**3GPP TSG-RAN WG3 Meeting #127 *R3-25XXXX***

**Athens, Greece, 17th – 21st February 2025**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
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|  | **38.473** | **CR** | **1533** | **rev** | **1** | **Current version:** | **18.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Clarification on UE Context Modification Failure for LTM |
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| ***Source to WG:*** | Google, Huawei |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_Mob\_enh2-Core |  | ***Date:*** | 2025-02-18 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
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| ***Reason for change:*** | The following is specified in section 8.3.4.3 - “ If the *Conditional Intra-DU Mobility Information* IE was included and set to "CHO-initiation" or "CHO-replace" or if the *LTM Indicator* IE was included, but the *SpCell ID* IE was not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.“while it is also specified in section 8.3.4.2 –“If the *CSI Resource Configuration* IEis contained in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message and the *SpCell ID* IE is also included, the gNB-DU shall, if supported, use it to generate the LTM CSI reporting configuration in the *CellGroupConfig* IE for the requested LTM candidate cell identified by the *SpCell ID* IE.If the *CSI Resource Configuration* IE is contained in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message while the *SpCell ID* IE is absent, the gNB-DU shall, if supported, use it to generate the LTM CSI reporting configuration in the *CellGroupConfig* IE for the serving cell. ” Therefore, to acquire an updated CSI report configuration for the serving cell for LTM, the gNB-CU has to include the LTM indicator and the CSI Resource Configuration IE in the UE Context Modification Request message while leaving the SpCell ID IE absent. As both the SpCell ID IE and the CSI Resource Configuration IE are optional IEs, the unsuccessful UE context modification procedure for LTM should instead address the case that the both IEs are absent.  |
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| ***Summary of change:*** | If the LTM Information Modify IE was included, but the SpCell ID IE and the CSI Resource Configuration IE were not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.Impact Analysis:Impact assessment towards the previous version of the specification (same release): This CR has isolated impact with the previous version of the specification (same release).The impact can be considered isolated because the change only clarifies the unsuccessful UE context modification operation for LTM. |
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| ***Consequences if not approved:*** | The gNB-DU may respond with a UE Context Modification Failure message when the gNB-CU intends to update the CSI Report configuration for the serving cell for LTM |
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| ***Clauses affected:*** | 8.3.4.3 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ... |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Rev0: R3-250082Rev1: Reword and add co-sourcing companies |

### 8.3.4 UE Context Modification (gNB-CU initiated)

#### 8.3.4.1 General

The purpose of the UE Context Modification procedure is to modify the established UE Context, e.g., establishing, modifying and releasing radio resources or sidelink resources. This procedure is also used to command the gNB-DU to stop data transmission for the UE for mobility (see TS 38.401 [4]). The procedure uses UE-associated signalling.

#### 8.3.4.2 Successful Operation



Figure 8.3.4.2-1: UE Context Modification procedure. Successful operation

The UE CONTEXT MODIFICATION REQUEST message is initiated by the gNB-CU.

Upon reception of the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall perform the modifications, and if successful reports the update in the UE CONTEXT MODIFICATION RESPONSE message.

If the *SpCell ID* IE is included in the UE CONTEXT MODIFICATION REQUEST message and neither the *LTM Information Modify* IE nor the *Conditional Intra-DU Mobility Information* IE is present, the gNB-DU shall replace any previously received value and regard it as a reconfiguration with sync as defined in TS 38.331 [8]. If the *ServCellIndex* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall take this into account for the indicated SpCell. If the *SpCell UL Configured* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall configure UL for the indicated SpCell accordingly. If the *servingCellMO* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall configure servingCellMO for the indicated SpCell accordingly. If the *servingCellMO List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, configure servingCellMO after determining the list of BWPs for the UE and include the list of servingCellMOs that have been encoded in *CellGroupConfig* IE as *ServingCellMO-encoded-in-CGC List* IE in theUE CONTEXT MODIFICATION RESPONSE message.

**<Unrelated part omitted>**

If the *CPAC MCG Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CPAC Trigger is set to "CPAC-preparation", the gNB-DU shall, if supported, consider that the request concerns a conditional PSCell addition or conditional PSCell change or subsequent CPAC. The gNB-DU takes the included *CG-Config* and/or *CG-ConfigInfo* IE into account, and may provide a corresponding *CellGroupConfig* IE for MCG configuration preparation in the UE CONTEXT MODIFICATION RESPONSE message. The UE CONTEXT MODIFICATION RESPONSE message also includes a *Requested Target Cell ID* IE corresponding to the *PSCell ID* IE in the UE CONTEXT MODIFICATION REQUEST message.

If the *CPAC MCG Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CPAC Trigger is set to "CPAC-executed", the gNB-DU shall, if supported, consider that, for the included *PSCell ID* IE corresponding to the selected PSCell, the UE has successfully executed the CPAC preparation. The gNB-DU shall apply the corresponding *CellGroupConfig* IE for MCG configuration.

