**3GPP TSG-RAN WG4 Meeting #97-e *R4-2017582***

**Electronic Meeting, 2-13 Nov., 2020**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.827** | **CR** | 0004 | **rev** | **1** | **Current version:** | **16.0.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| --- |
|  |
| ***Title:***  | Number of Slots for NR MIMO OTA testing |
|  |  |
| ***Source to WG:*** | vivo |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | FS\_NR\_MIMO\_OTA\_test  |  | ***Date:*** | 2020-10-20 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | The minimum number of slots has not been defined for NR MIMO OTA test method.  |
|  |  |
| ***Summary of change:*** | Adding 20000 as the minimum number of slots for FR1 and FR2 MIMO OTA. |
|  |  |
| ***Consequences if not approved:*** | The testing parameter of NR MIMO OTA test method will not be complete. |
|  |  |
| ***Clauses affected:*** | 8.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ... |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

< start of changes, part 1 >

## 8.2 gNodeB emulator settings

< Further down selecting of parameters (FR1 TDD Bandwidth and FR2 DL Modulation) for RMC will be done in WI phase >

The gNodeB emulator parameters shall be set according to Table 8.2-1 for FR1 common parameters, Table 8.2-2 for FR1 FDD 2x2 test parameters, Table 8.2-3 for FR1 TDD 2x2 test parameters, Table 8.2-4 for FR1 FDD 4x4 test parameters, Table 8.2-5 for FR1 TDD 4x4 test parameters, and Table 8.2-6 for FR2 common parameters, Table 8.2-7 and Table 8.2-8 for FR2 TDD 2x2 test parameters.

Table 8.2-1: FR1 Common test parameters

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Value** |
| PDSCH transmission scheme |  | Transmission scheme 1 |
| Carrier configuration | Offset between Point A and the lowest usable subcarrier on this carrier (Note 2) | RBs | 0 |
| Subcarrier spacing | kHz | 15 or 30 |
| DL BWP configuration #1 | Cyclic prefix |  | Normal |
| RB offset | RBs | 0 |
| Number of contiguous PRB | PRBs | Maximum transmission bandwidth configuration as specified in clause 5.3.2 of TS 38.101-1 for tested channel bandwidth and subcarrier spacing |
| Common serving cell parameters | Physical Cell ID |  | 0 |
| SSB position in burst |  | First SSB in Slot #0 |
| SSB periodicity | ms | 20 |
| First DMRS position for Type A PDSCH mapping |  | 2 |
| PDCCH configuration | Slots for PDCCH monitoring |  | Each slot |
| Symbols with PDCCH | Symbols | 0, 1 |
| Number of PRBs in CORESET |  | Table 5.2-2 of TS 38.101-4 for tested channel bandwidth and subcarrier spacing |
| Number of PDCCH candidates and aggregation levels |  | 1/AL8 |
| CCE-to-REG mapping type |  | Non-interleaved |
| DCI format |  | 1\_1 |
| TCI state |  | TCI state #1 |
| Cross carrier scheduling |  | Not configured |
| CSI-RS for tracking | First subcarrier index in the PRB used for CSI-RS  |  | k0=0 for CSI-RS resource 1,2,3,4 |
