**3GPP TSG-RAN WG3 #127bis *R3-252281***

**Wuhan, China, 7th- 11th April, 2025**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
|  |
|  | **38.413** | **CR** | **1270** | **rev** | **1** | **Current version:** | **18.5.0** |  |
|  |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **x** |

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|  |
| ***Title:***  | Correction of the interaction with other procedures related to the User Plane Failure |
|  |  |
| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core, TEI19 |  | ***Date:*** | 2025-03-25 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-19 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
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| ***Reason for change:*** | When one procedure trigges another procedure, we should specify it as "interaction with other procedures".Currently such procedures interaction is described in the procedure text, which makes the specification unclear. |
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| ***Summary of change:*** | Introduce in the PDU Session Resource Modify procedure a new paragraph:Interactions with PDU Session Resource Notify and PDU Session Resource Modify Indication procedures: |
|  |  |
| ***Consequences if not approved:*** | Unclear specification |
|  |  |
| ***Clauses affected:*** | 8.2.3.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR …CR … |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

### 8.2.3 PDU Session Resource Modify

#### 8.2.3.1 General

The purpose of the PDU Session Resource Modify procedure is to enable configuration modifications of already established PDU session(s) for a given UE. It is also to enable the setup, modification and release of the QoS flow for already established PDU session(s). The procedure uses UE-associated signalling.

#### 8.2.3.2 Successful Operation



Figure 8.2.3.2-1: PDU session resource modify: successful operation

The AMF initiates the procedure by sending a PDU SESSION RESOURCE MODIFY REQUEST message to the NG-RAN node.

The PDU SESSION RESOURCE MODIFY REQUEST message shall contain the information required by the NG-RAN node, which may trigger the NG-RAN configuration modification for the existing PDU sessions listed in the *PDU Session Resource Modify Request List* IE.

Upon reception of the PDU SESSION RESOURCE MODIFY REQUEST message, if the NG-RAN configuration is triggered to be modified and if resources are available for the modified NG-RAN configuration, the NG-RAN node shall execute the configuration modification for the requested PDU session.

If the *RAN Paging Priority* IE is included in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

For each PDU session, if the *S-NSSAI* IE is included in the *PDU Session Resource Modify Request Item* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall replace the previously provided S-NSSAI by the received S-NSSAI for the concerned PDU session and use it as specified in TS 23.502 [10].

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message and the *Common Network Instance* IE is not present, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9].

For each PDU session, if the *Common Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE or in the *Additional UL NG-U UP TNL Information* IE, or in the *Additional Redundant UL NG-U UP TNL Information* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it when selecting transport network resource for the concerned NG-U transport bearer as specified in TS 23.501 [9].

For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it for the redundant transmission as specified in TS 23.501 [9].

For each PDU session, if the *TSC Traffic Characteristics* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, store it and use it as specified in TS 23.501 [9]. If the *RAN Feedback Type* IE is included in the *TSC Traffic Characteristics* IE, the NG-RAN node shall, if supported, take this information into account when determining the feedback to provide in the *TSC Traffic Characteristics Feedback* IE in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message as specified in TS 23.501 [9].

For each PDU session, if the *Redundant QoS Flow Indicator* IE is included and set to “false” for all QoS flows, the NG-RAN node shall, if supported, stop the redundant transmission and release the redundant tunnel for the concerned PDU session as specified in TS 23.501 [9].

For each PDU session, if the *Downlink TL Container* IE for a QoS flow is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, handle it as specified in TS 29.585 [56] and shall include the *Uplink TL Container* IE for the indicated QoS flow in the *PDU Session Resource Modify Response Transfer* IE as specified in TS 29.585 [56].

For each PDU session in the PDU SESSION RESOURCE MODIFY REQUEST message, if the *Alternative QoS Parameters Set List* IE is included in the *GBR QoS Flow Information* IE in the *PDU Session Resource Modify Request Transfer* IE of the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node may accept the setup of the QoS flow when notification control has been enabled if the requested QoS parameters or at least one of the alternative QoS parameters sets can be fulfilled at the time of setup. In case the NG-RAN node accepts the setup fulfilling one of the alternative QoS parameters it shall indicate the alternative QoS parameters set which it currently fulfils in the *Current QoS Parameters Set Index* IE within the *PDU Session Resource Modify Response Transfer* IE of the PDU SESSION RESOURCE MODIFY RESPONSE message. If the NG-RAN node receives a PDU SESSION RESOURCE MODIFY REQUEST message containing the *Delay Critical* IE in the *Dynamic 5QI Descriptor* IE of the *QoS Flow Level QoS Parameters* IE of the *PDU Session Resource Modify Request Transfer* IE set to the value "delay critical" but the *Maximum Data Burst Volume* IE is not present in the *Alternative QoS Parameters Set List* IE, the NG-RAN node shall use the *Maximum Data Burst Volume* IE included in the *Dynamic 5QI Descriptor* IE.

