**3GPP TSG-RAN4 Meeting #102-e *R4-2207136***

**Electronic Meeting, 21st Feb– 3rd Mar 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.133** | **CR** |  | **rev** | **-** | **Current version:** | **15.16.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Big CR to TS 38.133 NR\_newRAT-Perf maintenance (Rel-15) | | | | | | | | | |
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| ***Source to WG:*** | MCC, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
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| ***Work item code:*** | NR\_newRAT-Perf | | | | |  | ***Date:*** | | | 2022-03-07 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | This big CRs merge the mutiple endorsed draft CRs. The reason for change in each endorsed draft CR is copied below.  **R4-2203599**  Dedicated CORSET reference channel are missing in test cases A.6.7.5.1, A.6.7.6.1, A.6.7.7.1.  **R4-2203892**  In this test case, GP 40ms is used. TCSI-RS = 20ms. Therefore, P = 2. D1 is incorrect.  **R4-2204371**  According to the clause 8.6.3 as follwoing, the will depend on whether the RRC message containing BWP switch is embendded in E-UTRA RRC message or not.  Content extracted from calsue 8.6.3 in TS 38.133.   |  | | --- | | is the length of the RRC procedure delay in ms as defined in clause 11.2 in TS 36.331 [16] if the corresponding RRC message is embedded in E-UTRA RRC message, otherwise it is the length of the RRC procedure delay in ms as defined in clause 12 in TS 38.331 [2], and is the time used by the UE to perform BWP switch. |   To make the test environment clear, the start point of T1 is clarified for NR RRC message *RRCReconfiguration* is embedded in E-UTRA RRC message and NR RRC message *RRCReconfiguration* is not embedded in E-UTRA RRC message.  **R4-2204844**   1. In earlier version of 38.133, activation delay for FR1 known SCell depends on its measCycleSCell. When measCycleSCell is bigger than 160ms, an additional SSB is needed to perform AGC. And that is the consideration behind designing TCs 4.5.3.1/2 and 6.5.3.1/2 with measCycleSCell = 160ms and 320ms respectively.   However, RAN4 have decided that R15 FR1 known SCell activation shall follow the same approach used in R16 direct SCell activation. Then following consensus are reached during RAN4 #99e GTW session:   |  | | --- | | * Use the following condition to branch the FR1 known SCell activation requirements   + TFirstSSB+ 5ms, if the measurement period is at most X ms,   + TFirstSSB\_MAX + Trs + 5ms, if the measurement period is longer than X ms   + X = [2400] ms   + Measurement period is the total measurement time for Scell being activated |   According to the new requirements. even using 320ms measCycle, its measurement period of the SCell to be activated is still less than 2400ms (no DRX, only one SCell in TC hence CSSF =1 for measurement on SCell, then measurement period = 5\*320=1600ms). It makes TC 4.5.3.2/6.5.3.2 meaningless.  Actually discussion on this issue is already triggered during RAN4#100e. However, corresonding CRs are reversed because it's agreed during online discussion that changes TCs shall wait until bracket on core requirement is removed. Considering there is no discussion on this requirements in recent meetings. We think it's time to to remove bracket on SCell activation delay requirements, and change measCycleSCell = 640ms in A.4.5.3.2/6.5.3.2 to ensure measurement period (3200ms) larger than 2400ms  **R4-2205073**  Test parameters mismatch between A.7.3.2.1.1 and A.7.3.2.1.2.  **R4-2206803**   1. FR2 CSI-RS-based RLM test cases cannot be implemented reliably in RAN5 with current parameter values, whilst still meeting side conditions.   See associated discussion paper R4-2117786 at #101-e meeting.   1. Test case parameter “BWchannel” is missing. 2. Align OCNG pattern 2 for 2AoA with the pattern for 1AoA (i.e. OP.1) with regards to the limitation of noise allocation at Note 2.   Merged contents from R4-2203570r1   1. A.4.5.6.1.2, A.5.5.6.1.2, A.6.5.6.1.1, A.7.5.6.1.1, A.7.5.6.1.2   Incorrect description in Test Purpose :   * According to cl. A.4.5.6.1.2.1 Test Purpose and Environment, BWP Switch will occur on SCell (Cell 3). However, Test Purpose also allows PSCell (Cell 2) non-transmission and non-reception during the time of BWP Switching.   *“PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) and SCell (Cell 3) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 3 and the time duration of T2.”*   * If this is the case, the interruption requirement for the NR victim cell (PSCell, Cell 2) cannot be correctly confirmed.   Unnecessary UL BWP configurations defined for SCell - In BWP switching interruption TCs, the PUCCH carrying SCell HARQ ACK/NACKs is the only UL transmission related to SCell and it is sent on PCell/PSCell. So no UL transmission happens on SCell. Configuring UL CA will unnecessarily narrow down the applicability of these TCs.  - Furthermore, in current test procedure in 38.533 cl.4.5.6.1.2/6.5.6.1.1. only DL BWP switching is considered.  Editorial corrections.   1. A.4.7.5.1, A.8.5.1.1   Name “Configuration” in Table A.4.7.5.1.2-3 and Table A.8.5.1.1.2-4 is confusing since it is same with the test configuration name in Table A.4.7.5.1.2-1 and Table A.8.5.1.1.2-1.   1. A.6.3.2.1.3   TRS configuration description is missing in Table A.6.3.2.1.3.1-3.   1. A.6.3.2.3.1   If Cell 1 and Cell 2 belong to the same tracking area, it is not mandatory for the UE to return back to connected state after redirection to the target cell, as per TS 38.304 cl. 5.2.6.  Hence, some UEs do not send PRACH after redirection, and test case cannot be performed.  As per TS 24.501 cl. 5.5.1.3.2, if Cell 1 (source cell) and Cell 2 (target cell) belong to different tracking area configurations, UE will initiate connection setup (hence send PRACH) to perform registration procedure.  Thus, different tracking area configurations for Cell 1 and Cell 2 is required for this test case.  <TS 38.304 clause 5.2.6 Selection of cell at transition to RRC\_IDLE or RRC\_INACTIVE state>  “At reception of *RRCRelease* message to transition the UE to RRC\_IDLE or RRC\_INACTIVE, UE shall attempt to **camp** on a suitable cell according to *redirectedCarrierInfo* if included in the *RRCRelease* message.”  <TS 24.501 clause 5.5.1.3.2 Mobility and periodic registration update initiation>  “The UE in state 5GMM-REGISTERED shall initiate the registration procedure for mobility and periodic registration update by sending a REGISTRATION REQUEST message to the AMF,   1. when the UE detects entering a tracking area that is not in the list of tracking areas that the UE previously registered in the AMF;” 2. A.6.5.3.1   In the general test parameters, THARQ is specified to be based on the minimum value defined in TS 38.213. Since there is no exception for using short DCI, the value of THARQ will be based on the minimum value in dl-DataToUL-ACK, which is 2. However, for TDD, using k1 = 2 will not meet the following timing requirement (highlighted below) with consideration to the CSI Report offset settings (2 for 15kHz SCS, 4 for 30kHz SCS).    So, it is proposed to specify THARQ values in the general test parameters. The lowest k1 values that will satisfy the above requirement were chosen.  For TDD 15kHz SCS, THARQ = 3ms (k1 = 3)    For TDD 30kHz SCS, THARQ = 2.5ms (k1 = 5)     1. A.6.5.3.1 There is a need to avoid the test case complexity due to the multiplexing of CSI reporting between PCell and SCell. Since the requirement is to check the CSI report of SCell, the complexity can be avoided by defining the offset between PCell and SCell CSI reporting. 2. A.6.5.3.1 Current CSI-RS offset settings do not meet the CSI reference resource timing definition in TS 38.214 cl. 5.2.2.5. This definition should be met due to the strict requirement on the first CSI Report timing.   The issue is that UE is not sending the first CSI Report since CSI-RS is not being sent at the expected schedule for the CSI reference resource. Thus the proposed solution is to update CSI-RS configuration.  Based on the definition in TS 38.214 cl. 5.2.2.5 (CSI Reference Resource Definitions), in the time domain, the CSI reference resource for a CSI reporting in uplink slot n' is defined by a single downlink slot n-nCSI,ref. And in a case that DL SCS is 15 kHz, then nCSI,ref = 4 (first CSI Report fixed at current setting). On the other hand, the current CSI Report Scheduling for SCell can be depicted as follows. As you can see below, the gap between the CSI-RS occasions for SCell and the first CSI report occasion for SCell becomes wider than 4 slots.    So our proposal of CSI report scheduling is as follows.     1. Table A.6.5.6.1.1.1-3: NR Cell specific test parameters is inconsistent with clause A.6.5.6.1.1.1 Test Purpose and Environment:   According to cl. A.6.5.6.1.1.1,   * *“UE is configured with 2 different UE-specific downlink bandwidth parts for SCell,* ***BWP-1 and BWP-2, in Cell 2****…”* * *“UE is configured with 1 UE-specific downlink bandwidth parts the same as initial BWP for PCell,* ***BWP-0 in Cell 1****…”*   But indicated Active BWP ID in Table A.6.5.6.1.1.1-3 is incorrect  Unlike in other BWP switch delay TCs, TRS config is missing in the test parameters table  **R4-2206808**  In TCI state switch test cases (A.5.5.8 & A.7.5.8), the PDCCH/PDSCH beam switches from AoA1(SSB0) to AoA2(SSB1). The time allocation of other signals like OCNG, AWGN etc is unclear. Considering that for accurate SNR assumption at UE side there should be no simultaneous signals from both AoAs, a clear time multiplexing scheme (as for example is RLM TCs with 2AoAs) is required.  **R4-2206810**  Editorial correction for test case.  **R4-2206811**  When UE is configured with DRX, upon PDSCH scheduling UE restarts *drx-InactivityTimer*. In some test cases, e.g. A.4.6.1.2, due to a (almost) continuous PDSCH scheduling, *drx-InactivityTimer* keeps restarting, and as a consequence UE stays awake and attempts to decode PDCCH, hence, effectively a non-CDRX mode. Especially, in FR2 with 120kHz SCS for PDCCH and PDSCH, when *drx-onDurationTimer* and *drx-InactivityTimer* are defined in milliseconds, one PDCCH scheduling extends on-duration by at least 8 slots. Thereby, it effectively makes a UE behavior in DRX mode similar to that in non-DRX mode. In other words, UEs fulfilling non-DRX requirements can pass corresponding DRX requirements because the requirements for DRX are relaxed compared to the corresponding ones for non-DRX mode, which is not the purpose of having separate test cases for non-DRX and DRX modes. Therefore, UE in DRX mode shouldn’t be scheduled while *drx-onDurationTimer* is running unless otherwise specified in the test case.  With the same reason for change as above, in RAN4#99-e, a CR R4-2108957 adding Note 8 “When DRX is configured, PDSCH is scheduled only while drx-onDurationTimer is running, unless otherwise specified in the test case” to PDSCH RMC was agreed. However, the note was added mistakenly only to Table A.1.1.2-3.  **R4-2206812**  Following are the requirements for tests A.5.5.2.1 and A.5.5.2.2:  The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.  Interruption on NR PSCell shall not exceed 0.625ms (5 slots) as defined in clause 8.2.1.  The method used to test the interruption requirement is by fully scheduling the UE and evaluating DTX bursts spanning more than 5 consecutive slots.  According to R5-218442 it was evaluated and agreed that given the UL/DL config (DDDSU repeated every 0.625ms) and k1 parameter (4,3,7), the UE is configured to transmit one PUCCH every 5 slots bundling 3 ACK/NACKs, corresponding to PDSCHs received in slots n-7, n-4, n-3, with n being the PUCCH slot:    The observation was that the 5-slot interruption requirement is not satisfied when two consecutive PUCCHs are not transmitted.  In tests A.5.5.2.1 and A.5.5.2.2 the total number of PUCCH transmissions in one test iteration is:  *number\_of\_PUCCH = 80/5 \* 100 \* T1 = 16000*  Where T1 = 10 seconds according to the current test configuration. If the SS detects 2 consecutive missing PUCCH transmissions it will declare the test as failed, therefore:  *P(2\_consecutive\_PUCCH\_misdetection) = P(PUCCH\_misdetection) \* P(PUCCH\_misdetection) ≤ 1/16000*  Then:  *P(PUCCH\_misdetection) ≤ 1/sqrt(16000) = 0.79%*  In other words, the current test time requires the SS to decode PUCCH with error rate smaller than 1%, which is challenge in FR2 due to OTA test method where UL SNR suffers from pathloss and such. If we assume 1% of PUCCH decoding error rate, which may be more reasonable and practical in OTA test environment we have:  *T1 = 1/(1600\*P(PUCCH\_misdetection)^2) = 1/(1600\*0.01^2) = 6.25 seconds*  We should also notice the above T1 calculation only refers to a single test iteration. However, in addition, the test requires the UE to achieve a rate of correct events during multiple iterations of at least 90%. According to 38.533 annex G.2 this means a minimum number of 33 test iterations. This multiplies the total number of transmitted PUCCHs by at least 33. Taking 33 iterations, the calculation looks as follows:  *number\_of\_PUCCH = 80/5 \* 100 \* T1 \* 33 = 52800\*T1*  *P(2\_consecutive\_PUCCH\_misdetection) = P(PUCCH\_misdetection) \* P(PUCCH\_misdetection) ≤ 1/number\_of\_PUCCH = 1/(52800\*T1)*  Therefore:  *T1 = 1/(52800\*P(PUCCH\_misdetection)^2) = 1/(52800\*0.01^2) = 0.189 seconds*  We observe that T1 length is very small when considering multiple iterations. Given the above we propose to set T1 as 6.25 seconds (1% PUCCH misdetection rate) and limit the test duration to a single iteration.  **R4-2206815**   1. In RAN4#99e, R4-2108198 and R4-2108199 are agreed to add OffsetMO = 16dB to intra/inter-frequency measurement test cases using 2 AoAs to allow spherical coverage variations. We agree the concept in these two CRs. However, OffsetMO is the MO-specific offset for measurement event and both SpCell and intra-frequency neighbour cell correspond to the same MO. So configuring OffsetMO for intra-frequency measurement TCs is useless. It's cell-specific offset (i.e. cellIndividualOffset) rather than OffsetMO should to be used in intra-frequency TCs. 2. SSBs of SpCell and intra-frequency neighbour cell are not overlapping in time in FR2 intra-frequency measurement without DRX TCs. So the Io on SpCell SSB symbols and neighbour cell SSB symbols are independent. It's not right to refer Io on neighbour cell SSB symbols to Io on SpCell SSB symbols. 3. In FR2 BFD TCs, rsrp-ThresholdSSB is given as -94.5 dBm/120kHz(-91.5 dBm/240kHz). which is impossible since rsrp-ThresholdSSB can only be set as integer according to 38.331. So we suggest change it to -95dBm/120kHz (-92dBm/240kHz) 4. In several CSI-RS based BFD TCs, the unit for Noc is wrongly written as dBm/15kHz. It should be dBm/120kHz after comparing with other power level parameters. 5. NrofPort in CSI-RS RMC CSI-RS.3.1 TDD is changed from 2 to 1 in R4-2006436. In RAN4 #100-e we had submitted several CRs (R4-2115266 and R4-2115268) to add reportQuantity = cri-RI-CQI in FR2 SCell activation/PSCell addition test cases, which are also based on the assumption of 1-port CSI-RS (since 1 port CSI-RS can't support PMI calculation). However, In RAN4 #101-e nrofPort in CSI-RS RMC CSI-RS.3.1 TDD was changed back to 2 in R4-2117732.   From our point of view, we agree that 2-ports CSI-RS is the better choice. The consideration is that *csi-ReportWithoutPMI* is an optional UE capability according to 38.306. So using 1 port CSI-RS will narrow down the applicability of FR2 RRM TCs involving CSI-reporting. So we suggest also updating reportQuantity to "cri-RI-PMI-CQI" for FR2 test cases.   1. CSI reporting configuration is still missing in several FR2 RRM TCs and need to be added. 2. Test configurations in FR2 BFD TCs are updated as follows:    1. TC A.5.5.5.1/2/5: Config 1:LTE FDD+120k SSB, Config 2: LTE TDD+120k SSB, Config 3: LTE FDD+240k SSB,Config 4: LTE TDD+ 240k SSB    2. TC A.5.5.2.3/4: Config 1:LTE FDD+120k SSB, Config 2: LTE TDD+120k SSB,    3. TC 7.5.5.1/2/5: Config 1: 120k SSB, Config 2: 240k SSB,    4. TC 7.5.5.3/4: Config 1: 120k SSB 3. Test parameter tables for FR2 BFD TCs are updated to:    1. capture new test configurations accordingly;    2. add missing RMCs and parameters:       1. PDSCH reference configuration:       2. DL/UL initial/dedicated BWP configuration;       3. dedicated CORESET reference configuration.    3. improve readability. | | | | | | | | |
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| ***Summary of change:*** | | The summary of change in each endorsed draft CR is copied below.  **R4-2203599**  Dedicated CORSET reference channels CCR.1.1 FDD, CCR.1.1 TDD, CCR.2.1 TDD added, according to the similar non-inter-RAT TCs A.6.7.1.1, A.6.7.2.1, A.6.7.3.1.  **R4-2203892**  Correct D1 and T2T3.  **R4-2204371**   * For start point of T1, separate the original wording into two cases:   + NR RRC message *RRCReconfiguration* is embedded in E-UTRA RRC message   NR RRC message *RRCReconfiguration* is not embedded in E-UTRA RRC message.  **R4-2204844**  measCycleSCell in TC A.4.5.3.2 and 6.5.3.2 are changed to 640ms.  **R4-2205073**  A.7.3.2.1.1: Add parameters ‘BWchannel’ and ‘Data RBs allocated’ to align with A.7.3.2.1.2.  A.7.3.2.1.2: Set Io value of T2/T3 in Cell 1 and T1/T2 in Cell 2 to align with A.7.3.2.1.1.  \* Io = -83.1 + 10log10(24\*12) = -58.506.  **R4-2206803**   1. Defined new BWP configurations DLBWP.1.4 and ULBWP.1.4 to confine the allocated resource blocks to 24 RBs in general test parameter. Then changed associated parameters in the test parameter tables. 2. Added test parameter “BWchannel” and “Data RBs allocated” in general test parameter tables. 3. Added text at Note 2 for the definition of OCNG Pattern 2 to confine the OCNG within BWP.   Added parameter BWoccupied in the corresponding parameter tables.   1. Replaced OCNG parameters from OP.2 to OP.5 in Table A.5.5.1.5.1-2, A.5.5.1.6.1-2, A.7.5.1.5.1-2, A.7.5.1.6.1-2. 2. Test specification clean up :  * Removed erroneous clause concerning PSCell (Cell 2) transmission/ reception gap during Scell (Cell 3) BWP switching. * Removed UL BWP Configurations for Scell (Cell 3). * Other editorial corrections.  1. Change the name in Table A.4.7.5.1.2-3 and Table A.8.5.1.1.2-4 from “Configuration” to “Condition”. 2. Added TRS configuration in Table A.6.3.2.1.3.1-3. 3. Update cl. A.6.3.2.3.1.2 Test Parameters to include description that Cell 1 and Cell 2 belong to different tracking areas. 4. Added specific THARQ settings in the general test parameters in Table A.6.5.3.1.1-2, and modified the comment column. 5. Added separate CSI Report offset settings for the CSI reports for the PCell and SCell in Table A.6.5.3.1.1-3. 6. Added a note updating the CSI-RS offset settings cell-specific test parameters table in Table A.6.5.3.1.1-3. 7. Updated Active BWP ID in Table A.6.5.6.1.1.1-3:   ・Cell 1: 1, 2 🡪 0  ・Cell 2: 3 🡪 1, 2  Added missing TRS config  **R4-2206808**  In TCs A.5.5.8.1, A.5.5.8.2, A.7.5.8.1, A.7.5.8.2 time multiplexing figures added for T1 and T2. The format followed in the one from RLM 2AoA TCs, with the difference, that OCNG is also switched from AoA1 to AoA2, since OP5 patterna assumes OCNG only in serving beam, which is in fact switched.  **R4-2206810**  Editorial correction for test case.  **R4-2206811**  Added a note to PDSCH RMCs.  **R4-2206812**  Updated T1 value to 6.25 seconds.  **R4-2206815**   1. OffsetMO is changed to cellIndividualOffset in FR2 intra-frequency measurement without DRX TCs. 2. Io in FR2 intra-frequency measurement without DRX TCs are updated. 3. Value of rsrp-ThresholdSSB in FR2 BFD TCs are corrected. 4. Unit for Noc in CSI-RS based BFD TCs are corrected. 5. reportQuantity in FR2 TCs involving CSI reporting is changed to "cri-RI-PMI-CQI". 6. CSI reporting configuration is added in several FR2 TCs. 7. Antenna configuration 2X2 is added in FR2 RLM/BFD TCs. 8. Typos are corrected. | | | | | | | | |
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| ***Consequences if not approved:*** | | The consequences if not approved for each endorsed draft CR are coppied below.  **R4-2203599**  Missing configuration will lead to different test case implementations.  **R4-2203892**  The D1 requirement of test case for Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with CSI-RS-based RLM in non-DRX mode is incorrect.  **R4-2204371**  Incorrect test cell configuration.  **R4-2204844**  Reference configuration is incorrect.  **R4-2205073**  TE cannot set the Io value durin the test.  **R4-2206803**  Testability issue remains in FR2 CSI-RS-based RLM test cases.  Test specifications will be ambigous, and inconsistencies between specs will remain. Conformance test cannot be correctly performed.  **R4-2206808**  No clear power allocation per AoA, which might lead to different TC implementation and results.  **R4-2206810**  Editorial error in test case.  **R4-2206811**  UEs fulfilling non-DRX requirements could pass corresponding DRX requirements, hence, DRX mode tests being redundant.  **R4-2206812**  UE might not be able to meet the requirement due to OTA PUCCH decoding performance issue at test equipment.  **R4-2206808**  No clear power allocation per AoA, which might lead to different TC implementation and results.  **R4-2206815**  Conformant UE may fail the test. | | | | | | | | |
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| ***Clauses affected:*** | | **R4-2203599**  A.6.7.5.1, A.6.7.6.1, A.6.7.7.1  **R4-2203892**  A.6.5.1.5  **R4-2204371**  A.4.5.6.2 and A.5.5.6.2  **R4-2204844**  A.4.5.3.2, A.6.5.3.2  **R4-2205073**  A.7.3.2.1.1, A.7.3.2.1.2  **R4-2206803**  Table A.3.2.1.2-2  Table A.3.9.2.2-1, A.3.9.3.2-1.  Tables A.5.5.1.5.1-2, A.5.5.1.6.1-2.  Tables A.7.5.1.5.1-2, A.7.5.1.6.1-2.  A.4.5.6.1.2, A.4.7.5.1, A.5.5.6.1.2, A.6.3.2.1.3, A.6.3.2.3.1, A.6.5.3.1, A.6.5.6.1.1, A.7.5.6.1.1, A.7.5.6.1.2, A.8.5.1.1  **Isolated impact analysis:**  No change to UE requirements, changes test parameters only.  **R4-2206808**  A.5.5.8.1, A.5.5.8.2, A.7.5.8.1, A.7.5.8.2  **R4-2206810**  A.5.7.1  **R4-2206811**  A.3.1.1  **R4-2206812**  A.5.5.2.1, A.5.5.2.2  **R4-2206815**  A.5.5.1.1, A.5.5.1.2, A.5.5.1.3, A.5.5.1.4, A.5.5.1.5, A.5.5.1.6, A.5.5.1.7, A.5.5.1.8, A.5.5.3.1, A.5.5.3.2, A.5.5.3.5, A.5.5.5.1, A.5.5.5.2, A.5.5.5.3, A.5.5.5.4, A.5.5.5.5, A.5.5.7.1, A.5.6.1.1, A.5.6.1.3, A.7.5.1.1, A.7.5.1.2, A.7.5.1.3, A.7.5.1.4, A.7.5.1.5, A.7.5.1.6, A.7.5.1.7, A.7.5.1.8, A.7.5.3.1, A.7.5.3.2, A.7.5.5.1, A.7.5.5.2, A.7.5.5.3, A.7.5.5.4, A.7.5.5.5, A.7.6.1.1, A.7.6.1.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | **R4-2206803**  Revised from R4-2203563.  Added text to note 2 in Table A.3.2.1.2-2 OCNG pattern 2.  Added parameter BWoccupied in corresponding test parameter tables.  Replaced some OCNG parameters from OP.2 to OP.5.  Merged R4-2203570 and its revised contents (3570r1).  Applied the following updates:   1. Changed UL BWP for Scell to N/A at Table A.4.5.6.1.2.1-3, because the test requirement is fully satisfied with DL CA condition. 2. Added A.5.5.6.1.2, A.7.5.6.1.1 and A.7.5.6.1.2 to apply same changes above to these clauses and also to A.6.5.6.1.1. For clauses to change, refer to the previously endorsed draft CR (R4-2113960). 3. Revert “slot” to “DL slot” because it is better to clarify the point of BWP switching in A.4.5.6.1.2.2. 4. Revert adding “NR” before PSCell and deleting E-UTRA before PCell in A.4.5.6.1.1.   Refer to the topic summary #201 (R4-2206744) for detailed background of the updates.  **R4-2206815**  **1st revision:**  Updated according to comments received during 1st round discussion and RAN5 TT reviewing:   1. Changes to Antenna Configuration in BFD/RLM TCs are reversed. 2. Test configurations in FR2 BFD TCs are updated as follows:    1. TC A.5.5.5.1/2/5: Config 1:LTE FDD+120k SSB, Config 2: LTE TDD+120k SSB, Config 3: LTE FDD+240k SSB,Config 4: LTE TDD+ 240k SSB    2. TC A.5.5.2.3/4: Config 1:LTE FDD+120k SSB, Config 2: LTE TDD+120k SSB,    3. TC 7.5.5.1/2/5: Config 1: 120k SSB, Config 2: 240k SSB,    4. TC 7.5.5.3/4: Config 1: 120k SSB 3. Test parameter tables for FR2 BFD TCs are updated to:    1. capture new test configurations accordingly;    2. add missing RMCs and parameters which are found during RAN5 TT analysis:       1. PDSCH reference configuration:       2. DL/UL initial/dedicated BWP configuration;       3. dedicated CORESET reference configuration.    3. improve readability. 4. Coversheet is updated accordingly. | | | | | | | | |

**<START OF CHANGE 1>**

A.3.1.1 PDSCH

A.3.1.1.1 FDD

**Table A.3.1.1.1-1: PDSCH Reference Measurement Channels for SCS=15kHz**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | | | | | | |
| Reference channel |  | SR.1.1 FDD |  |  |  |  |  |  |
| Channel bandwidth | MHz | Defined in test case |  |  |  |  |  |  |
| Number of transmitter antennas |  | 1 |  |  |  |  |  |  |
| Allocated resource blocks for PDSCH Note 1 |  | 24 |  |  |  |  |  |  |
| Allocated slots per Radio Frame |  | 10 |  |  |  |  |  |  |
| Radio frame containing SSB | slots | Note 5 |  |  |  |  |  |  |
| Radio frame not containing SSB | slots | 10 |  |  |  |  |  |  |
| MCS index |  | 4 |  |  |  |  |  |  |
| Modulation |  | QPSK |  |  |  |  |  |  |
| Target Coding Rate |  | 1/3 |  |  |  |  |  |  |
| Number of control symbols |  | 2 |  |  |  |  |  |  |
| PDSCH mapping type |  | Type A |  |  |  |  |  |  |
| Information Bit Payload |  |  |  |  |  |  |  |  |
| For slots with RMSI Note 2 | bits | 1608 |  |  |  |  |  |  |
| For slots without RMSI | bits | 1864 |  |  |  |  |  |  |
| Number of Code Blocks per slot |  | 1 |  |  |  |  |  |  |
| Binary Channel Bits Per slot |  |  |  |  |  |  |  |  |
| For slots with RMSI Note 2, Note 4 | bits | 5184 |  |  |  |  |  |  |
| For slots without RMSI Note 6 | bits | 6048 |  |  |  |  |  |  |
| Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block.  Note 2: PDSCH is scheduled on the slots with RMSI.  Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3].  Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditonalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 2.  Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in clause A.3.10.  Note 6: When DRX is configured, PDCCH can be scheduled both for downlink assignment and/or UL grant only during ([10]ms - drx-InactivityTimer) from timing when drx-onDurationTimer starts, unless otherwise specified in the test case | | | | | | | | |

A.3.1.1.2 TDD

**Table A.3.1.1.2-1: PDSCH Reference Measurement Channels for SCS=15kHz**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | | | | | | |
| Reference channel |  | SR.1.1 TDD | SR.1.2 TDD |  |  |  |  |  |
| Channel bandwidth | MHz | Defined in test case | Defined in test case |  |  |  |  |  |
| Number of transmitter antennas |  | 1 | 1 |  |  |  |  |  |
| Allocated resource blocks for PDSCH Note 1 |  | 24 | 24 |  |  |  |  |  |
| Allocated slots per Radio Frame |  |  |  |  |  |  |  |  |
| Radio frame containing SSB | slots | Note 5 | Note 5 |  |  |  |  |  |
| Radio frame not containing SSB | slots | 4 | 6 |  |  |  |  |  |
| MCS table |  | 64QAM | 64QAM |  |  |  |  |  |
| MCS index |  | 4 | 4 |  |  |  |  |  |
| Modulation |  | QPSK | QPSK |  |  |  |  |  |
| Target Coding Rate |  | 1/3 | 1/3 |  |  |  |  |  |
| Number of control symbols |  | 2 | 2 |  |  |  |  |  |
| PDSCH mapping type |  | Type A | Type A |  |  |  |  |  |
| Information Bit Payload |  |  |  |  |  |  |  |  |
| For slots with RMSI Note 2 | bits | 1608 | 1608 |  |  |  |  |  |
| For slots without RMSI | bits | 1864 | 1864 |  |  |  |  |  |
| For special slots | bits | N/A | 1128 |  |  |  |  |  |
| Number of Code Blocks per slot |  | 1 | 1 |  |  |  |  |  |
| Binary Channel Bits Per slot |  |  |  |  |  |  |  |  |
| For slots with RMSI Note 2, Note 4 | bits | 5184 | 5184 |  |  |  |  |  |
| For slots without RMSI Note 6 | bits | 6048 | 6048 |  |  |  |  |  |
| For special slots Note 6 | bits | - | 3744 |  |  |  |  |  |
| Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block.  Note 2: PDSCH is scheduled on the slots with RMSI.  Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3].  Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditonalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 2.  Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in clause A.3.10.  Note 6: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditonalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 1.  Note 7: When DRX is configured, PDCCH can be scheduled both for downlink assignment and/or UL grant only during ([10]ms - drx-InactivityTimer) from timing when drx-onDurationTimer starts, unless otherwise specified in the test case | | | | | | | | |

**Table A.3.1.1.2-2: PDSCH Reference Measurement Channels for SCS=30kHz**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | | | | | | |
| Reference channel |  | SR.2.1 TDD |  |  |  |  |  |  |
| Channel bandwidth | MHz | Defined in test case |  |  |  |  |  |  |
| Number of transmitter antennas |  | 1 |  |  |  |  |  |  |
| Allocated resource blocks for PDSCH Note 1 |  | 24 |  |  |  |  |  |  |
| Allocated slots per Radio Frame |  |  |  |  |  |  |  |  |
| Radio frame containing SSB | slots | Note 5 |  |  |  |  |  |  |
| Radio frame not containing SSB | slots | 10 |  |  |  |  |  |  |
| MCS table |  | 64QAM |  |  |  |  |  |  |
| MCS index |  | 4 |  |  |  |  |  |  |
| Modulation |  | QPSK |  |  |  |  |  |  |
| Target Coding Rate |  | 1/3 |  |  |  |  |  |  |
| Number of control symbols |  | 2 |  |  |  |  |  |  |
| PDSCH mapping type |  | Type A |  |  |  |  |  |  |
| Information Bit Payload |  |  |  |  |  |  |  |  |
| For slots with RMSI Note 2 | bits | 1608 |  |  |  |  |  |  |
| For slots without RMSI | bits | 1864 |  |  |  |  |  |  |
| Number of Code Blocks per slot |  | 1 |  |  |  |  |  |  |
| Binary Channel Bits Per slot |  |  |  |  |  |  |  |  |
| For slots with RMSI Note 2, Note 4 | bits | 6048 |  |  |  |  |  |  |
| Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block.  Note 2: PDSCH is scheduled on the slots with RMSI.  Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3].  Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditonalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 2.  Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in clause A.3.10.  Note 6: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditonalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 1.  Note 7: When DRX is configured, PDCCH can be scheduled both for downlink assignment and/or UL grant only during ([10]ms - drx-InactivityTimer) from timing when drx-onDurationTimer starts, unless otherwise specified in the test case | | | | | | | | |

**<END OF CHANGE 1>**

**<START OF CHANGE 2>**

#### A.3.2.1.2 OCNG pattern 2: Generic OCNG pattern for all unused REs for 2AoA setup

Table A.3.2.1.2-2: OP.2: Generic OCNG pattern for all unused REs for 2AoA setup

|  |  |  |
| --- | --- | --- |
| OCNG Parameters | Control Region | Data Region |
| Probe | Transmitting the serving beam | |
| Resource allocation | Unused REs (Note 1) in the symbols where SSB/CSI-RS are not transmitted from both the serving beam probe and non-serving beam probe. | Unused REs (Note 2) in the symbols where SSB/CSI-RS are not transmitted from both the serving beam probe and non-serving beam probe. |
| Channel | PDCCH | PDSCH |
| Contents | Virtual UE IDs | Uncorrelated pseudo random QPSK modulated data |
| Antenna transmission scheme | Same as used in PDCCH RMC | Same as used in PDSCH RMC |
| Subcarrier spacing | Same as used in PDCCH RMC | Same as used in PDSCH RMC |
| Aggregation level | Same as used in PDCCH RMC | N/A |
| Code rate | Same as used in PDCCH RMC | Same as used in PDSCH RMC |
| Transmit Power | Same as used in PDCCH RMC | Same as used in PDSCH RMC |
| CP length | Same as used in PDCCH RMC | Same as used in PDSCH RMC |
| Note 1: REs not used in the active CORESETs where PDCCH is scheduled for the UE under test.  Note 2: REs not allocated to any physical channels, CORESET, SSB or any other reference signal within the channel bandwidth of the cell, confined to BWoccupied where specified in the test case  Note 3: No OCNG is transmitted from the probe transmitting non-serving beam. | | |

**<END OF CHANGE 2>**

**<START OF CHANGE 3>**

#### A.3.9.2.2 Dedicated BWP

**Table A.3.9.2.2-1: Downlink BWP patterns for dedicated BWP configuration**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  | | |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| BWP Parameters | Unit | Values | | | |
| Reference BWP |  | DLBWP.1.1 | DLBWP.1.2 | DLBWP.1.3 | DLBWP.1.4 |
| Starting PRB index |  | 0 | RBb Note 1 | RBa Note 2 | 0 |
| Bandwidth | RB | Same as RF channel defined in each test | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  48 for SSB SCS = 240KHz | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  48 for SSB SCS = 240KHz | 24 for SSB SCS = 120KHz  24 for SSB SCS = 240KHz |
| Note 1: RBb is the lowest PRB index to guarantee the BWP not fully overlapped with SSB PRB index (RBJ, RBJ+1,.…, RBJ+19) which is defined in Clause A.3.10.  Note 2: RBa is the lowest PRB index to guarantee the BWP including SSB PRB index (RBJ, RBJ+1,.…, RBJ+19) which is defined in Clause A.3.10. | | | | | |

**<END OF CHANGE 3>**

**<START OF CHANGE 4>**

#### A.3.9.3.2 Dedicated BWP

**Table A.3.9.3.2-1: Uplink BWP patterns for dedicated BWP configuration**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  | | |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| BWP Parameters | Unit | Values | | | |
| Reference BWP |  | ULBWP.1.1 | ULBWP.1.2 | ULBWP.1.3 | ULBWP.1.4 |
| Starting PRB index |  | 0 | RBb Note 1 | RBa Note 2 | 0 |
| Bandwidth | RB | Same as RF channel defined in each test | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  48 for SSB SCS = 240KHz | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  48 for SSB SCS = 240KHz | 24 for SSB SCS = 120KHz  24 for SSB SCS = 240KHz |
| Note 1: RBb is same as RBb for DLBWP.1.2 as defined in Table A.3.9.2.2-1.  Note 2: RBa is same as RBa for DLBWP.1.3 as defined in Table A.3.9.2.2-1. | | | | | |

**<END OF CHANGE 4>**

**<START OF CHANGE 5>**

##### A.4.5.6.1.2 E-UTRAN – NR PSCell FR1 DL active BWP switch with FR1 SCell in non-DRX in synchronous EN-DC

###### A.4.5.6.1.2.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirements for NR victim cell defined in clause 8.2.1.2.7 and interruption requirement for E-UTRA victim cell defined in clause 7.32.2.7 of TS 36.133 [15]. Supported test configurations are shown in Table A.4.5.6.1.2.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), one PSCell (Cell 2) and one SCell (Cell 3) as given in Table A.4.5.6.1.2.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of PSCell and SCell are specified in Table A.4.5.6.1.2.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on E-UTRA PCell (Cell 1) and PSCell (Cell 2) to ensure that the UE will have ACK/NACK sending.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 3) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 3 and the time duration of T2.

Before the test starts,

- UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC), Cell 2 (PSCell) on radio channel 2 (PSCC) and Cell 3 (SCell) on radio channel 3 (SCC).

- UE is configured with 2 different UE-specific downlink bandwidth parts for SCell, BWP-1 and BWP-2, in Cell 3 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

- UE is configured with 1 UE-specific downlink bandwidth parts the same as initial BWP for PSCell, BWP-0 in Cell 2 before starting the test.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-1 in SCell.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-0 in PSCell.

- UE is configured with a *bwp-InactivityTimer* timer value for SCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for SCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in SCell’s slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH on the first DL slot that occurs after the beginning of SCell’s DL slot (*i+*TBWPswitchDela*y*) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PSCell no later than on the first UL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay*+*k1). The UE shall be continuously scheduled on SCell’s BWP-2 starting from the first DL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay).

E-UTRA PCell(Cell 1) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

PSCell(Cell 2) interruption due to BWP switch on SCell shall occur within the BWP switch delay.

During T2, the test equipment won’t transmit DCI format for PDSCH reception on SCell(Cell 3).

During T3,

The time period T3 starts from the slot #*j*, where j is the first slot of the subframe immediately after *bwp-InactivityTimer* timer expires. The UE shall switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH on the first DL slot that occurs after the beginning of SCell’s DL slot (*j+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PSCell no later than on the first UL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-1 starting from the first DL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay).

E-UTRA PCell(Cell 1) interruption due to BWP switch of SCell shall occur within the BWP switch delay.

PSCell(Cell 2) interruption due to BWP switch of SCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in SCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK/NACK is received.

The test equipment verifies that potential interruption to E-UTRA PCell and NR PSCell is carried out in the correct time span by monitoring ACK/NACK sent in E-UTRA PCell and PSCell during BWP switch of SCell, respectively.

Table A.4.5.6.1.2.1-1: DL BWP switch supported test configurations

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | LTE FDD, NR 15 kHz SSB SCS, ≥10 MHz bandwidth, FDD duplex mode |
| 2 | LTE FDD, NR 15 kHz SSB SCS, ≥10 MHz bandwidth, TDD duplex mode |
| 3 | LTE FDD, NR 30 kHz SSB SCS, ≥40 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, NR 15 kHz SSB SCS, ≥10 MHz bandwidth, FDD duplex mode |
| 5 | LTE TDD, NR 15 kHz SSB SCS, ≥10 MHz bandwidth, TDD duplex mode |
| 6 | LTE TDD, NR 30 kHz SSB SCS, ≥40 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations  Note 2: A UE which fulfils the requirements in test case A.4.5.6.1.2 can skip the test cases in A.4.5.6.1.1.  Note 3: NR configuration is the same for PSCell and SCells.  Note 4: The UE is only required to be tested in one with smallest aggregated channel bandwidth from supported band combinations which is composed of CCs ≥ the bandwidth (BWchannel) defined in each test configuration | |

Table A.4.5.6.1.2.1-2: General test parameters for DL BWP switch in synchronous EN-DC

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| E-UTRA RF Channel Number |  | 1 | One E-UTRA radio channel is used for this test |
| NR RF Channel Number |  | 2, 3 | Two NR radio channels are used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active PSCell |  | Cell 2 | PSCell on RF channel number 2. |
| Active SCell |  | Cell 3 | SCell on RF channel number 3. |
| CP length |  | Normal |  |
| DRX |  | OFF |  |
| *bwp-InactivityTimer* | ms | 200 |  |
| Cell-individual offset for cells on RF channel number 1 | dB | 0 | Individual offset for cells on PCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on PSCC. |
| Cell-individual offset for cells on RF channel number 3 | dB | 0 | Individual offset for cells on SCC. |
| Cell2 timing offset to cell1 | μs | 3 | Synchronous EN-DC |
| Cell3 timing offset to cell2 | μs | 3 | Synchronous cells |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |
| T3 | s | 0.2 |  |

Table A.4.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 2** | **Cell 3** |
| Frequency Range | |  | FR1 | |
| Duplex mode | Config 1,4 |  | FDD | |
| Config 2,3,5,6 | TDD | |
| TDD configuration | Config 1,4 |  | Not Applicable | |
| Config 2,5 | TDDConf.1.1 | |
| Config 3,6 | TDDConf.2.1 | |
| BWchannel | Config 1,4 |  | Note 7 | |
| Config 2,5 | Note 7 | |
| Config 3,6 | Note 7 | |
| BWoccupied | Config 1,4 | RB | 52 Note 5 | |
|  | Config 2,5 |  | 52 Note 5 | |
|  | Config 3,6 |  | 106 Note 6 | |
| Active BWP ID | |  | 0 | 1,2 |
| Initial DL BWP Configuration | Config 1,4 |  | DLBWP.0.2 | DLBWP.0.2 |
| Config 2,5 |
| Config 3,6 |
| Active DL BWP-0 Configuration | Config 1,4 |  | DLBWP.0.2 | N.A. |
| Config 2,5 |
| Config 3,6 |
| Active DL BWP-1 Configuration | Config 1,4 |  | N.A. | DLBWP.1.3 |
| Config 2,5 |
| Config 3,6 |
| Active DL BWP-2 Configuration | Config 1,4 |  | N.A. | DLBWP.1.1 |
| Config 2,5 |
| Config 3,6 |
| Initial UL BWP  Configuration | Config 1,4 |  | ULBWP.0.2 | N.A. |
| Config 2,5 |  |  |
| Config 3,6 |  |  |
| Active UL BWP-0  Configuration | Config 1,4 |  | ULBWP.0.2 | N.A. |
| Config 2,5 |  |  |
| Config 3,6 |  |  |
| Active UL BWP-1  Configuration | Config 1,4 |  | N.A. | N.A. |
| Config 2,5 |  |  |
| Config 3,6 |  |  |
| Active UL BWP-2 Configuration | Config 1,4 |  | N.A. | N.A. |
| Config 2,5 |  |  |
| Config 3,6 |  |  |
| PDSCH Reference measurement channel | Config 1,4 |  | SR.1.1 FDD | |
| Config 2,5 | SR.1.1 TDD | |
| Config 3,6 | SR.2.1 TDD | |
| RMSI CORESET parameters | Config 1,4 |  | CR.1.1 FDD | |
| Config 2,5 | CR.1.1 TDD | |
| Config 3,6 | CR.2.1 TDD | |
| Dedicated CORESET parameters | Config 1,4 |  | CCR.1.2 FDD | |
| Config 2,5 | CCR.1.2 TDD | |
| Config 3,6 | CCR.2.4 TDD | |
| OCNG Patterns | Config 1,2,4,5 |  | OP.1 Note 5 | |
|  | Config 3,6 |  | OP.1 Note 6 | |
| SSB Configuration | Config 1,2,4,5 |  | SSB.1 FR1 | |
| Config 3,6 | SSB.2 FR1 | |
| SMTC Configuration | |  | SMTC.1 | |
| TRS Configuration | Config 1,4 |  | TRS.1.1 FDD | |
| Config 2,5 |  | TRS.1.1 TDD | |
| Config 3,6 |  | TRS.1.2 TDD | |
| Antenna Configuration | |  | 1x2 | |
| Propagation Condition | |  | AWGN | |
| EPRE ratio of PSS to SSS | | dB | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS | |
| EPRE ratio of PBCH to PBCH DMRS | |
| EPRE ratio of PDCCH DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH DMRS | |
| EPRE ratio of PDSCH DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH | |
| EPRE ratio of OCNG DMRS to SSS Note 1 | |
| EPRE ratio of OCNG to OCNG DMRS Note 1 | |
| NocNote 2 | | dBm/15 kHz | -104 | -104 |
| SS-RSRP Note 3 | | dBm/15 kHz | -87 | -87 |
| Ês/Iot | | dB | 17 | 17 |
| Ês/Noc | | dB | 17 | 17 |
| IoNote3 | Config 1,2,4,5 | dBm/  9.36MHz | -58.96 | -58.96 |
| Config 3,6 | dBm/  38.16MHz | -52.86 | -52.86 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled within BWoccupied.  Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].  Note 5: All UL/DL transmission shall be confined within BWoccupied (i.e. 10 MHz, 52 RBs) from FC,low, and Io is independent of the BWchannel configured.  Note 6: All UL/DL transmission shall be confined within BWoccupied (i.e. 40 MHz, 106 RBs) from FC,low, and Io is independent of the BWchannel configured.  Note 7: NRB,c. is derived from Table 5.3.2-1 in TS38.101-1[2] with configured BWchannel. | | | | |

###### A.4.5.6.1.2.2 Test Requirements

During T1, the UE shall start to send the ACK/NACK for SCell on PSCell from the first UL slot that occurs after the beginning of DL slot (*i+*TBWPswitchDelay*+*k1).

During T3, the UE shall start to send the ACK/NACK for SCell on PSCell from the first UL slot that occurs after the beginning of DL slot (*j+*TBWPswitchDelay*+*k1).

Where, k1 is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration TBWPswitchDelay defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed SCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1, the start of the interruption of E-UTRA PCell during SCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start of the interruption of E-UTRA PCell during SCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of E-UTRA PCell shall not be longer than the interruption duration specified for active BWP switch in clause 7.32.2.7 of TS 36.133 [15].

During T1, the start of the interruption of PSCell during SCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start of the interruption of PSCell during SCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of PSCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.6.2.

All of the above test requirements shall be fulfilled in order for the observed E-UTRA PCell and PSCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK/NACK in the first UL slot that occurs after the beginning of DL slot (*i+*TBWPswitchDelay+k1), (*j+*TBWPswitchDelay+k1), then the UE shall use the next available uplink resource for reporting the corresponding ACK/NACK.

**<END OF CHANGE 5>**

**<START OF CHANGE 6>**

#### A.4.5.6.2 RRC-based Active BWP Switch

A.4.5.6.2.1 E-UTRAN – NR PSCell FR1 DL active BWP switch in non-DRX in synchronous EN-DC

###### A.4.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement for RRC-based BWP switch defined in clause 8.6.3. Supported test configurations are shown in Table A.4.5.6.2.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1) and one PSCell (Cell 2) as given in Table A.4.5.6.2.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of PSCell are specified in Table A.4.5.6.2.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on E-UTRA PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC) and to Cell 2 (PSCell) on radio channel 2 (PSCC).

- UE has bandwidth part BWP-1 in its RRC-configuration for Cell 1 (PSCell).

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-1 of initial condition in PSCell.

All cells have constant signal levels throughout the test.

The test consists of 1 time period, with duration of T1.

During T1,

If the *RRCReconfiguration* is embedded in E-UTRA RRC message, time period T1 starts when a E-UTRA RRC message *RRCConnectionReconfiguration* with updated bandwidth part configuration, sent from the test equipment to the UE, is completely received at the UE side from PCell in PSCell’s slot # denoted *i*. Otherwise, i.e., if the *RRCReconfiguration* is not embedded in E-UTRA RRC message, time period T1 starts when a *RRCReconfiguration* with updated bandwidth part configuration, sent from the test equipment to the UE, is completely received at the UE side in from PSCell in PSCell’s slot # denoted *i*. The UE shall reconfigure its bandwidth part with the updated bandwidth part BWP-1 of final condition.

