**3GPP TSG-RAN4 Meeting #97-e *R4-2017195***

**Online, , 2nd Nov 2020 - 13th Nov 2020**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **1242** | **rev** | **-** | **Current version:** | **16.5.0** |  |
|  | | | | | | | | |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | CR to introduce interfrequency FR2 CGI reading test for SA NR (TC2) | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | RAN WG4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RRM\_enh-Perf | | | | |  | ***Date:*** | | | 2020-10-23 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of TC2 as discussed on RAN4 reflector for CGI reading with autonomous gaps | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Test case introduced using 20ms SMTC periodicity and 1 transmission of RMSI per 160ms | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Insufficient test coverage for CGI reading feature | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | A.7.X.1 (new clause) exact numbering TBC until structure for rel16 enhancement test cases is decided. | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

Change 1

#### A.7.X.1 SA interfrequency CGI reporting in autonomous gaps test (PCell in FR2)

##### A.7.X.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an CGI. This test will partly verify the SA inter-frequency NR cell search requirements in clause 8.2.1.2.16 and 9.11

In this test, there are two cells: NR cell 1 as PCell in FR2 on NR RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.X.1.1-1, A.7.X.1.1-2, and A.7.X.1.1-3.

Measurement gap patterns are configured. During T1 the UE shall report event A3 for cell 2. Within 3 seconds of the event report, the test equipment shall add a measurement reporting configuration using *ReportConfigNR* which containsa ReportCGI IE with cellForWhichToReportCGI set to the physical Cell ID of cell 2 and including the optional IE useAutonomousGaps-r16

In the measurement control information, it is indicated to the UE to decode the CGI of the neighbour cell using autonomous gaps. The test consists of two time phases, T1 and T2. Time period T2 begins 10ms after the test equipment has transmitted the RRC reconfiguration message containing the ReportCGI IE.

Supported test configurations are shown in table A.7.X.1.1-1.

Table A.7.X.1.1-1 SA interfrequency CGI reporting test in autonomous gaps

|  |  |
| --- | --- |
| Config | Description |
| 1 | 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

Table A.7.X.1.1-2: General test parameters for SA interfrequency CGI reporting in autonomous gaps

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | Unit | Test configuration | Value | Comment |
| NR RF Channel Number |  | Config 1 | 1, 2 | Two FR2 NR carrier frequencies is used. |
| Active cell |  | Config 1 | NR cell 1 (Pcell) | NR Cell 1 is on NR RF channel number 1. |
| Neighbour cell |  | Config 1 | NR cell 2 | NR cell 2 is on NR RF channel number 2. |
| Gap Pattern Id |  | Config 1 | 13 | As specified in clause 9.1.2-1. |
| Measurement gap offset |  | Config 1 | 39 |  |
| SMTC-SSB parameters |  | Config 1 | SSB.3 FR2 | As specified in clause A.3.10.2 |
| S-RNTI scheduling rate | ms |  | 160ms | S-RNTI scheduled on one occasion per 160ms transmission period |
| A3-Offset | dB | Config 1 | -30 |  |
| Hysteresis | dB | Config 1 | 0 |  |
| CP length |  | Config 1 | Normal |  |
| TimeToTrigger | s | Config 1 | 0 |  |
| Filter coefficient |  | Config 1 | 0 | L3 filtering is not used |
| DRX |  | Config 1 | OFF | DRX is not used |
| Time offset between serving and neighbour cells |  | Config 1 | 3μs | Synchronous cells. |
| T1 | s | Config 1 | <10 | UE expected to report event A3 for cell 2 within 5,2s (PC1)or 3.5s (other PC) of the start of T1. Test equipment shall configure CGI reporting within 3s after receiving the event A3 report. T2 begins 10ms after test equipment has transmitted the RRC reconfiguration to configure CGI reporting. |
| T2 | s | Config 1 | 1 |  |

Table A.7.X.1.1-3: Cell specific test parameters SA interfrequency CGI reporting in autonomous gaps

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test configuration | Cell 1 | | Cell 2 | |
| T1 | T2 | T1 | T2 |
| AoA setup | |  | Config 1 | Setup 3 as specified in clause A.3.15 | | | |
|  | |  |  | AoA1 | | AoA2 | |
| Beam AssumptionNote 7 | |  | 1,2 | Fine | | Fine | |
| NR RF Channel Number | |  | Config 1 | 1 | | 2 | |
| Duplex mode | |  | Config 1 | TDD | | TDD | |
| TDD configuration | |  | Config 1 | TDDConf.3.1 | | TDDConf.3.1 | |
| BWchannel | | MHz | Config 1 | 100: NRB,c = 66 | | 100: NRB,c = 66 | |
| BWP BW | | MHz | Config 1 | 100: NRB,c = 66 | | 100: NRB,c = 66 | |
| BWP configuration | Initial DL BWP |  | Config 1 | DLBWP.0.1 | | N/A | |
|  | Initial UL BWP |  |  | ULBWP.0.1 | | N/A | |
|  | Dedicated DL BWP |  |  | DLBWP.1.1 | | N/A | |
|  | Dedicated UL BWP |  |  | ULBWP.1.1 | | N/A | |
| OCNG Patterns defined in A.3.2.1.1 (OP.1) | |  | Config 1 | OP.1 | | Not sent | |
| PDSCH Reference measurement channel | |  | Config 1 | SR.3.1 TDD | | - | |
| CORESET Reference Channel | |  | Config 1 | CR.3.1 TDD | | - | |
| SMTC configuration defined in A.3.11.1 and A.3.11.2 | |  | Config 1 | SMTC.1 | | SMTC.1 | |
| PDSCH/PDCCH subcarrier spacing | | kHz | Config 1 | 120 | | 120 | |
| TRS configuration | |  | Config 1 | TRS.2.1 TDD | | N/A | |
| TCI configuration | |  | Config 1 | CSI-RS.Config.0 | | N/A | |
| EPRE ratio of PSS to SSS | |  |  |  | |  | |
| EPRE ratio of PBCH DMRS to SSS | |  |  |  | |  | |
| EPRE ratio of PBCH to PBCH DMRS | |  |  |  | |  | |
| EPRE ratio of PDCCH DMRS to SSS | |  |  |  | |  | |
| EPRE ratio of PDCCH to PDCCH DMRS | |  | Config 1 | 0 | | 0 | |
| EPRE ratio of PDSCH DMRS to SSS | |  |  |  | |  | |
| EPRE ratio of PDSCH to PDSCH | |  |  |  | |  | |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |  |  |  | |  | |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |  |  |  | |  | |
| Note2 | | dBm/15kHz Note5 |  | -99.03 | | -99.03 | |
| Note2 | | dBm/SCS Note4 | Config 1 | -90 | | -90 | |
| SS-RSRP Note 3 | | dBm/SCS Note5 | Config 1 | -87 | | -93 | |
|  | | dB | Config 1 | 3 | | -3 | |
|  | | dB | Config 1 | 3 | | -3 | |
| IoNote3 | | dBm/95.04 MHz Note5 | Config 1 | -56.25 | | -59.25 | |
| Propagation Condition | |  | Config 1 | AWGN | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone  Note 7: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | | | | | | |

##### A.7.X.1.2 Test Requirements

The UE shall report the CGI of cell 2 within 25\*Tsmtc + 6\*Tsmtc +2ms= 622ms from the start of T2. The rate of correct events observed during repeated tests shall be at least 90%.

UE interruptions in uplink and downlink on cell 1 (PCell) during T2 shall not exeed 25 interruptions each with interruption length of not more than 48 slots and additionally 6 interruptions each with interruption length of not more than 37 slots.