**3GPP TSG-RAN WG4 Meeting # 97-e R4-200XXXX**

**Electronic Meeting, 2 – 13 Nov., 2020**

**Agenda item:** 4.2.1

**Source:** Hisashi Onozawa (Nokia)

**Title:** Email discussion summary for [97e][102] NR\_NewRAT\_UE\_RF\_Part\_1

**Document for:** Information

# Introduction

Rel-15 NR UE RF requirement maintenance is handled in this agenda.

# Topic #1: [FR1] Maintenance for 38.101-1 Transmitter characteristics

Rel-15 NR UE RF transmitter requirement maintenance is handled in Topic #1.

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2015031**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015031.zip)  CR to TS 38.101-1: Correction on the Aggregated Channel Bandwidth | ZTE Corporation | Summary of change:  1. Apply largest u for SCSlow, SCShigh, NRB,low, NRB,high and BWGB,Channel(k), aligned with Rel-16 spec.  2. On top of 1, apply μ=1 for SCSlow, SCShigh, NRB,low, NRB,high and BWGB,Channel(k) in the case of no common μ value for both of the channel bandwidths. |
| [**R4-2016041**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016041.zip)  CR Removal of Band 10 protection 38101-1 Rel15 | Skyworks Solutions Inc. | Summary of change:  E-UTRA Band 10 protection:  - removed from NR bands:  n2,n5/n89,n7,n12,n25,n28/n83,n38,n41,n66/n86,n70 |
| [**R4-2014254**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014254.zip)  CR to 38.101-1: UL MIMO EVM and emission requirements update | Qualcomm Incorporated | Summary of change:  1. Introduction of wording changes for consistency with Rel-16 on emissions requirement  2. Redirection clause clarification  3. Tx modulation quality requirements apply on per layer basis |
| [**R4-2014256**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014256.zip)  FR1 transmitter requirements for 2-layer UL | Qualcomm Incorporated | Observation 1: RAN1 design does not mandate a 1 by 1 mapping between logical antenna ports and physical antenna connectors, so the procedure implied by the RAN4 UL MIMO EVM requirement should not either.  Observation 2: The 2L UL MIMO RAN4 EVM requirement in v15.11 is not valid because the test method restricts the UE’s choice to map ‘port’ to ‘connector’  Proposal 1: The 2L UL MIMO RAN4 EVM requirement shall be evaluated per layer.  Proposal 2: Use the linear zero-forcing 2L MIMO equalizer to define and measure the transmit EVM for multi-layer MIMO transmission  Proposal 3: Change the emissions definition in Rel-15 TS 38.101-1 to reflect Rel-16 TS 38.101-1. |
| [**R4-2014307**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014307.zip)  Clarification of additional spurious emission requirements on two bands uplink Inter-band CA(R15)  [**R4-2014308**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014308.zip)  (Cat A CR)  Moderator: Please do not upload Cat A CR before Cat F is approved! | SoftBank Corp. | Summary of change:  Conditions to apply additional spurious requirements are added |
| [**R4-2014402**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014402.zip)  CR for TS38.101-1 Rel-15, Correction for definition of P-MPR | CATT | Summary of change:  The definitions of P-MPR are modified from “Maximum allowed UE output power reduction” to “Power Management Maximum Power Reduction”. |
| [**R4-2014404**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014404.zip)  CR for TS38.101-2 Rel-15, Correction for definition of P-MPR  Moderator: To be moved to FR2 agenda. | CATT | Summary of change:  The definitions of P-MPR are modified from “allowed maximum output power reduction” to “power management maximum power reduction”. |
| [**R4-2014718**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014718.zip)  CR to TS38.101-1 on DC location correction | Samsung | Summary of change:  Change “txDirectCurrentLocation IE” to “the parameter txDirectCurrentLocation in UplinkTxDirectCurrent IE” |
| [**R4-2014898**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014898.zip)  Coexistence cleanup for 38.101-1 Rel15 | Apple Inc. | Summary of change:  Duplicate protections with contradicting requirements are corrected for single bands n28, n83. Band 66 is protected twice with contradicting requirements. This is corrected to match Rel-16. |
