**3GPP TSG-RAN WG3 #114-bis-e R3-22xxxx**

**17-26 Jan 2022**

**Online**

**Title:** (TP for SON BL CR for TS 36.423) Introduce UE History Information in MR-DC

**Source:** ZTE, Lenovo, Motorola Mobility, China Unicom

**Agenda item:** 10.2.1.4

**Document for:** Approval

# 1 TP to TS 36.423

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| **\*\*\* Start change \*\*\*** |

8.7.4 SgNB Addition Preparation

8.7.4.1 General

The purpose of the SgNB Addition Preparation procedure is to request the en-gNB to allocate resources for EN-DC connectivity operation for a specific UE.

The procedure uses UE-associated signalling.

8.7.4.2 Successful Operation

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**Figure 8.7.4.2-1: SgNB Addition Preparation, successful operation**

The MeNB initiates the procedure by sending the SGNB ADDITION REQUEST message to the en-gNB. When the MeNB sends the SGNB ADDITION REQUEST message, it shall start the timer TDCprep.

The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *Full E-RAB Level QoS Parameters* IE or in the *Requested MCG E-RAB Level QoS Parameters IE* or in the *Requested SCG E-RAB Level QoS Parameters* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [4].

If the SGNB ADDITION REQUEST message contains the *Serving PLMN* IE, the en-gNB may use it for RRM purposes.

If the SGNB ADDITION REQUEST message contains the *Expected UE Behaviour* IE, the en-gNB shall, if supported, store this information and may use it to optimize resource allocation.

If the SGNB ADDITION REQUEST message contains the *Handover Restriction List* IE, the en-gNB node, if supported, shall store this information and use it to select an appropriate NR cell.

If the SGNB ADDITION REQUEST message contains the *MeNB Resource Coordination Information* IE, the en-gNB should forward it to lower layers and it may use it for the purpose of resource coordination with the MeNB, or to coordinate with sidelink resources used in the MeNB. The en-gNB shall consider the received *UL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. The en-gNB shall consider the received *DL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. If the *MeNB Coordination Assistance Information* IE is contained in the *MeNB Resource Coordination Information* IE, the en-gNB shall, if supported, use the information to determine further coordination of resource utilisation between the en-gNB and the MeNB.

The en-gNB shall choose the ciphering algorithm based on the information in the *NR UE Security Capabilities* IE and locally configured priority list of AS encryption algorithms and apply the key indicated in the *SgNB Security Key* IE as specified in the TS 33.401 [18].

If the SGNB ADDITION REQUEST message contains the *Subscriber Profile ID for RAT/Frequency Priority* IE, the en-gNB may use it for RRM purposes.

If the SGNB ADDITION REQUEST message contains the *Additional RRM Policy Index* IE, the en-gNB may use it for RRM purposes.

The en-gNB shall search for the target NR cell among the NR neighbour cells of the E-UTRAN cell indicated in *MeNB Cell ID* IE, as specified in the TS 37.340 [32].

If the *Masked IMEISV* IE is contained in the SGNB ADDITION REQUEST message the en-gNB shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

The en-gNB shall report to the MeNB, in the SGNB ADDITION REQUEST ACKNOWLEDGE message, the result for all the requested E-RABs in the following way:

- a list of E-RABs which are successfully established shall be included in the *E-RABs Admitted To Be Added List* IE;

- a list of E-RABs which failed to be established shall be included in the *E-RABs Not Admitted List* IE.

NOTE: The MeNB may trigger the SgNB Addition Preparation procedure in the course of the Inter-MeNB handover without SgNB change procedure as described in TS 37.340 [32]. The deleted E-RABs are not included in the *E-RABs To Be Added List* IE in the SGNB ADDITION REQUEST message, from MeNB point of view. If the en-gNB reports a certain E-RAB to be successfully established, respective SCG resources, from an en-gNB point of view, may be actually successfully established or modified or kept; if a certain E-RAB is reported to be failed to be established, respective SCG resources, from an en-gNB point of view, may be actually failed to be established or modified or kept.

For each E-RAB successfully established in the en-gNB, the en-gNB shall report to the MeNB, in the SGNB ADDITION REQUEST ACKNOWLEDGE message, the same value in the *EN-DC Resource Configuration* IE as received in the SGNB ADDITION REQUEST message.

For each E-RAB for which allocation of the PDCP entity is requested at the en-gNB:

- the MeNB may propose to apply forwarding of downlink data by including the *DL Forwarding* IE within the *E-RABs To be Added Item* IE of the SGNB ADDITION REQUEST message. For each E-RAB that it has decided to admit, the en-gNB may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs Admitted To Be Added Item* IE of the SGNB ADDITION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer. This GTP tunnel endpoint may be different from the corresponding GTP tunnel endpoint, i.e the information contained in the *Transport Layer Address* IE and the *DL GTP TEID* IE in the *E-RAB To Be Modified List* IE of the E-RAB MODIFICATION INDICATION message (see TS 36.413 [4]) depending on implementation choice;

- the en-gNB may include for each bearer in the *E-RABs Admitted To Be Added List* IE the *UL Forwarding GTP Tunnel Endpoint* IE to indicate that it requests data forwarding of uplink packets to be performed for that bearer.

- the en-gNB shall use the *S1 UL GTP Tunnel Endpoint* IE of the SGNB ADDITION REQUEST message as the UL S1-U address.

- the MeNB shall use the *SgNB UL GTP Tunnel Endpoint at PDCP* IE of the SGNB ADDITION REQUEST ACKNOWLEDGE message as the UL X2-U address.

- if the SGNB ADDITION REQUEST message contains for an E-RAB to be added which is requested to be configured with MCG resources the *MeNB DL GTP Tunnel Endpoint at MCG* IE the en-gNB shall use it as the DL X2-U address for delivery of DL PDCP PDUs.

- the en-gNB shall include in the SGNB ADDITION REQUEST ACKNOWLEDGE message the *S1 DL GTP Tunnel Endpoint at the SgNB* IE.

- the en-gNB shall include in the SGNB ADDITION REQUEST ACKNOWLEDGE message the *RLC Mode* IE.

- the en-gNB may include for each bearer in the *E-RABs Admitted To Be Added List* IE in the SGNB ADDITION REQUEST ACKNOWLEDGE the *PDCP SN Length* IE to indicate the PDCP SN length for that bearer.