The UE shall be able to receive PDSCH on PSCell from on the first DL slot that occurs after PSCell’s DL slot as defined in clause 8.6.3 and starts to report valid ACK/NACK for the PSCell from the first UL slot that occurs after the beginning of DL slot. The UE shall be continuously scheduled on PSCell’s BWP-1 starting from the first DL slot occurs after the begining of DL slot .

*TRRCprocessingDelay* and *TBWPswitchDelayRRC* are defined in clause 8.6.3.

The test equipment verifies the DL BWP switch time in PSCell by counting the time from the time when the RRCReconfiguration message including updated BWP configurationis is sent till the time when a vaild ACK/NACK is received.

**<END OF CHANGE 6>**

**<START OF CHANGE 7>**

#### A.4.5.3.2 SCell Activation and deactivation of known SCell in FR1 for 640 ms SCell measurement cycle

##### A.4.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.4.5.3.1.1. The supported test configurations are the same as defined in clause A.4.5.3.1.1. The test parameters are the same except those described in the following clause. The listed parameter values in Tables A.4.5.3.2.1-1 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-2.

Table A.4.5.3.2.1-1: General test parameters for known FR1 SCell activation case, 640 ms SCell measurement cycle

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| SCell measurement cycle (measCycleSCell) | ms | 640 |  |

##### A.4.5.3.2.2 Test Requirements

The test requirements defined in clause A.4.5.3.1.2 shall apply to this test case, except Tactivation\_time will be replaced with the value TFirstSSB\_MAX + Trs + 5ms.

**<END OF CHANGE 7>**

**<START OF CHANGE 8>**

A.4.7.5 SFTD accuracy

#### A.4.7.5.1 SFTD accuracy

##### A.4.7.5.1.1 Test Purpose and Environment

The purpose of this set of tests is to verify that the SFTD measurement accuracy is within the specified limits. This test will verify the requirements as specified in clause 9.1.27 in TS 36.133 [15] for EN-DC SFTD measurements.

##### A.4.7.5.1.2 Test Parameters

Supported test configurations are shown in Table A.4.7.5.1.2-1. In this set of test cases there are two cells on different carriers. Cell 1 is E-UTRAN PCell and Cell 2 is NR FR1 PSCell. The test parameters of cell 1 are given in clause A.3.7.2.1. The test parameters of cell 2 are given in Table A.4.7.5.1.2-2. The SFTD between PCell and PSCell shall be set by the test equipment to one of the time differences in Table A.4.7.5.1.2-3.

Table A.4.7.5.1.2-1: Supported test configurations for SFTD accuracy

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD |
| 2 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD |
| 3 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD |
| 4 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD |
| 5 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD |
| 6 | NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD |
| Note: The UE is only required to be tested in one of the supported test configurations | |

Table A.4.7.5.1.2-2: Test parameters for SFTD accuracy

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | **Config** | **Unit** | **Test 1** |
| SSB GSCN | | 1~6 |  | freq1 |
| Duplex mode | | 1,4 |  | FDD |
| 2,5 | TDD |
| 3,6 | TDD |
| TDD Configuration | | 1,4 |  | N/A |
| 2,5 | TDDConf.1.1 |
| 3,6 | TDDConf.2.1 |
| BWchannel | | 1,4 | MHz | 10: NRB,c = 52 |
| 2,5 | 10: NRB,c = 52 |
| 3,6 | 40: NRB,c = 106 |
| PDSCH Reference measurement channel | | 1,4 |  | SR.1.1 FDD |
| 2,5 | SR.1.1 TDD |
| 3,6 | SR.2.1 TDD |
| RMSI CORESET Reference Channel | | 1,4 |  | CR.1.1 FDD |
| 2,5 | CR.1.1 TDD |
| 3,6 | CR.2.1 TDD |
| RMC CORESET Reference Channel | | 1,4 |  | CCR.1.1 FDD |
| 2,5 | CCR.1.1 TDD |
| 3,6 | CCR.2.1 TDD |
| SSB configuration | | 1,4 |  | SSB.1 FR1 |
| 2,5 | SSB.1 FR1 |
| 3,6 | SSB.2 FR1 |
| SMTC configuration | | 1~6 |  | SMTC.1 |
| DL BWP configuration | | 1~6 |  | DLBWP.1.1 |
| UL BWP configuration | | 1~6 |  | ULBWP.1.1 |
| CSI-RS for tracking | | 1,4 |  | TRS.1.1 FDD |
| 2,5 |  | TRS.1.1 TDD |
| 3,6 |  | TRS.1.2 TDD |
| OCNG Patterns | | 1~6 |  | OP.1 |
| EPRE ratio of PSS to SSS | | 1~6 | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS | |
| EPRE ratio of PBCH to PBCH DMRS | |
| EPRE ratio of PDCCH DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH DMRS | |
| EPRE ratio of PDSCH DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH DMRS | |
| EPRE ratio of OCNG DMRS to SSSNote 1 | |
| EPRE ratio of OCNG to OCNG DMRS Note 1 | |
| Note2 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1~6 | dBm/15kHz | -104 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| Note2 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1,2,4,5 | dBm/SSB SCS | -104 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 3,6 | -101 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
|  | | 1~6 | dB | -3 |
|  | | 1~6 | dB | -3 |
| SS-RSRP Note3 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1,2,4,5 | dBm/SCS | -107 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 3,6 | -104 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| Io Note3 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1,2,4,5 | dBm/9.36 MHz | -74.28 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 3,6 | dBm/38.16 MHz | -68.18 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| Propagation condition | | 1~6 |  | AWGN |
| Antenna configuration | | 1~6 |  | 1x2 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 5: The test configuration excludes support for band n51 and it is not required to run this test on band n51 in this release of the specification. | | | | |

Table A.4.7.5.1.2-3: Timing offsets for SFTD accuracy test

|  |  |  |
| --- | --- | --- |
| Condition | SFN offset between PCell and PSCell | Frame boundary offset between PCell and PSCell (Ts) |
| 1 | 100 | -122000 |
| 2 | 300 | -60540 |
| 3 | 500 | 1000 |
| 4 | 700 | 62540 |
| 5 | 900 | 124000 |

**<END OF CHANGE 8>**

**<START OF CHANGE 9>**

#### A.5.5.1.1 Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with SSB-based RLM RS in non-DRX mode

##### A.5.5.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.5.5.1.1.1-1. The test parameters are given in Tables A.5.5.1.1.1-2, A.5.5.1.1.1-3, and A. 5.5.1.1.1-4 below. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.1.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states, and Figure A.5.5.1.1.1-2 shows the Time multiplexed downlink transmissions from each Angle of Arrival. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In addition to RLM-RS radio link monitoring using SSB index 0 and SSB index 1, the UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 1.

Table A.5.5.1.1.1-1: Supported test configurations for FR2 PSCell

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

Table A.5.5.1.1.1-2: General test parameters for FR2 out-of-sync testing in non-DRX mode

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | | Unit | Value |
| Test 1 |
| Active E-UTRA PCell | | |  | Cell 1 |
| E-UTRA RF Channel Number | | |  | 1 |
| Active PSCell | | |  | Cell 2 |
| RF Channel Number | | |  | 2 |
| Duplex mode | | Config 1, 2 |  | TDD |
| BWchannel | | Config 1, 2 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1, 2 |  | 24 |
| DL initial BWP configuration | | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1, 2 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1, 2 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1, 2 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1, 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1, 2 |  | CCR.3.4 TDD |
| SSB Configuration | | Config 1, 2 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1, 2 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | | Config 1, 2 |  | 120 KHz |
| PRACH Configuration | | Config 1, 2 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1, 2 |  | 0,1 |
| OCNG parameters | | |  | OP.5 |
| CP length | | |  | Normal |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX | | |  | *OFF* |
| Gap pattern ID | | |  | *gp0* |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | *0* |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1, 2 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1, 2 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 9.68 |
| T3 | | | s | 9.68 |
| D1 | | | s | 9.64 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts.  Note 3: E-UTRAN is in non-DRX mode under test. | | | | |

Table A.5.5.1.1.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for out-of-sync radio link monitoring tests in non-DRX mode

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | |
| AoA1 | | | AoA2 | | |
| Assumption for UE beamsNote 5 | |  | Rough | | | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | | Not sent | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1, 2 | dB | 2Note 6 | -6Note 6 | -15 |
| ssb-Index 1 SNR | Config 1, 2 |  | Not sent | | | 2Note 6 | -15 | -15 |
|  | Config 1, 2 | dBm/ 15kHz | -92.1 | | | -92.1 | | |
| Time multiplexing of the downlink transmissions from each AoA | |  | Defined in Figure A.5.5.1.1.1-2 | | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | TDL-A 30ns 75Hz | | |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | |

Table A.5.5.1.1.1-4: Measurement gap configuration for out-of-sync tests in non-DRX mode

|  |  |
| --- | --- |
| Field | Test 1 |
| Value |
| gapOffset | 0 |
| Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap). | | |

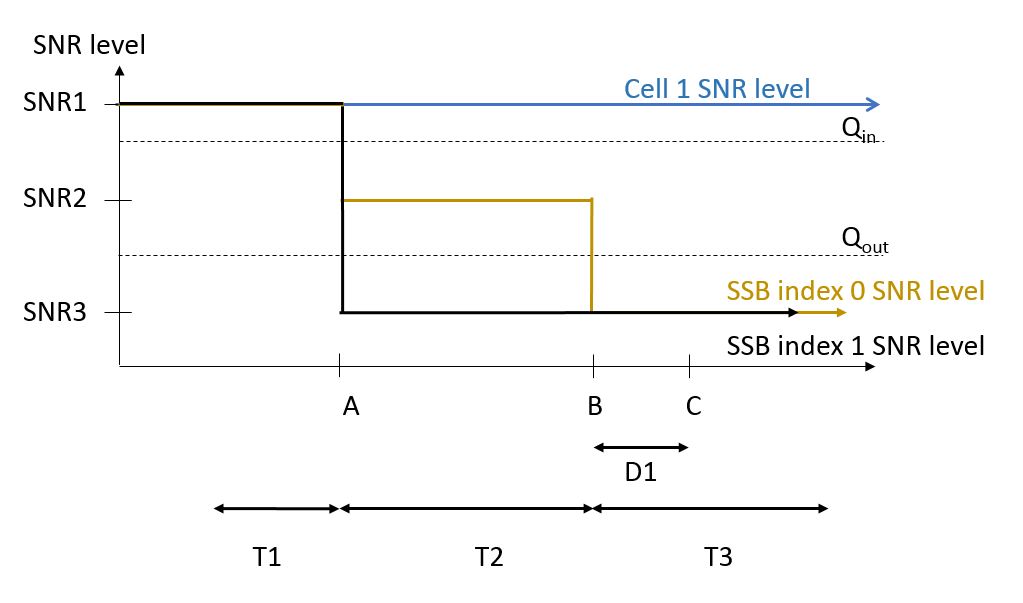


Figure A.5.5.1.1.1-1: SNR variation for out-of-sync testing



Figure A.5.5.1.1.1-2: Time multiplexed downlink transmissions

##### A.5.5.1.1.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal in Cell 2 no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.2 Radio Link Monitoring In-sync Test for FR2 PSCell configured with SSB-based RLM RS in non-DRX mode

##### A.5.5.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.5.5.1.2.1-1. The test parameters are given in Tables A.5.5.1.2.1-2, and A.5.5.1.2.1-3 below. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.2.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states, and Figure A.5.5.1.2.1-2 shows the Time multiplexed downlink transmissions from each Angle of Arrival. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms.

Table A.5.5.1.2.1-1: Supported test configurations for FR2 PSCell

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

Table A.5.5.1.2.1-2: General test parameters for FR2 in-sync testing in non-DRX mode

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | | Unit | Value |
| Test 1 |
| Active E-UTRA PCell | | |  | Cell 1 |
| E-UTRA RF Channel Number | | |  | 1 |
| Active PSCell | | |  | Cell 2 |
| RF Channel Number | | |  | 2 |
| Duplex mode | | Config 1, 2 |  | TDD |
| BWchannel | | Config 1, 2 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1, 2 |  | 24 |
| DL initial BWP configuration | | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1, 2 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1, 2 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1, 2 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1, 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1, 2 |  | CCR.3.1 TDD |
| SSB Configuration | | Config 1, 2 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1, 2 |  | SMTC.3 |
| PDSCH/PDCCH subcarrier spacing | | Config 1, 2 |  | 120 KHz |
| PRACH Configuration | | Config 1, 2 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1, 2 |  | 0,1 |
| OCNG parameters | | |  | OP.5 |
| CP length | | |  | Normal |
| In sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 0 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX | | |  | *OFF* |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | 4000 |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1, 2 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1, 2 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 0.2 |
| T3 | | | s | 1.88 |
| T4 | | | s | 0.2 |
| T5 | | | s | 3.84 |
| D1 | | | s | 3.8 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts.  Note 3: E-UTRAN is in non-DRX mode under test. | | | | |

Table A.5.5.1.2.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for in-sync radio link monitoring tests in non-DRX mode

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | | | | | |
| T1 | T2 | T3 | T4 | T5 | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | | | | | |
| AoA1 | | | | | AoA2 | | | | |
| Assumption for UE beamsNote 5 | |  | Rough | | | | | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | | Not sent | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1, 2 | dB | 2Note 6 | -6Note 6 | -15 | -4.5 | 2Note 6 |
| ssb-Index 1 SNR | Config 1, 2 |  | Not sent | | | | | 2Note 6 | -15 | -15 | -15 | -15 |
|  | Config 1, 2 | dBm/ 15kHz | -92.1 | | | | | -92.1 | | | | |
| Time multiplexing of the downlink transmissions from each AoA | |  | Defined in Figure A.5.5.1.2.1-2 | | | | | | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | | | | | |

Table A.5.5.1.2.1-4: Void

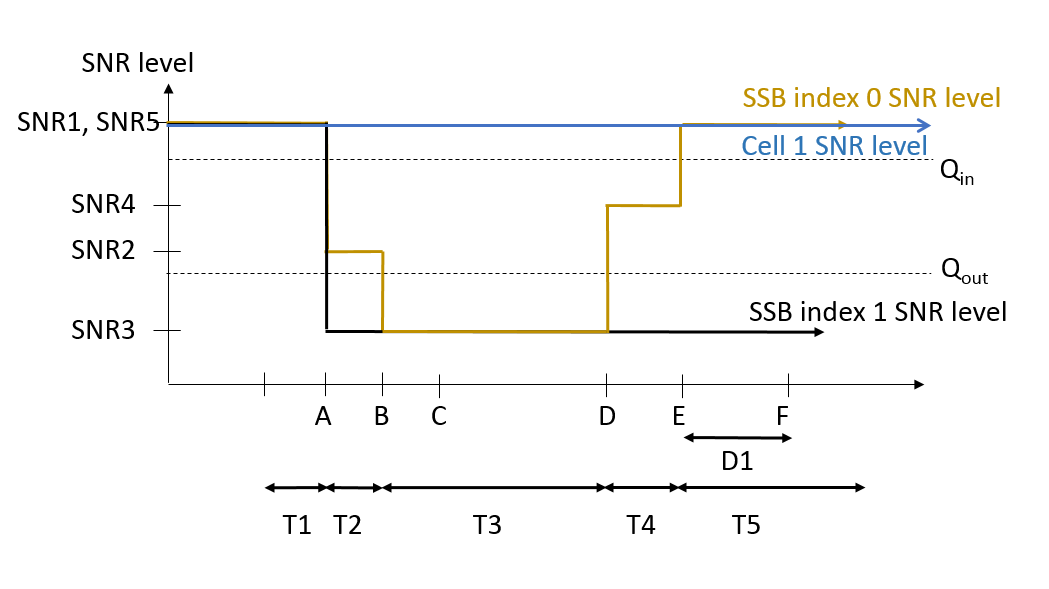


Figure A.5.5.1.2.1-1: SNR variation for in-sync testing



Figure A.5.5.1.2.1-2: Time multiplexed downlink transmissions

##### A.5.5.1.2.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.3 Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with SSB-based RLM RS in DRX mode

##### A.5.5.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.5.5.1.3.1-1. The test parameters are given in Tables A.5.5.1.3.1-2, and A.5.5.1.3.1-3. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.3.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

Table A.5.5.1.3.1-1: Supported test configurations for FR2 PSCell

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

Table A.5.5.1.3.1-2: General test parameters for FR2 out-of-sync testing in DRX mode

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | | Unit | Value |
| Test 1 |
| Active E-UTRA PCell | | |  | Cell 1 |
| E-UTRA RF Channel Number | | |  | 1 |
| Active PSCell | | |  | Cell 2 |
| RF Channel Number | | |  | 2 |
| Duplex mode | | Config 1, 2 |  | TDD |
| BWchannel | | Config 1, 2 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1, 2 |  | 66 |
| DL initial BWP configuration | | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1, 2 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1, 2 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1, 2 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1, 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1, 2 |  | CCR.3.4 TDD |
| SSB Configuration | | Config 1, 2 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1, 2 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | | Config 1, 2 |  | 120 KHz |
| PRACH Configuration | | Config 1, 2 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1, 2 |  | 0,1 |
| OCNG parameters | | |  | OP.1 |
| CP length | | |  | Normal |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX Configuration | | |  | DRX.3 |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | *0* |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1, 2 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1, 2 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 14.48 |
| T3 | | | s | 14.48 |
| D1 | | | s | 14.44 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts.  Note 3: E-UTRAN is in non-DRX mode under test. | | | | |

Table A.5.5.1.3.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for out-of-sync radio link monitoring tests in DRX mode

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | |
| T1 | T2 | T3 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | |
| Assumption for UE beamsNote 5 | |  | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1, 2 | dB | 2Note 6 | -6Note 6 | -15 |
| ssb-Index 1 SNR | Config 1, 2 | 2Note 6 | -15 | -15 |
|  | Config 1, 2 | dBm/15KHz | -104.7dBm | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | |

Table A.5.5.1.3.1-4: Void

Table A.5.5.1.3.1-5: Void

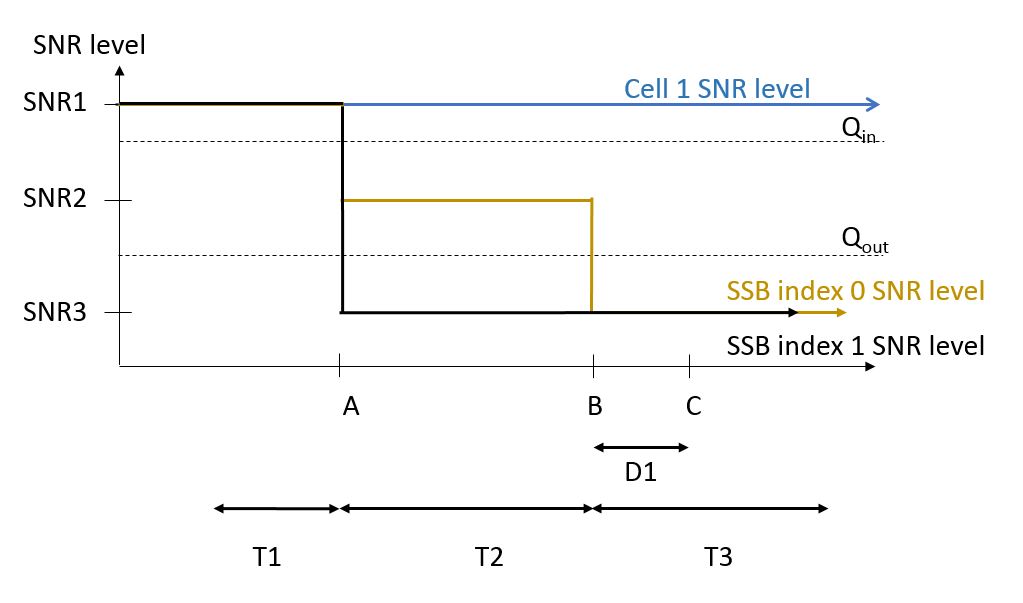


Figure A.5.5.1.3.1-1: SNR variation for out-of-sync testing

##### A.5.5.1.3.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal in Cell 2 no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.4 Radio Link Monitoring In-sync Test for FR2 PSCell configured with SSB-based RLM RS in DRX mode

##### A.5.5.1.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.5.5.1.4.1-1. The test parameters are given in Tables A.5.5.1.4.1-2, and A.5.5.1.4.1-3. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.4.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

Table A.5.5.1.4.1-1: Supported test configurations for FR2 PSCell

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

Table A.5.5.1.4.1-2: General test parameters for FR2 in-sync testing in DRX mode

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | | Unit | Value |
| Test 1 |
| Active E-UTRA PCell | | |  | Cell 1 |
| E-UTRA RF Channel Number | | |  | 1 |
| Active PSCell | | |  | Cell 2 |
| RF Channel Number | | |  | 2 |
| Duplex mode | | Config 1, 2 |  | TDD |
| BWchannel | | Config 1, 2 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1, 2 |  | 66 |
| DL initial BWP configuration | | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1, 2 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1, 2 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1, 2 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1, 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1, 2 |  | CCR.3.1 TDD |
| SSB Configuration | | Config 1, 2 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1, 2 |  | SMTC.3 |
| PDSCH/PDCCH subcarrier spacing | | Config 1, 2 |  | 120 KHz |
| PRACH Configuration | | Config 1, 2 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1, 2 |  | 0,1 |
| OCNG parameters | | |  | OP.1 |
| CP length | | |  | Normal |
| In sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 0 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX Configuration | | |  | DRX.11 |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | 4000 |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1, 2 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1, 2 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 0.2 |
| T3 | | | s | 2.8 |
| T4 | | | s | 0.2 |
| T5 | | | s | 3.88 |
| D1 | | | s | 3.84 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts.  Note 3: E-UTRAN is in non-DRX mode under test. | | | | |

Table A.5.5.1.4.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for in-sync radio link monitoring test in DRX mode

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
| T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 5 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1, 2 | dB | 2Note 6 | -6Note 6 | -15 | -4.5 | 2Note 6 |
| ssb-Index 1 SNR | Config 1, 2 | 2Note 6 | -15 | -15 | -15 | -15 |
|  | Config 1, 2 | dBm/15KHz | -104.7dBm | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.3  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

Table A.5.5.1.4.1-4: Void

Table A.5.5.1.4.1-5: Void

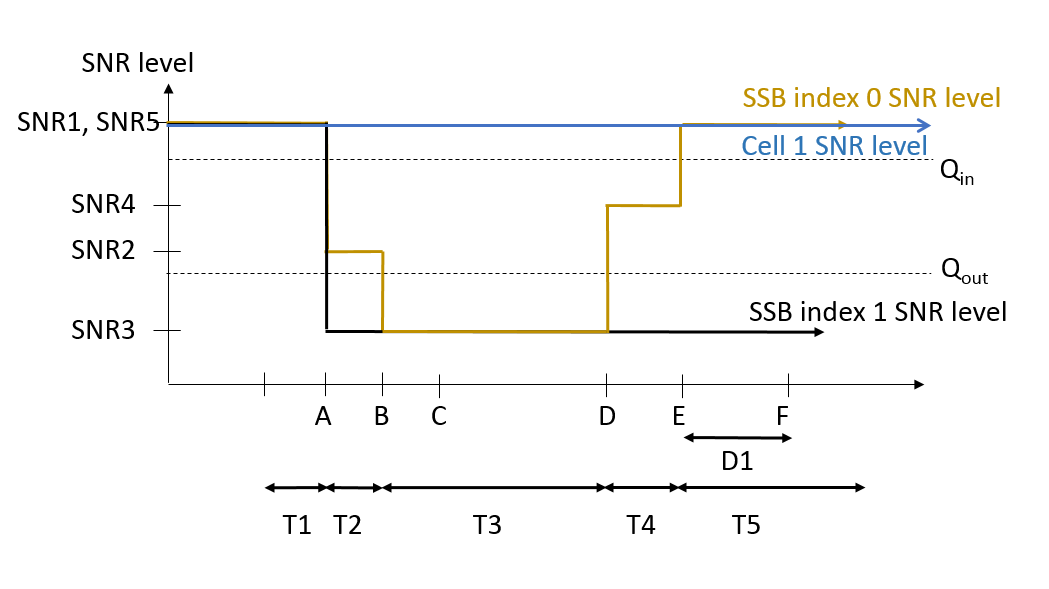


Figure A.5.5.1.4.1-1: SNR variation for in-sync testing.

##### A.5.5.1.4.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.5 EN-DC Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with CSI-RS-based RLM in non-DRX mode

A.5.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.5.1-1, A.5.5.1.5.1-2, A.5.5.1.5.1-3 and A.5.5.1.5.1-3A below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.5.1-1 shows the variation of the downlink SNR in the E-UTRAN PCell and the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms). In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.5.5.1.5.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.5.5.1.5.1-2: General test parameters for FR2 PSCell for CSI-RS out-of-sync testing in non-DRX mode**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active E-UTRA PCell | |  | Cell 1 |
| E-UTRA RF Channel Number | |  | 1 |
| Active PSCell | |  | Cell 2 |
| RF Channel Number | |  | 2 |
| Duplex Mode | |  | TDD |
| BWchannel | Config 1, 2 |  | 100: NRB,c = 66 |
| Data RBs allocated | Config 1, 2 |  | 24 |
| BWoccupied | Config 1, 2 |  | 24 |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| Config 2 | TDDConf.3.1 |
| DL initial BWP configuration | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1, 2 |  | DLBWP.1.4 |
| UL initial BWP configuration | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1, 2 |  | ULBWP.1.4 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
|  | Config 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.4 TDD  CCR.3.6 TDD |
| Config 2 | CCR.3.4 TDD  CCR.3.6 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| Config 2 | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| Config 2 | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| Config 2 | 120 KHz |
| CSI-RS for RLM | Config 1, 2 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| OCNG parameters | |  | OP.5 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | *OFF* |
| Gap pattern ID | |  | *gp0* |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | *0* |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| Config 2 | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 0.35 |
| T3 | | s | 0.35 |
| D1 | | s | 0.31 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts.  Note 2: E-UTRAN is in non-DRX mode under test. | | | |

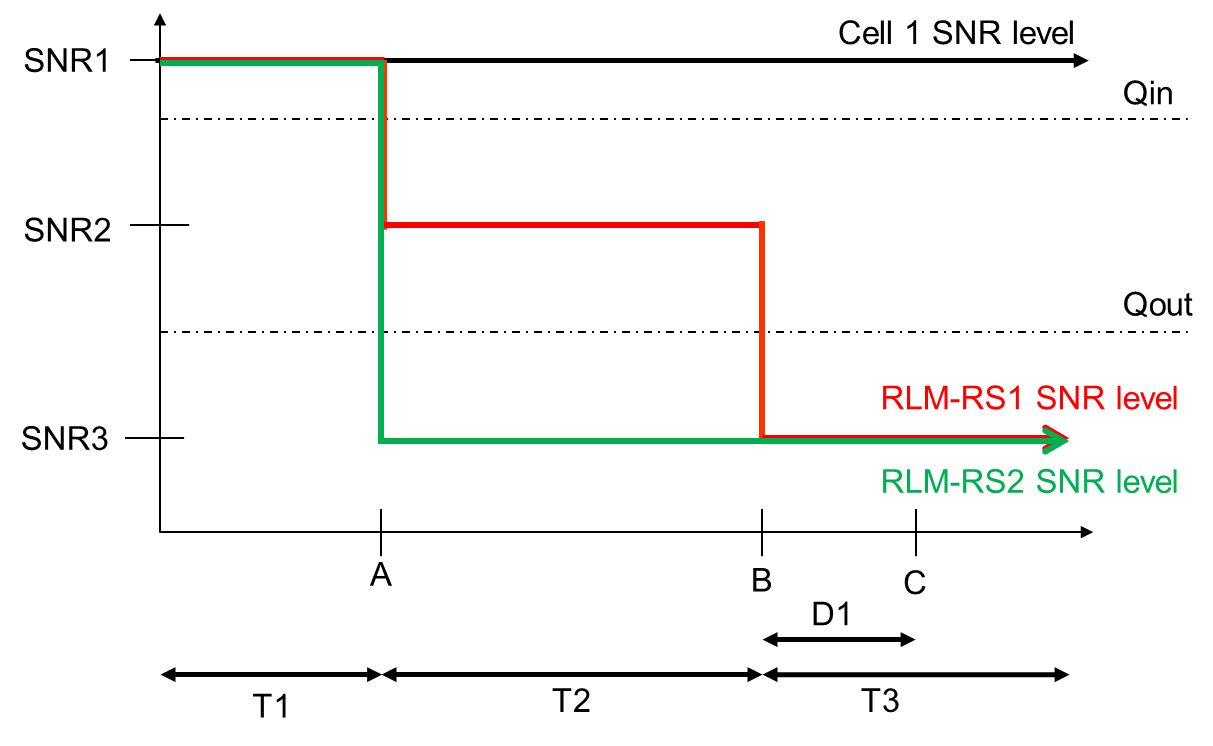
Table A.5.5.1.5.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in non-DRX mode

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | |
| AoA1 | | | AoA2 | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | | Not sent | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1, 2 | dB | 2Note 11 | -6Note 11 | -15 |
| SNR on RLM-RS2 | Config 1, 2 |  | Not sent | | | 2Note 11 | -14 | -15 |
|  | Config 1, 2 | dBm/ 15kHz | -92.1 | | | -92.1 | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | TDL-A 30ns 75Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.1.5.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | |

**Table A.5.5.1.5.1-3A: Measurement gap configuration for FR2 CSI-RS out-of-sync radio link monitoring in non-DRX mode**

|  |  |
| --- | --- |
| **Field** | **Test 1** |
| **Value** |
| gapOffset | 0 |
| Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap) | |

**Table A.5.5.1.5.1-4: Void**

****

**Figure A.5.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing**

A.5.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 2 (PSCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 2.

The UE shall stop transmitting uplink signal in Cell 2 (PSCell) no later than time point C (D1 after the start of the time duration T3) on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.6 EN-DC Radio Link Monitoring In-sync Test for FR2 PSCell configured with CSI-RS-based RLM in non-DRX mode

A.5.5.1.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.6.1-1, A.5.5.1.6.1-2, and A.5.5.1.6.1-3 below. There are two cells, cell 1which is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.6.1-1 shows the variation of the downlink SNR in the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms. In the test, DRX configuration is not enabled. In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.5.5.1.6.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.5.5.1.6.1-2: General test parameters for FR2 PSCell for CSI-RS in-sync testing in non-DRX mode**

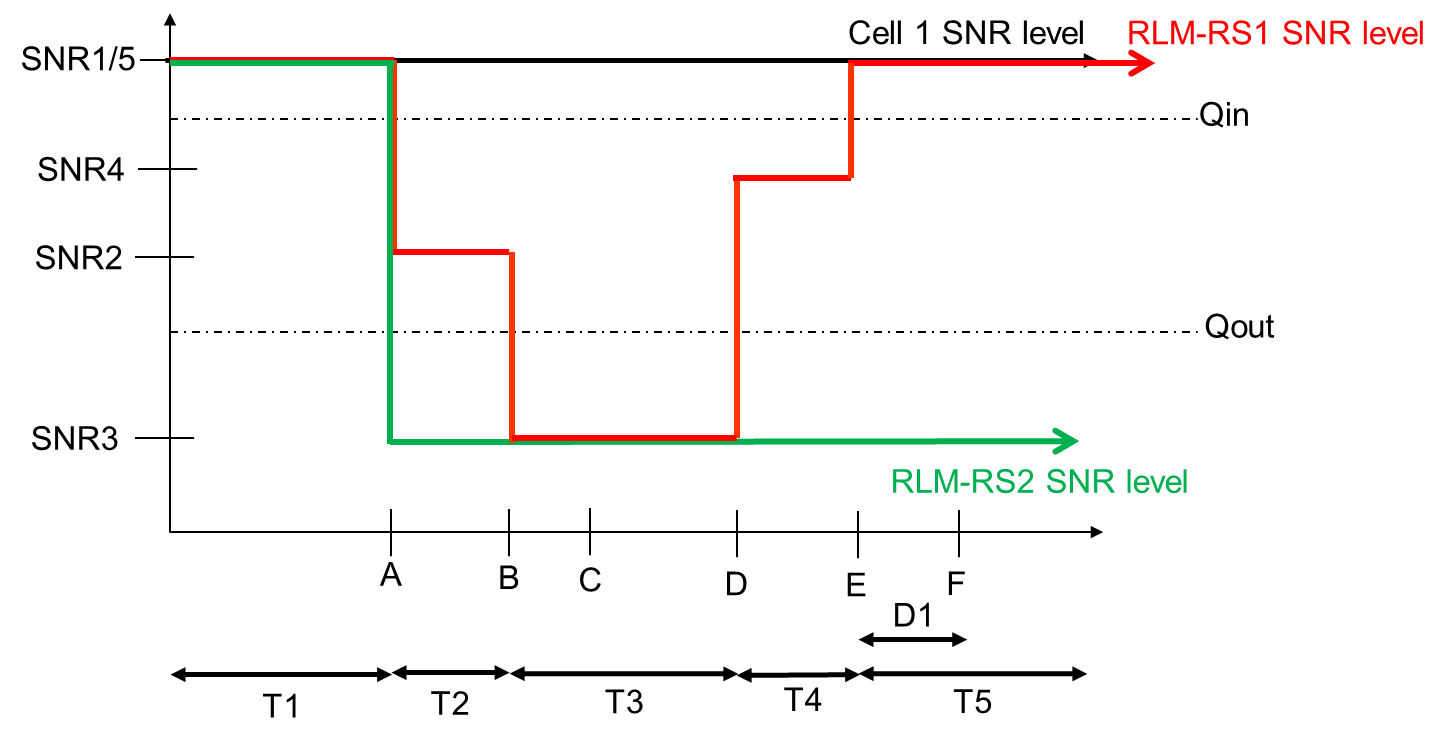
|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active E-UTRA PCell | |  | Cell 1 |
| E-UTRA RF Channel Number | |  | 1 |
| Active PSCell | |  | Cell 2 |
| RF Channel Number | |  | 2 |
| Duplex Mode | |  | TDD |
| BWchannel | Config 1, 2 |  | 100: NRB,c = 66 |
| Data RBs allocated | Config 1, 2 |  | 24 |
| BWoccupied | Config 1, 2 |  | 24 |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| Config 2 | TDDConf.3.1 |
| DL initial BWP configuration | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1, 2 |  | DLBWP.1.4 |
| UL initial BWP configuration | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1, 2 |  | ULBWP.1.4 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
|  | Config 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.1 TDD  CCR.3.3 TDD |
| Config 2 | CCR.3.1 TDD  CCR.3.3 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| Config 2 | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| Config 2 | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| Config 2 | 120 KHz |
| CSI-RS for RLM | Config 1, 2 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| OCNG parameters | |  | OP.5 |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| In sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 0 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | *OFF* |
| Gap pattern ID | |  | N.A. |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | 1000 |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| Config 2 | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 0.2 |
| T3 | | s | 0.24 |
| T4 | | s | 0.2 |
| T5 | | s | 0.88 |
| D1 | | s | 0.84 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts.  Note 2: E-UTRAN is in non-DRX mode under test. | | | |

Table A.5.5.1.6.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in non-DRX mode

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | | | | | |
| T1 | T2 | T3 | T4 | T5 | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | | | | | |
| AoA1 | | | | | AoA2 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | | Not sent | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1, 2 | dB | 2Note 11 | -6Note 11 | -15 | -4.5 | 2Note 11 |
| SNR on RLM-RS2 | Config 1, 2 |  | Not sent | | | | | 2Note 11 | -14 | -15 | -15 | -14 |
|  | Config 1, 2 | dBm/ 15KHz | -92.1 | | | | | -92.1 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.5.5.1.6.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | | | | | |

**Table A.5.5.1.6.1-3A: Void**

**Table A.5.5.1.6.1-4: Void**

****

**Figure A.5.5.1.6.1-1: SNR variation for CSI-RS in-sync testing**

A.5.5.1.6.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.7 EN-DC Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with CSI-RS-based RLM in DRX mode

A.5.5.1.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.7.1-1, A.5.5.1.7.1-2, and A.5.5.1.7.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.7.1-1 shows the variation of the downlink SNR in the E-UTRAN PCell and the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms. In the test, DRX configuration is enabled in PSCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.5.5.1.7.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.5.5.1.7.1-2: General test parameters for FR2 PSCell for CSI-RS out-of-sync testing in DRX mode**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Value** |
| **Test 1** |
| Active E-UTRA PCell | | |  | Cell 1 |
| E-UTRA RF Channel Number | | |  | 1 |
| Active PSCell | | |  | Cell 2 |
| RF Channel Number | | |  | 2 |
| Duplex Mode | | |  | TDD |
| TDD Configuration | | Config 1 |  | TDDConf.3.1 |
| Config 2 | TDDConf.3.1 |
| DL initial BWP configuration | | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1, 2 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1, 2 |  | ULBWP.1.1 |
| RMSI CORESET Reference Channel | | Config 1 |  | CR. 3.1 TDD |
|  | | Config 2 |  | CR. 3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1 |  | CCR. 3.4 TDD  CCR.3.6 TDD |
| Config 2 | CCR. 3.4 TDD  CCR.3.6 TDD |
| SSB Configuration | | Config 1 |  | SSB.1 FR2 |
| Config 2 | SSB.1 FR2 |
| SMTC Configuration | | Config 1 |  | SMTC.1 |
| Config 2 | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | | Config 1 |  | 120 KHz |
| Config 2 | 120 KHz |
| CSI-RS for RLM | | Config 1, 2 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | | |  | TCI.State.3 |
| OCNG parameters | | |  | OP.1 |
| CP length | | |  | Normal |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX | | |  | DRX.3 |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | *0* |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | Config 1 | |  | CSI-RS.3.1 TDD |
| Config 2 | | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| T1 | | | s | 0.2 |
| T2 | | | s | 1.28 |
| T3 | | | s | 1.28 |
| D1 | | | s | 1.24 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts.  Note 2: E-UTRAN is in non-DRX mode under test. | | | | |

**Table A.5.5.1.7.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in DRX mode**

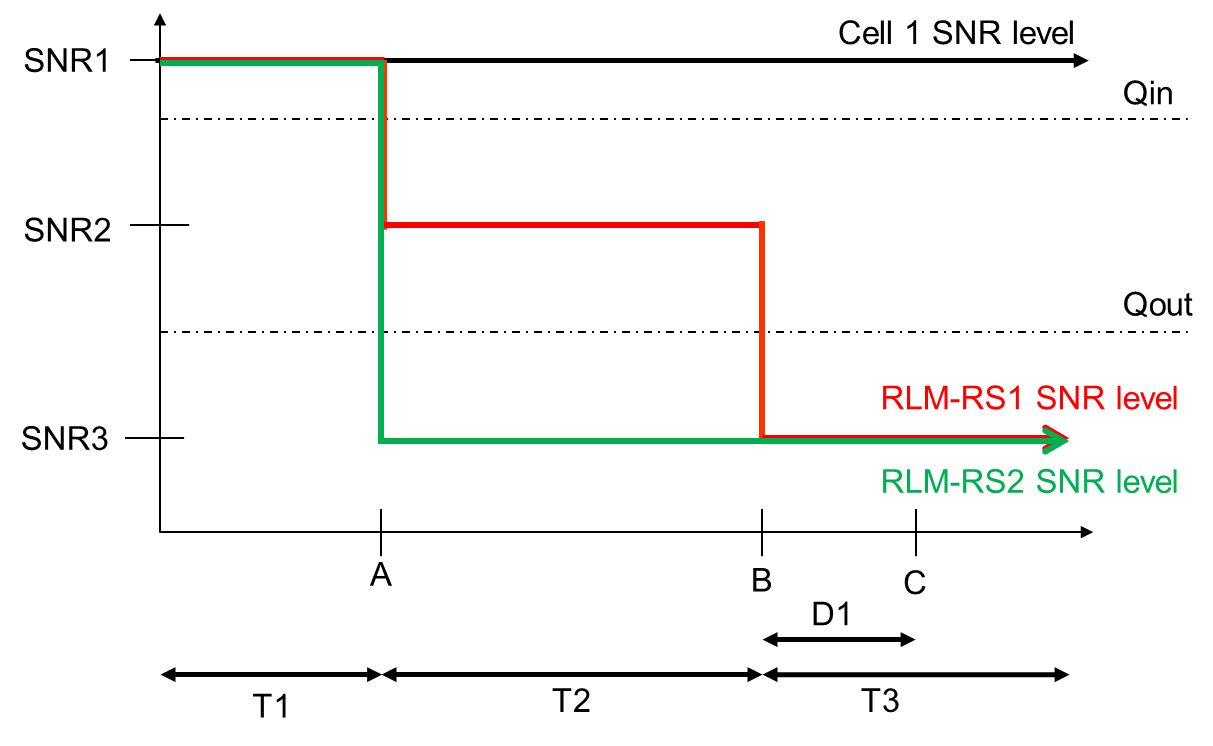
|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** | | |
| **T1** | **T2** | **T3** |
| AoA setup | |  | Setup 1 defined in A.3.15 | | |
| Assumption for UE beamsNote 10 | |  | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1, 2 | dB | 2Note 11 | -6Note 11 | -15 |
| SNR on RLM-RS2 | Config 1, 2 | 2Note 11 | -14 | -15 |
|  | Config 1 | dBm/15KHz | -104.7 | | |
| Config 2 | -104.7 | | |
| Propagation condition | |  | DL-A 30ns 75Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.1.7.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | |

**Table A.5.5.1.7.1-3A: Void**

**Table A.5.5.1.7.1-4: Void**

**Table A.5.5.1.7.1-5: Void**

**Table A.5.5.1.7.1-6: Void**

****

**Figure A.5.5.1.7.1-1: SNR variation for CSI-RS out-of-sync testing**

A.5.5.1.7.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 2 (PSCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 2.

The UE shall stop transmitting uplink signal in Cell 2 (PSCell) no later than time point C (D1 after the start of the time duration T3) on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.8 EN-DC Radio Link Monitoring In-sync Test for FR2 PSCell configured with CSI-RS-based RLM in DRX mode

A.5.5.1.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.8.1-1, A.5.5.1.8.1-2, A.5.5.1.8.1-3 and A.5.5.1.8.1-3A below. There are two cells, cell 1which is the E-UTRAN PCell, and cell 2 is the NR PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.8.1-1 shows the variation of the downlink SNR in the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.5.5.1.8.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.5.5.1.8.1-2: General test parameters for FR2 PSCell for CSI-RS in-sync testing in non-DRX mode**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active E-UTRA PCell | |  | Cell 1 |
| E-UTRA RF Channel Number | |  | 1 |
| Active PSCell | |  | Cell 2 |
| RF Channel Number | |  | 2 |
| Duplex Mode | |  | TDD |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| Config 2 | TDDConf.3.1 |
| DL initial BWP configuration | Config 1, 2 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1, 2 |  | DLBWP.1.1 |
| UL initial BWP configuration | Config 1, 2 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1, 2 |  | ULBWP.1.1 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
|  | Config 2 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.1 TDD  CCR.3.3 TDD |
| Config 2 | CCR.3.1 TDD  CCR.3.3 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| Config 2 | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| Config 2 | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| Config 2 | 120 KHz |
| CSI-RS for RLM | Config 1, 2 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| OCNG parameters | |  | OP.1 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| In sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 0 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | DRX.3 |
| Gap pattern ID | |  | *gp0* |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | 2000 |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| Config 2 | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 0.2 |
| T3 | | s | 1.64 |
| T4 | | s | 0.2 |
| T5 | | s | 1.88 |
| D1 | | s | 1.84 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts.  Note 2: E-UTRAN is in non-DRX mode under test. | | | |

**Table A.5.5.1.8.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
| T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1, 2 | dB | 2Note 11 | -6Note 11 | -15 | -4.5 | 2Note 11 |
| SNR on RLM-RS2 | Config 1, 2 | dB | 2Note 11 | -14 | -15 | -15 | -14 |
|  | Config 1, 2 | dBm/15KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.5.5.1.8.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

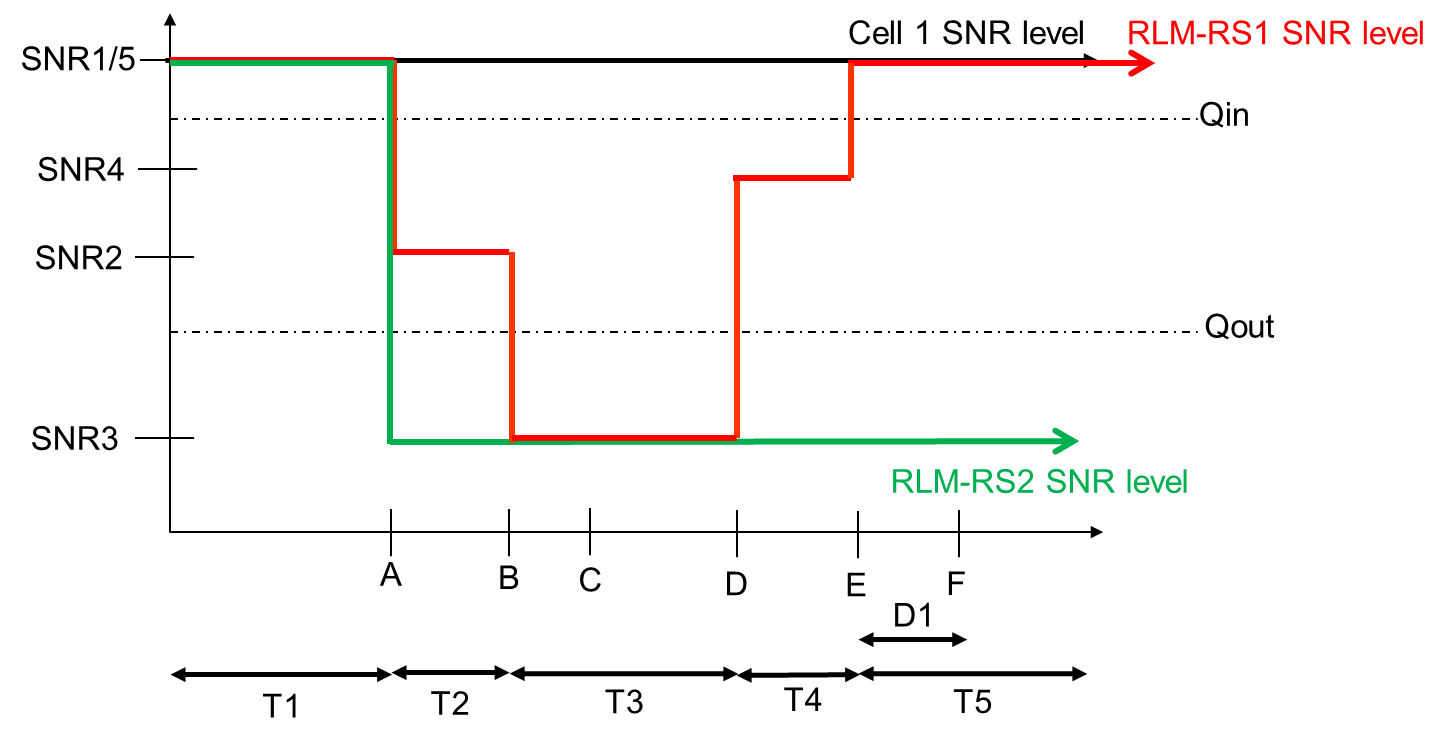
**Table A.5.5.1.8.1-3A: Measurement gap configuration for FR2 CSI-RS in-sync radio link monitoring in DRX mode**

|  |  |
| --- | --- |
| **Field** | **Test 1** |
| **Value** |
| gapOffset | 0 |
| Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap) | |

**Table A.5.5.1.8.1-4: Void**

**Table A.5.5.1.8.1-5: Void**

**Table A.5.5.1.8.1-6: Void**

****

**Figure A.5.5.1.8.1-1: SNR variation for CSI-RS in-sync testing**

##### A.5.5.1.8.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

**<END OF CHANGE 9>**

**<START OF CHANGE 10>**

A.5.5.2.1 E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC

A.5.5.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that when E-UTRA PCell is in DRX and NR PSCell is in non-DRX, NR PSCell interruptions due to transitions from active to non-active and from non-active to active during LTE PCell DRX the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in clause 8. 2.1.2. Supported test configurations are shown in table A.5.5.2.1.1-1.