| First OFDM symbol in the PRB used for CSI-RS  |  |  l0 = 6 for CSI-RS resource 1 and 3l0 = 10 for CSI-RS resource 2 and 4 |
| Number of CSI-RS ports (X) |  | 1 for CSI-RS resource 1,2,3,4 |
| CDM Type |  | ‘No CDM’ for CSI-RS resource 1,2,3,4 |
| Density (ρ) |  | 3 for CSI-RS resource 1,2,3,4 |
| CSI-RS periodicity | Slots | 15 kHz SCS: 20 for CSI-RS resource 1,2,3,430 kHz SCS: 40 for CSI-RS resource 1,2,3,4 |
| CSI-RS offset | Slots | 15 kHz SCS:10 for CSI-RS resource 1 and 211 for CSI-RS resource 3 and 430 kHz SCS:20 for CSI-RS resource 1 and 221 for CSI-RS resource 3 and 4 |
| Frequency Occupation |  | Start PRB 0Number of PRB = BWP size |
| QCL info |  | TCI state #0 |
| NZP CSI-RS for CSI acquisition | First subcarrier index in the PRB used for CSI-RS  |  | k0 = 0 |
| First OFDM symbol in the PRB used for CSI-RS  |  | l0 = 12 |
| Number of CSI-RS ports (X) |  | Same as number of transmit antenna |
| CDM Type |  | ‘FD-CDM2’ |
| Density (ρ) |  | 1 |
| CSI-RS periodicity | Slots | 15 kHz SCS: 2030 kHz SCS: 40 |
| CSI-RS offset | Slots | 0 |
| Frequency Occupation |  | Start PRB 0Number of PRB = BWP size |
| QCL info |  | TCI state #1 |
| ZP CSI-RS for CSI acquisition | First subcarrier index in the PRB used for CSI-RS  |  | k0 = 4 |
| First OFDM symbol in the PRB used for CSI-RS  |  | l0 = 12 |
| Number of CSI-RS ports (X) |  | 4 |
| CDM Type |  | ‘FD-CDM2’ |
| Density (ρ) |  | 1 |
| CSI-RS periodicity | Slots | 15 kHz SCS: 2030 kHz SCS: 40 |
| CSI-RS offset | Slots | 0 |
| Frequency Occupation |  | Start PRB 0Number of PRB = BWP size |
| PDSCH DMRS configuration | Antenna ports indexes |  | {1000, 1001} for Rank 2 tests{1000-1003} for Rank 4 tests |
| Number of PDSCH DMRS CDM group(s) without data |  | 1 for Rank 2 tests2 for Rank 4 tests |
| TCI state #0 | Type 1 QCL information  | SSB index |  | SSB #0 |
| QCL Type |  | Type C |
| Type 2 QCL information | SSB index |  | N/A |
| QCL Type |  | N/A |
| TCI state #1 | Type 1 QCL information  | CSI-RS resource |  | CSI-RS resource 1 from ‘CSI-RS for tracking’ configuration |
| QCL Type |  | Type A |
| Type 2 QCL information | CSI-RS resource |  | N/A |
| QCL Type |  | N/A |
| PT-RS configuration |  | PT-RS is not configured |
| Maximum number of code block groups for ACK/NACK feedback |  | 1 |
| Maximum number of HARQ transmission |  | 1 |
| HARQ ACK/NACK bundling |  | Multiplexed |
| Redundancy version coding sequence |  | N.A |
| Precoding configuration |  | SP Type I, Random per slot with PRB bundling granularity |
| Symbols for all unused REs |  | OCNG Annex A.5 of TS 38.101-4 |
| Minimum Number of Slots per Stream |  | 20000 for 15kHz SCS 40000 for 30kHz SCS |
| Note 1: UE assumes that the TCI state for the PDSCH is identical to the TCI state applied for the PDCCH transmission.Note 2: Point A coincides with minimum guard band as specified in Table 5.3.3-1 from TS 38.101-1 for tested channel bandwidth and subcarrier spacing. |

