**3GPP TSG-RAN WG4 Meeting #102-e *R4-2207472***

Draft

**Electronic Meeting, 21 February – 3 March, 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** |  | **rev** |  | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [*HE**LP*](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Big CR for TS 37.104 Maintenance (Rel-16, CAT A) | | | | | | | | | |
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| ***Source to WG:*** | MCC, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI15 | | | | |  | ***Date:*** | | | 2022-03-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | A |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | This big CR merges the multiple endorsed draft CRs. The reason for change in each endorsed draft CR is copied below.  **R4-2204444 Draft CR to 37.104: BS OBUE requirements clarification, rel-15**  In RAN4#101e, corrections of NOTE for OBUE requirement tables for NR specs were agreed. Similar corections are required for MSR specs. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | The summary of change in each each endorsed draft CR is copied below.  **R4-2204444 Draft CR to 37.104: BS OBUE requirements clarification, rel-15**  Deleted unnecessary text in NOTE in tables for OBUE requirements. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The consequences if not approved for each endorsed draft CR are copied below.  **R4-2204444 Draft CR to 37.104: BS OBUE requirements clarification, rel-15**  Unnecessary text in the NOTE which is never applied could cause misunderstanding. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | **R4-2204444 Draft CR to 37.104: BS OBUE requirements clarification, rel-15**  6.2.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 37.141 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

#### 6.6.2.1 General minimum requirement for Band Categories 1 and 3

For a Wide Area BS operating in Band Category 1 or Band Category 3 the requirement applies outside the Base Station RF Bandwidth edges. In addition, for a Wide Area BS operating in non-contiguous spectrum, it applies inside any sub-block gap. In addition, for a Wide Area BS operating in multiple bands, the requirements apply inside any Inter RF Bandwidth gap.

For a Medium Range BS operating in Band Category 1 the requirement applies outside the Base Station RF Bandwidth edges. In addition, for a Medium Range BS operating in non-contiguous spectrum, it applies inside any sub-block gap. In addition, for a Medium Range BS operating in multiple bands, the requirements apply inside any Inter RF Bandwidth gap.

For a Local Area BS operating in Band Category 1 the requirement applies outside the Base Station RF Bandwidth edges. In addition, for a Local Area BS operating in non-contiguous spectrum, it applies inside any sub-block gap. In addition, for a Local Area BS operating in multiple bands, the requirements apply inside any Inter RF Bandwidth gap.

Outside the Base Station RF Bandwidth edges, emissions shall not exceed the maximum levels specified in Tables 6.6.2.1-1 to 6.6.2.1-4 below, where:

- Δf is the separation between the Base Station RF Bandwidth edge frequency and the nominal -3 dB point of the measuring filter closest to the carrier frequency.

- f\_offset is the separation between the Base Station RF Bandwidth edge frequency and the centre of the measuring filter.

- f\_offsetmax is the offset to the frequency ΔfOBUE outside the downlink operating band.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

For a BS operating in multiple bands, inside any Inter RF Bandwidth gaps with Wgap < 2\*ΔfOBUE, emissions shall not exceed the cumulative sum of the minimum requirements specified at the Base Station RF Bandwidth edges on each side of the Inter-RF Bandwidth gap. The minimum requirement for Base Station RF Bandwidth edge is specified in Table 6.6.2.1-1 to 6.6.2.1-4 below, where in this case:

- Δf is the separation between the Base Station RF Bandwidth edge frequency and the nominal -3 dB point of the measuring filter closest to the carrier frequency.

- f\_offset is the separation between the Base Station RF Bandwidth edge frequency and the centre of the measuring filter.

- f\_offsetmax is equal to the inter Base Station RF Bandwidth gap minus half of the bandwidth of the measuring filter.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

For BS capable of multi-band operation where multiple bands are mapped on the same antenna connector, the operating band unwanted emission limits apply also in a supported operating band without any carriers transmitted, in the case where there are carriers transmitted in other operating band(s). In this case where there is no carrier transmitted in an operating band, the operating band unwanted emission limit, as defined in the tables of the present subclause for the largest frequency offset (Δfmax), of a band where there is no carrier transmitted shall apply from ΔfOBUE below the lowest frequency, up to ΔfOBUE above the highest frequency of the supported downlink operating band without any carrier transmitted. And no cumulative limits are applied in the inter-band gap between a supported downlink band with carrier(s) transmitted and a supported downlink band without any carrier transmitted.

Inside any sub-block gap for a BS operating in non-contiguous spectrum, emissions shall not exceed the cumulative sum of the minimum requirements specified for the adjacent sub blocks on each side of the sub block gap. The minimum requirement for each sub block is specified in Tables 6.6.2.1-1 to 6.6.2.1-4 below, where in this case:

- Δf is the separation between the sub block edge frequency and the nominal -3 dB point of the measuring filter closest to the sub block edge.

