**3GPP TSG-RAN WG4 Meeting #94-e R4-20xxxxx**

**Electronic Meeting, Feb.24th – Mar.6th 2020**

**Agenda item:** 8.15.1.1, 8.15.1.3, 8.15.1.6

**Source:** ZTE

**Title:** Email discussion summary for RAN4#94e\_#63\_NR\_RRM\_Enh\_RRM\_Part\_2

**Document for:** Information

# Introduction

The scope of this email discussion summary covers following agenda items.

8.15.1.1 SRS carrier switching requirements

8.15.1.3 CGI reading requirements with autonomous gap

8.15.1.6 Mandatory MG patterns

The sub topics for agenda 8.15.1.1 SRS carrier switching requirements are

* Applicability of NR SRS carrier switching time
* SRS carrier switching interruption requirements
* Impact to RRM measurement requirements due to SRS carrier switching

The candidate target of this email discussion for 1st round is to collect comments from companies on the open issues. The scope of 2nd round and necessity of CR depending on outcome of 1st round.

The sub topics for agenda 8.15.1.3 CGI reading requirements with autonomous gap are

* Known cell condition for CGI reading
* Delay requirements for CGI reading
* Interruption requirements for CGI reading
* Requirements structure

The candidate target of this email discussion for 1st round is to collect comments from companies on the open issues. The scope of 2nd round and necessity of CR depending on outcome of 1st round.

The sub topics for agenda 8.15.1.6 Mandatory MG patterns are

* UE capability and applicability of additional mandatory measurement gaps
* Mandatory MG patterns for Rel-16

The candidate target of this email discussion for 1st round is to collect comments from companies on the topic. The scope of 2nd round depending on outcome of 1st round.

# Topic #1: SRS carrier switching requirements

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [R4-2000658](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2000658.zip) | Nokia, Nokia Shanghai Bell | Proposal1: The applicability of SRS carrier switching time shall be explicitly defined in RAN4 spec:   * 200us SRS carrier switching time applies to intra-band CA in both FR1 and FR2. * 200us, 500us and 900us SRS carrier switching time apply to inter-band CA in FR1 and between FR1 and FR2.   Proposal2: A LS shall be sent to RAN2 informing the applicability of the SRS carrier switching time.  Proposal3: The interruption requirements shall be defined dependent on the numerologies of both SwitchTo and SwitchFrom or interrupted cells.  Proposal4: The Maximum Receive Timing Difference (MRTD) shall be considered when deriving the interruption requirements.  Proposal5: It is proposed to define the interruption requirements for intra-band CA as:  The interruption on PCell and each of the activated SCells during the switching to the PUSCH-less SCell shall not exceed X1 slots including the first slot where SRS transmission is configured on the PUSCH-less SCell.  The interruption on PCell and each of the activated SCells during the switching from the PUSCH-less SCell shall not exceed X1 slots including the last slot where SRS transmission is configured on the PUSCH-less SCell.  Where X1 is defined in Table 1 and Table 2 for FR1 and FR2 respectively.  Table 1. Interruptions (X1 #slots) at SRS carrier switching for intra-band CA in FR1    Table 2. Interruptions (X1 #slots) at SRS carrier switching for intra-band CA in FR2    Proposal6: It is proposed to define the interruption requirements for inter-band CA as:  The interruption on PCell and each of the activated SCells during the switching to the PUSCH-less SCell shall not exceed X2 slots including the first slot where SRS transmission is configured on the PUSCH-less SCell.  The interruption on PCell and each of the activated SCells during the switching from the PUSCH-less SCell shall not exceed X2 slots including the last slot where SRS transmission is configured on the PUSCH-less SCell.  Where X2 is defined in Table 4 for inter-band CA within FR1 and inter-band CA between FR1 and FR2.  Table 4. Interruptions (X2 #slots) at SRS carrier switching for inter-band CA within FR1 and inter-band between FR1 and FR2    Proposal7: For FR1+FR1 EN-DC, the interruption on LTE serving cells due to NR SRS carrier switching is defined as:  The interruption on PCell and each of the activated SCells in MCG during the switching to the PUSCH-less SCell shall not exceed Y subframes including the first subframe where SRS transmission is configured on the PUSCH-less SCell.  The interruption on PCell and each of the activated SCells in MCG during the switching from the PUSCH-less SCell shall not exceed Y subframes including the last subframe where SRS transmission is configured on the PUSCH-less SCell. Where Y is defined in Table 5.  Proposal8: For FR1+FR2 EN-DC, there is no interruption on LTE serving cells due to NR SRS carrier switching.  Proposal9: The interruption on LTE serving cells due to NR SRS carrier switching in NE-DC is defined as:  The interruption on PSCell and each of the activated SCells in SCG during the switching to the PUSCH-less SCell shall not exceed Y subframes including the first subframe where SRS transmission is configured on the PUSCH-less SCell.  The interruption on PSCell and each of the activated SCells in SCG during the switching from the PUSCH-less SCell shall not exceed Y subframes including the last subframe where SRS transmission is configured on the PUSCH-less SCell. Where Z is defined in Table 5.  Table 5. Interruptions (Y #subframes) on LTE serving cells at NR SRS carrier switching for EN-DC and NE-DC    Proposal10: For FR1+FR1 EN-DC, the interruptions on NR serving cells due to LTE SRS carrier-based switching is defined as:  The interruption on PSCell and each of the activated SCells in SCG during the switching to the PUSCH-less SCell shall not exceed X3 slots including the first slot where SRS transmission is configured on the PUSCH-less SCell.  The interruption on PSCell and each of the activated SCells in SCG during the switching from the PUSCH-less SCell shall not exceed X3 slots including the last slot where SRS transmission is configured on the PUSCH-less SCell. Where X3 is defined in Table 6.  Proposal11: For FR1+FR2 EN-DC, there is no interruption on NR serving cells due to LTE SRS carrier switching.  Proposal12: The interruptions on NR serving cells due to LTE SRS carrier-based switching in NE-DC is defined as:  The interruption on PCell and each of the activated SCells in MCG during the switching to the PUSCH-less SCell shall not exceed X3 slots including the first slot where SRS transmission is configured on the PUSCH-less SCell.  The interruption on PCell and each of the activated SCells in MCG during the switching from the PUSCH-less SCell shall not exceed X3 slots including the last slot where SRS transmission is configured on the PUSCH-less SCell. Where X3 is defined in Table 6.  Table 6. Interruptions (X3 #slots) on NR serving cells at LTE SRS carrier-based switching for EN-DC and NE-DC    Proposal13: The interruptions on LTE serving cells due to LTE SRS carrier-based switching in EN-DC ad NE-DC is defined as:  The interruption on PCC and each of the activated SCCs during the switching to the PUSCH-less SCC shall not exceed 2 subframes including the first subframe where SRS transmission is configured on the PUSCH-less SCC.  The interruption on PCC and each of the activated SCCs during the switching from the PUSCH-less SCC shall not exceed 2 subframes including the last subframe where SRS transmission is configured on the PUSCH-less SCC. |
| [R4-2001033](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001033.zip) | MediaTek inc. | Observation 1: RAN1 had agreed that SRS carrier switching shall avoid the collision with SSB and CORSETs.  Observation 2: In EN-DC/NE-DC, from network’s view, owing to no effective coordinate mechanism, it’s very hard to avoid the NR SRS carrier switching configuration from one CG to collide with LTE measurement in the other CG.  Proposal 1: Define carrier-based SRS switching interruption in FR2 base on 200us RF transition time only.  Proposal 2: Define carrier-based SRS switching interruption both in intra-band and inter-band.   * Intra-band, the interruption shall be 200us+6 SRS symbols * Inter-band, the interruption shall be 200us, 500us, 900us+6 SRS symbols for FR1 and 200us+6 SRS symbols for FR2   Proposal 3: SRS Carrier switching shall avoid the collision with SSBs or RSs for any L1 measurements.  Proposal 4: The SRS carrier switching interruption requirement from NR to LTE is shown as follow.  Table 1. Interruption length (subframes) due to SRS carrier switching   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Interruption  Length(subframes) | RF transition time(us) | Aggressor cell SCS (kHz) | | | | | 15 | 30 | 60 | 120 | | Victim Cell | 200 | 2 | 2 | 2 | 2 | | 500 | 2 | 2 | 2 | 2 | | 900 | 3 | 3 | 3 | 2 |   Proposal 5: The SRS carrier switching interruption from LTE to NR is shown as follow.  Table 2. Interruption length (slots) due to SRS carrier switching   |  |  | | --- | --- | | Victim Cell SCS(KHz) | Interruption Length (slot) | | 15 | 2 | | 30 | 3 | | 60 | 5 | | 120 | 9 |   Proposal 6: When SRS carrier switch from carrier 1 to carrier 2 in one CG, the UE shall drop the measurement for the other CG and there is also an interruption for other CG’s reception and transmission. |
| [R4-2001275](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001275.zip) | ZTE | Proposal 1. All of the SRS carrier switching time is applicable for both FR1 and FR2.  Proposal 2. Interruption length on NR victim cells during NR SRS carrier switching in EN-DC, NE-DC, NR-DC and SA operation is as in Table 1.  Table 1. Interruption length on NR victim cell during NR SRS carrier switching   |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | |  | NR Slot length (ms) of victim cell | SRS carrier switching time (us) | Interruption length X (slots) | | | | | Sub carrier spacing for agressor cell (kHz) | | | | | 15 | 30 | 60 | 120 | | 0 | 1 | 200 | 2 | 2 | 2 | 2 | | 500 | 2 | 2 | 2 | 2 | | 900 | 3 | 3 | 3 | 2 | | 1 | 0.5 | 200 | 3 | 2 | 2 | 2 | | 500 | 3 | 3 | 3 | 3 | | 900 | 4 | 4 | 4 | 3 | | 2 | 0.25 | 200 | 4 | 3 | 3 | 3 | | 500 | 5 | 4 | 4 | 4 | | 900 | 7 | 6 | 6 | 5 | | 3 | 0.125 | 200 | 7 | 5 | 4 | 4 | | 500 | 9 | 7 | 6 | 6 | | 900 | 12 | 10 | 10 | 9 |   Proposal 3. In EN-DC, NE-DC, interruption length on LTE victim cells during NR SRS carrier switching is 2 subframes, 2 subframes and 3 subframes for 200us, 500us and 900us NR SRS carrier switching time respectively.  Proposal 4. In EN-DC and NE-DC, the interruption requirements are specified for 500us LTE SRS carrier switching time only.  Proposal 5. In EN-DC and NE-DC, interruption length on NR victim cells during NR SRS carrier switching are as in Table 3.  Table 2. Interruption length on NR victim cell during LTE SRS carrier switching   |  |  |  | | --- | --- | --- | |  | NR Slot length (ms) | Interruption length X (slots) | | | 0 | 1 | 2 | | 1 | 0.5 | 3 | | 2 | 0.25 | 5 | | 3 | 0.125 | 9 |   Proposal 6. In EN-DC, NE-DC, interruption length on LTE victim cells during LTE SRS carrier switching is 2 subframes.  Proposal 7. In EN-DC, NE-DC, NR-DC and NR SA operation, requirements relevant to measurements on SSB/PBCH/CSI-RS are NOT impacted due to NR SRS carrier switching.  Proposal 8. In EN-DC and NE-DC operation, requirements relevant to measurements on SSB/PBCH/CSI-RS may be impacted due to LTE SRS carrier switching.  Proposal 9. In EN-DC and NE-DC operation, requirements relevant to E-UTRA measurements may be impacted due to NR SRS carrier switching. |
| [R4-2001661](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001661.zip) | Huawei, HiSilicon | Proposal 1: RAN4 shall define interruption requirements for sync and async case  - Requirements are based on sync case in CA scenario  - Requirements are based on async case in DC scenario  Proposal 2: In CA case, the interruptions on the active serving NR cells during the switching to the PUSCH-less NR SCC shall not exceed X1 slots including the first slot where NR carrier SRS transmission is configured on the PUSCH-less SCC. The interruptions on the active serving NR cells during the switching from the PUSCH-less NR SCC shall not exceed X1 slots including the first slot where NR carrier SRS transmission is configured on the PUSCH-less SCC (X1 just consider 500us SRS carrier switching time).   |  |  |  |  |  | | --- | --- | --- | --- | --- | | Interruption length X1 (slots) | | | | | | Victim cell SCS () | Aggressor Cell SCS () | | | | | 0 | 1 | 2 | 3 | | 0 | 1 | 1 | 1 | 1 | | 1 | 2 | 2 | 2 | 2 | | 2 | 5 | 4 | 4 | 4 | | 3 | 9 | 7 | 6 | 5 |   Proposal 3: The interruption time (one-way) for NR SRS carrier switching impacting NR CC in NR-DC is shown as below (taking 500us SRS carrier switching time as an example).   |  |  |  |  |  | | --- | --- | --- | --- | --- | | Victim cell SCS () | Aggressor Cell SCS () | | | | | 0 | 1 | 2 | 3 | | 0 | - | - | 2 | 2 | | 1 | - | - | 3 | 3 | | 2 | 5 | 4 | 4 | 4 | | 3 | 9 | 7 | - | - |   Proposal 4: The interruption on the LTE active serving cells during NR SRS switching to the PUSCH-less NR CC shall not exceed 2 subframes including the first slot where SRS carrier transmission is configured on the PUSCH-less NR CC.  The interruption on the LTE active serving cells during NR SRS switching from the PUSCH-less NR CC shall not exceed 2 subframes including the first slot where SRS carrier transmission is configured on the PUSCH-less NR CC.  Proposal 5: In ENDC and NEDC, the interruption on the NR serving cells during the switching to the PUSCH-less LTE SCC shall not exceed X2 slots including the first slot where LTE carrier SRS transmission is configured on the PUSCH-less SCC.  The interruption on the NR serving cells during the switching from the PUSCH-less LTE SCC shall not exceed X2 slots including the first slot where LTE carrier SRS transmission is configured on the PUSCH-less SCC.   |  |  |  | | --- | --- | --- | |  | NR Slot length (ms) | Interruption length X2 slot | | 0 | 1 | 2 | | 1 | 0.5 | 3 | | 2 | 0.25 | 5 | | 3 | 0.125 | 9 |   For a UE which does not support per-FR measurement gaps, interruptions to the NR serving cells caused by EUTRA SRS carrier switching are on any frequency range. For UE which support per-FR gaps, only interruptions to FR1 NR serving cells are specified. |
| [R4-2002058](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2002058.zip) | Qualcomm Incorporated | Proposal 1: The interruption lengths due to NR SRS switching on NR victim cells are as given in Table 2-2    Proposal 2: The interruption lengths due to NR SRS switching on NR victim cells are as given in Table 2-3    Proposal 3: The interruptions on NR cells due to LTE SRS carrier switching are given in Table 2-4    Proposal 4: UE to prioritize measurements over SRS switching. If the SRS switching interruptions collide with measurements, UE to drop SRS switching. |
| [R4-2001267](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001267.zip) | ZTE | CR to TS 38.133 on SRS carrier switching interruption requirements |
| [R4-2001268](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001268.zip) | ZTE | CR to TS 36.133 on SRS carrier switching interruption requirements |
| [R4-2001662](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001662.zip) | Huawei, HiSilicon | CR to TS 36.133 on NR SRS carrier switching interruption |