- If the *RLC Mode* IE is included for an E-RAB within the *E-RABs To be Added List* IE in the SGNB ADDITION REQUEST message, it indicates the mode that the MeNB used for the E-RAB when it was hosted at the MeNB.

- If the *Bearer Type* IE for the concerned E-RAB is received by the en-gNB and is set to "non IP", the en-gNB shall, if supported, not perform IP header compression for the concerned E-RAB.

- If the *Ethernet Type* IE for the concerned E-RAB is received by the en-gNB and is set to "True", the en-gNB shall, if supported, take this into account to perform header compression appropriately for the concerned E-RAB.

Upon reception of the SGNB ADDITION REQUEST ACKNOWLEDGE message the MeNB shall stop the timer TDCprep.

If the SGNB ADDITION ACKNOWLEDGE message contains the *SgNB Resource Coordination Information* IE, the MeNB may use it for the purpose of resource coordination with the en-gNB. The MeNB shall consider the received *UL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. The MeNB shall consider the received *DL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. If the *SgNB Coordination Assistance Information* IE is contained in the *SgNB Resource Coordination Information* IE, the MeNB shall, if supported, use the information to determine further coordination of resource utilisation between the en-gNB and the MeNB.

If the *SgNB UE X2AP ID* IE is contained in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, store this information and use it as defined in TS 37.340 [32].

If the SGNB ADDITION REQUEST message contains the *SGNB Addition Trigger Indication*, the en-gNB shall include the *RRC config indication* IE in the SGNB ADDITION REQUEST ACKNOWLEDGE message to inform the MeNB if the en-gNB applied full or delta configuration, as specified in TS 37.340 [32].

If the en-gNB receives for an E-RAB for which the PDCP entiy is allocated at the MeNB the *Secondary MeNB UL GTP Tunnel Endpoint at PDCP* IE and the *Duplication Activation* IE in the SGNB ADDITION REQUEST message, it may provide the *Secondary SgNB DL GTP Tunnel Endpoint at SCG* IE and the *LCID* IE to the MeNB in the SGNB ADDITION REQUEST ACKNOWLEDGE message if PDCP duplication is configured at the en-gNB.

If the SGNB ADDITION REQUEST message contains the *UL PDCP SN Length* IE and the *DL PDCP SN Length* IE, the en-gNB shall, if supported, store this information and use it for lower layer configuration of the concerned MN terminated bearer.

The SgNB may include the *Location Information at SgNB* IE in the SGNB ADDITION REQUEST ACKNOWLEDGE message, if respective information is available at the SgNB.

If the *Location Information at SgNB Reporting* IE set to "pscell" is included in the SGNB ADDITION REQUEST, the SgNB shall start providing information about the current location of the UE. If the *Location Information at SgNB* IE is included in the SGNB ADDITION REQUEST ACKNOWLEDGE, the MeNB shall store the included information so that it may be transferred towards the MME.

If *Trace Activation* IE has previously been received for this UE, it shall be included in the SGNB ADDITION REQUEST message. If the *Trace Activation* IE is included in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, initiate the requested trace function as described in TS 32.422 [6]. If the *Trace Activation* IE includes the *MDT Configuration NR* IE, the en-gNB shall take it into account for MDT function as described in TS 37.320 [31].

If the *Management Based MDT Allowed* IE only or the *Management Based MDT Allowed* IE and the *Management Based MDT PLMN List* IE is contained in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, store the received information in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [6].

The MeNB shall, if supported and available in the UE context, include the *Management Based MDT Allowed* IE and the *Management Based MDT PLMN List* IE in the SGNB ADDITION REQUEST message.

If the *UE Context Reference at Source NG-RAN* IE is contained in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, store this information and use it for UE context retrieval and allocate data forwarding resources as specified in TS 37.340 [32].

If the *Requested Fast MCG recovery via SRB3* IE set to "true" is included in the SGNB ADDITION REQUEST message and the en-gNB decides to configure fast MCG link recovery via SRB3 as specified in TS 37.340 [32], the en-gNB shall, if supported, include the *Available fast MCG recovery via SRB3* IE set to "true" in the SGNB ADDITION REQUEST ACKNOWLEDGE message.

If the *UE Radio Capability ID* IE is contained in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, store this information and use it as specified in TS 23.401 [12].

If the SGNB ADDITION REQUEST message contains the *IAB Node Indication* IE, the en-gNB shall, if supported, consider that the request is for an IAB node.

For each requested E-RAB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is contained in the *GTP Tunnel Endpoint* IE in the SGNB ADDITION REQUEST ACKNOWLEDGE message, the MeNB shall, if supported, use it to set DSCP and/or flow label fields for the downlink IP packets which are transmitted from MeNB to en-gNB through the GTP tunnels indicated by the *GTP Tunnel Endpoint* IE.

Upon reception of the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, start collecting the SCG information and continue for as long as the UE stays in one of its cells.

If the *UE History Information from the UE* IE is included in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, store this information.

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| **\*\*\* Next change \*\*\*** |

8.7.6 MeNB initiated SgNB Modification Preparation

8.7.6.1 General

This procedure is used to enable an MeNB to request an en-gNB to modify the UE context at the en-gNB, or to query the current SCG configuration for supporting delta signalling in MeNB initiated SgNB change, or to provide the S-RLF-related information to the en-gNB.

The procedure uses UE-associated signalling.

8.7.6.2 Successful Operation

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**Figure 8.7.6.2-1: MeNB initiated SgNB Modification Preparation, successful operation**

The MeNB initiates the procedure by sending the SGNB MODIFICATION REQUEST message to the en-gNB. When the MeNB sends the SGNB MODIFICATION REQUEST message, it shall start the timer TDCprep.

The SGNB MODIFICATION REQUEST message may contain:

- within the *UE Context Information* IE (if the modification of the UE context at the en-gNB is requested);

- E-RABs to be added within the *E-RABs To Be Added Item* IE;

- E-RABs to be modified within the *E-RABs To Be Modified Item* IE;

- E-RABs to be released within the *E-RABs To Be Released Item* IE;

- the *SgNB UE Aggregate Maximum Bit Rate* IE;

- the *MeNB to SgNB Container* IE;

- the *SCG Configuration Query* IE;

- the *MeNB Resource Coordination Information* IE;

- the *Requested split SRBs IE*;

- the *Requested split SRBs release* IE;

- the *Requested fast MCG recovery via SRB3 IE*;

- the *Requested fast MCG recovery via SRB3 Release* IE.

