**3GPP TSG-RAN WG3 Meeting #116-e R3-223200**

**E-meeting, 09 May – 19 May 2022**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.413** | **CR** | **0790** | **rev** | **<Rev#>** | **Current version:** | **16.9.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **X** |

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|  |
| ***Title:***  | NGAP CR for ACL remaining issues |
|  |  |
| ***Source to WG:*** | Huawei, Deutsche Telekom, Ericsson |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core, TEI16 |  | ***Date:*** | 2022-05-01 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | The direct data forwarding path availability solution for MR-DC to SA handover were agreed at RAN3 #115 e-meeting. The ACL function should also be enhanced acoordingly to be workable if direct data forwarding is performed from source SN to targe node in MR-DC to SA handover case. |
|  |  |
| ***Summary of change:*** | * The source SN’s IP address is transferred to the target node in source to target transparent container during NG handover.
* To move the procedual text for source TNL Address IE from section 8.4.1.1 to 8.4.2.1. (correction to previous CR)
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|  |  |
| ***Consequences if not approved:*** | ACL function is not workable if direct data forwarding is performed from source SN to targe node in MR-DC to SA gNB handover case.Impact Analysis:Impact assessment towards the previous version of the specification (same release): This CR has limited impact under funtional point of view. |
|  |  |
| ***Clauses affected:*** | 8.4.1.1, 8.4.2.1, 9.3.1.29, ASN.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS38.473CR0878TS37.473CR0014TS38.463CR0698TS36.413CR1879TS36.423CR1693TS38.423CR0803 |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

<<<<<<<<<<<<<<<<<<<< Changes Begin>>>>>>>>>>>>>>>>>>>>

### 8.4.1 Handover Preparation

#### 8.4.1.1 General

The purpose of the Handover Preparation procedure is to request the preparation of resources at the target side via the 5GC. There is only one Handover Preparation procedure ongoing at the same time for a certain UE. The procedure uses UE-associated signalling.

#### 8.4.1.2 Successful Operation



Figure 8.4.1.2-1: Handover preparation: successful operation

The source NG-RAN node initiates the handover preparation by sending the HANDOVER REQUIRED message to the serving AMF. When the source NG-RAN node sends the HANDOVER REQUIRED message, it shall start the timer TNGRELOCprep. The source NG-RAN node shall indicate the appropriate cause value for the handover in the *Cause* IE.

Upon reception of the HANDOVER REQUIRED message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transparently transfer the *Handover Required Transfer* IE to the SMF associated with the concerned PDU session.

In case of intra-system handover, the information in the *Source to Target Transparent Container* IE shall be encoded according to the definition of the *Source NG-RAN node to Target NG-RAN node Transparent Container* IE.

If the *DL Forwarding* IE is included for a given QoS flow in the *PDU Session Resource Information Item* IE within the *Source NG-RAN node to Target NG-RAN node Transparent Container* IE of the HANDOVER REQUIRED message and it is set to "DL forwarding proposed", it indicates that the source NG-RAN node proposes forwarding of downlink data for that QoS flow.

If the *UL Forwarding* IE is included for a given QoS flow in the *PDU Session Resource Information Item* IE within the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE of the HANDOVER REQUIRED message and it is set to "UL forwarding proposed", it indicates that the source NG-RAN node proposes forwarding of uplink data for that QoS flow.

If the *DRBs to QoS Flows Mapping List* IE is included in the *PDU Session Resource Information Item* IE within the *Source NG-RAN node to Target NG-RAN node Transparent Container* IE of the HANDOVER REQUIRED message, it implicitly indicates that the source NG-RAN node proposes forwarding of downlink data for those DRBs.

If the *QoS Flow Mapping Indication* IE for a QoS flow is included in the *Associated QoS Flow List* IE within the *DRBs to QoS Flows Mapping List* IE within the *Source NG-RAN node to Target NG-RAN node Transparent Container* IE of the HANDOVER REQUIRED message, it indicates that the source NG-RAN node has mapped only the uplink or downlink of the QoS flow to the DRB.

