID) of the							

NOTE: The Octets after the final field (CCLID) of the Called Party Subaddress are reserved for national use, e.g. for authentication purposes.

Table J.2.6: Calling Party Subaddress

	Bits	Octets					
8 7	6 5 4 3 2 1						
	ty subaddress identifier	1					
Length of c	alling party subaddress contents	2					
Type of sub	paddress = user specified,	3					
	ndicator according to the amount						
of BCD-dig							
LIID ②	LIID ①	4					
LIID ④	LIID ③	5					
LIID ®	LIID ®	6					
LIID ®	LIID ②	7					
LIID ①®	LIID ®	8					
LIID ①②	LIID ①①	9					
LIID ①④	LIID ①③	10					
LIID ①⑥	LIID ①⑤	11					
LIID ①®	LIID ①⑦	12					
LIID @@	LIID ①②	13					
LIID @@	LIID @@	14					
LIID @@	LIID @ 3	15					
Field separ		16					
Field separ		17					
		18					
	spare spare ITU-T Recommendation Q.763 [32] TMR						
(see note 1		19					
	ommendation Q.931 BC [33]	20					
	20						
octet 3 (see	04						
	21						
octet 4 (see	00						
	rer Service Code	22					
(see note 4		00					
	eservice Code (see note 5)	23					
	If available, the Transmission Medi Requirement according to EN 300 not available, the value is "FF" hex	356 [29]. If					
(NOTE 2: If available, only octet 3 of the Bearer Capability I.E. according to EN 300 403 [30] If not available, the value is "FF" hex.						
	If available, only octet 4 of the High						
110123.	Compatibility I.E. according to	Layer					
	EN 300 403 [30]. If not available, the	وز میرادی مد					
	"FF" hex.	ie value is					
		rica Cada					
	NOTE 4: If available, the Mobile Bearer Service Code according to ETS 300 974 [34],						
	clause 14.7.10. If not available, the	octote 22					
	ciause 14.7.10. Il not avallable, the and 23 shall not be transmitted.	OCIGIS ZZ					
		Codo					
	If available, the Mobile Teleservice	Code					
	according to ETS 300 974 [34],						
	clause 14.7.9. If not available, the octet 23						
	shall not be transmitted.						

*** END OF CHANGES ***