For each PDU session included in the *PDU Session Resource Modify Request List* IE:

- For each QoS flow included in the *QoS Flow Add or Modify Request List* IE, based on the *QoS Flow Level QoS Parameters* IE, the NG-RAN node may establish, modify or release the DRB configuration and may change allocation of resources on NG or Uu accordingly. The NG-RAN node shall associate each QoS flow accepted to setup or modify which is not associated with an MBS QoS flow with a DRB of the PDU session. The associated DRB for the QoS flow accepted to modify may not change. If the *PDU Set QoS Parameters* IE is received, the NG-RAN node shall, if supported, store the received PDU Set QoS Parameters in the UE context and use it as specified in TS 23.501 [9]. If the *ECN Marking or Congestion Information Reporting Request* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it accordingly for the specific QoS flow. If the *ECN Marking or Congestion Information Reporting Status* IE is included in the *PDU Session Resource Modify Response Transfer* IE, the SMF shall, if supported, use it to deduce if ECN marking at NG-RAN or ECN marking at UPF or congestion information reporting is active or not active.

- For each QoS flow, if the *Redundant QoS Flow Indicator* IE is included, the NG-RAN node shall, if supported, store it and consider it for the redundant transmission as specified in TS 23.501 [9].

- For each QoS flow included in the *QoS Flow Add or Modify Request List* IE, if the *QoS Flow Add or Modify Request Item* IE is included for an existing *QoS Flow Identifier* IE, the NG-RAN node shall overwrite the content of the full *QoS Flow Add or Modify Request Item* IE.

- For each QoS flow included in the *QoS Flow to Release List* IE, the NG-RAN node shall de-associate the QoS flow with the previously associated DRB.

- For each QoS flow included in the *QoS Flow Add or Modify Request List* IE, if the *UL NG-U UP TNL Information* IE is included, the NG-RAN node shall, if supported, include the *DL NG-U UP TNL Information* IE in the *QoS Flow Add or Modify Response Item* IE for the QoS flow within the PDU SESSION RESOURCE MODIFY RESPONSE message as specified in TS 23.501 [9].

- For each QoS flow, if the *Burst Arrival Time* IE is included in the *TSC Assistance Information Uplink* IE of the *TSC Traffic Characteristics* IE, the NG-RAN node may include the *AN Packet Delay Budget Uplink* IE for the same QoS flow within the PDU SESSION RESOURCE MODIFY RESPONSE message as specified in TS 23.501 [9].

- If the *NAS-PDU* IE is received for the PDU session, the NG-RAN node shall pass it to the UE only if at least one of the requests included in the *PDU Session Resource Modify Request Transfer* IE is successful (i.e. the PDU session is included in the *PDU Session Resource Modify Response Item* IE of the PDU SESSION RESOURCE MODIFY RESPONSE message).

- The NG-RAN node may change allocation of resources on NG according to the requested target configuration.

- If the *PDU Session Aggregate Maximum Bit Rate* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall store and use the received PDU Session Aggregate Maximum Bit Rate value when enforcing traffic policing for Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

- If the *UL NG-U UP TNL Information* IE in the *UL NG-U UP TNL Modify List* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall update the transport layer information for the uplink data accordingly for the concerned transport bearers identified by the *DL NG-U UP TNL Information* IE included in the *PDU Session Resource Modify Request Transfer* IE for the concerned PDU session.

- If the *Additional UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for an additional NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall indicate these QoS flows in the *Additional DL QoS Flow per TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE. In case the *Additional DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional UL NG-U UP TNL information as available again.

- In case more than one NG-U transport bearers have been set up for the PDU session, if all the QoS flows associated to one existing NG-U transport bearer are included in the *QoS Flow to Release List* IE in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node and 5GC shall consider that the concerned NG-U transport bearer is removed for the PDU session, and both NG-RAN node and 5GC shall therefore consider the related NG-U UP TNL information as available again.

- If the *Redundant UL NG-U UP TNL Information* IE within the *UL NG-U UP TNL Modify List* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall, if supported, update the transport layer information for the uplink data accordingly for the concerned transport bearer identified by the *Redundant DL NG-U UP TNL Information* IE included in the *PDU Session Resource Modify Request Transfer* IE for the concerned PDU session.

- If the *Additional Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for an additional redundant NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall, if supported, indicate these QoS flows in the *Additional Redundant DL QoS Flow per TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE. In case the *Additional Redundant DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional Redundant UL NG-U UP TNL information as available again.