The general test parameters are given in Table A.5.5.2.1.1-2, and NR cell specific test parameters are given in Table A.5.5.2.1.1-3 and A.5.5.2.1.1-4. The E-UTRAN PCell DRX configuration parameters are given in Table A.5.5.2.1.1-5 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.2-1. In the test there are two cells: Cell1 and Cell2. Cell1 is LTE PCell on and Cell2 is NR FR2 PSCell. The test consists of one time period, with duration of T1. During T1, NR PSCell is continuously scheduled in DL while LTE PCell is not scheduled and has DRX configured. Prior to the start of the time duration T1, Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. Prior to start of T1 the DRX inactivity timer for the LTE PCell has already expired. During T1 the UE shall be continuously scheduled on NR PSCell while not scheduled on LTE PCell. PDCCH indicating a new transmission on PSCell shall be sent continuously during the entire time duration to ensure UE would not enter DRX state on PSCell.

**Table A.5.5.2.1.1-1: Interruption at transitions between active and non-active during DRX supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.5.5.2.1.1-2: General test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| RF Channel Number |  | 1, 2 | One is E-UTRAN RF channel and the other is NR RF channel |
| Active PCell |  | Cell1 | PCell on E-UTRAN RF channel number 1. |
| Configured PSCell |  | Cell2 | PSCell on NR RF channel number 2. |
| CP length |  | Normal | Applicable to cell1 and cell 2 |
| DRX |  | DRX.4 | DRX related parameters are defined in Table A.3.3.4-1 |
| Measurement gap pattern Id |  | OFF |  |
| T1 | s | 6.25 |  |

**Table A.5.5.2.1.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 2** |
| Frequency Range | |  | FR2 |
| Duplex mode | Config 1,2 |  | TDD |
| TDD configuration | Config 1,2 |  | TDDConf.3.1 |
| BWchannel | Config 1,2 | MHz | 100: NRB,c = 66 |
| Data RBs allocated | Config 1,2 |  | 66 |
| Downlink initial BWP Configuration | Config 1,2 |  | DLBWP.0.1 |
| Downlink dedicated BWP Configuration | Config 1,2 |  | DLBWP.1.1 |
| Uplink initial BWP configuration | Config 1,2 |  | ULBWP.0.1 |
| Uplink dedicated BWP configuration | Config 1,2 |  | ULBWP.1.1 |
| TRS configuration | Config 1,2 |  | TRS.2.1 TDD |
| TCI state | Config 1,2 |  | TCI.State.0 |
| PDSCH Reference measurement channel | Config 1,2 |  | SR.3.1 TDD |
| RMSI CORESET Reference Channel | Config 1,2 |  | CR.3.1 TDD |
| RMC CORESET Reference Channel | Config 1,2 |  | CCR.3.1 TDD |
| OCNG Patterns | |  | OP.1 |
| SSB Configuration | |  | SSB.3 FR2 |
| SMTC Configuration | Config 1,2 |  | SMTC.1 |
| EPRE ratio of PSS to SSS | | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS | |  |  |
| EPRE ratio of PBCH to PBCH DMRS | |  |  |
| EPRE ratio of PDCCH DMRS to SSS | |  |  |
| EPRE ratio of PDCCH to PDCCH DMRS | |  |  |
| EPRE ratio of PDSCH DMRS to SSS | |  |  |
| EPRE ratio of PDSCH to PDSCH | |  |  |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |  |  |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |  |  |
| Ês/Noc | | dB | 17 |
| Propagation Condition | |  | AWGN |
| Time offset to cell1 Note 2 | | μs | 3 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells | | | |

**Table A.5.5.2.1.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Cell2** |
| Angle of arrival configuration |  | Setup 1 according to clause A.3.15.1 |
| Assumption for UE beamsNote 6 |  | Fine |
| Note1 | dBm/15kHzNote4 | -112 |
| Note1 | dBm/SCSNote3 | -102.97 |
|  | dB | 17 |
| SSB\_RPNote2 | dBm/SCS Note4 | -85.97 |
|  | dB | 17 |
| IoNote2 | dBm/95.04 MHz Note4 | -56.90 |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: SS B\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone  Note 6: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | |

**Table A.5.5.2.1.1-5: Void**

A.5.5.2.1.2 Test Requirements

The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.

Interruption on NR PSCell shall not exceed 0.625ms (5 slots) as defined in clause 8. 2.1.

The rate of correct events observed during repeated tests shall be at least 90%.

A.5.5.2.2 E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC

A.5.5.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that when LTE PCell is in DRX and NR PSCell is in non-DRX, NR PSCell interruptions due to transitions from active to non-active and from non-active to active during LTE PCell DRX the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in clause 8. 2.1.2. Supported test configurations are shown in table A.5.5.2.2.1-1.

The general test parameters are given in Table A.5.5.2.2.1-2, and NR cell specific test parameters are given in Table A.5.5.2.2.1-3 and A.5.5.2.2.1-4. The E-UTRAN PCell DRX configuration parameters are given in Table A.5.5.2.2.1-5 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.2-1. In the test there are two cells: Cell1 and Cell2. Cell1 is LTE PCell and Cell2 is NR PSCell. The test consists of one time period, with duration of T1. During T1, NR PSCell is continuously scheduled in DL while LTE PCell is not scheduled and has DRX configured. Prior to the start of the time duration T1, Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. Prior to start of T1 the DRX inactivity timer for the LTE PCell has already expired. During T1 the UE shall be continuously scheduled on NR PSCell while not scheduled on LTE PCell. PDCCH indicating a new transmission on PSCell shall be sent continuously during the entire time duration to ensure UE would not enter DRX state on PSCell.

**Table A.5.5.2.2.1-1: Interruption at transitions between active and non-active during DRX supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.5.5.2.2.1-2: General test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| RF Channel Number |  | 1, 2 | One is E-UTRAN RF channel and the other is NR RF channel |
| Active PCell |  | Cell1 | PCell on E-UTRAN RF channel number 1. |
| Configured PSCell |  | Cell2 | PSCell on NR RF channel number 2. |
| CP length |  | Normal | Applicable to cell1 and cell 2 |
| DRX |  | DRX.6 | DRX related parameters are defined in Table A.3.3.6-1 |
| Measurement gap pattern Id |  | OFF |  |
| T1 | s | 6.25 |  |

**Table A.5.5.2.2.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 2** |
| Frequency Range | |  | FR2 |
| Duplex mode | Config 1,2 |  | TDD |
| TDD configuration | Config 1,2 |  | TDDConf.3.1 |
| BWchannel | Config 1,2 | MHz | 100: NRB,c = 66 |
| Data RBs allocated | Config 1,2 |  | 66 |
| Downlink initial BWP Configuration | Config 1,2 |  | DLBWP.0.1 |
| Downlink dedicated BWP Configuration | Config 1,2 |  | DLBWP.1.1 |
| Uplink initial BWP configuration | Config 1,2 |  | ULBWP.0.1 |
| Uplink dedicated BWP configuration | Config 1,2 |  | ULBWP.1.1 |
| TRS configuration | Config 1,2 |  | TRS.2.1 TDD |
| TCI state | Config 1,2 |  | TCI.State.0 |
| PDSCH Reference measurement channel | Config 1,2 |  | SR.3.1 TDD |
| RMSI CORESET Reference Channel | Config 1,2 |  | CR.3.1 TDD |
| RMC CORESET Reference Channel | Config 1,2 |  | CCR.3.1 TDD |
| OCNG Patterns | |  | OP.1 |
| SSB Configuration | |  | SSB.3 FR2 |
| SMTC Configuration | Config 1,2 |  | SMTC.1 |
| EPRE ratio of PSS to SSS | | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS | |  |  |
| EPRE ratio of PBCH to PBCH DMRS | |  |  |
| EPRE ratio of PDCCH DMRS to SSS | |  |  |
| EPRE ratio of PDCCH to PDCCH DMRS | |  |  |
| EPRE ratio of PDSCH DMRS to SSS | |  |  |
| EPRE ratio of PDSCH to PDSCH | |  |  |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |  |  |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |  |  |
| Ês/Noc | | dB | 17 |
| Propagation Condition | |  | AWGN |
| Time offset to cell1 Note 2 | | μs | 62.5 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells | | | |

**Table A.5.5.2.2.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Cell2** |
| Angle of arrival configuration |  | Setup 1 according to clause A.3.15.1 |
| Assumption for UE beamsNote 6 |  | Fine |
| Note1 | dBm/15kHzNote4 | -112 |
| Note1 | dBm/SCSNote3 | -102.97 |
|  | dB | 17 |
| SS B\_RPNote2 | dBm/SCS Note4 | -85.97 |
|  | dB | 17 |
| IoNote2 | dBm/95.04 MHz Note4 | -56.90 |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: SS B\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone  Note 6: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | |

**Table A.5.5.2.2.1-5: Void**

A.5.5.2.2.2 Test Requirements

The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.

Interruption on NR PSCell shall not exceed 0.625ms (5 slots) as defined in clause 8. 2.1.

The rate of correct events observed during repeated tests shall be at least 90%.

**<END OF CHANGE 10>**

**<START OF CHANGE 11>**

#### A.5.5.3.1 SCell Activation and deactivation of SCell in FR2 intra-band

##### A.5.5.3.1.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.4.5.3.1.1 except the SCell is in FR2 intra-band.

The supported test configurations are shown in table A.5.5.3.1.1-1 below. The general and cell specific test parameters are the same except those described in the following clause. The listed parameter values in Tables A.5.5.3.1.1-2 and A.5.5.3.1.1-3 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-2 and A.4.5.3.1.1-3. In this case, OTA related test parameters are shown in table A.5.5.3.1.1-4 below.

In this test it is assumed that the UE is receiving RRC messages pertaining to the SCell in SCG via signaling on SRB3.

**Table A.5.5.3.1.1-1: Supported test configurations for FR2 SCell activation case with FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | FDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations | |

**Table A.5.5.3.1.1-2: General test parameters for FR2 SCell activation case with FR2 PSCell**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| Active PCell |  | Cell 1 | Primary cell on E-UTRAN RF channel number 1.  As specified in clause A.3.7.2.2 |

**Table A.5.5.3.1.1-3: Cell specific test parameters for FR2 SCell activation case with FR2 PSCell**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **ParameterNote 5** | **Unit** | **Cell 2** | | | **Cell 3** | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | **T3** |
| SSB ARFCN |  | freq1 | | | freq2 | | |
| Duplex mode |  | TDD | | | TDD | | |
| TDD configuration |  | TDDConf.3.1 | | | TDDConf.3.1 | | |
| BWchannel | MHz | 100: NRB,c = 66 | | | 100: NRB,c = 66 | | |
| Data RBs allocated |  | 66 | | | 66 | | |
| PDSCH Reference measurement channel |  | SR.3.1 TDD | | | SR.3.1 TDD | | |
| RMSI CORESET Reference Channel |  | CR.3.1 TDD | | | CR.3.1 TDD | | |
| RMC CORESET Reference Channel |  | CCR.3.1 TDD | | | CCR.3.1 TDD | | |
| DL initial BWP configuration |  | DLBWP.0.1 | | | | | |
| DL dedicated BWP configuration |  | DLBWP.1.1 | | | | | |
| UL initial BWP configuration |  | ULBWP.0.1 | | | | | |
| UL dedicated BWP configuration |  | ULBWP.1.1 | | | | | |
| OCNG Patterns |  | OP.1 | | | | | |
| SMTC configuration |  | SMTC.1 | | | | | |
| SSB configuration |  | SSB.1 FR2 | | | | | |
| TCI state |  | TCI.State.0 | | | | | |
| TRS configuration |  | TRS.2.1 TDD | | | | | |
| CSI-RS configuration for CSI reporting |  | CSI-RS.3.1 TDD | | | | | |
| reportConfigType |  | periodic | | | N/A | | |
| reportQuantity |  | cri-RI-PMI-CQI | | | N/A | | |
| CSI reporting periodicity | slot | 40 | | | N/A | | |
| CSI reporting offset | slot | 4 | | | N/A | | |
| PDSCH/PDCCH subcarrier spacing | kHz | 120 | | | | | |
| EPRE ratio of PSS to SSS | dB | 0 | | | | | |
| EPRE ratio of PBCH\_DMRS to SSS |
| EPRE ratio of PBCH to PBCH\_DMRS |
| EPRE ratio of PDCCH\_DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH\_DMRS |
| EPRE ratio of PDSCH\_DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH\_DMRS |
| EPRE ratio of OCNG DMRS to SSSNote 1 |
| EPRE ratio of OCNG to OCNG DMRS Note 1 |
| Propagation conditions |  | AWGN | | | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void  Note 3: Void  Note 4: Void  Note 5: All parameters apply for configuration 1 and 2 | | | | | | | |

**Table A.5.5.3.1.1-4: OTA related test parameters for FR2 SCell activation case with FR2 PSCell**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **ParameterNote 6** | **Unit** | **Cell 2** | | | **Cell 3** | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | **T3** |
| Angle of arrival configuration |  | Setup 1 according to A.3.15.1 | | | | | |
| Assumption for UE beamsNote 7 |  | Rough | | | Rough | | |
| Note1 | dBm/15kHzNote4 | -104.7 | | | -104.7 | | |
| Note1 | dBm/SCSNote3 | -95.7 | | | -95.7 | | |
|  | dB | 7 | | | 7 | | |
| SSB\_RPNote2 | dBm/SCS Note4 | -88.7 | | | -88.7 | | |
|  | dB | 7 | | | 7 | | |
| IoNote2 | dBm/95.04 MHz Note4 | -58.92 | | | -58.92 | | |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: All parameters apply for configuration 1 and 2  Note 7: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | | | | | | |

##### A.5.5.3.1.2 Test Requirements

The test requirements defined in clause A.4.5.3.1.2 shall apply to this test case.

#### A.5.5.3.2 SCell Activation and deactivation of known SCell in FR1 for 160ms SCell measurement cycle

##### A.5.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.4.5.3.1.1, except PSCell is in FR2.

The supported test configurations are shown in table A.5.5.3.2.1-1 below. The general test parameters are the same in Tables A.4.5.3.1.1-2. The cell specific test parameters are given in Tables A.5.5.3.2.1-2. In this case, OTA related test parameters are the same as in table A.5.5.3.2.1-3.

**Table A.5.5.3.2.1-1: Supported test configurations for FR1 SCell activation case with PSCell is FR2**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | FDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode  Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | FDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode  Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode |
| 3 | FDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode  Cell 3 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| 4 | TDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode  Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 5 | TDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode  Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode |
| 6 | TDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode  Cell 3 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations | |

**Table A.5.5.3.2.1-2: Cell specific test parameters for FR1 SCell activation case with FR2 PSCell**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | Cell 2 | | | Cell 3 | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| SSB ARFCN | |  | freq2 | | | freq1 | | |
| Duplex mode | Config 1,4 |  | TDD | | | FDD | | |
| Config 2,3,5,6 | TDD | | | TDD | | |
| TDD configuration | Config 1,4 |  | TDDConf.3.1 | | | Not Applicable | | |
| Config 2,5 | TDDConf.1.1 | | |
| Config 3,6 | TDDConf.2.1 | | |
| BWchannel | Config 1,4 | MHz | 100: NRB,c = 66 | | | 10: NRB,c = 52 | | |
| Config 2,5 | 10: NRB,c = 52 | | |
| Config 3,6 | 40: NRB,c = 106 | | |
| Data RBs allocated | Config 1,4 |  | 66 | | | 52 | | |
| Config 2,5 | 52 | | |
| Config 3,6 | 106 | | |
| DL initial BWP configuration | Config 1,2,3,4,5,6 |  | DLBWP.0.1 | | | | | |
| DL dedicated BWP configuration | Config 1,2,3,4,5,6 |  | DLBWP.1.1 | | | | | |
| UL initial BWP configuration | Config 1,2,3,4,5,6 |  | ULBWP.0.1 | | | | | |
| UL dedicated BWP configuration | Config 1,2,3,4,5,6 |  | ULBWP.1.1 | | | | | |
| DRX Cycle | | ms | Not Applicable | | | | | |
| PDSCH Reference measurement channel | Config 1,4 |  | SR.3.1 TDD | | | SR.1.1 FDD | | |
| Config 2,5 | SR.1.1 TDD | | |
| Config 3,6 | SR.2.1 TDD | | |
| RMSI CORESET Reference Channel | Config 1,4 |  | CR.3.1 TDD | | | CR.1.1 FDD | | |
| Config 2,5 | CR.1.1 TDD | | |
| Config 3,6 | CR.2.1 TDD | | |
| RMC CORESET Reference Channel | Config 1,4 |  | CCR.3.1 TDD | | | CCR.1.1 FDD | | |
| Config 2,5 |  | CCR.1.1 TDD | | |
| Config 3,6 |  | CCR.2.1 TDD | | |
| OCNG Patterns | |  | OP.1 | | | | | |
| SMTC configuration | |  | SMTC.1 | | | | | |
| TCI state | |  | TCI.State.0 | | | NA | | |
| TRS configuration | Config 1,4 |  | TRS.2.1 TDD | | | TRS.1.1 FDD | | |
| Config 2,5 | TRS.1.1 TDD | | |
| Config 3,6 | TRS.1.2 TDD | | |
| SSB configuration | Config 1,2,4,5 |  | SSB.1 FR2 | | | SSB.1 FR1 | | |
| Config 3,6 | SSB.2 FR1 | | |
|  | Config 1,4 |  |  | | | CSI-RS.1.1 FDD | | |
| CSI-RS configuration for CSI reporting | Config 2,5 |  | CSI-RS.3.1 TDD | | | CSI-RS.1.1 TDD | | |
|  | Config 3,6 |  |  | | | CSI-RS.2.1 TDD | | |
| PDSCH/PDCCH subcarrier spacing | Config 1,2,4,5 | kHz | 120kHz | | | 15kHz | | |
| Config 3,6 | 30kHz | | |
| reportConfigType | Config 1-6 |  | periodic | | | N/A | | |
| reportQuantity | Config 1-6 |  | cri-RI-PMI-CQI | | | N/A | | |
| CSI reporting periodicity | Config 1,2,3,4,5,6 | slot | 40 | | | N/A | | |
| CSI reporting offset | Config 1,2,3,4,5,6 | slot | 4 | | | N/A | | |
| EPRE ratio of PSS to SSS | | dB | 0 | | | | | |
| EPRE ratio of PBCH DMRS to SSS | |
| EPRE ratio of PBCH to PBCH DMRS | |
| EPRE ratio of PDCCH DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH DMRS | |
| EPRE ratio of PDSCH DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH | |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |
| Propagation condition | | - | AWGN | | | NA  Link only, see clause A.3.7A | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void  Note 3: Void  Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.] | | | | | | | | |

**Table A.5.5.3.2.1-3: OTA related test parameters for FR1 SCell activation case with FR2 PSCell**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 2** | | | **Cell 3** | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | **T3** |
| Angle of arrival configuration | |  | Setup 1 according to clause A.3.15.1 | | | NA  Link only, see clause A.3.7A | | |
| Assumption for UE beamsNote 7 | |  | Rough | | |
| Note1 | | dBm/15kHz | -104.7 | | |
| Note1 | Config 1,2,4,5 | dBm/SCS | -95.7 | | |
| Config 3,6 |
| SSB\_RPNote2 | Config 1,2,4,5 | dBm/SCS Note3 | -88.7 | | |
| Config 3,6 |
|  | Config 1,2,3,4,5,6 | dB | 7 | | |
|  | | dB | 7 | | |
| IoNote2 | Config 1,2,4,5 | dBm/ChBwNote4,Note6 | -58.92 | | |
| Config 3,6 |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: ChBW is 95.04 MHz for Cell2, 9.36 MHz for Cell 3 in configurations 1,2,4,5, 38.1 MHz in configurations 3,6  Note 7: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | | | | | | | |

##### A.5.5.3.2.2 Test Requirements

The test requirements defined in clause A.4.5.3.1.2 shall apply to this test case, with the following exceptions:

- Placement of interruptions is only verified in NR PSCell.

**<END OF CHANGE 11>**

**<START OF CHANGE 12>**

#### A.5.5.3.5 SCell Activation and deactivation of SCell in FR2

##### A.5.5.3.5.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in clause 8.3, when the SCell is in FR2.

The supported test configurations are shown in table A.5.5.3.5.1-1 below. The test parameters are the same as in clause A.4.5.3.3.1 except those described in the following clause. The listed parameter values in Tables A.5.5.3.5.1-2 will replace the values of corresponding parameters in Tables A.4.5.3.3.1-2. The listed parameter values in Tables A.5.5.3.5.1-3 will replace the values of corresponding parameters in Tables A.4.5.3.3.1-3. In this case, OTA related test parameters are shown in table A.5.5.3.5.1-4 below.

The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are three carriers, E-UTRA has one cell (Cell 1), NR has two cells, PSCell (Cell 2) in FR1 and SCell (Cell 3) in FR2. Cell 1 and Cell 2 have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1 (PCell) on E-UTRAN and Cell 2 (PSCell) on NR, but is not aware of Cell 3 (SCell) on NR. The UE is monitoring the PCell and PSCell. The UE shall be continuously scheduled in the PCell and PSCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 3) becomes configured on NR. During T1 the SCell is powered off and UE is not aware of SCell.

A MAC message for activation of SCell is sent by the test equipment 100ms after the RRC message, in a slot # denoted m. The point in time at which the MAC message for activation of SCell is received at the UE antenna connector defines the start of time period T2.

During T2, the test equipment monitors the L1-RSRP measurement reporting for the SCell. The time when test equipment receives a valid L1-RSRP report is denoted as slot m+TL1-RSRP. In the next DL slot after slot m+TL1-RSRP, the test equipment sends a MAC message for the activation of the TCI state of the RMC CORESET of the SCell. In the same slot, the test equipment also sends an RRC message to configure the CSI-RS resources for SCell.

Time period T3 starts when a MAC message for deactivation of the SCell, sent from the test equipment to the UE in a slot # denoted n, is received at the UE antenna connector.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PCell and PSCell during activation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell1 deactivation command is sent until CSI reporting for SCell1 is discontinued.

Table A.5.5.3.5.1-1: FR2 SCell activation in non-DRX test configurations with FR1 PSCell

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | LTE FDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode  Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE FDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode  Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 3 | LTE FDD PCell, Cell 2 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode  Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode  Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 5 | LTE TDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode  Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 6 | LTE TDD PCell, Cell 2 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode  Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations | |

Table A.5.5.3.5.1-2: General test parameters for FR2 SCell activation case with FR1 PSCell

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| Active PCell |  | Cell 1 | Primary cell on E-UTRAN RF channel number 1.  As specified in clause A.3.7.2.2 |
| T2 | s | 2 | During this time the UE shall activate the SCell. |

Table A.5.5.3.5.1-3: Cell specific test parameters for FR2 SCell activation case with FR1 PSCell

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Cell 2 | | | Cell 3 | | | |
| T1 | T2 | T3 | T1 | T2 | | T3 |
| SSB ARFCN | |  | freq1 | | | freq2 | | | |
| Duplex mode | Config 1,4 |  | FDD | | | TDD | | | |
| Config 2,3,5,6 | TDD | | | TDD | | | |
| TDD configuration | Config 1,4 |  | Not Applicable | | | TDDConf.3.1 | | | |
| Config 2,5 | TDDConf.1.1 | | |
| Config 3,6 | TDDConf.2.1 | | |
| BWchannel | Config 1,4 | MHz | 10: NRB,c = 52 | | | 100: NRB,c = 66 | | | |
| Config 2,5 | 10: NRB,c = 52 | | |
| Config 3,6 | 40: NRB,c = 106 | | |
| Data RBs allocated | Config 1,4 |  | 52 | | | 66 | | | |
|  | Config 2,5 |  | 52 | | |  | | | |
|  | Config 3,6 |  | 106 | | |  | | | |
| BWP BW | Config 1,4 |  | 10: NRB,c = 52 | | | 100: NRB,c = 66 | | | |
| Config 2,5 | 10: NRB,c = 52 | | |
| Config 3,6 | 40: NRB,c = 106 | | |
| DRx Cycle | | ms | Not Applicable | | | | | | |
| PDSCH Reference measurement channel | Config 1,4 |  | SR.1.1 FDD | | | SR.3.1 TDD | | | |
| Config 2,5 | SR.1.1 TDD | | |
| Config 3,6 | SR.2.1 TDD | | |
| RMSI CORESET Reference Channel | Config 1,4 |  | CR.1.1 FDD | | | CR.3.1 TDD | | | |
| Config 2,5 | CR.1.1 TDD | | |
| Config 3,6 | CR.2.1 TDD | | |
| RMC CORESET Reference Channel | Config 1,4 |  | CCR.1.1 FDD | | | CCR.3.1 TDD | | | |
| Config 2,5 |  | CCR.1.1 TDD | | |
| Config 3,6 |  | CCR.2.1 TDD | | |
| OCNG Patterns | |  | OP.1 | | | | | | |
| SMTC configuration | |  | SMTC.1 | | | | | | |
| TCI state | |  | NA | | | TCI.State.0 | | | |
| TRS configuration | Config 1,4 |  | TRS.2.1 TDD | | | TRS.2.1 TDD | | | |
| Config 2,5 |  | TRS.1.1 TDD | | |
| Config 3,6 |  | TRS.1.2 TDD | | |
| SSB configuration | Config 1,2,4,5 |  | SSB.1 FR1 | | | SSB.1 FR2 | | | |
| Config 3,6 | SSB.2 FR1 | | |
| PDSCH/PDCCH subcarrier spacing | Config 1,2,4,5 | kHz | 15 kHz | | | 120 kHz | | | |
| Config 3,6 | 30 kHz | | |
| CSI-RS configuration | Config 1~6 |  | NA | | | NA | | CSI-RS.3.1 TDD Note 5 | |
| reportConfigType | Config 1~6 |  | periodic | | | NA | | | |
| reportQuantity | Config 1~6 |  | cri-RI-PMI-CQI | | | NA | | | |
| CSI reporting periodicity Note 6 | Config 1~6 | slot | 40 | | | NA | | | |
| CSI reporting offset | Config 1~6 | slot | 4 | | | NA | | | |
| EPRE ratio of PSS to SSS | | dB | 0 | | | | | | |
| EPRE ratio of PBCH DMRS to SSS | |
| EPRE ratio of PBCH to PBCH DMRS | |
| EPRE ratio of PDCCH DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH DMRS | |
| EPRE ratio of PDSCH DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH | |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |
| Propagation condition | | - | N/A  Link only, see clause A.3.7A | | | AWGN | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void  Note 3: Void  Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.  Note 5: CSI-RS for CSI measurement is (re)configured in the next DL slot after slot m+TL1-RSRP during T2.  Note 6: L1-RSRP measurement and reporting are configured to the the UE prior to the start of time period T1. | | | | | | | | | |

Table A.5.5.3.5.1-4: OTA related test parameters for FR2 SCell activation case with FR1 PSCell

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Cell 2** | | | **Cell 3** | | | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | | **T3** | |
| Angle of arrival configuration | | |  | NA | | | Setup 1 according to clause A.3.15.1 | | | | |
| Assumption for UE beamsNote 7 | | |  | NA | | | Rough | | | | |
| Note1 | | | dBm/15kHz | Link only, see clause A.3.7A | | | -104.7 | | | | |
| Note1 | | Config 1,2,4,5 | dBm/SCS | -95.7 | | | | |
| Config 3,6 |
| SSB\_RPNote2 | | Config 1,2,4,5 | dBm/SCS Note3 | -∞ | | -88.7 | | -88.7 |
| Config 3,6 |
|  | Config 1,2,3,4,5,6 | | dB | -∞ | | 7 | | 7 |
|  | | | dB | -∞ | | 7 | | 7 |
| IoNote2, Note 4 | Config 1,2,4,5 | | dBm/95.04 MHz | -66.68 | | -58.92 | | -58.92 |
| Config 3,6 | |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: Void  Note 7: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation. | | | | | | | | | | | |

##### A.5.5.3.5.2 Test Requirements

During T2 the UE shall send the first CSI report for SCell in the first available uplink resource after slot (m+k). UE is allowed to postpone CSI report to next available UL resource if an available uplink resource is subject to interruption. Whether CSI report in a slot was interrupted is checked by monitoring ACK/NACK sent in PSCell in the slot.

During T2 the UE shall start sending valid L1-RSRP report for the SCell in the configured slots for CSI reporting after slot (m+TL1-RSRP), where TL1-RSRP is no larger than

3ms + TFirstSSB\_MAX + 15\*TSMTC\_MAX + 8\*Trs + TL1-RSRP, measure + TL1-RSRP, report

as defined in clause 8.3.2. For this test case, TFirstSSB\_MAX=TSMTC\_MAX=Trs=20ms; TL1-RSRP, measure=480ms and TL1-RSRP, measure=5ms, which allows TL1-RSRP 1000ms.

During T2 the UE shall start sending CSI reports for the SCell with non-zero CQI index in the configured slots for CSI reporting no later than slot , where

- THARQ is defined in Table A.5.5.3.1.1-2

- Tactivation\_time = 3ms + TFirstSSB\_MAX + 15\*TSMTC\_MAX + 8\*Trs + TL1-RSRP, measure + TL1-RSRP, report + max {(THARQ + Tuncertainty\_MAC + 5ms + TFineTiming), (Tuncertainty\_RRC + TRRC\_delay)}, which allows 1030ms

- TCSI\_Reporting = 10ms

- NR slot length is 0.125ms for this test case.

During T3 the UE shall stop sending CSI reports for both SCells no later than slot , as defined in clause 8.3.

During T2 interruption of PSCell during SCell activation shall not happen outside the slot to , and interruption of E-UTRA PCell during SCell activation shall not happen outside the subframe to subframe, as defined in clause 8.3, where TX =20ms, and and are the index of the first and last subframe of E-UTRA PCell which overlaps with slot m.

During T3 the starting point of interruption of PSCell during SCell deactivation shall not happen outside the slot to , as defined in clause 8.3 and the starting point of interruption of E-UTRA PCell during SCell deactivation shall not happen outside the subframe to subframe , where and are the index of the first and last subframe of E-UTRA PCell which overlaps with slot n.

The interruption of PSCell due to activation of SCell1 and SCell2 shall not be more than the values specified for EN-DC in Clause 8.2.1.2.10.

The interruption of PCell due to activation of SCell1 and SCell2 shall not be more than the values specified for EN-DC in Clause 7.32.2.5 of TS 36.133 [50].

**<END OF CHANGE 12>**

**<START OF CHANGE 13>**

#### A.5.5.5.1 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with SSB-based BFD and LR in non-DRX mode

##### A.5.5.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set q0 configured for a serving PSCell and that the UE performs correct SSB-based link recovery based on beam candicate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.5.1.1-1, A.5.5.5.1.1-2, A.5.5.5.1.1-3 and A.5.5.5.1.1-4 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.5.1.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set q0 in the active PSCell to emulate SSB based beam failure. Figure A.5.5.5.1.1-1 additionally shows the variation of the downlink L1-RSRP of the SSB in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 1.

**Table A.5.5.5.1.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 2 | LTE TDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 3 | LTE FDD, TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth |
| 4 | LTE TDD, TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.5.5.5.1.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active E-UTRA PCell | | 1-4 |  | Cell 1 |  |
| E-UTRA RF Channel Number | | 1-4 |  | 1 |  |
| Active PCell | | 1-4 |  | Cell 2 |  |
| RF Channel Number | | 1-4 |  | 2 |  |
| Duplex mode | | 1-4 |  | TDD |  |
| TDD Configuration | | 1-4 |  | TDDConf.3.1 |  |
| BWchannel | | 1-4 | MHz | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-4 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-4 | kHz | 120 |  |
| DL initial BWP configuration | | 1-4 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-4 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-4 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-4 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1-2 |  | SR.3.2 TDD |  |
| 3-4 | SR.3.3 TDD |  |
| RMSI CORESET Reference Channel | | 1-2 |  | CR.3.1 TDD |  |
| 3-4 | CR.3.2 TDD |  |
| Dedicated CORESET Reference Channel | | 1-2 |  | CCR.3.1 TDD |  |
| 3-4 | CCR.3.7 TDD |  |
| OCNG parameters | | 1-4 |  | OP.1 |  |
| CP length | | 1-4 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-4 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-4 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1-2 |  | SSB.1 FR2 |  |
| 3-4 | SSB.2 FR2 |  |
| SMTC Configuration | | 1-4 |  | SMTC.3 |  |
| PRACH Configuration | | 1-4 |  | FR2 PRACH configuration 2 | A.3.8.3.2 |
| DRX configuration | | 1-4 |  | OFF |  |
| SSB index assigned as BFD RS (q0) | | 1-4 |  | 0 |  |
| SSB index assigned as CBD RS (q1) | | 1-4 |  | 1 |  |
| SSB index assigned as RLM RS | | 1-4 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1-4 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-4 |  | 2 |  |
| Aggregation level | 1-4 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-4 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-4 | dB | 0 |  |
| DMRS precoder granularity | 1-4 |  | REG bundle size |  |
| REG bundle size | 1-4 |  | 6 |  |
| Gap pattern ID | | 1-4 |  | gp0 |  |
| gapOffset | | 1-4 | ms | 0 |  |
| rlmInSyncOutOfSyncThreshold | | 1-4 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1-2 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| 3-4 | -92 |
| powerControlOffsetSS | | 1-4 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-4 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-4 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-4 |  | CSI-RS.3.1 TDD |  |
| reportConfigType | | 1-4 |  | periodic |  |
| reportQuantity | | 1-4 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-4 | slot | 40 |  |
| CSI reporting offset | | 1-4 | slot | 4 |  |
| T310 | | 1-4 | ms | 1000 |  |
| N310 | | 1-4 |  | 2 |  |
| T1 | | 1-4 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-4 | s | 2.61 |  |
| T3 | | 1-4 | s | 1.64 |  |
| T4 | | 1-4 | s | 0 |  |
| T5 | | 1-4 | s | 1.01 |  |
| D1 | | 1-4 | s | 0.97 |  |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.5.5.5.1.1-3: Cell specific test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_SSB of set q0 | Config 1-4 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_SSB of set q1 | Config 1-4 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| SSB\_RP of set q1 | Config 1-2 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 3-4 |  | -101.5 | -101.5 | -81.5 | -81.5 | -81.5 |
|  | Config 1-4 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.5.1.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

**Table A.5.5.5.1.1-4: Void**

****

**Figure A.5.5.5.1.1-1: SNR and L1-RSRP variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

##### A.5.5.5.1.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 960+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.5.2 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with SSB-based BFD and LR in DRX mode

##### A.5.5.5.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set q0 configured for a serving PSCell and that the UE performs correct SSB-based link recovery based on beam candidate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.5.2.1-1, A.5.5.5.2.1-2, A.5.5.5.2.1-3, A.5.5.5.2.1-4 and A.5.5.5.2.1-5 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.5.2.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set q0 in the active PSCell to emulate SSB based beam failure. Figure A.5.5.5.2.1-1 additionally shows the variation of the downlink L1-RSRP of the SSB in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled in PCSell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.5.5.5.2.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 2 | LTE TDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 3 | LTE FDD, TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth |
| 4 | LTE TDD, TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.5.5.5.2.1-2: General test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active E-UTRA PCell | | 1-4 |  | Cell 1 |  |
| E-UTRA RF Channel Number | | 1-4 |  | 1 |  |
| Active PCell | | 1-4 |  | Cell 2 |  |
| RF Channel Number | | 1-4 |  | 2 |  |
| Duplex mode | | 1-4 |  | TDD |  |
| TDD Configuration | | 1-4 |  | TDDConf.3.1 |  |
| BWchannel | | 1-4 | MHz | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-4 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-4 | kHz | 120 |  |
| DL initial BWP configuration | | 1-4 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-4 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-4 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-4 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1-2 |  | SR.3.2 TDD |  |
| 3-4 | SR.3.3 TDD |  |
| RMSI CORESET Reference Channel | | 1-2 |  | CR.3.1 TDD |  |
| 3-4 | CR.3.2 TDD |  |
| Dedicated CORESET Reference Channel | | 1-2 |  | CCR.3.1 TDD |  |
| 3-4 | CCR.3.7 TDD |  |
| OCNG parameters | | 1-4 |  | OP.1 |  |
| CP length | | 1-4 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-4 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-4 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1-2 |  | SSB.1 FR2 |  |
| 3-4 | SSB.2 FR2 |  |
| SMTC Configuration | | 1-4 |  | SMTC.3 |  |
| PRACH Configuration | | 1-4 |  | FR2 PRACH configuration 2 | A.3.8.3.2 |
| DRX configuration | | 1-4 |  | DRX.3 | A.3.3.3 |
| SSB index assigned as BFD RS (q0) | | 1-4 |  | 0 |  |
| SSB index assigned as CBD RS (q1) | | 1-4 |  | 1 |  |
| SSB index assigned as RLM RS | | 1-4 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1-4 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-4 |  | 2 |  |
| Aggregation level | 1-4 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-4 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-4 | dB | 0 |  |
| DMRS precoder granularity | 1-4 |  | REG bundle size |  |
| REG bundle size | 1-4 |  | 6 |  |
| Gap pattern ID | | 1-4 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1-4 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1-2 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| 3-4 | -92 |
| powerControlOffsetSS | | 1-4 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-4 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-4 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-4 |  | CSI-RS.3.1 TDD |  |
| reportConfigType | | 1-4 |  | periodic |  |
| reportQuantity | | 1-4 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-4 | slot | 40 |  |
| CSI reporting offset | | 1-4 | slot | 4 |  |
| T310 | | 1-4 | ms | 1000 |  |
| N310 | | 1-4 |  | 2 |  |
| T1 | | 1-4 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-4 | s | 3.37 |  |
| T3 | | 1-4 | s | 2.8 |  |
| T4 | | 1-4 | s | 0 |  |
| T5 | | 1-4 | s | 0.61 |  |
| D1 | | 1-4 | s | 0.57 |  |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.5.5.5.2.1-3: Cell specific test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_SSB of set q0 | Config 1-4 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_SSB of set q1 | Config 1-4 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| SSB\_RP of set q1 | Config 1-2 | dBm/ | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 3-4 | SCS | -101.5 | -101.5 | -81.5 | -81.5 | -81.5 |
|  | Config 1-4 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.5.2.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

**Table A.5.5.5.2.1-4: Void**

**Table A.5.5.5.2.1-5: Void**

****

**Figure A.5.5.5.2.1-1: SNR and L1-RSRP variation for SSB-based beam failure detection and link recovery testing in non-DRX mode**

##### A.5.5.5.2.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 560+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.5.3 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with CSI-RS-based BFD and LR in non-DRX mode

##### A.5.5.5.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set q0 configured for a serving PSCell and that the UE performs correct CSI-RS-based link recovery based on beam candicate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.5.3.1-1, A.5.5.5.3.1-2, and A.5.5.5.3.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.5.3.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the CSI-RS in set q0 in the active PSCell to emulate CSI-RS based beam failure. Figure A.5.5.5.3.1-1 additionally shows the variation of the downlink L1-RSRP of the CSI-RS in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is not enabled.

Table A.5.5.5.3.1-1: Supported test configurations for FR2 PSCell

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 2 | LTE TDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

Table A.5.5.5.3.1-2: General test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active E-UTRA PCell | | 1-2 |  | Cell 1 |  |
| E-UTRA RF Channel Number | | 1-2 |  | 1 |  |
| Active PCell | | 1-2 |  | Cell 2 |  |
| RF Channel Number | | 1-2 |  | 2 |  |
| Duplex mode | | 1-2 |  | TDD |  |
| TDD Configuration | | 1-2 |  | TDDConf.3.1 |  |
| BWchannel | | 1-2 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-2 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-2 | kHz | 120 |  |
| DL initial BWP configuration | | 1-2 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-2 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-2 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-2 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1-2 |  | SR.3.2 TDD |  |
| RMSI CORESET Reference Channel | | 1-2 |  | CR.3.1 TDD |  |
| Dedicated CORESET Reference Channel | | 1-2 |  | CCR.3.1 TDD |  |
| OCNG parameters | | 1-2 |  | OP.1 |  |
| CP length | | 1-2 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-2 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-2 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1-2 |  | SSB.1 FR2 |  |
| SMTC Configuration | | 1-2 |  | SMTC.3 |  |
| PRACH Configuration | | 1-2 |  | FR2 PRACH configuration 4 | A.3.8.3.4 |
| DRX configuration | | 1-2 |  | OFF |  |
| CSI-RS configuration for BFD/CBD/RLM | | 1-2 |  | CSI-RS.3.2 TDD | A.3.14.2 |
| CSI-RS index assigned as BFD RS (q0) | | 1-2 |  | 0 |  |
| CSI-RS index assigned as CBD RS (q1) | | 1-2 |  | 1 |  |
| CSI-RS index assigned as RLM RS | | 1-2 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1-2 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-2 |  | 2 |  |
| Aggregation level | 1-2 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-2 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-2 | dB | 0 |  |
| DMRS precoder granularity | 1-2 |  | REG bundle size |  |
| REG bundle size | 1-2 |  | 6 |  |
| Gap pattern ID | | 1-2 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1-2 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1-2 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| powerControlOffsetSS | | 1-2 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-2 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-2 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-2 |  | CSI-RS.3.1 TDD | A.3.14.2 |
| reportConfigType | | 1-2 |  | periodic |  |
| reportQuantity | | 1-2 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-2 | slot | 40 |  |
| CSI reporting offset | | 1-2 | slot | 4 |  |
| T310 | | 1-2 | ms | 1000 |  |
| N310 | | 1-2 |  | 2 |  |
| T1 | | 1-2 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-2 | s | 1.17 |  |
| T3 | | 1-2 | s | 0.9 |  |
| T4 | | 1-2 | s | 0 |  |
| T5 | | 1-2 | s | 0.31 |  |
| D1 | | 1-2 | s | 0.27 |  |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.5.5.5.3.1-3: Cell specific test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_CSI-RS of set q0 | Config 1-2 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_CSI-RS of set q1 | Config 1-2 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| CSI-RS\_RP of set q1 | Config 1-2 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 1-2 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the REs carrying CSI-RS.Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.5.3.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

**Table A.5.5.5.3.1-4: Void**

**Table A.5.5.5.3.1-5: Void**



Figure A.5.5.5.3.1-1: SNR and L1-RSRP variation for CSI-RS based beam failure detection and link recovery testing in non-DRX mode

##### A.5.5.5.3.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 260+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.5.4 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with CSI-RS-based BFD and LR in DRX mode

##### A.5.5.5.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set q0 configured for a serving PSCell and that the UE performs correct CSI-RS-based link recovery based on beam candicate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.5.4.1-1, A.5.5.5.4.1-2, A.5.5.5.4.1-3, and A.5.5.5.4.1-4 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.5.4.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the CSI-RS in set q0 in the active PSCell to emulate CSI-RS based beam failure. Figure A.5.5.5.4.1-1 additionally shows the variation of the downlink L1-RSRP of the CSI-RS in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.5.5.5.4.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 2 | LTE TDD, FDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.5.5.5.4.1-2: General test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active E-UTRA PCell | | 1-2 |  | Cell 1 |  |
| E-UTRA RF Channel Number | | 1-2 |  | 1 |  |
| Active PCell | | 1-2 |  | Cell 2 |  |
| RF Channel Number | | 1-2 |  | 2 |  |
| Duplex mode | | 1-2 |  | TDD |  |
| TDD Configuration | | 1-2 |  | TDDConf.3.1 |  |
| BWchannel | | 1-2 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-2 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-2 | kHz | 120 |  |
| DL initial BWP configuration | | 1-2 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-2 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-2 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-2 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1-2 |  | SR.3.2 TDD |  |
| RMSI CORESET Reference Channel | | 1-2 |  | CR.3.1 TDD |  |
| Dedicated CORESET Reference Channel | | 1-2 |  | CCR.3.1 TDD |  |
| OCNG parameters | | 1-2 |  | OP.1 |  |
| CP length | | 1-2 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-2 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-2 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1-2 |  | SSB.1 FR2 |  |
| SMTC Configuration | | 1-2 |  | SMTC.3 |  |
| PRACH Configuration | | 1-2 |  | FR2 PRACH configuration 4 | A.3.8.3.4 |
| DRX configuration | | 1-2 |  | DRX.3 | A.3.3.3 |
| CSI-RS configuration for BFD/CBD/RLM | | 1-2 |  | CSI-RS.3.2 TDD | A.3.14.2 |
| CSI-RS index assigned as BFD RS (q0) | | 1-2 |  | 0 |  |
| CSI-RS index assigned as CBD RS (q1) | | 1-2 |  | 1 |  |
| CSI-RS index assigned as RLM RS | | 1-2 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1-2 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-2 |  | 2 |  |
| Aggregation level | 1-2 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-2 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-2 | dB | 0 |  |
| DMRS precoder granularity | 1-2 |  | REG bundle size |  |
| REG bundle size | 1-2 |  | 6 |  |
| Gap pattern ID | | 1-2 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1-2 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1-2 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| powerControlOffsetSS | | 1-2 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-2 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-2 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-2 |  | CSI-RS.3.1 TDD | A.3.14.2 |
| reportConfigType | | 1-2 |  | periodic |  |
| reportQuantity | | 1-2 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-2 | slot | 40 |  |
| CSI reporting offset | | 1-2 | slot | 4 |  |
| T310 | | 1-2 | ms | 1000 |  |
| N310 | | 1-2 |  | 2 |  |
| T1 | | 1-2 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-2 | s | 5.43 |  |
| T3 | | 1-2 | s | 5.16 |  |
| T4 | | 1-2 | s | 0 |  |
| T5 | | 1-2 | s | 0.31 |  |
| D1 | | 1-2 | s | 0.27 |  |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.5.5.5.4.1-3: Cell specific test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_CSI-RS of set q0 | Config 1-2 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_CSI-RS of set q1 | Config 1-2 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| CSI-RS\_RP of set q1 | Config 1-2 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 1-2 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the REs carrying CSI-RS.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.5.4.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

Table A.5.5.5.4.1-4: Void

Table A.5.5.5.4.1-5: Void

Table A.5.5.5.4.1-6: Void

****

**Figure A.5.5.5.4.1-1: SNR and L1-RSRP variation for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

##### A.5.5.5.4.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 260+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.5.5 EN-DC scheduling availability restriction during Beam Failure Detection and Link Recovery for FR2 PSCell configured with SSB-based BFD and LR in non-DRX mode

##### A.5.5.5.5.1 Test Purpose and Environment

The purpose is to test scheduling availability restrictions when the UE is performing beam failure detection or when the UE is performing L1-RSRP measurement for candidate beam detection, when no DRX is used. This test will verify the scheduling availability restriction requirements for SSB based beam failure detection and link recovery for an FR2 serving cell in clause 8.5.7 and 8.5.8.

The test parameters are given in Tables A.5.5.5.5.1-1, A.5.5.5.5.1-2 and A.5.5.5.5.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.5.5.1-3 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set q0 in the active PSCell to emulate SSB based beam failure. Figure A.5.5.5.5.1-3 additionally shows the variation of the downlink L1-RSRP of the SSB in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms. This test will focus on the scheduling availability during beam failure detection and candidate beam detection. In the test, DRX configuration is not enabled. Test is to test the scheduling availability restriction of UE performing beam failure detection and candidate beam detection when SSB RS configured for Beam failure detection and candidate beam detection. During the test the UE is scheduled to transmit continuously in UL.

