**3GPP TSG-RAN WG3 Meeting #114-bis-eR3-221197**

**Online, Jan 17th – Jan 26th 2022**

Agenda Item: 10.2.2

Source: Ericsson

Title: (TP for SON BL CR for TS 38.473) Measurements for CCO issue detection

Document for: Discussion, Decision

# 1 Introduction

This TP reflects the agreements at RAN3-114bis-e

# TP for F1AP (38.473) for CCO

/////////////////////////////////////// Change Start ///////////////////////////////////////////////

### 8.2.4 gNB-DU Configuration Update

#### 8.2.4.1 General

The purpose of the gNB-DU Configuration Update procedure is to update application level configuration data needed for the gNB-DU and the gNB-CU to interoperate correctly on the F1 interface. This procedure does not affect existing UE-related contexts, if any. The procedure uses non-UE associated signalling.

NOTE: Update of application level configuration data also applies between the gNB-DU and the gNB-CU in case the DU does not broadcast system information other than for radio frame timing and SFN, as specified in the TS 37.340 [8]. How to use this information when this option is used is not explicitly specified.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: gNB-DU Configuration Update procedure: Successful Operation

The gNB-DU initiates the procedure by sending a GNB-DU CONFIGURATION UPDATE message to the gNB-CU including an appropriate set of updated configuration data that it has just taken into operational use. The gNB-CU responds with GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If an information element is not included in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall interpret that the corresponding configuration data is not changed and shall continue to operate the F1-C interface with the existing related configuration data.

The updated configuration data shall be stored in both nodes and used as long as there is an operational TNL association or until any further update is performed.

If g*NB-DU ID* IE is contained in the GNB-DU CONFIGURATION UPDATE message for a newly established SCTP association, the gNB-CU will associate this association with the related gNB-DU.

If *Served Cells To Add Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall add cell information according to the information in the *Served Cell Information IE*. For NG-RAN, the gNB-DU shall include the *gNB-DU System Information* IE.

If *Served Cells To Modify Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall modify information of cell indicated by *Old* *NR CGI* IE according to the information in the *Served Cell Informatio*n IE and overwrite the served cell information for the affected served cell. Further, if the *gNB-DU System Information* IE is present the gNB-CU shall store and replace any previous information received.

If *Served Cells To Delete Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall delete information of cell indicated by *Old* *NR CGI* IE.

If *Cells Status Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall update the information about the cells, as described in TS 38.401 [4]. If if the *Switching Off Ongoing* IE is present in the *Cells Status Item* IE, contained in the GNB-DU CONFIGURATION UPDATE message, and the corresponding *Service State IE* is set to "Out-of-Service", the gNB-CU shall ignore the *Switching Off Ongoing* IE.

If *Cells to be Activated List Item* IE is contained in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall activate the cell indicated by *NR CGI* IE and reconfigure the physical cell identity for cells for which the *NR PCI* IE is included.

If *Cells to be* *Activated List Item* IE is contained in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message and the indicated cells are already activated, the gNB-DU shall update the cell information received in *Cells to be Activated List Item* IE.

If *Cells to be Activated List Item* IE is included in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, and the information for the cell indicated by the *NR CGI* IE includes the *IAB Info IAB-donor-CU* IE, the gNB-DU shall, if supported, apply the *IAB STC Info* IE therein to the indicated cell.

If *Cells to be Deactivated List Item* IE is contained in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall deactivate all the cells with NR CGI listed in the IE.

If *Dedicated SI Delivery Needed UE List* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU should take it into account when informing the UE of the updated system information via the dedicated RRC message.

For NG-RAN, the gNB-CU shall include the *gNB-CU System Information* IE in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message. The *SIB type to Be Updated List* IE shall contain the full list of SIBs to be broadcast*.*

For NG-RAN, the gNB-DU may include the *RAN Area Code* IE in the GNB-DU CONFIGURATION UPDATE message. The gNB-CU shall store and replace any previously provided *RAN Area Code* IE by the received *RAN Area Code* IE.

If *Available PLMN List* IE, and optionally also *Extended Available PLMN List* IE, is contained in GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall overwrite the whole available PLMN list and update the corresponding system information.

