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Agenda Item: 11.4

Source: ZTE Corporation, CATT, CMCC, Lenovo

Title: (TP to 38.423) Discussion on Mobility Optimization for NR-DC

Document for: Discussions & Approval

# Introduction

This TP is to reflect the agreements in CB:#AIRAN4\_NRDC.

# 2 Text Proposal

<<<<<<<<<<<<<<<<<<<< First Change >>>>>>>>>>>>>>>>>>>>

### 8.3.1 S-NG-RAN node Addition Preparation

#### 8.3.1.1 General

The purpose of the S-NG-RAN node Addition Preparation procedure is to request the S-NG-RAN node to allocate resources for dual connectivity operation for a specific UE. Possible parallel requests are identified by the PCell ID when the initiating NG-RAN node UE AP IDs are the same.

The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: S-NG-RAN node Addition Preparation, successful operation

The M-NG-RAN node initiates the procedure by sending the S-NODE ADDITION REQUEST message to the S-NG-RAN node.

When the M-NG-RAN node sends the S-NODE ADDITION REQUEST message, it shall start the timer TXnDCprep.

The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *QoS Flow Level QoS Parameters* IE for each QoS flow shall follow the principles specified for the PDU Session Resource Setup procedure in TS 38.413 [5].

The S-NG-RAN node shall choose the ciphering algorithm based on the information in the *UE Security Capabilities* IE and locally configured priority list of AS encryption algorithms and apply the key indicated in the *S-NG-RAN node Security Key* IE as specified in TS 33.501 [28].

If the *TSC Traffic Characteristics* IE is included for a QoS flow in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall behave the same as the NG-RAN node in the PDU Session Resource Setup procedure, specified in TS 38.413 [5].

If the *Additional QoS* *Flow Information* IE is included for a QoS flow in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall behave the same as the NG-RAN node in the PDU Session Resource Setup procedure, specified in TS 38.413 [5].

For each GBR QoS flow, if the *Alternative QoS Parameters Set List* IE is included in the *GBR QoS Flow Information* IE, the S-NG-RAN node shall, if supported, behave the same as the NG-RAN node in the PDU Session Resource Setup procedure specified in TS 38.413 [5].

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE contained in the *PDU Session Resources To Be Added List* IE and the *Common Network Instance* IE is not present, the S-NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [7].

For each GBR QoS flow, if the *Offered GBR QoS Flow Information* IE is included in the *QoS Flows To Be Setup List* IE contained in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node may request the M-NG-RAN node to configure the DRB to which that QoS flow is mapped with MCG resources.

For each PDU session, if the *Non-GBR Resources Offered* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE contained in the *PDU Session Resources To Be Added List* IE and set to "true", the S-NG-RAN node may request the M-NG-RAN node to configure DRBs to which non-GBR QoS flows of the PDU session are mapped with MCG resources.

For each PDU session, if the *Common* *Network Instance* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE contained in the *PDU Session Resources To Be Added List* IE, the S-NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [7].

Redundant transmission:

- For each PDU session, if the *Redundant UL NG-U UP TNL Information at UPF* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node shall, if supported, use it as the uplink termination point for the user plane data for this PDU session for the redundant transmission and it shall include the *Redundant DL NG-U UP TNL Information at NG-RAN* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE as described in TS 23.501 [7].

- For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE the S-NG-RAN node shall, if supported, use it when selecting transport network resource for the redundant transmission as specified in TS 23.501 [7].

- For each PDU session for which the *Redundant QoS Flow Indicator* IE is include in *QoS Flows To Be Setup List* IE contained in the *S-NODE ADDITION REQUEST* message, the S-NG-RAN node shall, if supported, store and use it as specified in TS 23.501 [7].

- For each PDU session, if the *Redundant PDU Session Information* IE is included in the *PDU Session Resource Setup Info - SN terminated* IE in the S-NODE ADDITION REQUEST message, the S-NODE-RAN node shall, if supported, store the received information in the UE context and setup the redundant user plane resources for the concerned PDU session, as specified in TS 23.501 [7].

- For each PDU session resource successfully setup for which the *Redundant PDU Session Information* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, include the *Used RSN Information* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message. If the *PDU Session Pair ID* IE is included in the *Redundant PDU Session Information* IE, the S-NG-RAN node may store and use it to identify the paired PDU sessions.

If the S-NODE ADDITION REQUEST message contains the *Selected PLMN* IE, the S-NG-RAN node may use it for RRM purposes. If the S-NODE ADDITION REQUEST message also contains the *Selected NID* IE, the S-NG-RAN node may decide to use the SNPN identified by the *Selected PLMN* IE and *Selected NID* IE for its own usage.

If the S-NODE ADDITION REQUEST message contains the *Expected UE Behaviour* IE, the S-NG-RAN node shall, if supported, store this information and may use it to optimize resource allocation.

If the S-NODE ADDITION REQUEST message contains the *Mobility Restriction List* IE, the S-NG-RAN node, if supported, shall store this information and use it to select an appropriate SCG.

