3GPP TSG-RAN WG3 Meeting #114-e R3-215971

**Online, 1st- 11th November 2021**

Agenda Item: 22.4

Source: CATT

Title: [TP for TS 38.423] on Broadcast Sevice for NR MBS

Document for: Discussions & Approval

# 1 Introduction

This TP follows the agreement from R3-215892：

Signal the supported MBS IDs per served cell via XnAP. e.g, Xn Setup / NG-RAN node Configuration Update procedure, but the definition of the MBS ID is FFS.

# 2 Text Proposal for TS 38.423

<<<<<<<<<<<<<<<<<<<< First Change >>>>>>>>>>>>>>>>>>>>

### 8.4.1 Xn Setup

#### 8.4.1.1 General

The purpose of the Xn Setup procedure is to exchange application level configuration data needed for two NG-RAN nodes to interoperate correctly over the Xn-C interface.

NOTE 1: If Xn-C signalling transport is shared among multiple Xn-C interface instances, one Xn Setup procedure is issued per Xn-C interface instance to be setup, i.e. several Xn Setup procedures may be issued via the same TNL association after that TNL association has become operational.

NOTE 2: Exchange of application level configuration data also applies between two NG-RAN nodes in case the SN (i.e. the gNB) does not broadcast system information other than for radio frame timing and SFN, as specified in the TS 37.340 [8]. How to use this information when this option is used is not explicitly specified.

The procedure uses non UE-associated signalling.

#### 8.4.1.2 Successful Operation



Figure 8.4.1.2: Xn Setup, successful operation

The NG-RAN node1 initiates the procedure by sending the XN SETUP REQUEST message to the candidate NG-RAN node2. The candidate NG-RAN node2 replies with the XN SETUP RESPONSE message.

The *AMF Region Information* IE in the XN SETUP REQUEST message shall contain a complete list of Global AMF Region IDs to which the NG-RAN node1 belongs. The *AMF Region Information* IE in the XN SETUP RESPONSE message shall contain a complete list of Global AMF Region IDs to which the NG-RAN node2 belongs.

The *List of Served Cells NR* IE and the *List of Served Cells E-UTRA* IE, if contained in the XN SETUP REQUEST message, shall contain a complete list of cells served by NG-RAN node1 or, if supported, a partial list of served cells together with the *Partial List Indicator* IE. The *List of Served Cells NR* IE and the *List of Served Cells E-UTRA* IE, if contained in the XN SETUP RESPONSE message, shall contain a complete list of cells served by NG-RAN node2 or, if supported, a partial list of served cells together with the *Partial List Indicator* IE.

If Supplementary Uplink is configured at the NG-RAN node1, the NG-RAN node1 shall include in the XN SETUP REQUEST message the *SUL Information* IE and the *Supported SUL band List* IE for each served cell where supplementary uplink is configured.

If Supplementary Uplink is configured at the NG-RAN node2, the candidate NG-RAN node2 shall include in the XN SETUP RESPONSE message the *SUL Information* IE and the *Supported SUL band List* IE for each served cell where supplementary uplink is configured.

If the NG-RAN node1 is an ng-eNB, it may include the *Protected E-UTRA Resource Indication* IE into the XN SETUP REQUEST. If the XN SETUP REQUEST sent by an ng-eNB contains the *Protected E-UTRA Resource Indication* IE, the receiving gNB should take this into account for cell-level resource coordination with the ng-eNB. The gNB shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same ng-eNB.

The protected resource pattern indicated in the *Protected E-UTRA Resource Indication* IE is not valid in subframes indicated by the *Reserved Subframes* IE, as well as in the non-control region of the MBSFN subframes i.e. it is valid only in the control region therein. The size of the control region of MBSFN subframes is indicated in the *Protected E-UTRA Resource Indication* IE.

In case of network sharing with multiple cell ID broadcast with shared Xn-C signalling transport, as specified in TS 38.300 [9], the XN SETUP REQUEST message and the XN SETUP RESPONSE message shall include the *Interface Instance Indication* IE to identify the corresponding interface instance.