## Open issues summary

### Applicability of NR SRS carrier switching time

Issue 1-1-1: How to capture applicability of SRS carrier switching time

* Proposals
  + Option 1 (Nokia)
    - The applicability of SRS carrier switching time shall be explicitly defined in RAN4 spec:
      * 200us SRS carrier switching time applies to intra-band CA in both FR1 and FR2.
      * 200us, 500us and 900us SRS carrier switching time apply to inter-band CA in FR1 and between FR1 and FR2.
* Recommended WF:
  + FFS

Issue 1-1-2: Whether LS RAN2 if RAN4 agrees to capture the applicability of SRS carrier switching time

* Proposals
  + Option 1 (Nokia)
    - A LS shall be sent to RAN2 informing the applicability of the SRS carrier switching time.
* Recommended WF:
  + No need LS to RAN2

Issue 1-1-3: Applicability of SRS carrier switching for FR2

* Proposals
  + Option 1 (ZTE, Qualcomm, Nokia, Huawei)
    - All applicable
  + Option 2 (MediaTek )
    - 200us only
* Recommended WF:
  + Option 1

### SRS carrier switching interruption requirements

Background: In the last meeting it was agreed that RAN4 to define unified interruption requirements for sync and async case and the interruption requirements are based on async case (R4-1915930).

Issue 1-2-1: Whether to further define requirements for sync cases (intra-band CA, inter band CA)

* Proposals
  + Option 1 (Huawei, Nokia)
    - Define requirements for CA (sync) and DC (async) separately
* Recommended WF:
  + FFS. If no agreements then follow the agreements in the last meeting.

Issue 1-2-2: Interruptions due to SRS carrier switching in different frequency range

* Proposals
  + Option 1 (ZTE, Qualcomm, MediaTek)
    - Interruptions are always allowed
  + Option 2 (Huawei )
    - Interruptions are allowed based on UE capability
      * Allowed if UE supports per-UE measurement gap only
      * Not allowed if UE supports per-FR measurement gap
  + Option 3 (Nokia)
    - Interruptions are not allowed for FR1+FR2 EN-DC
  + Option 4
    - Interruptions are always not allowed
* Recommended WF:
  + FFS

Issue 1-2-3: Interruptions on NR serving cells during NR SRS carrier switching in async operation

* Proposals
  + Option 1 (ZTE, Qualcomm)

Table 1. Interruption length on NR victim cell during NR SRS carrier switching

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **NR Slot length (ms) of victim cell** | **SRS carrier switching time (us)** | **Interruption length X (slots)** | | | |
| **Sub carrier spacing for agressor cell (kHz)** | | | |
| 15 | 30 | 60 | 120 |
| 0 | 1 | 200 | 2 | 2 | 2 | 2 |
| 500 | 2 | 2 | 2 | 2 |
| 900 | 3 | 3 | 3 | 2 |
| 1 | 0.5 | 200 | 3 | 2 | 2 | 2 |
| 500 | 3 | 3 | 3 | 3 |
| 900 | 4 | 4 | 4 | 3 |
| 2 | 0.25 | 200 | 4 | 3 | 3 | 3 |
| 500 | 5 | 4 | 4 | 4 |
| 900 | 7 | 6 | 6 | 5 |
| 3 | 0.125 | 200 | 7 | 5 | 4 | 4 |
| 500 | 9 | 7 | 6 | 6 |
| 900 | 12 | 10 | 10 | 9 |

Note 1: If requirements for sync operation were defined, the interruptions would be 1 slot less than that in the table 1.

Note 2: The table can be further revised depending on decisions on applicability of SRS carrier switching and on interruptions due to SRS carrier switching in different frequency range.