If the SGNB MODIFICATION REQUEST message contains the *Serving PLMN* IE, the en-gNB may use it for RRM purposes.

If the SGNB MODIFICATION REQUEST message contains the *Handover Restriction List* IE, the en-gNB shall

- replace the previously provided Handover Restriction List by the received Handover Restriction List in the UE context;

- use this information to select an appropriate NR cell.

If the *SgNB UE Aggregate Maximum Bit Rate* IE is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall:

- replace the previously provided SgNB UE Aggregate Maximum Bit Rate by the received SgNB UE Aggregate Maximum Bit Rate in the UE context;

- use the received SgNB UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE as defined in TS 37.340 [32].

The allocation of resources according to the values of the *QCI* IE, *Allocation and Retention Priority* IE or *GBR QoS Information* IE included in the *Full E-RAB Level QoS Parameters* IE or in the *Requested SCG E-RAB Level QoS Parameters* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [4].

If the SGNB MODIFICATION REQUEST message contains the *MeNB Resource Coordination Information* IE, the en-gNB should forward it to lower layers and it may use it for the purpose of resource coordination with the MeNB, or to coordinate with sidelink resources used in the MeNB. The en-gNB shall consider the received *UL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. The en-gNB shall consider the received *DL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. If the *MeNB Coordination Assistance Information* IE is contained in the *MeNB Resource Coordination Information* IE, the en-gNB shall, if supported, use the information to determine further coordination of resource utilisation between the en-gNB and the MeNB.

If at least one of the requested modifications is admitted by the en-gNB, the en-gNB shall modify the related part of the UE context accordingly and send the SGNB MODIFICATION REQUEST ACKNOWLEDGE message back to the MeNB.

The en-gNB shall include the E-RABs for which resources have been either added or modified or released at the en-gNB either in the *E-RABs Admitted To Be Added List* IE or the *E-RABs Admitted To Be Modified List* IE or the *E-RABs Admitted To Be Released List* IE. The en-gNB shall include the E-RABs that have not been admitted in the *E-RABs Not Admitted List* IE with an appropriate cause value.

For each E-RAB successfully established or modified or released in the en-gNB, the en-gNB shall report to the MeNB, in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message, the same value in the *EN-DC Resource Configuration* IE as received in the SGNB MODIFICATION REQUEST message.

The en-gNB shall, if included, choose the ciphering algorithm based on the information in the *NR* *UE Security Capabilities* IE and locally configured priority list of AS encryption algorithms and apply the key indicated in the *SgNB Security Key* IE as specified in the TS 33.401 [18].

For each E-RAB for which allocation of the PDCP entity is requested at the en-gNB:

- if applicable, the MeNB may propose to apply forwarding of downlink data by including the *DL Forwarding* IE within the *E-RABs To Be Added Item* IE of the SGNB MODIFICATION REQUEST message. For each E-RAB that it has decided to admit, the en-gNB may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs Admitted To Be Added Item* IE of the SGNB MODIFICATION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer. The MeNB may also provide for an applicable E-RAB to be released the *DL Forwarding GTP Tunnel Endpoint* IE and the *UL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE of the SGNB MODIFICATION REQUEST message.

- if applicable, the en-gNB may include for each bearer in the *E-RABs Admitted To Be Added List* IE in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message the *UL Forwarding GTP Tunnel Endpoint* IE to indicate that it requests data forwarding of uplink packets to be performed for that bearer.

- if applicable, the en-gNB may include for each bearer in the *E-RABs Admitted To Be Modified* List IE which is configured with the SN terminated split bearer option in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message the *UL Configuration* IE to indicate that the MCG UL configuration of the UE has changed.

- if applicable, the en-gNB may include for each bearer in the *E-RABs Admitted To Be Added List* IE in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message the *UL* *PDCP SN Length* IE and the *DL PDCP SN Length* IE to indicate the PDCP SN length for that bearer.

- If the *Bearer Type* IE for the concerned E-RAB is received by the en-gNB and is set to"non IP", then the en-gNB shall, if supported, not perform IP header compression for the concerned E-RAB.

- If the *Ethernet Type* IE for the concerned E-RAB is received by the en-gNB and is set to "True", the en-gNB shall take this into account to perform header compression appropriately for the concerned E-RAB.

For each E-RAB configured with SCG resources and the PDCP entity is hosted by the MeNB and

- requested to be modified,

- if the SGNB MODIFICATION REQUEST message includes the *MeNB UL GTP Tunnel Endpoint at PDCP* IE in the *E-RABs To Be Modified Item* IE, the en-gNB shall act as specified in TS 37.340 [32].

- if the SGNB MODIFICATION REQUEST message contains the *MeNB UL GTP Tunnel Endpoint at PDCP* IE the en-gNB shall use it as the new UL X2-U address.

- the en-gNB may include in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message the *SgNB DL GTP Tunnel Endpoint at SCG* IE.

If, dependent on the configured bearer type, the *Full E-RAB Level QoS Parameters* IE or the *Maximum MCG admittable E-RAB Level QoS Parameters* IE or the *Requested SCG E-RAB level QoS Parameters* IE are included in the SGNB MODIFICATION REQUEST message for an E-RAB to be modified the en-gNB shall allocate respective resources and provide corresponding radio configuration information within the *SgNB to MeNB Container* IE as described in TS 37.340 [32].

If the SGNB MODIFICATION REQUEST message contains, for an E-RAB to be modified which is configured with the PDCP entity in the en-gNB, the *S1 UL GTP Tunnel Endpoint* IE, the en-gNB shall use it as the new UL S1-U address.

If the SGNB MODIFICATION REQUEST message contains an E-RAB to be modified which is configured with the MN terminated split bearer option, the MeNB may include the *UL Configuration* IEto indicate that the SCG UL configuration of the UE has changed.

If the SGNB MODIFICATION REQUEST message contains for an E-RAB to be modified which is configured with the PDCP enitiy in the en-gNB and MCG resources the *MeNB DL GTP Tunnel Endpoint at MCG* IE the en-gNB shall use it as the DL X2-U address.

If the SGNB MODIFICATION REQUEST message contains the *Subscriber Profile ID for RAT/Frequency Priority* IE, the en-gNB may use it for RRM purposes.

If the SGNB MODIFICATION REQUEST message contains the *Additional RRM Policy Index* IE, the en-gNB may use it for RRM purposes.

For an E-RAB to be modified which is configured with the PDCP entity in the en-gNB the en-gNB may include in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message the *S1 DL GTP Tunnel Endpoint at the SgNB* IE.