If the *Intended TDD DL-UL Configuration NR* IE is included in the XN SETUP REQUEST or XN SETUP RESPONSE message, the receiving NG-RAN node should take this information into account for cross-link interference management and/or NR-DC power coordination with the sending NG-RAN node. The receiving NG-RAN node shall consider the received *Intended TDD DL-UL Configuration NR* IE content valid until reception of an update of the IE for the same cell(s).

If the *TNL Configuration Info* IE is contained in the XN SETUP REQUEST message, the NG-RAN node2 shall, if supported, take this IE into account for IPSec establishment.

If the *TNL Configuration Info* IE is contained in the XN SETUP RESPONSE message, the NG-RAN node1 shall, if supported, take this IE into account for IPSec establishment.

If the *Partial List Indicator NR* IE or the *Partial List Indicator NR* IE is set to "partial" in the XN SETUP REQUEST message the candidate NG-RAN node2 shall, if supported, assume that the *List of Served Cells NR* IE or the *List of Served Cells E-UTRA* IE in the XN SETUP REQUEST message includes a partial list of cells.

If the *Partial List Indicator NR* IE or the *Partial List Indicator NR* IE is set to "partial" in the XN SETUP RESPONSE message from the candidate NG-RAN node2, the NG-RAN node1 shall, if supported, assume that the *List of Served Cells NR* IE or the *List of Served Cells E-UTRA* IE in the XN SETUP RESPONSE message includes a partial list of cells.

If the *Cell and Capacity Assistance Information NR* IE or the *Cell and Capacity Assistance Information E-UTRA* IE is present in the XN SETUP REQUEST message the candidate NG-RAN node2 shall, if supported, use it when generating the list of NG-RAN served cell information to include in the XN SETUP RESPONSE message.

If the *Cell and Capacity Assistance Information NR* IE or the *Cell and Capacity Assistance Information E-UTRA* IE is present in the XN SETUP RESPONSE message from the candidate NG-RAN node2, the NG-RAN node1 shall, if supported, store the collected information to be used for future NG-RAN node interface management.

If the *CSI-RS Transmission Indication* IE is contained in the XN SETUP REQUEST message, the NG-RAN node2 shall, if supported, take this IE into account for neighbour cell’s CSI-RS measurement.

If the *CSI-RS Transmission Indication* IE in the XN SETUP RESPONSE message, the NG-RAN node1 shall, if supported, take this IE into account for neighbour cell’s CSI-RS measurement.

The initiating NG-RAN node1 may include the *PRACH Configuration* IE (for served E-UTRA cells) or the *NR Cell PRACH Configuration* IE (for served NR cells) or the *NPRACH Configuration* IE (for served NB-IoT cells) in the XN SETUP REQUEST message. The candidate NG-RAN node2 may also include the *PRACH Configuration* IE (for served E-UTRA cells) or *NR Cell PRACH Configuration* IE (for served NR cells) or the *NPRACH Configuration* IE (for served NB-IoT cells) in the XN SETUP RESPONSE message. The NG-RAN node receiving the IE may use this information for RACH optimisation.

The XN SETUP REQUEST message may contain for each cell served by NG-RAN node1 NPN related broadcast information. The XN SETUP RESPONSE message may contain for each cell served by NG-RAN node2 NPN related broadcast information.

The XN SETUP REQUEST message may contain for each cell served by NG-RAN node1 supported MBS ID list information. The XN SETUP RESPONSE message may contain for each cell served by NG-RAN node2 supported MBS ID list information.

Note: Naming of MBS ID is ffs.If the *SFN Offset* IE is included in the XN SETUP REQUEST or XN SETUP RESPONSE message, the receiving NG-RAN node shall, if supported, use this information to deduce the SFN0 time offset of the reported cell.The receiving NG-RAN node shall consider the received *SFN Offset* IE content valid until reception of an update of the IE for the same cell(s).