* Recommended WF:
  + Option 1

Issue 1-2-4: Interruptions on LTE serving cells during NR SRS carrier switching in EN-DC and NE-DC operation

* Proposals
  + Option 1 (MediaTek, Qualcomm)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Interruption  Length(subframes) | RF transition time(us) | Aggressor cell SCS (kHz) | | | |
| 15 | 30 | 60 | 120 |
| Victim Cell | 200 | 2 | 2 | 2 | 2 |
| 500 | 2 | 2 | 2 | 2 |
| 900 | 3 | 3 | 3 | 2 |

* + Option 2 (ZTE)
    - 2 subframes, 2 subframes and 3 subframes for 200us, 500us and 900us NR SRS carrier switching time respectively
  + Option 3 (Huawei)
    - 2 subframes
  + Option 4 (Nokia)



* Recommended WF:
  + Option 1 or 2

Issue 1-2-5: Interruptions on NR serving cells during LTE SRS carrier switching in EN-DC and NE-DC operation

* Proposals
  + Option 1 (ZTE, Qualcomm, MediaTek, Huawei, Nokia)

|  |  |
| --- | --- |
| Victim Cell SCS(KHz) | Interruption Length (slot) |
| 15 | 2 |
| 30 | 3 |
| 60 | 5 |
| 120 | 9 |

* Recommended WF:
  + Option 1

### Impact to RRM measurement requirements due to SRS carrier switching

Issue 1-3-1: Impact to NR RRM measurement requirements based on SSB/PBCH/CSI-RS due to NR SRS carrier switching

* Proposals
  + Option 1 (Qualcomm)
    - UE to prioritize measurements over SRS switching. If the SRS switching interruptions collide with measurements, UE to drop SRS switching.
  + Option 2 (MediaTek)
    - SRS Carrier switching shall avoid the collision with SSBs or RSs for any L1 measurements.
  + Option 3 (ZTE)
    - In EN-DC, NE-DC, NR-DC and NR SA operation, requirements relevant to measurements on SSB/PBCH/CSI-RS are NOT impacted due to NR SRS carrier switching.
* Recommended WF:
  + No impact to NR measurement requirements relevant to measurements based on SSB/CSI-RS due to NR SRS carrier switching

Issue 1-3-2: Impact on NR measurement requirements based on SSB/PBCH/CSI due to LTE SRS carrier switching

* Proposals
  + Option 1 (ZTE)
    - In EN-DC and NE-DC operation, requirements relevant to measurements on SSB/PBCH/CSI-RS may be impacted due to LTE SRS carrier switching.
  + Option 2 (MediaTek)
    - When SRS carrier switch from carrier 1 to carrier 2 in one CG, the UE is allowed to cause interruption to the measurement, reception and transmission in the other CG.
* Recommended WF:
  + In EN-DC and NE-DC operation, requirements relevant to measurements on SSB/PBCH/CSI-RS may be impacted due to LTE SRS carrier switching
    - FFS how to address the issue

Issue 1-3-3: Impact to E-UTRA measurement requirements due to NR SRS carrier switching

* Proposals
  + Option 1 (ZTE)
    - In EN-DC and NE-DC operation, requirements relevant to E-UTRA measurements may be impacted due to NR SRS carrier switching.
  + Option 2 (MediaTek)
    - When SRS carrier switch from carrier 1 to carrier 2 in one CG, the UE is allowed to cause interruption to the measurement, reception and transmission in the other CG.
* Recommended WF:
  + In EN-DC and NE-DC operation, requirements relevant to E-UTRA measurements may be impacted due to NR SRS carrier switching.
    - FFS how to address the issue

## Companies views’ collection for 1st round

### Open issues

|  |  |
| --- | --- |
| **Company** | **Comments** |
| XXX | Sub topic 1-1:  Sub topic 1-2:  ….  Others: |

### CRs/TPs comments collection

*Major close-to-finalize WIs and Rel-15 maintenance, comments collections can be arranged for TPs and CRs. For Rel-16 on-going WIs, suggest to focus on open issues discussion on 1st round.*

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| XXX | Company A |
| Company B |
|  |
| YYY | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

*Recommendations on WF/LS assignment*

|  |  |  |
| --- | --- | --- |
|  | **WF/LS t-doc Title** | **Assigned Company,**  **WF or LS lead** |
| #1 |  |  |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