- If the *Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for a redundant NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall, if supported, indicate the corresponding NG-RAN endpoint of this NG-U transport bearer in the *Redundant DL NG-U UP TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE.

- If the *Security Indication* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall, if supported, only update the maximum integrity protected data rate uplink and/or the maximum integrity protected data rate downlink, and take them into account as defined in the PDU Session Resource Setup procedure.

- If the *User Plane Failure Indication* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall, if supported, consider that the NG-U tunnel established for the PDU session experienced a user plane failure, and perform one of the below actions:

- trigger the PDU Session Resource Notify procedure to release the tunnel and the associated QoS flows.

- trigger the PDU Session Resource Modify Indication procedure to move the associated QoS flows to the other existing tunnel.

- decide to establish a new NG-U tunnel to replace the failed one, in which case it shall include, as applicable, either the new *DL NG-U UP TNL Information* IE or the *Additional DL NG-U UP TNL Information* IE, and set the *User Plane Failure Indication Report* IE in the *PDU Session Resource Modify Response Transfer* IE to "new transport address allocated" and use the *UL NG-U UP TNL Information* IE in the *User Plane Failure Indication* IE for the uplink data.

For each QoS flow which has been successfully added or modified, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [9]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall store this information and, if supported, use it for RAN part delay reporting.

The NG-RAN node shall report to the AMF, in the PDU SESSION RESOURCE MODIFY RESPONSE message, the result for each PDU session requested to be modified listed in the PDU SESSION RESOURCE MODIFY REQUEST message:

- For each PDU session which is successfully modified, the *PDU Session Resource Modify Response Transfer* IE shall be included containing:

1. The list of QoS flows which have been successfully setup or modified, if any, in the *QoS Flow Add or Modify Response List* IE in case the PDU Session Resource Modify procedure is triggered by QoS flow setup or modification.

2. The list of QoS flows which have failed to be setup or modified, if any, in the *QoS Flow Failed to Add or Modify List* IE in case the PDU Session Resource Modify procedure is triggered by QoS flow setup or modification.

- For each PDU session which failed to be modified, the *PDU Session Resource Modify Unsuccessful Transfer* IE shall be included containing the failure cause.

- For each PDU session, if the *DL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall be considered by the SMF as the new DL transport layer address for the PDU session. The NG-RAN also may indicate the mapping between each new DL transport layer address and the corresponding UL transport layer address assigned by the 5GC.

- For each PDU session, if the *Additional NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall, if supported, be considered by the SMF as the new DL transport layer address(es) for the PDU session. The NG-RAN also may indicate the mapping between each new DL transport layer address and the corresponding UL transport layer address assigned by the 5GC.

- For each PDU session, if the *Additional Redundant NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall, if supported, be considered by the SMF as the new DL transport layer address(es) for the PDU session for the redundant transmission. The NG-RAN also may indicate the mapping between each new redundant DL transport layer address and the corresponding redundant UL transport layer address assigned by the 5GC.

- For each PDU session, if the *User Plan Failure Indication Report* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall, if supported, take it into account as specified in TS 23.527 [57].

Upon reception of the PDU SESSION RESOURCE MODIFY RESPONSE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *PDU Session Resource Modify Response Transfer* IE or *PDU Session Resource Modify Unsuccessful Transfer* IE to each SMF associated with the concerned PDU session.

The NG-RAN node shall, if supported, report in the PDU SESSION RESOURCE MODIFY RESPONSE message location information of the UE in the *User Location Information* IE.

For a PDU session or a QoS flow which failed to be modified, the NG-RAN node shall fall back to the configuration of the PDU session or the QoS flow as it was configured prior to the reception of the PDU SESSION RESOURCE MODIFY REQUEST message.

Upon reception of the PDU SESSION RESOURCE MODIFY REQUEST message to setup a QoS flow for IMS voice, if the NG-RAN node is not able to support IMS voice, the NG-RAN node shall initiate EPS fallback or RAT fallback for IMS voice procedure as specified in TS 23.501 [9] and report unsuccessful establishment of the QoS flow in the *PDU Session Resource Modify Response Transfer* IE or in the *PDU Session Resource Modify Unsuccessful Transfer* IE with cause value "IMS voice EPS fallback or RAT fallback triggered".

If the *User Location Information* IE is included in the PDU SESSION RESOURCE MODIFY RESPONSE message, the AMF shall handle this information as specified in TS 23.501 [9].

For each PDU session, if the *PDU Session Expected UE Activity Behaviour* IE is included in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, handle this information as specified in TS 23.501 [9].