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

### 8.4.2 NG-RAN node Configuration Update

#### 8.4.2.1 General

The purpose of the NG-RAN node Configuration Update procedure is to update application level configuration data needed for two NG-RAN nodes to interoperate correctly over the Xn-C interface.

NOTE: Update of application level configuration data also applies between two NG-RAN nodes in case the SN (i.e. the gNB) does not broadcast system information other than for radio frame timing and SFN, as specified in the TS 37.340 [8]. How to use this information when this option is used is not explicitly specified.

The procedure uses non UE-associated signalling.

#### 8.4.2.2 Successful Operation



Figure 8.4.2.2-1: NG-RAN node Configuration Update, successful operation

The NG-RAN node1 initiates the procedure by sending the NG-RAN NODE CONFIGURATION UPDATE message to a peer NG-RAN node2.

If Supplementary Uplink is configured at the NG-RAN node1, the NG-RAN node1 shall include in the NG-RAN NODE CONFIGURATION UPDATE message the *SUL Information* IE and the *Supported SUL band List* IE for each cell added in the *Served NR Cells To Add* IE and in the *Served NR Cells To Modify* IE.

If Supplementary Uplink is configured at the NG-RAN node2, the NG-RAN node2 shall include in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message the *SUL Information* IE and the *Supported SUL band List* IE for each cell added in the *Served NR Cells* IE if any.

If the *TAI Support List* IE is included in the NG-RAN NODE CONFIGURATION UPDATE message, the receiving node shall replace the previously provided *TAI Support List* IE by the received *TAI Support List* IE.

If the *Cell Assistance Information NR* IE is present, the NG-RAN node2 shall, if supported, use it to generate the *Served NR Cells* IE and include the list in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message.

If the *Cell Assistance Information E-UTRA* IE is present, the NG-RAN node2 shall, if supported, use it to generate the *Served E-UTRA Cells* IE and include the list in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message.

If the *Partial List Indicator NR* IE is included in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message and set to "partial" the NG-RAN node1 shall, if supported, assume that the *Served NR Cells* IE in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message includes a partial list of NR cells.

If the *Partial List Indicator E-UTRA* IE is included in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message and set to "partial" the NG-RAN node1 shall, if supported, assume that the *Served E-UTRA Cells* IE in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message includes a partial list of NR cells.

If the *Cell and Capacity Assistance Information NR* IE is present in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message from the candidate NG-RAN node2, the NG-RAN node1 shall, if supported, store the collected information to be used for future NG-RAN node interface management.

If the *Cell and Capacity Assistance Information E-UTRA* IE is present in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message from the candidate NG-RAN node2, the NG-RAN node1 shall, if supported, store the collected information to be used for future NG-RAN node interface management.

Upon reception of the NG-RAN NODE CONFIGURATION UPDATE message, NG-RAN node2 shall update the information for NG-RAN node1 as follows:

If case of network sharing with multiple cell ID broadcast with shared Xn-C signalling transport, as specified in TS 38.300 [9], the NG-RAN NODE CONFIGURATION UPDATE message and the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message shall include the *Interface Instance Indication* IE to identify the corresponding interface instance.

If the *TNL Configuration Info* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE message, the NG-RAN node2 shall take this IE into account for IPSec establishment.

If the *TNL Configuration Info* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message, the NG-RAN node1 shall take this IE into account for IPSec establishment.

If the *CSI-RS Transmission Indication* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE message, the NG-RAN node2 shall take this IE into account for neighbour cell’s CSI-RS measurement.

The NG-RAN NODE CONFIGURATION UPDATE message may contain for each cell served by NG-RAN node1 NPN related broadcast information. The NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message may contain for each cell served by NG-RAN node2 NPN related broadcast information.

The NG-RAN NODE CONFIGURATION UPDATE message may contain for each cell served by NG-RAN node1 supported MBS ID list information. The NG-RAN NODE CONFIGURATION UPDATE ACKNOWLEDGE message may contain for each cell served by NG-RAN node2 supported MBS ID list information.

