**3GPP TSG-RAN4 Meeting #104-e *R4-2213339***

**Electronic Meeting, August 15 – August 26, 2022**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **DraftCR** | **rev** | **-** | **Current version:** | **17.6.0** |  |
|  | | | | | | | | |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | EN-DC event triggered reporting tests for HST FR1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_HST\_FR1\_enh-Perf] | | | | |  | ***Date:*** | | | 2022-08-08 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Current specification has not inlcuded EN-DC event triggered reporting tests for HST FR1 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add EN-DC event triggered reporting tests for HST FR1 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | No requirements for EN-DC event triggered reporting tests for HST FR1 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | A.4.6.2.x | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS38.533 | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

Start of Change 1

### A.4.6.2 Inter-frequency Measurements

#### A.4.6.2.X EN-DC event triggered reporting tests for FR1 cell without SSB time index detection when DRX is used for UE configured with highSpeedMeasInterFreq-r17

##### A.4.6.2.X.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event for UE configured with highSpeedMeasInterFreq-r17. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR1 on NR RF channel 2. The test parameters and configurations are given in Tables A.4.6.2.x.1-1, A.4.6.2.x.1-2, and A.4.6.2.x.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.4.6.2.x.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.4.6.2.x.1-2 is provided for UE that support per-FR gap. If a UE supports per-FR gap and gap pattern configuration #4, it is only required to pass test 2. Otherwise it is only required to pass test 1.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.4.6.2.x.1-1.

UE needs to be provided with new Timing Advance Command MAC control at least once during each time alignment timer period to maintain uplink time alignment. Furthermore, UE is allocated with PUSCH resource at every DRX cycle.

Table A.4.6.2.x.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR1 for UE configured with highSpeedMeasInterFreq-r17

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode |
| 3 | LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 5 | LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode |
| 6 | LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations  Note 2: target NR cell3 has the same SCS, BW and duplex mode as NR serving cell2 | |

Table A.4.6.2.x.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection for UE configured with highSpeedMeasInterFreq-r17

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Test | Value | | | Comment |
|  |  | configuration | Test 1 | | Test 2 |  |
| E-UTRA RF Channel Number |  | Config 1,2,3,4,5,6 | 1 | | | One E-UTRAN carrier frequencies is used. |
| NR RF Channel Number |  | Config 1,2,3,4,5,6 | 1, 2 | | | Two FR1 NR carrier frequencies is used. |
| Active cell |  | Config 1,2,3,4,5,6 | LTE Cell 1 (PCell) and NR cell 2 (PScell) | | | LTE Cell 1 is on E-UTRA RF channel number 1.  NR Cell 2 is on NR RF channel number 1. |
| Neighbour cell |  | Config 1,2,3,4,5,6 | NR cell 3 | | | NR cell 3 is on NR RF channel number 2. |
| Gap Pattern Id |  | Config 1,2,3,4,5,6 | 0 | 4 | | As specified in clause 9.1.2-1. |
| Measurement gap offset |  | Config 1,2,3,4,5,6 | 9 | 9 | |  |
| A3-Offset | dB | Config 1,2,3,4,5,6 | -6 | | |  |
| Hysteresis | dB | Config 1,2,3,4,5,6 | 0 | | |  |
| CP length |  | Config 1,2,3,4,5,6 | Normal | | |  |
| TimeToTrigger | s | Config 1,2,3,4,5,6 | 0 | | |  |
| Filter coefficient |  | Config 1,2,3,4,5,6 | 0 | | | L3 filtering is not used |
| DRX | ms | Config 1,2,3,4,5,6 | DRX.4 | | | As specified in clause A.3.3 |
| Time offset between PCell and PSCell |  | Config 1,2,3,4,5,6 | 3 μs | | | Synchronous EN-DC |
| Time offset between serving and neighbour cells |  | Config 1,4 | 3ms | | | Asynchronous cells.  The timing of Cell 3 is 3ms later than the timing of Cell 2. |
|  |  | Config 2,3,5,6 | 3μs | | | Synchronous cells. |
| T1 | s | Config 1,2,3,4,5,6 | [5] | | |  |
| T2 | s | Config 1,2,3,4,5,6 | [3] | | |  |

Table A.4.6.2.x.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection for UE configured with highSpeedMeasInterFreq-r17