If the SGNB MODIFICATION REQUEST ACKNOWLEDGE message contains the *SgNB Resource Coordination Information* IE, the MeNB may use it for the purpose of resource coordination with the en-gNB. The MeNB shall consider the received *UL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. The MeNB shall consider the received *DL Coordination Information* IE value valid until reception of a new update of the IE for the same UE. If the *SgNB Coordination Assistance Information* IE is contained in the *SgNB Resource Coordination Information* IE, the MeNB shall, if supported, use the information to determine further coordination of resource utilisation between the en-gNB and the MeNB.

Upon reception of the SGNB MODIFICATION REQUEST ACKNOWLEDGE message the MeNB shall stop the timer TDCprep. If the SGNB MODIFICATION REQUEST ACKNOWLEDGE message has included the *SgNB to MeNB Container* IE the MeNB is then defined to have a Prepared SgNB Modification for that X2 UE-associated signalling.

If the *SCG Configuration Query* IE is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall provide corresponding radio configuration information within the *SgNB to MeNB Container* IE as described in TS 37.340 [32].

If the SGNB MODIFICATION REQUEST message contains the *Requested split SRBs* IE, the en-gNB may use it to add split SRBs. If the SGNB MODIFICATION REQUEST message contains the *Requested split SRBs* *release* IE, the en-gNB may use it to release split SRBs.

If the *Requested Fast MCG recovery via SRB3* IE set to "true" is included in the SGNB MODIFICATION REQUEST message and the en-gNB decides to configure fast MCG link recovery via SRB3 as specified in TS 37.340 [32], the en-gNB shall, if supported, include the *Available fast MCG recovery via SRB3* IE set to "true" in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message. If the *Requested Fast MCG recovery via SRB3 Release* IE set to "true" is included in the SGNB MODIFICATION REQUEST message and the en-gNB decides to release fast MCG link recovery via SRB3, the en-gNB shall, if supported, include the *Release fast MCG recovery via SRB3* IE set to "true" in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message.

If the en-gNB receives for an E-RAB to be setup for which the PDCP entiy is allocated at the MeNB the *Secondary MeNB UL GTP Tunnel Endpoint at PDCP* IE and the *Duplication Activation* IE in the SGNB MODIFICATION REQUEST message, it may provide the *Secondary SgNB DL GTP Tunnel Endpoint at SCG* IE and the *LCID* IE to the MeNB in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message if PDCP duplication is configured at the en-gNB.

If the SGNB MODIFICATION REQUEST message contains the *RLC Status* IE, the en-gNB shall assume that RLC has been reestablished at the MeNB and may trigger PDCP data recovery.

If the en-gNB applied a full configuration or delta configuration, e.g. as part of a mobility procedure involving a change of DU, the en-gNB shall inform the MeNB by including the *RRC config indication* IE in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message.

If SGNB MODIFICATION REQUEST message contains the *UL PDCP SN Length* IE and the *DL PDCP SN Length* IE, the en-gNB shall, if supported, store this information and use it for lower layer configuration of the concerned MN terminated bearer.

If the *RLC Mode* IE is included for an E-RAB within the *E-RABs To be Added List* IE in the SGNB MODIFICATION REQUEST message, it indicates the mode that the MeNB used for the E-RAB when it was hosted at the MeNB.

If the SGNB MODIFICATION REQUEST message contains the *MeNB Cell ID* IE, the en-gNB may search for the target NR cell among the NR neighbour cells of the E-UTRAN cell indicated in *MeNB Cell ID* IE, as specified in the TS 37.340 [32].

If the SGNB MODIFICATION REQUEST ACKNOWLEDGE message contains the *RLC Status* IE, the MeNB shall assume that RLC has been reestablished at the en-gNB and may trigger PDCP data recovery.

The en-gNB may include the *Location Information at SgNB* IE in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message, if respective information is available at the en-gNB.

If the *Location Information at* en-gNB *Reporting* IE set to "pscell" is included in the SGNB MODIFICATION REQUEST, the SgNB shall start providing information about the current location of the UE. If the *Location Information* *at SgNB* IE is included in the SGNB MODIFICATION REQUEST ACKNOWLEDGE, the MeNB shall store the included information so that it may be transferred towards the MME.

If the *Lower Layer presence status change* IE set to "release lower layers" is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall act as specified in TS 37.340 [32].

If the *Lower Layer presence status change* IE set to "re-establish lower layers" is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall act as specified in TS 37.340 [32].

If the *Lower Layer presence status change* IE set to "suspend lower layers" is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall act as specified in TS 37.340 [32].

If the *Lower Layer presence status change* IE set to "resume lower layers" is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall act as specified in TS 37.340 [32].

If the SGNB MODIFICATION REQUEST message contains the *IAB Node Indication* IE, the en-gNB shall, if supported, consider that the request is for an IAB node.

For each requested E-RAB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is contained in the *GTP Tunnel Endpoint* IE in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message, the MeNB shall, if supported, use it to set DSCP and/or flow label fields for the downlink IP packets which are transmitted from MeNB to SgNB through the GTP tunnels indicated by the *GTP Tunnel Endpoint* IE.

If the *PSCell History Information Retrieve* IE is included in theSGNB MODIFICATION REQUEST message, the en-gNB shall, if supported, use this information as specified in TS 37.340 [32].

If the *UE History Information from the UE* IE is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall, if supported, store this information.

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| **\*\*\* Next change \*\*\*** |

9.1.4.1 SGNB ADDITION REQUEST

This message is sent by the MeNB to the en-gNB to request the preparation of resources for EN-DC operation for a specific UE

Direction: MeNB → en-gNB.