In case of intra-system handover, if the HANDOVER COMMAND message contains the *DL Forwarding UP TNL Information* IE for a given DRB within the *Data Forwarding Response DRB List* IE in the *Handover Command Transfer* IE, the source NG-RAN node shall consider that the forwarding of downlink data for this DRB is accepted by the target NG-RAN node. If the HANDOVER COMMAND message contains the *UL Forwarding UP TNL Information* IE for a given DRB in the *Data Forwarding Response DRB List* IE within the *Handover Command Transfer* IE, it means the target NG-RAN node has requested the forwarding of uplink data for this DRB.

In case direct data forwarding is applied for inter-system handover, if the *Data Forwarding Response E-RAB List* IE in the *Handover Command Transfer* IE is included in the HANDOVER COMMAND message, the source NG-RAN node shall consider that forwarding of downlink data for this E-RAB is accepted by the target eNB.

If the HANDOVER COMMAND message contains the *UL Forwarding UP TNL Information* IE for a given PDU session within the *Handover Command Transfer* IE, the source NG-RAN node shall consider that the forwarding of uplink data of the QoS flows is accepted by the target NG-RAN node.

In case of inter-system handover to LTE, the information in the *Source to Target Transparent Container* IE shall be encoded according to the *Source eNB to Target eNB Transparent Container* IE definition as specified in TS 36.413 [16].

If the *Direct Forwarding Path Availability* IE is included in the HANDOVER REQUIRED message the AMF shall handle it as specified in TS 23.502 [10].

If the *Direct Forwarding Path Availability* IE is included within the *Handover Required Transfer* IE of the HANDOVER REQUIRED message the SMF shall handle it as specified in TS 23.502 [10].

When the preparation, including the reservation of resources at the target side is ready, the AMF responds with the HANDOVER COMMAND message to the source NG-RAN node. In case of intra-system handover, the AMF shall include the *PDU Session Resource Handover List* IE in the HANDOVER COMMAND message.

Upon reception of the HANDOVER COMMAND message the source NG-RAN node shall stop the timer TNGRELOCprep and start the timer TNGRELOCoverall.

If there are any PDU sessions that could not be admitted in the target, they shall be indicated in the *PDU Session Resource to Release List* IE.

NOTE: As an exception in case of inter-system handover to LTE, the AMF generates the *Handover Preparation Unsuccessful Transfer* IE in the *PDU Session Resource to Release List* IE.

If the HANDOVER COMMAND message contains the *QoS Flow to be Forwarded List* IE and/or *Data Forwarding Response DRB List* IE within the *Handover Command Transfer* IE for a given PDU session, then the source NG-RAN node should initiate data forwarding for the QoS flows as specified in TS 38.300 [8].

If the HANDOVER COMMAND message contains the *Additional DL Forwarding UP TNL Information* IE within the *Handover Command Transfer* IE, the source NG-RAN node should initiate data forwarding of the PDU session split in different tunnel and shall use the received UP transport layer information for the forwarding QoS flows associated to it.

If the HANDOVER COMMAND message contains the *Additional UL Forwarding UP TNL Information* IE within the *Handover Command Transfer* IE, the source NG-RAN node should initiate data forwarding of the PDU session split in different tunnels using the received UP transport layer information.

If the *NAS Security Parameters from NG-RAN* IE is included in the HANDOVER COMMAND message the NG-RAN node shall use it as specified in TS 33.501 [13].

If the *Target to Source Transparent Container* IE has been received by the AMF from the handover target then the transparent container shall be included in the HANDOVER COMMAND message.

If the HANDOVER COMMAND message contains the *QoS Flow Failed to Setup List* IE within the *Handover Command Transfer* IE, the source NG-RAN node shall consider that the listed QoS flows are failed to be handed over.

In case of inter-system handover to LTE, the information in the *Target to Source Transparent Container* IE shall be encoded according to the definition of the *Target eNB to Source eNB Transparent Container* IE as specified in TS 36.413 [16].

If the *Index to RAT/Frequency Selection Priority* IE is contained in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE, the target NG-RAN node shall store the content of the received *Index to RAT/Frequency Selection Priority* IE in the UE context and use it as defined in TS 23.501 [9].

If the *DAPS Request Information* IE is included for a DRB in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE within the HANDOVER REQUIRED message, it indicates that the request concerns a DAPS Handover for that DRB, as described in TS 38.300 [8].