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Test | Cell 2 | | Cell 3 | |
|  |  | configuration | T1 | T2 | T1 | T2 |
| NR RF Channel Number |  | Config 1,2,3,4,5,6 | 1 | | 2 | |
| Duplex mode |  | Config 1,4 | FDD | | | |
|  |  | Config 2,3,5,6 | TDD | | | |
| BWchannel | MHz | Config 1,4 | 10: NRB,c = 52 | | | |
|  |  | Config 2,5 | 10: NRB,c = 52 | | | |
|  |  | Config 3,6 | 40: NRB,c = 106 | | | |
| BWP BW | MHz | Config 1,4 | 10: NRB,c = 52 | | | |
|  |  | Config 2,5 | 10: NRB,c = 52 | | | |
|  |  | Config 3,6 | 40: NRB,c = 106 | | | |
| TDD configuration |  | Config 2,5 | TDDConf.1.1 | | | |
|  |  | Config 3,6 | TDDConf.2.1 | | | |
| Initial DL BWP |  | Config 1,2,3,4,5,6 | DLBWP.0.1 | | NA | |
| Initial UL BWP |  | Config 1,2,3,4,5,6 | ULBWP.0.1 | | NA | |
| Dedicated DL BWP |  | Config 1,2,3,4,5,6 | DLBWP.1.1 | | NA | |
| Dedicated UL BWP |  | Config 1,2,3,4,5,6 | ULBWP.1.1 | | NA | |
| TRS configuration |  | Config 1,4 | TRS.1.1 FDD | | NA | |
|  |  | Config 2,5 | TRS.1.1 TDD | | NA | |
|  |  | Config 3,6 | TRS.1.2 TDD | | NA | |
| OCNG Patterns defined in A.3.2.1.1 (OP.1) |  | Config 1,2,3,4,5,6 | OP.1 | | OP.1 | |
| PDSCH Reference |  | Config 1,4 | SR.1.1 FDD | | - | |
| measurement channel |  | Config 2,5 | SR.1.1 TDD | |  | |
|  |  | Config 3,6 | SR2.1 TDD | |  | |
| RMSI CORESET Reference |  | Config 1,4 | CR.1.1 FDD | | - | |
| Channel |  | Config 2,5 | CR.1.1 TDD | |  | |
|  |  | Config 3,6 | CR2.1 TDD | |  | |
| Dedicated CORESET Reference Channel |  | Config 1,4 | CCR.1.1 FDD | | - | |
|  | Config 2,5 | CCR.1.1 TDD | |  | |
|  | Config 3,6 | CCR.2.1 TDD | |  | |
| SSB parameters |  | Config 1,4 | SSB.1 FR1 | | SSB.5 FR1 | |
|  |  | Config 2,5 | SSB.1 FR1 | | SSB.5 FR1 | |
|  |  | Config 3,6 | SSB.2 FR1 | | SSB.6 FR1 | |
| SMTC configuration defined |  | Config 1,4 | SMTC.2 | | SMTC.5 | |
| in A.3.11 |  | Config 2,3,5,6 | SMTC.1 | | SMTC.4 | |
| PDSCH/PDCCH subcarrier spacing | kHz | Config 1,2,4,5 | 15 | | | |
|  |  | Config 3,6 | 30 | | | |
| EPRE ratio of PSS to SSS |  |  |  | |  | |
| EPRE ratio of PBCH DMRS to SSS |  |  |  | |  | |
| EPRE ratio of PBCH to PBCH DMRS |  |  |  | |  | |
| EPRE ratio of PDCCH DMRS to SSS |  |  |  | |  | |
| EPRE ratio of PDCCH to PDCCH DMRS |  | Config 1,2,3,4,5,6 | 0 | | 0 | |
| EPRE ratio of PDSCH DMRS to SSS |  |  |  | |  | |
| EPRE ratio of PDSCH to PDSCH |  |  |  | |  | |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |  |  |  | |  | |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |  |  |  | |  | |
| Note2 | dBm/15kHz |  | -98 | | -98 | |
| Note2 | dBm/SCS | Config 1,2,4,5 | -98 | | -98 | |
|  |  | Config 3,6 | -95 | | -95 | |
| SS-RSRP Note 3 | dBm/SCS | Config 1,2,4,5 | -94 | -94 | -Infinity | -91 |
|  |  | Config 3,6 | -91 | -91 | -Infinity | -88 |
|  | dB | Config 1,2,3,4,5,6 | 4 | 4 | -Infinity | 7 |
|  | dB | Config 1,2,3,4,5,6 | 4 | 4 | -Infinity | 7 |
| IoNote3 | dBm/9.36MHz | Config 1,2,4,5 | -64.59 | -64.59 | -70.05 | -62.26 |
|  | dBm/38.16MHz | Config 3,6 | -58.49 | -58.49 | -63.94 | -56.15 |
| Propagation Condition |  | Config 1,2,3,4,5,6 | AWGN | | AWGN 3334Hz Note 5 | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 5: The AWGN 3334 Hz condition is a non fading propagation channel with one tap. Doppler shift is a constant 3334Hz. | | | | | | |

##### A.4.6.2.x.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 2440 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 2440 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and 2 UE is not required to report SSB time index.

NOTE: The actual overall delays measured in the test may be up to 2xTTIDCCH higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

End of Change 1