**Table A.5.5.5.5.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| 3 | LTE FDD, NR 240 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, NR 240 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.5.5.5.5.1-2: General test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active E-UTRA PCell | | 1-4 |  | Cell 1 |  |
| E-UTRA RF Channel Number | | 1-4 |  | 1 |  |
| Active PCell | | 1-4 |  | Cell 2 |  |
| RF Channel Number | | 1-4 |  | 2 |  |
| Duplex mode | | 1-4 |  | TDD |  |
| TDD Configuration | | 1-4 |  | TDDConf.3.1 |  |
| BWchannel | | 1-4 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-4 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-4 | kHz | 120 |  |
| DL initial BWP configuration | | 1-4 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-4 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-4 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-4 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1-2 |  | SR.3.2 TDD |  |
| 3-4 | SR.3.3 TDD |  |
| RMSI CORESET Reference Channel | | 1-2 |  | CR.3.1 TDD |  |
| 3-4 | CR.3.2 TDD |  |
| Dedicated CORESET Reference Channel | | 1-2 |  | CCR.3.1 TDD |  |
| 3-4 | CCR.3.7 TDD |  |
| OCNG parameters | | 1-4 |  | OP.1 |  |
| CP length | | 1-4 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-4 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-4 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1-2 |  | SSB.1 FR2 |  |
| 3-4 | SSB.2 FR2 |  |
| SMTC Configuration | | 1-4 |  | SMTC.1 |  |
| PRACH Configuration | | 1-4 |  | FR2 PRACH configuration 2 | A.3.8.3.2 |
| DRX configuration | | 1-4 |  | OFF |  |
| SSB index assigned as BFD RS (q0) | | 1-4 |  | 0 |  |
| SSB index assigned as CBD RS (q1) | | 1-4 |  | 1 |  |
| Beam failure detection transmission parameters | DCI format | 1-4 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-4 |  | 2 |  |
| Aggregation level | 1-4 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-4 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-4 | dB | 0 |  |
| DMRS precoder granularity | 1-4 |  | REG bundle size |  |
| REG bundle size | 1-4 |  | 6 |  |
| Gap pattern ID | | 1-4 |  | N/A | No measurement gap is configured |
| rlmInSyncOutOfSyncThreshold | | 1-4 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1-2 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| 3-4 | -92 |
| powerControlOffsetSS | | 1-4 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-4 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-4 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-4 |  | CSI-RS.3.1 TDD |  |
| reportConfigType | | 1-4 |  | periodic |  |
| reportQuantity | | 1-4 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-4 | slot | 40 |  |
| CSI reporting offset | | 1-4 | slot | 4 |  |
| T310 | | 1-4 | ms | 1000 |  |
| N310 | | 1-4 |  | 2 |  |
| T1 | | 1-4 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-4 | s | 2.6 |  |
| T3 | | 1-4 | s | 1.64 |  |
| T4 | | 1-4 | s | 0 |  |
| T5 | | 1-4 | s | 1.01 |  |
| D1 | | 1-4 | s | 0.97 |  |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.5.5.5.5.1-3: Cell specific test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beamsNote 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_SSB of set q0 | Config 1-4 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_SSB of set q1 | Config 1-4 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| SSB\_RP of set q1 | Config 1-2 | dBm/ | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 3-4 | SCS | -101.5 | -101.5 | -81.5 | -81.5 | -81.5 |
|  | Config 1-4 | dBm/120 kHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.5.5.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

****

**Figure A.5.5.5.5.1-1: SNR and L1-RSRP variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

##### A.5.5.5.5.2 Test Requirements

The UE behaviour during time duration T3 follows the requirements defined in clause 8.5.7.3:

* The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on BFD-RS symbols to be measured for beam failure detection.

The UE behaviour during time durations T4 and T5 follows the requirements defined in clause 8.5.8.3:

* The UE is not expected to transmit PUCCH/PUSCH or receive PDCCH/PDSCH on reference symbols to be measured for candidate beam detection.

**<END OF CHANGE 13>**

**<START OF CHANGE 14>**

##### A.5.5.6.1.2 E-UTRAN – NR PSCell FR2 with FR2 SCell DL active BWP switch in non-DRX in synchronous EN-DC

###### A.5.5.6.1.2.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6.2, and interruption requirements for NR victim cell defined in clause 8.2.1.2. 7. Supported test configurations are shown in Table A.5.5.6.1.2.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), one PSCell (Cell 2) and one SCell (Cell 3) as given in Table A.5.5.6.1.2.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of PSCell and SCell are specified in Table A.5.5.6.1.2.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on E-UTRA PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) and SCell (Cell 3) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 3 and the time duration of T2.

Before the test starts,

- UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC), Cell 2 (PSCell) on radio channel 2 (PSCC) and Cell 3 (SCell) on radio channel 3 (SCC).

- UE is configured with 2 different UE-specific downlink bandwidth parts for SCell, BWP-1 and BWP-2, in Cell 3 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

- UE is configured with 1 UE-specific downlink bandwidth parts the same as initial BWP for PSCell, BWP-0 in Cell 2 before starting the test.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-1 in SCell.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-0 in PSCell.

- UE is configured with a *bwp-InactivityTimer* timer value for SCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for SCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in SCell’s slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH on the first DL slot that occurs after the beginning of SCell’s DL slot (*i+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PSCell no later than the first UL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-2 starting from the first DL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay).

PSCell(Cell 2) interruption due to BWP switch on SCell shall occur within the BWP switch delay.

During T2, the test equipment won’t transmit DCI format for PDSCH reception on SCell(Cell 3).

During T3,

The time period T3 starts from the slot #*j*, where j is the first slot of the half subframe immediately after *bwp-InactivityTimer* timer expires. The UE shall switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH on the first DL slot that occurs after the beginning of SCell’s DL slot (*j+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PSCell at latest on the first UL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-1 starting from the first DL slot that occurs after the beginning of slot (*j+TBWPswitchDelay*).

PSCell(Cell 2) interruption due to BWP switch of SCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in SCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK/NACK is received.

The test equipment verifies that potential interruption to NR PSCell is carried out in the correct time span by monitoring ACK/NACK sent in PSCell during BWP switch of SCell.

**Table A.5.5.6.1.2.1-1: DL BWP switch supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations  Note 2: A UE which fulfils the requirements in test case A.5.5.6.1.2 can skip the test cases in A.5.5.6.1.1.  Note 3: NR configuration is the same for PSCell and SCells. | |

**Table A.5.5.6.1.2.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| E-UTRA RF Channel Number |  | 1 | One E-UTRA radio channel is used for this test |
| NR RF Channel Number |  | 2, 3 | Two NR radio channels are used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active PSCell |  | Cell 2 | PSCell on RF channel number 2. |
| Active SCell |  | Cell 3 | SCell on RF channel number 3. |
| CP length |  | Normal |  |
| DRX |  | OFF |  |
| *bwp-InactivityTimer* | ms | 200 |  |
| Cell-individual offset for cells on RF channel number 1 | dB | 0 | Individual offset for cells on PCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on PSCC. |
| Cell-individual offset for cells on RF channel number 3 | dB | 0 | Individual offset for cells on SCC. |
| Cell2 timing offset to cell1 | μs | 3 | Synchronous EN-DC |
| Cell3 timing offset to cell2 | μs | 3 | Synchronous cells |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |
| T3 | s | 0.2 |  |

**Table A.5.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Cell 2** | **Cell 3** |
| Frequency Range |  | FR2 | |
| Duplex mode |  | TDD | |
| TDD configuration |  | TDDConf.3.1 | |
| BWchannel |  | 100 MHz: NRB,c = 66 | |
| Active BWP ID |  | 0 | 1,2 |
| Initial DL BWP Configuration |  | DLBWP.0.2 | DLBWP.0.2 |
| Active DL BWP-0 Configuration |  | DLBWP.0.2 | N.A. |
| Active DL BWP-1 Configuration |  | N.A. | DLBWP.1.3 |
| Active DL BWP-2 Configuration |  | N.A. | DLBWP.1.1 |
| Initial UL BWP Configuration |  | ULBWP.0.2 | N.A. |
| Active UL BWP-0 Configuration |  | ULBWP.0.2 | N.A. |
| Active UL BWP-1 Configuration |  | N.A. | N.A. |
| Active UL BWP-2 Configuration |  | N.A. | N.A. |
| PDSCH Reference measurement channel |  | SR.3.1 TDD | |
| RMSI CORESET parameters |  | CR.3.1 TDD | |
| Dedicated CORESET parameters |  | CCR.3.1 TDD | |
| OCNG Patterns |  | OP.1 | |
| SSB Configuration |  | SSB.1 FR2 | |
| SMTC Configuration |  | SMTC.1 | |
| TCI State |  | TRS.2.1 TDD | |
| TRS Configuration |  | TCI.State.0 | |
| Antenna Configuration |  | 1x2 | |
| Propagation Condition |  | AWGN | |
| EPRE ratio of PSS to SSS | dB | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.  Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3. | | | |

**<END OF CHANGE 14>**

**<START OF CHANGE 15>**

#### A.5.5.6.2 RRC-based Active BWP Switch

##### A.5.5.6.2.1 E-UTRAN – NR PSCell FR2 DL active BWP switch with non-DRX in synchronous EN-DC

###### A.5.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement for RRC-based BWP switch defined in clause 8.6.3. Supported test configurations are shown in Table A.5.5.6.2.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1) and one PSCell (Cell 2) as given in Table A.5.5.6.2.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of PSCell are specified in Table A.5.5.6.2.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on E-UTRA PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC) and to Cell 2 (PSCell) on radio channel 2 (PSCC).

- UE has bandwidth part BWP-1 in its RRC-configuration for Cell 2 (PSCell).

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-1 of initial condition in PSCell.

All cells have constant signal levels throughout the test.

The test consists of 1 time period, with duration of T1.

During T1,

If the *RRCReconfiguration* is embedded in E-UTRA RRC message, time period T1 starts when a E-UTRA RRC message *RRCConnectionReconfiguration* with updated bandwidth part configuration, sent from the test equipment to the UE, is completely received at the UE side from PCell in PSCell’s slot # denoted *i*. Otherwise, i.e., if the *RRCReconfiguration* is not embedded in E-UTRA RRC message, time period T1 starts when a *RRCReconfiguration* with updated bandwidth part configuration, sent from the test equipment to the UE, is completely received at the UE side in from PSCell in PSCell’s slot # denoted *i*. The UE shall reconfigure its bandwidth part with the updated bandwidth part BWP-1 of final condition.

The UE shall be able to completely receive PDSCH on PSCell from the first DL slot occurs right after the beginning of PSCell’s DL slot as defined in clause 8.6.3 and starts to report valid ACK/NACK for the PSCell from the first UL slot that occurs after the beginning of DL slot.. The UE shall be continuously scheduled on PSCell’s BWP-1 starting from the first DL slot that occurs right after the beginning of DL slot .

*TRRCprocessingDelay* and *TBWPswitchDelayRRC* are defined in clause 8.6.3.

The test equipment verifies the DL BWP switch time in PSCell by counting the time from the time when the RRCReconfiguration message including updated BWP configurationis is sent till the time when a vaild ACK/NACK is received.

**<END OF CHANGE 15>**

**<START OF CHANGE 16>**

#### A.5.5.7.1 Addition and Release Delay of NR PSCell

##### A.5.5.7.1.1 Test purpose and environment

The purpose of this test is to verify that the NR PSCell addition and release delays under EN-DC are within the requirements stated in clause 7.31.2 of TS 36.133 [15] for the case when the PSCell is unknown by the UE at the time of addition.

Supported test configurations are shown in A.5.5.7.1.1-1. The test parameters for the E-UTRA cell are given in Table A.3.7.2.2-1. The E-UTRA cell once set up is not changed across time.

The test parameters for NR cell are given in Tables A.5.5.7.1.1-2, cell-specific parameters in A.5.5.7.1.1-3 and OTA parameters in A.5.5.7.1.1-4 below. The test consists of four successive time periods with duration of T1, T2, T3 and T4. There are two carriers each with one cell. Before the test starts the UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC) but is not aware of Cell 2 (NR PSCell) on radio channel 2. The UE is only monitoring the PCC. During T1 only Cell1 is known to the UE.

The test system shall send a RRC message to the UE to add PSCell (Cell 2) on radio channel 2. The RRC message (to add PSCell) also includes a request for the UE to start periodic CSI reporting for the PSCell after the PSCell has been successfully added. The RRC message to add PSCell shall be sent to the UE during period T1. The point in time at which the RRC message to add PSCell (Cell2) is received at the UE antenna connector defines the start of period T2.

The test system shall observe the periodic reporting of CSI for PSCell during T3. The point in time at which the UE has sent PRACH to the PSCell (Cell 2) defines the start of period T3.

The test system shall send a RRC message to the UE to release PSCell (Cell 2) on radio channel 2. The RRC message to release PSCell (Cell2) shall be sent to the UE during period T3, after the UE has sent at least one CQI report with non-zero CQI index for PSCell (Cell 2). The point in time at which the RRC message to release PSCell (Cell2) is received at the UE antenna connector defines the start of period T4.

Table A.5.5.7.1.1-1: Supported test configurations for FR2 PSCell

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | LTE FDD, NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz |
| 2 | LTE TDD, NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz |
| Note: The UE is only required to be tested in one of the supported test configurations | |

Table A.5.5.7.1.1-2: General Test Parameters for PSCell Addition and Release

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Value | Comment |
| RF Channel Number | |  | 1, 2 | Two radio channels are used for this test. One for E-UTRA cell and second for NR Cell |
| Initial Condition | Active PCell |  | Cell1 | PCell on RF channel number 1. |
| Neighbour cell | Cell2 | Neighbour cell on RF channel number 2. |
| Final Condition | Active PCell | Cell1 | PCell on RF channel number 1. |
| Neighbour Cell | Cell2 | PSCell released on RF channel number 2. |
| B1 | Hysteresis | dB | 0 | Hysteresis for evaluation of event B1. |
| Threshold RSRP | dBm | -118 | Actual RSRP threshold for event B1. Needs to take absolute accuracy tolerance in clause 9.1.11.1 into account plus margin. |
| Time to Trigger | s | 0 |  |
| DRX | |  | OFF | Continuous monitoring of primary cell |
| PRACH configuration on cell2 | |  | FR2 configuration 2 | Captured in A.3.8.3.2 |
| Cell-individual offset for cells on RF channel number 1 | | dB | 0 | Individual offset for cells on primary component carrier. |
| Cell-individual offset for cells on RF channel number 2 | | dB | 0 | Individual offset for cells on carrier frequency of cell2. |
| T1 | | s | 1 | During this time the PCell shall be known and cell2 shall be unknown. |
| T2 | | s | 1 | During this time the UE adds the PSCell. |
| T3 | | s | 1 | During this time the UE sends CSI reports for PSCell. |
| T4 | | s | 1 | During this time the UE releases the PSCell. |

Table A.5.5.7.1.1-3: Cell Specific Parameters for PSCell Addition and Release

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Test | | | |
| T1 | T2 | T3 | T4 |
| E-UTRA Channel Number |  | 1,2 | 1 | | | |
| NR Channel Number |  | 1,2 | 2 | | | |
| Duplex Mode |  | 1,2 | TDD | | | |
| TDD configuration |  | 1,2 | TDDConf.3.1 | | | |
| BWchannel | MHz | 1,2 | 100: NRB,c = 66 | | | |
| Data RBs allocated |  | 1,2 | 48 | | | |
| Initial BWP Configuration |  | 1,2 | DLBWP.0.1  ULBWP.0.1 | | | |
| Dedicated BWP Configuration |  | 1,2 | DLBWP.1.1  ULBWP.1.1 | | | |
| TRS Configuration |  | 1 | TRS.2.1 TDD | | | |
| PDSCH/PDCCH TCI state |  | 1 | TCI.State.2 | | | |
| PDSCH Reference measurement channel |  | 1,2 | SR.3.3 TDD | | | |
| RMSI CORESET Reference Channel |  | 1,2 | CR.3.2 TDD | | | |
| Dedicated CORESET Reference Channel |  | 1,2 | CCR.3.7 TDD | | | |
| OCNG Patterns |  | 1,2 | OP.3 | | | |
| SSB configuration |  | 1,2 | SSB.2 FR2 | | | |
| SMTC configuration |  | 1,2 | SMTC.2 | | | |
| PDSCH/PDCCH subcarrier spacing | kHz | 1,2 | 120 | | | |
| TRS Configuration |  | 1,2 | TRS.2.1 TDD | | | |
| CSI-RS configuration for CSI reporting |  | 1,2 | CSI-RS.3.1 TDD | | | |
| reportConfigType |  | 1,2 | periodic | | | |
| reportQuantity |  | 1,2 | cri-RI-PMI-CQI | | | |
| CSI reporting periodicity | slot | 1,2 | 40 | | | |
| CSI reporting offset | slot | 1,2 | 4 | | | |
| EPRE ratio of PSS to SSS | dB | 1,2 | 0 | | | |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |
| Propagation condition |  | 1,2 | AWGN | | | |

Table A.5.5.7.1.1-4: OTA related test parameters

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Cell 2 | | | | | |
|  |  | T1 | | T2 | T3 | | T4 |
| Angle of arrival configuration |  | Setup 2a according to clause A.3.15.2.1 | | | | | |
| Assumption for UE beamsNote 6 |  | Rough | | | | | |
| Ês Note2 | dBm/SCS | -∞ |  | | -81 |  | |
| SSB\_RPNote 2, Note 4 | dBm/SCS | -∞ |  | | -81 |  | |
| BB Note 2, Note 7 | dB | -∞ |  | | 4.88 |  | |
| IoNote 2, Note 4 | dBm/95.04 MHz | N/A |  | | -56.41 |  | |
| Note 1: Void  Note 2: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation.  Note 7: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBS from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | | |

##### A.5.5.7.1.2 Test Requirements

The UE shall transmit the PRACH to PSCell at latest 582 msNote1 into T2.

The UE shall send at least one CSI report for PSCell with non-zero CQI index during T3.

The UE shall periodically send CSI reports for PSCell after the UE has sent first CQI report with non-zero CQI index during T3.

The UE shall stop sending CSI reports for PSCell in at latest 20 ms into T4.

All the above test requirements shall be fulfilled for the observed PSCell addition delay and PSCell release delay to be counted as correct. The rate of correct observed PSCell addition delay and PSCell release delay during repeated tests shall be at least 90%.

Note1: The PSCell addition delay can be expressed as follows as specified in clause 7.31.2 of TS 36.133 [15]:

Tconfig\_PSCell = TRRC\_delay + Tprocessing + Tsearch + T∆ + TPSCell\_ DU + 2ms

Where:

TRRC\_delay = 20ms

Tprocessing = 40ms

Tsearch = 8\*3\*20 = 480 ms

T∆ = 20ms

TPSCell\_ DU = 1\*10+10 = 20 ms

**<END OF CHANGE 16>**

**<START OF CHANGE 17>**

### A.5.5.8 Active TCI state switch delay

#### A.5.5.8.1 MAC-CE based active TCI state switch

##### A.5.5.8.1.1 E-UTRAN – NR PSCell FR2 active TCI state switch for a known TCI state

###### A.5.5.8.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the active TCI state switch delay requirement defined in clause 8.10.3Supported test configurations are shown in Table A.5.5.8.1.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), and one NR PSCell (Cell 2) as given in Table A.5.5.8.1.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell is specified in Table A.5.5.8.1.1.1-3 below. The OTA related test parameters for FR2 is shown in Table A.5.5.8.1.1.1-4.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) to ensure that the UE would have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (PSCell) on radio channel 2 (PSCC).

- UE is configured with 2 different TCI states for PSCell, PDCCH TCI state 0 (QCL’d to SSB0) and TCIstate 1 (QCL’d to SSB1), in Cell 2 before starting the test.

- UE is indicated in TCI state 0 as the active PDCCH TCI state

The test consists of two time periods, T1 and T2. Figure A.5.5.8.1.1.1-1 and Figure A.5.5.8.1.1.1-2 show the Time multiplexed (allocation in Frequency is symbolic) downlink transmissions from each Angle of Arrival. During T1 only SSB to which PDCCH-TCI-state0 is QCL’d is transmitted. At the beginning of T2, the SSB corresponding to TCI state 1 starts transmitting. The UE is configured to provide periodic L1-RSRP reports. In slot n which is within 1280ms of UE providing L1-RSRP report with results for both SSB0 and SSB1, UE receives a MAC-CE command indicating a switch to TCI state 1. *tci-PresentInDCI* is not configured in the PDSCH configuration, i.e. TCI state for the PDSCH is identical to the PDCCH TCI state.

The test equipment verifies that UE can be scheduled on PSCell on TCI state 0 till n+ THARQ +3 ms.The test equipment also verifies the TCI state switch time in PSCell by scheduling the UE on TCI state 1 after n+ THARQ +3 ms + (Tfirst-SSB + TSSB-proc) .

Table A.5.5.8.1.1.1-1: Supported test configurations

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations | |

Table A.5.5.8.1.1.1-2: General test parameters for TCI state switch

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | Unit | Value | Comment |
| E-UTRA RF Channel Number |  | 1 | One E-UTRA radio channel is used for this test |
| NR RF Channel Number |  | 2 | One NR radio channel is used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active PSCell |  | Cell 2 | PSCell on RF channel number 2. |
| CP length |  | Normal |  |
| DRX |  | OFF | For both PCell and PSCell |
| Cell-individual offset for cells on RF channel number 1 | dB | 0 | Individual offset for cells on PCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on PSCC. |
| Cell2 timing offset to cell1 | μs | 3 | Synchronous EN-DC |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |

Table A.5.5.8.1.1.1-3: NR Cell specific test parameters for TCI state switch

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Cell 2 |
| Frequency Range |  | FR2 |
| Duplex mode |  | TDD |
| TDD configuration |  | TDDConf.3.1 |
| BWchannel |  | 100 MHz: NRB,c = 66 |
| Initial DL BWP Configuration |  | DLBWP.0.2 |
| Dedicated DL BWP Configuration |  | DLBWP.1.1 |
| Initial UL BWP Configuration |  | ULBWP.0.2 |
| Dedicated UL BWP Configuration |  | ULBWP.1.1 |
| PDSCH Reference measurement channel |  | SR.3.2 TDD |
| RMSI CORESET parameters |  | CR.3.1 TDD |
| Dedicated CORESET parameters |  | CCR.3.1 TDD |
| OCNG Patterns |  | OP.5 |
| SSB Configuration |  | SSB.1 FR2 |
| SMTC Configuration |  | SMTC.1 |
| TCI State 0 |  | TCI.State.0 |
| TCI State 1 |  | TCI.State.1 |
| TRS Configuration |  | TRS.2.1 TDD |
| Correlation Matrix and Antenna Configuration |  | 1x2 Low |
| EPRE ratio of PSS to SSS | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |
| Propagation Condition |  | AWGN |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. | | |

Table A.5.5.8.1.1.1-4: OTA related test parameters for TCI state switch

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Cell 2 | | | |
| SSB0 | | SSB1 | |
| T1 | T2 | T1 | T2 |
| Angle of arrival configuration |  | Setup 3 according to clause A.3.15.3 | | | |
| AoA1 | | AoA2 | |
| Assumption for UE beams Note 6 |  | Rough | | Rough | |
| Ês | dBm/SCS | -80.6 | -80.6 | -Infinity | -80.6 |
| SSB-RP Note 2 | dBm/SCS | -80.6 | -80.6 | -Infinity | -80.6 |
| BB Note 7 | dB | 8.3 | 8.3 | -Infinity | 8.3 |
| Io Note2 | dBm/95.04 MHz Note4 | -56.0 | -56.0 | - Infinity | -56.0 |
| Note 1: Void  Note 2: SSB-RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: As observed with 0dBi gain antenna at the center of the quiet zone.  Note 6: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 7: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | |



Figure A.5.5.8.1.1.1-1: Time multiplexed downlink transmissions during T1



Figure A.5.5.8.1.1.1-2: Time multiplexed downlink transmissions during T2

###### A.5.5.8.1.1.2 Test Requirements

During T2, UE shall send L1-RSRP report with results for both SSB0 and SSB1.

After receiving MAC-CE command in slot n, UE shall:

- be able to continue to receive on TCI state 0 till n+ THARQ +3 ms

- be able to start receiving on TCI state 1 after n+ THARQ +5 ms + Tfirst-SSB

#### A.5.5.8.2 RRC based active TCI state switch

##### A.5.5.8.2.1 E-UTRAN – NR PSCell FR2 active TCI state switch for a known TCI state

###### A.5.5.8.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the active TCI state switch delay requirement defined in clause 8.10.3Supported test configurations are shown in Table A.5.5.8.2.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), and one NR PSCell (Cell 2) as given in Table A.5.5.8.2.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell is specified in Table A.5.5.8.2.1.1-3 below. The OTA related test parameters for FR2 is shown in Table A.5.5.8.2.1.1-4.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) to ensure that the UE would have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (PSCell) on radio channel 2 (PSCC).

- UE is configured with 1 TCI state for PSCell, PDCCH-TCI-state0 (QCL’d to SSB0)

- UE is indicated in TCI state0 as the active TCI state

The test consists of two time periods, T1 and T2. Figure A.5.5.8.2.1.1-1 and Figure A.5.5.8.2.1.1-2 show the Time multiplexed (allocation in Frequency is symbolic) downlink transmissions from each Angle of Arrival. During T1 only SSB to which TCI-state0 is QCL’d is transmitted. At the beginning of T2, the SSB corresponding to TCI-state1 starts transmitting. The UE is configured to provide periodic L1-RSRP reports. In slot n which is within 1280 ms of UE providing L1-RSRP report with results for both SSB0 and SSB1, UE receives a RRC command indicating a switch to TCI-state1.

The test equipment verifies the TCI state switch time in PSCell by scheduling the UE on TCI state 1 after n+ TRRC\_processing  + Tfirst-SSB + 2ms.

Table A.5.5.8.2.1.1-1: Supported test configurations

|  |  |
| --- | --- |
| Config | Description |
| 1 | LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations | |

Table A.5.5.8.2.1.1-2: General test parameters for TCI state switch

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | Unit | Value | Comment |
| E-UTRA RF Channel Number |  | 1 | One E-UTRA radio channel is used for this test |
| NR RF Channel Number |  | 2 | One NR radio channel is used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active PSCell |  | Cell 2 | PSCell on RF channel number 2. |
| CP length |  | Normal |  |
| DRX |  | OFF | For both PCell and PSCell |
| Cell-individual offset for cells on RF channel number 1 | dB | 0 | Individual offset for cells on PCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on PSCC. |
| Cell2 timing offset to cell1 | μs | 3 | Synchronous EN-DC |
| T1 | s | 0.2 |  |
| T2 | s | 2 |  |

Table A.5.5.8.2.1.1-3: NR Cell specific test parameters for TCI state switch

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Cell 2 |
| Frequency Range |  | FR2 |
| Duplex mode |  | TDD |
| TDD configuration |  | TDDConf.3.1 |
| BWchannel |  | 100 MHz: NRB,c = 66 |
| Initial DL BWP Configuration |  | DLBWP.0.2 |
| Dedicated DL BWP Configuration |  | DLBWP.1.1 |
| Initial UL BWP Configuration |  | ULBWP.0.2 |
| Dedicated UL BWP Configuration |  | ULBWP.1.1 |
| PDSCH Reference measurement channel |  | SR.3.2 TDD |
| RMSI CORESET parameters |  | CR.3.1 TDD |
| Dedicated CORESET parameters |  | CCR.3.1 TDD |
| OCNG Patterns |  | OP.5 |
| SSB Configuration |  | SSB.1 FR2 |
| SMTC Configuration |  | SMTC.1 |
| TCI State 0 |  | TC. State.0 |
| TCI State 1 |  | TCI.State.1 |
| TRS Configuration |  | TRS.2.1 TDD |
| reportConfigType |  | ssb-Index-RSRP |
| reportConfigType |  | periodic |
| Number of reported RS |  | 2 |
| L1-RSRP reporting period | slot | 640 |
| timeRestrictionForChannelMeasurements |  | configured |
| Correlation Matrix and Antenna Configuration |  | 1x2 Low |
| EPRE ratio of PSS to SSS | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |
| Propagation Condition |  | AWGN |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. | | |

Table A.5.5.8.2.1.1-4: OTA related test parameters for TCI state switch

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Cell 2 | | | |
| SSB0 | | SSB1 | |
| T1 | T2 | T1 | T2 |
| Angle of arrival configuration |  | Setup 3 according to clause A.3.15.3 | | | |
| AoA1 | | AoA2 | |
| Assumption for UE beamsNote 6 |  | Rough | | Rough | |
| Ês | dBm/SCS | -80.6 | -80.6 | -Infinity | -80.6 |
| SSB-RP Note 2 | dBm/SCS | -80.6 | -80.6 | -Infinity | -80.6 |
| BB Note 7 | dB | 8.3 | 8.3 | -Infinity | 8.3 |
| Io Note2 | dBm/95.04 MHz Note4 | -56.0 | -56.0 | - Infinity | -56.0 |
| Note 1: Void  Note 2: SSB-RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: As observed with 0dBi gain antenna at the center of the quiet zone.  Note 6: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 7: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | |



Figure A.5.5.8.2.1.1-1: Time multiplexed downlink transmissions during T1



Figure A.5.5.8.2.1.1-2: Time multiplexed downlink transmissions during T2

###### A.5.5.8.2.1.2 Test Requirements

During T2, UE shall send L1-RSRP report with both SSB0 and SSB1.

After receiving RRC command in slot n, UE shall be able to start receiving on TCI state 1 after n+ TRRC\_processing  + Tfirst-SSB + 2ms.

**<END OF CHANGE 17>**

**<START OF CHANGE 18>**

#### A.5.6.1.1 EN-DC event triggered reporting test without gap under non-DRX

##### A.5.6.1.1.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.5.6.1.1.1-1.

Table A.5.6.1.1.1-1: supported test configurations

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 3 | LTE FDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations. | |

There are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.6.1.1.1-2, A.5.6.1.1.1-3 and A.5.6.1.1.1-4 below.

In the measurement control information, a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

Table A.5.6.1.1.1-2: General test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap without DRX

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Value | Comment |
| Active cell |  | 1~4 | E-UTRAN PCell (Cell 1)  PSCell (Cell 2) |  |
| Neighbour cell |  | 1~4 | Cell 3 | Cell to be identified. |
| RF Channel Number |  | 1~4 | 1: Cell 1  2: Cell 2 and Cell 3 | One TDD carrier frequency is used for the NR cells and one TDD or FDD carrier frequency is used for E-UTRAN cell. |
| SMTC configuration |  | 1~4 | SMTC.1 |  |
| A3-Offset | dB | 1~4 | -11 |  |
| CP length |  | 1~4 | Normal |  |
| Hysteresis | dB | 1~4 | 0 |  |
| Time To Trigger | s | 1~4 | 0 |  |
| Filter coefficient |  | 1~4 | 0 | L3 filtering is not used |
| DRX |  | 1~4 | OFF |  |
| Time offset between Cell 1 and Cell 2 |  | 1~4 | 3 μs | Synchronous EN-DC |
| Time offset between Cell 2 and Cell 3 |  | 1~4 | 3 μs | Synchronous cells |
| T1 | s | 1~4 | 5 |  |
| T2 | s | 1~4 | 5 |  |

Table A.5.6.1.1.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap without DRX

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Cell 2 | | Cell 3 | |
| T1 | T2 | T1 | T2 |
| TDD configuration |  | 1~4 | TDDConf.3.1 | | TDDConf.3.1 | |
| BWchannel | MHz | 1~4 | 100: NRB,c = 66 | | 100: NRB,c = 66 | |
| Data RBs allocated |  | 1,2 | 24 | | 24 | |
| 3,4 | 48 | | 48 | |
| Intial BWP configuration |  | 1~4 | DLBWP.0.1  ULBWP.0.1 | | DLBWP.0.1  ULBWP.0.1 | |
| Active DL BWP configuration |  | 1~4 | DLBWP.1.1 | | DLBWP.1.1 | |
| Active UL BWP configuration |  | 1~4 | ULBWP.1.1 | | ULBWP.1.1 | |
| RLM-RS |  | 1~4 | SSB | | SSB | |
| PDSCH RMC configuration |  | 1,2 | SR.3.2 TDD | | N/A | |
| 3,4 | SR.3.3 TDD | |
| RMSI CORESET RMC configuration |  | 1,2 | CR.3.1 TDD | | CR.3.1 TDD | |
| 3,4 | CR.3.2 TDD | | CR.3.2 TDD | |
| Dedicated CORESET RMC configuration |  | 1,2 | CCR.3.1 TDD | | CCR.3.1 TDD | |
| 3,4 | CCR.3.7 TDD | | CCR.3.7 TDD | |
| PDSCH/PDCCH subcarrier spacing | kHz | 1~4 | 120 | | 120 | |
| OCNG Patterns |  | 1~4 | OP.5 | | N/A | |
| TRS configuration |  | 1~4 | TRS.2.1 TDD | | N/A | |
| PDSCH/PDCCH TCI state |  | 1~4 | TCI.State.2 | | N/A | |
| cellIndividualOffset | dB | 1~4 | N/A | | 16 | |
| SSB configuration |  | 1, 2 | SSB.3 FR2 | | SSB.7 FR2 | |
| 3, 4 | SSB.4 FR2 | | SSB.8 FR2 | |
| Propagation Condition |  | 1~4 | AWGN | | AWGN | |

**Table A.5.6.1.1.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap without DRX**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Cell 2 | | | Cell 3 | |
|  |  |  | T1 | T2 | | T1 | T2 |
| AoA setup |  | 1~4 | Setup 3 defined in A.3.15.3 | | | | |
|  |  |  | **AoA1** | | | **AoA2** | |
| Assumption for UE beamsNote 4 |  | 1~4 | Rough | | | Rough | |
| Es | dBm/SCS | 1, 2 | -89 | -89 | -Infinity | | -89 |
| 3, 4 | -86 | -86 | -Infinity | | -86 |
| BB Note 5 | dB | 1~4 | -0.12 | -0.12 | | -Infinity | -0.12 |
| SSB\_RP | dBm/SCS | 1, 2 | -89 | -89 | | -Infinity | -89 |
|  |  | 3, 4 | -86 | -86 | | -Infinity | -86 |
|  | dBm/95.04MHz | 1,2 | -64.41 | -64.41 | | -Infinity | -64.41 |
| 3,4 | -61.41 | -61.41 | | -Infinity | -61.41 |
| Time multiplexing of the downlink transmissions from each AoA | | 1~4 | Defined in Figure A.5.6.1.1.1-1 | | | | |
| Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.  Note 2: Void  Note 3: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation.  Note 5: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | | |



Figure A.5.6.1.1.1-1: Time multiplexed downlink transmissions (Config 1,2 example)

##### A.5.6.1.1.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 2.4s for a UE supporting power class 1,

- 1.44s for a UE supporting power class 2, 3 and 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTIDCCH higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**<END OF CHANGE 18>**

**<START OF CHANGE 19>**

#### A.5.6.1.3 EN-DC event triggered reporting test with per-UE gaps under non-DRX

##### A.5.6.1.3.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.5.6.1.3.1-1.

**Table A.5.6.1.3.1-1: supported test configurations**

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 3 | LTE FDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 4 | LTE TDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations. | |

There are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.6.1.3.1-2 ~ 4 below.

There are two BWPs configured in Cell 2, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 2. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

In the measurement control information, a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

Table A.5.6.1.3.1-2: General test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps without DRX

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Value | Comment |
| Active cell |  | 1~4 | E-UTRAN PCell (Cell 1)  PSCell (Cell 2) |  |
| Neighbour cell |  | 1~4 | Cell 3 | Cell to be identified. |
| RF Channel Number |  | 1~4 | 1: Cell 1  2: Cell 2 and Cell 3 | One TDD carrier frequency is used for the NR cells and one TDD or FDD carrier frequency is used for E-UTRAN cell. |
| Gap type |  | 1~4 | Per-UE gaps |  |
| Measurement gap repitition periodicity | ms | 1~4 | 40 |  |
| Measurement gap length | ms | 1~4 | 6 |  |
| Measurement gap offset | ms | 1~4 | 39 |  |
| SMTC configuration |  | 1~4 | SMTC.1 |  |
| CSI-RS parameters |  | 1~4 | CSI-RS.3.2 TDD |  |
| A3-Offset | dB | 1~4 | -11 |  |
| CP length |  | 1~4 | Normal |  |
| Hysteresis | dB | 1~4 | 0 |  |
| Time To Trigger | s | 1~4 | 0 |  |
| Filter coefficient |  | 1~4 | 0 | L3 filtering is not used |
| DRX |  | 1~4 | OFF |  |
| Time offset between Cell 1 and Cell 2 |  | 1~4 | 3 μs | Synchronous EN-DC |
| Time offset between Cell 2 and Cell 3 |  | 1~4 | 3 μs | Synchronous cells |
| T1 | s | 1~4 | 5 |  |
| T2 | s | 1~4 | 5 |  |

Table A.5.6.1.3.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps without DRX

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Cell 2 | | | Cell 3 | |
| T1 | T2 | | T1 | T2 |
| TDD configuration |  | 1~4 | TDDConf.3.1 | | | TDDConf.3.1 | |
| BWchannel | MHz | 1~4 | 100: NRB,c = 66 | | | 100: NRB,c = 66 | |
| Data RBs allocated |  | 1,2 | 24 | | | 24 | |
| 3,4 | 48 | | | 48 | |
| Intial BWP configuration |  | 1~4 | DLBWP.0.1  ULBWP.0.1 | | | DLBWP.0.1  ULBWP.0.1 | |
| Active DL BWP configuration |  | 1~4 | DLBWP.1.2 | | | DLBWP.1.1 | |
| Active UL BWP configuration |  | 1~4 | ULBWP.1.2 | | | ULBWP.1.1 | |
| RLM-RS |  | 1~4 | CSI-RS | | | SSB | |
| PDSCH RMC configuration |  | 1,2 | SR.3.2 TDD | | | N/A | |
| 3,4 | SR.3.3 TDD | | |
| RMSI CORESET RMC configuration |  | 1,2 | CR.3.1 TDD | | | CR.3.1 TDD | |
| 3,4 | CR.3.2 TDD | | | CR.3.2 TDD | |
| Dedicated CORESET RMC configuration |  | 1,2 | CCR.3.1 TDD | | | CCR.3.1 TDD | |
| 3,4 | CCR.3.7 TDD | | | CCR.3.7 TDD | |
| TRS configuration |  | 1~4 | TRS.2.1 TDD | | | N/A | |
| PDSCH/PDCCH TCI state |  | 1~4 | TCI.State.2 | | | N/A | |
| PDSCH/PDCCH subcarrier spacing | kHz | 1~4 | 120 | | | 120 | |
| OCNG Patterns |  | 1~4 | OP.5 | | | N/A | |
| cellIndividualOffset | dB | 1~4 | N/A | | | 16 | |
| SSB |  | 1, 2 | SSB.3 FR2 | | | SSB.7 FR2 | |
| 3, 4 | SSB.4 FR2 | | | SSB.8 FR2 | |
| Propagation Condition |  | 1~4 | AWGN | | AWGN | | |

Table A.5.6.1.3.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps without DRX

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Config | Cell 2 | | | Cell 3 | | | |
|  |  |  | T1 | T2 | | T1 | | T2 | |
| AoA setup |  | 1~4 | Setup 3 defined in A.3.15.3 | | | | | | |
|  |  |  | **AoA1** | | | **AoA2** | | | |
| Assumption for UE beamsNote 4 |  | 1~4 | Rough | | | Rough | | | |
| Es | dBm/SCS | 1, 2 | -89 | | -89 | | -Infinity | | -89 |
| 3, 4 | -86 | | -86 | | -Infinity | | -86 |
| BB Note 5 | dB | 1~4 | -0.12 | -0.12 | | -Infinity | | -0.12 | |
| SSB\_RP | dBm/SCS | 1, 2 | -89 | -89 | | -Infinity | | -89 | |
|  |  | 3, 4 | -86 | -86 | | -Infinity | | -86 | |
|  | dBm/95.04MHz | 1,2 | -64.41 | -64.41 | | -Infinity | | -64.41 | |
| 3,4 | -61.41 | -61.41 | | -Infinity | | -61.41 | |
| Time multiplexing of the downlink transmissions from each AoA | | 1~4 | Defined in Figure A.5.6.1.3.1-1 | | | | | | |
| Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.  Note 2: Void  Note 3: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation.  Note 5: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | | | | |



Figure A.5.6.1.3.1-1: Time multiplexed downlink transmissions (Config 1,2 example)

##### A.5.6.1.3.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 3.2s for a UE supporting power class 1,

- 1.92s for a UE supporting power class 2, 3 and 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTIDCCH higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**<END OF CHANGE 19>**

**<START OF CHANGE 20>**

### A.5.7.1 SS-RSRP

#### A.5.7.1.1 EN-DC intra-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

##### A.5.7.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Clauses 10.1.3.1.1 and 10.1.3.1.2 for intra-frequency measurements.

##### A.5.7.1.1.2 Test parameters

In this set of test cases, all NR cells are on the same carrier frequency. Supported test configurations are shown in Table A.5.7.1.1.2-1. Both absolute and relative accuracy of SS-RSRP intra-frequency measurements are tested by using the parameters in Table A.5.7.1.1.2-2 and A.5.7.1.1.2-3. The E-UTRA PCell is configured as specified in clause A.3.7.2.2. In all test cases, Cell 1 is the PCell, cell 2 is the PSCell and Cell 3 is the target cell. The test consists of two time phases T1 and T2.

Table A.5.7.1.1.2-1: SS-RSRP Intra frequency SS-RSRP supported test configurations

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | FDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations | |

Table A.5.7.1.1.2-2: SS-RSRP Intra frequency general test parameters

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| ParameterNote 5 | | Unit | T1 | | T2 | |
| Cell 2 | Cell 3 | Cell 2 | Cell 3 |
| Physical cell ID | |  | 489 | 0 | 489 | 0 |
| SSB ARFCN | |  | freq1 | | freq1 | |
| Duplex mode | |  | TDD | | TDD | |
| TDD configuration | |  | TDDConf.3.1 | | TDDConf.3.1 | |
| BWchannel | | MHz | 100: NRB,c = 66 | | 100: NRB,c = 66 | |
| Data RBs allocated | |  | 24 | | 24 | |
|  | Initial DL BWP |  | DLBWP.0.1 | | | |
| BWP configuration | Dedicated DL BWP |  | DLBWP.1.1 | | | |
|  | Initial UL BWP |  | ULBWP.0.1 | | | |
|  | Dedicated UL BWP |  | ULBWP.1.1 | | | |
| TRS configuration | |  | TRS.2.1 TDD | - | TRS.2.1 TDD | - |
| TCI state | |  | TCI.State.0 | - | TCI.State.0 | - |
| PDSCH Reference measurement channel | |  | SR.3.2 TDD | - | SR.3.2 TDD | - |
| RMSI CORESET Reference Channel | |  | CR.3.1 TDD | - | CR.3.1 TDD | - |
| Dedicated CORESET Reference Channel | |  | CCR.3.1 TDD | - | CCR.3.1 TDD | - |
| OCNG Patterns | |  | OP.3 | OP.3 | OP.3 | OP.3 |
| SSB configuration | |  | SSB.3 FR2 | SSB.3 FR2 | SSB.3 FR2 | SSB.3 FR2 |
| SMTC configuration | |  | SMTC.1 | SMTC.1 | SMTC.1 | SMTC.1 |
| Time offset with Cell 2 | | μs | - | 3 | - | 3 |
| PDSCH/PDCCH subcarrier spacing | | kHz | 120 | 120 | 120 | 120 |
| EPRE ratio of PSS to SSS | | dB | 0 | 0 | 0 | 0 |
| EPRE ratio of PBCH\_DMRS to SSS | |
| EPRE ratio of PBCH to PBCH\_DMRS | |
| EPRE ratio of PDCCH\_DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH\_DMRS | |
| EPRE ratio of PDSCH\_DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH\_DMRS | |
| EPRE ratio of OCNG DMRS to SSSNote 1 | |
| EPRE ratio of OCNG to OCNG DMRS Note 1 | |
| Propagation conditions | |  | AWGN | | AWGN | |
| Antenna configuration | |  | 1x2 | 1x2 | 1x2 | 1x2 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void  Note 3: Void  Note 4: Void  Note 5: All parameters apply for configuration 1 and 2  Note 6: Void | | | | | | |

Table A.5.7.1.1.2-3: SS-RSRP Intra frequency OTA related test parameters

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **T1** | | **T2** | |
| **Cell 2** | **Cell 3** | **Cell 2** | **Cell 3** |
| Angle of arrival configuration |  | Setup 1 according to clause A.3.15.1 | | | |
| Assumption for UE beamsNote 8 |  | Rough | | | |
| Note1 | dBm/15kHzNote4 | -91.6 | | N/A | |
| Note1 | dBm/SCSNote4 | -82.6 | | N/A | |
|  | dB | 6.0 | 1.0 | N/A | N/A |
| Es | dBm/SCSNote4 | -76.6 | -81.6 | (Table B.2.2-2 Rx Beam Peak +2.1dB) | (Table B.2.2-2 Rx Beam Peak +2.1dB) |
| SSB\_RPNote2 | dBm/SCS | -76.6 | -81.6 | (Table B.2.2-2 Rx Beam Peak +2.1dB) | (Table B.2.2-2 Rx Beam Peak +2.1dB) |
| BB Note6 | dB | 2.44 | -5.98 | -5.98 | -5.98 |
| IoNote2 | dBm/95.04 MHz Note4 | -50.05 | | (Table B.2.2-2 Rx Beam Peak +29.70dB) | |
| Note 1: Where used, interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: SSB\_RP, Es/Iot, Es in test 1 and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4.  Note 7: All parameters apply for configurations 1 and 2  Note 8: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | | | | |

##### A.5.7.1.1.3 Test Requirements

The SS-RSRP measurement accuracy shall fulfil the absolute accuracy requirements in clauses 10.1.3.1.1 and relative accuracy requirements in clause 10.1.3.1.2. The following requirements are to be verified:

During T1:

Absolute accuracy of Cell 2 and absolute accuracy of Cell 3. The UE is deemed to meet the requirement if the reported SS-RSRP is in the range shown in table A.5.7.1.1.3-1.

Relative accuracy of Cell 3 compared with Cell 2. The UE is deemed to meet the requirement if the difference in reported SS-RSRP meets the requirements in Table 10.1.3.1.2-1.

During T2:

Absolute accuracy of Cell 2 and absolute accuracy of Cell 3. The UE is deemed to meet the requirement if the reported SS-RSRP is in the range shown in table A.5.7.1.1.3-1.

Relative accuracy of Cell 3 compared with Cell 2. The UE is deemed to meet the requirement if the difference in reported SS-RSRP meets the requirements in Table 10.1.3.1.2-1.

During T1 and T2:

Relative accuracy of Cell 2 during T2 compared with Cell 2 during T1. The UE is deemed to meet the requirement if the difference in reported SS-RSRP meets the requirements in Table 10.1.3.1.2-1

Relative accuracy of Cell 3 during T2 compared with Cell 3 during T1. The UE is deemed to meet the requirement if the difference in reported SS-RSRP meets the requirements in Table 10.1.3.1.2-1.

Table A.5.7.1.1.3-1: SS-RSRP absolute accuracy test requirement

|  |  |
| --- | --- |
|  | **Test requirement** Notes1,2,3 |
| Cell 2 | SSB\_RP2 -δ +Gmin ≤ Reported RSRP(dBm) ≤ SSB\_RP2 +δ +Gmax |
| Cell 3 | SSB\_RP3 -δ +Gmin ≤ Reported RSRP(dBm) ≤ SSB\_RP3 +δ +Gmax |
| Note 1: SSB\_RPn is the equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone configured in the test for the cell n under consideration  Note 2: δ is the RSRP absolute accuracy requirement from Table 10.1.3.1.1-1, selected according to the Io used in the test  Note 3: Gmin and Gmax are the minimum and maximum UE gain values from Table B.2.1.5.1-1, selected according to the UE power class | |

#### A.5.7.1.2 EN-DC inter-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

##### A.5.7.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Clauses 10.1.5.1.1 and 10.1.5.1.2 for inter-frequency measurements with the testing configurations for NR cells in Table A.5.7.1.2.1-1.