# Topic #2: CGI reading requirements with autonomous gap

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [R4-2001035](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001035.zip) | MediaTek inc. | Observation 1: Whether to allow additional time for AGC and Rx beam switching (in FR2) still depends on the duration between the moment UE sending the last measurement report to the network and the moment UE receiving the CGI request from the network.  Proposal 1: Define the known condition base on reported SSB other than overall SMTC duration in CGI reading.  Proposal 2: For the target CGI reading in FR2 bands, the CGI reading is under the known condition if it has been meeting the following conditions:  - During the period equals to [X ms] from the last transmission of the SSB used for L3-RSRP report to UE receives the target CGI reading command,  - the UE has sent a valid L3-RSRP measurement report with SSB index  - During the period from UE sends a valid L3-RSRP reporting to UE repots a valid CGI,  - the SSBs used for L3-RSRP report remain detectable according to the cell identification conditions specified in clauses 9.2 and 9.3  - the MIB information contained in the SSB used for L3-RSRP report remains decodable with the SNR ≥ [-3]dB  - the RMSI CORSETs associated with the SSB used for L3-RSRP report remain detectable with the SNR ≥ [-3]dB  Proposal 3: The Delay of autonomous gap based CGI reading can be divided into the following time units  Proposal 4: In FR1,  - for intra-frequency known CGI reading,  - for inter-frequency known CGI reading.  Where, is the SMTC periodicity of the carrier with target cell.  Proposal 5: In FR2,  - for intra-frequency known CGI reading,  - for inter-frequency known CGI reading.  Where, is the SMTC periodicity of the carrier with target cell.  Proposal 6: When the UE can be guaranteed to use the same Rx spatial filter to finish MIB decoding in FR2, the MIB decoding delay are [5] for both FR1 and FR2, where is the SMTC periodicity of the carrier with target cell.  Proposal 7: The UE’s interruption for RMSI decoding shall base on minimum RMSI scheduling periodicity 20ms. It means 8 interruption occasions shall be counted in each 160ms RMSI periodicity.  Proposal 8: To guarantee the RMSI with the same SSB reporting index can be detected during the whole CGI reading, the potential SNR side condition could be [-3dB].  Proposal 9: The RMSI decoding delay is [7], where is the RMSI scheduling periodicity based on gNB implementation. |
| [R4-2001271](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001271.zip) | ZTE | Updated simulation assumptions for SIB1 decoding for NR CGI reading |
| [R4-2001272](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001272.zip) | ZTE | Observation 1. In FR1 for TDLC 300 channel, 90% success rate of decoding SIB1 can be achieved by 1 attempt of 8 soft combining or by 2 attempts of 4 soft combining at SNR=-6 dB.  Observation 2. In FR2 for TDLC 300 channel, 90% success rate of decoding SIB1 can be achieved by 1 attempt of 4 soft combining at SNR=-4dB.  Observation 3. In FR2 for TDLC 60 channel, 90% success rate of decoding SIB1 can be achieved by 1 attempt of 8 soft combining or by 2 attempts of 4 soft combining at SNR=-6dB.  Observation 4. In FR2 for TDLC 60 channel, 90% success rate of decoding SIB1 can be achieved by 1 attempts of 4 soft combining at SNR=-4dB. |
| [R4-2001273](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001273.zip) | ZTE | Proposal 1. Known cell condition for FR1 is:   * + It has been meeting the relevant cell identification requirement during the last 5 seconds.     - UE sends at least a valid L3-RSRP reporting.   + One of the SSBs measured from the NR target cell remains detectable during CGI reading delay.   Proposal 2. Known cell condition for FR2 is:   * + During the last 5 seconds before the reception of the handover command:     - the UE has sent a valid measurement report for the target cell and     - one of the SSBs measured from the NR target cell being configured remains detectable according to the cell identification conditions specified in clause 9.3 of TS 38.133,   + One of the SSBs measured from the target cell also remains detectable during the CGI reading delay according to the cell identification conditions specified in clause 9.3 of TS 38.133.   Proposal 3. SINR Side condition for CGI reading of NR cell   * + For intra-frequency, Es/Iot = -[6]dB for both SSB and RMSI   + For inter-frequency, Es/Iot = -[6]dB for both SSB and RMSI   Proposal 4. For SIB1 decoding of NR cell in FR1 and FR2, [8] samples are needed for defining measurement time period requirements.  Proposal 5: AGC/AFC time is not considered during MIB and SIB1 decoding delay.  Proposal 6: Rx beam sweeping is needed during MIB decoding in FR2.  Proposal 7: Rx beam sweeping is not needed for SIB1 decoding in FR2.  Proposal 8: Each interruption length during MIB decoding is as in table below.   |  |  |  |  | | --- | --- | --- | --- | |  | NR Slot length (ms) of victim cell | Interruption length (slots) | | | 0 | 1 |  | 2 + TSMTC\_duration \* | | 1 | 0.5 |  | 3 + TSMTC\_duration \* | | 2 | 0.25 | Victim cell is on FR1 | 5 + TSMTC\_duration \* | | Victim cell is on FR2 | 3 + TSMTC\_duration \* | | 3 | 0.125 |  | 5 + TSMTC\_duration \* |   Proposal 9: Interruption for each autonomous gap for SIB1 decoding with multiplexing pattern 1 is as in table below.   |  |  |  |  | | --- | --- | --- | --- | |  | NR Slot length (ms) of victim cell | Interruption length (slots) | | | 0 | 1 |  | 4 | | 1 | 0.5 |  | 5 | | 2 | 0.25 | Victim cell is on FR1 | 7 | | Victim cell is on FR2 | 5 | | 3 | 0.125 |  | 7 |   Proposal 10: Interruption for each autonomous gap for SIB1 decoding with multiplexing pattern 2/3 is as in table below.   |  |  |  |  | | --- | --- | --- | --- | |  | NR Slot length (ms) of victim cell | Interruption length (slots) | | | 0 | 1 |  | 3 | | 1 | 0.5 |  | 4 | | 2 | 0.25 | Victim cell is on FR1 | 6 | | Victim cell is on FR2 | 4 | | 3 | 0.125 |  | 6 |   Proposal 11: The interruption core requirements for CGI reading of NR cell is specified by ratio of interrupted slots during the MIB decoding and SIB1 decoding time period.  Proposal 12: The ratio of interruption during MIB decoding is interrupted slots during one sample for MIB decoding over SMTC period in slots.  Proposal 13: For multiplexing pattern 1, the ratio of interruptions is interrupted slots during one sample for SIB1 decoding over 20ms in slots. For multiplexing pattern 2/3, the ratio of interruptions is interrupted slots during one sample for SIB1 decoding over SMTC period in slots.  Proposal 14: For CGI reading of NR cell and LTE cell, separated delay requirements and interruption requirements are specified in different sections.  Proposal 15: Interruption requirements for CGI reading in RSTD can be reused for CGI reading of LTE cell. |
| [R4-2001364](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001364.zip) | Ericsson | Observation 1: For both FR1 and FR2, it requires the soft-combining of 4 PDSCH samples to achieve 90% if SIB1 decoding success rate with the side condition of SNR=-6dB.  Observation 2: Number of SIB1 transmissions within the SIB1 transmission periodicity is up to network implementation.  Observation 3: If gNB does not change the SIB1 information across the SIB1 transmission periodicities, UE may also do the soft-combining of PDSCH samples across the SIB1 transmission periodicities.  Proposal 1: Derive the SIB1 reading delay based on the soft-combining of 4 PDSCH samples. RAN4 discuss further how to ensure UE can soft-combine the PDSCH symbols within the SIB1 transmission periodicity and across the SIB1 transmission periods. |
| [R4-2001403](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001403.zip) | Ericsson | Proposal 1 : Symbol level interruption is assumed for SIB decoding and there and no requirement on UE to decode SSB other than the one best in L3 RSRP report  Proposal 2 : For FR2, the requirements are developed on the basis that RX beam sweeping is not assumed for MIB decoding  Proposal 3 : For FR2, the requirements are developed on the basis that RX beam sweeping is not assumed for SIB1 decoding  Proposal 4 : The known cell condition for FR2 is that an SS block with same SSB index has been meeting the relevant cell identification requirement during the last 5 seconds with the same spatial reception parameters.  Proposal 5: The interruption for each autonomous gap during MIB decoding is 4 symbols (target cell SCS) + 2\*RF tuning time + 1 slot (victim cell SCS)  Proposal 6 : MIB decoding delay is specified as 5 samples for both FR1 and FR2.  Observation 1: Number of SIB1 transmissions within the SIB1 transmission periodicity is up to network implementation.  Observation 2: If gNB does not change the SIB1 information across the SIB1 transmission periodicities, UE may also do the soft-combining of PDSCH samples across the SIB1 transmission periodicities.  Observation 3 : SIB1 payload is not expected to be updated frequently compared with the SIB1 decoding delay  Observation 4 : Worst case requirements for SIB1 reading across multiple transmission periods with identical payload are   * 640ms + 1 slot decoding + UE processing time delay requirement * 48 autonomous interruptions each of duration of (neighbor SIB1 slot duration + serving cell data slot duration)   Observation 5 : Worst case requirements for SIB1 reading across a single transmission period with sufficient (4) PDSCH sample opportunities are   * 320ms + 1 slot decoding + UE processing time delay requirement * 8 autonomous interruptions each of duration of (neighbor SIB1 slot duration + serving cell data slot duration)   Proposal 7 : By default the UE assumes that PDSCH transmissions from different transmission periods can be soft combined for SIB1 decoding, if there are insufficient opportunities from a single transmission period.  Proposal 8:  LS is sent to RAN2 requesting assistance information to be added to the CGI decoding request (or other message e.g. measurement object configuration – the details can be for RAN2 to decide)   * Assistance information is as described above * If the serving cell does not provide information on whether it is safe to soft combine across transmission boundaries, the UE may assume that it is * If the serving cell does not provide information on which PDSCH are transmitted, the UE decodes all valid SIB1 PDCCH using SI-RNTI to determine if it should receive PDSCH.   The requirements for SIB1 decoding in different conditions of assistance information are specified as:   |  |  |  | | --- | --- | --- | | Side condition | SIB1 reading delay requirement | SIB1 interruption requirement | | At least 4 PDSCH are decoded in slots within a single transmission period where the bitmap indicates that they can be expected, regardless of whether it is indicated as safe to soft combine across transmission periods | 320ms | 4 interruptions | | Bitmap indicates fewer than 4 PDSCH can be expected in a single transmission period and it is indicated safe to soft combine across transmission periods | 640ms | 4\*number of ‘1’ in bitmap indicating where PDSCH can be expected | | Fewer than 4 PDSCH are decoded in slots within a single transmission period where the bitmap indicates that they can be expected, but the bitmap indicates that at least 4 PDSCH can be expected in a single transmission period and it is indicated safe to soft combine across transmission periods | 800ms | 4\*number of ‘1’ in bitmap indicating where PDSCH can be expected | | Fewer than 4 PDSCH can be expected in a single transmission period and it is not indicated safe to soft combine across transmission periods | No requirements e.g. UE may decide not to perform decoding | No requirements e.g. UE may attempt to decode every 20ms |   Proposal 9 : One additional sample (and autonomous interruption) is assumed for implementation aspects such as fine AFC acquisition prior to MIB decoding  Proposal 10 : For inter-frequency CGI reading the side condition is Es/Iot = -6dB for both SSB and RMSI |
| [R4-2001642](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001642.zip) | Huawei, HiSilicon | Proposal 1: For MIB decoding, UE is assumed to attempt decoding on all Tx beams, and with Rx beam sweeping if applicable   * TMIB is 5\*N SMTC periods, where N=1 for FR1 and N=8 for FR2 * For each SMTC period during TMIB, the interruption length is SMTC duration plus RF re-tuning time and time misalignment   Proposal 2: For SIB1 decoding when SIB1 is TDM with SSB, UE is assumed to attempt decoding on a fixed Tx beam, and with fixed Rx beam   * TSIB1 is Y SIB1 scheduling periods, where Y is to be derived from simulation * RMSI/SSB multiplexing pattern 1: For each 20ms period during TSIB1, the interruption length is 2 slots plus RF re-tuning time and time misalignment * RMSI/SSB multiplexing pattern 2/3: For each SMTC period during TSIB1, the interruption length is 4 symbols plus RF re-tuning time and time misalignment   Proposal 3: The known condition for CGI reading is defined as follows.   * During the period equal to 5s before the reception of CGI reading command the UE has sent a valid measurement report for the target cell, and * During the period of TMIB, at least one SSB of the target cell remains detectable according to the cell identification conditions, and * During the period of TSIB1, the best SSB for MIB decoding of the target cell remains detectable with the same spatial reception parameter according to the cell identification conditions   Proposal 4: 3 SMTC samples are allowed for AGC settling for SIB1 decoding.  Proposal 5: For CGI reading,   * TMIB should be scaled by the same factors as for L3 RRM measurement of the target carrier, and UE is required to meet the existing RRM and L1 measurement requirements during TMIB. * For SIB1 decoding, TSIB1 should not be scaled, but UE is not required to meet the existing RRM or L1 measurement requirements during TSIB1. |
| [R4-2001643](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001643.zip) | Huawei, HiSilicon | Proposal: The baseband SIB1 decoding delay is 7 SIB1 transmissions, provided that the scheduling periodicity is no larger than 80ms. |
| [R4-2001644](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001644.zip) | Huawei, HiSilicon | How to define LTE CGI reading requirements for different scenarios: |
| [R4-2002046](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2002046.zip) | Nokia, Nokia Shanghai Bell | Proposal 1: Reuse known cell condition for NR FR2 handover for CGI reading with autonomous gap.  Proposal 2: SIB1 decoding delay should be 4 \* SMTC period  Proposal 3: Separated delay requirements and interruption requirements in different section for CGI reading of LTE cell is needed in 38.133 and 36.133  Proposal 4: A generic ACK/NACK requirement is derived for the interruption requirements for CGI reading of NR cell like LTE definition.  Proposal 5: Separated delay requirements and interruption requirements in different section for CGI reading of NR cell is needed in 38.133 and 36.133  Proposal 6: Beam sweeping is not needed for MIB and SIB1 decoding.  Proposal 7: AGC/AFC time is not considered during MIB and SIB1 decoding delay.  Proposal 8: CGI reading delay of NR cell should be [9] \* SMTC period |
| [R4-2002053](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2002053.zip) | Qualcomm Incorporated | Proposal 1: Interruption time for MIB decoding during CGI reading is 2\*RF tuning time + SMTC duration + 1 slot (victim SCS)  Proposal 2: Interruption time for MIB decoding during CGI reading is 2\*RF tuning time + x slot (target SCS) + 1 slot (victim SCS) where x =1 for multiplexing patter 2/3 and x=2 multiplexing patter 1.  Proposal 3: Re-use the known TCI state definition as known cell for CGI reading.  Proposal 4: Re-using known TCI state definition as known cell, the UE will not need to do any beam sweeping for either MIB or SIB decoding in FR2. |
| [R4-2001263](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001263.zip) | ZTE | CR to 38.133 on CGI reading of NR cell |
| [R4-2001264](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001264.zip) | ZTE | CR to 38.133 on interruption requirements for CGI reading |
| [R4-2001404](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001404.zip) | Ericsson | CR to TS 36.133 on LTE CGI measurements with autonomous gaps |
| [R4-2001405](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001405.zip) | Ericsson | CR to TS 36.133 on NR CGI measurements with autonomous gaps |
| [R4-2001645](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001645.zip) | Huawei, HiSilicon | CR to 36.133 on interruption requirements for CGI reading |
| [R4-2001646](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001646.zip) | Huawei, HiSilicon | CR to 36.133 on CGI reading of LTE cell |