If the S-NODE ADDITION REQUEST message contains the *Index to RAT/Frequency Selection Priority* IE, the S-NG-RAN node may use it for RRM purposes.

If the S-NG-RAN node is a gNB and the S-NODE ADDITION REQUEST message contains the *PCell ID* IE, the S-NG-RAN node shall search for the target NR cell among the NR neighbour cells of the PCell indicated, as specified in the TS 37.340 [8].

If the S-NODE ADDITION REQUEST message contains the *S-NG-RAN node PDU Session Aggregate Maximum Bit Rate* IE, the S-NG-RAN node may use it for RRM purposes.

If the S-NODE ADDITION REQUEST message contains the *MR-DC Resource Coordination Information* IE, the S-NG-RAN node should forward it to lower layers and it may use it for the purpose of resource coordination with the M-NG-RAN node, or to coordinate with sidelink resources used in the M-NG-RAN node. The S-NG-RAN node shall consider the value of the received *UL Coordination Information* IE valid until reception of a new update of the IE for the same UE. The S-NG-RAN node shall consider the value of the received *DL Coordination Information* IE valid until reception of a new update of the IE for the same UE. If the *E-UTRA Coordination Assistance Information* IE or the *NR Coordination Assistance Information* IE is contained in the *MR-DC Resource Coordination Information* IE, the S-NG-RAN node shall, if supported, use the information to determine further coordination of resource utilisation between the S-NG-RAN node and the M-NG-RAN node.

If the S-NODE ADDITION REQUEST message contains the *NE-DC TDM Pattern* IE, the S-NG-RAN node should forward it to lower layers and use it for the purpose of single uplink transmission. The S-NG-RAN node shall consider the value of the received *NE-DC TDM Pattern* IE valid until reception of a new update of the IE for the same UE.

If the S-NODE ADDITION REQUEST message contains the *QoS Flow Mapping Indication* IE, the S-NG-RAN node may take it into account that only the uplink or downlink QoS flow is mapped to the DRB.

For each bearer for which allocation of the PDCP entity is requested at the S-NG-RAN node:

- the M-NG-RAN node may propose to apply forwarding of downlink data by including the *DL Forwarding* IE within *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message. For each bearer that it has decided to admit, the S-NG-RAN node may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *PDU Session Resource Setup Response Info – SN terminated* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer.

- the S-NG-RAN node may include for each bearer in the *PDU Session Resource Setup Response Info – SN terminated* IE the *UL Forwarding GTP Tunnel Endpoint* IE to indicates it request data forwarding of uplink packets to be performed for that bearer.

- the M-NG-RAN node shall include *RLC Mode* IE for each bearer offloaded from M-NG-RAN node to S-NG-RAN node in the *DRBs to QoS Flow Mapping List* IE within the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDTION REQUEST message, and the *RLC Mode* IE indicates the mode that the M-NG-RAN used for the DRB when it was hosted at the M-NG-RAN node.

For each bearer for which the PDCP entity is at the M-NG-RAN node:

- the M-NG-RAN node shall include the *RLC mode* IE for each bearer in the *DRBs To Be Setup List* IE within the *PDU Session Resource Setup Info – MN terminated* IE of the S-NODE ADDTION REQUEST message to indicate the RLC mode has been configured at the M-NG-RAN node, so that the S-NG-RAN node shall configure the same RLC mode for this MN terminated split bearer.

The M-NG-RAN node may also propose to apply forwarding of UL data when offloading QoS flows for which in-order delivery is requested by including the *UL Forwarding* *Proposal* IE in the *Data Forwarding and Offloading Info from source NG-RAN node* IE within the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message. The S-NG-RAN node may include the *PDU Session level UL data Forwarding UP TNL Information* IE in the *Data Forwarding Info from target NG-RAN node* IE within the *PDU Session Resource Setup Response Info – SN terminated* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding.

If the *Masked IMEISV* IE is contained in the S-NODE ADDITION REQUEST message the S-NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

If the *UE Radio Capability ID* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7] and TS 23.502 [13].

The S-NG-RAN node shall report to the M-NG-RAN node, in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the result for all the requested PDU session resources in the following way:

- A list of PDU session resources which are successfully established shall be included in the *PDU Session Resources Admitted To Be Added List* IE.

- A list of PDU session resources which failed to be established shall be included in the *PDU Session Resources Not Admitted List* IE.

Upon reception of the S-NODE ADDITION REQUEST ACKNOWLEDGE message the M-NG-RAN node shall stop the timer TXnDCprep.