Table A.5.7.1.2.1-1: Applicable NR configurations for FR2 inter-frequency SS-RSRP accuracy test

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | FDD LTE PCell, cells 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | TDD LTE PCell, cells 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 3 | FDD LTE PCell, cells 2&3 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 4 | TDD LTE PCell, cells 2&3 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

##### A.5.7.1.2.2 Test parameters

In this set of test cases, there are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on a different frequency than the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.7.1.2.2-1 and Table A.5.7.1.2.2-2 below. Both absolute and relative accuracy of RSRP intrer-frequency measurements are tested by using the parameters in Table A.5.7.1.2.2-1 and Table A.5.7.1.2.2-2. The inter-frequency measurements are supported by a measurement gap.

Table A.5.7.1.2.2-1: SS-RSRP inter-frequency test parameters

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Config** | **Unit** | **Test 1** | | **Test 2** | |
| **Cell 2** | **Cell 3** | **Cell 2** | **Cell 3** |
| SSB ARFCN | 1~4 |  | freq1 | freq2 | freq1 | freq2 |
| BWchannel | 1~4 |  | 100:  NRB,c = 66 | | 100:  NRB,c = 66 | |
| Data RBs allocated | 1,2 |  | 24 | | 24 | |
| 3,4 | 48 | | 48 | |
| Duplex mode | 1~4 |  | TDD | | TDD | |
| TDD configuration | 1~4 |  | TDDConf.3.1 | | TDDConf.3.1 | |
| PDSCH Reference measurement channel | 1,2 |  | SR.3.2 TDD | - | SR.3.2 TDD | - |
| 3,4 | SR.3.3 TDD | SR.3.3 TDD |
| RMSI CORESET Reference Channel | 1,2 |  | CR.3.1 TDD | - | CR.3.1 TDD | - |
| 3,4 | CR.3.2 TDD | CR.3.2 TDD |
| Dedicated CORESET Reference Channel | 1,2 |  | CCR.3.1 TDD | - | CCR.3.1 TDD | - |
| 3,4 | CCR.3.7 TDD | CCR.3.7 TDD |
| SSB configuration | 1,2 |  | SSB.3 FR2 | | SSB.3 FR2 | |
| 3,4 | SSB.4 FR2 | | SSB.4 FR2 | |
| PDSCH/PDCCH subcarrier spacing | 1~4 | kHz | 120 | | 120 | |
| OCNG Patterns | 1~4 |  | OP.3 | | OP.3 | |
| Initial BWP Configuration | 1~4 |  | DLBWP.0.1  ULBWP.0.1 | | DLBWP.0.1  ULBWP.0.1 | |
| Dedicated BWP configuration | 1~4 |  | DLBWP.1.3  ULBWP.1.3 | | DLBWP.1.3  ULBWP.1.3 | |
| TRS Configuration | 1~4 |  | TRS.2.1 TDD | | TRS.2.1 TDD | |
| PDCCH/PDSCH TCI Configuration | 1~4 |  | TCI.State.2 | | TCI.State.2 | |
| SMTC configuration | 1~4 |  | SMTC.1 | | SMTC.1 | |
| Time offset between Cell 2 and Cell 3 | 1~4 | μs | 3 | | 3 | |
| EPRE ratio of PSS to SSS | 1~4 | dB | 0 | 0 | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH DMRS |
| EPRE ratio of OCNG DMRS to SSSNote 1 |
| EPRE ratio of OCNG to OCNG DMRS Note 1 |
| Propagation condition | 1~4 | - | AWGN | AWGN | AWGN | AWGN |
| Antenna configuration | 1~4 | - | 1x2 | 1x2 | 1x2 | 1x2 |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void | | | | | | |

Table A.5.7.1.2.2-2: SS-RSRP inter-frequency OTA related test parameters

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Config** | **Unit** | **Test 1** | | **Test 2** | |
| **Cell 2** | **Cell 3** | **Cell 2** | **Cell 3** |
| Angle of arrival configuration | 1~4 |  | Setup 4b according to clause A.3.15.4.2 | | Setup 4b according to clause A.3.15.4.2 | |
| AoA1  Spherical coverage | AoA2  Rx Beam Peak | AoA1  Spherical coverage | AoA2  Rx Beam Peak |
| Assumption for UE beamsNote 7 | 1~4 |  | Rough | | Rough | |
| Note1 | 1, 2 | dBm/15kHzNote4 | -90.6 | -90.6 | (Table B.2.3-2 Rx Beam PeakNote 8 +1.97dB) | (Table B.2.3-2 Rx Beam PeakNote 8 -3.03dB) |
| 3, 4 | -93.7 | -93.7 |
| Note1 | 1, 2 | dBm/SCSNote4 | -81.6 | -81.6 | (Table B.2.3-2 Rx Beam PeakNote 8 +11.0dB) | (Table B.2.3-2 Rx Beam PeakNote 8 +6.0dB) |
| 3, 4 | -81.7 | -81.7 | (Table B.2.3-2 Rx Beam PeakNote 8 +14.0dB) | (Table B.2.3-2 Rx Beam PeakNote 8 +9.0dB) |
|  | 1~4 | dB | 6.0 | 6.0 | 17.0 | -1.0 |
| SSB\_RPNote2 | 1, 2 | dBm/SCS | -75.6 | -75.6 | (Table B.2.3-2 Rx Beam PeakNote 8 +28.0dB) | (Table B.2. 3-2 Rx Beam PeakNote 8 +5.0dB) |
| 3, 4 | -75.7 | -75.7 | (Table B.2.3-2 Rx Beam PeakNote 8 +31.0dB) | (Table B.2. 3-2 Rx Beam PeakNote 8 +8.0dB) |
| (SSB\_RPCell 2 – SSB\_RPCell 3) | 1~4 | dB | 0 | | 23.00 | |
| BBNote6 | 1, 2 | dB | 5.26 | 5.96 | 9.53 | -3.46 |
| 3, 4 | 4.61 | 5.91 |
| IoNote2 | 1, 2 | dBm/95.04 MHz Note4 | -50.00 | -50.00 | (Table B.2.3-2 Rx Beam PeakNote 8 +52.68dB) | (Table B.2.3-2 Rx Beam PeakNote 8 +33.13dB) |
| 3, 4 | -50.09 | -50.09 | (Table B.2.3-2 Rx Beam PeakNote 8 +55.69dB) | (Table B.2.3-2 Rx Beam PeakNote 8 +36.14dB) |
| (Iofreq 1 – Io freq 2) | 1~4 | dB | 0 | | 19.55 | |
| Note 1: Where used, interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: SSB\_RP, Es/Iot, Io, (SSB\_RPCell 3 – SSB\_RPCell 2) and (Iofreq 2 – Io freq 1) levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP or ΔMBS from TS 38.101-2 [19] Table 6.2.1.3-4.  Note 7: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 8: The value in Table B.2.3-2 is the Minimum SSB\_RP for SCSSSB = 120 kHz, selected according to the operating band of cell 3 and UE power class, without ∆MBP,n adjustment. | | | | | | |

##### A.5.7.1.2.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 2 and Cell 3 shall fulfil the absolute requirements in clause 10.1.5.1.1 and the relative requirements in clause 10.1.5.1.2.

Test 1:

Absolute accuracy of Cell 2 and absolute accuracy of Cell 3. The UE is deemed to meet the requirement if the reported SS-RSRP is in the range shown in Table A.5.7.1.2.3-1.

Relative accuracy of Cell 3 compared with Cell 2. The UE is deemed to meet the requirement if the difference in reported SS-RSRP meets the requirements in A.5.7.1.2.3-2.

Test 2:

Absolute accuracy of Cell 2 and absolute accuracy of Cell 3. The UE is deemed to meet the requirement if the reported SS-RSRP is in the range shown in Table A.5.7.1.2.3-1.

Relative accuracy of Cell 3 compared with Cell 2. The UE is deemed to meet the requirement if the difference in reported SS-RSRP meets the requirements in A.5.7.1.2.3-2.

Table A.5.7.1.2.3-1: SS-RSRP absolute accuracy test requirement

|  |  |
| --- | --- |
|  | Test requirement Notes1,2,3,4 |
| Cell 2 | SSB\_RP2 -δ +Gmin +X ≤ Reported RSRP(dBm) ≤ SSB\_RP2 +δ +Gmax |
| Cell 3 | SSB\_RP3 -δ +Gmin ≤ Reported RSRP(dBm) ≤ SSB\_RP3 +δ+Gmax |
| Note 1: SSB\_RPn is the equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone configured in the test for the cell n under consideration  Note 2: δ is the RSRP absolute accuracy requirement from Table 10.1.5.1.1-1, selected according to the Io used in the test  Note 3: Gmin and Gmax are the minimum and maximum UE gain values from Table B.2.1.5.1-1, selected according to the UE power class  Note 4: X is the Spherical coverage gain difference in dB, derived as (UE Refsens - UE Spherical coverage) from TS 38.101-2 [19] clauses 7.3.2 and 7.3.4, selected according to the UE power class and operating band. X is always a negative value. | |

Table A.5.7.1.2.3-2: SS-RSRP relative accuracy test requirement

|  |  |
| --- | --- |
|  | Test requirement Notes1,2,3,4 |
| Cell 3 – Cell 2 | SSB\_RP3 - SSB\_RP2 -δ ≤ Reported RSRP(dB) ≤ SSB\_RP3 - SSB\_RP2 +δ–(X) |
| Note 1: SSB\_RPn is the equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone configured in the test for the cell n under consideration  Note 2: δ is the RSRP relative accuracy requirement from Table 10.1.5.1.2-1  Note 3: Void  Note 4: X is the Spherical coverage gain difference in dB, derived as (UE Refsens - UE Spherical coverage) from TS 38.101-2 [19] clauses 7.3.2 and 7.3.4, selected according to the UE power class and operating band. X is always a negative value. | |

**<END OF CHANGE 20>**

**<START OF CHANGE 21>**

##### A.6.3.2.1.3 Intra-frequency RRC Re-establishment in FR1 without serving cell timing

A.6.3.2.1.3.1 Test Purpose and Environment

The purpose is to verify that the NR intra-frequency RRC re-establishment delay in FR1 without serving cell timing is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.6.3.2.1.3.1-1, table A.6.3.2.1.3.1-2 and table A.6.3.2.1.3.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

Table A.6.3.2.1.3.1-1: Supported test configurations

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode |
| 3 | 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations. | |

Table A.6.3.2.1.3.1-2: General test parameters for NR intra-frequency RRC Re-establishment test case in FR1

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test configuration** | **Value** | **Comment** |
| Initial condition | Active cell |  | 1, 2, 3 | Cell1 |  |
| Neighbour cells |  | 1, 2, 3 | Cell2 |  |
| Final condition | Active cell |  | 1, 2, 3 | Cell2 |  |
| RF Channel Number | |  | 1, 2, 3 | 1 |  |
| Time offset between cells | |  | 1 | 3 ms | Asynchronous cells |
| 2 | 3 μs | Synchronous cells |
| 3 | 3 μs | Synchronous cells |
| N310 | | - | 1, 2, 3 | 1 | Maximum consecutive out-of-sync indications from lower layers |
| N311 | | - | 1, 2, 3 | 1 | Minimum consecutive in-sync indications from lower layers |
| T310 | | ms | 1, 2, 3 | 6000 | Radio link failure timer configured by *RLF-TimersAndConstants* |
| T311 | | ms | 1, 2, 3 | 3000 | RRC re-establishment timer |
| Access Barring Information | | - | 1, 2, 3 | Not Sent | No additional delays in random access procedure. |
| SSB configuration | |  | 1 | SSB.1 FR1 |  |
| 2 | SSB.1 FR1 |  |
| 3 | SSB.2 FR1 |  |
| SMTC configuration | |  | 1 | SMTC.2 |  |
| 2 | SMTC.1 |  |
| 3 | SMTC.1 |  |
| DRX cycle length | | s | 1, 2, 3 | OFF |  |
| PRACH configuration | |  | 1, 2, 3 | FR1 PRACH configuration 1 | Table A.3.8.2.1-1 |
| T1 | | s | 1, 2, 3 | 5 |  |
| T2 | | s | 1, 2, 3 | 6 | Time for the UE to detect RLF |
| T3 | | s | 1, 2, 3 | 3 |  |

Table A.6.3.2.1.3.1-3: Cell specific test parameters for NR intra-frequency RRC Re-establishment test case in FR1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Test configuration** | **Cell 1** | | | **Cell 2** | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | **T3** |
| TDD configuration |  | 1 | N/A | | | N/A | | |
| 2 | TDDConf.1.1 | | | TDDConf.1.1 | | |
| 3 | TDDConf.2.1 | | | TDDConf.2.1 | | |
| PDSCH RMC configuration |  | 1 | SR.1.1 FDD | | | SR.1.1 FDD | | |
|  |  | 2 | SR.1.1 TDD | | | SR.1.1 TDD | | |
|  |  | 3 | SR.2.1 TDD | | | SR.2.1 TDD | | |
| RMSI CORESET RMC configuration |  | 1 | CR.1.1 FDD | | | CR.1.1 FDD | | |
| 2 | CR.1.1 TDD | | | CR.1.1 TDD | | |
| 3 | CR.2.1 TDD | | | CR.2.1 TDD | | |
| Dedicated CORESET RMC configuration |  | 1 | CCR.1.1 FDD | | | CCR.1.1 FDD | | |
| 2 | CCR.1.1 TDD | | | CCR.1.1 TDD | | |
| 3 | CCR.2.1 TDD | | | CCR.2.1 TDD | | |
| OCNG Pattern |  | 1, 2, 3 | OP.1 defined in A.3.2.1 | | | OP.1 defined in A.3.2.1 | | |
| TRS Configuration |  | 1 | TRS.1.1.FDD | | | TRS.1.1.FDD | | |
| 2 | TRS.1.1.TDD | | | TRS.1.1.TDD | | |
| 3 | TRS.1.2.TDD | | | TRS.1.2.TDD | | |
| Initial DL BWP configuration |  | 1, 2, 3 | DLBWP.0.1 | | | DLBWP.0.1 | | |
| Initial UL BWP configuration |  | 1, 2, 3 | ULBWP.0.1 | | | ULBWP.0.1 | | |
| RLM-RS |  | 1, 2, 3 | SSB | | | SSB | | |
|  | dB | 1 | 4 | -infinity | -infinity | -infinity | -infinity | 4 |
| 2 |
| 3 |
| Note2 | dBm/SCS | 1 | -98 | | | | | |
| 2 | -98 | | | | | |
| 3 | -95 | | | | | |
| Note2 | dBm/15 kHz | 1 | -98 | | | | | |
| 2 |
| 3 |
|  | dB | 1 | 4 | -infinity | -infinity | -infinity | -infinity | 4 |
| 2 |
| 3 |
| SS-RSRP Note3 | dBm/SCS | 1 | -94 | -infinity | -infinity | -infinity | -infinity | -94 |
| 2 | -94 | -infinity | -infinity | -infinity | -infinity | -94 |
| 3 | -91 | -infinity | -infinity | -infinity | -infinity | -91 |
| Io | dBm/9.36 MHz | 1 | -64.59 | -infinity | -infinity | -infinity | -infinity | -64.59 |
| dBm/9.36 MHz | 2 | -64.59 | -infinity | -infinity | -infinity | -infinity | -64.59 |
| dBm/38.16 MHz | 3 | -58.50 | -infinity | -infinity | -infinity | -infinity | -58.50 |
| Propagation Condition |  | 1, 2, 3 | AWGN | | | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves. | | | | | | | | |

**<END OF CHANGE 21>**

**<START OF CHANGE 22>**

##### A.6.3.2.3.1 Redirection from NR in FR1 to NR in FR1

A.6.3.2.3.1.1 Test Purpose and Environment

This test is to verify RRC connection release with redirection from NR to NR requirements specified in clause 6.2.3.2.1.

A.6.3.2.3.1.2 Test Parameters

Supported test configurations are shown in table A.6.3.2.3.1.2-1. The time delay is tested by using the parameters in table A.6.3.2.3.1.2-2, and A.6.3.2.3.1.2-3.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. The *RRCRelease* message shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2. Cell 1 and Cell 2 belong to different tracking areas.

Table A.6.3.2.3.1.2-1: Redirection from NR to NR test configurations

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode  Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode |
| 2 | Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode  Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode |
| 3 | Source cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode  Target cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**<END OF CHANGE 22>**

**<START OF CHANGE 23>**

#### A.6.5.1.5 Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with CSI-RS-based RLM in non-DRX mode

##### A.6.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR1 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.6.5.1.5.1-1, A.6.5.1.5.1-2, A.6.5.1.5.1-3, and A.6.5.1.5.1-3A below. There is one cell, cell 1 which is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.6.5.1.5.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting of 5ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test. In the test, SSB0 is configured as the BFD-RS.

Table A.6.5.1.5.1-1: Supported test configurations for FR1 PCell

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth |
| 2 | TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth |
| 3 | TDD duplex mode, 30 kHz SSB SCS, 40 MHz bandwidth |
| Note: The UE is only required to pass in one of the supported test configurations in FR1 | |

Table A.6.5.1.5.1-2: General test parameters for FR1 PCell for CSI-RS out-of-sync testing in non-DRX mode

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | |  | Cell 1 |
| RF Channel Number | |  | 1 |
| Duplex mode | Config 1 |  | FDD |
| Config 2, 3 | TDD |
| TDD Configuration | Config 1 |  | Not Applicable |
| Config 2 | TDDConf.1.1 |
| Config 3 | TDDConf.2.1 |
| DL initial BWP configuration | Config 1, 2, 3 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1, 2, 3 |  | DLBWP.1.1 |
| UL initial BWP configuration | Config 1, 2, 3 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1, 2, 3 |  | ULBWP.1.1 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.1.1 FDD |
| Config 2 | CR.1.1 TDD |
| Config 3 | CR.2.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.1.3 FDD |
|  | Config 2 |  | CCR.1.3 TDD |
|  | Config 3 |  | CCR.2.2 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR1 |
| Config 2 | SSB.1 FR1 |
| Config 3 | SSB.2 FR1 |
| SMTC Configuration | Config 1, 2 |  | SMTC.1 |
| Config 3 | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1, 2 |  | 15 kHz |
| Config 3 | 30 kHz |
| TRS configuration | Config 1 |  | TRS.1.1 FDD |
| Config 2 |  | TRS.1.1 TDD |
| Config 3 |  | TRS.1.2 TDD |
| CSI-RS for RLM | Config 1 |  | Resource #4 in TRS.1.1 FDD |
| Config 2 |  | Resource #4 in TRS.1.1 TDD |
| Config 3 |  | Resource #4 in TRS.1.2 TDD |
| TCI configuration for PDCCH/PDSCH | |  | TCI.State.2 |
| OCNG parameters | |  | OP.1 |
| CP length | |  | Normal |
| Correlation Matrix and Antenna Configuration | |  | 2x2 Low |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | *OFF* |
| Gap pattern ID | |  | *gp0* |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | *0* |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS configuration for CSI reporting | Config 1 |  | CSI-RS.1.1 FDD |
| Config 2 | CSI-RS.1.1 TDD |
| Config 3 | CSI-RS.2.1 TDD |
| T1 | | s | 0.2 |
| T2 | | s | 0.88 |
| T3 | | s | 0.88 |
| D1 | | s | 0.84 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | |

Table A.6.5.1.5.1-3: Cell specific test parameters for FR1 for CSI-RS out-of-sync radio link monitoring in non-DRX mode

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | |
| T1 | T2 | T3 |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS | Config 1 | dB | 1 | -7 | -15 |
| Config 2 | 1 | -7 | -15 |
| Config 3 | 1 | -7 | -15 |
|  | Config 1 | dBm/15kHz | -98 | | |
| Config 2 | -98 | | |
| Config 3 | -98 | | |
| Propagation condition | |  | TDL-C 300ns 100Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.6.5.1.5.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6]. | | | | | |

Table A.6.5.1.5.1-3A: Measurement gap configuration for FR1 CSI-RS out-of-sync radio link monitoring in non-DRX mode

|  |  |
| --- | --- |
| **Field** | **Test 1** |
| **Value** |
| gapOffset | 0 |
| Note 1: Void | |

Table A.6.5.1.5.1-4: Void



Figure A.6.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing

##### A.6.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 no later than time point C (D1 ms after the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

**<END OF CHANGE 23>**

**<START OF CHANGE 24>**

#### A.6.5.3.1 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 160ms SCell measurement cycle

##### A.6.5.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in clause 8.3, when the SCell in FR1 is known by the UE at the time of activation.

The supported test configurations are shown in table A.6.5.3.1.1-1 below. The test parameters are given in Tables A.6.5.3.1.1-2 and cell-specific parameters in A.6.5.3.1.1-3 below. The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are two NR carriers, each with one cell. Both cells have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1, but is not aware of Cell2. The UE is only monitoring the PCC. The UE shall be continuously scheduled in the PCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 2) becomes configured on radio channel 2. The UE now starts monitoring the SCC. The test equipment sends a MAC message for activation of the SCell.

The point in time at which the MAC message is received at the UE antenna connector, in slot # denoted n, defines the start of time period T2. The UE shall be able to report valid CSI in PCell for the activated SCell at latest in slot , as defined in clause 8.3. The UE shall start reporting CSI in PCell in slot and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell interruption due to activation of SCell shall occur in the slot to , as defined in clause 8.3, where is the interruption length given in section 8.2.

Time period T3 starts when a MAC message for deactivation of SCell, sent from the test equipment to the UE in a slot # denoted m, is received at the UE antenna connector. The UE shall carry out deactivation of the SCell in a slot , as defined in clause 8.3, and The starting point of any PCell interruption due to the deactivation shall occur in the slot to , as defined in clause 8.3.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PCell during activation and deactivation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell deactivation command is sent until CQI reporting for SCell is discontinued.

Table A.6.5.3.1.1-1: known FR1 SCell activation in non-DRX for 160ms SCell measurement cycle supported test configurations

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, FDD duplex mode |
| 2 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, TDD duplex mode |
| 3 | NR 30kHz SSB SCS, ≥40 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations  Note 2: The UE is only required to be tested in one with smallest aggregated channel bandwidth from supported band combinations which is composed of CCs ≥ the bandwidth (BWchannel) defined in each test configuration, | |

Table A.6.5.3.1.1-2: General test parameters for known FR1 SCell activation case, 160ms SCell measurement cycle

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| RF Channel Number |  | 1,2 | Two NR radio channel (1, 2) are used for this test |
| Active PCell |  | Cell 1 | Primary cell on NR RF channel number 1. |
| Configured deactivated SCell |  | Cell 2 | Configured deactivated secondary cell on NR RF channel number 2 |
| CP length |  | Normal |  |
| DRX |  | OFF | Continuous monitoring of primary cell |
| Cell-individual offset for cells on NR channel number | dB | 0 | Individual offset for cells on primary component carrier. |
| SCell measurement cycle (measCycleSCell) | ms | 160 |  |
| Cell2 timing offset to cell1 | μs | 0 |  |
| Time alignment error between cell2 and cell1 | μs | ≤ Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1. | The value of time alignment error depends upon the type of carrier aggregation. |
| T1 | s | 7 | During this time the PSCell shall be known and the SCell configured and detected. |
| T2 | s | 1 | During this time the UE shall activate the SCell. |
| T3 | s | 1 | During this time the UE shall deactivate the SCell. |
| THARQ | ms | Config 1: 2  Config 2: 3  Config 3: 2.5 | k1NR slot length  k1 is a number of slots and is indicated by the PDSCH-to-HARQ-timing-indicator field in the DCI format, if present, or provided by *dl-DataToUL-ACK*, the value of k should be the minimum value defined in TS 38.213 [3] that will meet the timing constraints of this test case. |
| TCSI\_Reporting | ms | 15 | The delay (in ms) including uncertainty in acquiring the first available downlink CSI reference resource, UE processing time for CSI reporting (clause 5.2.2.5 in TS 38.214) and uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2] |

Table A.6.5.3.1.1-3: Cell specific test parameters for known FR1 SCell activation case, 160ms SCell measurement cycle

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Cell 1 | | | Cell 2 | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| Duplex mode | Config 1 |  | FDD | | | | | |
| Config 2,3 | TDD | | | | | |
| TDD configuration | Config 1 |  | Not applicable | | | | | |
| Config 2 | TDDConf.1.1 | | | | | |
| Config 3 | TDDConf.2.1 | | | | | |
| BWchannel | Config 1,2 | MHz | Note 7 | | | | | |
| Config 3 | Note 7 | | | | | |
| BWoccupied | Config 1,2 | RB | 52 Note 5 | | | | | |
|  | Config 3 |  | 106 Note 6 | | | | | |
| Initial BWP configuration | |  | DLBWP.0.2 | | | | | |
| TCI state | |  | TCI.State.0 | | | | | |
| TRS Configuration | Config 1 |  | TRS.1.1 FDD | | | | | |
| Config 2 | TRS.1.1 TDD | | | | | |
| Config 3 | TRS.1.2 TDD | | | | | |
| PDSCH Reference measurement channel | Config 1 |  | SR.1.1 FDD | | | - | | |
| Config 2 | SR.1.1 TDD | | | - | | |
| Config 3 | SR.2.1 TDD | | | - | | |
| Dedicated CORESET parameters | Config 1 |  | CCR.1.1 FDD | | | - | | |
| Config 2 | CCR.1.1 TDD | | | - | | |
| Config 3 | CCR.2.1 TDD | | | - | | |
| RMSI CORESET parameters | Config 1 |  | CR.1.1 FDD | | | - | | |
| Config 2 | CR.1.1 TDD | | | - | | |
| Config 3 | CR.2.1 TDD | | | - | | |
| OCNG Patterns | Config 1,2 |  | OP.1Note 5 | | | | | |
|  | Config 3, |  | OP.1 Note 6 | | | | | |
| SSB Configuration | Config 1,2 |  | SSB.1 FR1 | | | | | |
| Config 3 | SSB.2 FR1 | | | | | |
| CSI-RS configuration for CSI reporting (Note 8) | Config 1 |  | CSI-RS.1.1 FDD | | | | | |
| Config 2 |  | CSI-RS.1.1 TDD | | | | | |
| Config 3 |  | CSI-RS.2.1 TDD | | | | | |
| SMTC configuration | |  | SMTC.1 | | | | | |
| reportConfigType | |  | periodic | | | N/A | | |
| reportQuantity | |  | cri-RI-PMI-CQI | | | N/A | | |
| CSI reporting periodicity for PCell | Config 1,2 | slot | 5 | | | N/A | | |
| Config 3 | 10 | | | N/A | | |
| CSI reporting offset for PCell | Config 1,2 | slot | 3 | | | N/A | | |
| Config 3 | 5 | | | N/A | | |
| CSI reporting periodicity for SCell | Config 1,2 | slot | 5 | | | N/A | | |
| Config 3 | 10 | | | N/A | | |
| CSI reporting offset for SCell | Config 1,2 | slot | 2 | | | N/A | | |
| Config 3 | 4 | | | N/A | | |
| EPRE ratio of PSS to SSS | | dB | 0 | | | | | |
| EPRE ratio of PBCH DMRS to SSS | |
| EPRE ratio of PBCH to PBCH DMRS | |
| EPRE ratio of PDCCH DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH DMRS | |
| EPRE ratio of PDSCH DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH | |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |
| Note2 | Config 1,2 | dBm/SCS | -104 | | | | | |
| Config 3 | -101 | | | | | |
|  | | dB | 17 | | | | | |
|  | | dB | 17 | | | | | |
| SS-RSRPNote3 | Config 1,2 | dBm/SCS | -87 | | | | | |
| Config 3 | -84 | | | | | |
| SCH\_RP Note 3 | | dBm/15 kHz | -87 | | | | | |
| Io Note3 | Config 1,2 | dBm/  9.36MHz | -58.96 | | | | | |
| Config 3 | dBm/  38.16MHz | -52.87 | | | | | |
| Propagation condition | | - | AWGN | | | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled within BWoccupied.  Note 3: SS-RSRP and SCH\_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.  Note 5: All UL/DL transmission shall be confined within BWoccupied (i.e. 10 MHz, 52 RBs) from FC,low, and Io is independent of the BWchannel configured.  Note 6: All UL/DL transmission shall be confined within BWoccupied (i.e. 40 MHz, 106 RBs) from FC,low, and Io is independent of the BWchannel configured.  Note 7: NRB,c. is derived from Table 5.3.2-1 in TS38.101-1[2] with configured BWchannel.  Note 8: On top of the reference configurations, CSI-RS offset should be set to meet the CSI reference resource timing definition in TS 38.214 cl. 5.2.2.5. | | | | | | | | |

**<END OF CHANGE 24>**

**<START OF CHANGE 25>**

#### A.6.5.3.2 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 640 ms SCell measurement cycle

##### A.6.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.6.5.3.1.1. The supported test configurations are the same as defined in clause A.6.5.3.1.1. The test parameters are the same except those described in the following clause. The listed parameter values in Tables A.6.5.3.2.1-1 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-1.

Table A.6.5.3.2.1-1: General test parameters for known FR1 SCell activation case, 640 ms SCell measurement cycle

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| SCell measurement cycle (measCycleSCell) | ms | 640 |  |

##### A.6.5.3.2.2 Test Requirements

The test requirements defined in clause A.6.5.3.1.2 shall apply to this test case, except Tactivation\_time will be replaced with the value TFirstSSB\_MAX + Trs + 5ms.

**<END OF CHANGE 25>**

**<START OF CHANGE 26>**

##### A.6.5.6.1.1 NR FR1- NR FR1 DL active BWP switch of SCell with non-DRX in SA

A.6.5.6.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirement on other active serving cell defined in clause 8.2.2.2.5.

The supported test configurations are shown in Table A.6.5.6.1.1.1-1 below. The test scenario comprises of one NR PCell (Cell 1) and one SCell (Cell 2) as given in Table A.6.5.6.1.1.1-2. NR Cell-specific parameters are specified in Table A.6.5.6.1.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (SCell) on radio channel 2 (SCC).

- UE is configured with 2 different UE-specific downlink bandwidth parts for SCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

- UE is configured with 1 UE-specific downlink bandwidth parts the same as initial BWP for PCell, BWP-0 in Cell 1 before starting the test.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-1 in SCell.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-0 in PCell.

- UE is configured with a *bwp-InactivityTimer* timer value for SCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for SCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in SCell’s slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH no later than the first DL slot that occurs after the beginning of SCell’s DL slot (*i+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PCell no later than the first UL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-2 no later than the first DL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay).

The starting time of PCell (Cell 1) interruption due to BWP switch on SCell shall occur within the BWP switch delay.

During T2, the test equipment won’t transmit DCI format for PDSCH reception on SCell (Cell 2).

During T3,

The time period T3 starts from the slot #*j*, where j is the first slot of the subframe immediately after *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH no later than the first DL slot that occurs after the beginning of SCell’s slot (*j+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PCell at latest on the first UL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-1 no later than the first DL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay).

The starting time of PCell (Cell 1) interruption due to BWP switch of SCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in SCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK/NACK is received.

The test equipment verifies that potential interruption to PCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell during BWP switch of SCell, respectively.

**Table A.6.5.6.1.1.1-1: DL BWP switch supported test configurations**

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, FDD -FDD duplex mode |
| 2 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, TDD – TDD duplex mode |
| 3 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, TDD – FDD duplex mode |
| 4 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, FDD – TDD duplex mode |
| 5 | NR 30 kHz SSB SCS, ≥40 MHz bandwidth, TDD - TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations  Note 2: The UE is only required to be tested in one with smallest aggregated channel bandwidth from supported band combinations which is composed of CCs ≥ the bandwidth (BWchannel) defined in each test configuration | |

**Table A.6.5.6.1.1.1-2: General test parameters for DL BWP switch in SA**

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | Unit | Value | Comment |
| NR RF Channel Number |  | 1, 2 | Two NR radio channels are used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active SCell |  | Cell 2 | SCell on RF channel number 2. |
| CP length |  | Normal |  |
| DRX |  | OFF | For both PCell and SCell |
| *bwp-InactivityTimer* | ms | 200 |  |
| Cell-individual offset for cells on RF channel number 1 | dB | 0 | Individual offset for cells on PCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on SCC. |
| Cell2 timing offset to cell1 | μs | 3 | Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1. |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |
| T3 | s | 0.2 |  |

**Table A.6.5.6.1.1.1-3: NR Cell specific test parameters for DL BWP switch in SA**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 1** | **Cell2** |
| Frequency Range | |  | FR1 | FR1 |
| Duplex mode | Config 1 |  | FDD | FDD |
|  | Config 2,5 |  | TDD | TDD |
|  | Config 3 |  | TDD | FDD |
|  | Config 4 |  | FDD | TDD |
| TDD configuration | Config 1 |  | Not Applicable | Not Applicable |
|  | Config 2 |  | TDDConf.1.1 | TDDConf.1.1 |
|  | Config 3 |  | TDDConf.1.1 | Not Applicable |
|  | Config 4 |  | Not Applicable | TDDConf.1.1 |
|  | Config 5 |  | TDDConf.1.2 | TDDConf.1.2 |
| BWchannel | Config 1,2,3,4 |  | Note 7 | Note 7 |
|  | Config 5 |  | Note 7 | Note 7 |
| BWoccupied | Config 1,2,3,4 | RB | 52 Note 5 | 52 Note 5 |
|  | Config 5 |  | 106 Note 6 | 106 Note 6 |
| Active BWP ID | |  | 0 | 1, 2 |
| Initial DL BWP Configuration | |  | DLBWP.0.2Note4 | |
| Initial UL BWP Configuration | |  | ULBWP.0.2Note4 | N.A. |
| Active DL BWP-0 Configuration | |  | DLBWP.0.2Note4 | N.A. |
| Active DL BWP-1 Configuration | |  | N.A. | DLBWP.1.1Note4 |
| Active DL BWP-2 Configuration | |  | N.A. | DLBWP.1.3Note4 |
| Active UL BWP-0 Configuration | |  | ULBWP.0.2Note4 | N.A. |
| Active UL BWP-1 Configuration | |  | N.A. | N.A. |
| Active UL BWP-2 Configuration | |  | N.A. | N.A. |
| PDSCH Reference | Config 1 |  | SR.1.1 FDD | SR.1.1 FDD |
| measurement channel | Config 2 |  | SR.1.1 TDD | SR.1.1 TDD |
|  | Config 3 |  | SR.1.1 TDD | SR.1.1 FDD |
|  | Config 4 |  | SR.1.1 FDD | SR.1.1 TDD |
|  | Config 5 |  | SR.2.1 TDD | SR.2.1 TDD |
| RMSI CORESET | Config 1 |  | CR.1.1 FDD | CR.1.1 FDD |
| parameters | Config 2 |  | CR.1.1 TDD | CR.1.1 TDD |
|  | Config 3 |  | CR.1.1 TDD | CR.1.1 FDD |
|  | Config 4 |  | CR.1.1 FDD | CR.1.1 TDD |
|  | Config 5 |  | CR.2.1 TDD | CR.2.1 TDD |
| Dedicated CORESET | Config 1 |  | CCR.1.2 FDD | CCR.1.2 FDD |
| parameters | Config 2 |  | CCR.1.2 TDD | CCR.1.2 TDD |
|  | Config 3 |  | CCR.1.2 TDD | CCR.1.2 FDD |
|  | Config 4 |  | CCR.1.2 FDD | CCR.1.2 TDD |
|  | Config 5 |  | CCR.2.4 TDD | CCR.2.4 TDD |
| TRS Configuration | Config 1 |  | TRS.1.1 FDD | TRS.1.1 FDD |
|  | Config 2 |  | TRS.1.1 TDD | TRS.1.1 TDD |
|  | Config 3 |  | TRS.1.1 TDD | TRS.1.1 FDD |
|  | Config 4 |  | TRS.1.1 FDD | TRS.1.1 TDD |
|  | Config 5 |  | TRS.1.2 TDD | TRS.1.2 TDD |
| OCNG Patterns | Config 1,2,3,4 |  | OP.1 Note 5 | |
|  | Config 5 |  | OP.1 Note 6 | |
| SSB Configuration | Config 1,2,3,4 |  | SSB.1 FR1 | |
|  | Config 5 |  | SSB.2 FR1 | |
| SMTC Configuration | |  | SMTC.1 | |
| Correlation Matrix and Antenna Configuration | |  | 1x2 Low | |
| EPRE ratio of PSS to SSS | | dB | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS | |  |  |  |
| EPRE ratio of PBCH to PBCH DMRS | |  |  |  |
| EPRE ratio of PDCCH DMRS to SSS | |  |  |  |
| EPRE ratio of PDCCH to PDCCH DMRS | |  |  |  |
| EPRE ratio of PDSCH DMRS to SSS | |  |  |  |
| EPRE ratio of PDSCH to PDSCH | |  |  |  |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |  |  |  |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |  |  |  |
| NocNote 2 | Config 1,2,3,4 | dBm/SCS | -104 | -104 |
|  | Config 5 |  | -101 | -101 |
| NocNote 2 | | dBm/15KHz | -104 | -104 |
| SS-RSRP Note 3 | Config 1,2,3,4 | dBm/SCS | -87 | -87 |
|  | Config 5 |  | -84 | -84 |
| Ês/Iot | | dB | 17 | 17 |
| Ês/Noc | | dB | 17 | 17 |
| IoNote3 | Config 1,2,3,4 | dBm/  9.36MHz | -58.96 | -58.96 |
|  | Config 5 | dBm/  38.16MHz | -52.86 | -52.86 |
| Propagation Condition | |  | AWGN | AWGN |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled within BWoccupied.  Note 3 SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].  Note 5: All UL/DL transmission shall be confined within BWoccupied (i.e. 10 MHz, 52 RBs) from FC,low, and Io is independent of the BWchannel configured.  Note 6: All UL/DL transmission shall be confined within BWoccupied (i.e. 40 MHz, 106 RBs) from FC,low, and Io is independent of the BWchannel configured.  Note 7: NRB,c. is derived from Table 5.3.2-1 in TS38.101-1[2] with configured BWchannel. | | | | |

**<END OF CHANGE 26>**

**<START OF CHANGE 27>**

#### A.6.7.5.1 SA: inter-RAT measurement accuracy with FR1 serving cell

##### A.6.7.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the E-UTRAN RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.2.2 for SA inter-RAT E-UTRAN RSRP measurements.

##### A.6.7.5.1.2 Test parameters

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an E-UTRAN inter-RAT neighbour cell. Supported test configurations are shown in table A.6.7.5.1.2-1. The measurement accuracy of SA inter-RAT E-UTRAN RSRP are tested by using the parameters in A.6.7.5.1.2-2 and A.6.7.5.1.2-3.

**Table A.6.7.5.1.2-1: Inter-RAT E-UTRAN RSRP supported test configurations with FR1 serving cell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN FDD |
| 2 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN FDD |
| 3 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN FDD |
| 4 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN TDD |
| 5 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN TDD |
| 6 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN TDD |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.6.7.5.1.2-2: NR Cell specific test parameters for SA Inter-RAT E-UTRAN RSRP test parameters**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 1** |
| NR RF channel number | |  | 1 |
| Duplex mode | Config 1, 4 |  | FDD |
| Config 2, 3, 5, 6 |  | TDD |
| TDD Configuration | Config 1, 4 |  | N/A |
| Config 2, 5 | TDDConf.1.1 |
| Config 3, 6 | TDDConf.2.1 |
| BWchannel | Config 1, 4 | MHz | 10: NRB,c = 52 (FDD) |
| Config 2, 5 | 10: NRB,c = 52 (TDD) |
| Config 3, 6 | 40: NRB,c = 106 (TDD) |
| Gap pattern Id | |  | 0 |
| PDSCH reference measurement channel | Config 1, 4 |  | SR.1.1 FDD |
| Config 2, 5 | SR.1.1 TDD |
| Config 3, 6 | SR.2.1 TDD |
| RMSI CORSET reference channel | Config 1, 4 |  | CR.1.1 FDD |
| Config 2, 5 | CR.1.1 TDD |
| Config 3, 6 | CR.2.1 TDD |
| Dedicated CORSET reference channel | Config 1, 4 |  | CCR.1.1 FDD |
| Config 2, 5 | CCR.1.1 TDD |
| Config 3, 6 | CCR.2.1 TDD |
| CSI-RS for tracking | Config 1, 4 |  | TRS.1.1 FDD |
|  | Config 2, 5 |  | TRS.1.1 TDD |
|  | Config 3, 6 |  | TRS.1.2 TDD |
| BWP configurations | Initial DL BWP |  | DLBWP.0.1 |
| Dedicated DL BWP |  | DLBWP.1.1 |
| Initial UL BWP |  | ULBWP.0.1 |
| Dedicated UL BWP |  | ULBWP.1.1 |
| OCNG patternNote1 | |  | OP.1 |
| SMTC configuration | |  | SMTC.1 |
| SSB configuration | Config 1, 2, 4, 5 |  | SSB.1 FR1 |
| Config 3, 6 | SSB.2 FR1 |
| EPRE ratio of PSS to SSS | | dB | 0 |
| EPRE ratio of PBCH\_DMRS to SSS | |
| EPRE ratio of PBCH to PBCH\_DMRS | |
| EPRE ratio of PDCCH\_DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH\_DMRS | |
| EPRE ratio of PDSCH\_DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH\_DMRS | |
| EPRE ratio of OCNG DMRS to SSS | |
| EPRE ratio of OCNG to OCNG DMRS | |
| *Noc*Note2 | | dBm/15 kHz | -104 |
| *Noc*Note2 | Config 1, 2, 4, 5 | dBm/SCS | -104 |
| Config 3, 6 | -101 |
| Ês/Noc | | dB | 17 |
| Ês/IotNote3 | | dB | 17 |
| SS-RSRPNote3 | Config 1, 2, 4, 5 | dBm/SCS | -87 |
| Config 3, 6 | -84 |
| SSB\_RPNote3 | Config 1, 2, 4, 5 | dBm/SCS | -87 |
| Config 3, 6 | -84 |
| IoNote3 | Config 1, 2, 4, 5 | dBm/9.36 MHz | -58.96 |
| Config 3, 6 | dBm/38.16 MHz | -52.87 |
| Propagation condition | |  | AWGN |
| Antenna Configuration and Correlation Matrix | |  | 1x2 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: Ês/Iot, SS-RSRP, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. | | | |

**Table A.6.7.5.1.2-3: E-UTRAN Cell specific test parameters for SA Inter-RAT E-UTRAN RSRP test parameters**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Unit | Cell 2 | |
| Test 1 | Test 2 |
| E-UTRA RF channel number | |  | 1 | |
| Duplex mode | Config 1, 2, 3 |  | FDD | |
| Config 4, 5, 6 | TDD | |
| TDD special subframe configurationNote1 | Config 1, 2, 3 |  | N/A | |
| Config 4, 5, 6 | 6 | |
| TDD uplink-downlink configurationNote1 | Config 1, 2, 3 |  | N/A | |
| Config 4, 5, 6 | 1 | |
| BWchannel | | MHz | 5 MHz: NRB,c = 25  10 MHz: NRB,c = 50  20 MHz: NRB,c = 100 | |
| PDSCH parameters:  DL Reference Measurement ChannelNote2 | |  | - | |
| PCFICH/PDCCH/PHICH parameters:  DL Reference Measurement ChannelNote2 | Config 1, 2, 3 |  | 5 MHz: R.11 FDD  10 MHz: R.6 FDD  20 MHz: R.10 FDD | |
| Config 4, 5, 6 | 5 MHz: R.11 TDD  10 MHz: R.6 TDD  20 MHz: R.10 TDD | |
| OCNG PatternsNote2 | Config 1, 2, 3 |  | 5 MHz: OP.19 FDD  10 MHz: OP.6 FDD  20 MHz: OP.14 FDD | |
| Config 4, 5, 6 | 5 MHz: OP.10 TDD  10 MHz: OP.2 TDD  20 MHz: OP.8 TDD | |
| PBCH\_RA | | dB | 0 | |
| PBCH\_RB | |
| PSS\_RA | |
| SSS\_RA | |
| PCFICH\_RB | |
| PHICH\_RA | |
| PHICH\_RB | |
| PDCCH\_RA | |
| PDCCH\_RB | |
| PDSCH\_RA | |
| PDSCH\_RB | |
| OCNG\_RANote3 | |
| OCNG\_RBNote3 | |
| NocNote4 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -91.65 | -117 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -116.5 |
| Bands FDD\_C, TDD\_C | -116 |
| Bands FDD\_D | -115.5 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -115 |
| Bands FDD\_G Note 8 | -114 |
| Bands FDD\_H | -113.5 |
| Ês/Noc | | dB | 10 | -4 |
| Ês/IotNote5 | | dB | 10 | -4 |
| RSRPNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -81.65 | -121 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -120.5 |
| Bands FDD\_C, TDD\_C | -120 |
| Bands FDD\_D | -119.5 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -119 |
| Bands FDD\_G Note 8 | -118 |
| Bands FDD\_H | -117.5 |
| SCH\_RPNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -81.65 | -121 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -120.5 |
| Bands FDD\_C, TDD\_C | -120 |
| Bands FDD\_D | -119.5 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -119 |
| Bands FDD\_G Note 8 | -118 |
| Bands FDD\_H | -117.5 |
| IoNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/Ch BW | -53.45 + 10log(NRB,c/50) | -87.76 + 10log(NRB,c/50) |
| Bands FDD\_B1, FDD\_B2 Note 10 | -87.26 + 10log(NRB,c/50) |
| Bands FDD\_C, TDD\_C | -86.76 + 10log(NRB,c/50) |
| Bands FDD\_D | -86.26 + 10log(NRB,c/50) |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -85.76 + 10log(NRB,c/50) |
| Bands FDD\_G Note 8 | -84.76 + 10log(NRB,c/50) |
| Bands FDD\_H | -84.26 + 10log(NRB,c/50) |
| Propagation Condition | |  | AWGN | |
| Antenna Configuration and Correlation Matrix | |  | 1x2 | |
| Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.  Note 5: Ês/Iot, RSRP, SCH\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 6: E-UTRA operating band groups are as defined in clause 3.5 of TS 36.133 [15].  Note 7: For Band 26, the tests shall be performed with the carrier frequency of assigned E-UTRA channel bandwidth within 865-894 MHz.  Note 8: Except Band 29.  Note 9: Except Band 32, Band 75 and Band 76.  Note 10: For Band 74, the tests shall be performed with the carrier frequency of the assigned E-UTRA channel bandwidth within 1475.9-1510.9 MHz. | | | | |

##### A.6.7.5.1.3 Test Requirements

The SA inter-RAT E-UTRAN RSRP measurement accuracy for cell 2 shall fulfil absolute requirement in clause 10.2.2.

**<END OF CHANGE 27>**

**<START OF CHANGE 28>**

#### A.6.7.6.1 SA: inter-RAT measurement accuracy with FR1 serving cell

##### A.6.7.6.1.1 Test Purpose and Environment

The purpose of this test is to verify that the E-UTRAN RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.2.3 for SA inter-RAT E-UTRAN RSRQ measurements.

##### A.6.7.6.1.2 Test parameters

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an E-UTRAN inter-RAT neighbour cell. Supported test configurations are shown in table A.6.7.6.1.2-1. The measurement accuracy of SA inter-RAT E-UTRAN RSRQ are tested by using the parameters in A.6.7.6.1.2-2 and A.6.7.6.1.2-3.

