**3GPP TSG-RAN WG3 Meeting #116-e R3-22xxxx**

**E-meeting, 15 Aug - 24 Aug 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.423** | **CR** | **0898** | **rev** | **1** | **Current version:** | **17.1.0** |  |
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| *For* ***[HE](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)******[LP](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)*** *on using this form: comprehensive instructions can be found at  <http://www.3gpp.org/Change-Requests>.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Correction for TS 38.423 on UHI in MR-DC | | | | | | | | | |
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| ***Source to WG:*** | ZTE, Lenovo, China Unicom | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_ENDC\_SON\_MDT\_enh | | | | |  | ***Date:*** | | | 2022-7-28 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | In 8.3.4.2, it is described that if the *SCG UE History Information* IE is included in the SN modification required message, the MN shall use this information as specified in TS 37.340.  However, in 8.3.5.2, 8.3.6.2 and 8.3.7.2, it is described that if the *SCG UE History Information* IE is included in the SN change required, SN release request acknowledge and SN release required messages, the MN shall use the information to update UE history information.  Thus it is required to align the description in 8.3.4.2 with the description in 8.3.5.2, 8.3.6.2 and 8.3.7.2. | | | | | | | | |
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| ***Summary of change:*** | | In 8.3.4.2, align the behavior description of MN after receiving the SN UHI information through SN modification required message with the description of the other messages including the SCG UE History Information IE.  Impact assessment towards the previous version of the specification (same release): This CR has no impact towards the previous version of the specification (same release). | | | | | | | | |
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| ***Consequences if not approved:*** | | The MN behavior description is not aligned with the description of the other messages including the *SCG UE History Information* IE. | | | | | | | | |
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| ***Clauses affected:*** | | 8.3.4.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | This CR is the revision of R3-224976. | | | | | | | | |

**--------------------------------------------------Start of the change---------------------------------------------------**

8.3.4 S-NG-RAN node initiated S-NG-RAN node Modification

8.3.4.1 General

This procedure is used by the S-NG-RAN node to modify the UE context in the S-NG-RAN node.

The procedure uses UE-associated signalling.

8.3.4.3 Unsuccessful Operation

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**Figure 8.3.4.3-1: S-NG-RAN node initiated S-NG-RAN node Modification, unsuccessful operation.**

In case the requested modification cannot be performed successfully the M-NG-RAN node shall respond with the S-NODE MODIFICATION REFUSE message to the S-NG-RAN node with an appropriate cause value in the *Cause* IE.

In case that the *Required Number of DRB IDs* IE was included in the S-NODE MODIFICATION REQUIRED message and if the M-NG-RAN node is not able to provide additional DRB IDs, the M-NG-RAN node shall respond with the S-NODE MODIFICATION REFUSE with an appropriate cause value in the Cause IE.

The M-NG-RAN node may also provide configuration information in the *M-NG-RAN node to S-NG-RAN node Container* IE.

8.3.4.2 Successful Operation

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**Figure 8.3.4.2-1: S-NG-RAN node initiated S-NG-RAN node Modification, successful operation.**

The S-NG-RAN node initiates the procedure by sending the S-NODE MODIFICATION REQUIRED message to the M-NG-RAN node.

When the S-NG-RAN node sends the S-NODE MODIFICATION REQUIRED message, it shall start the timer TXnDCoverall.

The S-NODE MODIFICATION REQUIRED message may contain

- the *S-NG-RAN node to M-NG-RAN node Container* IE.

- PDU session resources to be modified within the *PDU Session Resources To Be Modified Item* IE;

- PDU session resources to be released within the *PDU Session Resources To Be Released Item* IE;

- the *PDCP Change Indication* IE;

- the Spare DRB IDs IE;

- the *Required Number of DRB IDs* IE;

- the *QoS Flow Mapping Indication* IE;

- the *MR-DC Resource Coordination Information* IE.

If the M-NG-RAN node receives a S-NODE MODIFICATION REQUIRED message containing the *PDCP Change Indication* IE, the M-NG-RAN node shall act as specified in TS 37.340 [8].

If the S-NODE MODIFICATION REQUIRED message contains the *MR-DC Resource Coordination Information* IE, the M-NG-RAN node may use it for the purpose of resource coordination with the S-NG-RAN node. The M-NG-RAN node shall consider the value of the received *UL Coordination Information* IE valid until reception of a new update of the IE for the same UE. The M-NG-RAN node shall consider the value of the received *DL Coordination Information* IE valid until reception of a new update of the IE for the same UE. If the *E-UTRA Coordination Assistance Information* IE or the *NR Coordination Assistance Information* IE is contained in the *MR-DC Resource Coordination Information* IE, the M-NG-RAN node shall, if supported, use the information to determine further coordination of resource utilisation between the M-NG-RAN node and the S-NG-RAN node.