- f\_offset is the separation between the sub block edge frequency and the centre of the measuring filter.

- f\_offsetmax is equal to the sub block gap bandwidth minus half of the bandwidth of the measuring filter.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

For Band 41 NR operation in Japan, the operating band unwanted emissions limits shall be applied to the sum of the emission power over all *antenna connectors.*

Applicability of Wide Area operating band unwanted emission requirements in Tables 6.6.2.1-1, 6.6.2.1-1b and 6.6.2.1-1c is specified in Table 6.6.2.1-0.

Note: Option 1 and Option 2 correspond to the Category B option 1/2 operating band unwanted emissions defined in the E-UTRA and NR specifications TS 36.104 [4] and TS 38.104 [17]. Option 2 also corresponds to the UTRA spectrum emission mask as defined in TS 25.104 [2].

Table 6.6.2.1-0: Applicability of operating band unwanted emission requirements for BC1 and BC3 Wide Area BS

|  |  |  |
| --- | --- | --- |
| NR Band operation | Standalone NB-IoT carrier adjacent to the BS RF bandwidth edge or UTRA supported | Applicable requirement table |
| None | Y/N | 6.6.2.1-1 (Option 2) |
| In certain regions (NOTE 2), bands 1, 7, 38, 65 | N | 6.6.2.1-1 (Option 2) |
| Any | Y | 6.6.2.1-1 (Option 2) |
| Any below 1GHz | N | 6.6.2.1-1b (Option 1) |
| Any above 1GHz except for, in certain regions (NOTE 2), bands 1, 7, 38, 65 | N | 6.6.2.1-1c (Option 1) |
| NOTE 1: Void  NOTE 2: Applicable only for operation in regions where Category B limits as defined in ITU-R Recommendation SM.329 [6] are used for which category B option 2 operating band unwanted emissions requirements as defined in TS 36.104 [4] and TS 38.104 [17] are applied. | | |

Table 6.6.2.1-1: WA BS OBUE in BC1 and BC3 bands – option 2.

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 0.2 MHz | 0.015MHz ≤ f\_offset < 0.215MHz | -14 dBm | 30 kHz |
| 0.2 MHz ≤ Δf < 1 MHz | 0.215MHz ≤ f\_offset < 1.015MHz | (Note 4) | 30 kHz |
| (Note 6) | 1.015MHz ≤ f\_offset < 1.5 MHz | -26 dBm (Note 4) | 30 kHz |
| 1 MHz ≤ Δf ≤  min(Δfmax, 10 MHz) | 1.5 MHz ≤ f\_offset < min(f\_offsetmax, 10.5 MHz) | -13 dBm (Note 4) | 1 MHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.5 MHz ≤ f\_offset < f\_offsetmax | -15 dBm (Note 4, 8) | 1 MHz |
| NOTE 1: For MSR BS supporting non-contiguous spectrum operation within any operating band the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks on each side of the sub-block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub-blocks on each side of the sub-block gap, where the minimum requirement within sub-block gaps shall be -15dBm/MHz (for MSR BS supporting multi-band operation, either this limit or -16dBm/100kHz with correspondingly adjusted f\_offset shall apply for this frequency offset range for operating bands <1GHz).  NOTE 2: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth.  NOTE 3: For operation with a standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge, the limits in Table 6.6.2.1-1a apply for 0 MHz ≤ Δf < 0.15 MHz.  NOTE 4: For MSR BS supporting multi-band operation, either this limit or -16dBm/100kHz with correspondingly adjusted f\_offset, whichever is less stringent, shall apply for operating bands <1GHz. | | | |

Table 6.6.2.1-1a: WA BS OBUE in BC1 and BC3 bands applicable for: BS with standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2, 3, 4) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 0.05 MHz | 0.015 MHz ≤ f\_offset < 0.065 MHz |  | 30 kHz |
| 0.05 MHz ≤ Δf < 0.15 MHz | 0.065 MHz ≤ f\_offset < 0.165 MHz |  | 30 kHz |
| NOTE 1: The limits in this table only apply for operation with a standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge.  NOTE 2: For MSR BS supporting non-contiguous spectrum operation within any operating band the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap.  NOTE 3: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap.  NOTE 4: In case the carrier adjacent to the RF bandwidth edge is a standalone NB-IoT carrier, the value of X = PNB-IoTcarrier – 43, where PNB-IoTcarrier is the power level of the standalone NB-IoT carrier adjacent to the RF bandwidth edge. In other cases, X = 0. | | | |