**Table A.6.7.6.1.2-1: Inter-RAT E-UTRAN RSRQ supported test configurations with FR1 serving cell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN FDD |
| 2 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN FDD |
| 3 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN FDD |
| 4 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN TDD |
| 5 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN TDD |
| 6 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN TDD |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.6.7.6.1.2-2: NR Cell specific test parameters for SA Inter-RAT E-UTRAN RSRQ test parameters**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 1** |
| NR RF channel number | |  | 1 |
| Duplex mode | Config 1, 4 |  | FDD |
| Config 2, 3, 5, 6 |  | TDD |
| TDD Configuration | Config 1, 4 |  | N/A |
| Config 2, 5 | TDDConf.1.1 |
| Config 3, 6 | TDDConf.1.2 |
| BWchannel | Config 1, 4 | MHz | 10: NRB,c = 52 (FDD) |
| Config 2, 5 | 10: NRB,c = 52 (TDD) |
| Config 3, 6 | 40: NRB,c = 106 (TDD) |
| Gap pattern Id | |  | 0 |
| PDSCH reference measurement channel | Config 1, 4 |  | SR.1.1 FDD |
| Config 2, 5 | SR.1.1 TDD |
| Config 3, 6 | SR.2.1 TDD |
| RMSI CORSET reference channel | Config 1, 4 |  | CR.1.1 FDD |
| Config 2, 5 | CR.1.1 TDD |
| Config 3, 6 | CR.2.1 TDD |
| Dedicated CORSET reference channel | Config 1, 4 |  | CCR.1.1 FDD |
| Config 2, 5 | CCR.1.1 TDD |
| Config 3, 6 | CCR.2.1 TDD |
| CSI-RS for tracking | Config 1, 4 |  | TRS.1.1 FDD |
|  | Config 2, 5 |  | TRS.1.1 TDD |
|  | Config 3, 6 |  | TRS.1.2 TDD |
| BWP configurations | Initial DL BWP |  | DLBWP.0.1 |
| Dedicated DL BWP |  | DLBWP.1.1 |
| Initial UL BWP |  | ULBWP.0.1 |
| Dedicated UL BWP |  | ULBWP.1.1 |
| OCNG patternNote1 | |  | OP.1 |
| SMTC configuration | |  | SMTC.1 |
| SSB configuration | Config 1, 2, 4, 5 |  | SSB.1 FR1 |
| Config 3, 6 | SSB.2 FR1 |
| EPRE ratio of PSS to SSS | | dB | 0 |
| EPRE ratio of PBCH\_DMRS to SSS | |
| EPRE ratio of PBCH to PBCH\_DMRS | |
| EPRE ratio of PDCCH\_DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH\_DMRS | |
| EPRE ratio of PDSCH\_DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH\_DMRS | |
| EPRE ratio of OCNG DMRS to SSS | |
| EPRE ratio of OCNG to OCNG DMRS | |
| *Noc*Note2 | | dBm/15 kHz | -104 |
| *Noc*Note2 | Config 1, 2, 4, 5 | dBm/SCS | -104 |
| Config 3, 6 | -101 |
| Ês/Noc | | dB | 17 |
| Ês/IotNote3 | | dB | 17 |
| SS-RSRQNote3 | Config 1, 2, 4, 5 | dBm/SCS | -87 |
| Config 3, 6 | -84 |
| SSB\_RPNote3 | Config 1, 2, 4, 5 | dBm/SCS | -87 |
| Config 3, 6 | -84 |
| IoNote3 | Config 1, 2, 4, 5 | dBm/9.36 MHz | -58.96 |
| Config 3, 6 | dBm/38.16 MHz | -52.87 |
| Propagation condition | |  | AWGN |
| Antenna Configuration and Correlation Matrix | |  | 1x2 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: Ês/Iot, SS-RSRQ, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. | | | |

Table A.6.7.6.1.2-3: E-UTRAN Cell specific test parameters for SA Inter-RAT E-UTRAN RSRQ test parameters

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Cell 2 | | |
| Test 1 | Test 2 | Test 3 |
| E-UTRA RF channel number | |  | 1 | | |
| Duplex mode | Config 1, 2, 3 |  | FDD | | |
| Config 4, 5, 6 | TDD | | |
| TDD special subframe configurationNote1 | Config 1, 2, 3 |  | N/A | | |
| Config 4, 5, 6 | 6 | | |
| TDD uplink-downlink configurationNote1 | Config 1, 2, 3 |  | N/A | | |
| Config 4, 5, 6 | 1 | | |
| BWchannel | | MHz | 5 MHz: NRB,c = 25  10 MHz: NRB,c = 50  20 MHz: NRB,c = 100 | | |
| PDSCH parameters:  DL Reference Measurement ChannelNote2 | |  | - | | |
| PCFICH/PDCCH/PHICH parameters:  DL Reference Measurement ChannelNote2 | Config 1, 2, 3 |  | 5 MHz: R.11 FDD  10 MHz: R.6 FDD  20 MHz: R.10 FDD | | |
| Config 4, 5, 6 | 5 MHz: R.11 TDD  10 MHz: R.6 TDD  20 MHz: R.10 TDD | | |
| OCNG PatternsNote2 | Config 1, 2, 3 |  | 5 MHz: OP.19 FDD  10 MHz: OP.6 FDD  20 MHz: OP.14 FDD | | |
| Config 4, 5, 6 | 5 MHz: OP.10 TDD  10 MHz: OP.2 TDD  20 MHz: OP.8 TDD | | |
| PBCH\_RA | | dB | 0 | | |
| PBCH\_RB | |
| PSS\_RA | |
| SSS\_RA | |
| PCFICH\_RB | |
| PHICH\_RA | |
| PHICH\_RB | |
| PDCCH\_RA | |
| PDCCH\_RB | |
| PDSCH\_RA | |
| PDSCH\_RB | |
| OCNG\_RANote3 | |
| OCNG\_RBNote3 | |
| NocNote4 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -83 | -104.70 | -119.5 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -119 |
| Bands FDD\_C, TDD\_C | -118.5 |
| Bands FDD\_D | -118 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -117.5 |
| Bands FDD\_G Note 8 | -116.5 |
| Bands FDD\_H | -116 |
| Ês/Noc | | dB | -1.75 | -4.0 | -4.0 |
| Ês/IotNote5 | | dB | -1.75 | -4.0 | -4.0 |
| RSRPNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -84.75 | -108.70 | -123.5 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -123 |
| Bands FDD\_C, TDD\_C | -122.5 |
| Bands FDD\_D | -122 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -121.5 |
| Bands FDD\_G Note 8 | -120.5 |
| Bands FDD\_H | -120 |
| RSRQNote5 | Bands FDD\_A Note 9, TDD\_A | dB | -14.76 | -16.25 | -16.25 |
| Bands FDD\_B1, FDD\_B2 Note 10 |
| Bands FDD\_C, TDD\_C |
| Bands FDD\_D |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E |
| Bands FDD\_G Note 8 |
| Bands FDD\_H |
| IoNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/Ch BW | -53 + 10log(NRB,c /50) | -75.46 + 10log(NRB,c /50) | -90.26 + 10log(NRB,c /50) |
| Bands FDD\_B1, FDD\_B2 Note 10 | -89.76 + 10log(NRB,c /50) |
| Bands FDD\_C, TDD\_C | -89.26 + 10log(NRB,c /50) |
| Bands FDD\_D | -88.76 + 10log(NRB,c /50) |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -88.26 + 10log(NRB,c /50) |
| Bands FDD\_G Note 8 | -87.26 + 10log(NRB,c /50) |
| Bands FDD\_H | -86.76 + 10log(NRB,c /50) |
| Propagation Condition | |  | AWGN | | |
| Antenna Configuration and Correlation Matrix | |  | 1x2 | | |
| Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.  Note 5: Ês/Iot, RSRP, RSRQ and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 6: E-UTRA operating band groups are as defined in clause 3.5 of TS 36.133 [15].  Note 7: For Band 26, the tests shall be performed with the carrier frequency of assigned E-UTRA channel bandwidth within 865-894 MHz.  Note 8: Except Band 29.  Note 9: Except Band 32, Band 75 and Band 76.  Note 10: For Band 74, the tests shall be performed with the carrier frequency of the assigned E-UTRA channel bandwidth within 1475.9-1510.9 MHz. | | | | | |

##### A.6.7.6.1.3 Test Requirements

The SA inter-RAT E-UTRAN RSRQ measurement accuracy for cell 2 shall fulfil absolute requirement in clause 10.2.3.

**<END OF CHANGE 28>**

**<START OF CHANGE 29>**

#### A.6.7.7.1 SA: inter-RAT measurement accuracy with FR1 serving cell

##### A.6.7.7.1.1 Test Purpose and Environment

The purpose of this test is to verify that the E-UTRAN RS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.2.4 for SA inter-RAT E-UTRAN RS-SINR measurements.

##### A.6.7.7.1.2 Test parameters

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an E-UTRAN inter-RAT neighbour cell. Supported test configurations are shown in table A.6.7.7.1.2-1. The measurement accuracy of SA inter-RAT E-UTRAN RS-SINR are tested by using the parameters in A.6.7.7.1.2-2 and A.6.7.7.1.2-3.

**Table A.6.7.7.1.2-1: Inter-RAT E-UTRAN RS-SINR supported test configurations with FR1 serving cell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN FDD |
| 2 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN FDD |
| 3 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN FDD |
| 4 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN TDD |
| 5 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN TDD |
| 6 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN TDD |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.6.7.7.1.2-2: NR Cell specific test parameters for SA Inter-RAT E-UTRAN RS-SINR test parameters**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 1** |
| NR RF channel number | |  | 1 |
| Duplex mode | Config 1, 4 |  | FDD |
| Config 2, 3, 5, 6 |  | TDD |
| TDD Configuration | Config 1, 4 |  | N/A |
| Config 2, 5 | TDDConf.1.1 |
| Config 3, 6 | TDDConf.2.1 |
| BWchannel | Config 1, 4 | MHz | 10: NRB,c = 52 (FDD) |
| Config 2, 5 | 10: NRB,c = 52 (TDD) |
| Config 3, 6 | 40: NRB,c = 106 (TDD) |
| Gap pattern Id | |  | 0 |
| PDSCH reference measurement channel | Config 1, 4 |  | SR.1.1 FDD |
| Config 2, 5 | SR.1.1 TDD |
| Config 3, 6 | SR.2.1 TDD |
| RMSI CORSET reference channel | Config 1, 4 |  | CR.1.1 FDD |
| Config 2, 5 | CR.1.1 TDD |
| Config 3, 6 | CR.2.1 TDD |
| Dedicated CORSET reference channel | Config 1, 4 |  | CCR.1.1 FDD |
| Config 2, 5 | CCR.1.1 TDD |
| Config 3, 6 | CCR.2.1 TDD |
| CSI-RS for tracking | Config 1, 4 |  | TRS.1.1 FDD |
|  | Config 2, 5 |  | TRS.1.1 TDD |
|  | Config 3, 6 |  | TRS.1.2 TDD |
| BWP configurations | Initial DL BWP |  | DLBWP.0.1 |
| Dedicated DL BWP |  | DLBWP.1.1 |
| Initial UL BWP |  | ULBWP.0.1 |
| Dedicated UL BWP |  | ULBWP.1.1 |
| OCNG patternNote1 | |  | OP.1 |
| SMTC configuration | |  | SMTC.1 |
| SSB configuration | Config 1, 2, 4, 5 |  | SSB.1 FR1 |
| Config 3, 6 | SSB.2 FR1 |
| EPRE ratio of PSS to SSS | | dB | 0 |
| EPRE ratio of PBCH\_DMRS to SSS | |
| EPRE ratio of PBCH to PBCH\_DMRS | |
| EPRE ratio of PDCCH\_DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH\_DMRS | |
| EPRE ratio of PDSCH\_DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH\_DMRS | |
| EPRE ratio of OCNG DMRS to SSS | |
| EPRE ratio of OCNG to OCNG DMRS | |
| *Noc*Note2 | | dBm/15 kHz | -104 |
| *Noc*Note2 | Config 1, 2, 4, 5 | dBm/SCS | -104 |
| Config 3, 6 | -101 |
| Ês/Noc | | dB | 17 |
| Ês/IotNote3 | | dB | 17 |
| SS-RS-SINRNote3 | Config 1, 2, 4, 5 | dBm/SCS | -87 |
| Config 3, 6 | -84 |
| SSB\_RPNote3 | Config 1, 2, 4, 5 | dBm/SCS | -87 |
| Config 3, 6 | -84 |
| IoNote3 | Config 1, 2, 4, 5 | dBm/9.36 MHz | -58.96 |
| Config 3, 6 | dBm/38.16 MHz | -52.87 |
| Propagation condition | |  | AWGN |
| Antenna Configuration and Correlation Matrix | |  | 1x2 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: Ês/Iot, SS-RS-SINR, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. | | | |

**Table A.6.7.7.1.2-3: E-UTRAN Cell specific test parameters for SA Inter-RAT E-UTRAN RS-SINR test parameters**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Cell 2 | | |
| Test 1 | Test 2 | Test 3 |
| E-UTRA RF channel number | |  | 1 | | |
| Duplex mode | Config 1, 2, 3 |  | FDD | | |
| Config 4, 5, 6 | TDD | | |
| TDD special subframe configurationNote1 | Config 1, 2, 3 |  | N/A | | |
| Config 4, 5, 6 | 6 | | |
| TDD uplink-downlink configurationNote1 | Config 1, 2, 3 |  | N/A | | |
| Config 4, 5, 6 | 1 | | |
| BWchannel | | MHz | 5 MHz: NRB,c = 25  10 MHz: NRB,c = 50  20 MHz: NRB,c = 100 | | |
| PDSCH parameters:  DL Reference Measurement ChannelNote2 | |  | - | | |
| PCFICH/PDCCH/PHICH parameters:  DL Reference Measurement ChannelNote2 | Config 1, 2, 3 |  | 5 MHz: R.11 FDD  10 MHz: R.6 FDD  20 MHz: R.10 FDD | | |
| Config 4, 5, 6 | 5 MHz: R.11 TDD  10 MHz: R.6 TDD  20 MHz: R.10 TDD | | |
| OCNG PatternsNote2 | Config 1, 2, 3 |  | 5 MHz: OP.19 FDD  10 MHz: OP.6 FDD  20 MHz: OP.14 FDD | | |
| Config 4, 5, 6 | 5 MHz: OP.10 TDD  10 MHz: OP.2 TDD  20 MHz: OP.8 TDD | | |
| PBCH\_RA | | dB | 0 | | |
| PBCH\_RB | |
| PSS\_RA | |
| SSS\_RA | |
| PCFICH\_RB | |
| PHICH\_RA | |
| PHICH\_RB | |
| PDCCH\_RA | |
| PDCCH\_RB | |
| PDSCH\_RA | |
| PDSCH\_RB | |
| OCNG\_RANote3 | |
| OCNG\_RBNote3 | |
| NocNote4 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -88 | -108.50 | -119.5 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -119 |
| Bands FDD\_C, TDD\_C | -118.5 |
| Bands FDD\_D | -118 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -117.5 |
| Bands FDD\_G Note 8 | -116.5 |
| Bands FDD\_H | -116 |
| CRS Ês/Noc1 | | dB | -1.75 | 20.0 | -4.0 |
| CRS Ês/IotNote5 | | dB | -1.75 | 20.0 | -4.0 |
| RSRPNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/15kHz | -89.75 | -88.50 | -123.5 |
| Bands FDD\_B1, FDD\_B2 Note 10 | -123 |
| Bands FDD\_C, TDD\_C | -122.5 |
| Bands FDD\_D | -122 |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -121.5 |
| Bands FDD\_G Note 8 | -120.5 |
| Bands FDD\_H | -120 |
| RS-SINRNote5 | Bands FDD\_A Note 9, TDD\_A | dB | -1.75 | 20 | -4.0 |
| Bands FDD\_B1, FDD\_B2 Note 10 |
| Bands FDD\_C, TDD\_C |
| Bands FDD\_D |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E |
| Bands FDD\_G Note 8 |
| Bands FDD\_H |
| IoNote5 | Bands FDD\_A Note 9, TDD\_A | dBm/Ch BW | -53.79 + 10log(NRB,c /50) | -60.56 + 10log(NRB,c /50) | -93.48 + 10log(NRB,c /50) |
| Bands FDD\_B1, FDD\_B2 Note 10 | -92.98 + 10log(NRB,c /50) |
| Bands FDD\_C, TDD\_C | -92.48 + 10log(NRB,c /50) |
| Bands FDD\_D | -91.98 + 10log(NRB,c /50) |
| Bands FDD\_E, FDD\_F Note 7, TDD\_E | -91.48 + 10log(NRB,c /50) |
| Bands FDD\_G Note 8 | -90.48 + 10log(NRB,c /50) |
| Bands FDD\_H | -89.98 + 10log(NRB,c /50) |
| Propagation Condition | |  | AWGN | | |
| Antenna Configuration and Correlation Matrix | |  | 1x2 | | |
| Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over CRS subcarriers and time and shall be modelled as AWGN of appropriate power for Noc1 to be fulfilled.  Note 4a: Void.  Note 5: CRS Ês/Iot, RSRP, RS-SINR and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 6: E-UTRA operating band groups are as defined in clause 3.5 of TS 36.133 [15].  Note 7: For Band 26, the tests shall be performed with the carrier frequency of assigned E-UTRA channel bandwidth within 865-894 MHz.  Note 8: Except Band 29.  Note 9: Except Band 32, Band 75 and Band 76.  Note 10: For Band 74, the tests shall be performed with the carrier frequency of the assigned E-UTRA channel bandwidth within 1475.9-1510.9 MHz. | | | | | |

##### A.6.7.7.1.3 Test Requirements

The SA inter-RAT E-UTRAN RS-SINR measurement accuracy for cell 2 shall fulfil absolute requirement in clause 10.2.4.

**<END OF CHANGE 29>**

**<START OF CHANGE 30>**

A.7.3.2 RRC Connection Mobility Control

#### A.7.3.2.1 SA: RRC Re-establishment

##### A.7.3.2.1.1 Intra-frequency RRC Re-establishment in FR2

A.7.3.2.1.1.1 Test Purpose and Environment

The purpose is to verify that the NR intra-frequency RRC re-establishment delay in FR2 without known target cell is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.7.3.2.1.1.1-1, table A.7.3.2.1.1.1-2 and table A.7.3.2.1.1.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, becomes inactive. The time period T3 starts after the occurrence of the radio link failure.

**Table A.7.3.2.1.1.1-1: Supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

**Table A.7.3.2.1.1.1-2: General test parameters for NR intra-frequency RRC Re-establishment test case in FR2**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test configuration** | **Value** | **Comment** |
| Initial condition | Active cell |  | 1 | Cell1 |  |
| Neighbour cells |  | 1 | Cell2 |  |
| Final condition | Active cell |  | 1 | Cell2 |  |
| RF Channel Number | |  | 1 | 1 |  |
| Time offset between cells | |  | 1 | 3 μs | Synchronous cells |
| N310 | | - | 1 | 1 | Maximum consecutive out-of-sync indications from lower layers |
| N311 | | - | 1 | 1 | Minimum consecutive in-sync indications from lower layers |
| T310 | | ms | 1 | 0 | Radio link failure timer; T310 is disabled |
| T311 | | ms | 1 | 5000 | RRC re-establishment timer |
| Access Barring Information | | - | 1 | Not Sent | No additional delays in random access procedure. |
| SSB configuration | |  | 1 | SSB.1 FR2 |  |
| SMTC configuration | |  | 1 | SMTC pattern 1 |  |
| DRX cycle length | | s | 1 | OFF |  |
| PRACH configuration | |  | 1 | FR2 PRACH configuration 1 | Table A.3.8.3.1-1 |
| T1 | | s | 1 | 5 |  |
| T2 | | s | 1 | 5 | Time for the UE to detect RLF |
| T3 | | s | 1 | 5 |  |

**Table A.7.3.2.1.1.1-3: Cell specific test parameters for NR intra-frequency RRC Re-establishment test case in FR2**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Test configuration** | **Cell 1** | | | **Cell 2** | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | **T3** |
| Assumption for UE beamsNote 4 |  |  | Rough | | | Rough | | |
| TDD configuration |  | 1 | TDDConf.3.1 | | | TDDConf.3.1 | | |
| BWchannel | MHz | 1 | 100: NRB,c = 66 | | | 100: NRB,c = 66 | | |
| Data RBs allocated |  | 1 | 24 | | | 24 | | |
| PDSCH RMC configuration |  | 1 | SR.3.1 TDD | | | N/A | | |
| RMSI CORESET RMC configuration |  | 1 | CR.3.1 TDD | | | CR.3.1 TDD | | |
| Dedicated CORESET RMC configuration |  | 1 | CCR.3.1 TDD | | | CCR.3.1 TDD | | |
| TRS configuration |  | 1 | TRS.2.1 TDD | | | N/A | | |
| PDSCH/PDCCH TCI state |  | 1 | TCI.State.2 | | | N/A | | |
| OCNG Pattern |  | 1 | OP.1 defined in A.3.2.1 | | | OP.1 defined in A.3.2.1 | | |
| Initial DL BWP configuration |  | 1 | DLBWP.0.1 | | | DLBWP.0.1 | | |
| Initial UL BWP configuration |  | 1 | ULBWP.0.1 | | | ULBWP.0.1 | | |
| RLM-RS |  | 1 | SSB | | | SSB | | |
| AoA setup |  | 1 | Setup 1 defined in A.3.15.1 | | | Setup 1 defined in A.3.15.1 | | |
|  | dB | 1 | -0.12 | -infinity | -infinity | -3.46 | 2 | 2 |
| Note2 | dBm/15 kHz | 1 | -104.7 | | | | | |
| Note2 | dBm/SCS | 1 | -95.7 | | | | | |
|  | dB | 1 | 4 | -infinity | -infinity | 2 | 2 | 2 |
| SS-RSRP Note3 | dBm/SCS | 1 | -91.7 | -infinity | -infinity | -93.7 | -93.7 | -93.7 |
| Io | dBm/95.04 MHz | 1 | -59.64 | -62.59 | -62.59 | -59.94 | -62.59 | -62.59 |
| Propagation Condition |  | 1 | AWGN | | | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation | | | | | | | | |

A.7.3.2.1.1.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR intra frequency cell shall be less than 5 s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

Tre-establish\_delay= TUL\_grant + TUE\_re-establish\_delay.

Where:

TUL\_grant = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence TUL\_grant is not used.

Nfreq = 1

Tidentify\_intra\_NR = 3250 ms

TSI = 1280 ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 for the target intra-frequency NR cell.

TPRACH = 15 ms; it is the additional delay caused by the random access procedure.

This gives a total of 4865 ms, allow 5 s in the test case.

##### A.7.3.2.1.2 Inter-frequency RRC Re-establishment in FR2

A.7.3.2.1.2.1 Test Purpose and Environment

The purpose is to verify that the NR inter-frequency RRC re-establishment delay in FR2 without known target cell is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.7.3.2.1.2.1-1, table A.7.3.2.1.2.1-2 and table A.7.3.2.1.2.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, becomes inactive. The time period T3 starts after the occurrence of the radio link failure. During T1, the UE shall be configured with the carrier frequency of cell 2 (with RF Channel Number #2) to ensure that the UE has the context of the carrier frequency of cell 2 by the end of T1.

**Table A.7.3.2.1.2.1-1: Supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

**Table A.7.3.2.1.2.1-2: General test parameters for NR inter-frequency RRC Re-establishment test case in FR2**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test configuration** | **Value** | **Comment** |
| Initial condition | Active cell |  | 1 | Cell1 |  |
| Neighbour cells |  | 1 | Cell2 |  |
| Final condition | Active cell |  | 1 | Cell2 |  |
| RF Channel Number | |  | 1 | 1, 2 |  |
| Time offset between cells | |  | 1 | 3 μs | Synchronous cells |
| N310 | | - | 1 | 1 | Maximum consecutive out-of-sync indications from lower layers |
| N311 | | - | 1 | 1 | Minimum consecutive in-sync indications from lower layers |
| T310 | | ms | 1 | 0 | Radio link failure timer; T310 is disabled |
| T311 | | ms | 1 | 5000 | RRC re-establishment timer |
| Access Barring Information | | - | 1 | Not Sent | No additional delays in random access procedure. |
| SSB configuration | |  | 1 | SSB.1 FR2 |  |
| SMTC configuration | |  | 1 | SMTC pattern 1 |  |
| DRX cycle length | | s | 1 | OFF |  |
| PRACH configuration | |  | 1 | FR2 PRACH configuration 1 | Table A.3.8.3.1-1 |
| T1 | | s | 1 | 5 |  |
| T2 | | s | 1 | 5 | Time for the UE to detect RLF |
| T3 | | s | 1 | 6 |  |

**Table A.7.3.2.1.2.1-3: Cell specific test parameters for NR inter-frequency RRC Re-establishment test case in FR2**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Test configuration | Cell 1 | | | Cell 2 | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| Assumption for UE beamsNote 4 |  |  | Rough | | | Rough | | |
| AoA setup |  | 1 | Setup 3 as specified in clause A.3.15 | | | | | |
| AoA1 | | | AoA2 | | |
| TDD configuration |  | 1 | TDDConf.3.1 | | | TDDConf.3.1 | | |
| BWchannel | MHz | 1 | 100: NRB,c = 66 | | | 100: NRB,c = 66 | | |
| Data RBs allocated |  | 1 | 24 | | | 24 | | |
| PDSCH RMC configuration |  | 1 | SR.3.2 TDD | | | N/A | | |
| RMSI CORESET RMC configuration |  | 1 | CR.3.1 TDD | | | CR.3.1 TDD | | |
| Dedicated CORESET RMC configuration |  | 1 | CCR.3.1 TDD | | | CCR.3.1 TDD | | |
| TRS configuration |  | 1 | TRS.2.1 TDD | | | N/A | | |
| PDSCH/PDCCH TCI state |  | 1 | TCI.State.2 | | | N/A | | |
| OCNG Pattern |  | 1 | OP.3 defined in A.3.2.1 | | | OP.3 defined in A.3.2.1 | | |
| Initial DL BWP configuration |  | 1 | DLBWP.0.1 | | | DLBWP.0.1 | | |
| Initial UL BWP configuration |  | 1 | ULBWP.0.1 | | | ULBWP.0.1 | | |
| RLM-RS |  | 1 | SSB | | | SSB | | |
| Note2 | dBm/15 kHz | 1 | -92.1 | | | -92.1 | | |
| Note2 | dBm/SCS | 1 | -83.1 | | | -83.1 | | |
|  | dB | 1 | 0 | -infinity | -infinity | -infinity | -infinity | 0 |
| BB Note 5 | dB | 1 | -1.01 | -infinity | -infinity | -infinity | -infinity | -1.01 |
| SSB\_RP Note3 | dBm/SCS | 1 | -83.1 | -infinity | -infinity | -infinity | -infinity | -83.1 |
| Io | dBm/95.04 MHz | 1 | -55.46 | -58.51 | -58.51 | -58.51 | -58.51 | -55.46 |
| Propagation Condition |  | 1 | AWGN | | | AWGN | | |
| Note 1: OCNG shall be used such that a constant total transmitted power is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 5: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBS from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | | | |

A.7.3.2.1.2.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR inter frequency cell shall be less than 6 s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

Tre-establish\_delay= TUL\_grant + TUE\_re-establish\_delay.

Where:

TUL\_grant = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence TUL\_grant is not used.

Nfreq = 2

Tidentify\_intra\_NR = 1600 ms

Tidentify\_inter\_NR = 2080 ms

TSI = 1280 ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 for the target inter-frequency NR cell.

TPRACH = 15 ms; it is the additional delay caused by the random access procedure.

This gives a total of 5025 ms, allow 6 s in the test case.

**<END OF CHANGE 30>**

**<START OF CHANGE 31>**

#### A.7.5.1.1 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with SSB-based RLM RS in non-DRX mode

##### A.7.5.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.7.5.1.1.1-1. The test parameters are given in Tables A.7.5.1.1.1-2, A.7.5.1.1.1-3, and A.7.5.1.1.1-4 below. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.1.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states, and Figure A.7.5.1.1.1-2 shows the Time multiplexed downlink transmissions from each Angle of Arrival. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In addition to RLM-RS radio link monitoring using SSB index 0 and SSB index 1, the UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 1.

**Table A.7.5.1.1.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz |

**Table A.7.5.1.1.1-2: General test parameters for FR2 out-of-sync testing in non-DRX mode**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | | |  | Cell 1 |
| RF Channel Number | | |  | 1 |
| Duplex mode | | Config 1 |  | TDD |
| BWchannel | | Config 1 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1 |  | 24 |
| DL initial BWP configuration | | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1 |  | CCR.3.4 TDD |
| SSB Configuration | | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | | Config 1 |  | 120 KHz |
| PRACH Configuration | | Config 1 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1 |  | 0,1 |
| OCNG parameters | | |  | OP.5 |
| CP length | | |  | Normal |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX | | |  | *OFF* |
| Gap pattern ID | | |  | *gp0* |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | *0* |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 9.68 |
| T3 | | | s | 9.68 |
| D1 | | | s | 9.64 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | |

Table A.7.5.1.1.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for out-of-sync radio link monitoring tests in non-DRX mode

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | |
| AoA1 | | | AoA2 | | |
| Assumption for UE beams Note 5 | |  | Rough | | | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | | Not sent | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1 | dB | 2Note 6 | -6Note 6 | -15 |
| ssb-Index 1 SNR | Config 1 |  | Not sent | | | 2Note 6 | -15 | -15 |
|  | Config 1 | dBm/ 15kHz | -92.1 | | | -92.1 | | |
| Time multiplexing of the downlink transmissions from each AoA | |  | Defined in Figure A.7.5.1.1.1-2 | | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | TDL-A 30ns 75Hz | | |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | |

**Table A.7.5.1.1.1-4: Measurement gap configuration for out-of-sync tests in non-DRX mode**

|  |  |
| --- | --- |
| **Field** | **Test 1** |
| **Value** |
| gapOffset | 0 |

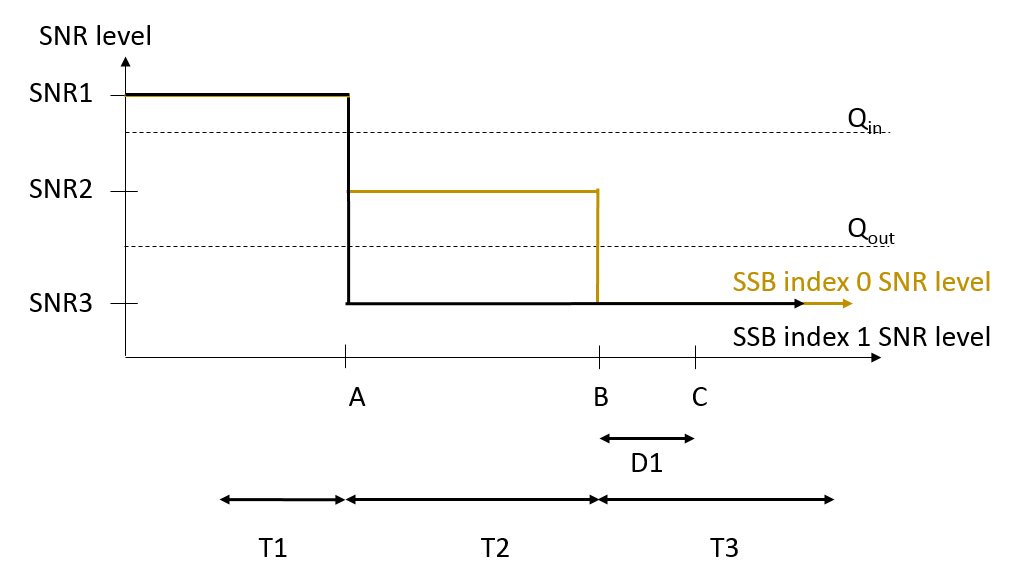


Figure A.7.5.1.1.1-1: SNR variation for out-of-sync testing



Figure A.7.5.1.1.1-2: Time multiplexed downlink transmissions

##### A.7.5.1.1.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.2 Radio Link Monitoring In-sync Test for FR2 PCell configured with SSB-based RLM RS in non-DRX mode

##### A.7.5.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.7.5.1.2.1-1.The test parameters are given in Tables A.7.5.1.2.1-2, and A.7.5.1.2.1-3 below. There is one cell (Cell 1), which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.2.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states, and Figure A.7.5.1.2.1-2 shows the Time multiplexed downlink transmissions from each Angle of Arrival. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms.

**Table A.7.5.1.2.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz |

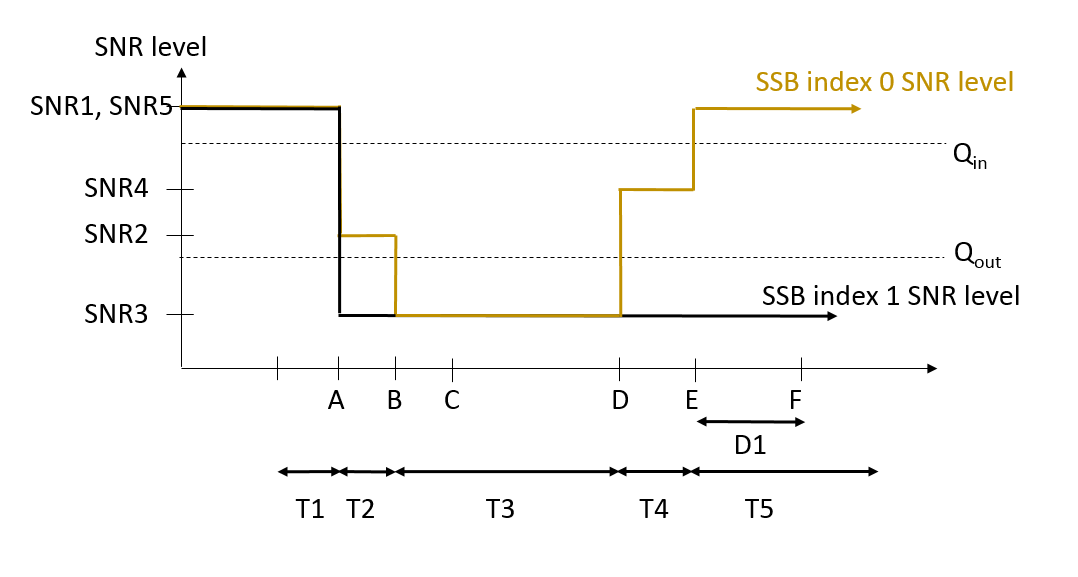
**Table A.7.5.1.2.1-2: General test parameters for FR2 in-sync testing in non-DRX mode**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | | |  | Cell 1 |
| RF Channel Number | | |  | 1 |
| Duplex mode | | Config 1 |  | TDD |
| BWchannel | | Config 1 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1 |  | 24 |
| DL initial BWP configuration | | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1 |  | CCR.3.1 TDD |
| SSB Configuration | | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1 |  | SMTC.3 |
| PDSCH/PDCCH subcarrier spacing | | Config 1 |  | 120 KHz |
| PRACH Configuration | | Config 1 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1 |  | 0,1 |
| OCNG parameters | | |  | OP.5 |
| CP length | | |  | Normal |
| In sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 0 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX | | |  | *OFF* |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | 4000 |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 0.2 |
| T3 | | | s | 1.88 |
| T4 | | | s | 0.2 |
| T5 | | | s | 3.84 |
| D1 | | | s | 3.8 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | |

Table A.7.5.1.2.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for in-sync radio link monitoring tests in non-DRX mode

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | | | | | |
| T1 | T2 | T3 | T4 | T5 | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | | | | | |
| AoA1 | | | | | AoA2 | | | | |
| Assumption for UE beams Note 5 | |  | Rough | | | | | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | | Not sent | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1 | dB | 2Note 6 | -6Note 6 | -15 | -4.5 | 2Note 6 |
| ssb-Index 1 SNR | Config 1 |  | Not sent | | | | | 2Note 6 | -15 | -15 | -15 | -15 |
|  | Config 1 | dBm/ 15kHz | -92.1 | | | | | -92.1 | | | | |
| Time multiplexing of the downlink transmissions from each AoA | |  | Defined in Figure A.7.5.1.2.1-2 | | | | | | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | | | | | |

**Table A.7.5.1.2.1-4: Void**



**Figure A.7.5.1.2.1-1: SNR variation for in-sync testing**



Figure A.7.5.1.2.1-2: Time multiplexed downlink transmissions

##### A.7.5.1.2.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.3 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with SSB-based RLM RS in DRX mode

##### A.7.5.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.7.5.1.3.1-1. The test parameters are given in Tables A.7.5.1.3.1-2, and A.7.5.1.3.1-3. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.3.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.7.5.1.3.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz |

**Table A.7.5.1.3.1-2: General test parameters for FR2 out-of-sync testing in DRX mode**

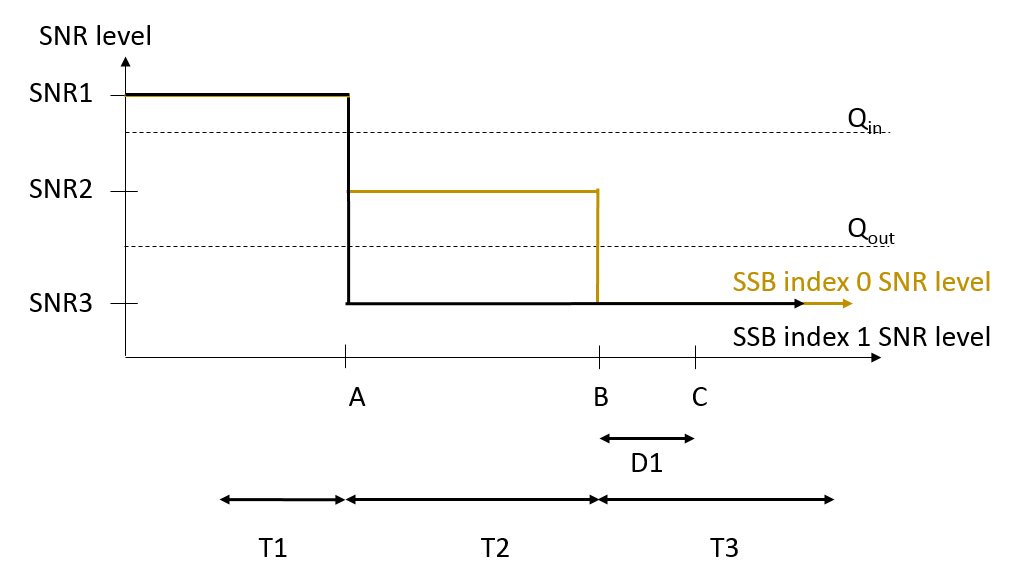
|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | | |  | Cell 1 |
| RF Channel Number | | |  | 1 |
| Duplex mode | | Config 1 |  | TDD |
| BWchannel | | Config 1 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1 |  | 66 |
| DL initial BWP configuration | | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1 |  | CCR.3.4 TDD |
| SSB Configuration | | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | | Config 1 |  | 120 KHz |
| PRACH Configuration | | Config 1 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1 |  | 0,1 |
| OCNG parameters | | |  | OP.1 |
| CP length | | |  | Normal |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX Configuration | | |  | DRX.3 |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | *0* |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 14.48 |
| T3 | | | s | 14.48 |
| D1 | | | s | 14.44 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | |

**Table A.7.5.1.3.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for out-of-sync radio link monitoring tests in DRX mode**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** | | |
| **T1** | **T2** | **T3** |
| AoA setup | |  | Setup 1 defined in A.3.15 | | |
| Assumption for UE beams Note 5 | |  | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1 | dB | 2Note 6 | -6Note 6 | -15 |
| ssb-Index 1 SNR | Config 1 | 2Note 6 | -15 | -15 |
|  | Config 1 | dBm/15KHz | -104.7dBm | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beams is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | |

**Table A.7.5.1.3.1-4: Void**

**Table A.7.5.1.3.1-5: Void**



**Figure A.7.5.1.3.1-1: SNR variation for out-of-sync testing**

##### A.7.5.1.3.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.4 Radio Link Monitoring In-sync Test for FR2 PCell configured with SSB-based RLM RS in DRX mode

##### A.7.5.1.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

In the test, UE is configured to perform RLM on SSB, with *detectionResource* included in *RadioLinkMonitoringRS* set to SSB#0 and SSB#1, and *purpose* set to ‘*rlf*’. Supported test configurations are shown in table A.7.5.1.4.1-1. The test parameters are given in Tables A.7.5.1.4.1-2, and A.7.5.1.4.1-3. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.4.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.7.5.1.4.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz |

**Table A.7.5.1.4.1-2: General test parameters for FR2 in-sync testing in DRX mode**

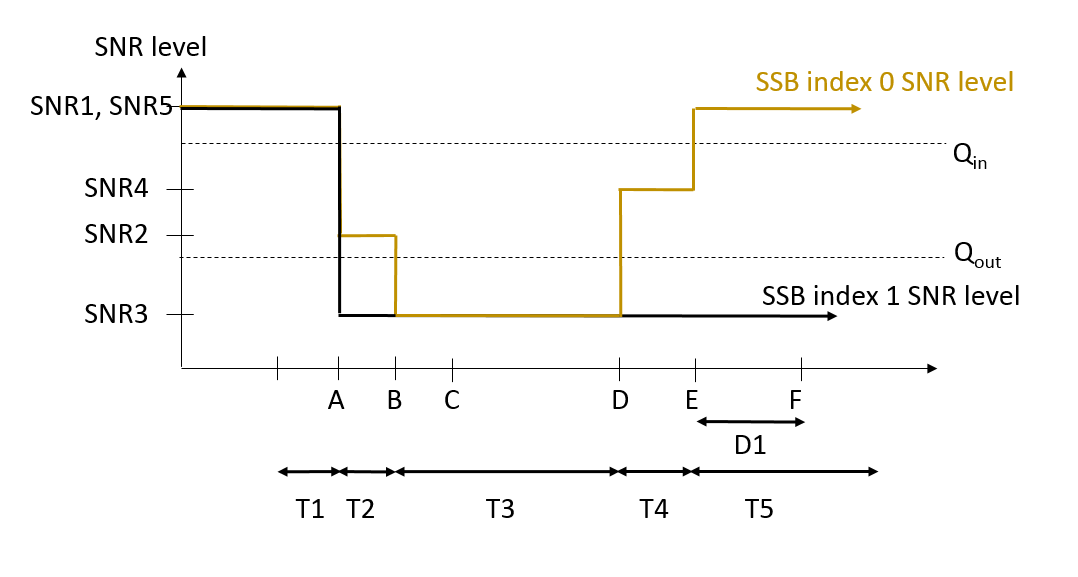
|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | | |  | Cell 1 |
| RF Channel Number | | |  | 1 |
| Duplex mode | | Config 1 |  | TDD |
| BWchannel | | Config 1 |  | 100: NRB,c = 66 |
| Data RBs allocated | | Config 1 |  | 66 |
| DL initial BWP configuration | | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | | Config 1 |  | DLBWP.1.1 |
| UL initial BWP configuration | | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | | Config 1 |  | ULBWP.1.1 |
| TDD Configuration | | Config 1 |  | TDDConf.3.1 |
| RMSI CORESET Reference Channel | | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | | Config 1 |  | CCR.3.1 TDD |
| SSB Configuration | | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | | Config 1 |  | SMTC.3 |
| PDSCH/PDCCH subcarrier spacing | | Config 1 |  | 120 KHz |
| PRACH Configuration | | Config 1 |  | Table A.3.8.3.4 |
| SSB index assigned as RLM RS | | Config 1 |  | 0,1 |
| OCNG parameters | | |  | OP.1 |
| CP length | | |  | Normal |
| In sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 0 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| Out of sync transmission parameters | DCI format | |  | 1-0 |
| Number of Control OFDM symbols | |  | 2 |
| Aggregation level | | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | | dB | 4 |
| DMRS precoder granularity | |  | REG bundle size |
| REG bundle size | |  | 6 |
| DRX Configuration | | |  | DRX.11 |
| Gap pattern ID | | |  | N.A. |
| Layer 3 filtering | | |  | *Enabled* |
| T310 timer | | | ms | 4000 |
| T311 timer | | | ms | 1000 |
| N310 | | |  | 1 |
| N311 | | |  | 1 |
| CSI-RS for CSI reporting | | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | | |  | periodic |
| reportQuantity | | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | | slot | 40 |
| CSI reporting offset | | | slot | 4 |
| TCI states for PDCCH/PDSCH | | |  | TCI.State.2 |
| CSI-RS for tracking | | Config 1 |  | TRS.2.1 TDD |
| T1 | | | s | 0.2 |
| T2 | | | s | 0.2 |
| T3 | | | s | 2.8 |
| T4 | | | s | 0.2 |
| T5 | | | s | 3.88 |
| D1 | | | s | 3.84 |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | |

**Table A.7.5.1.4.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for in-sync radio link monitoring test in DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** | | | | |
| **T1** | **T2** | **T3** | **T4** | **T5** |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beams Note 5 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB | 0 | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| ssb-Index 0 SNR | Config 1 | dB | 2Note 6 | -6Note 6 | -15 | -4.5 | 2Note 6 |
| ssb-Index 1 SNR | Config 1 | 2Note 6 | -15 | -15 | -15 | -15 |
|  | Config 1 | dBm/15KHz | -104.7dBm | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.3  Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 5: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 6: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

**Table A.7.5.1.4.1-4: Void**

**Table A.7.5.1.4.1-5: Void**



**Figure A.7.5.1.4.1-1: SNR variation for in-sync testing**

##### A.7.5.1.4.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.5 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with CSI-RS-based RLM in non-DRX mode

##### A.7.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR2 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.5.1-1, A.7.5.1.5.1-2, A.7.5.1.5.1-3 and A.7.5.1.5.1-4 below. There is one cell, cell 1 which is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.5.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 10 ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test. In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.7.5.1.5.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.7.5.1.5.1-2: General test parameters for FR2 PCell for CSI-RS out-of-sync testing in non-DRX mode**

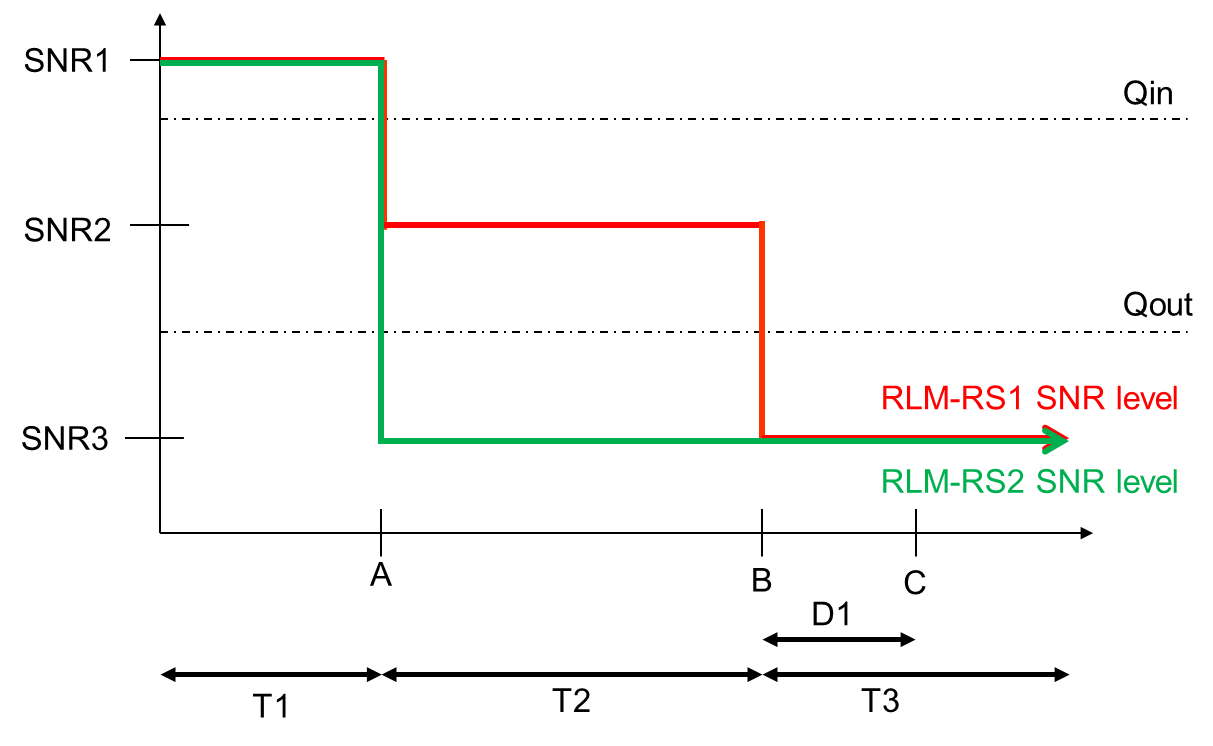
|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | |  | Cell 1 |
| RF Channel Number | |  | 1 |
| Duplex mode | Config 1 |  | TDD |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| BWchannel | Config 1 |  | 100: NRB,c = 66 |
| Data RBs allocated | Config 1 |  | 24 |
| BWoccupied | Config 1 |  | 24 |
| DL initial BWP configuration | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1 |  | DLBWP.1.4 |
| UL initial BWP configuration | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1 |  | ULBWP.1.4 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.4 TDD  CCR.3.6 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| CSI-RS for RLM | Config 1 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| OCNG parameters | |  | OP.5 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | OFF |
| Gap pattern ID | |  | \**gp0* |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | *0* |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 0.35 |
| T3 | | s | 0.35 |
| D1 | | s | 0.31 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | |

Table A.7.5.1.5.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in non-DRX mode

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | |
| T1 | T2 | T3 | T1 | T2 | T3 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | |
| AoA1 | | | AoA2 | | |
| Assumption for UE beams Note 10 | |  | Rough | | | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | | Not sent | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1 | dB | 2Note 11 | -6Note 11 | -15 |
| SNR on RLM-RS2 | Config 1 |  | Not sent | | | 2Note 11 | -14 | -15 |
|  | Config 1 | dBm/ 15kHz | -92.1 | | | -92.1 | | |
| Propagation condition | |  | TDL-C 300ns 100Hz | | | TDL-C 300ns 100Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.1.5.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beams is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband. | | | | | | | | |

**Table A.7.5.1.5.1-4: Measurement gap configuration for FR2 CSI-RS out-of-sync radio link monitoring in non-DRX mode**

|  |  |
| --- | --- |
| **Field** | **Test 1** |
| **Value** |
| gapOffset | 0 |
| Note 1: RLM RS is partially overlapped with measurement gap | |

****

**Figure A.7.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing**

##### A.7.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 no later than time point C (D1 second after the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.6 Radio Link Monitoring In-sync Test for FR2 PCell configured with CSI-RS-based RLM in non-DRX mode

##### A.7.5.1.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR2 PCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.6.1-1, A.7.5.1.6.1-2 and A.7.5.1.6.1-3 below. There is one cells, cell 1which is the PCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.6.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 10 ms. In the test, DRX configuration is not enabled. In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.7.5.1.6.1-1: Supported test configurations for FR2 PCell**

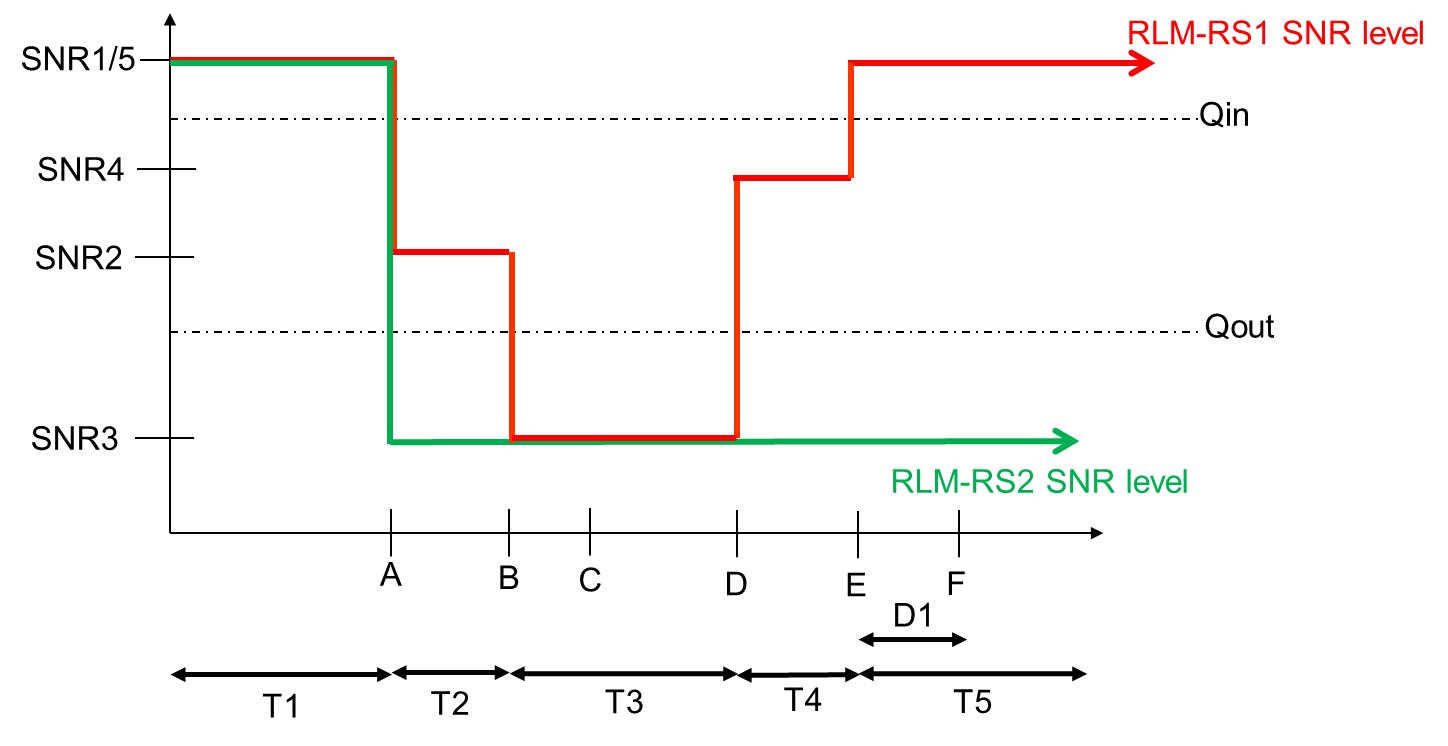
|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.7.5.1.6.1-2: General test parameters for FR2 PCell for CSI-RS in-sync testing in non-DRX mode**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | |  | Cell 1 |
| RF Channel Number | |  | 1 |
| Duplex mode | Config 1 |  | TDD |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| BWchannel | Config 1 |  | 100: NRB,c = 66 |
| Data RBs allocated | Config 1 |  | 24 |
| BWoccupied | Config 1 |  | 24 |
| DL initial BWP configuration | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1 |  | DLBWP.1.4 |
| UL initial BWP configuration | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1 |  | ULBWP.1.4 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.1 TDD  CCR.3.3 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| CSI-RS for RLM | Config 1 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| OCNG parameters | |  | OP.5 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| In sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 0 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | OFF |
| Gap pattern ID | |  | N.A. |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | 1000 |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 0.2 |
| T3 | | s | 0.24 |
| T4 | | s | 0.2 |
| T5 | | s | 0.88 |
| D1 | | s | 0.84 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | |

Table A.7.5.1.6.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in non-DRX mode

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | | | | | | |
| T1 | T2 | T3 | T4 | T5 | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 3 defined in A.3.15 | | | | | | | | | |
| AoA1 | | | | | AoA2 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | | Not sent | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1 | dB | 2Note 11 | -6Note 11 | -15 | -4.5 | 2Note 11 |
| SNR on RLM-RS2 | Config 1 |  | Not sent | | | | | 2Note 11 | -14 | -15 | -15 | -14 |
|  | Config 1 | dBm/ 15KHz | -92.1 | | | | | -92.1 | | | | |
| Propagation condition | |  | TDL-C 300ns 100Hz | | | | | TDL-C 300ns 100Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.7.5.1.6.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | | | | | | |

****

**Figure A.7.5.1.6.1-1: SNR variation for CSI-RS in-sync testing**

##### A.7.5.1.6.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.7 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with CSI-RS-based RLM in DRX mode

##### A.7.5.1.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when DRX is used. This test will partly verify the FR2 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.7.1-1, A.7.5.1.7.1-2, and A.7.5.1.7.1-3 below. There is one cell, cell 1 is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.7.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 10 ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.7.5.1.7.1-1: Supported test configurations for FR2 PCell**

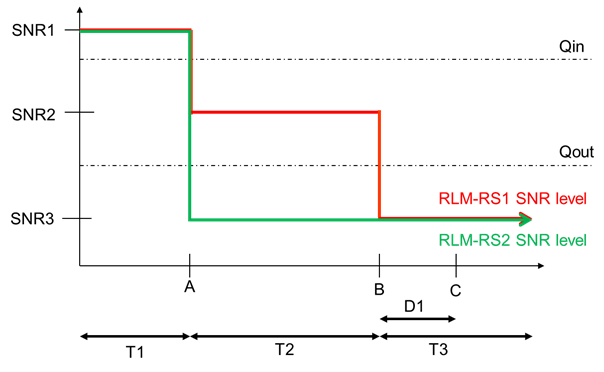
|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.7.5.1.7.1-2: General test parameters for FR2 PCell for CSI-RS out-of-sync testing in DRX mode**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | |  | Cell 1 |
| RF Channel Number | |  | 1 |
| Duplex mode | Config 1 |  | TDD |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| DL initial BWP configuration | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1 |  | DLBWP.1.1 |
| UL initial BWP configuration | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1 |  | ULBWP.1.1 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.4 TDD  CCR.3.6 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| CSI-RS for RLM | Config 1 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| OCNG parameters | |  | OP.1 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | DRX.3 |
| Gap pattern ID | |  | N.A. |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | *0* |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 1.28 |
| T3 | | s | 1.28 |
| D1 | | s | 1.24 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | |

**Table A.7.5.1.7.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in DRX mode**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** | | |
| **T1** | **T2** | **T3** |
| AoA setup | | dB | Setup 1 defined in A.3.15 | | |
| Assumption for UE beams Note 10 | |  | Rough | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 4 | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1 | dB | 2Note 11 | -6Note 11 | -15 |
| SNR on RLM-RS2 | Config 1 | dB | 2Note 11 | -14 | -15 |
|  | Config 1 | dBm/15KHz | -104.7 | | |
| Propagation condition | |  | TDL-C 300ns 100Hz | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.1.7.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is specified in section A.3.6.1.  Note 10: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | |

****

**Figure A.7.5.1.7.1-1: SNR variation for CSI-RS out-of-sync testing**

##### A.7.5.1.7.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on PCell.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 (PCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 (PCell) no later than time point C (D1 secondafter the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.8 Radio Link Monitoring In-sync Test for FR2 PCell configured with CSI-RS-based RLM in DRX mode

##### A.7.5.1.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when DRX is used. This test will partly verify the FR2 PCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.8.1-1, A.7.5.1.8.1-2, A.7.5.1.8.1-3 and A.7.5.1.8.1-4 below. There is one cells, cell 1which is the PCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.8.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 10 ms. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test. In the test, SSB0 and SSB1 are configured as BFD-RS.

**Table A.7.5.1.8.1-1: Supported test configurations for FR2 PSCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.7.5.1.8.1-2: General test parameters for FR2 PCell for CSI-RS in-sync testing in non-DRX mode**

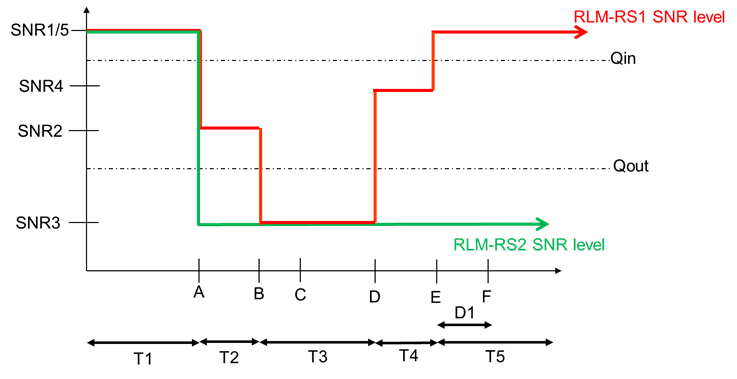
|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| **Test 1** |
| Active PCell | |  | Cell 1 |
| RF Channel Number | |  | 1 |
| Duplex mode | Config 1 |  | TDD |
| TDD Configuration | Config 1 |  | TDDConf.3.1 |
| DL initial BWP configuration | Config 1 |  | DLBWP.0.1 |
| DL dedicated BWP configuration | Config 1 |  | DLBWP.1.1 |
| UL initial BWP configuration | Config 1 |  | ULBWP.0.1 |
| UL dedicated BWP configuration | Config 1 |  | ULBWP.1.1 |
| RMSI CORESET Reference Channel | Config 1 |  | CR.3.1 TDD |
| Dedicated CORESET Reference Channel | Config 1 |  | CCR.3.1 TDD  CCR.3.3 TDD |
| SSB Configuration | Config 1 |  | SSB.1 FR2 |
| SMTC Configuration | Config 1 |  | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | Config 1 |  | 120 KHz |
| CSI-RS for RLM | Config 1 |  | Resource #4 in TRS.2.1 TDD  Resource #4 in TRS.2.2 TDD |
| TRS configuration | |  | TRS.2.1 TDD  TRS.2.2 TDD |
| TCI configuration for PDCCH#1/PDSCH | |  | TCI.State.2 |
| TCI configuration for PDCCH#2 | |  | TCI.State.3 |
| OCNG parameters | |  | OP.1 |
| CP length | |  | Normal |
| Out of sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 8 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 4 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 4 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| In sync transmission parameters | DCI format |  | 1-0 |
| Number of Control OFDM symbols |  | 2 |
| Aggregation level | CCE | 4 |
| Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy | dB | 0 |
| Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy | dB | 0 |
| DMRS precoder granularity |  | REG bundle size |
| REG bundle size |  | 6 |
| DRX | |  | DRX.3 |
| Gap pattern ID | |  | \**gp0* |
| Layer 3 filtering | |  | *Enabled* |
| T310 timer | | ms | *2000* |
| T311 timer | | ms | 1000 |
| N310 | |  | 1 |
| N311 | |  | 1 |
| CSI-RS for CSI reporting | Config 1 |  | CSI-RS.3.1 TDD |
| reportConfigType | |  | periodic |
| reportQuantity | |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | | slot | 40 |
| CSI reporting offset | | slot | 4 |
| T1 | | s | 0.2 |
| T2 | | s | 0.2 |
| T3 | | s | 1.64 |
| T4 | | s | 0.2 |
| T5 | | s | 1.88 |
| D1 | | s | 1.84 |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | |

**Table A.7.5.1.8.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** | | | | |
| **T1** | **T2** | **T3** | **T4** | **T5** |
| AoA setup | | dB | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |
| EPRE ratio of PSS to SSS | | dB |
| EPRE ratio of PDSCH DMRS to SSS | | dB |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |
| EPRE ratio of OCNG DMRS to SSS | | dB |
| EPRE ratio of OCNG to OCNG DMRS | | dB |
| SNR on RLM-RS1 | Config 1 | dB | 2Note 11 | -6Note 11 | -15 | -4.5 | 2Note 11 |
| SNR on RLM-RS2 | Config 1 | dB | 2Note 11 | -14 | -15 | -15 | -14 |
|  | Config 1 | dBm/15KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-C 300ns 100Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.7.5.1.8.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.  Note 10: Information about types of UE beams is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband. | | | | | | | |

**Table A.7.5.1.8.1-4: Measurement gap configuration for FR2 CSI-RS in-sync radio link monitoring in non-DRX mode**

|  |  |
| --- | --- |
| **Field** | **Test 1** |
| **Value** |
| gapOffset | 0 |
| Note 1: RLM RS is partially overlapped with measurement gap | |

****

**Figure A.7.5.1.8.1-1: SNR variation for CSI-RS in-sync testing**

##### A.7.5.1.8.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

**<END OF CHANGE 31>**

**<START OF CHANGE 32>**

#### A.7.5.3.1 SCell Activation and deactivation for SCell in FR2 intra-band in non-DRX

##### A.7.5.3.1.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.6.5.3.1.1 except the PCell and SCell are in FR2 intra-band.

The supported test configurations are shown in table A.7.5.3.1.1-1 below. The general test parameters are the same as defined in Table A.6.5.3.1.1-2 except those described in Tables A.7.5.3.1.1-2, and cell specific test parameters are described in Tables A.7.5.3.1.1-3. OTA related test parameters are shown in table A.7.5.3.1.1-4 below.

Table A.7.5.3.1.1-1: Supported test configurations for FR2 SCell activation case

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | NR 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |

Table A.7.5.3.1.1-2: General test parameters for FR2 SCell activation case

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| RF Channel Number |  | 1,2 | Two NR radio channels are used for this test, cell 1 and cell2 use RF channel 1 and 2, respectively. |

**Table A.7.5.3.1.1-3: Cell specific test parameters for FR2 SCell activation case**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ParameterNote 5** | **Unit** | **Cell 1** | | | **Cell 2** | | | |
| **T1** | **T2** | **T3** | **T1** | | **T2** | **T3** |
| SSB ARFCN |  | freq1 | | | freq2 | | | |
| Duplex mode |  | TDD | | | | | | |
| TDD configuration |  | TDDConf.3.1 | | | | | | |
| Downlink initial BWP Configuration |  | DLBWP.0.1 | | | | | | |
| Downlink dedicated BWP Configuration |  | DLBWP.1.1 | | | | | | |
| Uplink initial BWP configuration |  | ULBWP.0.1 | | | | | | |
| Uplink dedicated BWP configuration |  | ULBWP.1.1 | | | | | | |
| TRS configuration |  | TRS.2.1 TDD | | | | | | |
| TCI state |  | TCI.State.0 | | | | | | |
| BWchannel | MHz | 100: NRB,c = 66 | | | | | | |
| Data RBs allocated |  | 66 | | | 66 | | | |
| PDSCH Reference measurement channel |  | SR.3.1 TDD | | | - | | | |
| RMSI CORESET Parameters |  | CR.3.1 TDD | | | - | | | |
| Dedicated CORESET Parameters |  | CCR.3.1 TDD | | | - | | | |
| OCNG Patterns |  | OP.1 | | | | | | |
| SSB Configuration |  | SSB.1 FR2 | | | | | | |
| SMTC Configuration |  | SMTC.1 | | | | | | |
| CSI-RS configuration for CSI reporting |  | CSI-RS.3.1 TDD | | | | | | |
| reportConfigType |  | periodic | | | | N/A | | |
| reportQuantity |  | cri-RI-PMI-CQI | | | | N/A | | |
| CSI reporting periodicity | slot | 40 | | | | N/A | | |
| CSI reporting offset | slot | 4 | | | | N/A | | |
| EPRE ratio of PSS to SSS | dB | 0 | | | | | | |
| EPRE ratio of PBCH\_DMRS to SSS |
| EPRE ratio of PBCH to PBCH\_DMRS |
| EPRE ratio of PDCCH\_DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH\_DMRS |
| EPRE ratio of PDSCH\_DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH\_DMRS |
| EPRE ratio of OCNG DMRS to SSSNote 1 |
| EPRE ratio of OCNG to OCNG DMRS Note 1 |
| Propagation conditions |  | AWGN | | | | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void  Note 3: Void  Note 4: Void  Note 5: Void | | | | | | | | |

Table A.7.5.3.1.1-4: OTA related test parameters for FR2 SCell activation case

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Cell 1** | | | **Cell 2** | | |
| **T1** | **T2** | **T3** | **T1** | **T2** | **T3** |
| Angle of arrival configuration |  | Setup 1 according to table A.3.15.1 | | | Setup 1 according to table A.3.15.1 | | |
| Assumption for UE beams Note 7 |  | Rough | | | Rough | | |
| Note1 | dBm/15kHzNote4 | -104.7 | | | -104.7 | | |
| Note1 | dBm/SCSNote3 | -95.7 | | | -95.7 | | |
|  | dB | 7 | | | 7 | | |
| SSB\_RPNote2 | dBm/SCS Note4 | -88.7 | | | -88.7 | | |
|  | dB | 7 | | | 7 | | |
| IoNote2 | dBm/95.04 MHz Note4 | -58.92 | | | -58.92 | | |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: Void  Note 7: Implementation about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation. | | | | | | | |

##### A.7.5.3.1.2 Test Requirements

The test requirements defined in clause A.6.5.3.1.2 shall apply to this test case, except Tactivation\_time will be replaced with the value TFirstSSB + 5ms as defined in clause 8.3.

#### A.7.5.3.2 SCell Activation and deactivation for FR1+FR2 inter-band with target SCell in FR2

##### A.7.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.7.5.3.1.1 except the PCell is in FR1 and SCell is in FR2.

The supported test configurations are defined in Table A.7.5.3.2.1-1. The general test parameters are the same as defined in Table A.6.5.3.1.1-2 except that the length of T2 is 2s. And cell specific test parameters are described in Tables A.7.5.3.2.1-2. OTA related test parameters are defined in Table A.7.5.3.2.1-3.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 2) becomes configured on NR. During T1 the SCell is powered off and UE is not aware of SCell.

A MAC message for activation of SCell is sent by the test equipment 100ms after the RRC message, in a slot # denoted m. The point in time at which the MAC message for activation of SCell is received at the UE antenna connector defines the start of time period T2.

During T2, the test equipment monitors the L1-RSRP measurement reporting for the SCell. The time when test equipment receives a valid L1-RSRP report is denoted as slot m+TL1-RSRP. In the next DL slot after slot m+TL1-RSRP, the test equipment sends a MAC message for the activation of the TCI state of the RMC CORESET of the SCell. In the same slot, the test equipment also sends an RRC message to configure the CSI-RS resources for SCell.

Time period T3 starts when a MAC message for deactivation of the SCell, sent from the test equipment to the UE in a slot # denoted n, is received at the UE antenna connector.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PCell and PCell during activation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell1 deactivation command is sent until CSI reporting for SCell1 is discontinued.

**Table A.7.5.3.2.1-1: Supported test configurations for FR2 SCell activation case**

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | PCell: 15 kHz SSB SCS, 10MHz bandwidth, FDD duplex mode  Target SCell: 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| 2 | PCell: 15 kHz SSB SCS, 10MHz bandwidth, TDD duplex mode  Target SCell: 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| 3 | PCell: 30kHz SSB SCS, 40MHz bandwidth, TDD duplex mode  Target SCell: 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to pass in one of the supported test configurations | |

**Table A.7.5.3.2.1-2: Cell specific test parameters for FR2 SCell activation case**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ParameterNote 5 | | Unit | Cell 1 | | | | Cell 2 | | |
| T1 | T2 | T3 | | T1 | T2 | T3 |
| SSB ARFCN | |  | Freq1 | | | | Freq2 | | |
| Duplex mode | Config 1 |  | FDD | | | | TDD | | |
| Config 2,3 |  | TDD | | | | | | |
| TDD configuration | Config 1 |  | Not Applicable | | | | TDDConf.3.1 | | |
| Config 2 | TDDConf.1.1 | | | |
| Config 3 | TDDConf.2.1 | | | |
| Downlink initial BWP Configuration | Config 1,2,3 |  | DLBWP.0.1 | | | | | | |
| Downlink dedicated BWP Configuration | Config 1,2,3 |  | DLBWP.1.1 | | | | | | |
| Uplink initial BWP configuration | Config 1,2,3 |  | ULBWP.0.1 | | | | | | |
| Uplink dedicated BWP configuration | Config 1,2,3 |  | ULBWP.1.1 | | | | | | |
| TRS configuration | Config 1,2,3 |  | N/A | | | | TRS.2.1 TDD | | |
| TCI state | Config 1,2,3 |  | TCI.State.0 | | | | | | |
| BWchannel | Config 1,2 | MHz | 10: NRB,c = 52 | | | | 100: NRB,c = 66 | | |
| Config 3 | 40: NRB,c = 106 | | | |
| Data RBs allocated | Config 1,2 |  | 52 | 66 | 52 | | 66 | 52 | 66 |
|  | Config 3 |  | 106 |  | 106 | |  | 106 |  |
| PDSCH Reference measurement channel | Config 1 |  | SR.1.1 FDD | | | | - | | |
| Config 2 | SR.1.1 TDD | | | |
| Config 3 | SR.2.1 TDD | | | |
| RMSI CORESET Parameters | Config 1 |  | CR.1.1 FDD | | | | - | | |
| Config 2 | CR.1.1 TDD | | | |
| Config 3 | CR.2.1 TDD | | | |
| Dedicated CORESET Parameters | Config 1 |  | CCR.1.1 FDD | | | | - | | |
| Config 2 | CCR.1.1 TDD | | | |
| Config 3 | CCR.2.1 TDD | | | |
| OCNG Patterns | |  | OP.1 | | | | | | |
| SSB configuration | Config 1,2 |  | SSB.1 FR1 | | | | SSB.3 FR2 | | |
| Config 3 | SSB.2 FR1 | | | |
| CSI-RS configuration for CSI reporting | Config 1~3 |  | N/A | | | | N/A | CSI-RS.3.1 TDD Note 6 | CSI-RS.3.1 TDD |
| reportConfigType for CSI reporting |  |  | periodic | | | | N/A | | |
| reportConfigType for L1-RSRP |  |  | periodic | | | | N/A | | |
| reportQuantity for CSI reporting |  |  | cri-RI-PMI-CQI | | | | N/A | | |
| reportQuantity for L1-RSRP |  |  | ssb-Index-RSRP | | | | N/A | | |
| CSI reporting periodicity | Config 1,2 | slot | 5 | | | | N/A | | |
| Config 3 | 10 | | | |
| L1-RSRP reporting periodicity Note 7 | Config 1,2 | slot | 5 | | | | N/A | | |
| Config 3 | 10 | | | |
| CSI reporting offset | Config 1,2 | slot | 2 | | | | N/A | | |
| Config 3 | 4 | | | |
| L1-RSRP reporting offset | Config 1,2 | slot | 2 | | | | N/A | | |
| Config 3 | 4 | | | |
| SMTC configuration | |  | SMTC.1 | | | | | | |
| EPRE ratio of PSS to SSS | | dB | 0 | | | | | | |
| EPRE ratio of PBCH\_DMRS to SSS | |
| EPRE ratio of PBCH to PBCH\_DMRS | |
| EPRE ratio of PDCCH\_DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH\_DMRS | |
| EPRE ratio of PDSCH\_DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH\_DMRS | |
| EPRE ratio of OCNG DMRS to SSSNote 1 | |
| EPRE ratio of OCNG to OCNG DMRS Note 1 | |
| Propagation conditions | |  | N/A  Link only, see clause A.3.7A | | | AWGN | | | |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Void  Note 3: Void  Note 4: Void  Note 5: All parameters apply for configuration 1 and 2.  Note 6: CSI-RS for CSI measurement is (re)configured in the next DL slot after slot m+TL1-RSRP during T2.  Note 7: L1-RSRP measurement and reporting are configured to the the UE prior to the start of time period T1. | | | | | | | | | |

Table A.7.5.3.2.1-3: OTA related test parameters for FR1 PCell activation case with FR2 SCell

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | Cell 1 | | | Cell 2 | | | | |
| T1 | T2 | T3 | T1 | T2 | | T3 | |
| Angle of arrival configuration | |  | N/A | | | According to clause A.3.15.1 | | | | |
| Assumption for UE beams Note 7 | |  | N/A | | | Rough | | | | |
| Note 1 | Config 1,2,3 | dBm/15kHz | Link only, see clause A.3.7A | | | -104.7 | | | | |
| Note 1 | Config 1,2,3 | dBm/SCS | -95.7 | | | | |
|  | Config 1,2,3 | dB | -∞ | | 7 | | 7 |
|  | Config 1,2,3 | dB | -∞ | | 7 | | 7 |
| SSB\_RPNote 2, Note 4 | Config 1,2,3 | dBm/SCS | -∞ | | -88.7 | | -88.7 |
| IoNote 2, Note 4 | Config 1,2,3 | dBm/95.04 MHz | -66.68 | | -58.92 | | -58.92 |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 2: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone  Note 5: Void  Note 6: Void  Note 7: Information about types of UE beam is given in B.2.1.3 and does not imit UE implementation or test system implementation. | | | | | | | | | | |

##### A.7.5.3.2.2 Test Requirements

During T2 the UE shall send the first CSI report for SCell in the first available uplink resource after slot (m+k). UE is allowed to postpone CSI report to next available UL resource if an available uplink resource is subject to interruption. Whether CSI report in a slot was interrupted is checked by monitoring ACK/NACK sent in PCell in the slot.

During T2 the UE shall start sending valid L1-RSRP report for the SCell in the configured slots for CSI reporting after slot (m+TL1-RSRP), where TL1-RSRP is no larger than

3ms + TFirstSSB\_MAX + 15\*TSMTC\_MAX + 8\*Trs + TL1-RSRP, measure + TL1-RSRP, report

as defined in clause 8.3.2. For this test case, TFirstSSB\_MAX=TSMTC\_MAX=Trs=20ms; TL1-RSRP, measure=160ms and TL1-RSRP, report=5ms, which allows TL1-RSRP 680 ms.

During T2 the UE shall start sending CSI reports for the SCell with non-zero CQI index in the configured slots for CSI reporting no later than slot , where

- THARQ is defined in Table A.5.5.3.1.1-2

- Tactivation\_time = 3ms + TFirstSSB\_MAX + 15\*TSMTC\_MAX + 8\*Trs + TL1-RSRP, measure + TL1-RSRP, report + max {(THARQ + Tuncertainty\_MAC + 5ms + TFineTiming), (Tuncertainty\_RRC + TRRC\_delay)}, which allows 710 ms

- TCSI\_Reporting = 10ms

- NR slot length is 0.125ms for this test case.

During T3 the UE shall stop sending CSI reports for both SCells no later than slot , as defined in clause 8.3.

During T2 interruption of PCell during SCell activation shall not happen outside the slot to , as defined in clause 8.3, where TX =20ms.

During T3 the starting point of interruption of PCell during SCell deactivation shall not happen outside the slot to , as defined in clause 8.3.

The interruption of PCell due to activation of SCell shall not be more than the values specified for SA in Clause 8.2.2.2.7.

**<END OF CHANGE 32>**

**<START OF CHANGE 33>**

#### A.7.5.5.1 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with SSB-based BFD and LR in non-DRX mode

##### A.7.5.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set q0 configured for a serving cell and that the UE performs correct SSB-based link recovery based on beam candidate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.1.1-1, A.7.5.5.1.1-2, A.7.5.5.1.1-3 and A.7.5.5.1.1-4 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.1.1-1 shows the variation of the downlink SNR of the SSB in set q0 in the active cell to emulate SSB based beam failure. Figure A.7.5.5.1.1-1 additionally shows the variation of the downlink L1-RSRP of the SSB in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 1.

Table A.7.5.5.1.1-1: Supported test configurations for FR2 PCell

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 2 | TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.7.5.5.1.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active PCell | | 1-2 |  | Cell 1 |  |
| RF Channel Number | | 1-2 |  | 1 |  |
| Duplex mode | | 1-2 |  | TDD |  |
| TDD Configuration | | 1-2 |  | TDDConf.3.1 |  |
| BWchannel | | 1-2 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-2 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-2 | kHz | 120 |  |
| DL initial BWP configuration | | 1-2 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-2 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-2 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-2 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1 |  | SR.3.2 TDD |  |
| 2 | SR.3.3 TDD |  |
| RMSI CORESET Reference Channel | | 1 |  | CR.3.1 TDD |  |
| 2 | CR.3.2 TDD |  |
| Dedicated CORESET Reference Channel | | 1 |  | CCR.3.1 TDD |  |
| 2 | CCR.3.7 TDD |  |
| OCNG parameters | | 1-2 |  | OP.1 |  |
| CP length | | 1-2 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-2 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-2 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1 |  | SSB.1 FR2 |  |
| 2 | SSB.2 FR2 |  |
| SMTC Configuration | | 1-2 |  | SMTC.3 |  |
| PRACH Configuration | | 1-2 |  | FR2 PRACH configuration 2 | A.3.8.3.2 |
| DRX configuration | | 1-2 |  | OFF |  |
| SSB index assigned as BFD RS (q0) | | 1-2 |  | 0 |  |
| SSB index assigned as CBD RS (q1) | | 1-2 |  | 1 |  |
| SSB index assigned as RLM RS | | 1-2 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1-2 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-2 |  | 2 |  |
| Aggregation level | 1-2 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-2 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-2 | dB | 0 |  |
| DMRS precoder granularity | 1-2 |  | REG bundle size |  |
| REG bundle size | 1-2 |  | 6 |  |
| Gap pattern ID | | 1-2 |  | gp0 |  |
| gapOffset | | 1-2 | ms | 0 |  |
| rlmInSyncOutOfSyncThreshold | | 1-2 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| 2 | -92 |
| powerControlOffsetSS | | 1-2 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-2 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-2 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-2 |  | CSI-RS.3.1 TDD |  |
| reportConfigType | | 1-2 |  | periodic |  |
| reportQuantity | | 1-2 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-2 | slot | 40 |  |
| CSI reporting offset | | 1-2 | slot | 4 |  |
| T310 | | 1-2 | ms | 1000 |  |
| N310 | | 1-2 |  | 2 |  |
| T1 | | 1-2 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-2 | s | 2.61 |  |
| T3 | | 1-2 | s | 1.64 |  |
| T4 | | 1-2 | s | 0 |  |
| T5 | | 1-2 | s | 1.01 |  |
| D1 | | 1-2 | s | 0.97 |  |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.7.5.5.1.1-3: Cell specific test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_SSB of set q0 | Config 1-2 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_SSB of set q1 | Config 1-2 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| SSB\_RP of set q1 | Config 1 | dBm/ | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 2 | SCS | -101.5 | -101.5 | -81.5 | -81.5 | -81.5 |
|  | Config 1,2 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.1.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband | | | | | | | |

**Table A.7.5.5.1.1-4: Void**

****

**Figure A.7.5.5.1.1-1: SNR and L1-RSRP variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

##### A.7.5.5.1.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 960+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.5.2 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with SSB-based BFD and LR in DRX mode

##### A.7.5.5.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set q0 configured for a serving cell and that the UE performs correct SSB-based link recovery based on beam candidate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.2.1-1, A.7.5.5.2.1-2, A.7.5.5.2.1-3, A.7.5.5.2.1-4 and A.7.5.5.2.1-5 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.2.1-1 shows the variation of the downlink SNR of the SSB in set q0 in the active cell to emulate SSB based beam failure. Figure A.7.5.5.2.1-1 additionally shows the variation of the downlink L1-RSRP of the SSB in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.7.5.5.2.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |
| 2 | TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth |
| Note: The UE is only required to pass in one of the supported test configurations in FR2 | |

**Table A.7.5.5.2.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active PCell | | 1-2 |  | Cell 1 |  |
| RF Channel Number | | 1-2 |  | 1 |  |
| Duplex mode | | 1-2 |  | TDD |  |
| TDD Configuration | | 1-2 |  | TDDConf.3.1 |  |
| BWchannel | | 1-2 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-2 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-2 | kHz | 120 |  |
| DL initial BWP configuration | | 1-2 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-2 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-2 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-2 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1 |  | SR.3.2 TDD |  |
| 2 | SR.3.3 TDD |  |
| RMSI CORESET Reference Channel | | 1 |  | CR.3.1 TDD |  |
| 2 | CR.3.2 TDD |  |
| Dedicated CORESET Reference Channel | | 1 |  | CCR.3.1 TDD |  |
| 2 | CCR.3.7 TDD |  |
| OCNG parameters | | 1-2 |  | OP.1 |  |
| CP length | | 1-2 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-2 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-2 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1 |  | SSB.1 FR2 |  |
| 2 | SSB.2 FR2 |  |
| SMTC Configuration | | 1-2 |  | SMTC.3 |  |
| PRACH Configuration | | 1-2 |  | FR2 PRACH configuration 2 | A.3.8.3.2 |
| DRX configuration | | 1-2 |  | DRX.3 | A.3.3.3 |
| SSB index assigned as BFD RS (q0) | | 1-2 |  | 0 |  |
| SSB index assigned as CBD RS (q1) | | 1-2 |  | 1 |  |
| SSB index assigned as RLM RS | | 1-2 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1-2 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-2 |  | 2 |  |
| Aggregation level | 1-2 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-2 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-2 | dB | 0 |  |
| DMRS precoder granularity | 1-2 |  | REG bundle size |  |
| REG bundle size | 1-2 |  | 6 |  |
| Gap pattern ID | | 1-2 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1-2 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| 2 | -92 |
| powerControlOffsetSS | | 1-2 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-2 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-2 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-2 |  | CSI-RS.3.1 TDD |  |
| reportConfigType | | 1-2 |  | periodic |  |
| reportQuantity | | 1-2 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-2 | slot | 40 |  |
| CSI reporting offset | | 1-2 | slot | 4 |  |
| T310 | | 1-2 | ms | 1000 |  |
| N310 | | 1-2 |  | 2 |  |
| T1 | | 1-2 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-2 | s | 3.37 |  |
| T3 | | 1-2 | s | 2.8 |  |
| T4 | | 1-2 | s | 0 |  |
| T5 | | 1-2 | s | 0.61 |  |
| D1 | | 1-2 | s | 0.57 |  |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.7.5.5.2.1-3: Cell specific test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_SSB of set q0 | Config 1,2 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_SSB of set q1 | Config 1-2 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| SSB\_RP of set q1 | Config 1 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 2 |  | -101.5 | -101.5 | -81.5 | -81.5 | -81.5 |
|  | Config 1-2 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.1.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband. | | | | | | | |

**Table A.7.5.5.2.1-4: Void**

**Table A.7.5.5.2.1-5: Void**

****

**Figure A.7.5.5.2.1-1: SNR and L1-RSRP variation for SSB-based beam failure detection and link recovery testing in non-DRX mode**

##### A.7.5.5.2.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 560+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.5.3 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with CSI-RS-based BFD and LR in non-DRX mode

##### A.7.5.5.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set q0 configured for a serving cell and that the UE performs correct CSI-RS-based link recovery based on beam candicate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.3.1-1, A.7.5.5.3.1-2, and A.7.5.5.3.1-3 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.3.1-1 shows the variation of the downlink SNR of the CSI-RS in set q0 in the active cell to emulate CSI-RS based beam failure. Figure A.7.5.5.3.1-1 additionally shows the variation of the downlink L1-RSRP of the CSI-RS in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is not enabled.

**Table A.7.5.5.3.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.7.5.5.3.1-2: General test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active PCell | | 1 |  | Cell 1 |  |
| RF Channel Number | | 1 |  | 1 |  |
| Duplex mode | | 1 |  | TDD |  |
| TDD Configuration | | 1 |  | TDDConf.3.1 |  |
| BWchannel | | 1 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1 | kHz | 120 |  |
| DL initial BWP configuration | | 1 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1 |  | SR.3.2 TDD |  |
| RMSI CORESET Reference Channel | | 1 |  | CR.3.1 TDD |  |
| Dedicated CORESET Reference Channel | | 1 |  | CCR.3.1 TDD |  |
| OCNG parameters | | 1 |  | OP.1 |  |
| CP length | | 1 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1 |  | SSB.1 FR2 |  |
| SMTC Configuration | | 1 |  | SMTC.3 |  |
| PRACH Configuration | | 1 |  | FR2 PRACH configuration 4 | A.3.8.3.4 |
| DRX configuration | | 1 |  | OFF |  |
| CSI-RS configuration for BFD/CBD/RLM | | 1 |  | CSI-RS.3.2 TDD | A.3.14.2 |
| CSI-RS index assigned as BFD RS (q0) | | 1 |  | 0 |  |
| CSI-RS index assigned as CBD RS (q1) | | 1 |  | 1 |  |
| CSI-RS index assigned as RLM RS | | 1 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1 |  | 1-0 |  |
| Number of Control OFDM symbols | 1 |  | 2 |  |
| Aggregation level | 1 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1 | dB | 0 |  |
| DMRS precoder granularity | 1 |  | REG bundle size |  |
| REG bundle size | 1 |  | 6 |  |
| Gap pattern ID | | 1 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| powerControlOffsetSS | | 1 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1 |  | CSI-RS.3.1 TDD | A.3.14.2 |
| reportConfigType | | 1 |  | periodic |  |
| reportQuantity | | 1 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1 | slot | 40 |  |
| CSI reporting offset | | 1 | slot | 4 |  |
| T310 | | 1 | ms | 1000 |  |
| N310 | | 1 |  | 2 |  |
| T1 | | 1 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1 | s | 1.17 |  |
| T3 | | 1 | s | 0.9 |  |
| T4 | | 1 | s | 0 |  |
| T5 | | 1 | s | 0.31 |  |
| D1 | | 1 | s | 0.27 |  |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.7.5.5.3.1-3: Cell specific test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_CSI-RS of set q0 | Config 1 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_CSI-RS of set q1 | Config 1 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| CSI-RS\_RP of set q1 | Config 1 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 1 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the REs carrying CSI-RS.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.3.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband. | | | | | | | |

Table A.7.5.5.3.1-4: Void

Table A.7.5.5.3.1-5: Void



Figure A.7.5.5.3.1-1: SNR and L1-RSRP variation for CSI-RS based beam failure detection and link recovery testing in non-DRX mode

##### A.7.5.5.3.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 260+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.5.4 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with CSI-RS-based BFD and LR in DRX mode

##### A.7.5.5.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set q0 configured for a serving cell and that the UE performs correct CSI-RS-based link recovery based on beam candicate set q1. The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.4.1-1, A.7.5.5.4.1-2, A.7.5.5.4.1-3, and A.7.5.5.4.1-4 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.4.1-1 shows the variation of the downlink SNR of the CSI-RS in set q0 in the active cell to emulate CSI-RS based beam failure. Figure A.7.5.5.4.1-1 additionally shows the variation of the downlink L1-RSRP of the CSI-RS in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.7.5.5.4.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth |

**Table A.7.5.5.4.1-2: General test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active PCell | | 1 |  | Cell 1 |  |
| RF Channel Number | | 1 |  | 1 |  |
| Duplex mode | | 1 |  | TDD |  |
| TDD Configuration | | 1 |  | TDDConf.3.1 |  |
| BWchannel | | 1 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1 | kHz | 120 |  |
| DL initial BWP configuration | | 1 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1 |  | SR.3.2 TDD |  |
| RMSI CORESET Reference Channel | | 1 |  | CR.3.1 TDD |  |
| Dedicated CORESET Reference Channel | | 1 |  | CCR.3.1 TDD |  |
| OCNG parameters | | 1 |  | OP.1 |  |
| CP length | | 1 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1 |  | SSB.1 FR2 |  |
| SMTC Configuration | | 1 |  | SMTC.3 |  |
| PRACH Configuration | | 1 |  | FR2 PRACH configuration 4 | A.3.8.3.4 |
| DRX configuration | | 1 |  | DRX.3 | A.3.3.3 |
| CSI-RS configuration for BFD/CBD/RLM | | 1 |  | CSI-RS.3.2 TDD | A.3.14.2 |
| CSI-RS index assigned as BFD RS (q0) | | 1 |  | 0 |  |
| CSI-RS index assigned as CBD RS (q1) | | 1 |  | 1 |  |
| CSI-RS index assigned as RLM RS | | 1 |  | 0,1 |  |
| Beam failure detection transmission parameters | DCI format | 1 |  | 1-0 |  |
| Number of Control OFDM symbols | 1 |  | 2 |  |
| Aggregation level | 1 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1 | dB | 0 |  |
| DMRS precoder granularity | 1 |  | REG bundle size |  |
| REG bundle size | 1 |  | 6 |  |
| Gap pattern ID | | 1 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| powerControlOffsetSS | | 1 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1 |  | CSI-RS.3.1 TDD | A.3.14.2 |
| reportConfigType | | 1 |  | periodic |  |
| reportQuantity | | 1 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1 | slot | 40 |  |
| CSI reporting offset | | 1 | slot | 4 |  |
| T310 | | 1 | ms | 1000 |  |
| N310 | | 1 |  | 2 |  |
| T1 | | 1 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1 | s | 5.43 |  |
| T3 | | 1 | s | 5.16 |  |
| T4 | | 1 | s | 0 |  |
| T5 | | 1 | s | 0.31 |  |
| D1 | | 1 | s | 0.27 |  |
| Note 1: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.7.5.5.4.1-3: Cell specific test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA setup | |  | Setup 1 defined in A.3.15 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_CSI-RS of set q0 | Config 1 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_CSI-RS of set q1 | Config 1 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| CSI-RS\_RP of set q1 | Config 1 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
|  | Config 1 | dBm/120 KHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the REs carrying CSI-RS.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.4.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband. | | | | | | | |

Table A.7.5.5.4.1-4: Void

Table A.7.5.5.4.1-5: Void

Table A.7.5.5.4.1-6: Void



Figure A.7.5.5.4.1-1: SNR and L1-RSRP variation for CSI-RS-based beam failure detection and link recovery testing in DRX mode

##### A.7.5.5.4.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set q1.

No later than time point F occurring no later than D1 = 260+10 ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set q1. The UE shall not transmit preamble on a beam associated with the candidate beam set q1 earlier than time point B.

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.5.5 Scheduling availability restriction during Beam Failure Detection and Link Recovery for FR2 PCell configured with SSB-based BFD and LR in non-DRX mode

##### A.7.5.5.5.1 Test Purpose and Environment

The purpose is to test scheduling availability restrictions when the UE is performing beam failure detection or when the UE is performing L1-RSRP measurement for candidate beam detection, when no DRX is used. This test will verify the scheduling availability restriction requirements in clause 8.5.7 and 8.5.8.

The test parameters are given in Tables A.7.5.5.5.1-1, A.7.5.5.5.1-2 and A.7.5.5.5.1-3 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.5.1-1 shows the variation of the downlink SNR of the SSB in set q0 in the active cell to emulate SSB based beam failure. Figure A.7.5.5.5.1-1 additionally shows the variation of the downlink L1-RSRP of the SSB in set q1 of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms. This test will focus on the scheduling availability during beam failure detection) and candidate beam detection. In the test, DRX configuration is not enabled. Test is to test the scheduling availability restriction of UE performing beam failure detection and candidate beam detection when SSB RS configured for Beam failure detection and candidate beam detection. During the test the UE is scheduled to transmit continuously in UL.