If the S-NODE ADDITION REQUEST ACKNOWLEDGE message contains the *MR-DC Resource Coordination Information* IE, the M-NG-RAN node may use it for the purpose of resource coordination with the S-NG-RAN node. The M-NG-RAN node shall consider the value of the received *UL Coordination Information* IE valid until reception of a new update of the IE for the same UE. The M-NG-RAN node shall consider the value of the received *DL Coordination Information* IE valid until reception of a new update of the IE for the same UE. If the *E-UTRA Coordination Assistance Information* IE or the *NR Coordination Assistance Information* IE is contained in the *MR-DC Resource Coordination Information* IE, the M-NG-RAN node shall, if supported, use the information to determine further coordination of resource utilisation between the M-NG-RAN node and the S-NG-RAN node.

The S-NG-RAN node may include for each bearer in the *DRBs To Be Setup List* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message the *PDCP SN Length* IE to indicate the PDCP SN length for that DRB.

If the *S-NG-RAN node UE XnAP ID* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information and use it as defined in TS 37.340 [8].

If the S-NODE ADDITION REQUEST message contains the *PDCP SN Length* IE, the S-NG-RAN node shall, if supported, store this information and use it for lower layer configuration of the concerned MN terminated bearer.

If the S-NODE ADDITION REQUEST message contains the *SN Addition Trigger Indication* IE, the S-NG-RAN node shall include the *RRC config indication* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message to inform the M-NG-RAN node if the S-NG-RAN node applied full or delta configuration, as specified in TS 37.340 [8].

If the S-NODE ADDITION REQUEST message contains the *S-NG-RAN node Maximum Integrity Protected Data Rate* *Uplink* IE or the *S-NG-RAN node Maximum Integrity Protected Data Rate Downlink* IE, the S-NG-RAN node shall use the received information when enforcing the maximum integrity protected data rate for the UE.

If the *Security Indication* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message, the behaviour of the S-NG-RAN node shall be the same as specified for the same IE in the *PDU Session Resources To Be Setup List* IE in the Handover Preparation procedure, for the concerned PDU session, and the S-NG-RAN node shall include the *Security Result* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE. If either the S-NG-RAN node or the M-NG-RAN node is an ng-eNB, the S-NG-RAN node shall behave as specified in TS 33.501 [28].

If the *Security Result* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message, the S-NG-RAN node may take the information into account when deciding whether to perform user plane integrity protection or ciphering for the DRBs that it establishes for the concerned PDU session, except if the *Split Session Indicator* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE and set to "split", in which case it shall perform user plane integrity protection or ciphering according to the information in the *Security Result* IE*.*

The S-NG-RAN node may include the *Location Information at S-NODE* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, if respective information is available at the S-NG-RAN node.

If the *Location Information at S-NODE reporting* IE set to "pscell" is included in the S-NODE ADDITION REQUEST, the S-NG-RAN node shall, start providing information about the current location of the UE. If the *Location Information at S-NODE* IE is included in the S-NODE ADDITION REQUEST ACKNOWLEDGE, the M-NG-RAN node shall store the included information so that it may be transferred towards the AMF.

If the *Default DRB Allowed* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message and set to "true", the S-NG-RAN node may configure the default DRB for the PDU session.

If the S-NODE ADDITION REQUEST ACKNOWLEDGE message includes the *DRB IDs taken into use* IE, the M-NG-RAN node, if applicable, shall act as specified in TS 37.340 [8].

If *Trace Activation* IE has previously been received for this UE, it shall be included in the S-NODE ADDITION REQUEST message. If the *Trace Activation* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [23].

If the *Trace Activation* IE is included in the S-NODE ADDITION REQUEST message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the S-NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only", the S-NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the S-NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the S-NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the S-NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the S-NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the S-NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, the S-NG-RAN node shall take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE and if the S-NG-RAN node is a gNB at least *the MDT Configuration-NR* IE shall be present, while if the S-NG-RAN Node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present.

If the *Area Scope* IE is not present in the *MDT Configuration* IE, the S-NG-RAN node shall consider that the MDT Configuration is applied to all PLMNs indicated in the MDT PLMN List, as described in TS 32.422 [23].

If the *Requested Fast MCG recovery via SRB3* IE set to "true" is included in the S-NODE ADDITION REQUEST message and the S-NG-RAN node decides to configure fast MCG link recovery via SRB3 as specified in TS 37.340 [8], the S-NG-RAN node shall, if supported, include the *Available fast MCG recovery via SRB3* IE set to "true" in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If the *QoS Monitoring Request* IE is included in the *QoS Flow Level QoS Parameters* IE for a QoS flow contained in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Info – MN terminated* IE, the S-NG-RAN node shall, if supported, use it to configure lower layers for the purpose of delay measurement and QoS monitoring as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE is included in the *QoS Flow Level QoS Parameters* IE for a QoS flow contained in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Info – MN terminated* IE, the S-NG-RAN node shall, if supported, use it for RAN part delay reporting.

For each QoS flow which has been successfully established in the S-NG-RAN node, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node shall store this information, and shall, if supported, perform delay measurement and QoS monitoring as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node shall store this information, and shall, if supported, use it for RAN part delay reporting. In case such a QoS flow is included in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Response Info – SN terminated* IE, the M-NG-RAN node shall, if supported, use it to configure lower layers for the purpose of delay measurement and QoS monitoring. If the *QoS Monitoring Reporting Frequency* IE is included in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Response Info – SN terminated* IE, the M-NG-RAN node shall, if supported, use it for RAN part delay reporting.