If *Available SNPN ID List* IE is contained in GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall overwrite the whole available SNPN ID list and update the corresponding system information.

If in GNB-DU CONFIGURATION UPDATE message, the *Cell Direction* IE is present, the gNB-CU should use it to understand whether the cell is for UL or DL only. If in GNB-DU CONFIGURATION UPDATE message, the *Cell Direction* IE is omitted in the *Served Cell Information* IE it shall be interpreted as that the Cell Direction is Bi-directional.

If the GNB-DU CONFIGURATION UPDATE message includes *gNB-DU TNL Association To Remove List* IE, and the *Endpoint IP address* IE and the *Port Number* IE for both TNL endpoints of the TNL association(s) are included in the *gNB-DU TNL Association To Remove List* IE, the gNB-CU shall, if supported, consider that the TNL association(s) indicated by both received TNL endpoints will be removed by the gNB-DU. If the *Endpoint IP address* IE, or the *Endpoint IP address* IE and the *Port Number* IE for one or both of the TNL endpoints is included in the *gNB-DU TNL Association To Remove List* IE in GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, consider that the TNL association(s) indicated by the received endpoint IP address(es) will be removed by the gNB-DU.

If the *Intended TDD DL-UL Configuration* IE is present in the GNB-DU CONFIGURATION UPDATE message, the receiving gNB-CU shall use the received information for Cross Link Interference management and/or NR-DC power coordination. The gNB-CU may merge the Intended TDD DL-UL Configuration information received from two or more gNB-DUs. The gNB-CU shall consider the received *Intended TDD DL-UL Configuration* IE content valid until reception of an update of the IE for the same cell(s).

If the *Aggressor gNB Set* ID IE is included in the *Served Cell Information* IE in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, take it into account.

If the *Victim gNB Set* ID IE is included in the *Served Cell Information* IE in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, take it into account.

If the GNB-DU CONFIGURATION UPDATE message includes *Transport Layer Address Info* IE, the gNB-CU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message includes *Transport Layer Address Info* IE, the gNB-DU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message contains the *Uplink BH Non-UP Traffic Mapping* IE, the gNB-DU shall, if supported, consider the information therein for mapping of non-UP uplink traffic.

If the *SFN Offset* IE is contained in the *Served Cell Information* IE in GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, use this information to deduce the SFN0 offset of the reported cell.

If the *NR Cell PRACH Configuration* IE is included in the *Served Cell Information* IE contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU may store the information, and forward it to other RAN nodes for RACH optimisation.

If the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message contains the *BAP Address* IE, the gNB-DU shall, if supported, store the received BAP address and use it as specified in TS 38.340 [30].

If the *Coverage Modification Notification* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, take it into account for Coverage and Capacity Optimization.

/////////////////////////////////////// Next Change ///////////////////////////////////////////////

### 8.2.5 gNB-CU Configuration Update

#### 8.2.5.1 General

The purpose of the gNB-CU Configuration Update procedure is to update application level configuration data needed for the gNB-DU and gNB-CU to interoperate correctly on the F1 interface. This procedure does not affect existing UE-related contexts, if any. The procedure uses non-UE associated signalling.

#### 8.2.5.2 Successful Operation



Figure 8.2.5.2-1: gNB-CU Configuration Update procedure: Successful Operation

The gNB-CU initiates the procedure by sending a GNB-CU CONFIGURATION UPDATE message including the appropriate updated configuration data to the gNB-DU. The gNB-DU responds with a GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If an information element is not included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall interpret that the corresponding configuration data is not changed and shall continue to operate the F1-C interface with the existing related configuration data.

The updated configuration data shall be stored in the respective node and used as long as there is an operational TNL association or until any further update is performed.

If *Cells to be Activated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall activate the cell indicated by *NR CGI* IE and reconfigure the physical cell identity for which the *NR PCI* IE is included.

If *Cells to be Deactivated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall deactivate the cell indicated by *NR CGI* IE.

If *Cells to be Activated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message and the indicated cells are already activated, the gNB-DU shall update the cell information received in *Cells to be Activated List Item* IE.