Note：Naming of MBS ID is ffs.

**Update of Served Cell Information NR:**

- If *Served Cells NR To Add* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE message, NG-RAN node2 shall add cell information according to the information in the *Served Cell Information* *NR* IE.

- If *Served Cells NR To Modify* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE message, NG-RAN node2 shall modify information of cell indicated by *Old NR-CGI* IE according to the information in the *Served Cell Information* *NR* IE.

- When either served cell information or neighbour information of an existing served cell in NG-RAN node1 need to be updated, the whole list of neighbouring cells, if any, shall be contained in the *Neighbour Information NR* IE. The NG-RAN node2 shall overwrite the served cell information and the whole list of neighbour cell information for the affected served cell.

- If the *Deactivation Indication* IE is contained in the *Served Cells NR To Modify* IE, it indicates that the concerned cell was switched off to lower energy consumption.

- If *Served Cells NR To Delete* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE message, NG-RAN node2 shall delete information of cell indicated by *Old NR-CGI* IE.

- If the *Intended TDD DL-UL Configuration NR* IE is contained in the NG-RAN NODE CONFIGURATION UPDATE message, the NG-RAN node2 should take this information into account for cross-link interference management and/or NR-DC power coordination with the NG-RAN node1. The NG-RAN node2 shall consider the received *Intended TDD DL-UL Configuration NR* IE content valid until reception of a new update of the IE for the same NG-RAN node2.

- If the *NR Cell PRACH Configuration* IE is contained in the *Served Cell Information NR* IE in the NG-RAN NODE CONFIGURATION UPDATE message, the NG-RAN node receiving the IE may use this information for RACH optimisation.

- If the *SFN Offset* IE is contained in the *Served Cell Information NR* IE in the NG-RAN NODE CONFIGURATION UPDATE message, the NG-RAN node receiving the IE shall, if supported, use this information to update the SFN0 time offset of the reported cell.