| [**R4-2014905**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014905.zip)  CR for TS 38.101-1: Correction to FR1 time mask for SRS antenna switching | Apple Inc. | Summary of change:  Revise Figure 6.3.3.6-5 by adding a guard symbol between SRS (Ant. “y”, Ant. switch) and SRS (Ant. “x”, Ant. switch) and evenly splitting the 15s transient period between SRS (Ant. “x”, other sets) and SRS (Ant. “y”, Ant. switch). |
| [**R4-2015998**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015998.zip)  Correction to spurious co-existence requirements for n28 and n83 | Keysight Technologies UK Ltd | Summary of change:  Clarifying NOTE 2 applicability when protecting frequency band n66 against n28 and n83 (forcing NOTE 2 to be applicable) in spurious coexistence requirements. |
| [**R4-2016470**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016470.zip)  CR for TS 38.101-1: correction CR for simultaneous Tx/Rx operation (R15)  Moderator: Title in coversheet shall be corrected from 38.101-3 to 38.101-1. | Huawei, HiSilicon | 1. In the applicability section, make it clear that the simultaneous Rx/Tx capability shall be reproted for combinations identified as mandatory in the spec as well as when the UE is capable of simultaneous Rx/Tx operation. Otherwise, if the capability is absent or not reported for capable of simultaneous Rx/Tx operation, it will have wrong restriction on the network scheduling.  2. Mandatory capability of simultaneous Rx/Tx also applies also for these carriers when applicable CA configuration is part of a higher order CA configuration since the capability should be a per band pair indicated capability.  3. To avoid misinterpretation of the spec, remove the capability indication for TDD-FDD band combinations and by default, the simultaneous Rx/Tx capability shall be reported for two-band TDD-FDD band combination unless it indicated in the spec simultaneous Rx/Tx operation is not supported. |
| [**R4-2016490**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016490.zip)  CR for TS 38.101-1: correction of delta Tib for UE supporting multiple band combinations (R15) | Huawei, HiSilicon | Similar to Rx, make it clear that:  1. When the operating band frequency range is ≤ 1 GHz, the applicable additional ∆TIB,c shall be the average value for all band combinations.  2. When the operating band frequency range is > 1 GHz, the applicable additional ∆TIB,c shall be the maximum value for all band combinations |
| [**R4-2016494**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016494.zip)  Update of configured transmitted power to remove ambiguity in TL,C (Rel-15)  [**R4-2016495**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016495.zip)  Moderator: Please do not upload Cat A CR before Cat F is approved!  Coversheet shall be changed to Rel-16. | Huawei, HiSilicon | Clarifying that tolerance TL,c doesn’t consider 1.5dB relaxation when deciding T(PCMAX,f,c). |
| [**R4-2016521**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016521.zip)  CR for TS 38.101-1 Pcmax | Huawei, HiSilicon | Change ‘DL-only carrier’ to PUSCH-less carrier. |
| [**R4-2016531**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016531.zip)  On 5MHz AMPR for NS\_38 | Huawei, HiSilicon | ***Observation 1: UE could transmit power >15dBm in the real network on Band n74 with NS\_38 signaling, but no AMPR is defined for 5MHz CBW.***  ***Observation 2: UE is allowed to transmit power of >15dBm, but there is no AMPR defined for 5MHz.***  ***Observation 3: when AMPR is larger than 8dB, the Pcmax would be lower than 15dBm.***  ***Proposal 1: Revise AMPR and ASE requirement as in Table 1 and Table 2, the corresponding CR is as in [1].*** |
| [**R4-2016534**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016534.zip)  CR on correction for AMPR NS\_38,NS\_40 and NS\_41 | Huawei, HiSilicon | 1. Clarify that transmission power can be lower than 15dBm when verify NS\_38, NS\_40 and NS\_41 ASE requirement.  2. Adding NS\_38 AMPR for 5MHz. |
| [**R4-2016578**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016578.zip)  CR to DMRS position in UL RMC for FR1 | Qualcomm Incorporated | Updated DM-RS symbol positions in UL RMC Tables. |