If the *Conditional Intra-DU Mobility Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CHO Trigger is set to "CHO-initiation", the gNB-DU shall consider that the request concerns a conditional handover, conditional PSCell addition, conditional PSCell change, or subsequent CPAC for the included *SpCell ID* IE and shall include it as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION RESPONSE message. The gNB-DU shall regard it as a reconfiguration with sync as defined in TS 38.331 [8].

If the *Conditional Intra-DU Mobility Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CHO Trigger is set to "CHO-replace", the gNB-DU shall replace the existing prepared conditional mobility identified by the *gNB-DU UE F1AP ID* IE and the *SpCell ID* IE.

If the *Conditional Intra-DU Mobility Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CHO Trigger is set to "CHO-cancel", the gNB-DU shall consider that the gNB-CU is about to remove any reference to, and release any resources previously reserved for the cells identified by the included NR CGIs in the *Candidate Cells To Be Cancelled List* IE.

If the *S-CPAC Request* IE is included within the *Conditional Intra-DU Mobility Information* IE in the UE CONTEXT MODIFICATION REQUEST message and is set to "initiation", the gNB-DU shall, if supported, consider that the procedure is triggered for S-CPAC preparation.

**<Unrelated part omitted>**

If the *LTM Indicator* IE set to "true" is contained in the *LTM Information Modify* IEincluded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider that the request concerns LTM for the included *SpCell ID* IE and shall include it as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION RESPONSE message. The gNB-DU shall regard it as a reconfiguration with sync as defined in TS 38.331 [8]. If the gNB-DU accepts the request for LTM for that *SpCell*, the gNB-DU shall generate and include the *CellGroupConfig* IE for the accepted LTM candidate cell in the UE CONTEXT MODIFICATION RESPONSE message.

If the *Request for Lower Layer Configuration* IE set to "true" is contained within the *Reference Configuration* IE in the *LTM Information Modify* IEincluded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, include the *Reference Configuration Information* IE in the *LTM Configuration* IE in the UE CONTEXT MODIFICATION RESPONSE message to provide lower layer configuration for the gNB-CU to generate the LTM reference configuration.

If the *Reference Configuration Information* IE is contained within the *Reference Configuration* IE in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account for generating the LTM lower layer configuration.

If the *CSI Resource Configuration* IEis contained in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message and the *SpCell ID* IE is also included, the gNB-DU shall, if supported, use it to generate the LTM CSI reporting configuration in the *CellGroupConfig* IE for the requested LTM candidate cell identified by the *SpCell ID* IE.

If the *CSI Resource Configuration* IE is contained in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message while the *SpCell ID* IE is absent, the gNB-DU shall, if supported, use it to generate the LTM CSI reporting configuration in the *CellGroupConfig* IE for the serving cell.

If the *LTM Configuration ID Mapping List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider this as the mapping information for the LTM candidate cell(s).

If the *Early Sync Information Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, include *Early Sync Information* IEof the accepted candidate cell for early TA acquisition (early UL synchronisation) in the UE CONTEXT MODIFICATION RESPONSE message. If the *Early UL Sync Configuration* IEis included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider it as the generated early UL sync information from the accepted candidate cell in the gNB-DU. If the *Early UL Sync Configuration* *for SUL* IEis included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider it as the generated early UL sync information for SUL from the accepted candidate cell in the gNB-DU.

If the *Early Sync Candidate Cell Information List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as specified in TS 38.401 [4]. If the *UE Based TA Measurement Configuration* IE is contained in the *Early Sync Candidate Cell Information List* IE for some candidate cell, the gNB-DU shall, if supported, take them into account for UE based TA measurement during LTM cell switch as specified in TS 38.331 [8].

If the *Early Sync Serving Cell Information* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as specified in TS 38.401 [4]. If the *UE Based TA Measurement Configuration* IE is contained in the *Early Sync Serving Cell Information* IE, the gNB-DU shall, if supported, take it into account for UE based TA measurement during LTM cell switch as specified in TS 38.331 [8].

If the *LTM CFRA Resource Config List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it for the LTM cell switch command as specified in TS 38.321 [16].

If the *LTM Configuration* IE is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider it as the generated configuration for LTM from the accepted candidate cell in the gNB-DU.

If the *LTM Cells to be Released List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, release the configured candidate cells in the list.

If the *LTM Reset Information* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take them into account for L2 reset (i.e., RLC re-establishment) during an intra-DU LTM cell switch as specified in TS38.331 [8].

If the *Complete Candidate Configuration Indicator* IE set to "complete" is contained in the *LTM Configuration* IE included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider that the LTM candidate configuration is a complete candidate configuration.

If the *Direct Path Addition* IE is contained in the *Path Addition Information* IE which is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider that the request concerns the direct path addition for the included *SpCell ID* IE as specified in TS 38.401 [4] and regard it as a reconfiguration with sync as defined in TS 38.331 [8]. If the *Indirect Path Addition* IE is contained in the *Path Addition Information* IE, the gNB-DU shall, if supported, consider that the request concerns the indirect path addition for the MP Remote UE using PC5 link and use it as specified in TS 38.401 [4]. If the *N3C* *Indirect Path Addition* IE is contained in the *Path Addition Information* IE, the gNB-DU shall, if supported, consider that the request concerns the indirect path addition for the MP Remote UE using N3C and use it as specified in TS 38.401 [4].