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

#### 9.2.2.11 Served Cell Information NR

This IE contains cell configuration information of an NR cell that a neighbouring NG-RAN node may need for the Xn AP interface.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| NR-PCI | M |  | INTEGER (0..1007, …) | NR Physical Cell ID | – |  |
| NR CGI | M |  | 9.2.2.7 |  | – |  |
| TAC | M |  | 9.2.2.5 | Tracking Area Code | – |  |
| RANAC | O |  | RAN Area Code  9.2.2.6 |  | – |  |
| **Broadcast PLMNs** |  | *1..<maxnoofBPLMNs>* |  | Broadcast PLMNs in SIB1 associated to the NR Cell Identity in the *NR CGI* IE. | – |  |
| >PLMN Identity | M |  | 9.2.2.4 |  | – |  |
| CHOICE *NR-Mode-Info* | M |  |  |  | – |  |
| >*FDD* |  |  |  |  |  |  |
| >>**FDD Info** |  | *1* |  |  | – |  |
| >>>UL NR Frequency Info | M |  | NR Frequency Info  9.2.2.19 |  | – |  |
| >>>DL NR Frequency Info | M |  | NR Frequency Info  9.2.2.19 |  | – |  |
| >>>UL Transmission Bandwidth | M |  | NR Transmission Bandwidth  9.2.2.20 |  | – |  |
| >>>DL Transmission Bandwidth | M |  | NR Transmission Bandwidth  9.2.2.20 |  | – |  |
| >>>UL Carrier List | O |  | NR Carrier List  9.2.2.63 | If included, the *UL Transmission Bandwidth* IE shall be ignored. | YES | ignore |
| >>>DL Carrier List | O |  | NR Carrier List  9.2.2.63 | If included, the *DL Transmission Bandwidth* IE shall be ignored. | YES | ignore |
| >*TDD* |  |  |  |  |  |  |
| >>**TDD Info** |  | *1* |  |  | – |  |
| >>>Frequency Info | M |  | NR Frequency Info  9.2.2.19 |  | – |  |
| >>>Transmission Bandwidth | M |  | NR Transmission Bandwidth  9.2.2.20 |  | – |  |
| >>>Intended TDD DL-UL Configuration NR | O |  | 9.2.2.40 |  | YES | ignore |
| >>>TDD UL-DL Configuration Common NR | O |  | OCTET STRING | The *tdd-UL-DL-ConfigurationCommon* as defined in TS 38.331 [10] | YES | ignore |
| >>>Carrier List | O |  | NR Carrier List  9.2.2.63 | If included, the *Transmission Bandwidth* IE shall be ignored. | YES | ignore |
| Measurement Timing Configuration | M |  | OCTET STRING | Contains the *MeasurementTimingConfiguration* inter-node message for the served cell, as defined in TS 38.331 [10]. | – |  |
| Connectivity Support | M |  | 9.2.2.28 |  | – |  |
| **Broadcast PLMN Identity Info List NR** |  | *0..<maxnoofBPLMNs>* |  | This IE corresponds to the *PLMN-IdentityInfoList* IE and the *NPN-IdentityInfoList* IE (if available) in *SIB1* as specified in TS 38.331 [8]. All PLMN Identities and associated information contained in the *PLMN-IdentityInfoList* IE and NPN identities and associated information contained in the *NPN-IdentityInfoList* IE (if available) are included and provided in the same order as broadcast in SIB1.  NOTE: In case of NPN-only cell, the PLMN Identities and associated information contained in the *PLMN-IdentityInfoList* IE are not included. | YES | ignore |
| **>Broadcast PLMNs** |  | *1..<maxnoofBPLMNs>* |  | Broadcast PLMNs in SIB1 associated to the *NR Cell Identity* IE. | – |  |
| >>PLMN Identity | M |  | 9.2.2.4 |  | – |  |
| >TAC | M |  | 9.2.2.5 |  | – |  |
| >NR Cell Identity | M |  | BIT STRING (SIZE(36)) |  | – |  |
| >RANAC | O |  | RAN Area Code  9.2.2.6 |  | – |  |
| >Configured TAC Indication | O |  | 9.2.2.39a | NOTE: This IE is associated with the TAC in the *Broadcast PLMN Identity Info List NR* IE | YES | ignore |
| >NPN Broadcast Information | O |  | 9.2.2.71 | If this IE is included the content of the *Broadcast PLMNs* IE in the *Broadcast PLMN Identity Info List NR* IE is ignored. | YES | reject |
| Configured TAC Indication | O |  | 9.2.2.39a | NOTE: This IE is associated with the TAC on top-level of the *Served Cell Information NR* IE | YES | ignore |
| NPN Broadcast Information | O |  | 9.2.2.71 | If this IE is included the content of the *Broadcast PLMNs* IE in the top *Served Cell Information NR* IE is ignored. | YES | reject |
| SSB Positions In Burst | O |  | 9.2.2.64 |  | YES | ignore |
| NR Cell PRACH Configuration | O |  | OCTET STRING | Containing 9.3.1.139 NR Cell PRACH Configuration as of TS 38.473 [41]. | YES | ignore |
| CSI-RS Transmission Indication | O |  | ENUMERATED (activated, deactivated, ...) | This IE indicates the CSI-RS transmission status of the given cell. | YES | ignore |
| SFN Offset | O |  | 9.2.2.75 |  | YES | Ignore |
| Supported MBS ID List |  | *0..<maxnoofMBSIDs>* |  |  | YES | ignore |
| >MBS Identity | M |  | 9.2.2.X |  | – |  |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofBPLMNs | Maximum no. of broadcast PLMNs by a cell. Value is 12. |
| maxnoofMBSIDs | Maximum no. of MBS IDs by a cell. Value is ffs. |

Note：Naming of MBS ID is ffs.

<<<<<<<<<<<<<<<<<<<< End of Changes >>>>>>>>>>>>>>>>>>>>