**Interactions with other NGAP procedures:**

If, after a HANDOVER REQUIRED message is sent and before the Handover Preparation procedure is terminated, the source NG-RAN node receives an AMF initiated PDU Session Management procedure on the same UE-associated signalling connection, the source NG-RAN node shall either:

1. Cancel the Handover Preparation procedure by executing the Handover Cancellation procedure with an appropriate cause value. After successful completion of the Handover Cancellation procedure, the source NG-RAN node shall continue the AMF initiated PDU Session Management procedure.

or

2. Terminate the AMF initiated PDU Session Management procedure by sending the appropriate response message with an appropriate cause value, e.g. "NG intra-system handover triggered" or "NG inter-system handover triggered" to the AMF and then the source NG-RAN node shall continue with the handover procedure.

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

#### 8.4.2.1 General

The purpose of the Handover Resource Allocation procedure is to reserve resources at the target NG-RAN node for the handover of a UE. The procedure uses UE-associated signalling.

#### 8.4.2.2 Successful Operation



Figure 8.4.2.2-1: Handover resource allocation: successful operation

The AMF initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node.

If the *Masked IMEISV* IE is contained in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

Upon receipt of the HANDOVER REQUEST message the target NG-RAN node shall

- attempt to execute the requested PDU session configuration and associated security;

- store the received UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9];

- store the received Mobility Restriction List in the UE context;

- store the received UE Security Capabilities in the UE context;

- store the received Security Context in the UE context and take it into use as defined in TS 33.501 [13].

Upon reception of the *UE History Information* IE, which is included within the *Source to Target Transparent Container* IE of the HANDOVER REQUEST message, the target NG-RAN node shall collect the information defined as mandatory in the *UE History Information* IE and shall, if supported, collect the information defined as optional in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

Upon receiving the *PDU Session Resource Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall behave the same as defined in the PDU Session Resource Setup procedure. The target NG-RAN node shall report to the AMF in the HANDOVER REQUEST ACKNOWLEDGE message the result for each PDU session resource requested to be setup. In particular, for each PDU session resource successfully setup, it shall include the *Handover Request Acknowledge Transfer* IE containing the following information:

- The list of QoS flows which have been successfully established in the *QoS Flow Setup Response List* IE.

- The *Data Forwarding Accepted* IE if the data forwarding for the QoS flow is accepted.

- The list of QoS flows which have failed to be established, if any, in the *QoS Flow Failed to Setup List* IE.

- The UP transport layer information to be used for the PDU session.

- The security result associated to the PDU session.

- The redundant UP transport layer information to be used for the redundant transmission for the PDU session.

For each PDU session resource which failed to be setup, the *Handover Resource Allocation Unsuccessful Transfer* IE shall be included in the HANDOVER REQUEST ACKNOWLEDGE message containing a cause value that should be precise enough to enable the SMF to know the reason for the unsuccessful establishment.

For each PDU session included in the HANDOVER REQUEST ACKNOWLEDGE message, if the *Current QoS Parameters Set Index* IE is included for a QoS flow in the *QoS Flow Setup Response List* IE within the *Handover Request Acknowledge Transfer* IE the SMF shall consider it as the currently fulfilled QoS parameters set among the alternative QoS parameters for the involved QoS flow.

Upon reception of the HANDOVER REQUEST ACKNOWLEDGE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *Handover Request Acknowledge Transfer* IE or *Handover Resource Allocation Unsuccessful Transfer* IE to the SMF associated with the concerned PDU session.

If the HANDOVER REQUEST message contains the *Data Forwarding Not Possible* IE associated with a given PDU session within the *Handover Request Transfer* IE set to "data forwarding not possible", the target NG-RAN node may not include the *DL Forwarding UP TNL Information* IE and for intra-system handover the *Data Forwarding Response DRB List* IE within the *Handover Request Acknowledge Transfer* IE in the HANDOVER REQUEST ACKNOWLEDGE message for that PDU session.