If *Cells to be Activated List Item* IE is included in the GNB-CU CONFIGURATION UPDATE message, and the information for the cell indicated by the *NR CGI* IE includes the *IAB Info IAB-donor-CU* IE, the gNB-DU shall, if supported, apply the *IAB STC Info* IE therein to the indicated cell.

If the *gNB-CU System Information* IE is contained in the gNB-CU CONFIGURATION UPDATE message, the gNB-DU shall include the *Dedicated SI Delivery Needed UE List* IE in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message for UEs that are unable to receive system information from broadcast.

If *Dedicated SI Delivery Needed UE List* IE is contained in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-CU should take it into account when informing the UE of the updated system information via the dedicated RRC message.

If the *gNB-CU TNL Association To Add List* IE is contained in the gNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, use it to establish the TNL association(s) with the gNB-CU. The gNB-DU shall report to the gNB-CU, in the gNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the successful establishment of the TNL association(s) with the gNB-CU as follows:

- A list of TNL address(es) with which the gNB-DU successfully established the TNL association shall be included in the gNB-CU *TNL Association Setup List* IE;

- A list of TNL address(es) with which the gNB-DU failed to establish the TNL association shall be included in the *gNB-CU TNL Association Failed To Setup List* IE.

If the GNB-CU CONFIGURATION UPDATE message includes *gNB-CU TNL Association To Remove List* IE, and the *Endpoint IP address* IE and the *Port Number* IE for both TNL endpoints of the TNL association(s) are included in the *gNB-CU TNL Association To Remove List* IE, the gNB-DU shall, if supported, initiate removal of the TNL association(s) indicated by both received TNL endpoints towards the gNB-CU. If the *Endpoint IP address* IE, or the *Endpoint IP address* IE and the *Port Number* IE for one or both of the TNL endpoints is included in the *gNB-CU TNL Association To Remove List* IE, the gNB-DU shall, if supported, initiate removal of the TNL association(s) indicated by the received endpoint IP address(es).

If the *gNB-CU TNL Association To Update List* IE is contained in the gNB-CU CONFIGURATION UPDATE message the gNB-DU shall, if supported, overwrite the previously stored information for the related TNL Association(s).

If in the gNB-CU CONFIGURATION UPDATE message the *TNL* *Association usage* IE is included in the *gNB-CU TNL Association To Add List* IE or the *gNB-CU TNL Association To Update List* IE, the gNB-DU node shall, if supported, use it as described in TS 38.472 [22].

For NG-RAN, the gNB-CU shall include the *gNB-CU System Information* IE in the GNB-CU CONFIGURATION UPDATE message. The *SIB type to Be Updated List* IE shall contain the full list of SIBs to be broadcast*.*

If *Protected E-UTRA Resources List* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall protect the corresponding resource of the cells indicated by *E-UTRA Cells* *List* IE for spectrum sharing between E-UTRA and NR.

If the GNB-CU CONFIGURATION UPDATE message contains the *Protected E-UTRA Resource Indication* IE, the receiving gNB-DU should forward it to lower layers and use it for cell-level resource coordination. The gNB-DU shall consider the received *Protected E-UTRA Resource Indication* IE when expressing its desired resource allocation during gNB-DU Resource Coordination procedure. The gNB-DU shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same gNB-DU.

If *Available PLMN List* IE, and optionally also *Extended Available PLMN List* IE, is contained in GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall overwrite the whole available PLMN list and update the corresponding system information.

If *Available SNPN ID List* IE is contained in GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall overwrite the whole available SNPN ID list and update the corresponding system information.

If *Cells Failed to be Activated Item* IE is contained in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-CU shall consider that the indicated cells are out-of-service as defined in TS 38.401 [4].

If the *Neighbour Cell Information List* IE is present in the GNB-CU CONFIGURATION UPDATE message, the receiving gNB-DU shall use the received information for Cross Link Interference management and/or NR-DC power coordination. The gNB-DU shall consider the received *Neighbour Cell Information List* IE content valid until reception of an update of the IE for the same cell(s). If the *Intended TDD DL-UL Configuration NR* IE is absent from the *Neighbour Cell Information List* IE, whereas the corresponding *NR CGI* IE is present, the receiving gNB-DU shall remove the previously stored *Neighbour Cell Information* IE corresponding to the NR CGI.