If the M-NG-RAN node receives an S-NODE MODIFICATION REQUIRED message containing the *Spare DRB IDs* IE, the M-NG-RAN node may take those into consideration to be used for MN-terminated bearers.

If the M-NG-RAN node receives an S-NODE MODIFICATION REQUIRED message containing the *Required Number of DRB IDs* IE, the M-NG-RAN node shall provide new DRB IDs to be used by the S-NG-RAN node for SN-terminated bearers , if such DRB IDs are available, in the *Additional DRB IDs* IE included in the S-NODE MODIFICATION CONFIRM message.

If the M-NG-RAN node is able to perform the modifications requested by the S-NG-RAN node, the M-NG-RAN node shall send the S-NODE MODIFICATION CONFIRM message to the S-NG-RAN node. The S-NODE MODIFICATION CONFIRM message may contain the *M-NG-RAN node to S-NG-RAN node Container* IE.

If the *PDCP Duplication Configuration* IE in the *PDU Session Resource Modification Required Info – SN terminated* IE is contained in the S-NODE MODIFICATION REQUIRED message and set to "configured", the M-NG-RAN node shall, if supported, add the RLC entity of secondary path and the RLC entity of all additional path(s) for the indicated DRB. And if the S-NODE MODIFICATION REQUIRED message contains the *Duplication Activation* IE, the M-NG-RAN node shall, if supported, store this information and use it for the purpose of PDCP duplication.

If the S-NODE MODIFICATION REQUIRED message contains the *RLC Duplication Information* IE, the S-NG-RAN node shall, if supported, store this information and use it for the purpose of PDCP duplication for the indicated DRB with more than two RLC entities.

If the *PDCP Duplication Configuration* IE in the *PDU Session Resource Modification Required Info – SN terminated* IE is contained in the S-NODE MODIFICATION REQUIRED message and set to "de-configured", the M-NG-RAN node shall, if supported, delete the RLC entity of secondary path and the RLC entity of all additional path(s) for the indicated DRB.

The S-NG-RAN node may include for each DRB in the *DRBs To Be Modified List* IE in the S-NODE MODIFICATION REQUIRED message the *RLC Status* IE to indicate that RLC has been reestablished at the S-NG-RAN node and the M-NG-RAN node may trigger PDCP data recovery.

If the S-NODE MODIFICATION REQUIRED message contains the *QoS flows To Be Released List* within the *PDU Session Resource Modification Info – SN terminated* IE, the S-NG-RAN node may also propose to apply forwarding of UL data for which in-order delivery is requested by including the *UL Forwarding* *Proposal* IE in the *Data Forwarding and Offloading Info from source NG-RAN node* IE within the *PDU Session Resource Modification Required Info – SN terminated* IE of the S-NODE MODIFICATION REQUIRED message. The M-NG-RAN node may include the *PDU Session Level UL Data Forwarding UP TNL Information* IE in the *Data Forwarding Info from target NG-RAN node* IE within the *PDU Session Resource Modification Confirm Info – SN terminated* IE of the S-NODE MODIFICATION CONFIRM message to indicate that it accepts the proposed forwarding.

Upon reception of the S-NODE MODIFICATION CONFIRM message the S-NG-RAN node shall stop the timer TXnDCoverall.

If the S-NODE MODIFICATION CONFIRM message contains the *MR-DC Resource Coordination Information* IE, the S-NG-RAN node should forward it to lower layers and it may use it for the purpose of resource coordination with the M-NG-RAN node, or to coordinate with sidelink resources used in the M-NG-RAN node. The S-NG-RAN node shall consider the value of the received *UL Coordination Information* IE valid until reception of a new update of the IE for the same UE. The S-NG-RAN node shall consider the value of the received *DL Coordination Information* IE valid until reception of a new update of the IE for the same UE. If the *E-UTRA Coordination Assistance Information* IE or the *NR Coordination Assistance Information* IE is contained in the *MR-DC Resource Coordination Information* IE, the S-NG-RAN node shall, if supported, use the information to determine further coordination of resource utilisation between the S-NG-RAN node and the M-NG-RAN node.