If the HANDOVER REQUEST message contains the *Redundant PDU Session Information* IE associated with a given PDU session within the *Handover Request Transfer* IE, the target NG-RAN node shall, if supported, store the received information in the UE context and use it for redundant PDU session setup as specified in TS38.300 [8] and TS 23.501 [9]. If the *PDU Session Type* IE is set to “ethernet” and the redundancy requirement is fulfilled using a secondary NG-RAN node, the NG-RAN node shall, if supported, include the *Global RAN Node ID of Secondary NG-RAN Node* IE in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

For each PDU session for which the *Global RAN Node ID of Secondary NG-RAN Node* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall, if supported, handle this information as specified in TS 23.501 [9].

In case of intra-system handover, if the target NG-RAN node accepts the downlink data forwarding for at least one QoS flow for which the *DL Forwarding* IE is set to "DL forwarding proposed", it may include the *DL Forwarding UP TNL Information* IE in the *Handover Request Acknowledge Transfer* IE as forwarding tunnel for the QoS flows listed in the *QoS Flow Setup Response List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, if the target NG-RAN node accepts the uplink data forwarding for at least one QoS flow for which the *UL Forwarding* IE is set to "UL forwarding proposed", it may include the *UL Forwarding UP TNL Information* IE in the *Handover Request Acknowledge Transfer* IE for the PDU session within the *PDU Session Resource Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, for each PDU session for which the *Additional DL UP TNL Information for HO List* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall consider the included *Additional DL NG-U UP TNL Information* IE as the downlink termination point for the associated flows indicated in the *Additional QoS Flow Setup Response List* IE for this PDU session split in different tunnels and shall consider the *Additional DL Forwarding UP TNL Information* IE, if included, as the forwarding tunnel associated to these QoS flows.

In case of intra-system handover, for each PDU session for which the *Additional UL Forwarding UP TNL Information* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall consider it as the termination points for the uplink forwarding tunnels for this PDU session split in different tunnels.

In case of intra-system handover, if the target NG-RAN node accepts the data forwarding for a successfully configured DRB, the target NG-RAN node may include the *DL Forwarding UP TNL Information* IE for the DRB within the *Data Forwarding Response DRB List* IE within *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, if the target NG-RAN node receives the *Direct Forwarding Path Availability* IE set to "direct path available" within the *PDU Session Resource Setup Request Transfer* IE, the target NG-RAN node shall, if supported, assign the UP Transport Layer Information for intra-system direct data forwarding from the appropriate address space, if applicable.

If the HANDOVER REQUEST ACKNOWLEDGE message contains the *UL Forwarding UP TNL Information* IE for a given DRB in the *Data Forwarding Response DRB List* IE within the *Handover Request Acknowledge Transfer* IE, it indicates the target NG-RAN node has requested the forwarding of uplink data for the DRB.

In case of inter-system handover from E-UTRAN, if the *PDU Session Resource Setup Request Transfer* IE contains the *Direct Forwarding Path Availability* IE set to "direct path available", the target NG-RAN node shall, if supported, and if it accepts downlink data forwarding for the QoS flows mapped to an E-RAB of an admitted PDU session, include the *DL Forwarding UP TNL Information* IE in the *Data Forwarding Response E-RAB List* IE in the *Handover Request Acknowledge Transfer* IE in the HANDOVER REQUEST ACKNOWLEDGE message for that mapped E-RAB.

In case of inter-system handover from E-UTRAN, the target NG-RAN node includes the *Data Forwarding Accepted* IE for each QoS flow that the *DL Forwarding* IE is set to "DL forwarding proposed" for the corresponding E-RAB in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE and that the target NG-RAN node has admitted the proposed forwarding of downlink data for the QoS flow. If indirect data forwarding is applied for inter-system handover, if the target NG-RAN node accepts the downlink data forwarding for at least one QoS flow of an admitted PDU session it shall include the *DL Forwarding UP TNL Information* IE in the *PDU Session Resource Setup Response Transfer* IE for that PDU session within the *PDU Session Resources Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of inter-system handover from E-UTRAN with direct forwarding, if the target NG-RAN node receives the *SgNB UE X2AP ID* IE in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE, it may use it for internal forwarding as described in TS 37.340 [32].

In case of inter-system handover from E-UTRAN, if the target cell is a CAG cell, the target NG-RAN node shall include the *NPN Access Information* IE in the HANDOVER REQUEST ACKNOWLEDGE message, and the AMF shall consider that the included information is associated to the target cell and to the UE’s serving PLMN identity, and use it as specified in TS 23.501 [9].

The target NG-RAN node shall use the information in the *Mobility Restriction List* IE if present in the HANDOVER REQUEST message to

- determine a target for subsequent mobility action for which the target NG-RAN node provides information about the target of the mobility action towards the UE;

- select a proper SCG during dual connectivity operation;

- assign proper RNA(s) for the UE when moving the UE to RRC\_INACTIVE state.

If the *Mobility Restriction List* IE is not contained in the HANDOVER REQUEST message, the target NG-RAN node shall consider that no roaming and no access restriction apply to the UE. The target NG-RAN node shall also consider that no roaming and no access restriction apply to the UE when:

- one of the QoS flows includes a particular ARP value (TS 23.501 [9]).

If the *Trace Activation* IE is included in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [11]. In particular, the NG-RAN node shall, if supported:

- if the *Trace Activation* IE includes the *MDT Activation* IE set to "Immediate MDT and Trace", initiate the requested trace session and MDT session as described in TS 32.422 [11];

- if the *Trace Activation* IE includes the *MDT Activation* IE set to "Immediate MDT Only", "Logged MDT only", initiate the requested MDT session as described in TS 32.422 [11] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE and the *Trace Depth* IE;

- if the *Trace Activation* IE includes the *MDT Location Information* IE within the *MDT Configuration* IE, store this information and take it into account in the requested MDT session;

- if the *Trace Activation* IE includes the *Signalling Based MDT PLMN List* IE within the *MDT Configuration* IE, the NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [41].

- if the *Trace Activation* IE includes the *Bluetooth Measurement Configuration* IE within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [41].

- if the *Trace Activation* IE includes the *WLAN Measurement Configuration* IE within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [41].

- if the *Trace Activation* IE includes the *Sensor Measurement Configuration* IE within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [41].

- if the *Trace Activation* IE includes the *MDT Configuration* IE and if the NG-RAN node is a gNB at least the *MDT Configuration-NR* IE shall be present, while if the NG-RAN node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present.

If the *Location Reporting Request Type* IE is included in the HANDOVER REQUEST message, the target NG-RAN node should perform the requested location reporting functionality for the UE as described in subclause 8.12.

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it for the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8]. If the *MICO All PLMN* IE is included in the *Core Network Assistance Information* *for RRC INACTIVE* IE the NG-RAN node shall, if supported, consider that the registration area for the UE is the full PLMN and ignore the *TAI List for RRC Inactive* IE.

If the *CN Assisted RAN Parameters Tuning* IE is included in the HANDOVER REQUEST message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *New Security Context Indicator* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall use the information as specified in TS 33.501 [13].

If the *NASC* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall use it towards the UE as specified in TS 33.501 [13].

If the *RRC Inactive Transition Report Request* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context.

If the *Redirection for Voice EPS Fallback* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store it and use it in a subsequent decision of EPS fallback for voice as specified in TS 23.502 [10].

If the *SRVCC Operation Possible* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the content of the received *SRVCC Operation Possible* IE in the UE context and use it as defined in TS 23.216 [31].

If the *IAB Authorized* IE is contained in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, consider that the handover is for an IAB node.

If the *Enhanced Coverage Restriction* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *UE Differentiation Information* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

If the *UE User Plane CIoT Support Indicator* IE is included in the HANDOVER REQUEST message the NG-RAN node shall, if supported, store this information in the UE context and consider that User Plane CIoT 5GS Optimisation as specified in TS 23.501 [9] is supported for the UE.

Upon reception of the *UE History Information from UE* IE, which is included within the *Source to Target Transparent Container* IE of the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the collected information and use it for future handover preparations.

After all necessary resources for the admitted PDU session resources have been allocated, the target NG-RAN node shall generate the HANDOVER REQUEST ACKNOWLEDGE message.

For each QoS flow which has been established in the target NG-RAN node, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [9]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information and, if supported, use it for RAN part delay reporting.