If the *S-NSSAI* IE is included within the *DRB to Be Modified Item* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, store the corresponding information and replace any existing information.

If the *S-CPAC Lower Layer Reference Config Request* IE set to "true" is contained in the *Conditional Intra-DU Mobility Information* IEincluded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, provide the lower layer configuration in the *Reference Configuration Information* IE in the *S-CPAC Configuration* IE in the UE CONTEXT MODIFICATION RESPONSE message for the gNB-CU to generate the S-CPAC reference configuration.

If the *Complete Candidate Configuration Indicator* IE set to "complete" is contained in the *S-CPAC Configuration* IE included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider that the S-CPAC candidate configuration is a complete candidate configuration.

If the *musim-CandidateBandList* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it for temporary capability restriction.

If the *DL LBT Failure Information Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider that the gNB-CU requests collection of DL LBT failure information for the analysis of the MRO events of the UE specified in TS 38.300 [6], , and act as specified in TS 38.401 [4].

If the *Ranging and Sidelink Positioning Service Information* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its service information for the UE accordingly. If the *Ranging and Sidelink Positioning Authorized* IE within the *Ranging and Sidelink Positioning Service Information* IE is set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the Ranging and Sidelink Positioning service.

**Interaction with UE Inactivity Notification procedure**

If the *SDT Volume Threshold* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use the information during an SDT transaction to inform the gNB-CU via the UE INACTIVITY NOTIFICATION message as specified in TS 38.401 [4].

**Interaction with UE Context Setup or UE Context Modification (gNB-CU initiated) procedures**

If the UE CONTEXT MODIFICATION REQUEST message is sent for a UE context set up for S-CPAC and contains the *Transmission Action Indicator* IE set to "stop", the gNB-DU shall, if supported, reset the UE context for the included *SpCell ID* IE, prepare for subsequent CPAC. The gNB-DU shall include the *SpCell ID* IE as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION RESPONSE message.

#### 8.3.4.3 Unsuccessful Operation



Figure 8.3.4.3-1: UE Context Modification procedure. Unsuccessful operation

In case none of the requested modifications of the UE context can be successfully performed, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value. If the *Conditional Intra-DU Mobility Information* IE was included in the UE CONTEXT MODIFICATION REQUEST message and set to "CHO-initiation", the gNB-DU shall include the received *SpCell ID* IE as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION FAILURE message.

If the gNB-DU is not able to accept the *SpCell ID* IE in UE CONTEXT MODIFICATION REQUEST message, it shall reply with the UE CONTEXT MODIFICATION FAILURE message.

If the *Conditional Intra-DU Mobility Information* IE was included and set to "CHO-initiation" or "CHO-replace", but the *SpCell ID* IE was not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

If the *LTM Information Modify* IE was included, but the *SpCell ID* IE and the *CSI Resource Configuration* IE were not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

If the gNB-DU is not able to accept the UE CONTEXT MODIFICATION REQUEST message for mobility because an LTM command has been triggered to the UE, it shall reply with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

#### 8.3.4.4 Abnormal Conditions

If the gNB-DU receives a UE CONTEXT MODIFICATION REQUEST message containing a *E-UTRAN QoS* IE for a GBR QoS DRB but where the *GBR QoS Information* IE is not present, the gNB-DU shall report the establishment of the corresponding DRB as failed in the *DRB Failed to Setup List* IE of the UE CONTEXT MODIFICATION RESPONSE message with an appropriate cause value.

If the gNB-DU receives a UE CONTEXT MODIFICATION REQUEST message containing a *DRB QoS* IE for a GBR QoS DRB but where the *GBR QoS Flow Information* IE is not present, the gNB-DU shall report the establishment of the corresponding DRBs as failed in the *DRB Failed to Setup List* IE of the UE CONTEXT MODIFICATION RESPONSE message with an appropriate cause value.

If the *Delay Critical* IE is included in the *Dynamic 5QI Descriptor* IE within the *DRB QoS* IE in the UE CONTEXT MODIFICATION REQUEST message and is set to the value "delay critical" but the *Maximum Data Burst Volume* IE is not present, the gNB-DU shall report the establishment of the corresponding DRB as failed in the *DRB Failed to Setup List* IE of the of the UE CONTEXT MODIFICATION RESPONSE message with an appropriate cause value.

If one or more candidate cells in the *Candidate Cells To Be Cancelled List* IE included in the UE CONTEXT MODIFICATION REQUEST message were not prepared using the same UE-associated signaling connection, the gNB-DU shall ignore those non-associated candidate cells.

If more than one of the following IEs, i.e., the *Uplink TxDirectCurrentList Information* IE or the *Uplink TxDirectCurrentTwoCarrierList Information* IE or the *Uplink TxDirectCurrentMoreCarrierList Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall consider it as a logical error.

If one or more LTM cells in the *LTM Cells To Be Released List* IE included in the UE CONTEXT MODIFICATION REQUEST message were not prepared using the same UE-associated signaling connection, the gNB-DU shall ignore those non-associated LTM cells.