For each DRB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is included in the *DRBs Admitted List* IE in the *PDU Session Resource Setup Response Info – MN terminated* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, use it to set DSCP and/or IPv6 flow label fields for the downlink IP packets which are transmitted from M-NG-RAN node to S-NG-RAN node through the GTP tunnels indicated by the *UP Transport Layer Information* IE.

If the *Source NG-RAN Node ID* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, use it to decide the direct data path availability with the indicated source NG-RAN node, and if the direct data forwarding path is available, include the *Direct Forwarding Path Availability* IE set to "direct path available" in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If for a given QoS Flow the *Source DL Forwarding IP Address* IE or both, the *Source DL Forwarding IP Address* IEand the *Source Node DL Forwarding IP Address* IE are included within the *Data Forwarding and* *Offloading Info from source NG-RAN node* IE in the *PDU Session Resource Setup Info – SN terminated* IE contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality configuration actions, if such ACL functionality is deployed.

If for a given QoS Flow the *Source DL Forwarding IP Address* IE is included within the *QoS Flows Mapped To DRB List* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE contained in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality to identify source TNL address for data forwarding in case of subsequent handover preparation, if such ACL functionality is deployed.

If the *Management Based MDT PLMN List* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store the received information in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [23].

Upon reception of the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, start collecting SCG information and continue for as long as the UE stays in one of its cells.

If the *UE History Information* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information.

If the *UE History Information from the UE* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information.

If the *PSCell Change History* IE set to "reporting full history" is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, signal the latest SCG UE History Information upon each PSCell change, to the M-NG-RAN node, using the S-NG-RAN node initiated S-NG-RAN node Modification procedure.

If the *IAB Node Indication* IE set to "true" is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, consider that dual connectivity operation is requested for an IAB-node. In addition:

- If the *No PDU Session Indication* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, consider the UE as an IAB-node which does not have any PDU sessions activated, and ignore the *PDU Session Resources To Be Added List* IE, and shall not take any action with respect to PDU session setup. Subsequently, the M-NG-RAN node shall, if supported, ignore the *PDU Session Resources Admitted To Be Added List* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

- If the *F1-terminating IAB-donor Indicator* IE set to "true" is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, assume that it will become the F1-terminating IAB-donor of the IAB-node, and act as described in TS 38.401 [2].

If the *CHO Information SN Addition* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall consider that the S-NG-RAN node Addition Preparation procedure has been triggered as part of a conditional handover. It may use the *Source M-NG-RAN node ID* IE and the *Source M-NG-RAN node UE XnAP ID* IE to identify other active S-NG-RAN node Addition Preparations related to this UE. If the *PCell ID* IE is also included in the S-NODE ADDITION REQUEST message, then the S-NG-RAN node shall, if supported, include the *PCell ID* IE within the *CHO Information SN Addition Acknowledge* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message. If the *Estimated Arrival Probability* IE is contained in the *CHO Information SN Addition* IE included in the S-NODE ADDITION REQUEST message, then the S-NG-RAN node may use the information to allocate necessary resources for the UE. If the *Direct Forwarding Path Availability with source M-NG-RAN node* IE set to "direct path available" is included in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, consider that the direct forwarding path is available between the target S-NG-RAN node and the source M-NG-RAN node.

If the *SCG Activation Request* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node may use it to configure SCG resources as specified in TS 37.340 [8], and shall, if supported, include the *SCG Activation Status* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message. If the *SCG Activation Request* IE in the S-NODE ADDITION REQUEST message is set to "Activate SCG", the S-NG-RAN node shall, if supported, activate the SCG resources and set the *SCG Activation Status* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message to "SCG activated".

If the *Conditional PSCell Addition Information Request* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, consider that the request concerns CPAC, as described in TS 37.340 [8]. Accordingly, the S-NG-RAN node shall, if supported, include the *Conditional PSCell Addition Information Acknowledge* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If *the S-CPAC Request Information* IE is contained in the *Conditional PSCell Addition Information Request* IE included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, consider that the procedure is triggered for S-CPAC preparation. If the S-NG-RAN node accepts the request as a S-CPAC preparation, it shall include the *Candidate PSCell with Other Information List* IE in the *Conditional PSCell Addition Information Acknowledge* IE.

If the *S-CPAC Reference Configuration Request* IE set to "request" is contained in the *Conditional PSCell Addition Information Request* IE included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, provide the SCG reference configuration for S-CPAC.

If the *S-CPAC Multiple Target S-NG-RAN Node List* IE is contained within the *S-CPAC Request Information* IE in the *Conditional PSCell Addition Information Request* IE included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, consider that the information pertains to a list of PSCells suggested for other candidate SN(s) may also be prepared for S-CPAC, and act as described in TS 37.340 [8].