## Open issues summary

### Known cell condition for CGI reading

Background: agreements in the last meeting on known cell conditions are as follows.

|  |
| --- |
| * *Do not define requirements for unknown cells* * *Known cell condition for FR1*   + *It has been meeting the relevant cell identification requirement during the last 5 seconds.*     - *UE sends at least a valid L3-RSRP reporting.*     - *FFS: The SSB with the same index as the one with best RSRP measurement remains detectable.*       * *Depending on if the interruption is defined in symbol level or SMTC level.*   + *During CGI reading, the SSB [with the same index (in the L3-RSRP reporting)] remains detectable* * *Known cell conditions for FR2*   + *Option 1: reuse known cell condition for NR FR2 handover*   + *Other options are not precluded* |

Issue 2-1-1: How the SSB is selected for MIB decoding

* Proposals
  + Option 1 (MediaTek, Ericsson, Qualcomm, Nokia)
    - the SSB with the same index as in the L3-RSRP reporting
  + Option 2 (ZTE, Huawei)
    - Search the best one of all the SSBs within SMTC window
* Recommended WF:
  + Option 1

Issue 2-1-2: Known cell condition for FR1

* Proposals
  + Option 1 (MediaTek, Ericsson)
    - It has been meeting the relevant cell identification requirement during the last 5 seconds.
      * UE sends at least a valid L3-RSRP reporting.
      * The SSB with the same index as the one with best RSRP measurement remains detectable.
    - During CGI reading, the SSB with the same index as in the L3-RSRP reporting remains detectable
  + Option 2 (ZTE)
    - It has been meeting the relevant cell identification requirement during the last 5 seconds.
      * UE sends at least a valid L3-RSRP reporting.
    - During CGI reading, one of the SSBs measured from the NR target cell remains detectable
  + Option 3 (Huawei)
    - During the period equal to 5s before the reception of CGI reading command the UE has sent a valid measurement report for the target cell, and
    - During the period of TMIB, at least one SSB of the target cell remains detectable according to the cell identification conditions, and
    - During the period of TSIB1, the best SSB for MIB decoding of the target cell remains detectable with the same spatial reception parameter according to the cell identification conditions
* Recommended WF:
  + Option 1

Issue 2-1-3: Known cell condition for FR2

* Proposals
  + Option 1 (ZTE, Nokia)
    - Reuse FR2 handover known cell conditions
  + Option 2 (Qualcomm)
    - Re-using known TCI state definition as known cell
  + Option 3 (Ericsson)
    - The known cell condition for FR2 is that an SS block with same SSB index has been meeting the relevant cell identification requirement during the last 5 seconds with the same spatial reception parameters.
  + Option 4 (Huawei)
    - During the period equal to 5s before the reception of CGI reading command the UE has sent a valid measurement report for the target cell, and
    - During the period of TMIB, at least one SSB of the target cell remains detectable according to the cell identification conditions, and
    - During the period of TSIB1, the best SSB for MIB decoding of the target cell remains detectable with the same spatial reception parameter according to the cell identification conditions
  + Option 5 (MediaTek)
    - For the target CGI reading in FR2 bands, the CGI reading is under the known condition if it has been meeting the following conditions:

- During the period equals to [X ms] from the last transmission of the SSB used for L3-RSRP report to UE receives the target CGI reading command,

- the UE has sent a valid L3-RSRP measurement report with SSB index

- During the period from UE sends a valid L3-RSRP reporting to UE repots a valid CGI,

- the SSBs used for L3-RSRP report remain detectable according to the cell identification conditions specified in clauses 9.2 and 9.3

- the MIB information contained in the SSB used for L3-RSRP report remains decodable with the SNR ≥ [-3]dB

- the RMSI CORSETs associated with the SSB used for L3-RSRP report remain detectable with the SNR ≥ [-3]dB

* Recommended WF:
  + During the last 5 seconds before the reception of the report CGI command:
    - UE sends at least a valid L3-RSRP reporting.
    - The SSB with the same index as the one with best RSRP measurement remains detectable.
  + During CGI reading, the SSB with the same index as in the L3-RSRP reporting remains detectable

### Delay requirements for CGI reading

Simulation results for SIB1 decoding are summarized in Table 1.

**Table 1. Number of attempts to achieve 90% of SIB1 decoding success rate with SNR=-6dB**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Frequency** | **Channel** | **Soft combining** | **Number of attempts** | | | | |
| **ZTE** | **Huawei** | **Ericsson** | **Nokia** | **MediaTek** |
| 4 GHz | AWGN | Single shot | - | >40 | - |  |  |
| 2 | - | 2 | 1 |  |  |
| 4 | 1 | 2 | 1 |  |  |
| 8 | 1 | 2 | 1 |  |  |
| TDL-C-300 | Single shot | - | 11 | 10 |  | 7 (at -3dB) |
| 2 | - | 6 |  |  |  |
| 4 | 2 | 4 | 1 | 1 |  |
| 8 | 1 | 4 | 1 |  |  |
| 30 GHz | AWGN | Single shot | - | >40 | - |  |  |
| 2 | - | 2 | 1 |  |  |
| 4 | 1 | 2 | 1 |  |  |
| 8 | 1 | 2 | 1 |  |  |
| TDL-C-60 | Single shot | - | 12 | 10 |  | 7 (at -3dB) |
| 2 | 13 | 6 |  |  |  |
| 4 | 2 | 4 | 1 |  |  |
| 8 | 1 | 4 | 1 |  |  |

Note1: - means success rate cannot be achieved no matter how many attempts are tried. Blank cell means no results are provided.

Issue 2-2-1: MIB decoding delay for FR1

* Proposals
  + Option 1
    - [5] \* TSMTC, where TSMTC is SMTC periodicity of target cell.
* Recommended WF:
  + Option 1

Issue 2-2-2: MIB decoding delay for FR2

* Proposals
  + Option 1 (MediaTek, Ericsson, Qualcomm, Nokia)
    - [5] \* TSMTC, where TSMTC is SMTC periodicity of target cell.
  + Option 2 (Huawei, ZTE)
    - [5] \* N \* TSMTC, where N = 8 and TSMTC is SMTC periodicity of target cell.
* Recommended WF:
  + Option 1

Issue 2-2-3: How soft combining can be performed for different SIB1 scheduling periodicity

* Proposals
  + Option 1 (Ericsson)
    - By default the UE assumes that PDSCH transmissions from different transmission periods can be soft combined for SIB1 decoding, if there are insufficient opportunities from a single transmission period.
    - Assistance information is needed
      * A bitmap (e.g. of up to 8 bits) where ‘0’ indicates that the UE may assume that PDSCH is not transmitted in the corresponding PDSCH transmission opportunity, and ‘1’ indicates that the UE should determine via the SI-RNTI on PDCCH whether the PDSCH is transmitted.
      * An indication that the UE may assume that it is safe to combine PDSCH across transmission period boundaries (e.g. no SIB1 payload updates are ongoing across the network)
  + Option 2 (Huawei)
    - Requirements are based on soft combining of 2 SIB1 samples and not applicable to 160ms scheduling periodicity
* Recommended WF:
  + FFS.

Issue 2-2-4: If LS to RAN2 to add assistance information signalling is needed

* Proposals
  + Option 1 (Ericsson)
    - LS is sent to RAN2 requesting assistance information below to be added to the CGI decoding request (or other message e.g. measurement object configuration – the details can be for RAN2 to decide).
      * A bitmap (e.g. of up to 8 bits) where ‘0’ indicates that the UE may assume that PDSCH is not transmitted in the corresponding PDSCH transmission opportunity, and ‘1’ indicates that the UE should determine via the SI-RNTI on PDCCH whether the PDSCH is transmitted.
      * An indication that the UE may assume that it is safe to combine PDSCH across transmission period boundaries (e.g. no SIB1 payload updates are ongoing across the network)
* Recommended WF:
  + FFS

Issue 2-2-5: How the SIB1 decoding delay is derived

* Proposals
  + Option 1 (MediaTek)
    - One shot
  + Option 2 (Huawei)
    - Soft combining of 2 SIB1 samples
  + Option 3 (Ericsson, Nokia, ZTE)
    - Soft combining of 4 SIB1 samples
* Recommended WF:
  + FFS

Issue 2-2-6: SIB1 decoding delay for FR1 and FR2

* Proposals
  + Option 1 (MediaTek)
    - [7] \* TSIB1, where TSIB1 is the SIB1 scheduling periodicity
      * [7] is based on one shot decoding.
  + Option 2 (Huawei)
    - [7] \* TSIB1, where TSIB1 is the SIB1 scheduling periodicity
      * [7] is based on soft combining of 2 SIB1 samples
  + Option 3 (ZTE)
    - [8] samples
      * Based on soft combining of 4 SIB1 samples.
  + Option 4 (Nokia)
    - 4 \* SMTC period
      * Soft combining of 4 SIB1 samples
  + Option 5 (Ericsson)
    - The requirements for SIB1 decoding in different conditions of assistance information are specified as:

|  |  |
| --- | --- |
| Side condition | SIB1 reading delay requirement |
| At least 4 PDSCH are decoded in slots within a single transmission period where the bitmap indicates that they can be expected, regardless of whether it is indicated as safe to soft combine across transmission periods | 320ms |
| Bitmap indicates fewer than 4 PDSCH can be expected in a single transmission period and it is indicated safe to soft combine across transmission periods | 640ms |
| Fewer than 4 PDSCH are decoded in slots within a single transmission period where the bitmap indicates that they can be expected, but the bitmap indicates that at least 4 PDSCH can be expected in a single transmission period and it is indicated safe to soft combine across transmission periods | 800ms |
| Fewer than 4 PDSCH can be expected in a single transmission period and it is not indicated safe to soft combine across transmission periods | No requirements e.g. UE may decide not to perform decoding |

* Recommended WF:
  + FFS

Issue 2-2-7: AGC/AFC for MIB decoding

* Proposals
  + Option 1 (Nokia, ZTE)
    - No AGC/AFC is assumed during MIB decoding.
  + Option 2 (Ericsson)
    - 1 sample for AFC
  + Option 3 (MediaTek)
    - No AGC for intra frequency
    - 1 sample for inter frequency
* Recommended WF:
  + FFS

Issue 2-2-8: AGC/AFC for SIB1 decoding

* Proposals
  + Option 1 (Nokia, ZTE, Ericsson)
    - No AGC/AFC is assumed during SIB1 decoding.
  + Option 2 (Huawei)
    - 3 sample for AGC.
* Recommended WF:
  + FFS

Issue 2-2-9: SINR Side condition for NR CGI reading

* Proposals
  + Option 1 (Ericsson, ZTE)
    - For inter-frequency CGI reading the side condition is Es/Iot = -6dB for both SSB and RMSI
  + Option 2 (MediaTek)
    - To guarantee the RMSI with the same SSB reporting index can be detected during the whole CGI reading, the potential SNR side condition could be [-3dB]
* Recommended WF:
  + -6 dB for inter frequency for both SSB and RMSI

Issue 2-2-10: Whether updated simulation assumptions in R4-2001271 is agreeable

* Proposals
  + Option 1 (ZTE)
    - Updated simulation assumptions for SIB1 decoding for NR CGI reading
* Recommended WF:
  + TBA

### Interruption requirements for CGI reading

Issue 2-3-1: Interruptions during MIB decoding

* Proposals
  + Option 1 (ZTE)
    - Each interruption length during MIB decoding is as in table below.

|  |  |  |  |
| --- | --- | --- | --- |
|  | NR Slot length (ms) of victim cell | Interruption length (slots) | |
| 0 | 1 |  | 2 + TSMTC\_duration \* |
| 1 | 0.5 |  | 3 + TSMTC\_duration \* |
| 2 | 0.25 | Victim cell is on FR1 | 5 + TSMTC\_duration \* |
| Victim cell is on FR2 | 3 + TSMTC\_duration \* |
| 3 | 0.125 |  | 5 + TSMTC\_duration \* |

* + Option 2 (Ericsson)
    - The interruption for each autonomous gap during MIB decoding is 4 symbols (target cell SCS) + 2\*RF tuning time + 1 slot (victim cell SCS)
  + Option 3 (Qualcomm)
    - Interruption time for MIB decoding during CGI reading is 2\*RF tuning time + SMTC duration + 1 slot (victim SCS)
  + Option 4 (Huawei)
    - For each SMTC period during TMIB, the interruption length is SMTC duration plus RF re-tuning time and time misalignment
* Recommended WF:
  + Interruption length during each MIB decoding autonomous gap

|  |  |  |  |
| --- | --- | --- | --- |
|  | NR Slot length (ms) of victim cell | Interruption length (slots) | |
| 0 | 1 |  | TBD |
| 1 | 0.5 |  | TBD |
| 2 | 0.25 | Victim cell is on FR1 | TBD |
| Victim cell is on FR2 | TBD |
| 3 | 0.125 |  | TBD |

Note: Depending on decision on Issue 2-1-1.

Issue 2-3-2: Interruptions during SIB1 decoding

* Proposals
  + Option 1 (ZTE)
    - Interruption for each autonomous gap for SIB1 decoding with multiplexing pattern 1 is as in table below.

|  |  |  |  |
| --- | --- | --- | --- |
|  | NR Slot length (ms) of victim cell | Interruption length (slots) | |
| 0 | 1 |  | 4 |
| 1 | 0.5 |  | 5 |
| 2 | 0.25 | Victim cell is on FR1 | 7 |
| Victim cell is on FR2 | 5 |
| 3 | 0.125 |  | 7 |

* + - Interruption for each autonomous gap for SIB1 decoding with multiplexing pattern 2/3 is as in table below.

|  |  |  |  |
| --- | --- | --- | --- |
|  | NR Slot length (ms) of victim cell | Interruption length (slots) | |
| 0 | 1 |  | 3 |
| 1 | 0.5 |  | 4 |
| 2 | 0.25 | Victim cell is on FR1 | 6 |
| Victim cell is on FR2 | 4 |
| 3 | 0.125 |  | 6 |

* + Option 2 (Huawei)
    - RMSI/SSB multiplexing pattern 1: For each 20ms period during TSIB1, the interruption length is 2 slots plus RF re-tuning time and time misalignment
    - RMSI/SSB multiplexing pattern 2/3: For each SMTC period during TSIB1, the interruption length is 4 symbols plus RF re-tuning time and time misalignment
  + Option 3 (Ericsson)
    - The UE’s interruption for RMSI decoding shall base on minimum RMSI scheduling periodicity 20ms. It means 8 interruption occasions shall be counted in each 160ms RMSI periodicity.

|  |  |
| --- | --- |
| Side condition | SIB1 interruption requirement |
| At least 4 PDSCH are decoded in slots within a single transmission period where the bitmap indicates that they can be expected, regardless of whether it is indicated as safe to soft combine across transmission periods | 4 interruptions |
| Bitmap indicates fewer than 4 PDSCH can be expected in a single transmission period and it is indicated safe to soft combine across transmission periods | 4\*number of ‘1’ in bitmap indicating where PDSCH can be expected |
| Fewer than 4 PDSCH are decoded in slots within a single transmission period where the bitmap indicates that they can be expected, but the bitmap indicates that at least 4 PDSCH can be expected in a single transmission period and it is indicated safe to soft combine across transmission periods | 4\*number of ‘1’ in bitmap indicating where PDSCH can be expected |
| Fewer than 4 PDSCH can be expected in a single transmission period and it is not indicated safe to soft combine across transmission periods | No requirements e.g. UE may attempt to decode every 20ms |

* Recommended WF:
  + FFS

Issue 2-3-3: How frequently each interruption happens during SIB1 decoding

* Proposals
  + Option 1 (ZTE, Huawei)
    - 20ms for multiplexing pattern 1.
    - SMTC period for multiplexing pattern 2/3.
  + Option 2 (MediaTek)
    - 20ms based on minimum MSI scheduling periodicity.
* Recommended WF:
  + Option 1

Issue 2-3-4: How are the interruption requirements for NR CGI reading specified?

* Proposals
  + Option 1 (ZTE)
    - The interruption core requirements for CGI reading of NR cell is specified by ratio of interrupted slots during the MIB decoding and SIB1 decoding time period.
      * The ratio of interruption during MIB decoding is interrupted slots during one sample for MIB decoding over SMTC period in slots.
      * For multiplexing pattern 1, the ratio of interruptions is interrupted slots during one sample for SIB1 decoding over 20ms in slots. For multiplexing pattern 2/3, the ratio of interruptions is interrupted slots during one sample for SIB1 decoding over SMTC period in slots.
  + Option 2 (Ericsson)
    - it would be quite difficult to derive a formula for generic missed ACK/NACK either as an absolute value or as a ratio
  + Option 3 (Nokia)
    - A generic ACK/NACK requirement is derived for the interruption requirements for CGI reading of NR cell like LTE definition.
* Recommended WF:
  + FFS
    - Note: SIB1 decoding delay depending on SIB1 actual scheduling periodicity. The ACK/NACK requirements would be different for different scheduling periodicity. It is very difficult to calculate ACK/NACK for all scheduling periodicities and the requirements will be very complex.

### Requirements structure

Issue 2-4-1: How to capture interruption requirements for CGI reading of NR cell

* Proposals
  + Option 1 (ZTE, Nokia)
    - Separated delay requirements and interruption requirements in different sections for CGI reading of NR cell
* Recommended WF:
  + Option 1

Issue 2-4-2: How to capture interruption requirements for LTE CGI reading in EN-DC and NE-DC

* Proposals
  + Option 1 (ZTE, Nokia)
    - Separated delay requirements and interruption requirements in different sections for CGI reading of LTE cell
  + Option 2 (Huawei)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | | Measurement period | Interruption on LTE serving cells | Interruption on NR serving cells |
| EN-DC | | 8.17 of 36.133  Refer to LTE SA requirements | | 8.2.1 of 38.133  Same as requirements for inter-RAT RSTD |
| NR SA (including single carrier, NR CA and NR-DC) | | 9.4 of 38.133 | N.A. | 8.2.2 and 8.2.4 of 38.133  Refer to EN-DC requirements |
| NE-DC | Configured by PCell |  | 7.36 of 36.133  Same as requirement for LTE SA | 8.2.3 of 38.133  Refer to EN-DC requirements |
| Configured by PSCell | 8.19 of 36.133  Refer to LTE SA requirements | |

* Recommended WF:
  + Option 1 if requirements for CGI reading of LTE cell are introduced without referring to existing requirements

## Companies views’ collection for 1st round

### Open issues

|  |  |
| --- | --- |
| **Company** | **Comments** |
| XXX | Sub topic 2-1:  Sub topic 2-2:  ….  Others: |

### CRs/TPs comments collection

*Major close to finalize WIs and Rel-15 maintenance, comments collections can be arranged for TPs and CRs. For Rel-16 on-going WIs, suggest to focus on open issues discussion on 1st round.*

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| XXX | Company A |
| Company B |
|  |
| YYY | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

*Suggestion on WF/LS assignment*

|  |  |  |
| --- | --- | --- |
|  | **WF/LS t-doc Title** | **Assigned Company,**  **WF or LS lead** |
| #1 |  |  |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provided recommendation on CRs/TPs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

# Topic #3: Mandatory MG patterns

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [R4-2000561](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2000561.zip) | NTT DOCOMO INC. | Observation 1: Gap patterns with shorter MGL are valuable in FR2 since the length of SS burst set is within 3ms in most cases.  Observation 2: Gap patterns with longer MGRP are valuable in FR2 considering some scheduling restrictions in FR2.  Proposal 1: Gap patterns from #17 to #19 shall be mandatory support for per-FR gap for FR2 in addition to gap patterns mandated in Rel-15.   |  |  |  | | --- | --- | --- | | Gap Pattern Id | Measurement Gap Length (MGL, ms) | Measurement Gap Repetition Period  (MGRP, ms) | | 17 | 3.5 | 40 | | 18 | 3.5 | 80 | | 19 | 3.5 | 160 | |
| [R4-2000638](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2000638.zip) | CMCC | Proposal 1: for FR1, at least the gap patterns of 3ms MGL, e.g. gap patterns #2, #3, #10, #11 need to be mandated.  Proposal 2: for FR2, at least the gap patterns of 3.5ms MGL, e.g. gap patterns #16, #17, #18, #19 need to be mandated.  Proposal 3: it is proposed that the additional mandatory gap patterns is applied to LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode. |
| [R4-2000993](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2000993.zip) | OPPO | Proposal 1: NR only measurement is a measurement of an NR carrier when at least one NR serving cell is configured.  Proposal 2: Additional mandatory gap patterns should apply for NR SA and NR-DC mode, EN-DC, NE-DC.  Proposal 3: Consider GP2 and GP3 as additional mandatory gap patterns for FR1 in R16.  Proposal 4: Consider GP17 and GP18 as additional mandatory gap patterns for FR2 in R16.  Proposal 5:  The UE shall set the bits corresponding to the measurement gap pattern 13, 14, 17 and 18 to 1 if the UE is an NR standalone capable UE that supports a band in FR2 or if the UE is an (NG)EN-DC capable UE that supports independentGapConfig and supports a band in FR2.  The UE shall set the bits corresponding to the measurement gap pattern 2 and 3 to 1 if the UE is an NR standalone, EN-DC or NE-DC capable UE. |
| [R4-2001274](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001274.zip) | ZTE | Proposal 1. NR measurements only means UE cannot be configured with gap patterns with MGL<6ms for E-UTRA measurements, or for both NR and E-UTRA measurement together.  Proposal 2. New UE capability is used to indicate if a gap pattern among gap patterns #2 ~ #11 can only be configured for NR measurement only.  Proposal 3. No new LTE RRC signalling is to be introduced, i.e. no additional mandatory gap patterns and new UE capability for LTE.  Proposal 4. New UE capability is not applicable for EN-DC.  Proposal 5. Additional mandatory of gap patterns in FR2 is applicable for EN-DC.  Proposal 6. Additional mandatory gap patterns and new UE capability in Rel-16 are applicable for NE-DC mode  Observation 1. There are throughput loss and increased UE power consumption when longer MGL than necessary has to be used if the suitable gap pattern is not supported by UE.  Observation 2. 3ms MGL and 4ms MGL are necessary in FR1 for sync and async network.  Observation 3. No UE complexity issue is identified to support short MGL.  Observation 4. 40/80ms MGRP should be necessarily supported. 160ms MGRP is also preferable to be supported.  Proposal 7. In FR1 gap patterns with 40/80ms MGRP and 3ms/4ms MGL, i.e. GP#2, GP#3, GP#7 and GP#8 should be additionally supported.  Proposal 8. In FR1 gap patterns with 160ms MGRP and 3ms/4ms/6ms MGL, i.e. GP#5, GP#9 and GP#11 are preferably to be supported.  Proposal 9. In FR2 gap patterns with 40/80ms MGRP and 3.5ms MGL, i.e. GP#17 and GP#18 should be additionally supported. Gap pattern with 20ms MGRP and 3.5ms/5.5ms MGL, i.e. GP#12 and GP#16, should also be additionally supported.  Proposal 10. In FR2 gap patterns with 160ms MGRP and 3.5ms/5.5ms MGL, i.e. GP#15 and GP#19 should be additionally supported. |
| [R4-2001345](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001345.zip) | Nokia, Nokia Shanghai Bell | Proposal 1: Discuss and agree on the applicability table for the new mandatory GP.    Proposal 2: New mandatory GP is among GP#2 – GP#11 gap patterns.  Proposal 3: New GP is among GP#6 – GP#9 gap pattern.  Proposal 4: From Rel-16 GP#6 – GP#9 are defined as mandatory GPs. |
| [R4-2001400](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001400.zip) | Ericsson | Proposal 1: Supporting release 16 gaps according to the “new” release 16 capability (NR-only) means that the gap pattern is not required to be used for measurements of LTE cells.  Proposal 2: 6ms legacy gap with the same MGRP and same / near same offset is allowed in LTE serving cells when making measurements according to the new release 16 (NR only) gap pattern  Observation 1: If the centre of LTE and NR gaps is used as a reference for timing, further extension due to asynchronous timing between LTE and NR can be avoided. |
| [R4-2001401](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001401.zip) | Ericsson | Proposal 1: At least GP17 and GP18 are considered in the discussion mandatory for release 16 UEs  Assumption 1: Signaling extensions are separately discussed for rel 16 such that UEs may indicate that they only support NR measurements with GP 2, 3, 4, 6, 7, 8,10.  Proposal 2: If the short measurement gap issue is addressed, at least GP2 and GP3 are considered as additional mandatory gap patterns for R16.  Observation 1: UE computational complexity is not significantly increased by supporting additional(shorter) MGL  Observation 2: An additional UE burden comes from implementing and especially testing of the additional mandatory gap patterns  Observation 3: Network computational complexity is not significantly increased by supporting additional MG patterns  Observation 4: An additional network burden comes from implementing and especially testing of the additional non-mandatory gap patterns |
| [R4-2001665](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001665.zip) | Huawei, HiSilicon | Observation: 3ms MGL for FR1 and 3.5ms MGL for FR2 has wide applicable scenarios in the realistic network.  Proposal 1: Gap pattern #2, 3, 11 can be mandatory for FR1/per UE gap and gap pattern #17, 18, 19 can be mandatory for FR2 in R16.  Proposal2: R15 gap pattern applicability rule for both 36.133 and 38.133 can be reused for R16.  Proposal 3: The new mandatory gap patterns are not mandatory for the case with no NR measurement object.  Proposal 4: The new mandatory gap patterns are mandatory for measurements with NR measurement object in EN-DC/NE-DC/SA NR. |
| [R4-2001799](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001799.zip) | MediaTek inc. | Observation 1: There should be no problem in introducing new UE capabilities for NR only measurement in Rel-16, without changing the Rel-15 capability description and gap pattern applicability rule.  Proposal 1: RAN4 to confirm the definition of NR-only measurement is -- the target measurement objects to be measured within the measurement gap are all NR carriers.  Proposal 1: RAN4 to confirm the scenario of NR-only measurement are all listed in the following table.    Proposal 3: RAN4 to inform RAN2 the necessity of introducing a new UE capability for NR-only measurement in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.  Proposal 4: GP#15, GP#16, GP#17, GP#18, and GP#19 are added as mandatory MGs of NR-only measurement for  • Rel-16 UE who supports per-UE gap or per-FR gap in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.  Proposal 5: GP#2, GP#3, GP#5-11 are added as mandatory MGs of NR-only measurement for  • Rel-16 UE who supports per-UE gap in NR SA and NR-DC mode,  • Rel-16 UE who supports per-FR gap in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode. |
| [R4-2002063](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2002063.zip) | Qualcomm Incorporated | Proposal 1: Any gap patterns that are made mandatory in Rel-16, which were not mandatory in Rel-15, will only be mandatory for NR measurements  Proposal 2: In EN-DC, NE-DC and LTE SA, for UE’s that do not support shortmeasurementgap on LTE only GP0 and GP1 should remain mandatory.  Proposal 3: Any new gap patterns to be made mandatory should be mandatory with capability signaling. |
| [R4-2001269](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001269.zip) | ZTE | LS on mandatory of measurement gap patterns |
| [R4-2001402](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001402.zip) | Ericsson | LS on mandatory gap patterns for release 16 |
| [R4-2001666](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001666.zip) | Huawei, HiSilicon | LS on mandatory gap patterns in R16 |
| [R4-2001800](http://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_94_e/Docs/R4-2001800.zip) | MediaTek inc. | LS on new capability for NR measurement and mandatory MG patterns in Rel-16 |

## Open issues summary

### UE capability and applicability of additioanl mandatory measurement gaps

Background: In the last meeting it was agreed

* Decisions on mandatory measurement gap patterns and applicability will be made in the RAN4#94 meeting.
* LS on RAN4 agreements on UE capability and mandatory measurement gap patterns will be send to RAN2 in the RAN4#94 meeting.