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| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| MeNB UE X2AP ID | M |  | eNB UE X2AP ID9.2.24 | Allocated at the MeNB | YES | reject |
| NR UE Security Capabilities | M |  | 9.2.107 |  | YES | reject |
| SgNB Security Key | M |  | 9.2.101 | The S-KgNB which is provided by the MeNB, see TS 33.401 [18]. | YES | reject |
| SgNB UE Aggregate Maximum Bit Rate | M |  | UE Aggregate Maximum Bit Rate9.2.12 | The UE Aggregate Maximum Bit Rate is split into MeNB UE Aggregate Maximum Bit Rate and SgNB UE Aggregate Maximum Bit Rate which are enforced by MeNB and en-gNB respectively. | YES | reject |
| Selected PLMN | O |  | PLMN Identity9.2.4 | The selected PLMN of the SCG in the en-gNB. | YES | ignore |
| Handover Restriction List | O |  | 9.2.3 |  | YES | ignore |
| **E-RABs To Be Added List** |  | *1* |  |  | YES | reject |
| **>E-RABs To Be Added Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | reject |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>DRB ID | M |  | 9.2.122 |  | – |  |
| >>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>*PDCP present in SN*  |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>Full E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes the E-RAB level QoS parameters as received on S1-MME. | – |  |
| >>>>Maximum MCG admittable E-RAB Level QoS Parameters | C-ifMCGandSCGpresent\_GBR |  | GBR QoS Information 9.2.10 | Includes the GBR QoS Information admittable by the MCG. | – |  |
| >>>>DL Forwarding  | O |  | 9.2.5 |  | – |  |
| >>>>MeNB DL GTP Tunnel Endpoint at MCG | C-ifMCGpresent |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer at MCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>S1 UL GTP Tunnel Endpoint | M |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1-U transport bearer. For delivery of UL PDUs from the en-gNB. | – |  |
| >>>>RLC Mode | O |  | RLC Mode9.2.119 | Indicates the RLC mode at the MeNB for PDCP transfer to en-gNB. | YES | ignore |
| >>>>Bearer Type | O |  | 9.2.92 |  | YES | ignore |
| >>>>Ethernet Type | O |  | 9.2.157 |  | YES | ignore |
| >>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>Requested SCG E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the SCG. | – |  |
| >>>>MeNB UL GTP Tunnel Endpoint at PDCP | M |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs. | – |  |
| >>>>Secondary MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs in case of PDCP duplication. | – |  |
| >>>>RLC Mode | M |  | RLC Mode9.2.119 | Indicates the RLC mode to be used in the assisting node. | – |  |
| >>>>UL Configuration | C-ifMCGandSCGpresent |  | 9.2.118 | Information about UL usage in the en-gNB. | – |  |
| >>>>UL PDCP SN Length | O |  | PDCP SN Length9.2.133 | Indicates the PDCP SN length of the bearer for the UL. | YES | ignore |
| >>>>DL PDCP SN Length  | O |  | PDCP SN Length9.2.133 | Indicates the PDCP SN length of the bearer for the DL. | YES | ignore |
| >>>>Duplication activation | O |  | 9.2.137 | Indicated the initial staus of PDCP duplication. | YES | ignore |
| MeNB to SgNB Container | M |  | OCTET STRING | Includes the *CG-ConfigInfo* message as defined in TS 38.331 [31]. | YES | reject |
| SgNB UE X2AP ID | O |  | en-gNB UE X2AP ID9.2.100 | Allocated at the en-gNB. | YES | reject |
| Expected UE Behaviour | O |  | 9.2.70 |  | YES | ignore |
| MeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID9.2.86 | Allocated at the MeNB. | YES | reject |
| Requested split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRB are requested. | YES | reject |
| MeNB Resource Coordination Information | O |  | 9.2.116 | Information used to coordinate resources utilisation between MeNB and en-gNB. | YES | ignore |
| SGNB Addition Trigger Indication | O |  | ENUMERATED (SN change, inter-eNB HO, intra-eNB HO, ...) | This IE indicates the trigger for SGNB Addition procedure. | YES | reject |
| Subscriber Profile ID for RAT/Frequency priority | O |  | 9.2.25 |  | YES | ignore |
| MeNB Cell ID | M |  | ECGI9.2.14 | Indicates the cell ID for PCell in MeNB. | YES | reject |
| Desired Activity Notification Level | O |  | 9.2.141 |  | YES | ignore |
| Trace Activation | O |  | 9.2.2 |  | YES | ignore |
| Location Information at SgNB reporting | O |  | ENUMERATED (pscell, ...) | Indicates that the user’s location information is to be provided. | YES | ignore |
| Masked IMEISV | O |  | 9.2.69 |  | YES | ignore |
| Additional RRM Policy Index | O |  | 9.2.25a |  | YES | ignore |
| Requested Fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested. | YES | ignore |
| UE Context Reference at Source NG-RAN | O |  | RAN UE NGAP ID 9.2.152 |  | YES | ignore |
| Management Based MDT Allowed | O |  | 9.2.59 |  | YES | ignore |
| Management Based MDT PLMN List | O |  | MDT PLMN List9.2.64 |  | YES | ignore |
| UE Radio Capability ID | O |  | 9.2.171 |  | YES | reject |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| UE History Information | O |  | 9.2.38 |  | YES | ignore |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256. |

|  |  |
| --- | --- |
| **Condition** | **Explanation** |
| ifMCGandSCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present". |
| ifMCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |
| C-ifMCGandSCGpresent\_GBR | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present", and *GBR QoS Information* IE is present in *Full E-RAB Level QoS Parameters* IE. |

|  |
| --- |
| **\*\*\* Next change \*\*\*** |

9.1.4.5 SGNB MODIFICATION REQUEST

This message is sent by the MeNB to the en-gNB to request the preparation to modify en-gNB resources for a specific UE, to query for the current SCG configuration, or to provide the S-RLF-related information to the en-gNB.

Direction: MeNB → en-gNB.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| MeNB UE X2AP ID | M |  | eNB UE X2AP ID9.2.24 | Allocated at the MeNB. | YES | reject |
| SgNB UE X2AP ID | M |  | en-gNB UE X2AP ID9.2.100 | Allocated at the en-gNB. | YES | reject |
| Cause | M |  | 9.2.6 |  | YES | ignore |
| Selected PLMN | O |  | PLMN Identity9.2.4 | The selected PLMN of the SCG in the en-gNB. | YES | ignore |
| Handover Restriction List | O |  | 9.2.3 |  | YES | ignore |
| SCG Configuration Query  | O |  | 9.2.103 |  | YES | ignore |
| **UE Context Information** |  | *0..1* |  |  | YES | reject |
| >NR UE Security Capabilities | O |  | 9.2.107 |  | – |  |
| >SgNB Security Key | O |  | 9.2.101 |  | – |  |
| >SgNB UE Aggregate Maximum Bit Rate | O |  | UE Aggregate Maximum Bit Rate9.2.12 |  | – |  |
| >Lower Layer presence status change | O |  | 9.2.145 |  | – |  |
| **>E-RABs To Be Added List** |  | *0..1* |  |  | – |  |
| **>>E-RABs To Be Added Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>DRB ID | M |  | 9.2.122 |  | – |  |
| >>>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". | – |  |
| >>>>>Full E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters as received on S1-MME. | – |  |
| >>>>>Maximum MCG admittable E-RAB Level QoS Parameters | C-ifMCGandSCGpresent\_GBR |  | GBR QoS Information 9.2.10 | Includes the GBR QoS Information admittable by the MCG. | – |  |
| >>>>>DL Forwarding  | O |  | 9.2.5 |  | – |  |
| >>>>>MeNB DL GTP Tunnel Endpoint at MCG | C-ifMCGpresent |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer at MCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>>S1 UL GTP Tunnel Endpoint | M |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1-U transport bearer. For delivery of UL PDUs from the en-gNB. | – |  |
| >>>>>RLC Mode | O |  | RLC Mode9.2.119 | Indicates the RLC mode at the MeNB for PDCP transfer to en-gNB. | YES | ignore |
| >>>>>Bearer Type | O |  | 9.2.92 |  | YES | ignore |
| >>>>>Ethernet Type | O |  | 9.2.157 |  | YES | ignore |
| >>>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>>Requested SCG E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes necessary E-RAB level QoS parameters requested to be provided by the SCG. | – |  |
| >>>>>MeNB UL GTP Tunnel Endpoint at PDCP | M |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs. | – |  |
| >>>>>Secondary MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs in case of PDCP duplication. | – |  |
| >>>>>RLC Mode | M |  | RLC Mode9.2.119 | Indicates the RLC mode to be used in the assisting node. | – |  |
| >>>>>UL Configuration | C-ifMCGandSCGpresent |  | 9.2.118 | Information about UL usage in the en-gNB. | – |  |
| >>>>>UL PDCP SN Length | O |  | PDCP SN Length9.2.133 | Indicates the PDCP SN length of the bearer for the UL. | YES | ignore |
| >>>>>DL PDCP SN Length | O |  | PDCP SN Length9.2.133 | Indicates the PDCP SN length of the bearer for the DL. | YES | ignore |
| >>>>>Duplication activation | O |  | 9.2.137 | Indicated the initial staus of PDCP duplication. | YES | ignore |
| **>E-RABs To Be Modified List** |  | *0..1* |  |  | – |  |
| **>>E-RABs To Be Modified Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>>Full E-RAB Level QoS Parameters | O |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters to be modified as received on S1-MME | – |  |
| >>>>>Maximum MCG admittable E-RAB Level QoS Parameters | O |  | GBR QoS Information 9.2.10 | Includes the GBR QoS information admittable by the MCG | – |  |
| >>>>>MeNB GTP Tunnel Endpoint at MCG | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer at MCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>>S1 UL GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1-U transport bearer. For delivery of UL PDUs from the en-gNB. | – |  |
| >>>>>RLC Status | O |  | 9.2.131 | Indicates the RLC has been re-established.. |  |  |
| >>>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>>Requested SCG E-RAB Level QoS Parameters | O |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the SCG. | – |  |
| >>>>>MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs. | – |  |
| >>>>>UL Configuration | O |  | 9.2.118 | Information about UL usage in the en-gNB. | – |  |
| >>>>>UL PDCP SN Length | O |  | PDCP SN Length9.2.133 | Shall be ignored by the en-gNB if received. | YES | ignore |
| >>>>>DL PDCP SN Length | O |  | PDCP SN Length9.2.133 | Shall be ignored by the en-gNB if received. | YES | ignore |
| >>>>>Secondary MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs in case of PDCP duplication. | YES | ignore |
| **>E-RABs To Be Released List** |  | *0..1* |  |  | – |  |
| **>>E-RABs To Be Released Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>>DL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer used for forwarding of DL PDUs | – |  |
| >>>>>UL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer. used for forwarding of UL PDUs | – |  |
| >>>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >Subscriber Profile ID for RAT/Frequency priority | O |  | 9.2.25 |  | YES | ignore |
| >Additional RRM Policy Index | O |  | 9.2.25a |  | YES | ignore |
| MeNB to SgNB Container | O |  | OCTET STRING | Includes the *CG-ConfigInfo* message as defined in TS 38.331 [31]. | YES | reject |
| MeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID9.2.86 | Allocated at the MeNB | YES | reject |
| MeNB Resource Coordination Information | O |  | 9.2.116 | Information used to coordinate resources utilisation between MeNB and en-gNB. | YES | ignore |
| Requested split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRB are requested. | YES | ignore |
| Requested split SRBs release | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRB are requested to be released. | YES | ignore |
| Desired Activity Notification Level | O |  | 9.2.141 |  | YES | ignore |
| Location Information at SgNB reporting | O |  | ENUMERATED (pscell, ...) | Indicates that the user’s location information is to be provided. | YES | ignore |
| MeNB Cell ID | O |  | ECGI9.2.14 | Indicates the cell ID for PCell in MeNB. | YES | ignore |
| Requested Fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested. | YES | ignore |
| Requested Fast MCG recovery via SRB3 Release | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested to be released. | YES | ignore |
| SN triggered  | O |  | ENUMERATED (True, ...) |  | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |
| PSCell History Information Retrieve | O |  | ENUMERATED (query, ...) | Indicates that the SN UE history information is requested. | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

|  |  |
| --- | --- |
| **Condition** | **Explanation** |
| ifMCGandSCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present". |
| ifMCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |
| C-ifMCGandSCGpresent\_GBR | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present", and *GBR QoS Information* IE is present in *Full E-RAB Level QoS Parameters* IE. |

|  |
| --- |
| **\*\*\* Next change \*\*\*** |

### 9.2.38 UE History Information

The *UE History Information* IE contains information about cells that a UE has been served by in active state prior to the target cell. The overall mechanism is described in TS 36.300 [15].

NOTE: The definition of this IE is aligned with the definition of the *UE History Information* IE in TS 36.413 [4].

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| **Last Visited Cell List** |  | *1..<maxnoofCells>* |  | Most recent information is added to the top of this list | – |  |
| >Last Visited Cell Information | M |  | 9.2.39 |  | – |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofCells | Maximum number of last visited cell information records that can be reported in the IE. Value is 16. |
|  |  |

|  |
| --- |
| **\*\*\* Next change \*\*\*** |

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| --- | --- | --- | --- | --- |
|  |  |  |  |  |
|  |  |  |  |  |

9.2.X SCG UE History Information

The *SCG UE History Information* IE contains information about the PScells served by the secondary node in an active state.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE Type and Reference** | **Semantics Description** |
| **Last Visited PSCell List** |  | *0..<maxnoofPSCellsPerSN>* |  | List of cells configured as PSCells. Most recent PSCell related information is added to the top of the list. |
| >Last Visited PSCell Information | M |  | OCTET STRING | The PSCell related information.Defined as 9.2.1.X in TS 36.413 [4]. |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofPSCellsPerSN | Maximum number of last visited PScell information records that can be reported in the IE. Value is 16. |

Editor’s note: FFS whether the provision of SCG UE History Information should be triggered by Pcell change or SN change.

|  |
| --- |
| **\*\*\* Start of ASN.1 change \*\*\*** |

### 9.3.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- PDU definitions for X2AP.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

X2AP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

eps-Access (21) modules (3) x2ap (2) version1 (1) x2ap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IE parameter types from other modules.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

IMPORTS

 ABSInformation,

 ABS-Status,

 AS-SecurityInformation,

-------- skip unchanged part ----------

 Global-RAN-NODE-ID,

 DirectForwardingPathAvailability,

 NRRACHReportInformation,

 SCG-UE-HistoryInformation,

 PSCellHistoryInformationRetrieve

-------- skip unchanged part ----------

 id-UERadioCapability,

 id-SFN-Offset,

 id-DirectForwardingPathAvailability,

 id-sourceNG-RAN-node-id,

 id-NRRACHReportInformation,

 id-SCG-UE-HistoryInformation,

 id-PSCellHistoryInformationRetrieve,

 maxCellineNB,

 maxnoofBearers,

 maxnoofPDCP-SN,

 maxFailedMeasObjects,

 maxnoofCellIDforMDT,

 maxnoofTAforMDT,

 maxCellinengNB,

 maxnoofCellIDforQMC,

 maxnoofTAforQMC,

 maxnoofPLMNforQMC,

 maxnoofProtectedResourcePatterns,

 maxnoNRcellsSpectrumSharingWithE-UTRA,

 maxnoofNrCellBands,

 maxnoofSSBAreas

FROM X2AP-Constants;

//////////////////////////////////////////////////////////////skip unrelated codes//////////////////////////////////////////////////////////////

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- SGNB ADDITION REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

SgNBAdditionRequest ::= SEQUENCE {

 protocolIEs ProtocolIE-Container {{SgNBAdditionRequest-IEs}},

 ...

}

SgNBAdditionRequest-IEs X2AP-PROTOCOL-IES ::= {

 { ID id-MeNB-UE-X2AP-ID CRITICALITY reject TYPE UE-X2AP-ID PRESENCE mandatory}|

 { ID id-NRUESecurityCapabilities CRITICALITY reject TYPE NRUESecurityCapabilities PRESENCE mandatory}|

 { ID id-SgNBSecurityKey CRITICALITY reject TYPE SgNBSecurityKey PRESENCE mandatory}|

 { ID id-SgNBUEAggregateMaximumBitRate CRITICALITY reject TYPE UEAggregateMaximumBitRate PRESENCE mandatory}|

 { ID id-SelectedPLMN CRITICALITY ignore TYPE PLMN-Identity PRESENCE optional}|

 { ID id-HandoverRestrictionList CRITICALITY ignore TYPE HandoverRestrictionList PRESENCE optional}|

 { ID id-E-RABs-ToBeAdded-SgNBAddReqList CRITICALITY reject TYPE E-RABs-ToBeAdded-SgNBAddReqList PRESENCE mandatory}|

 { ID id-MeNBtoSgNBContainer CRITICALITY reject TYPE MeNBtoSgNBContainer PRESENCE mandatory}|

 { ID id-SgNB-UE-X2AP-ID CRITICALITY reject TYPE SgNB-UE-X2AP-ID PRESENCE optional}|

 { ID id-ExpectedUEBehaviour CRITICALITY ignore TYPE ExpectedUEBehaviour PRESENCE optional}|

 { ID id-MeNB-UE-X2AP-ID-Extension CRITICALITY reject TYPE UE-X2AP-ID-Extension PRESENCE optional}|

 { ID id-RequestedSplitSRBs CRITICALITY reject TYPE SplitSRBs PRESENCE optional}|

 { ID id-MeNBResourceCoordinationInformation CRITICALITY ignore TYPE MeNBResourceCoordinationInformation PRESENCE optional}|

 { ID id-SGNB-Addition-Trigger-Ind CRITICALITY reject TYPE SGNB-Addition-Trigger-Ind PRESENCE optional}|

 { ID id-SubscriberProfileIDforRFP CRITICALITY ignore TYPE SubscriberProfileIDforRFP PRESENCE optional}|

 { ID id-MeNBCell-ID CRITICALITY reject TYPE ECGI PRESENCE mandatory}|

 { ID id-DesiredActNotificationLevel CRITICALITY ignore TYPE DesiredActNotificationLevel PRESENCE optional}|

 { ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional}|

 { ID id-LocationInformationSgNBReporting CRITICALITY ignore TYPE LocationInformationSgNBReporting PRESENCE optional}|

 { ID id-Masked-IMEISV CRITICALITY ignore TYPE Masked-IMEISV PRESENCE optional}|

 { ID id-AdditionalRRMPriorityIndex CRITICALITY ignore TYPE AdditionalRRMPriorityIndex PRESENCE optional}|

 { ID id-RequestedFastMCGRecoveryViaSRB3 CRITICALITY ignore TYPE RequestedFastMCGRecoveryViaSRB3 PRESENCE optional}|

 { ID id-UEContextReferenceatSourceNGRAN CRITICALITY ignore TYPE RAN-UE-NGAP-ID PRESENCE optional}|

 { ID id-ManagementBasedMDTallowed CRITICALITY ignore TYPE ManagementBasedMDTallowed PRESENCE optional }|

 { ID id-ManagementBasedMDTPLMNList CRITICALITY ignore TYPE MDTPLMNList PRESENCE optional }|

 { ID id-UERadioCapabilityID CRITICALITY reject TYPE UERadioCapabilityID PRESENCE optional}|

 { ID id-IABNodeIndication CRITICALITY reject TYPE IABNodeIndication PRESENCE optional}|

 { ID id-sourceNG-RAN-node-id CRITICALITY ignore TYPE Global-RAN-NODE-ID PRESENCE optional|

 { ID id-UEHistoryInformation CRITICALITY ignore TYPE UEHistoryInformation PRESENCE optional}|

 { ID id-UEHistoryInformationFromTheUE CRITICALITY ignore TYPE UEHistoryInformationFromTheUE PRESENCE optional},

...