## Open issues summary

Sub topic 1-1: UL MIMO EVM: Are proposal in R4-2014254 agreeable? You can also comment directly to CR draft.

Sub topic 1-2: 5 MHz A-MPR to NS\_38: Are proposals in R4-2016531 agreeable? You can also comment directly to CR draft.

## Companies views’ collection for 1st round

### Open issues

Moderator: Please add your comments to sub-topic 1-1 and 1-2 here. Instead, you can directly comment to CR draft.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| XXX | Sub topic 1-1:  Sub topic 1-2:  ….  Others: |

### CRs/TPs comments collection

Moderator: Please add comments to CR drafts here.

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| [**R4-2015031**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015031.zip) |  |
| [**R4-2016041**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016041.zip) |  |
| [**R4-2014256**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014256.zip) |  |
| [**R4-2014307**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014307.zip)  [**R4-2014308**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014308.zip) |  |
| [**R4-2014402**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014402.zip) |  |
| [**R4-2014404**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014404.zip) |  |
| [**R4-2014718**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014718.zip) |  |
| [**R4-2014898**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014898.zip) |  |
| [**R4-2014905**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2014905.zip) |  |
| [**R4-2015998**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015998.zip) |  |
| [**R4-2016470**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016470.zip) |  |
| [**R4-2016490**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016490.zip) |  |
| [**R4-2016494**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016494.zip)  [**R4-2016495**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016495.zip) |  |
| [**R4-2016521**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016521.zip) |  |
| [**R4-2016534**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016534.zip) |  |
| [**R4-2016578**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2016578.zip) |  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

*Recommendations on WF/LS assignment*

|  |  |  |
| --- | --- | --- |
|  | **WF/LS t-doc Title** | **Assigned Company,**  **WF or LS lead** |
| #1 |  |  |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

# Topic #2: [FR1] Maintenance for 38.101-1 Receiver characteristics

Rel-15 NR UE RF receiver requirement maintenance is handled in Topic #2.

## Companies’ contributions summary

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| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2015016**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015016.zip)  CR to TS 38.101-1[R15]: Clarification of non-simultaneous Rx/Tx operation for CA\_n77-n79 and CA\_n78-n79 in TS 38.101-1. | NTT DOCOMO, INC. | 1. NOTE3 is revised for CA\_n78-n79 in Table 5.2A.2.1-1. This indicates that the restriction that simultaneous Rx/Tx capability does not apply if band n78 is supported by the n77 implementation is extended to higher order configurations.  2. NOTE4 is added for CA\_n77-n79 in Table 5.2A.2.1-1. This indicates that the minimum requirements apply only when there is non-simultaneous Tx/Rx operation between n77-n79 NR carriers. Also, this restriction is extended to higher order configurations. |
| [**R4-2015029**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015029.zip)  CR to TS 38.101-1: Correction on applicability of 4Rx requirements for CA | ZTE Corporation | Apply the additional requirements for four Rx ports for carrier aggregation. |
| [**R4-2015558**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015558.zip)  Discussion and reply draft LS on structure of NR CA reference sensitivity requirements in 38.101-1 | Huawei, HiSilicon | Proposal 1: It’s proposed to inform RAN5 that the requirement structure in both clause 7.3A.4 and 7.3A.6 listing only aggressor and victim will be retained in future.  Proposal 2: It’s proposed to inform RAN5 that band combination specific manner will be used to specify IMD exception requirements in clause 7.3A.5.  Proposal 3: It’s proposed to move the SDL requirements in 7.3A.2.4 to 7.3. The exceptions for SDL band combinations can be specified in clause 7.3A.4, 7.3A.5 and 7.3A.6. |
| [**R4-2015559**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015559.zip)  CR for 38.101-1 to adjust the structure of NR CA REFSENS | Huawei, HiSilicon | 1. The SDL requirements are moved from 7.3A.2.4 to 7.3 especially for n75 and n76. For SDL bands, the reference sensitivity requirements shall be verified by inter-band CA combinations with SDL band. The contents in clause 7.3A.2.4 are voided since the requirements specified in clause 7.3A.2.3 can be reused.  2. The NR CA configurations are replaced by band combination in clause 7.3A.5. The brackets are removed. |

## Open issues summary

### Sub-topic 2-1 Structure of NR CA REFSENS (R4-2015558)

Issue 2-1: Are proposals in R4-2015558 acceptable?

Issue 2-2: Is LS draft (attached in R4-2015558) acceptable?

## Companies views’ collection for 1st round

### Open issues

Moderator: Please add your comments to sub-topic 2-1 here. Be aware of LS draft attached in R4-2015558.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| XXX | Sub topic 2-1:  ….  Others: |

### CRs/TPs comments collection

Moderator: Please add comments to CR drafts here.

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| --- | --- |
| **CR/TP number** | **Comments collection** |
| [**R4-2015016**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015016.zip) | Company A |
| Company B |
|  |
| [**R4-2015029**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015029.zip) | Company A |
| Company B |
|  |
| [**R4-2015559**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_97_e/Docs/R4-2015559.zip) |  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

*Suggestion on WF/LS assignment*

|  |  |  |
| --- | --- | --- |
|  | **WF/LS t-doc Title** | **Assigned Company,**  **WF or LS lead** |
| #1 |  |  |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provided recommendation on CRs/TPs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |