**3GPP TSG-RAN WG3 Meeting #115e *R3-221802***

**, 21st February – 3rd March, 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  |  | **CR** | **0502** | **Rev** | **7** | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
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|  | | | | | | | | | | |
| ***Title:*** | Handling PDCP Duplication | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson, Intel Corporation, Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIOT-Core | | | | |  | ***Date:*** | | | 2022-02-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | There is a need to indicate if the Rel 16 dynamic MAC UE control for UL PDCP duplication is used in the NG-RAN node. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | For SN terminated PDCP, includes the indication if M-NG-RAN is allowed to perfrom MAC CE control; For MN terminated PDCP, includes the indication if S-NG-RAN is allowed to perfrom MAC CE control.  Include if the S-NG-RAN node accepts the indication.  Add in the RLC Duplication Information the indication if the MAC CE control is allowed.  Impact Analysis:  The CR has limited impact on the procedures.  The change is backwards compatible. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The Rel 16 dynamic MAC CE control is not possible to use. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 9.2.3.111, 9.3.5, 9.3.7 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.473 CR 0690  TS 38.425 CR 0130 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

#### 9.2.3.111 RLC Duplication Information

This IE indicates the RLC duplication configuration in case that the indicated DRB is configured with more than two RLC entities as specified in TS 38.331 [9].

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| **RLC Activation State List** |  | *1* |  |  | – |  |
| **>RLC Activation State Items** |  | *1 .. <* *maxnoofRLCDuplicationstate >* |  | This IE indicates information on the initial secondary RLC activation state of UL PDCP duplication.  Each position in the list represents a secondary RLC entity in ascending order by the LCH ID in the order of MCG and SCG. | – |  |
| >>Duplication State | M |  | ENUMERATED (Active, Inactive, ...) |  | – |  |
| Primary RLC Indication | O |  | ENUMERATED (  True, False, …) | This IE is present when DC based PDCP duplication is configured. This IE indicates whether the primary RLC entity located at the assisting node. | – |  |
| MAC CE Control | O |  | ENUMERATED (allowed, disallowed, not-relevant, …) | This IE indicates if the assisting node is allowed to control the MAC CE UL PDCP duplication.  The value “not-relevant” means the MAC CE UL PDCP is not controlled by PDCP entity. | Yes | reject |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofRLCDuplicationstate | Maximum no of Secondary RLC entities. Value is 3. |

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### 9.3.5 Information Element definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- Information Element Definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) xnap (2) version1 (1) xnap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