If the *NR V2X Services Authorized* IE is contained in the HANDOVER REQUEST message and it contains one or more IEs set to "authorized", the NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

If the *LTE V2X Services Authorized* IE is contained in the HANDOVER REQUEST message and it contains one or more IEs set to "authorized", the NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

If the *NR UE Sidelink Aggregate Maximum Bit Rate* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for NR V2X services.

If the *LTE UE Sidelink Aggregate Maximum Bit Rate* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for LTE V2X services.

If the *PC5 QoS Parameters* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, use it as defined in TS 23.287 [33].

If the *CE-mode-B Restricted* IE is included in the HANDOVER REQUEST message and the *Enhanced Coverage Restriction* IE is not set to "restricted"and the Enhanced Coverage Restriction information stored in the UE context is not set to "restricted", the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Management Based MDT PLMN List* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the received information in the UE context, and use this information to allow subsequent selections of the UE for management based MDT defined in TS 32.422 [11].

If the HANDOVER REQUEST message contains the *UE Radio Capability ID* IE, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9] and TS 23.502 [10].

If the *DAPS Request Information* IE is included for a DRB in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE within the HANDOVER REQUEST message, the target NG-RAN node shall consider that the request concerns a DAPS Handover for that DRB, as described in in TS 38.300 [8]. The target NG-RAN node shall include the *DAPS Response information List* IE in the *Target NG-RAN Node to Source NG-RAN Node Transparent Container* IE within the HANDOVER REQUEST ACKNOWLEDGE message, containing the *DAPS Response Information* IE for each DRB requested to be configured with DAPS Handover.

If the *Extended Connected Time* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

If the *Source Node ID* IE is included in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE within the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, use it to decide whether direct forwarding path is available between the target NG-RAN node and this source RAN node. If the direct forwarding path is available, the target NG-RAN node shall include the *Direct Forwarding Path Availability* IE in the *Target NG-RAN Node to Source NG-RAN Node Transparent Container* IE within the HANDOVER REQUEST ACKNOWLEDGE message.

REQUEST

If for a given QoS flow the *Source Node Transport Layer Address* IE is included within the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE of the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality configuration actions for direct data forwarding, if such ACL functionality is deployed.

If for a given E-RAB the *Source Node Transport Layer Address* IE is included within the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE of the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality configuration actions for direct data forwarding, if such ACL functionality is deployed and if direct forwarding path is available between the target NG-RAN node and this source RAN node.

**Interactions with RRC Inactive Transition Report procedure:**

If the RRC Inactive Transition Report Request IE is included in the HANDOVER REQUEST message and set to "subsequent state transition report", the NG-RAN node shall, if supported, send the RRC INACTIVE TRANSITION REPORT message to the AMF to report the RRC state of the UE when the UE enters or leaves RRC\_INACTIVE state.

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

#### 9.3.1.29 Source NG-RAN Node to Target NG-RAN Node Transparent Container

This IE is produced by the source NG-RAN node and is transmitted to the target NG-RAN node. For inter-system handovers to 5G, the IE is transmitted from the external handover source to the target NG-RAN node.