If the GNB-CU CONFIGURATION UPDATE message includes *Transport Layer Address Info* IE, the gNB-DU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message includes *Transport Layer Address Info* IE, the gNB-CU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-CU CONFIGURATION UPDATE message contains the *Uplink BH Non-UP Traffic Mapping* IE, the gNB-DU shall, if supported, consider the information therein for mapping of non-UP uplink traffic.

If the *IAB Barred* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, consider it as an indication of whether the cell allows IAB-node access or not.

If the *BAP Address* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, store the received BAP address and use it as specified in TS 38.340 [30].

If the *CCO Assistance Information* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU may use it to determine a new cell and/or beam configuration.

/////////////////////////////////////// Next Change ///////////////////////////////////////////////

#### 9.2.1.7 GNB-DU CONFIGURATION UPDATE

This message is sent by the gNB-DU to transfer updated information associated to an F1-C interface instance.

NOTE: If F1-C signalling transport is shared among several F1-C interface instance, this message may transfer updated information associated to several F1-C interface instances.

Direction: gNB-DU → gNB-CU

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| Transaction ID | M |  | 9.3.1.23 |  | YES | reject |
| **Served Cells To Add List** |  | *0..1* |  | Complete list of added cells served by the gNB-DU | YES | reject |
| **>Served Cells To Add Item** |  | *1 .. <maxCellingNBDU>* |  |  | EACH | reject |
| >>Served Cell Information | M |  | 9.3.1.10 | Information about the cells configured in the gNB-DU | - |  |
| >>gNB-DU System Information | O |  | 9.3.1.18 | RRC container with system information owned by gNB-DU | - |  |
| **Served Cells To Modify List** |  | *0..1* |  | Complete list of modified cells served by the gNB-DU | YES | reject |
| **>Served Cells To Modify Item** |  | *1 .. <maxCellingNBDU>* |  |  | EACH | reject |
| >>Old NR CGI | M |  | NR CGI  9.3.1.12 |  | - |  |
| >>Served Cell Information | M |  | 9.3.1.10 | Information about the cells configured in the gNB-DU | - |  |
| >>gNB-DU System Information | O |  | 9.3.1.18 | RRC container with system information owned by gNB-DU | - |  |
| **Served Cells To Delete List** |  | *0..1* |  | Complete list of deleted cells served by the gNB-DU | YES | reject |
| **>Served Cells To Delete Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >>Old NR CGI | M |  | NR CGI  9.3.1.12 |  | - |  |
| **Cells Status List** |  | *0..1* |  | Complete list of active cells | YES | reject |
| **> Cells Status Item** |  | *0 .. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Service Status | M |  | 9.3.1.68 |  | - |  |
| **Dedicated SI Delivery Needed UE List** |  | *0..1* |  | List of UEs unable to receive system information from broadcast | YES | ignore |
| **> Dedicated SI Delivery Needed UE Item** |  | *1 .. <maxnoofUEIDs>* |  |  | EACH | ignore |
| >>gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | - |  |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| gNB-DU ID | O |  | 9.3.1.9 |  | YES | reject |
| **gNB-DU TNL Association To Remove List** |  | *0..1* |  |  | YES | reject |
| **>gNB-DU TNL Association To Remove Item IEs** |  | *1..<maxnoofTNLAssociation>* |  |  | EACH | reject |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-DU. | - | - |
| >>TNL Association Transport Layer Address gNB-CU | O |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU | - | - |
| Transport Layer Address Info | O |  | 9.3.2.5 |  | YES | Ignore |
| Coverage Modification Notification | O |  | 9.3.1.x3 |  | YES | Ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxCellingNBDU | Maximum no. cells that can be served by a gNB-DU. Value is 512. |
| maxnoofUEIDs | Maximum no. of UEs that can be served by a gNB-DU. Value is 65536. |
| maxnoofTNLAssociations | Maximum numbers of TNL Associations between the gNB-CU and the gNB-DU. Value is 32. |

/////////////////////////////////////// Next Change ///////////////////////////////////////////////

#### 9.2.1.10 GNB-CU CONFIGURATION UPDATE

This message is sent by the gNB-CU to transfer updated information associated to an F1-C interface instance.

NOTE: If F1-C signalling transport is shared among several F1-C interface instances, this message may transfer updated information associated to several F1-C interface instances.

Direction: gNB-CU → gNB-DU

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| Transaction ID | M |  | 9.3.1.23 |  | YES | reject |
| **Cells to be Activated List** |  | *0..1* |  | List of cells to be activated or modified | YES | reject |
| **>Cells to be Activated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| >> NR PCI | O |  | INTEGER (0..1007) | Physical Cell ID | - |  |
| >> gNB-CU System Information | O |  | 9.3.1.42 | RRC container with system information owned by gNB-CU | YES | reject |
| >>Available PLMN List | O |  | 9.3.1.65 |  | YES | ignore |
| >>Extended Available PLMN List | O |  | 9.3.1.76 | This is included if *Available PLMN List* IE is included and if more than 6 Available PLMNs is to be signalled. | YES | ignore |
| >>IAB Info IAB-donor-CU | O |  | 9.3.1.105 | IAB-related configuration sent by the IAB-donor-CU. | YES | ignore |
| >>Available SNPN ID List | O |  | 9.3.1.163 | Indicates the available SNPN ID list.  If this IE is included, the content of the *Available PLMN List* IE and *Extended Available PLMN List* IE if present in the *Cells to be Activated List Item* IE is ignored. | YES | ignore |
| **Cells to be Deactivated List** |  | *0..1* |  | List of cells to be deactivated | YES | reject |
| **>Cells to be Deactivated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| **gNB-CU TNL Association To Add List** |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Add Item IEs** |  | *1..<maxnoofTNLAssociations>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Information | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Usage | M |  | ENUMERATED (ue, non-ue, both, ...) | Indicates whether the TNL association is only used for UE-associated signalling, or non-UE-associated signalling, or both. For usage of this IE, refer to TS 38.472 [22]. | - |  |
| **gNB-CU TNL Association To Remove List** |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Remove Item IEs** |  | *1..<maxnoofTNLAssociation>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Transport Layer Address gNB-DU | O |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-DU. | YES | reject |
| **gNB-CU TNL Association To Update List** |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Update Item IEs** |  | *1..<maxnoofTNLAssociations>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Usage | O |  | ENUMERATED (ue, non-ue, both, ...) | Indicates whether the TNL association is only used for UE-associated signalling, or non-UE-associated signalling, or both. For usage of this IE, refer to TS 38.472 [22]. | - |  |
| **Cells to be barred List** |  | *0..1* |  | List of cells to be barred. | YES | ignore |
| **>Cells to be barred List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | ignore |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Cell Barred | M |  | ENUMERATED (barred, not-barred, ...) |  | - |  |
| >>IAB Barred | O |  | ENUMERATED (barred, not-barred, ...) |  | - |  |
| **Protected E-UTRA Resources List** |  | *0..1* |  | List of Protected E-UTRA Resources. | YES | reject |
| **>Protected E-UTRA Resources List Item** |  | *1.. <maxCellineNB>* |  |  | EACH | reject |
| >>Spectrum Sharing Group ID | M |  | INTEGER (1.. maxCellineNB) | Indicates the E-UTRA cells involved in resource coordination with the NR cells affiliated with the same Spectrum Sharing Group ID. | - |  |
| **>> E-UTRA Cells List** |  | *1* |  | List of applicable E-UTRA cells. | - |  |
| **>>> E-UTRA Cells List Item** |  | *1 .. <maxCellineNB>* |  |  | - |  |
| >>>>EUTRA Cell ID | M |  | BIT STRING (SIZE(28)) | Indicates the E-UTRAN Cell Identifier IE contained in the ECGI as defined in subclause 9.2.14 in TS 36.423 [9]. | - |  |
| >>>>Served E-UTRA Cell Information | M |  | 9.3.1.64 |  | - |  |
| **Neighbour Cell Information List** |  | *0..1* |  |  | YES | ignore |
| **>Neighbour Cell Information List Item** |  | *1 .. <maxCellingNBDU>* |  |  | EACH | ignore |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Intended TDD DL-UL Configuration | O |  | 9.3.1.89 |  | - |  |
| Transport Layer Address Info | O |  | 9.3.2.5 |  | YES | ignore |
| Uplink BH Non-UP Traffic Mapping | O |  | 9.3.1.103 |  | YES | reject |
| BAP Address | O |  | 9.3.1.111 | Indicates a BAP address assigned to the IAB-donor-DU. | YES | Ignore |
| CCO Assistance Information List |  | *0 .. <maxCellingNBDU>* |  | Indicates CCO Assistance Information for cells or beams of the same NG-RAN node. |  |  |
| > CCO Assistance Information Item | M |  | 9.3.1.x1 |  | YES | Ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxCellingNBDU | Maximum numbers of cells that can be served by a gNB-DU. Value is 512. |
| maxnoofTNLAssociations | Maximum numbers of TNL Associations between the gNB-CU and the gNB-DU. Value is 32. |
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |

/////////////////////////////////////// Next Change ///////////////////////////////////////////////

#### 9.3.1.x1 CCO Assistance Information Item

This IE indicates the Capacity and Coverage (CCO) actions for specific CCO issues detected.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| CCO issue detection | M |  | ENUMERATED (coverage, cell edge capacity ...) | Indicates the type of CCO issue detected |  |  |
| Affected Cells and Beams | O |  | 9.3.1.x2 |  |  |  |

#### 9.3.1.x2 Affected Cells and Beams

This IE includes a list of cells and/or SS/PBCH block indexes affected by the detected CCO issue.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Affected Cell List |  | *1 .. < maxCellingNBDU>* |  |  |  |  |
| > NG-RAN CGI | M |  | 9.2.3.25 |  |  |  |
| > Cell Coverage State | O |  | INTEGER (0..63, …) | Value '0' indicates that the cell is inactive. Other values Indicates that the cell is active and also indicates the coverage configuration of the concerned cell. |  |  |
| > Affected SSB List |  | *0..<maxnoofSSBAreas>* |  |  |  |  |
| >> SSB Index | M |  | INTEGER (0..63) |  |  |  |

#### 9.3.1.x3 Coverage Modification Notification

This IE includes a list of cells and/or SS/PBCH block indexes with the corresponding coverage configuration selected by the gNB-DU.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| NR Coverage Modification List |  | *1 .. <maxCellingNBDU>* |  |  |  |  |
| > NR Cell Coverage Modification Item | M |  |  |  |  |  |
| >> NR CGI | M |  | 9.3.1.12 |  |  |  |
| >> Cell Coverage State |  |  | INTEGER (0..63, …) | Value '0' indicates that the cell is inactive. Other values Indicates that the cell is active and also indicates the coverage configuration of the concerned cell. |  |  |
| >> SSB Coverage Modification List |  | *0..<maxnoofSSBAreas>* |  |  |  |  |
| >>> SSB Index | M |  | INTEGER (0..63) |  |  |  |
| >>> SSB Coverage State | M |  | INTEGER (0..15, …) | Value '0' indicates that the SS/PBCH block is inactive. Other values Indicates that the SS/PBCH block is active and also indicates the coverage configuration of the concerned SS/PBCH block. |  |  |

/////////////////////////////////////// End of Changes, F1AP ASN.1 follows ///////////////////////////////////////////////

/////////////////////////////////////// Start of F1AP ASN.1 Changes ///////////////////////////////////////////////

-- 9.4.4 PDU Definitions

SlotNumber,

AbortTransmission,

TRP-MeasurementRequestList,

MeasurementBeamInfoRequest,

E-CID-ReportCharacteristics,

Extended-GNB-CU-Name,

Extended-GNB-DU-Name,

F1CTransferPath,

SCGIndicator,

SpatialRelationPerSRSResource,

Coverage-Modification-Notification,

CCO-Assistance-Information-List

FROM F1AP-IEs

/////////////////////////////////////// Next F1AP ASN.1 Change ///////////////////////////////////////////////

id-F1CTransferPath,

id-SCGIndicator,

id-SRSSpatialRelationPerSRSResource,

id-Coverage-Modification-Notification,

id-CCO-Assistance-Information-List, maxCellingNBDU,

maxnoofCandidateSpCells,

maxnoofDRBs,

maxnoofErrors,

maxnoofIndividualF1ConnectionsToReset,

maxnoofPotentialSpCells,

maxnoofSCells,

maxnoofSRBs,

maxnoofPagingCells,

maxnoofTNLAssociations,

maxCellineNB,

maxnoofUEIDs,

maxnoofBHRLCChannels,

maxnoofRoutingEntries,

maxnoofChildIABNodes,

maxnoofServedCellsIAB,

maxnoofTLAsIAB,

maxnoofULUPTNLInformationforIAB,

maxnoofUPTNLAddresses,

maxnoofSLDRBs,

maxnoofTRPInfoTypes,

maxnoofTRPs

FROM F1AP-Constants;

/////////////////////////////////////// Next F1AP ASN.1 Change ///////////////////////////////////////////////

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- GNB-DU CONFIGURATION UPDATE

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

GNBDUConfigurationUpdate::= SEQUENCE {

protocolIEs ProtocolIE-Container { {GNBDUConfigurationUpdateIEs} },

...

}

GNBDUConfigurationUpdateIEs F1AP-PROTOCOL-IES ::= {

{ ID id-TransactionID CRITICALITY reject TYPE TransactionID PRESENCE mandatory }|

{ ID id-Served-Cells-To-Add-List CRITICALITY reject TYPE Served-Cells-To-Add-List PRESENCE optional }|

{ ID id-Served-Cells-To-Modify-List CRITICALITY reject TYPE Served-Cells-To-Modify-List PRESENCE optional }|

{ ID id-Served-Cells-To-Delete-List CRITICALITY reject TYPE Served-Cells-To-Delete-List PRESENCE optional }|

{ ID id-Cells-Status-List CRITICALITY reject TYPE Cells-Status-List PRESENCE optional }|

{ ID id-Dedicated-SIDelivery-NeededUE-List CRITICALITY ignore TYPE Dedicated-SIDelivery-NeededUE-List PRESENCE optional }|

{ ID id-gNB-DU-ID CRITICALITY reject TYPE GNB-DU-ID PRESENCE optional }|

{ ID id-GNB-DU-TNL-Association-To-Remove-List CRITICALITY reject TYPE GNB-DU-TNL-Association-To-Remove-List PRESENCE optional }|

{ ID id-Transport-Layer-Address-Info CRITICALITY ignore TYPE Transport-Layer-Address-Info PRESENCE optional }|

{ ID id-Coverage-Modification-Notification CRITICALITY ignore TYPE Coverage-Modification-Notification PRESENCE optional },

...

}

/////////////////////////////////////// Next F1AP ASN.1 Change ///////////////////////////////////////////////

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- GNB-CU CONFIGURATION UPDATE

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

GNBCUConfigurationUpdate ::= SEQUENCE {

protocolIEs ProtocolIE-Container { { GNBCUConfigurationUpdateIEs} },

...

}

GNBCUConfigurationUpdateIEs F1AP-PROTOCOL-IES ::= {

{ ID id-TransactionID CRITICALITY reject TYPE TransactionID PRESENCE mandatory }|

{ ID id-Cells-to-be-Activated-List CRITICALITY reject TYPE Cells-to-be-Activated-List PRESENCE optional }|

{ ID id-Cells-to-be-Deactivated-List CRITICALITY reject TYPE Cells-to-be-Deactivated-List PRESENCE optional }|

{ ID id-GNB-CU-TNL-Association-To-Add-List CRITICALITY ignore TYPE GNB-CU-TNL-Association-To-Add-List PRESENCE optional }|

{ ID id-GNB-CU-TNL-Association-To-Remove-List CRITICALITY ignore TYPE GNB-CU-TNL-Association-To-Remove-List PRESENCE optional }|

{ ID id-GNB-CU-TNL-Association-To-Update-List CRITICALITY ignore TYPE GNB-CU-TNL-Association-To-Update-List PRESENCE optional }|

