**3GPP TSG-RAN WG4 Meeting #97-e R4-2017498**

**Electronic Meeting, 2nd – 13th Nov, 2020**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-4** | **CR** | **0101** | **rev** | **1** | **Current version:** | **16.2.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | CR to TS 38.101-4: Applicability rules for URLLC CSI requirements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | RAN4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_L1enh\_URLLC-Perf | | | | |  | ***Date:*** | | | 2020-10-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | A new CQI table is designed for URLLC, to introduce the new CQI requirements, the applicability rule for URLLC CQI requirements should be clearly defined. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | For applicability rules, add features in section 6.1.1.3. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | CSI requirements applicability is not completed. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.1.1.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS 38.521-4 | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | **R4-2015621** | | | | | | | | |

*<Start of the change>*

### 6.1.1 Applicability of requirements

#### 6.1.1.1 General

The minimum performance requirements are applicable to all FR1 operating bands defined in TS 38.101-1 [6].

The minimum performance requirements in Clause 6 are mandatary for UE supporting NR operation, except test cases listed in Clause 6.1.1.3, 6.1.1.4.

#### 6.1.1.2 Applicability of requirements for different number of RX antenna ports

The number of RX antenna ports for different RF operating bands is up to UE declaration.

The UE shall support 2 or 4 RX antenna ports for different RF operating bands. The operating bands, where 4 RX antenna ports shall be the baseline, are defined in clause 7.2 of TS 38.101-1 [6]. The UE requirements applicability for UEs with different number of RX antenna ports is defined in Table 6.1.1.2-1.

Table 6.1.1.2-1: Requirements applicability

|  |  |  |
| --- | --- | --- |
| Supported RX antenna ports | Test type | Test list |
| UE supports only 2RX | CQI | All tests in Clause 6.2.2 |
| PMI | All tests in Clause 6.3.2 |
| RI | All tests in Clause 6.4.2 |
| UE supports only 4RX or both 2RX and 4RX | CQI | All tests in Clause 6.2.3 |
| PMI | All tests in Clause 6.3.3 |
| RI | All tests in Clause 6.4.3 |

#### 6.1.1.3 Applicability of requirements for optional UE features

The performance requirements in Table 6.1.1.3-1 shall apply for UEs which support optional UE features with capability signalling only.

Table 6.1.1.3-1: Requirements applicability for optional features with UE capability signalling

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| UE feature/capability [14] | Test type | | Test list | Applicability notes |
| New CQI table (cqi-TableAlt) and | FR1 FDD | CQI | Clause 6.2.2.1.1.2  Clause 6.2.3.1.1.2 |  |
| FR1 TDD | CQI | Clause 6.2.2.2.1.2  Clause 6.2.3.2.1.2 |
| New 64QAM MCS table for PDSCH (*dl-64QAM-MCS-TableAlt*) | FR1 FDD | CQI | Clause 6.2.2.1.1.2  Clause 6.2.3.1.1.2 |  |
| FR1 TDD | CQI | Clause 6.2.2.2.1.2  Clause 6.2.3.2.1.2 |  |

#### 6.1.1.4 Applicability of requirements for mandatory UE features with capability signalling

The performance requirements in Table 6.1.1.4-1 shall apply for UEs which support mandatory UE features with capability signalling only.

Table 6.1.1.4-1: Requirements applicability for mandatory features with UE capability signalling

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| UE feature/capability [14] | Test type | | Test list | Applicability notes |
| Supported maximum number of PDSCH MIMO layers (*maxNumberMIMO-LayersPDSCH*) | FR1 FDD | CQI | Clause 6.2.3.1.1.1 | The requirements apply only in case the PDSCH MIMO rank in the test case does not exceed UE PDSCH MIMO layers capability |
| PMI | Clause 6.3.3.1.2 |
| RI | Clause 6.4.2.1  Clause 6.4.3.1 |
| FR1 TDD | CQI | Clause 6.2.3.2.1.1 |
| PMI | Clause 6.3.3.2.2 |
| RI | Clause 6.4.2.2  Clause 6.4.3.2 |
| Supported maximum number of ports across all configured NZP-CSI-RS resources per CC (*maxConfigNumberPortsAcrossNZP-CSI-RS-PerCC*) | FR1 FDD | PMI | Clause 6.3.2.1.1  Clause 6.3.2.1.2  Clause 6.3.3.1.1  Clause 6.3.3.1.2 | The requirements apply only in case the number of NZP-CSI-RS ports in the test case satisfies UE capability on maximum number of NZP-CSI-RS ports |
| RI | Clause 6.4.3.1 (Test 4) |
| FR1 TDD | PMI | Clause 6.3.2.2.1  Clause 6.3.2.2.2  Clause 6.3.3.2.1  Clause 6.3.3.2.2 |
| RI | Clause 6.4.3.2 (Test 4) |

*<End of the change>*