For each PDU session for which the *Secondary RAT Usage Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE, the SMF shall handle this information as specified in TS 23.502 [10].

If the *PDU Set QoS Parameters* IE is included in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, report in the PDU SESSION RESOURCE MODIFY RESPONSE message the *PDU Set based Handling Indicator* IE in the *PDU Session Resource Modify Response Transfer* IE. If the *PDU Set based Handling Indicator* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, the SMF shall, if supported, handle this information as specified in TS 23.501 [9].

If the *MBS Support Indicator* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, the SMF shall, if supported, handle this information as specified in TS 23.247 [44].

**Interactions with Handover Preparation procedure:**

If a handover becomes necessary during the PDU Session Resource Modify procedure, the NG-RAN node may interrupt the ongoing PDU Session Resource Modify procedure and initiate the Handover Preparation procedure as follows:

1. The NG-RAN node shall send the PDU SESSION RESOURCE MODIFY RESPONSE message in which the NG-RAN node shall indicate, if necessary, all the PDU sessions failed with an appropriate cause value, e.g. "NG intra-system handover triggered", "NG inter-system handover triggered" or "Xn handover triggered".

2. The NG-RAN node shall trigger the handover procedure.

**Interactions with PDU Session Resource Nodify and PDU Session Resource Modify Indication procedures:**

The NG-RAN node may initiate the below procedures if the *User Plane Failure Indication* IE is included in the *PDU Session Resource Modify Request Transfer* IE:

1. trigger the PDU Session Resource Notify procedure to release the tunnel and the associated QoS flows, in which case it shall set the *User Plane Failure Indication Report* IE in the *PDU Session Resource Modify Response Transfer* IE to "QoS flows and tunnel to be released".
2. trigger the PDU Session Resource Modify Indication procedure to move the associated QoS flows to the other existing tunnel, in which case it shall set the *User Plane Failure Indication Report* IE in the *PDU Session Resource Modify Response Transfer* IE to "QoS flows to be moved".

#### 8.2.3.3 Unsuccessful Operation

The unsuccessful operation is specified in the successful operation section.

#### 8.2.3.4 Abnormal Conditions

If the NG-RAN node receives a PDU SESSION RESOURCE MODIFY REQUEST message containing several *PDU Session ID* IEs (in the *PDU Session Resource Modify Request List* IE) set to the same value, the NG-RAN node shall report the modification of the corresponding PDU sessions as failed in the PDU SESSION RESOURCE MODIFY RESPONSE message with an appropriate cause value.

If the NG-RAN node receives a PDU SESSION RESOURCE MODIFY REQUEST message containing some *PDU Session ID* IEs (in the *PDU Session Resource Modify Request List* IE) that the NG-RAN node does not recognize, the NG-RAN node shall report the corresponding invalid PDU sessions as failed in the PDU SESSION RESOURCE MODIFY RESPONSE message with an appropriate cause value.

If the NG-RAN node receives a PDU SESSION RESOURCE MODIFY REQUEST message containing a *QoS Flow Level QoS Parameters* IE in the *PDU Session Resource Modify Request Transfer* IE for a GBR QoS flow but the *GBR QoS Flow Information* IE is not present, the NG-RAN node shall report the addition or modification of the corresponding QoS flow as failed in the *PDU Session Resource Modify Response Transfer* IE of the PDU SESSION RESOURCE MODIFY RESPONSE message with an appropriate cause value.

If the NG-RAN node receives a PDU SESSION RESOURCE MODIFY REQUEST message containing the *Delay Critical* IE in the *Dynamic 5QI Descriptor* IE of the *QoS Flow Level QoS Parameters* IE of the *PDU Session Resource Modify Request Transfer* IE set to the value “delay critical” but the *Maximum Data Burst Volume* IE is not present, the NG-RAN node shall report the addition or modification of the corresponding QoS flow as failed in the *PDU Session Resource Modify Response Transfer* IE of the PDU SESSION RESOURCE MODIFY RESPONSE message with an appropriate cause value.

If the NG-RAN node receives a PDU SESSION RESOURCE MODIFY REQUEST message containing a PDU session in the *PDU Session Resource Modify Request List* IE with the same QoS flow included both in the *QoS Flow Add or Modify Request List* IE and the *QoS Flow to Release List* IE, the NG-RAN node shall report the corresponding QoS flow as failed in the *QoS Flow Failed to Add or Modify List* IE in the *PDU Session Resource Modify Response Transfer* IE of the PDU SESSION RESOURCE MODIFY RESPONSE message with an appropriate cause value if the PDU session is modified successfully. The NG-RAN node shall not release the QoS flow when the corresponding QoS flow already exists.