**Table A.7.5.5.5.1-1: Supported test configurations for FR2 PCell**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | NR 120 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| 2 | NR 240 kHz SSB SCS, 100MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations | |

**Table A.7.5.5.5.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Parameter** | | **Test**  **Config.** | **Unit** | **Value** | **Comment** |
|  | |  |  | **Test 1** |  |
| Active PCell | | 1-2 |  | Cell 1 |  |
| RF Channel Number | | 1-2 |  | 1 |  |
| Duplex mode | | 1-2 |  | TDD |  |
| TDD Configuration | | 1-2 |  | TDDConf.3.1 |  |
| BWchannel | | 1-2 |  | 100: NRB,c = 66 |  |
| Data RBs allocated | | 1-2 |  | 66 |  |
| PDSCH/PDCCH subcarrier spacing | | 1-2 | kHz | 120 |  |
| DL initial BWP configuration | | 1-2 |  | DLBWP.0.1 |  |
| DL dedicated BWP configuration | | 1-2 |  | DLBWP.1.1 |  |
| UL initial BWP configuration | | 1-2 |  | ULBWP.0.1 |  |
| UL dedicated BWP configuration | | 1-2 |  | ULBWP.1.1 |  |
| PDSCH Reference Channel | | 1 |  | SR.3.2 TDD |  |
| 2 | SR.3.3 TDD |  |
| RMSI CORESET Reference Channel | | 1 |  | CR.3.1 TDD |  |
| 2 | CR.3.2 TDD |  |
| Dedicated CORESET Reference Channel | | 1 |  | CCR.3.1 TDD |  |
| 2 | CCR.3.7 TDD |  |
| OCNG parameters | | 1-2 |  | OP.1 |  |
| CP length | | 1-2 |  | Normal |  |
| PDSCH/PDCCH TCI state | | 1-2 |  | TCI.State.0 |  |
| CSI-RS for tracking | | 1-2 |  | TRS.2.1 TDD |  |
| SSB Configuration | | 1 |  | SSB.1 FR2 |  |
| 2 | SSB.2 FR2 |  |
| SMTC Configuration | | 1-2 |  | SMTC.1 |  |
| PRACH Configuration | | 1-2 |  | FR2 PRACH configuration 2 | A.3.8.3.2 |
| DRX configuration | | 1-2 |  | OFF |  |
| SSB index assigned as BFD RS (q0) | | 1-2 |  | 0 |  |
| SSB index assigned as CBD RS (q1) | | 1-2 |  | 1 |  |
| Beam failure detection transmission parameters | DCI format | 1-2 |  | 1-0 |  |
| Number of Control OFDM symbols | 1-2 |  | 2 |  |
| Aggregation level | 1-2 | CCE | 8 |  |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 1-2 | dB | 0 |  |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 1-2 | dB | 0 |  |
| DMRS precoder granularity | 1-2 |  | REG bundle size |  |
| REG bundle size | 1-2 |  | 6 |  |
| Gap pattern ID | | 1-2 |  | N/A |  |
| rlmInSyncOutOfSyncThreshold | | 1-2 |  | absent | Value 0 is applied. (Table 8.1.1-1). |
| rsrp-ThresholdSSB | | 1 | dBm/SCS | -95 | Threshold used for Qin\_LR\_SSB |
| 2 | -92 |
| powerControlOffsetSS | | 1-2 |  | db0 | Used for deriving rsrp-ThresholdCSI-RS |
| beamFailureInstanceMaxCount | | 1-2 |  | n1 | see TS 38.321 [7], clause 5.17 |
| beamFailureDetectionTimer | | 1-2 |  | pbfd4 | see TS 38.321 [7], clause 5.17 |
| CSI-RS configuration for CSI reporting | | 1-2 |  | CSI-RS.3.1 TDD |  |
| reportConfigType | | 1-2 |  | periodic |  |
| reportQuantity | | 1-2 |  | cri-RI-PMI-CQI |  |
| CSI reporting periodicity | | 1-2 | slot | 40 |  |
| CSI reporting offset | | 1-2 | slot | 4 |  |
| T310 | | 1-2 | ms | 1000 |  |
| N310 | | 1-2 |  | 2 |  |
| T1 | | 1-2 | s | 1 | The UE shall be fully synchronized to cell 1 during T1 |
| T2 | | 1-2 | s | 2.6 |  |
| T3 | | 1-2 | s | 1.64 |  |
| T4 | | 1-2 | s | 0 |  |
| T5 | | 1-2 | s | 1.01 |  |
| D1 | | 1-2 | s | 0.97 |  |
| Note 1: All configurations are assigned to the UE prior to the start of time period T1.  Note 2: UE-specific PDCCH is not transmitted after T1 starts. | | | | | |

**Table A.7.5.5.5.1-3: Cell specific test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | Unit | Test 1 | | | | |
|  | |  | T1 | T2 | T3 | T4 | T5 |
| AoA Setup | |  | Setup1 defined in A.3.15.1 | | | | |
| Assumption for UE beams Note 10 | |  | Rough | | | | |
| EPRE ratio of PDCCH DMRS to SSS | | dB | 0 | | | | |
| EPRE ratio of PDCCH to PDCCH DMRS | | dB |  | | | | |
| EPRE ratio of PBCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PBCH to PBCH DMRS | | dB |  | | | | |
| EPRE ratio of PSS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH DMRS to SSS | | dB |  | | | | |
| EPRE ratio of PDSCH to PDSCH DMRS | | dB |  | | | | |
| EPRE ratio of OCNG DMRS to SSS | | dB |  | | | | |
| EPRE ratio of OCNG to OCNG DMRS | | dB |  | | | | |
| SNR\_SSB of set q0 | Config 1-2 | dB | 5Note 11 | -3Note 11 | -12 | -12 | -12 |
| SNR\_SSB of set q1 | Config 1-2 | dB | 0.2 | 0.2 | 20.2 | 20.2 | 20.2 |
| SSB\_RP of set q1 | Config 1 | dBm/SCS | -104.5 | -104.5 | -84.5 | -84.5 | -84.5 |
| Config 2 | -101.5 | -101.5 | -81.5 | -81.5 | -81.5 |
|  | Config 1-2 | dBm/120 kHz | -104.7 | | | | |
| Propagation condition | |  | TDL-A 30ns 75Hz | | | | |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  Note 4: Void  Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.5.1-1.  Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is modified as specified in clause A.3.6.  Note 10: Information about types of UE beam given in B.2.1.3 and does not limit UE implementation or test system implementation  Note 11: This value allows up to 1dB degradation from applied SNR to UE baseband. | | | | | | | |

****

Figure A.7.5.5.5.1-1: SNR and L1-RSRP variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode

##### A.7.5.5.5.2 Test Requirements

The UE behaviour during time duration T3 follows the requirements defined in clause 8.5.7.3:

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on BFD-RS symbols to be measured for beam failure detection.

The UE behaviour during time durations T4 and T5 follows the requirements defined in clause 8.5.8.3:

- The UE is not expected to transmit PUCCH/PUSCH or receive PDCCH/PDSCH on reference symbols to be measured for candidate beam detection.

**<END OF CHANGE 33>**

**<START OF CHANGE 34>**

A.7.5.6 Active BWP switch

#### A.7.5.6.1 DCI-based and Timer-based Active BWP Switch

##### A.7.5.6.1.1 NR FR2- NR FR2 DL active BWP switch of SCell with non-DRX in SA

A.7.5.6.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirement on other active serving cell defined in clause 8.2.2.2.5.

The supported test configurations are shown in Table A.7.5.6.1.1.1-1 below. The test scenario comprises of one PCell (Cell 1) and one SCell (Cell 2) as given in Table A.7.5.6.1.1.1-2. NR Cell-specific parameters are specified in Table A.7.5.6.1.1.1-3 below. OTA related test parameters are shown in table A.7.5.6.1.1.1-4 below.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (SCell) on radio channel 2 (SCC).

UE is configured with 2 different UE-specific downlink bandwidth parts for SCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

UE is configured with 1 UE-specific downlink bandwidth parts the same as initial BWP for PSCell, BWP-0 in Cell 1 before starting the test.

UE is indicated in firstActiveDownlinkBWP-Id that the active DL BWP is BWP-1 in SCell.

UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWPis BWP-0 in PCell.

UE is configured with a bwp-InactivityTimer timer value for SCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for SCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in SCell’s slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH no later than the first DL slot that occurs after the beginning of SCell’s DL slot (*i+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PCell no later than the first UL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-2 no later than the first DL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay).

The starting time of PCell (Cell 1) interruption due to BWP switch on SCell shall occur within the BWP switch delay.

During T2, the test equipment won’t transmit DCI format for PDSCH reception on SCell (Cell 2).

During T3,

The time period T3 starts from the slot #*j*, where j is the first slot of the half subframe immediately after *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH no later than the first DL slot that occurs after the beginning of SCell’s DL slot (*j+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PCell at latest on the first UL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-1 no later than the first DL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay).

The starting time of PCell (Cell 1) interruption due to BWP switch of SCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in SCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK/NACK is received.

The test equipment verifies that potential interruption to PCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell during BWP switch of SCell, respectively.

**Table A.7.5.6.1.1.1-1: DL BWP switch supported test configurations**

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD -TDD duplex mode |

**Table A.7.5.6.1.1.1-2: General test parameters for DL BWP switch in SA**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| NR RF Channel Number |  | 1, 2 | Two NR radio channels are used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active SCell |  | Cell 2 | SCell on RF channel number 2. |
| CP length |  | Normal |  |
| DRX |  | OFF | For both PCell and SCell |
| *bwp-InactivityTimer* | ms | 200 |  |
| Cell-individual offset for cells on RF channel number 1 | dB | 0 | Individual offset for cells on PCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on PSCC. |
| Cell2 timing offset to cell1 | μs | 3 | Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1. |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |
| T3 | s | 0.2 |  |

**Table A.7.5.6.1.1.1-3: NR Cell specific test parameters for DL BWP switch in SA**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Cell 1** | **Cell2** |
| Frequency Range |  | FR2 | FR2 |
| Duplex mode |  | TDD | |
| TDD configuration |  | TDDConf.3.1 | |
| BWchannel |  | 100 MHz: NRB,c = 66 | |
| Active BWP ID |  | 0 | 1, 2 |
| Downlink initial BWP Configuration |  | DLBWP.0.2 | |
| Uplink initial BWP Configuration |  | ULBWP.0.2 | N.A. |
| Downlink active BWP-0 Configuration |  | DLBWP.0.2 | - |
| Downlink active BWP-1 Configuration |  | N.A. | DLBWP.1.1 |
| Downlink active BWP-2 Configuration |  | N.A. | DLBWP.1.3 |
| Uplink active BWP-0 Configuration |  | ULBWP.0.2 | N.A. |
| Uplink active BWP-1 Configuration |  | N.A. | N.A. |
| Uplink active BWP-2 Configuration |  | N.A. | N.A. |
| PDSCH Reference measurement channel |  | SR.3.1 TDD | |
| TRS configuration |  | TRS.2.1 TDD | |
| TCI state |  | TCI.State.0 | |
| RMSI CORESET parameters |  | CR.3.1 TDD | |
| Dedicated CORESET parameters |  | CCR.3.1 TDD | |
| OCNG Patterns |  | OP.1 | |
| SSB Configuration |  | SSB.1 FR2 | |
| SMTC Configuration |  | SMTC.1 | |
| Correlation Matrix and Antenna Configuration |  | 1x2 Low | |
| EPRE ratio of PSS to SSS | dB | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS |  |  |  |
| EPRE ratio of PBCH to PBCH DMRS |  |  |  |
| EPRE ratio of PDCCH DMRS to SSS |  |  |  |
| EPRE ratio of PDCCH to PDCCH DMRS |  |  |  |
| EPRE ratio of PDSCH DMRS to SSS |  |  |  |
| EPRE ratio of PDSCH to PDSCH |  |  |  |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |  |  |  |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |  |  |  |
| Propagation Condition |  | AWGN | AWGN |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. | | | |

**Table A.7.5.6.1.1.1-4: OTA related test parameters for BWP switching test case**

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | Unit | Cell 1 | Cell 2 |
| Angle of arrival configuration |  | Setup 1 defined in clause A.3.15.1 | |
| Assumption for UE beams Note 6 |  | Fine | Fine |
| Note1 | dBm/15kHz | -112 | -112 |
| Note1 | dBm/SCS | -103 | -103 |
| SS-RSRPNote2 | dBm/SCS Note3 | -85 | -85 |
|  | dB | 18 | 18 |
| IoNote4 | dBm/95.04 MHz Note4 | -56 | -56 |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.  Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone.  Note 6: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation. | | | |

A.7.5.6.1.1.2 Test Requirements

During T1, the UE shall start to send the ACK/NACK for SCell on PCell from the first UL slot that occurs after the beginning of DL slot (*i+*TBWPswitchDelay+k1).

During T3, the UE shall start to send the ACK/NACK for SCell on PCell from the first UL slot that occurs after the beginning of DL slot (*j+*TBWPswitchDelay+k1).

Where, k1 is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration TBWPswitchDelay defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed SCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1 and T3, the start time of PCell interruption during SCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of PCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.2.2.2.5.

All of the above test requirements shall be fulfilled in order for the observed SCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in the first UL slot that occurs after the beginning of DL slot (*i+* TBWPswitchDelay+k1), (*j+* TBWPswitchDelay+k1), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

##### A.7.5.6.1.2 NR FR1- NR FR2 DL active BWP switch of SCell with non-DRX in SA

A.7.5.6.1.2.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirement on other active serving cell defined in clause 8.2.2.2.5.

The supported test configurations are shown in Table A.7.5.6.1.2.1-1 below. The test scenario comprises of one NR PCell (Cell 1) and one NR SCell (Cell 2). The general parameters are given in Table A.7.5.6.1.2.1-2. NR Cell-specific parameters are specified in Table A.7.5.6.1.2.1-3 below. OTA related test parameters are shown in table A.7.5.6.1.2.1-4 below.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (SCell) on radio channel 2 (SCC).

UE is configured with 2 different UE-specific downlink bandwidth parts for SCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

UE is configured with 1 UE-specific downlink bandwidth parts the same as initial BWP for PCell, BWP-0 in Cell 1 before starting the test.

UE is indicated in firstActiveDownlinkBWP-Id that the active DL BWP is BWP-1 in SCell.

UE is indicated in firstActiveDownlinkBWP-Id that the active DL BWP is BWP-0 in PCell.

UE is configured with a bwp-InactivityTimer timer value for SCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for SCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in SCell’s slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH no later than the first DL slot that occurs after the beginning of SCell’s DL slot (*i+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PCell no later than the first UL slot that occurs after the begining of slot (*i+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-2 no later than the first DL slot that occurs after the beginning of slot (*i+*TBWPswitchDelay).

The starting time of PCell (Cell 1) interruption due to BWP switch on SCell shall occur within the BWP switch delay if the UE doesn’t support per-FR gap, otherwise no interruption due to BWP switch on PCell is allowed.

During T2, the test equipment won’t transmit DCI format for PDSCH reception on SCell (Cell 2).

During T3,

The time period T3 starts from the slot #*j*, where j is the first slot of the half subframe immediately after *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH no later than the first DL slot that occurs after the beginning of SCell’s DL slot (*j+*TBWPswitchDelay) as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell on PCell at latest on the first UL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay+k1). The UE shall be continuously scheduled on SCell’s BWP-1 no later than the first DL slot that occurs after the beginning of slot (*j+*TBWPswitchDelay).

The starting time of PCell (Cell 1) interruption due to BWP switch of SCell shall occur within the BWP switch delay if the UE doesn’t support per-FR gap, otherwise no interruption due to BWP switch on PCell is allowed.

The test equipment verifies the DL BWP switch time in SCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK/NACK is received.

The test equipment verifies that potential interruption to PCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell during BWP switch of SCell, respectively.

**Table A.7.5.6.1.2.1-1: DL BWP switch supported test configurations**

|  |  |
| --- | --- |
| Config | Description |
| 1 | PCell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode  SCell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | PCell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode  SCell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 3 | PCell: NR 30 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode  SCell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurations | |

**Table A.7.5.6.1.2.1-2: General test parameters for DL BWP switch in SA**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| NR RF Channel Number |  | 2 | Two NR radio channel is used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| Active SCell |  | Cell 2 | SCell on RF channel number 2. |
| CP length |  | Normal |  |
| DRX |  | OFF | For both PCell and SCell |
| *bwp-InactivityTimer* | ms | 200 |  |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on SCC. |
| Cell-individual offset for cells on RF channel number 2 | dB | 0 | Individual offset for cells on PSCC. |
| Cell2 timing offset to cell1 | μs | 3 | Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1. |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |
| T3 | s | 0.2 |  |

**Table A.7.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in SA**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Cell 1** | **Cell2** |
| Frequency Range | |  | FR1 | FR2 |
| Duplex mode | Config 1 |  | FDD | TDD |
|  | Config 2,3 |  | TDD |  |
| TDD configuration | Config 1 |  | Not Applicable | TDDConf.3.1 |
|  | Config 2 |  | TDDConf.1.1 |  |
|  | Config 3 |  | TDDConf.2.1 |  |
| BWchannel | Config 1,2 | MHz | 10 MHz: NRB,c = 52 | 100 MHz: NRB,c = 66 |
|  | Config 3 |  | 40 MHz: NRB,c = 106 |  |
| Active BWP ID | |  | 0 | 1, 2 |
| Downlink initial BWP Configuration | |  | DLBWP.0.2 | |
| Uplink initial BWP Configuration | |  | ULBWP.0.2 | N.A. |
| Downlink active BWP-0 Configuration | |  | DLBWP.0.2 | - |
| Downlink active BWP-1 Configuration | |  | - | DLBWP.1.1 |
| Downlink active BWP-2 Configuration | |  | - | DLBWP.1.3 |
| Uplink active BWP-0 Configuration | |  | ULBWP.0.2 | - |
| Uplink active BWP-1 Configuration | |  | - | N.A. |
| Uplink active BWP-2 Configuration | |  | - | N.A. |
| PDSCH Reference | Config 1 |  | SR.1.1 FDD | SR.3.1 TDD |
| measurement | Config 2 |  | SR.1.1 TDD |  |
| channel | Config 3 |  | SR.2.1 TDD |  |
| RMSI CORESET | Config 1 |  | CR.1.1 FDD | CR.3.1 TDD |
| parameters | Config 2 |  | CR.1.1 TDD |  |
|  | Config 3 |  | CR.2.1 TDD |  |
| Dedicated | Config 1 |  | CCR.1.1 FDD | CCR.3.1 TDD |
| CORESET | Config 2 |  | CCR.1.1 TDD |  |
| parameters | Config 3 |  | CCR.2.1 TDD |  |
| OCNG Patterns | |  | OP.1 | |
| SSB Configuration | Config 1,2 |  | SSB.1 FR1 | SSB.1 FR2 |
|  | Config 3 |  | SSB.2 FR1 |  |
| TRS configuration | Config 1,2,3 |  | - | TRS.2.1 TDD |
| TCI state | Config 1,2,3 |  | TCI.State.0 | TCI.State.0 |
| SMTC Configuration | |  | SMTC.1 | |
| Correlation Matrix and Antenna Configuration | |  | NA  Link only, see clause A.3.7A | 1x2 Low |
| EPRE ratio of PSS to SSS | | dB | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS | |  |  |  |
| EPRE ratio of PBCH to PBCH DMRS | |  |  |  |
| EPRE ratio of PDCCH DMRS to SSS | |  |  |  |
| EPRE ratio of PDCCH to PDCCH DMRS | |  |  |  |
| EPRE ratio of PDSCH DMRS to SSS | |  |  |  |
| EPRE ratio of PDSCH to PDSCH | |  |  |  |
| EPRE ratio of OCNG DMRS to SSS(Note 1) | |  |  |  |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) | |  |  |  |
| Propagation Condition | |  | NA  Link only, see clause A.3.7A | AWGN |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP and SCH\_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves. | | | | |

**Table A.7.5.6.1.2.1-4: OTA related test parameters for BWP switching test case**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Cell 1** | **Cell 2** |
| Angle of arrival configuration |  | NA  Link only, see clause A.3.7A | Setup 1 defined in clause A.3.15.1 |
| Assumption for UE beams Note 6 |  | Fine |
| Note1 | dBm/15kHz | -112 |
| Note1 | dBm/SCS | -103 |
| SS-RSRPNote2 | dBm/SCS Note3 | -85 |
|  | dB | 18 |
| IoNote4 | dBm/95.04 MHz Note4 | -56 |
| Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.  Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone.  Note 6: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation. | | | |

A.7.5.6.1.2.2 Test Requirements

During T1, the UE shall start to send the ACK/NACK for PCell from the first UL slot that occurs after the beginning of DL slot (*i+TBWPswitchDelay*+*k1*).

During T3, the UE shall start to send the ACK/NACK for PCell from the first UL slot that occurs after the beginning of DL slot (*j+TBWPswitchDelay*+*k1*).

Where, *k1* is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration *TBWPswitchDelay* defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

If the UE doesn’t support per-FR gap,

During T1 and T3, the start time of SCell interruption during PCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of SCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.2.2.2.5.

Otherwise no interruption due to BWP switch on SCell is allowed.

All of the above test requirements shall be fulfilled in order for the observed SCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK/NACK in the first UL slot that occurs after the beginning of DL slot (*i+ TBWPswitchDelay*+*k1*), (*j+ TBWPswitchDelay*+*k1*), then the UE shall use the next available uplink resource for reporting the corresponding ACK/NACK.

**<END OF CHANGE 34>**

**<START OF CHANGE 35>**

A.7.5.8 Active TCI state switch delay

#### A.7.5.8.1 MAC-CE based active TCI state switch

A.7.5.8.1.1 NR PCell FR2 active TCI state switch for a known TCI state

A.7.5.8.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the active TCI state switch delay requirement defined in clause 8.10.3. Supported test configuration is shown in Table A.7.5.8.1.1.1-1.

The test scenario comprises of one NR PCell (Cell 1) as given in Table A.7.5.8.1.1.1-2. Cell-specific parameters of NR PCell are specified in Table A.7.5.8.1.1.1-3 below. The OTA related test parameters for FR2 are shown in Table A.7.5.8.1.1.1-4.

PDCCHs indicating new transmissions shall be sent continuously on PCell to ensure that the UE would have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC).

- UE is configured with 2 different TCI states for PCell, PDCCH TCI state 0 (QCL’d to SSB0) and TCIstate 1 (QCL’d to SSB1), in Cell 1 before starting the test.

- UE is indicated in TCI state 0 as the active PDCCH TCI state

The test consists of two time periods, T1 and T2. Figure A.7.5.8.1.1.1-1 and Figure A.7.5.8.1.1.1-2 show the Time multiplexed (allocation in Frequency is symbolic) downlink transmissions from each Angle of Arrival. During T1 only SSB to which PDCCH-TCI-state0 is QCL’d is transmitted. At the beginning of T2, the SSB corresponding to TCI state 1 starts transmitting. The UE is configured to provide periodic L1-RSRP reports. In slot n which is within 1280ms of UE providing L1-RSRP report with results for both SSB0 and SSB1, UE receives a MAC-CE command indicating a switch to TCI state 1. *tci-PresentInDCI* is not configured in the PDSCH configuration, i.e. TCI state for the PDSCH is identical to the PDCCH TCI state.

The test equipment verifies that UE can be scheduled on PCell on TCI state 0 till n+ THARQ +3 ms. The test equipment also verifies the TCI state switch time in PCell by scheduling the UE on TCI state 1 after n+ THARQ +3 ms + (Tfirst-SSB + TSSB-proc) .

**Table A.7.5.8.1.1.1-1: Supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

**Table A.7.5.8.1.1.1-2: General test parameters for TCI state switch**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| NR RF Channel Number |  | 1 | One NR radio channel is used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| CP length |  | Normal |  |
| DRX |  | OFF |  |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |

**Table A.7.5.8.1.1.1-3: NR Cell specific test parameters for TCI state switch**

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Cell 1** |
| Frequency Range |  | FR2 |
| Duplex mode |  | TDD |
| TDD configuration |  | TDDConf.3.1 |
| BWchannel |  | 100 MHz: NRB,c = 66 |
| Data RBs allocated |  | 66 |
| Initial DL BWP Configuration |  | DLBWP.0.2 |
| Dedicated DL BWP Configuration |  | DLBWP.1.1 |
| Initial UL BWP Configuration |  | ULBWP.0.2 |
| Dedicated UL BWP Configuration |  | ULBWP.1.1 |
| PDSCH Reference measurement channel |  | SR.3.2 TDD |
| RMSI CORESET parameters |  | CR.3.1 TDD |
| Dedicated CORESET parameters |  | CCR.3.1 TDD |
| OCNG Patterns |  | OP.5 |
| SSB Configuration |  | SSB.1 FR2 |
| SMTC Configuration |  | SMTC.1 |
| TCI State 0 |  | TC. State.0 |
| TCI State 1 |  | TCI.State.1 |
| TRS Configuration |  | TRS.2.1 TDD |
| Correlation Matrix and Antenna Configuration |  | 1x2 Low |
| EPRE ratio of PSS to SSS | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |
| Propagation Condition |  | AWGN |
| Note 1: OCNG shall be used such that a constant total transmitted power spectral density is achieved for all OFDM symbols. | | |

**Table A.7.5.8.1.1.1-4: OTA related test parameters for TCI state switch**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Cell 1 | | | | |
|  |  | SSB0 | | SSB1 | | |
|  |  | T1 | T2 | T1 | | T2 |
| Angle of arrival configuration |  | Setup 3 According to clause A.3.15.3 | | | | |
|  |  | AoA1 | | | AoA2 | |
| Assumption for UE beams Note 6 |  | Rough | | | Rough | |
| Ês | dBm/SCS | -80.6 | -80.6 | -Infinity | | -80.6 |
| SSB-RP Note 2 | dBm/SCS | -80.6 | -80.6 | -Infinity | | -80.6 |
| BB Note 7 | dB | 8.3 | 8.3 | -Infinity | | 8.3 |
| Io Note2 | dBm/95.04 MHz Note4 | -56.0 | -56.0 | - Infinity | | -56.0 |
| Note 1: Void  Note 2: SSB-RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: As observed with 0dBi gain antenna at the center of the quiet zone.  Note 6: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 7: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | |



Figure A.7.5.8.1.1.1-1: Time multiplexed downlink transmissions during T1



Figure A.7.5.8.1.1.1-2: Time multiplexed downlink transmissions during T2

A.7.5.8.1.1.2 Test Requirements

During T2, UE shall send L1-RSRP report with results for both SSB0 and SSB1.

After receiving MAC-CE command in slot n, UE shall:

- be able to continue to receive on TCI state 0 till n+ THARQ +3 ms

- be able to start receiving on TCI state 1 after n+ THARQ +5 ms + Tfirst-SSB

#### A.7.5.8.2 RRC based active TCI state switch

A.7.5.8.2.1 NR PCell FR2 active TCI state switch for a known TCI state

A.7.5.8.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the active TCI state switch delay requirement defined in clause 8.10.3. Supported test configuration is shown in Table A.7.5.8.2.1.1-1.

The test scenario comprises of one NR PCell as given in Table A.7.5.8.2.1.1-2. Cell-specific parameters of NR PCell is specified in Table A.7.5.8.2.1.1-3 below. The OTA related test parameters for FR2 is shown in Table A.7.5.8.2.1.1-4.

PDCCHs indicating new transmissions shall be sent continuously on PCell to ensure that the UE would have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC).

- UE is configured with 1 TCI state for PCell, PDCCH-TCI-state0 (QCL’d to SSB0)

- UE is indicated in TCI state0 as the active TCI state

The test consists of two time periods, T1 and T2. Figure A.7.5.8.2.1.1-1 and Figure A.7.5.8.2.1.1-2 show the Time multiplexed (allocation in Frequency is symbolic) downlink transmissions from each Angle of Arrival. During T1 only SSB to which TCI-state0 is QCL’d is transmitted. At the beginning of T2, the SSB corresponding to TCI-state1 starts transmitting. The UE is configured to provide periodic L1-RSRP reports. In slot n which is within 1280 ms of UE providing L1-RSRP report with results for both SSB0 and SSB1, UE receives a RRC command indicating a switch to TCI-state1.

The test equipment verifies the TCI state switch time in PCell by scheduling the UE on TCI state 1 after n+ TRRC\_processing  + Tfirst-SSB + 2ms.

**Table A.7.5.8.2.1.1-1: Supported test configurations**

|  |  |
| --- | --- |
| **Config** | **Description** |
| 1 | NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |

**Table A.7.5.8.2.1.1-2: General test parameters for TCI state switch**

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | **Comment** |
| NR RF Channel Number |  | 1 | One NR radio channel is used for this test |
| Active PCell |  | Cell 1 | PCell on RF channel number 1. |
| CP length |  | Normal |  |
| DRX |  | OFF |  |
| T1 | s | 0.2 |  |
| T2 | s | 0.2 |  |

**Table A.7.5.8.2.1.1-3: NR Cell specific test parameters for TCI state switch**

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Cell 1** |
| Frequency Range |  | FR2 |
| Duplex mode |  | TDD |
| TDD configuration |  | TDDConf.3.1 |
| BWchannel |  | 100 MHz: NRB,c = 66 |
| Data RBs allocated |  | 66 |
| Initial DL BWP Configuration |  | DLBWP.0.2 |
| Dedicated DL BWP Configuration |  | DLBWP.1.1 |
| Initial UL BWP Configuration |  | ULBWP.0.2 |
| Dedicated UL BWP Configuration |  | ULBWP.1.1 |
| PDSCH Reference measurement channel |  | SR.3.2 TDD |
| RMSI CORESET parameters |  | CR.3.1 TDD |
| Dedicated CORESET parameters |  | CCR.3.1 TDD |
| OCNG Patterns |  | OP.5 |
| SSB Configuration |  | SSB.1 FR2 |
| SMTC Configuration |  | SMTC.1 |
| TCI State 0 |  | TC. State.0 |
| TCI State 1 |  | TCI.State.1 |
| reportConfigType |  | ssb-Index-RSRP |
| reportConfigType |  | periodic |
| Number of reported RS |  | 2 |
| L1-RSRP reporting period | slot | 640 |
| timeRestrictionForChannelMeasurements |  | configured |
| TRS Configuration |  | TRS.2.1 TDD |
| Correlation Matrix and Antenna Configuration |  | 1x2 Low |
| EPRE ratio of PSS to SSS | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS |
| EPRE ratio of PDSCH to PDSCH |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |
| Propagation Condition |  | AWGN |
| Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. | | |

**Table A.7.5.8.2.1.1-4: OTA related test parameters for TCI state switch**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Cell 1** | | | | |
| **SSB0** | | **SSB1** | | |
| **T1** | **T2** | **T1** | | **T2** |
| Angle of arrival configuration |  | Setup 3 According to clause A.3.15.3 | | | | |
| AoA1 | | | AoA2 | |
| Assumption for UE beams Note 6 |  | Rough | | | Rough | |
| Ês | dBm/SCS | -80.6 | -80.6 | -Infinity | | -80.6 |
| SSB-RP Note 2 | dBm/SCS | -80.6 | -80.6 | -Infinity | | -80.6 |
| BB Note 7 | dB | 8.3 | 8.3 | -Infinity | | 8.3 |
| Io Note2 | dBm/95.04 MHz Note4 | -56.0 | -56.0 | - Infinity | | -56.0 |
| Note 1: Void  Note 2: SSB-RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 3: Void  Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  Note 5: As observed with 0dBi gain antenna at the center of the quiet zone.  Note 6: Information about types of UE beam is given in B.2.1.3 and does not limit UE implementation or test system implementation.  Note 7: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | |



Figure A.7.5.8.2.1.1-1: Time multiplexed downlink transmissions during T1



Figure A.7.5.8.2.1.1-2: Time multiplexed downlink transmissions during T2

A.7.5.8.2.1.2 Test Requirements

During T2, UE shall send L1-RSRP report with both SSB0 and SSB1.

After receiving RRC command in slot n, UE shall be able to start receiving on TCI state 1 after n+ TRRC\_processing  + Tfirst-SSB + 2ms.

**<END OF CHANGE 35>**

**<START OF CHANGE 36>**

#### A.7.6.1.1 SA event triggered reporting test without gap under non-DRX

##### A.7.6.1.1.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.7.6.1.1.1-1.

**Table A.7.6.1.1.1-1: supported test configurations**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations. | |

There are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.6.1.1.1-2, A.7.6.1.1.1-3 and A.7.6.1.1.1-4 below.

In the measurement control information, a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.7.6.1.1.1-2: General test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap without DRX**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Config** | **Value** | **Comment** |
| Active cell |  | 1, 2 | PCell (Cell 1) |  |
| Neighbour cell |  | 1, 2 | Cell 2 | Cell to be identified. |
| RF Channel Number |  | 1, 2 | 1: Cell 1 and Cell 2 | One TDD carrier frequency is used for the NR cells. |
| SMTC configuration |  | 1, 2 | SMTC.1 |  |
| A3-Offset | dB | 1, 2 | -11 |  |
| CP length |  | 1, 2 | Normal |  |
| Hysteresis | dB | 1, 2 | 0 |  |
| Time To Trigger | s | 1, 2 | 0 |  |
| Filter coefficient |  | 1, 2 | 0 | L3 filtering is not used |
| DRX |  | 1, 2 | OFF |  |
| Time offset between Cell 1 and Cell 2 |  | 1, 2 | 3 μs | Synchronous cells |
| T1 | s | 1, 2 | 5 |  |
| T2 | s | 1, 2 | 5 |  |

**Table A.7.6.1.1.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap without DRX**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Config** | **Cell 1** | | **Cell 2** | |
| **T1** | **T2** | **T1** | **T2** |
| TDD configuration |  | 1, 2 | TDDConf.3.1 | | TDDConf.3.1 | |
| BWchannel | MHz | 1, 2 | 100: NRB,c = 66 | | 100: NRB,c = 66 | |
| Data RBs allocated |  | 1 | 24 | | 24 | |
| 2 | 48 | | 48 | |
| Intial BWP configuration |  | 1, 2 | DLBWP.0.1  ULBWP.0.1 | | DLBWP.0.1  ULBWP.0.1 | |
| Active DL BWP configuration |  | 1, 2 | DLBWP.1.1 | | DLBWP.1.1 | |
| Active UL BWP configuration |  | 1, 2 | ULBWP.1.1 | | ULBWP.1.1 | |
| RLM-RS |  | 1, 2 | SSB | | SSB | |
| PDSCH RMC configuration |  | 1 | SR.3.2 TDD | | N/A | |
| 2 | SR.3.3 TDD | |
| RMSI CORESET RMC configuration |  | 1 | CR.3.1 TDD | | CR.3.1 TDD | |
| 2 | CR.3.2 TDD | | CR.3.2 TDD | |
| Dedicated CORESET RMC configuration |  | 1 | CCR.3.1 TDD | | CCR.3.1 TDD | |
| 2 | CCR.3.7 TDD | | CCR.3.7 TDD | |
| TRS configuration |  | 1, 2 | TRS.2.1 TDD | | N/A | |
| PDSCH/PDCCH TCI states |  | 1, 2 | TCI.State.2 | | N/A | |
| PDSCH/PDCCH subcarrier spacing | kHz | 1, 2 | 120 | | 120 | |
| OCNG Patterns |  | 1, 2 | OP.5 | | N/A | |
| cellIndividualOffset | dB | 1~2 | N/A | | 16 | |
| SSB |  | 1 | SSB.3 FR2 | | SSB.7 FR2 | |
| 2 | SSB.4 FR2 | | SSB.8 FR2 | |
| Propagation Condition |  | 1, 2 | AWGN | | AWGN | |

**Table A.7.6.1.1.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap without DRX**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Config** | **Cell 1** | | | | **Cell 2** | |
| **T1** | **T2** | | | **T1** | **T2** |
| AoA setup |  | 1, 2 | Setup 3 defined in A.3.15.3 | | | | | |
| AoA1 | | | | AoA2 | |
| Beam assumptionNote 4 |  | 1,2 | Rough | | | | Rough | |
| Es | dBm/SCS | 1 | -89 | | -89 | | -Infinity | -89 |
| 2 | -86 | | -86 | | -Infinity | -86 |
| BB Note 5 | dB | 1, 2 | -0.12 | -0.12 | | | -Infinity | -0.12 |
| SSB\_RP | dBm/SCS | 1 | -89 | -89 | | | -Infinity | -89 |
| 2 | -86 | -86 | | | -Infinity | -86 |
|  | dBm/95.04MHz | 1 | -64.41 | | | -64.41 | -Infinity | -64.41 |
| 2 | -61.41 | | | -61.41 | -Infinity | -61.41 |
| Time multiplexing of the downlink transmissions from each AoA | | 1, 2 | Defined in Figure A.7.6.1.1.1-1 | | | | | |
| Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.  Note 2: Void  Note 3: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 5: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | | | |



Figure A.7.6.1.1.1-1: Time multiplexed downlink transmissions (Config 1 example)

##### A.7.6.1.1.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 2.4s for a UE supporting power class 1,

- 1.44s for a UE supporting power class 2, 3 and 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTIDCCH higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**<END OF CHANGE 36>**

**<START OF CHANGE 37>**

#### A.7.6.1.3 SA event triggered reporting test with per-UE gaps under non-DRX

##### A.7.6.1.3.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.7.6.1.3.1-1.

**Table A.7.6.1.3.1-1: supported test configurations**

|  |  |
| --- | --- |
| **Configuration** | **Description** |
| 1 | 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| 2 | 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note: The UE is only required to be tested in one of the supported test configurations. | |

There are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.6.1.3.1-2 ~ 4 below.

There are two BWPs configured in Cell 1, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 1. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

In the measurement control information, a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.7.6.1.3.1-2: General test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps without DRX**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Config** | **Value** | **Comment** |
| Active cell |  | 1, 2 | PCell (Cell 1) |  |
| Neighbour cell |  | 1, 2 | Cell 2 | Cell to be identified. |
| RF Channel Number |  | 1, 2 | 1: Cell 1 and Cell 2 | One TDD carrier frequency is used for the NR cells. |
| Gap type |  | 1, 2 | Per-UE gaps |  |
| Measurement gap repitition periodicity | ms | 1, 2 | 40 |  |
| Measurement gap length | ms | 1, 2 | 6 |  |
| Measurement gap offset | ms | 1, 2 | 39 |  |
| SMTC configuration |  | 1, 2 | SMTC.1 |  |
| CSI-RS parameters |  | 1, 2 | CSI-RS.3.2 TDD |  |
| A3-Offset | dB | 1, 2 | -11 |  |
| CP length |  | 1, 2 | Normal |  |
| Hysteresis | dB | 1, 2 | 0 |  |
| Time To Trigger | s | 1, 2 | 0 |  |
| Filter coefficient |  | 1, 2 | 0 | L3 filtering is not used |
| DRX |  | 1, 2 | OFF |  |
|  |  |  |  |  |
| Time offset between Cell 1 and Cell 2 |  | 1, 2 | 3 μs | Synchronous cells |
| T1 | s | 1, 2 | 5 |  |
| T2 | s | 1, 2 | 5 |  |

**Table A.7.6.1.3.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps without DRX**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Config** | **Cell 1** | | **Cell 2** | |
| **T1** | **T2** | **T1** | **T2** |
| TDD configuration |  | 1, 2 | TDDConf.3.1 | | TDDConf.3.1 | |
| BWchannel | MHz | 1, 2 | 100: NRB,c = 66 | | 100: NRB,c = 66 | |
| Data RBs allocated |  | 1 | 24 | | 24 | |
| 2 | 48 | | 48 | |
| Intial BWP configuration |  | 1, 2 | DLBWP.0.1  ULBWP.0.1 | | DLBWP.0.1  ULBWP.0.1 | |
| Active DL BWP configuration |  | 1, 2 | DLBWP.1.2 | | DLBWP.1.1 | |
| Active UL BWP configuration |  | 1, 2 | ULBWP.1.2 | | ULBWP.1.1 | |
| RLM-RS |  | 1, 2 | CSI-RS | | SSB | |
| PDSCH RMC configuration |  | 1 | SR.3.2 TDD | | N/A | |
| 2 | SR.3.3 TDD | |
| RMSI CORESET RMC configuration |  | 1 | CR.3.1 TDD | | CR.3.1 TDD | |
| 2 | CR.3.2 TDD | | CR.3.2 TDD | |
| Dedicated CORESET RMC configuration |  | 1 | CCR.3.1 TDD | | CCR.3.1 TDD | |
| 2 | CCR.3.7 TDD | | CCR.3.7 TDD | |
| TRS configuration |  | 1, 2 | TRS.2.1 TDD | | N/A | |
| PDSCH/PDCCH TCI states |  | 1, 2 | TCI.State.2 | | N/A | |
| PDSCH/PDCCH subcarrier spacing | kHz | 1, 2 | 120 | | 120 | |
| OCNG Patterns |  | 1, 2 | OP.5 | | N/A | |
| cellIndividualOffset | dB | 1~2 | N/A | | 16 | |
| SSB |  | 1 | SSB.3 FR2 | | SSB.7 FR2 | |
| 2 | SSB.4 FR2 | | SSB.8 FR2 | |
| Propagation Condition |  | 1, 2 | AWGN | | AWGN | |

**Table A.7.6.1.3.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps without DRX**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Config** | **Cell 1** | | **Cell 2** | |
| **T1** | **T2** | **T1** | **T2** |
| AoA setup |  | 1, 2 | Setup 3 defined in A.3.15.3 | | | |
| AoA1 | | AoA2 | |
| Beam AssumptionNote 4 |  | 1,2 | Rough | | Rough | |
| Es | dBm/SCS | 1 | -89 | -89 | -Infinity | -89 |
| 2 | -86 | -86 | -Infinity | -86 |
| BB Note 5 | dB | 1, 2 | -0.12 | -0.12 | -Infinity | -0.12 |
| SSB\_RP | dBm/SCS | 1 | -89 | -89 | -Infinity | -89 |
| 2 | -86 | -86 | -Infinity | -86 |
|  | dBm/95.04MHz | 1 | -64.41 | -64.41 | -Infinity | -64.41 |
| 2 | -61.41 | -61.41 | -Infinity | -61.41 |
| Time multiplexing of the downlink transmissions from each AoA | | 1 | Defined in Figure A.7.6.1.3.1-1 | | | |
| Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.  Note 2: Void  Note 3: Es/Iot, SSB\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation  Note 5: Calculation of Es/IotBB includes the effect of UE internal noise up to the value assumed for the associated Refsens requirement in clause 7.3.2 of TS 38.101-2 [19], and an allowance of 1dB for UE multi-band relaxation factor ΔMBP from TS 38.101-2 [19] Table 6.2.1.3-4. | | | | | | |



Figure A.7.6.1.3.1-1: Time multiplexed downlink transmissions (Config 1 example)

##### A.7.6.1.3.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 3.2s for a UE supporting power class 1,

- 1.92s for a UE supporting power class 2, 3 and 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTIDCCH higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**<END OF CHANGE 37>**

**<START OF CHANGE 38>**

#### A.8.5.1.1 SFTD accuracy

##### A.8.5.1.1.1 Test Purpose

The purpose of this set of tests is to verify that the SFTD measurement accuracy is within the specified limits. This test will verify the requirements as specified in clause 9.1.27 in TS 36.133 [15] for inter-RAT FR1 SFTD measurements.

##### A.8.5.1.1.2 Test Environment

Supported test configurations are shown in Table A.8.5.1.1.2-1. In this set of test cases there are two cells on different carriers. Cell 1 is E-UTRAN PCell and Cell 2 is inter-RAT NR FR1 target cell. The test parameters of cell 1 are given in clause A.8.5.1.1.2-2. The test parameters of cell 2 are given in Table A.8.5.1.1.2-3. The SFTD between PCell and target cell shall be set by the test equipment to one of the time differences in Table A.8.5.1.1.2-4.

**Table A.8.5.1.1.2-1: Supported test configurations for SFTD accuracy**

|  |  |
| --- | --- |
| Configuration | Description |
| 1 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD |
| 2 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD |
| 3 | NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD |
| 4 | NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD |
| 5 | NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD |
| 6 | NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD |
| Note: The UE is only required to be tested in one of the supported test configurations | |

Table A.8.5.1.1.2-2: Test parameters for SFTD accuracy (Cell 1)

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Test 1 |
| E-UTRA RF Channel Number |  | 1 |
| Duplex mode |  | FDD or TDD |
| TDD special subframe configurationNote1 |  | 6 |
| TDD uplink-downlink configurationNote1 |  | 1 |
| BWchannel |  | 5 MHz: NRB,c = 25  10 MHz: NRB,c = 50  20 MHz: NRB,c = 100 |
| PDSCH parameters:  DL Reference Measurement ChannelNote2 |  | 5 MHz: R.7 FDD  10 MHz: R.3 FDD  20 MHz: R.6 FDD  5 MHz: R.4 TDD  10 MHz: R.0 TDD  20 MHz: R.3 TDD |
| PCFICH/PDCCH/PHICH parameters:  DL Reference Measurement ChannelNote2 |  | 5 MHz: R.11 FDD  10 MHz: R.6 FDD  20 MHz: R.10 FDD  5 MHz: R.11 TDD  10 MHz: R.6 TDD  20 MHz: R.10 TDD |
| OCNG PatternsNote2 |  | 5 MHz: OP.20 FDD  10 MHz: OP.10 FDD  20 MHz: OP.17 FDD  5 MHz: OP.9 TDD  10 MHz: OP.1 TDD  20 MHz: OP.7 TDD |
| PBCH\_RA | dB | 0 |
| PBCH\_RB | dB |
| PSS\_RA | dB |
| SSS\_RA | dB |
| PCFICH\_RB | dB |
| PHICH\_RA | dB |
| PHICH\_RB | dB |
| PDCCH\_RA | dB |
| PDCCH\_RB | dB |
| PDSCH\_RA | dB |
| PDSCH\_RB | dB |
| OCNG\_RANote3 | dB |
| OCNG\_RBNote3 | dB |
| NocNote4 | dBm/15 kHz | -104 |
| Ês/Noc | dB | -3 |
| Ês/Iot | dB | -3 |
| RSRP Note5 | dBm/15 kHz | -107 |
| SCH\_RP Note5 | dBm/15 kHz | -107 |
| Io Note5 | dBm/Ch BW | -74.45  +10log  (NRB,c /50) |
| Propagation Condition |  | AWGN |
| Antenna Configuration |  | 1x2 |
| Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.  Note 5: Es/Iot, RSRP, SCH\_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. | | |

Table A.8.5.1.1.2-3: Test parameters for SFTD accuracy (Cell 2)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | | Config | Unit | Test 1 |
| SSB GSCN | | 1~6 |  | freq1 |
| Duplex mode | | 1,4 |  | FDD |
| 2,5 | TDD |
| 3,6 | TDD |
| TDD Configuration | | 1,4 |  | N/A |
| 2,5 | TDDConf.1.1 |
| 3,6 | TDDConf.2.1 |
| BWchannel | | 1,4 | MHz | 10: NRB,c = 52 |
| 2,5 | 10: NRB,c = 52 |
| 3,6 | 40: NRB,c = 106 |
| PDSCH Reference measurement channel | | 1,4 |  | SR.1.1 FDD |
| 2,5 | SR.1.1 TDD |
| 3,6 | SR.2.1 TDD |
| RMSI CORESET Reference Channel | | 1,4 |  | CR.1.1 FDD |
| 2,5 | CR.1.1 TDD |
| 3,6 | CR.2.1 TDD |
| RMC CORESET Reference Channel | | 1,4 |  | CCR.1.1 FDD |
| 2,5 | CCR.1.1 TDD |
| 3,6 | CCR.2.1 TDD |
| SSB configuration | | 1,4 |  | SSB.1 FR1 |
| 2,5 | SSB.1 FR1 |
| 3,6 | SSB.2 FR1 |
| SMTC configuration | | 1~6 |  | SMTC.1 |
| DL BWP configuration | | 1~6 |  | DLBWP.1.1 |
| UL BWP configuration | | 1~6 |  | ULBWP.1.1 |
| OCNG Patterns | | 1~6 |  | OP.1 |
| EPRE ratio of PSS to SSS | | 1~6 | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS | |
| EPRE ratio of PBCH to PBCH DMRS | |
| EPRE ratio of PDCCH DMRS to SSS | |
| EPRE ratio of PDCCH to PDCCH DMRS | |
| EPRE ratio of PDSCH DMRS to SSS | |
| EPRE ratio of PDSCH to PDSCH DMRS | |
| EPRE ratio of OCNG DMRS to SSSNote 1 | |
| EPRE ratio of OCNG to OCNG DMRS Note 1 | |
| Note2 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1~6 | dBm/15kHz | -104 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| Note2 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1,2,4,5 | dBm/SSB SCS | -104 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 3,6 | -101 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
|  | | 1~6 | dB | -3 |
|  | | 1~6 | dB | -3 |
| SS-RSRP Note3 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1,2,4,5 | dBm/SCS | -107 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 3,6 | -104 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| Io Note3 | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 1,2,4,5 | dBm/9.36 MHz | -74.28 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A NOTE 5 | 3,6 | dBm/38.16 MHz | -68.18 |
| NR\_FDD\_FR1\_B |
| NR\_TDD\_FR1\_C |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E |
| NR\_FDD\_FR1\_G |
| NR\_FDD\_FR1\_H |
| Propagation condition | | 1~6 |  | AWGN |
| Antenna configuration | | 1~6 |  | 1x2 |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.  Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  Note 5: The test configuration excludes support for band n51 and it is not required to run this test on band n51 in this release of the specification. | | | | |

Table A.8.5.1.1.2-4: Timing offsets for SFTD accuracy test

|  |  |  |
| --- | --- | --- |
| Condition | SFN offset between PCell and PSCell | Frame boundary offset between PCell and PSCell (Ts) |
| 1 | 100 | -122000 |
| 2 | 300 | -60540 |
| 3 | 500 | 1000 |
| 4 | 700 | 62540 |
| 5 | 900 | 124000 |

**<END OF CHANGE 38>**