If the *Conditional PSCell Addition Information Acknowledge* IE is included in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, consider the indicated PSCells are selected by the target SN as candidate PSCells for CPAC or S-CPAC.

If the S-NG-RAN node applied a complete candidate configuration for a specific PSCell, e.g., as part of preparation of S-CPAC, the S-NG-RAN node shall inform the M-NG-RAN node by including the *S-CPAC Complete Candidate Configuration Indicator* IE in the *Candidate PSCell with Other Information Item* IE in the *Conditional PSCell Addition Information Acknowledge* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If the *CG-CandidateList* is included in the *S-NG-RAN node to M-NG-RAN node Container* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, use it for the purpose of CPAC or S-CPAC.

If the *Estimated Arrival Probability* IE is contained in the *Conditional PSCell Addition Information Request* IE included in the S-NODE ADDITION REQUEST message, then the candidate target S-NG-RAN node may use the information to allocate necessary resources for the incoming CPAC or S-CPAC procedure.

If the *S-NG-RAN node UE Slice Maximum Bit Rate* IE for a specific S-NSSAI is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store and use the received S-NG-RAN node UE Slice Maximum Bit Rate for all PDU sessions associated with the S-NSSAI for the concerned UE as defined in TS 23.501 [7].

If the S-NODE ADDITION REQUEST ACKNOWLEDGE message includes the *SN Mobility Information* IE, the M-NG-RAN node shall, if supported, store this information and use it as defined in TS 37.340 [8].

If the *QMC Coordination Request* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node may use it as specified in TS 37.340 [8], and shall, if supported, include the *QMC Coordination Response* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If the *Source SN to Target SN QMC Information* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, use it for QoE measurements handling, as specified in TS 37.340 [8].

If the *Source M-NG-RAN node ID* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node may use it to deduce direct data path availability with the source M-NG-RAN node, and if the direct data forwarding path is available, may include the *Direct Forwarding Path Availability with source M-NG-RAN node* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If the S-NODE ADDITION REQUEST message contains the *IAB Authorization status* IE, the S-NG-RAN node shall, if supported, store it and use it as defined in TS 38.401[2].

**Interactions with the S-NG-RAN node Reconfiguration Completion procedure:**

If the S-NG-RAN node admits at least one PDU session resource, the S-NG-RAN node shall start the timer TXnDCoverall when sending the S-NODE ADDITION REQUEST ACKNOWLEDGE message to the M-NG-RAN node except for a request for conditional configuration. The reception of the S-NODE RECONFIGURATION COMPLETE message shall stop the timer TXnDCoverall if TXnDCoverall is running.

**Interaction with the Activity Notification procedure**

Upon receiving an S-NODE ADDITION REQUEST message containing the *Desired Activity Notification Level* IE, the S-NG-RAN node shall, if supported, use this information to decide whether to trigger subsequent Activation Notification procedures according to the requested notification level.

**Interaction with the Data Collection Reporting and the Data Collection Reporting Initiation procedures:**

If the *Data Collection ID* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, report to the M-NG-RAN node after successful S-NG-RAN node addition, via the Data Collection Reporting procedure, the requested information configured via the previous Data Collection Reporting Initiation procedure corresponding to the *NG-RAN node1 Measurement ID* IE, allocated by the M-NG-RAN node, and the *NG-RAN node2 Measurement ID* IE, allocated by the S-NG-RAN node.

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

#### 9.1.2.1 S-NODE ADDITION REQUEST

This message is sent by the M-NG-RAN node to the S-NG-RAN node to request the preparation of resources for dual connectivity operation for a specific UE.

Direction: M-NG-RAN node → S-NG-RAN node.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.2.3.1 |  | YES | reject |
| M-NG-RAN node UE XnAP ID | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the M-NG-RAN node | YES | reject |
| UE Security Capabilities | M |  | 9.2.3.49 |  | YES | reject |
| S-NG-RAN node Security Key | M |  | 9.2.3.51 | This IE is ignored if the *S-CPAC Request Information* IE is present in the *Conditional PSCell Addition Information Request* IE. | YES | reject |
| S-NG-RAN node UE Aggregate Maximum Bit Rate | M |  | UE Aggregate Maximum Bit Rate  9.2.3.17 | The UE Aggregate Maximum Bit Rate is split into M-NG-RAN node UE Aggregate Maximum Bit Rate and S-NG-RAN node UE Aggregate Maximum Bit Rate which are enforced by M-NG-RAN node and S-NG-RAN node respectively. | YES | reject |
| Selected PLMN | O |  | PLMN Identity  9.2.2.4 | The selected PLMN of the SCG in the S-NG-RAN node. | YES | ignore |
| Mobility Restriction List | O |  | 9.2.3.53 |  | YES | ignore |
| Index to RAT/Frequency Selection Priority | O |  | 9.2.3.23 |  | YES | reject |
| **PDU Session Resources To Be Added List** |  | *1* |  |  | YES | reject |
| **>PDU Session Resources To Be Added Item** |  | *1 .. <maxnoofPDUSessions>* |  | NOTE: If neither the  *PDU Session Resource Setup Info – SN terminated* IE  nor the  *PDU Session Resource Setup Info – MN terminated* IE is present in a *PDU Session Resources To Be Added Item* IE, abnormal conditions as specified in clause 8.3.1.4 apply. | – |  |
| >>PDU Session ID | M |  | 9.2.3.18 |  | – |  |
| >>S-NSSAI | M |  | 9.2.3.21 |  | – |  |
| >>S-NG-RAN node PDU Session Aggregate Maximum Bit Rate | O |  | PDU Session Aggregate Maximum Bit Rate 9.2.3.69 |  | – |  |
| >>PDU Session Resource Setup Info – SN terminated | O |  | 9.2.1.5 |  | – |  |
| >>PDU Session Resource Setup Info – MN terminated | O |  | 9.2.1.7 |  | – |  |
| M-NG-RAN node to S-NG-RAN node Container | M |  | OCTET STRING | Includes the *CG-ConfigInfo* message as defined in subclause 11.2.2 of TS 38.331 [10] | YES | reject |
| S-NG-RAN node UE XnAP ID | O |  | NG-RAN node UE XnAP ID  9.2.3.16 | Allocated at the S-NG-RAN node | YES | reject |
| Expected UE Behaviour | O |  | 9.2.3.81 |  | YES | ignore |
| Requested Split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRBs are requested. | YES | reject |
| PCell ID | O |  | Global NG-RAN Cell Identity  9.2.2.27 |  | YES | reject |
| Desired Activity Notification Level | O |  | 9.2.3.77 |  | YES | ignore |
| Available DRB IDs | C-ifSNterminated |  | DRB List  9.2.1.29 | Indicates the list of DRB IDs that the S-NG-RAN node may use for SN-terminated bearers. | YES | reject |
| S-NG-RAN node Maximum Integrity Protected Data Rate Uplink | O |  | Bit Rate  9.2.3.4 | The S-NG-RAN node Maximum Integrity Protected Data Rate Uplink is a portion of the UE’s Maximum Integrity Protected Data Rate in the Uplink, which is enforced by the S-NG-RAN node for the UE’s SN terminated PDU sessions. If the *S-NG-RAN node Maximum Integrity Protected Data Rate Downlink* IE is not present, this IE applies to both UL and DL. | YES | reject |
| S-NG-RAN node Maximum Integrity Protected Data Rate Downlink | O |  | Bit Rate  9.2.3.4 | The S-NG-RAN node Maximum Integrity Protected Data Rate Downlink is a portion of the UE’s Maximum Integrity Protected Data Rate in the Downlink, which is enforced by the S-NG-RAN node for the UE’s SN terminated PDU sessions. | YES | reject |
| Location Information at S-NODE reporting | O |  | ENUMERATED (pscell, ...) | Indicates that the user’s Location Information at S-NODE is to be provided. | YES | ignore |
| MR-DC Resource Coordination Information | O |  | 9.2.2.33 | Information used to coordinate resource utilisation between M-NG-RAN node and S-NG-RAN node. | YES | ignore |
| Masked IMEISV | O |  | 9.2.3.32 |  | YES | ignore |
| NE-DC TDM Pattern | O |  | 9.2.2.38 |  | YES | ignore |
| SN Addition Trigger Indication | O |  | ENUMERATED (SN change, inter-MN HO, intra-MN HO, ...) | This IE indicates the trigger for S-NG-RAN node Addition Preparation procedure | YES | reject |
| Trace Activation | O |  | 9.2.3.55 |  | YES | ignore |
| Requested Fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested. | YES | ignore |
| UE Radio Capability ID | O |  | 9.2.3.138 |  | YES | reject |
| Source NG-RAN Node ID | O |  | Global NG-RAN Node ID  9.2.2.3 | The NG-RAN Node ID of the source NG-RAN node, or the source SN in e.g. NR-DC to NR-DC (conditional) handover. | YES | ignore |
| Management Based MDT PLMN List | O |  | MDT PLMN List  9.2.3.133 |  | YES | ignore |
| UE History Information | O |  | 9.2.3.64 |  | YES | ignore |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |
| PSCell Change History | O |  | ENUMERATED (reporting full history, ...) |  | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| No PDU Session Indication | O |  | ENUMERATED (true, ...) | This IE applies only if the UE is an IAB-MT. | YES | ignore |
| **CHO Information SN Addition** | O |  |  |  | YES | reject |
| >Source M-NG-RAN node ID | M |  | Global NG-RAN Node ID 9.2.2.3 |  | – |  |
| >Source M-NG-RAN node UE XnAP ID | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the source M-NG-RAN node | – |  |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) |  | – |  |
| SCG Activation Request | O |  | 9.2.3.154 |  | YES | ignore |
| **Conditional PSCell Addition Information Request** | O |  |  |  | YES | reject |
| >Maximum Number of PSCells To Prepare | M |  | INTEGER (1..8, ...) | Indicates the maximum number of PSCells that the target SN may prepare. | – |  |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) | Indicates the arrival probability for the UE towards the candidate target SN. | – |  |
| >S-CPAC Request Information | O |  | 9.2.3.192 | Indicates that SN addition is for S-CPAC preparation. | YES | reject |
| >S-CPAC Reference Configuration Request | O |  | ENUMERATED (request, …) | Indicates that the reference configuration for S-CPAC is requested. | YES | ignore |
| S-NG-RAN node UE Slice Maximum Bit Rate | O |  | UE Slice Maximum Bit Rate List  9.2.3.167 | This IE indicates the S-NG-RAN node portion of the UE Slice Aggregate Maximum Bit Rate as specified in TS 23.501 [7] | YES | reject |
| F1-terminating IAB-donor Indicator | O |  | ENUMERATED (true, ...) | This IE applies only if the UE is an IAB-MT. | YES | reject |
| Selected NID | O |  | NID  9.2.2.65 | This IE, together with the *Selected PLMN* IE, indicates the SNPN proposed for the SCG to the S-NG-RAN node. | YES | ignore |
| QMC Coordination Request | O |  | 9.2.3.197 | This IE contains information for managing configuration and reporting of one or more QoE and/or RAN visible QoE measurements at the S-NG-RAN node subject to addition. | YES | ignore |
| Source SN to Target SN QMC Information | O |  | QMC Configuration Information  9.2.3.156 | This IE contains S-NG-RAN node-related QMC Configuration Information to be forwarded to the target S-NG-RAN node. | YES | ignore |
| Source M-NG-RAN node ID | O |  | Global NG-RAN Node ID  9.2.2.3 | The NG-RAN Node ID of the source M-NG-RAN node in e.g. NR-DC to NR-DC handover. | YES | ignore |
| IAB Authorization Status | O |  | ENUMERATED (authorized, not authorized, …) | Indicates the IAB node´s authorization status. | YES | ignore |
| Data Collection ID | O |  | 9.2.3.184 |  | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofPDUSessions | Maximum no. of PDU sessions. Value is 256 |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifSNterminated | This IE shall be present if there is at least one *PDU Session Resource Setup Info – SN terminated* in the *PDU Session Resources To Be Added List* IE. |

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

### 9.3.4 PDU Definitions

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- S-NODE ADDITION REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

SNodeAdditionRequest ::= SEQUENCE {

protocolIEs ProtocolIE-Container {{ SNodeAdditionRequest-IEs}},

...

}

SNodeAdditionRequest-IEs XNAP-PROTOCOL-IES ::= {

{ ID id-M-NG-RANnodeUEXnAPID CRITICALITY reject TYPE NG-RANnodeUEXnAPID PRESENCE mandatory}|

{ ID id-UESecurityCapabilities CRITICALITY reject TYPE UESecurityCapabilities PRESENCE mandatory}|

{ ID id-s-ng-RANnode-SecurityKey CRITICALITY reject TYPE S-NG-RANnode-SecurityKey PRESENCE mandatory}|

{ ID id-S-NG-RANnodeUE-AMBR CRITICALITY reject TYPE UEAggregateMaximumBitRate PRESENCE mandatory}|

{ ID id-selectedPLMN CRITICALITY ignore TYPE PLMN-Identity PRESENCE optional }|

{ ID id-MobilityRestrictionList CRITICALITY ignore TYPE MobilityRestrictionList PRESENCE optional }|

{ ID id-indexToRatFrequSelectionPriority CRITICALITY reject TYPE RFSP-Index PRESENCE optional }|

{ ID id-PDUSessionToBeAddedAddReq CRITICALITY reject TYPE PDUSessionToBeAddedAddReq PRESENCE mandatory}|

{ ID id-MN-to-SN-Container CRITICALITY reject TYPE OCTET STRING PRESENCE mandatory}|

{ ID id-S-NG-RANnodeUEXnAPID CRITICALITY reject TYPE NG-RANnodeUEXnAPID PRESENCE optional }|

{ ID id-ExpectedUEBehaviour CRITICALITY ignore TYPE ExpectedUEBehaviour PRESENCE optional }|

{ ID id-requestedSplitSRB CRITICALITY reject TYPE SplitSRBsTypes PRESENCE optional }|

{ ID id-PCellID CRITICALITY reject TYPE GlobalNG-RANCell-ID PRESENCE optional }|

{ ID id-DesiredActNotificationLevel CRITICALITY ignore TYPE DesiredActNotificationLevel PRESENCE optional }|

{ ID id-AvailableDRBIDs CRITICALITY reject TYPE DRB-List PRESENCE conditional}

-- This IE shall be present if there is at least one *PDU Session Resource Setup Info – SN terminated* in the *PDU Session Resources To Be Added List* IE. --|

{ ID id-S-NG-RANnodeMaxIPDataRate-UL CRITICALITY reject TYPE BitRate PRESENCE optional }|

{ ID id-S-NG-RANnodeMaxIPDataRate-DL CRITICALITY reject TYPE BitRate PRESENCE optional }|

{ ID id-LocationInformationSNReporting CRITICALITY ignore TYPE LocationInformationSNReporting PRESENCE optional }|

{ ID id-MR-DC-ResourceCoordinationInfo CRITICALITY ignore TYPE MR-DC-ResourceCoordinationInfo PRESENCE optional }|

{ ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional }|

{ ID id-NE-DC-TDM-Pattern CRITICALITY ignore TYPE NE-DC-TDM-Pattern PRESENCE optional }|

{ ID id-S-NG-RANnode-Addition-Trigger-Ind CRITICALITY reject TYPE S-NG-RANnode-Addition-Trigger-Ind PRESENCE optional }|

{ ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

{ ID id-RequestedFastMCGRecoveryViaSRB3 CRITICALITY ignore TYPE RequestedFastMCGRecoveryViaSRB3 PRESENCE optional }|

{ ID id-UERadioCapabilityID CRITICALITY reject TYPE UERadioCapabilityID PRESENCE optional }|

{ ID id-SourceNG-RAN-node-ID CRITICALITY ignore TYPE GlobalNG-RANNode-ID PRESENCE optional }|

{ ID id-ManagementBasedMDTPLMNList CRITICALITY ignore TYPE MDTPLMNList PRESENCE optional }|

{ ID id-UEHistoryInformation CRITICALITY ignore TYPE UEHistoryInformation PRESENCE optional }|

{ ID id-UEHistoryInformationFromTheUE CRITICALITY ignore TYPE UEHistoryInformationFromTheUE PRESENCE optional }|

{ ID id-PSCellChangeHistory CRITICALITY ignore TYPE PSCellChangeHistory PRESENCE optional }|

{ ID id-IABNodeIndication CRITICALITY reject TYPE IABNodeIndication PRESENCE optional }|

{ ID id-NoPDUSessionIndication CRITICALITY ignore TYPE NoPDUSessionIndication PRESENCE optional }|

{ ID id-CHOinformation-AddReq CRITICALITY reject TYPE CHOinformation-AddReq PRESENCE optional }|

{ ID id-SCGActivationRequest CRITICALITY ignore TYPE SCGActivationRequest PRESENCE optional }|

{ ID id-CPAInformationRequest CRITICALITY reject TYPE CPAInformationRequest PRESENCE optional }|

{ ID id-S-NG-RANnodeUE-Slice-MBR CRITICALITY reject TYPE UESliceMaximumBitRateList PRESENCE optional }|

{ ID id-F1-terminatingIAB-donorIndicator CRITICALITY reject TYPE F1-terminatingIAB-donorIndicator PRESENCE optional }|

{ ID id-SelectedNID CRITICALITY ignore TYPE NID PRESENCE optional }|

{ ID id-QMCCoordinationRequest CRITICALITY ignore TYPE QMCCoordinationRequest PRESENCE optional }|

{ ID id-SourceSN-to-TargetSN-QMCInfo CRITICALITY ignore TYPE QMCConfigInfo PRESENCE optional }|

{ ID id-IABAuthorizationStatus CRITICALITY ignore TYPE IABAuthorizationStatus PRESENCE optional }|

{ ID id-Source-M-NG-RANnodeID CRITICALITY ignore TYPE GlobalNG-RANNode-ID PRESENCE optional }|

{ID id-DataCollectionID CRITICALITY ignore TYPE DataCollectionID PRESENCE optional },

...

}

PDUSessionToBeAddedAddReq ::= SEQUENCE (SIZE(1..maxnoofPDUSessions)) OF PDUSessionToBeAddedAddReq-Item

PDUSessionToBeAddedAddReq-Item ::= SEQUENCE {

pduSessionId PDUSession-ID,

s-NSSAI S-NSSAI,

sN-PDUSessionAMBR PDUSessionAggregateMaximumBitRate OPTIONAL,

sn-terminated PDUSessionResourceSetupInfo-SNterminated OPTIONAL,

mn-terminated PDUSessionResourceSetupInfo-MNterminated OPTIONAL,

-- NOTE: If neither the PDU Session Resource Setup Info – SN terminated IE

-- nor the *PDU Session Resource Setup Info – MN terminated* IE is present,

-- abnormal conditions as specified in clause 8.3.1.4 apply.

iE-Extension ProtocolExtensionContainer { {PDUSessionToBeAddedAddReq-Item-ExtIEs} } OPTIONAL,

...

}

PDUSessionToBeAddedAddReq-Item-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

RequestedFastMCGRecoveryViaSRB3 ::= ENUMERATED {true, ...}

--

<<<<<<<<<<<<<<<<<<<< End of Changes >>>>>>>>>>>>>>>>>>>>