{ ID id-Cells-to-be-Barred-List CRITICALITY ignore TYPE Cells-to-be-Barred-List PRESENCE optional }|

{ ID id-Protected-EUTRA-Resources-List CRITICALITY reject TYPE Protected-EUTRA-Resources-List PRESENCE optional }|

{ ID id-Neighbour-Cell-Information-List CRITICALITY ignore TYPE Neighbour-Cell-Information-List PRESENCE optional }|

{ ID id-Transport-Layer-Address-Info CRITICALITY ignore TYPE Transport-Layer-Address-Info PRESENCE optional }|

{ ID id-UL-BH-Non-UP-Traffic-Mapping CRITICALITY reject TYPE UL-BH-Non-UP-Traffic-Mapping PRESENCE optional }|

{ ID id-BAPAddress CRITICALITY ignore TYPE BAPAddress PRESENCE optional }|

{ ID id-CCO-Assistance-Information-List CRITICALITY ignore TYPE CCO-Assistance-Information-List PRESENCE optional },

...

}

/////////////////////////////////////// Next F1AP ASN.1 Change ///////////////////////////////////////////////

-- 9.4.5 Information Element Definitions

-- A

AffectedCellsAndBeams-List ::= SEQUENCE (SIZE (1..maxCellingNBDU)) OF AffectedCellsAndBeams-Item

AffectedCellsAndBeams-Item::= SEQUENCE {

nRCGI NRCGI,

cellCoverageState CellCoverageState OPTIONAL,

affectedSSB-List AffectedSSB-List OPTIONAL,

...

}

AffectedSSB-List::= SEQUENCE (SIZE (1..maxnoofSSBAreas)) OF AffectedSSB-Item

AffectedSSB-Item::= SEQUENCE {

sSB-Index INTEGER(0..63),

...

}

/////////////////////////////////////// Next F1AP ASN.1 Change ///////////////////////////////////////////////

-- C

Coverage-Modification-Notification ::= SEQUENCE (SIZE (1..maxCellingNBDU)) OF NR-Coverage-Modification-List

CellCoverageState ::= INTEGER (0..63, ...)

CCO-Assistance-Information-List ::= SEQUENCE (SIZE (1..maxCellingNBDU)) OF CCO-Assistance-Information-Item

CCO-Assistance-Information-Item ::= SEQUENCE {

cCO-issue-detection ENUMERATED {coverage, cell-edge-capacity, ...},

affectedCellsAndBeams-List AffectedCellsAndBeams-List OPTIONAL,

...

}

-- N

NR-Coverage-Modification-List ::= SEQUENCE {

nR-Cell-Coverage-Modification-Item NR-Cell-Coverage-Modification-Item,

...

}

NR-Cell-Coverage-Modification-Item ::= SEQUENCE {

nRCGI NRCGI,

cellCoverageState CellCoverageState,

sSBCoverageModificationList SSBCoverageModification-List OPTIONAL,

...

}

-- S

SSBCoverageModification-List ::= SEQUENCE (SIZE (0..maxnoofSSBAreas)) OF SSBCoverageModification-Item

SSBCoverageModification-Item::= SEQUENCE {

sSBIndex INTEGER(0..63),

sSBCoverageState SSBCoverageState,  
...

}

SSBCoverageState ::= INTEGER (0..15, ...)

/////////////////////////////////////// Next F1AP ASN.1 Change ///////////////////////////////////////////////

-- 9.4.7 Constant Definitions

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IEs

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

id-SrsFrequency ProtocolIE-ID ::= 431

id-SCGIndicator ProtocolIE-ID ::= 432

id-EstimatedArrivalProbability ProtocolIE-ID ::= 433

id-TRPType ProtocolIE-ID ::= 434

id-SRSSpatialRelationPerSRSResource ProtocolIE-ID ::= 435

id-Coverage-Modification-Notification ProtocolIE-ID ::= x01

id-CCO-Assistance-Information-List ProtocolIE-ID ::= x02

id-Neighbor-node-CCO-Assistance-Information-List ProtocolIE-ID ::= x03

END

-- ASN1STOP

/////////////////////////////////////// End of F1AP ASN.1 Changes ///////////////////////////////////////////////