If the S-NODE MODIFICATION REQUIRED message contains a PDU session resource to be released which is configured with the SCG bearer option within the *PDU sessions to be released List – SN terminated* IE, the S-NG-RAN node shall include the *RLC Mode* IE within the *DRBs To Be Released List* IE in the *PDU Session to be released List – SN terminated* IE in the S-NODE MODIFICATION REQUIRED message. The *RLC Mode* IE indicates the RLC mode used in the S-NG-RAN node for the DRB.

If the *Location Information at S-NODE* IE is included in the S-NODE MODIFICATION REQUIRED, the M-NG-RAN node shall store the included information so that it may be transferred towards the AMF.

If the *QoS Flows Mapped To DRB List* IE is included in the S-NODE MODIFICATION REQUIRED message for a DRB to be modified, the M-NG-RAN node shall replace any existing QoS flow mapping for that DRB with the one received.

If the S-NG-RAN node applied a full configuration or delta configuration, e.g., as part of mobility procedure involving a change of DU, the S-NG-RAN node shall inform the M-NG-RAN node by including the *RRC config indication* IE in the S-NODE MODIFICATION REQUIRED message.

If the S-NODE MODIFICATION CONFIRM message includes the *DRB IDs taken into use* IE, the S-NG-RAN node shall, if applicable, act as specified in TS 37.340 [8]

If the *SCG Indicator* IE is contained in the S-NODE MODIFICATION REQUIRED message and it is set to "released", the M-NG-RAN node shall, if supported, deduce that the SCG is removed.

For each DRB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is included in the *DRBs To Be Modified List* IE in the *PDU Session Resource Modification Required Info – MN terminated* IE of the S-NODE MODIFICATION REQUIRED message, the M-NG-RAN node shall, if supported, use it to set DSCP and/or IPv6 flow label fields for the downlink IP packets which are transmitted from M-NG-RAN node to S-NG-RAN node through the GTP tunnels indicated by the *UP Transport Layer Information* IE.

For each DRB configured as SN-terminated split bearer/MCG bearer, if the *QoS Mapping Information* IE is included in the *DRBs Admitted to be Setup or Modified List* IE in the *PDU Session Resource Modification Confirm Info – SN terminated* IE of the S-NODE MODIFICATION CONFIRM message, the S-NG-RAN node shall, if supported, use it to set DSCP and/or IPv6 flow label fields for the downlink IP packets which are transmitted from S-NG-RAN node to M-NG-RAN node through the GTP tunnels indicated by the *UP Transport Layer Information* IE.

If the S-NG-RAN node receives in the S-NODE MODIFICATION CONFIRM message within the *PDU Session Resource Modification Confirm Info – SN terminated* IE a *DRBs Admitted to be Setup or Modified Item* IE with DRB ID(s) that it has not requested to be setup or modified, the S-NG-RAN node shall ignore the contained information.

If the S-NODE MODIFICATION REQUIRED message includes the *SCG UE History Information* IE, the M-NG-RAN node shall, if supported, use the information to update UE History Information with PSCell history.

If the *SCG Activation Request* IE is included in the S-NODE MODIFICATION REQUIRED message, the M-NG-RAN node shall consider that the S-NG-RAN node is about to reconfigure the SCG resources as specified in TS 37.340 [8].

If the *Management Based MDT PLMN Modification List* IE is contained in the S-NODE MODIFICATION REQUEST message, the S-NG-RAN node shall, if supported, overwrite any previously stored Management Based MDT PLMN List information in the UE context and use the received information to determine subsequent selection of the UE for management based MDT defined in TS 32.422 [23].

If the *CPAC Information Required* IE is included in the S-NODE MODIFICATION REQUIRED message, the M-NG-RAN node shall, if supported, consider that the request provides the configuration update for the list of PSCells prepared at the target SN, as described in TS 37.340 [8].

If the *CG-CandidateList* is included in the *S-NG-RAN node to M-NG-RAN node Container* IE in the S-NODE MODIFICATION REQUIRED message, the M-NG-RAN node shall, if supported, use it for the purpose of CPAC.

**Interaction with the M-NG-RAN node initiated S-NG-RAN node Modification Preparation procedure:**

If applicable, as specified in TS 37.340 [8], the S-NG-RAN node may receive, after having initiated the S-NG-RAN node initiated S-NG-RAN node Modification procedure, the S-NODE MODIFICATION REQUEST message including the *measGapConfig* IE as defined in TS 38.331 [10] within the *M-NG-RAN node to S-NG-RAN node Container* IE.

If applicable, the S-NG-RAN node may receive, after having initiated the S-NG-RAN node initiated S-NG-RAN node Modification procedure, the S-NODE MODIFICATION REQUEST message including the *SN triggered* IE.

**-----------------------------------------------------End of the change-------------------------------------------------------**