}

-------- skip unchanged part ----------

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- SGNB MODIFICATION REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

SgNBModificationRequest ::= SEQUENCE {

 protocolIEs ProtocolIE-Container {{ SgNBModificationRequest-IEs}},

 ...

}

SgNBModificationRequest-IEs X2AP-PROTOCOL-IES ::= {

 { ID id-MeNB-UE-X2AP-ID CRITICALITY reject TYPE UE-X2AP-ID PRESENCE mandatory}|

 { ID id-SgNB-UE-X2AP-ID CRITICALITY reject TYPE SgNB-UE-X2AP-ID PRESENCE mandatory}|

 { ID id-Cause CRITICALITY ignore TYPE Cause PRESENCE mandatory}|

 { ID id-SelectedPLMN CRITICALITY ignore TYPE PLMN-Identity PRESENCE optional}|

 { ID id-HandoverRestrictionList CRITICALITY ignore TYPE HandoverRestrictionList PRESENCE optional}|

 { ID id-SCGConfigurationQuery CRITICALITY ignore TYPE SCGConfigurationQuery PRESENCE optional}|

 { ID id-UE-ContextInformation-SgNBModReq CRITICALITY reject TYPE UE-ContextInformation-SgNBModReq PRESENCE optional}|

 { ID id-MeNBtoSgNBContainer CRITICALITY reject TYPE MeNBtoSgNBContainer PRESENCE optional}|

 { ID id-MeNB-UE-X2AP-ID-Extension CRITICALITY reject TYPE UE-X2AP-ID-Extension PRESENCE optional}|

 { ID id-MeNBResourceCoordinationInformation CRITICALITY ignore TYPE MeNBResourceCoordinationInformation PRESENCE optional}|

 { ID id-RequestedSplitSRBs CRITICALITY ignore TYPE SplitSRBs PRESENCE optional}|

 { ID id-RequestedSplitSRBsrelease CRITICALITY ignore TYPE SplitSRBs PRESENCE optional}|

 { ID id-DesiredActNotificationLevel CRITICALITY ignore TYPE DesiredActNotificationLevel PRESENCE optional}|

 { ID id-LocationInformationSgNBReporting CRITICALITY ignore TYPE LocationInformationSgNBReporting PRESENCE optional}|

 { ID id-MeNBCell-ID CRITICALITY ignore TYPE ECGI PRESENCE optional}|

 { ID id-RequestedFastMCGRecoveryViaSRB3 CRITICALITY ignore TYPE RequestedFastMCGRecoveryViaSRB3 PRESENCE optional}|

 { ID id-RequestedFastMCGRecoveryViaSRB3Release CRITICALITY ignore TYPE RequestedFastMCGRecoveryViaSRB3Release PRESENCE optional}|

 { ID id-SNtriggered CRITICALITY ignore TYPE SNtriggered PRESENCE optional}|

 { ID id-IABNodeIndication CRITICALITY reject TYPE IABNodeIndication PRESENCE optional}|

 { ID id-PSCellHistoryInformationRetrieve CRITICALITY ignore TYPE PSCellHistoryInformationRetrieve PRESENCE optional}|

 { ID id-UEHistoryInformationFromTheUE CRITICALITY ignore TYPE UEHistoryInformationFromTheUE PRESENCE optional},

 ...

}

-------- skip unchanged part ----------

### 9.3.5 Information Element definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

X2AP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

eps-Access (21) modules (3) x2ap (2) version1 (1) x2ap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

 id-E-RAB-Item,

 id-Number-of-Antennaports,

 id-MBSFN-Subframe-Info,

-------- skip unchanged part ----------

-- L

LastVisitedPSCell-Item ::= OCTET STRING

-- N

NRRACHReportContainer ::= OCTET STRING

NRRACHReportInformation ::= SEQUENCE (SIZE(1.. maxnoofRACHReports)) OF NRRACHReportList-Item

NRRACHReportList-Item ::= SEQUENCE {

 nRRACHReport NRRACHReportContainer,

 uEAssitantIdentifier SgNB-UE-X2AP-ID OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { { NRRACHReportList-Item-ExtIEs} } OPTIONAL,

 ...

}

NRRACHReportList-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {

 ...

}

-- P

PSCellHistoryInformationRetrieve ::= ENUMERATED {query, ...}

-- S

SCG-UE-HistoryInformation ::= SEQUENCE (SIZE(1.. maxnoofPSCellsPerSN)) OF LastVisitedPSCell-Item

//////////////////////////////////////////////////////////////skip unrelated codes//////////////////////////////////////////////////////////////

### 9.3.7 Constant definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-------- skip unchanged part ----------

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Lists

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

maxEARFCN INTEGER ::= 65535

maxEARFCNPlusOne INTEGER ::= 65536

newmaxEARFCN INTEGER ::= 262143

-------- skip unchanged part ----------

maxnoofNRSCSs INTEGER ::= 5

maxnoofNRPhysicalResourceBlocks INTEGER ::= 275

maxnoofNonAnchorCarrierFreqConfig INTEGER ::= 15

maxnoofRACHReports INTEGER ::= 64

maxnoofPSCellsPerSN INTEGER ::= 16

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IEs

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

id-E-RABs-Admitted-Item ProtocolIE-ID ::= 0

id-E-RABs-Admitted-List ProtocolIE-ID ::= 1

-------- skip unchanged part ----------

id-CellToReport-E-UTRA-ENDC ProtocolIE-ID ::= 403

id-CellToReport-E-UTRA-ENDC-Item ProtocolIE-ID ::= 404

id-TraceCollectionEntityURI ProtocolIE-ID ::= 405

id-SFN-Offset ProtocolIE-ID ::= 406

id-CHO-DC-EarlyDataForwarding ProtocolIE-ID ::= 407

id-IMSvoiceEPSfallbackfrom5G ProtocolIE-ID ::= 408

id-NRRACHReportInformation ProtocolIE-ID ::=xxx

id-SCG-UE-HistoryInformation ProtocolIE-ID ::=xxx

id-PSCellHistoryInformationRetrieve ProtocolIE-ID ::=xxx

END

-- ASN1STOP

|  |
| --- |
| **\*\*\* End of changed \*\*\*** |