< end of changes, part 1 >

< Start of changes, part 2 >

Table 8.2-6: FR2 Common Parameters

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Value |
| PDSCH transmission scheme |  | Transmission scheme 1 |
| PTRS *epre-Ratio* |  | 0 |
| Actual carrier configuration | Offset between Point A and the lowest usable subcarrier on this carrier (Note 2) | RBs | 0 |
| Subcarrier spacing | kHz | 120 |
| DL BWP configuration #1 | Cyclic prefix |  | Normal |
| RB offset | RBs | 0 |
| Number of contiguous PRB | PRBs | Maximum transmission bandwidth configuration as specified in clause 5.3.2 of TS 38.101-2 for tested channel bandwidth and subcarrier spacing |
| Common serving cell parameters | Physical Cell ID |  | 0 |
| SSB position in burst |  | 1 |
| SSB periodicity | ms | 20 |
| First DMRS position for Type A PDSCH mapping |  | 2 |
| PDCCH configuration | Slots for PDCCH monitoring |  | Each slot |
| Symbols with PDCCH |  | 0 |
| Number of PRBs in CORESET |  | Table 7.2-2 of TS 38.101-4 for tested channel bandwidth and subcarrier spacing |
| Number of PDCCH candidates and aggregation levels |  | 1/AL8 |
| CCE-to-REG mapping type |  | Non-interleaved |
| DCI format |  | 1\_1 |
| TCI state |  | TCI state #1 |
| Cross carrier scheduling |  | Not configured |
| CSI-RS for tracking | First subcarrier index in the PRB used for CSI-RS (*k0*) |  | 0 for CSI-RS resource 1,2,3,4 |
| First OFDM symbol in the PRB used for CSI-RS (*l0*) |  | 6 for CSI-RS resource 1 and 310 for CSI-RS resource 2 and 4 |
| Number of CSI-RS ports (*X*) |  | 1 for CSI-RS resource 1,2,3,4 |
| CDM Type |  | ‘No CDM’ for CSI-RS resource 1,2,3,4 |
| Density (*ρ*) |  | 3 for CSI-RS resource 1,2,3,4 |
| CSI-RS periodicity | Slots | 120 kHz SCS: 160 for CSI-RS resource 1,2,3,4 |
| CSI-RS offset | Slots | 120 kHz SCS:80 for CSI-RS resource 1 and 281 for CSI-RS resource 3 and 4 |
| Frequency Occupation |  | Start PRB 0Number of PRB = BWP size |
| QCL info |  | TCI state #0 |
| NZP CSI-RS for CSI acquisition | First subcarrier index in the PRB used for CSI-RS (*k0*) |  | 0 |
| First OFDM symbol in the PRB used for CSI-RS (*l0*) |  | 12 |
| Number of CSI-RS ports (*X*) |  | 2 |
| CDM Type |  | FD-CDM2 |
| Density (*ρ*) |  | 1 |
| CSI-RS periodicity | Slots | 120 kHz SCS: 160 |
| CSI-RS offset |  | 0 |
| Frequency Occupation |  | Start PRB 0Number of PRB = BWP size |
| QCL info |  | TCI state #1 |
| ZP CSI-RS for CSI acquisition | First subcarrier index in the PRB used for CSI-RS (k0) |  | 4 |
| First OFDM symbol in the PRB used for CSI-RS (*l0*) |  | 12 |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (*ρ*) |  | 1 |
| CSI-RS periodicity | Slots | 120 kHz SCS: 160 |
| CSI-RS offset |  | 0 |
| Frequency Occupation |  | Start PRB 0Number of PRB = BWP size |
| CSI-RS for beam refinement | First subcarrier index in the PRB used for CSI-RS  |  | k0=0 for CSI-RS resource 1,2 |
| First OFDM symbol in the PRB used for CSI-RS  |  | l0 = 8 for CSI-RS resource 1l0 = 9 for CSI-RS resource 2 |
| Number of CSI-RS ports (X) |  | 1 for CSI-RS resource 1,2 |
| CDM Type |  | ‘No CDM’ for CSI-RS resource 1,2 |
| Density (ρ) |  | 3 for CSI-RS resource 1,2 |
| CSI-RS periodicity | Slots | 60 kHz SCS: 80 for CSI-RS resource 1,2120 kHz SCS: 160 for CSI-RS resource 1,2 |
| CSI-RS offset | Slots | 0 for CSI-RS resource 1,2 |
| QCL info |  | TCI state #1 |
| PDSCH DMRS configuration | Antenna ports indexes |  | {1000} for Rank 1 tests{1000, 1001} for Rank 2 tests |
| Number of PDSCH DMRS CDM group(s) without data |  | 1 |
| TCI state #0 | Type 1 QCL information | SSB index |  | SSB #0 |
| QCL Type |  | Type C |
| Type 2 QCL information | SSB index |  | SSB #0 |
| QCL Type |  | Type D |
| TCI state #1 | Type 1 QCL information | CSI-RS resource |  | CSI-RS resource 1 from ‘CSI-RS for tracking’ configuration |
| QCL Type |  | Type A |
| Type 2 QCL information | CSI-RS resource |  | CSI-RS resource 1 from ‘CSI-RS for tracking’ configuration |
| QCL Type |  | Type D |
| PTRS configuration | Frequency density (*KPT-RS*) |  | 2 |
| Time density (*LPT-RS*) |  | 1 |
| Maximum number of code block groups for ACK/NACK feedback |  | 1 |
| Maximum number of HARQ transmission |  | 1 |
| HARQ ACK/NACK bundling |  | Multiplexed |
| Redundancy version coding sequence |  | {0,2,3,1} |
| Precoding configuration |  | SP Type I, Random per slot with PRB bundling granularity |
| Symbols for all unused Res |  | OCNG in Annex A.5 of TS 38.101-4 |
| Minimum Number of Slots per Stream |  | 20000 for FR2 UMi models in Tables 7.2.2-1—7.2.2.5 75000 for FR2 InO models Tables 7.2.2-6—7.2.2.10 |
| Note 1: UE assumes that the TCI state for the PDSCH is identical to the TCI state applied for the PDCCH transmission.Note 2: Point A coincides with minimum guard band as specified in Table 5.3.3-1 from TS 38.101-2 for tested channel bandwidth and subcarrier spacing. |

< End of changes, part 2 >