This IE is transparent to the 5GC.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| RRC Container | M |  | OCTET STRING | Includes the RRC *HandoverPreparationInformation* message as defined in TS 38.331 [18] if the target is a gNB.Includes the RRC *HandoverPreparationInformation* message as defined in TS 36.331 [21] if the target is an ng-eNB. | - |  |
| **PDU Session Resource Information List** |  | *0..1* |  | For intra-system handovers in NG-RAN. | - |  |
| **>PDU Session Resource Information Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
| **>>QoS Flow Information List** |  | *1* |  |  | - |  |
| **>>>QoS Flow Information Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>>>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| >>>>DL Forwarding | O |  | 9.3.1.33 |  | - |  |
| >>>>UL Forwarding | O |  | 9.3.1.118 |  | YES | ignore |
| >>>>Source Transport Layer Address | O |  | Transport Layer Address9.3.2.4 | Identifies the TNL address used by the sending node for direct data forwardingtowards the target NG-RAN node | YES | ignore |
| >>>>Source Node Transport Layer Address | O |  | Transport Layer Address9.3.2.4 | Identifies the TNL address used by the source SN node for direct data forwardingtowards the target NG-RAN node | YES | ignore |
| >>DRBs to QoS Flows Mapping List | O |  | 9.3.1.34 |  | - |  |
| **E-RAB Information List** |  | *0..1* |  | For inter-system handovers to 5G. | - |  |
| **>E-RAB Information Item** |  | *1..<maxnoofE-RABs>* |  |  | - |  |
| >>E-RAB ID | M |  | 9.3.2.3 |  | - |  |
| >>DL Forwarding | O |  | 9.3.1.33 |  | - |  |
| >>Source Node Transport Layer Address | O |  | Transport Layer Address9.3.2.4 | Identifies the TNL address used by the source SN node for direct data forwardingtowards the target NG-RAN node | YES | ignore |
| Target Cell ID | M |  | NG-RAN CGI9.3.1.73 |  | - |  |
| Index to RAT/Frequency Selection Priority | O |  | 9.3.1.61 |  | - |  |
| UE History Information | M |  | 9.3.1.95 |  | - |  |
| SgNB UE X2AP ID | O |  | 9.3.1.127 | Allocated at the Source en-gNB | - |  |
| UE History Information from UE | O |  | 9.3.1.166 |  | YES | ignore |
| Source Node ID | O |  | 9.3.1.195 | Source SN ID | YES | ignore |

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

### 9.4.5 Information Element Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

NGAP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) ngap (1) version1 (1) ngap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