id-CNTypeRestrictionsForEquivalent,

id-CNTypeRestrictionsForServing,

id-Additional-UL-NG-U-TNLatUPF-List,

id-ConfiguredTACIndication,

id-AlternativeQoSParaSetList,

id-CurrentQoSParaSetIndex,

id-DefaultDRB-Allowed,

id-DLCarrierList,

id-EndpointIPAddressAndPort,

id-ExtendedTAISliceSupportList,

id-FiveGCMobilityRestrictionListContainer,

id-SecondarydataForwardingInfoFromTarget-List,

id-LastE-UTRANPLMNIdentity,

id-IntendedTDD-DL-ULConfiguration-NR,

id-MaxIPrate-DL,

id-SecurityResult,

id-OldQoSFlowMap-ULendmarkerexpected,

id-PDUSessionCommonNetworkInstance,

id-BPLMN-ID-Info-EUTRA,

id-BPLMN-ID-Info-NR,

id-DRBsNotAdmittedSetupModifyList,

id-Secondary-MN-Xn-U-TNLInfoatM,

id-ULForwardingProposal,

id-DRB-IDs-takenintouse,

id-SplitSessionIndicator,

id-NonGBRResources-Offered,

id-MDT-Configuration,

id-TraceCollectionEntityURI,

id-NPN-Broadcast-Information,

id-NPNPagingAssistanceInformation,

id-NPNMobilityInformation,

id-NPN-Support,

id-LTEUESidelinkAggregateMaximumBitRate,

id-NRUESidelinkAggregateMaximumBitRate,

id-ExtendedRATRestrictionInformation,

id-QoSMonitoringRequest,

id-DAPSRequestInfo,

id-OffsetOfNbiotChannelNumberToDL-EARFCN,

id-OffsetOfNbiotChannelNumberToUL-EARFCN,

id-NBIoT-UL-DL-AlignmentOffset,

id-TDDULDLConfigurationCommonNR,

id-CarrierList,

id-ULCarrierList,

id-FrequencyShift7p5khz,

id-SSB-PositionsInBurst,

id-NRCellPRACHConfig,

id-Redundant-UL-NG-U-TNLatUPF,

id-Redundant-DL-NG-U-TNLatNG-RAN,

id-CNPacketDelayBudgetDownlink,

id-CNPacketDelayBudgetUplink,

id-ExtendedPacketDelayBudget,

id-Additional-Redundant-UL-NG-U-TNLatUPF-List,

id-RedundantCommonNetworkInstance,

id-TSCTrafficCharacteristics,

id-RedundantQoSFlowIndicator,

id-Additional-PDCP-Duplication-TNL-List,

id-RedundantPDUSessionInformation,

id-UsedRSNInformation,

id-RLCDuplicationInformation,

id-CSI-RSTransmissionIndication,

id-UERadioCapabilityID,

id-secondary-SN-UL-PDCP-UP-TNLInfo,

id-pdcpDuplicationConfiguration,

id-duplicationActivation,

id-NPRACHConfiguration,

id-QoSFlowsMappedtoDRB-SetupResponse-MNterminated,

id-DL-scheduling-PDCCH-CCE-usage,

id-UL-scheduling-PDCCH-CCE-usage,

id-SFN-Offset,

id-MAC-CE-Control,

maxEARFCN,

maxnoofAllowedAreas,

maxnoofAMFRegions,

maxnoofAoIs,

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-- M

MAC-CE-Control ::= ENUMERATED{

allowed,

disallowed,

not-relevant,

...

}

M1Configuration ::= SEQUENCE {

m1reportingTrigger M1ReportingTrigger,

m1thresholdeventA2 M1ThresholdEventA2 OPTIONAL,

-- Included in case of event-triggered, or event-triggered periodic reporting for measurement M1

m1periodicReporting M1PeriodicReporting OPTIONAL,

-- Included in case of periodic or event-triggered periodic reporting

iE-Extensions ProtocolExtensionContainer { { M1Configuration-ExtIEs} } OPTIONAL,

...

}

M1Configuration-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

M1PeriodicReporting ::= SEQUENCE {

reportInterval ReportIntervalMDT,

reportAmount ReportAmountMDT,

iE-Extensions ProtocolExtensionContainer { { M1PeriodicReporting-ExtIEs} } OPTIONAL,

...

}

M1PeriodicReporting-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

M1ReportingTrigger ::= ENUMERATED{

periodic,

a2eventtriggered,

a2eventtriggered-periodic,

...

}

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-- R

RACHReportInformation ::= SEQUENCE (SIZE(1.. maxnoofRACHReports)) OF RACHReportList-Item

RACHReportList-Item ::= SEQUENCE {

rACHReport RACHReportContainer,

iE-Extensions ProtocolExtensionContainer { { RACHReportList-Item-ExtIEs} } OPTIONAL,

...

}

RACHReportList-Item-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

RACHReportContainer ::= OCTET STRING

RadioResourceStatus ::= CHOICE {

ng-eNB-RadioResourceStatus NG-eNB-RadioResourceStatus,

gNB-RadioResourceStatus GNB-RadioResourceStatus,

choice-extension ProtocolIE-Single-Container { { RadioResourceStatus-ExtIEs} }

}

RadioResourceStatus-ExtIEs XNAP-PROTOCOL-IES ::= {

...

}

RANAC ::= INTEGER (0..255)

RANAreaID ::= SEQUENCE {

tAC TAC,

rANAC RANAC OPTIONAL,

iE-Extensions ProtocolExtensionContainer { {RANAreaID-ExtIEs} } OPTIONAL,

...

}

RANAreaID-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

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RLC-Status-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

RLCDuplicationInformation ::= SEQUENCE {

rLCDuplicationStateList RLCDuplicationStateList,

rLC-PrimaryIndicator ENUMERATED {true, false} OPTIONAL,

iE-Extensions ProtocolExtensionContainer { {RLCDuplicationInformation-ItemExtIEs} } OPTIONAL

}

RLCDuplicationInformation-ItemExtIEs XNAP-PROTOCOL-EXTENSION ::= {

{ ID id-MAC-CE-Control CRITICALITY reject EXTENSION MAC-CE-Control PRESENCE optional},

...

}

RLCDuplicationStateList ::= SEQUENCE (SIZE(1..maxnoofRLCDuplicationstate)) OF RLCDuplicationState-Item

RLCDuplicationState-Item ::= SEQUENCE {

duplicationState ENUMERATED {active,inactive, ...},

iE-Extensions ProtocolExtensionContainer { {RLCDuplicationState-ItemExtIEs } } OPTIONAL,

...

}

RLCDuplicationState-ItemExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

### 

### 9.3.7 Constant definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-Constants {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) xnap (2) version1 (1) xnap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

ProcedureCode,

ProtocolIE-ID

FROM XnAP-CommonDataTypes;

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Elementary Procedures

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id-UL-scheduling-PDCCH-CCE-usage ProtocolIE-ID ::= 241

id-SFN-Offset ProtocolIE-ID ::= 242

id-QoSMonitoringDisabled ProtocolIE-ID ::= 243

id-ExtendedUEIdentityIndexValue ProtocolIE-ID ::= 244

id-PagingeDRXInformation ProtocolIE-ID ::= 245

id-CHO-MRDC-EarlyDataForwarding ProtocolIE-ID ::= 246

id-SCGIndicator ProtocolIE-ID ::= 247

id-UESpecificDRX ProtocolIE-ID ::= 248

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id-MAC-CE-Control ProtocolIE-ID ::= 2xx

END

-- ASN1STOP