Issue 3-1-1: Definition of NR only measurement

* Proposals
  + Option 1 (ZTE)
    - NR measurements only means UE cannot be configured with gap patterns with MGL<6ms for E-UTRA measurements, or for both NR and E-UTRA measurement together.
  + Option 2 (Ericsson)
    - Supporting release 16 gaps according to the “new” release 16 capability (NR-only) means that the gap pattern is not required to be used for measurements of LTE cells.
  + Option 3 (MediaTek)
    - The definition of NR-only measurement is the target measurement objects to be measured within the measurement gap are all NR carriers.
* Recommended WF:
  + When an UE indicates that a gap pattern is for NR only measurement, it means the gap pattern cannot be configured for E-UTRA measurements.

Issue 3-1-2: New UE capability signalling for NR only measurements

* Proposals
  + Option 1 (ZTE)
    - New UE capability is used to indicate if a gap pattern among gap patterns #2 ~ #11 can only be configured for NR measurement only.
    - No new LTE RRC signalling is to be introduced, i.e. no additional mandatory gap patterns and new UE capability for LTE.
    - New UE capability is not applicable for EN-DC.
  + Option 2 (Ericsson)
    - Signaling extensions are separately discussed for rel 16 such that UEs may indicate that they only support NR measurements with GP 2, 3, 4, 6, 7, 8,10.
  + Option 3 (MediaTek)
    - RAN4 to inform RAN2 the necessity of introducing a new UE capability for NR-only measurement in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.
    - The scenario of NR-only measurement

|  |  |  |  |
| --- | --- | --- | --- |
| scenario | Applicable gap | Configured MOs | |
| by PCell | by PSCell |
| LTE SA | * Per-UE gap * FR1 gap of per-FR gap | * LTE intra-freq * NR inter-RAT | N.A |
| EN-DC | * LTE intra-freq * NR inter-RAT | * NR intra-freq with or without gap * NR inter-freq |
| NR SA | * NR intra-freq with or without gap * NR inter-freq | N.A |
| NE-DC | * NR intra-freq with or without gap * NR inter-freq | * LTE intra-freq |
| NR-DC | * NR intra-freq with or without gap * NR inter-freq | * NR intra-freq with or without gap * NR inter-freq |

* + Option 4 (OPPO)
    - The UE shall set the bits corresponding to the measurement gap pattern 13, 14, 17 and 18 to 1 if the UE is an NR standalone capable UE that supports a band in FR2 or if the UE is an (NG)EN-DC capable UE that supports independentGapConfig and supports a band in FR2.
    - The UE shall set the bits corresponding to the measurement gap pattern 2 and 3 to 1 if the UE is an NR standalone, EN-DC or NE-DC capable UE.
* Recommended WF:
  + New UE capability is to indicate if a gap pattern among gap patterns #2 ~ #11 with MGL < 6ms can be configured for NR only measurement.

Issue 3-1-3: Applicability of additional mandatory gap patterns and new UE capability signalling

* Proposals
  + Option 1 (ZTE)
    - Additional mandatory of gap patterns in FR2 is applicable for EN-DC.
    - Additional mandatory gap patterns and new UE capability in Rel-16 are applicable for NE-DC mode
  + Option 2 (Ericsson)
    - For EN-DC and NE-DC, 6ms legacy gap with the same MGRP and same / near same offset is allowed in LTE serving cells when making measurements according to the new release 16 (NR only) gap pattern
  + Option 3 (Qualcomm)
    - Any gap patterns that are made mandatory in Rel-16, which were not mandatory in Rel-15, will only be mandatory for NR measurements
    - In EN-DC, NE-DC and LTE SA, for UE’s that do not support shortmeasurementgap on LTE only GP0 and GP1 should remain mandatory.
  + Option 4 (CMCC)
    - Additional mandatory gap patterns is applied to LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode
  + Option 5 (Huawei)
    - R15 gap pattern applicability rule for both 36.133 and 38.133 can be reused for R16.
    - The new mandatory gap patterns are not mandatory for the case with no NR measurement object.
    - The new mandatory gap patterns are mandatory for measurements with NR measurement object in EN-DC/NE-DC/SA NR
  + Option 6 (OPPO)
    - Additional mandatory gap patterns should apply for NR SA and NR-DC mode, EN-DC, NE-DC.
  + Option 7 (MediaTek)
    - GP#2, GP#3, GP#5-11 are mandatory MGs of NR-only measurement for
      * Rel-16 UE who supports per-UE gap in NR SA and NR-DC mode,
      * Rel-16 UE who supports per-FR gap in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.
    - GP#15, GP#16, GP#17, GP#18, and GP#19 for
      * Rel-16 UE who supports per-UE gap or per-FR gap in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.
  + Option 8 (Nokia)
    - Applicability table for the new mandatory GP.

|  |  |  |  |
| --- | --- | --- | --- |
| **Measurement gap pattern configuration** | **Configuration** | **Measurement Purpose** | **Applicability of Gap Pattern Id x** |
| Per UE Gap | EN-DC | any gap assisted measurements | No |
|  | NE-DC | any gap assisted measurements | No |
|  | NR-DC | NR FR1 and FR2 gap assisted measurements | YesNote 1 |
|  | NR SA | NR FR1 and FR2 gap assisted measurements | YesNote 1 |
|  | LTE SA | Any gap assisted measurements | No |
| Per FR Gap Note 3 | EN-DC | FR2 gap assisted measurement | YesNote 2 |
|  | EN-DC | FR2 gap assisted measurements | NoNote 4 |
|  | NE-DC | NR FR2 gap assisted measurements | yes |
|  | NE-DC | FR1 gap assisted measurements | No |
|  | NR-DC | NR FR1 and FR2 gap assisted measurements | YesNote 5 |
|  | NR SA | NR FR1 and FR2 gap assisted measurements | YesNote 5 |
|  | LTE SA | Any gap assisted measurements | No |
| Note 1: provided no LTE gap assisted measurements are configured  Note 2: provided FR2 gap pattern is configured by gNB  Note 3: FR1 and FR2 gap patterns can be configured by either eNB or gNB  Note 4: If gap pattern for FR1 and FR2 are configured by eNB  Note 5: provided no LTE gap assisted measurements are configured in FR1 | | | |

* Recommended WF:
  + Additional mandatory gap patterns and new UE capability in Rel-16 are applicable to NR SA and NR-DC mode
    - Agreements in the last meeting
  + Additional mandatory gap patterns and new UE capability in Rel-16 are applicable to EN-DC, NE-DC and LTE SA mode
    - Conditions are FFS

Issue 3-1-4: Mandatory with capability signalling

* Option 1 (Qualcomm)
  + Any new gap patterns to be made mandatory should be mandatory with capability signaling.
* Recommended WF:
  + FFS

### Mandatory MG patterns for Rel-16

Issue 3-2-1: Mandatory gap patterns for FR1

* Proposals
  + Option 1 (MediaTek)
    - GP#2, GP#3, GP#5-11 are mandatory MGs of NR-only measurement for
      * Rel-16 UE who supports per-UE gap in NR SA and NR-DC mode,
      * Rel-16 UE who supports per-FR gap in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.
  + Option 2 (ZTE)
    - GP#2, GP#3, GP#7 and GP#8
    - GP#5, GP#9 and GP#11 are preferably to be supported.
  + Option 3 (CMCC)
    - At least GP#2, GP #3, GP#10 and GP#11
  + Option 4 (Ericsson)
    - At least GP#2 and GP#3
  + Option 5 (Huawei)
    - GP#2, GP#3, GP#11
  + Option 6 (OPPO)
    - GP#2 and GP#3
  + Option 7 (Nokia)
    - GP#6, GP#7, GP#8 and GP#9
* Recommended WF:
  + GP#2 and GP#3 are mandatory in FR1 for Rel-16 UE.
  + GP#7, GP#8, GP#9 and GP#11 are further decided.

Issue 3-2-2: Mandatory gap patterns for FR2

* Proposals
  + Option 1 (MediaTek)
    - GP#15, GP#16, GP#17, GP#18, and GP#19 for
      * Rel-16 UE who supports per-UE gap or per-FR gap in LTE SA, EN-DC, NE-DC, NR SA, and NR-DC mode.
  + Option 2 (ZTE)
    - GP#12, GP#15, GP#16, GP#17, GP#18 and GP#19.
  + Option 3 (CMCC)
    - At least gap patterns #16, #17, #18, #19
  + Option 4 (Ericsson)
    - At least GP#17 and GP#18
  + Option 5 (Huawei)
    - GP#17, GP #18 and GP#19
  + Option 5 (NTT DOCOMO)
    - GP#17, GP#18 and GP#19
  + Option 5 (OPPO)
    - GP#17 and GP#18
* Recommended WF:
  + GP#16, #17, #18, #19 are mandatory in FR2 for Rel-16 UE.

## Companies views’ collection for 1st round

### Open issues

|  |  |
| --- | --- |
| **Company** | **Comments** |
| XXX | Sub topic 2-1:  Sub topic 2-2:  ….  Others: |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

*Suggestion on WF/LS assignment*

|  |  |  |
| --- | --- | --- |
|  | **WF/LS t-doc Title** | **Assigned Company,**  **WF or LS lead** |
| #1 |  |  |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |