**3GPP TSG-RAN WG4 Meeting #97-eR4-2017542**

**Electronic, 2nd – 13th November, 2020**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **.101-4** | **CR** | **0090** | **rev** | **1** | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | CR to TS 38.101-4: HST-SFN FDD performance requirements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Intel Corporation | | | | | | | | | |
| ***Source to TSG:*** | RAN4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_HST-Perf | | | | |  | ***Date:*** | | | 2020-10-23 |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Add Rel-16 DL HST-SFN FDD performance requirements | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. HST-SFN FDD performance requirements for 2x2 and 2x4 antenna configuration 2. Reference to TS 38.331 3. HST-SFN abbreviation | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | DL HST-SFN FDD performacne will not be guaranteed | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 2, 3.3, 5.2.2.1, 5.2.3.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | |  | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS 38.521-4 | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | |  | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Add reference values (SNR) | | | | | | | | |

**START OF 1st CHANGE**

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.521-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance requirements".

[3] Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".

[4] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".

[5] 3GPP TR 38.901: "Study on channel model for frequencies from 0.5 to 100 GHz".

[6] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[7] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".

[8] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[9] 3GPP TS 38.211: "NR; Physical channels and modulation".

[10] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[11] 3GPP TS 38.213: "NR; Physical layer procedures for control ".

[12] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[13] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity", Stage 2.

[14] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".

[15] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".

[16] 3GPP TS 38.521-4: "User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Performance".

[17] 3GPP TS 38.331: “Radio Resource Control (RRC) protocol specification”.

**END OF 1st CHANGE**

**START OF 2nd CHANGE**

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

CA Carrier Aggregation

CC Component Carrier

CCE Control Channel Element

CORESET Control Resource Set

CP Cyclic Prefix

CSI Channel-State Information

CSI-IM CSI Interference Measurement

CSI-RS CSI Reference Signal

CW Codeword

CQI Channel Quality Indicator

CRC Cyclic Redundancy Check

CRI CSI-RS Resource Indicator

DC Dual Connectivity

DCI Downlink Control Information

DL Downlink

DMRS Demodulation Reference Signal

EPRE Energy Per Resource Element

EN-DC E-UTRA-NR Dual Connectivity

FR Frequency Range

FRC Fixed Reference Channel

HARQ Hybrid Automatic Repeat Request

HST High Speed Train

HST-SFN High Speed Train Single Frequency Network

LI Layer Indicator

MAC Medium Access Control

MCS Modulation and Coding Scheme

MIB Master Information Block

NR New Radio

NSA Non-Standalone Operation Mode

OCNG OFDMA Channel Noise Generator

OFDM Orthogonal Frequency Division Multiplexing

OFDMA Orthogonal Frequency Division Multiple Access

PBCH Physical Broadcast Channel

Pcell Primary Cell

PDCCH Physical Downlink Control Channel

PDSCH Physical Downlink Shared Channel

PMI Precoding Matrix Indicator

PRB Physical Resource Block

PRG Physical resource block group

PSS Primary Synchronization Signal

PTRS Phase Tracking Reference Signal

PUCCH Physical Uplink Control Channel

PUSCH Physical Uplink Shared Channel

QCL Quasi Co-location

RB Resource Block

RBG Resource Block Group

RE Resource Element

REG Resource Element Group

RI Rank Indicator

RRC Radio Resource Control

SA Standalone operation mode

SCS Subcarrier Spacing

SINR Signal-to-Interference-and-Noise Ratio

SNR Signal-to-Noise Ratio

SS Synchronization Signal

SSB Synchronization Signal Block

SSS Secondary Synchronization Signal

TCI Transmission Configuration Indicator

TDM Time division multiplexing

TTI Transmission Time Interval

UL Uplink

VRB Virtual Resource Block

**END OF 2nd CHANGE**

**START OF 3rd CHANGE**

#### 5.1.1.3 Applicability of requirements for optional UE features

The performance requirements in Table 5.1.1.3-1 shall apply for UEs which support optional UE features only.

Table 5.1.1.3-1: Requirements applicability for optional UE features

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| UE feature/capability [14] | Test type | | Test list | Applicability notes |
| SU-MIMO Interference Mitigation advanced receiver | FR1 FDD | PDSCH | Clause 5.2.2.1.1 (Test 3-1)  Clause 5.2.3.1.1 (Test 5-1) |  |
| FR1 TDD | PDSCH | Clause 5.2.2.2.1 (Test 3-1)  Clause 5.2.3.2.1 (Test 5-1) |
| Alternative additional DMRS position for co-existence with LTE CRS *(additionalDMRS-DL-Alt)* | FR1 FDD | PDSCH | Clause 5.2.2.1.4 (Test 1-2)  Clause 5.2.3.1.4 (Test 1-2) |  |
| FR1 TDD | PDSCH | Clause 5.2.2.2.4 (Test 1-2)  Clause 5.2.3.2.4 (Test 1-2) |  |
| Basic DL NR-NR CA operation (*supportedBandCombinationList*) | NR CA | SDR | Clause 5.5A.1 | 1)Up to 16 DL carriers  2)Same numerology across carrier for data/control channel at a given time |
| Enhanced demodulation processing for HST-SFN joint transmission scheme with velocity up to 500km/h | FR1 FDD | PDSCH | Clause 5.2.2.1.9 (Test 1-1)  Clause 5.2.3.1.9 (Test 1-1) |  |
| FR1 TDD | PDSCH | Clause 5.2.2.2.9 (Test 1-1)  Clause 5.2.3.2.9 (Test 1-1) |

**END OF 3rd CHANGE**

**START OF 4th CHANGE**

##### 5.2.2.1.9 Minimum requirements for PDSCH HST-SFN

The performance requirements are specified in Table 5.2.2.1.9-3, with the addition of test parameters in Table 5.2.2.1.9-2 and the downlink physical channel setup according to Annex C.3.1.

The test purposes are specified in Table 5.2.2.1.9-1.

Table 5.2.2.1.9-1: Tests purpose

|  |  |
| --- | --- |
| **Purpose** | **Test index** |
| Verify PDSCH performance under 2 receive antenna conditions in the HST-SFN scenario defined in B.3.2 when highSpeedDemodFlag-r16 IE [17] is configured | 1-1 |

Table 5.2.2.1.9-2: Test parameters

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| Duplex mode | |  | FDD |
| Active DL BWP index | |  | 1 |
| PDSCH configuration | Mapping type |  | Type A |
| k0 |  | 0 |
| Starting symbol (S) |  | 2 |
| Length (L) |  | 12 |
| PDSCH aggregation factor |  | 1 |
| PRB bundling type |  | Static |
| PRB bundling size |  | 2 |
| Resource allocation type |  | Type 0 |
| RBG size |  | Config2 |
| VRB-to-PRB mapping type |  | Non-interleaved |
| VRB-to-PRB mapping interleaver bundle size |  | N/A |
| PDSCH DMRS configuration | DMRS Type |  | Type 1 |
| Number of additional DMRS |  | 2 |
| Maximum number of OFDM symbols for DL front loaded DMRS |  | 1 |
| CSI-RS for tracking | CSI-RS periodicity | Slots | 10 for CSI-RS resource 1,2,3,4. |
| CSI-RS offset | Slots | 1 for CSI-RS resource 1 and 2 2 for CSI-RS resource 3 and 4. |
| Number of HARQ Processes | |  | 4 |
| The number of slots between PDSCH and corresponding HARQ-ACK information | |  | 2 |

Table 5.2.2.1.9-3: Minimum performance for Rank 2

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Test num. | Reference channel | Bandwidth (MHz) / Subcarrier spacing (kHz) | Modulation format and code rate | Propagation condition | Correlation matrix and antenna configuration | Reference value | |
| Fraction of maximum throughput (%) | SNR (dB) |
| 1-1 | R.PDSCH.1-8.3 FDD | 10 / 15 | 16QAM, 0.48 | HST-SFN | 2x2 | 70 | 13.0 |

#### 

**END OF 4th CHANGE**

**START OF 5th CHANGE**

##### 5.2.3.1.9 Minimum requirements for PDSCH HST-SFN

The performance requirements are specified in Table 5.2.3.1.9-3, with the addition of test parameters in Table 5.2.3.1.9-2 and the downlink physical channel setup according to Annex C.3.1.

The test purposes are specified in Table 5.2.3.1.9-1.

Table 5.2.3.1.9-1: Tests purpose

|  |  |
| --- | --- |
| **Purpose** | **Test index** |
| Verify PDSCH performance under 4 receive antenna conditions in the HST-SFN scenario defined in B.3.2 when highSpeedDemodFlag-r16 IE [17] is configured | 1-1 |

Table 5.2.3.1.9-2: Test parameters

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| Duplex mode | |  | FDD |
| Active DL BWP index | |  | 1 |
| PDSCH configuration | Mapping type |  | Type A |
| k0 |  | 0 |
| Starting symbol (S) |  | 2 |
| Length (L) |  | 12 |
| PDSCH aggregation factor |  | 1 |
| PRB bundling type |  | Static |
| PRB bundling size |  | 2 |
| Resource allocation type |  | Type 0 |
| RBG size |  | Config2 |
| VRB-to-PRB mapping type |  | Non-interleaved |
| VRB-to-PRB mapping interleaver bundle size |  | N/A |
| PDSCH DMRS configuration | DMRS Type |  | Type 1 |
| Number of additional DMRS |  | 2 |
| Maximum number of OFDM symbols for DL front loaded DMRS |  | 1 |
| CSI-RS for tracking | CSI-RS periodicity | Slots | 10 for CSI-RS resource 1,2,3,4. |
| CSI-RS offset | Slots | 1 for CSI-RS resource 1 and 2 2 for CSI-RS resource 3 and 4. |
| Number of HARQ Processes | |  | 4 |
| The number of slots between PDSCH and corresponding HARQ-ACK information | |  | 2 |

Table 5.2.3.1.9-3: Minimum performance for Rank 2

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Test num. | Reference channel | Bandwidth (MHz) / Subcarrier spacing (kHz) | Modulation format and code rate | Propagation condition | Correlation matrix and antenna configuration | Reference value | |
| Fraction of maximum throughput (%) | SNR (dB) |
| 1-1 | R.PDSCH.1-8.3 FDD | 10 / 15 | 16QAM, 0.48 | HST-SFN | 2x4 | 70 | 10.4 |

#### 

**END OF 5th CHANGE**