 id-AdditionalDLForwardingUPTNLInformation,

 id-AdditionalULForwardingUPTNLInformation,

 id-AdditionalDLQosFlowPerTNLInformation,

 id-AdditionalDLUPTNLInformationForHOList,

 id-AdditionalNGU-UP-TNLInformation,

 id-AdditionalRedundantDL-NGU-UP-TNLInformation,

 id-AdditionalRedundantDLQosFlowPerTNLInformation,

 id-AdditionalRedundantNGU-UP-TNLInformation,

 id-AdditionalRedundantUL-NGU-UP-TNLInformation,

 id-AdditionalUL-NGU-UP-TNLInformation,

 id-AlternativeQoSParaSetList,

 id-BurstArrivalTimeDownlink,

 id-Cause,

 id-CNPacketDelayBudgetDL,

 id-CNPacketDelayBudgetUL,

 id-CNTypeRestrictionsForEquivalent,

 id-CNTypeRestrictionsForServing,

 id-CommonNetworkInstance,

 id-ConfiguredTACIndication,

 id-CurrentQoSParaSetIndex,

 id-DAPSRequestInfo,

 id-DAPSResponseInfoList,

 id-DataForwardingNotPossible,

 id-DataForwardingResponseERABList,

 id-DirectForwardingPathAvailability,

 id-DL-NGU-UP-TNLInformation,

 id-EndpointIPAddressAndPort,

 id-ExtendedPacketDelayBudget,

 id-ExtendedRATRestrictionInformation,

 id-ExtendedReportIntervalMDT,

 id-ExtendedSliceSupportList,

 id-ExtendedTAISliceSupportList,

 id-ExtendedUEIdentityIndexValue,

 id-GlobalCable-ID,

 id-GlobalRANNodeID,

 id-GlobalTNGF-ID,

 id-GlobalTWIF-ID,

 id-GlobalW-AGF-ID,

 id-GUAMIType,

 id-LastEUTRAN-PLMNIdentity,

 id-LocationReportingAdditionalInfo,

 id-MaximumIntegrityProtectedDataRate-DL,

 id-MDTConfiguration,

 id-MicoAllPLMN,

 id-NetworkInstance,

 id-NID,

 id-NPN-MobilityInformation,

 id-NPN-PagingAssistanceInformation,

 id-NPN-Support,

 id-OldAssociatedQosFlowList-ULendmarkerexpected,

 id-PagingAssisDataforCEcapabUE,

 id-PagingeDRXInformation,

 id-PDUSessionAggregateMaximumBitRate,

 id-PduSessionExpectedUEActivityBehaviour,

 id-PDUSessionResourceFailedToSetupListCxtFail,

 id-PDUSessionResourceReleaseResponseTransfer,

 id-PDUSessionType,

 id-PSCellInformation,

 id-QosFlowAddOrModifyRequestList,

 id-QosFlowFailedToSetupList,

 id-QosFlowFeedbackList,

 id-QosFlowParametersList,

 id-QosFlowSetupRequestList,

 id-QosFlowToReleaseList,

 id-QosMonitoringRequest,

 id-QosMonitoringReportingFrequency,

 id-RAT-Information,

 id-RedundantCommonNetworkInstance,

 id-RedundantDL-NGU-TNLInformationReused,

 id-RedundantDL-NGU-UP-TNLInformation,

 id-RedundantDLQosFlowPerTNLInformation,

 id-RedundantPDUSessionInformation,

 id-RedundantQosFlowIndicator,

 id-RedundantUL-NGU-UP-TNLInformation,

 id-SCTP-TLAs,

 id-SecondaryRATUsageInformation,

 id-SecurityIndication,

 id-SecurityResult,

 id-SgNB-UE-X2AP-ID,

 id-S-NSSAI,

 id-SONInformationReport,

 id-SourceNodeID,

 id-SourceTNLAddrInfo,

 id-TNLAssociationTransportLayerAddressNGRAN,

 id-TargetRNC-ID,

 id-TraceCollectionEntityURI,

 id-TSCTrafficCharacteristics,

 id-UEHistoryInformationFromTheUE,

 id-UERadioCapabilityForPaging,

 id-UERadioCapabilityForPagingOfNB-IoT,

 id-UL-NGU-UP-TNLInformation,

 id-UL-NGU-UP-TNLModifyList,

 id-ULForwarding,

 id-ULForwardingUP-TNLInformation,

 id-UsedRSNInformation,

 id-UserLocationInformationTNGF,

 id-UserLocationInformationTWIF,

 id-UserLocationInformationW-AGF,

 id-SourceTNLAddrInfo,

 maxnoofAllowedAreas,

 maxnoofAllowedCAGsperPLMN,

 maxnoofAllowedS-NSSAIs,

 maxnoofBluetoothName,

 maxnoofBPLMNs,

 maxnoofCAGSperCell,

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* skip unchanged part \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

E-RABInformationItem ::= SEQUENCE {

 e-RAB-ID E-RAB-ID,

 dLForwarding DLForwarding OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { {E-RABInformationItem-ExtIEs} } OPTIONAL,

 ...

}

E-RABInformationItem-ExtIEs NGAP-PROTOCOL-EXTENSION ::= {

 {ID id-SourceNodeTNLAddrInfo CRITICALITY ignore EXTENSION TransportLayerAddress PRESENCE optional},

 ...

}

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

QosFlowInformationItem-ExtIEs NGAP-PROTOCOL-EXTENSION ::= {

 {ID id-ULForwarding CRITICALITY ignore EXTENSION ULForwarding PRESENCE optional}|

 {ID id-SourceTNLAddrInfo CRITICALITY ignore EXTENSION TransportLayerAddress PRESENCE optional}|

 {ID id-SourceNodeTNLAddrInfo CRITICALITY ignore EXTENSION TransportLayerAddress PRESENCE optional},

 ...

}

<<<<<<<<<<<<<<<<<<<< Next Change>>>>>>>>>>>>>>>>>>>>

 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* skip unchanged part \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

 id-BurstArrivalTimeDownlink ProtocolIE-ID ::= 279

 id-ExtendedUEIdentityIndexValue ProtocolIE-ID ::= 280

 id-PduSessionExpectedUEActivityBehaviour ProtocolIE-ID ::= 281

 id-MicoAllPLMN ProtocolIE-ID ::= 282

 id-QosFlowFailedToSetupList ProtocolIE-ID ::= 283

 id-SourceTNLAddrInfo ProtocolIE-ID ::= 284

 id-ExtendedReportIntervalMDT ProtocolIE-ID ::= 285

 id-SourceNodeID ProtocolIE-ID ::= 286

 id-SourceNodeTNLAddrInfo ProtocolIE-ID ::= xxx

END

-- ASN1STOP

<<<<<<<<<<<<<<<<<<<< Changes End>>>>>>>>>>>>>>>>>>>>