Table 6.6.2.1-1b: WA BS OBUE in BC1 and BC3 bands ≤ 1 GHz - option 1

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf <  min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset <  min(10.05 MHz, f\_offsetmax) | -14 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | -16 dBm (Note 8) | 100 kHz |
| NOTE 1: For MSR BS supporting non-contiguous spectrum operation within any operating band, the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the minimum requirement within sub-block gaps shall be -16dBm/100kHz.  NOTE 2: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap. | | | |

Table 6.6.2.1-1c: WA BS OBUE in BC1 and BC3 bands > 1 GHz - option 1

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf <  min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset <  min(10.05 MHz, f\_offsetmax) | -14 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.5 MHz ≤ f\_offset < f\_offsetmax | -15 dBm (Note 8) | 1MHz |
| NOTE 1: For MSR BS supporting non-contiguous spectrum operation within any operating band, the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the minimum requirement within sub-block gaps shall be -15dBm/1MHz.  NOTE 2: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth. | | | |

Table 6.6.2.1-2: MR BS OBUE in BC1 bands applicable for: BS with maximum output power 31 < PRated,c ≤ 38 dBm and not supporting NR; or BS with maximum output power 31 < PRated,c ≤ 38 dBm, supporting NR, and supporting UTRA

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 0.6 MHz | 0.015MHz ≤ f\_offset < 0.615MHz | PRated,c - 58dB - 5/3(f\_offset/MHz-0.015)dB | 30 kHz |
| 0.6 MHz ≤ Δf < 1 MHz | 0.615MHz ≤ f\_offset < 1.015MHz | PRated,c - 53dB - 15(f\_offset/MHz-0.215)dB | 30 kHz |
| (Note 6) | 1.015MHz ≤ f\_offset < 1.5 MHz | PRated,c - 65dB | 30 kHz |
| 1 MHz ≤ Δf ≤ 2.6 MHz | 1.5 MHz ≤ f\_offset < 3.1 MHz | PRated,c - 52dB | 1 MHz |
| 2.6 MHz ≤ Δf ≤ 5 MHz | 3.1 MHz ≤ f\_offset < 5.5 MHz | min(PRated,c - 52dB, -15dBm) | 1 MHz |
| 5 MHz ≤ Δf ≤ Δfmax | 5.5 MHz ≤ f\_offset < f\_offsetmax | PRated,c - 56dB | 1 MHz |
| NOTE 1: For MSR BS supporting non-contiguous spectrum operation within any operating band the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub-blocks on each side of the sub-block gap, where the minimum requirement within sub-block gaps shall be (PRated,c- 56 dB) /MHz.  NOTE 2: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth.  NOTE 3: For operation with a standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge, the limits in Table 6.6.2.1-2a apply for 0 MHz ≤ Δf < 0.15 MHz. | | | |

Table 6.6.2.1-2a: MR BS OBUE in BC1 and BC3 bands applicable for: BS with maximum output power 31 < PRated ≤ 38 dBm and with standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2, 3) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 0.05 MHz  (Note 1) | 0.015 MHz ≤ f\_offset < 0.065 MHz | PRated,c - 38dB - 60(f\_offset/MHz-0.015)dB | 30 kHz |
| 0.05 MHz ≤ Δf < 0.15 MHz | 0.065 MHz ≤ f\_offset < 0.165 MHz | PRated,c - 41dB - 160(f\_offset/MHz-0.065)dB | 30 kHz |
| NOTE 1: The limits in this table only apply for operation with a standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge.  NOTE 2: For MSR BS supporting non-contiguous spectrum operation within any operating band the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap.  NOTE 3: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap. | | | |

Table 6.6.2.1-2b: MR BS OBUE in BC1 bands applicable for: BS with maximum output power 31 < PRated,c ≤ 38 dBm, supporting NR, and not supporting UTRA

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Minimum requirement (Note 1, 2) | Measurement bandwidth (Note 7) |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz | PRated,c - 53dB - 7/5(f\_offset/MHz-0.05)dB | 100 kHz |
| 5 MHz ≤ Δf < min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset < min(10.05 MHz, f\_offsetmax) | PRated,c-60dB | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | Min(PRated,c-60dB, -25dBm) (Note 8) | 100 kHz |
| NOTE 1: For MSR BS supporting non-contiguous spectrum operation within any operating band the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the minimum requirement within sub-block gaps shall be Min(PRated,c-60dB, -25dBm)/100kHz.  NOTE 2: For MSR BS supporting multi-band operation with Inter RF Bandwidth gap < 2×ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap.  NOTE 3: For operation with a standalone NB-IoT carrier adjacent to the Base Station RF Bandwidth edge, the limits in Table 6.6.2.1-2a apply for 0 MHz ≤ Δf < 0.15 MHz | | | |