**3GPP TSG-RAN WG3 Meeting #112-e *R3-211523***

**E-meeting, 17-28 May 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.423** | **CR** | **0581** | **rev** | **1** | **Current version:** | **15.11.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Correction on the RAT Restriction Information | | | | | | | | | |
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| ***Source to WG:*** | Huawei | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2021-05-24 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | In the semantics description of the XnAP: *RAT Restriction Information* IE, it is said that the sending node shall set bits 2-7 to "0", the sender shall ignore bits 2-7. With that, a lower release MN will propagate wrong information towards a higher release SN, e.g. CN send RAT restriction (00100000) to a Rel-15 MN, the Rel-15 MN will forward (00000000) towards the Rel-16 SN.  The issue also exist in non-DC case, i.e. lower release NG-RAN node1 will provide wrong information towards a new release NG-RAN node2 in *Mobility Restriction List* IE. In non-DC case the issue could be solved by using existing *5GC Mobility Restriction List Container* IE provided from source/old NG-RAN node to the target/new NG-RAN node. But as *5GC Mobility Restriction List Container* IE is an optional IE, the issue will still exist if *5GC Mobility Restriction List Container* IE is not provided. | | | | | | | | |
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| ***Summary of change:*** | | Change the last part of semantics description of the XnAP: *RAT Restriction Information* IE to “Bits 2-7 are reserved for future use.”  Impact analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has an impact under functional point of view. The impact can be considered isolated because the change affects only the XnAP *RAT Restriction Information* IE about how to provide the information by the sending node. | | | | | | | | |
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| ***Consequences if not approved:*** | | Wrong RATRestriction information will be provided from lower release NG-RAN node to higher release NG-RAN node. | | | | | | | | |
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| ***Clauses affected:*** | | 9.2.3.53 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | Rev 1: change the semantics description to “Bits 2-7 are reserved for future use”. | | | | | | | | |

#### 9.2.3.53 Mobility Restriction List

This IE defines roaming or access restrictions for subsequent mobility actions for which the NR-RAN provides information about the target of the mobility action towards the UE, e.g., handover, or for SCG selection during dual connectivity operation or for assigning proper RNAs. If the NG-RAN receives the *Mobility Restriction List* IE, it shall overwrite previously received restriction information. NG-RAN behaviour upon receiving this IE is specified in TS 23.501 [7].

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| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Serving PLMN | M |  | PLMN Identity  9.2.2.4 |  | – |  |
| **Equivalent PLMNs** |  | *0..<maxnoofEPLMNs>* |  | Allowed PLMNs in addition to Serving PLMN.  This list corresponds to the list of “equivalent PLMNs” as defined in TS 24.501 [30].  This list is part of the roaming restriction information. Roaming restrictions apply to PLMNs other than the Serving PLMN and Equivalent PLMNs. | – |  |
| >PLMN Identity | M |  | 9.2.2.4 |  | – |  |
| **RAT Restrictions** |  | *0..<maxnoofPLMNs>* |  | This IE contains RAT restriction related information as specified in TS 23.501 [7]. | – |  |
| >PLMN Identity | M |  | 9.2.2.4 |  | – |  |
| >RAT Restriction Information | M |  | BIT STRING {  e-UTRA (0),  nR (1) }  (SIZE(8, …)) | Each position in the bitmap represents a RAT.  If a bit is set to "1", the respective RAT is restricted for the UE.  If a bit is set to "0", the respective RAT is not restricted for the UE. Bits 2-7 are reserved for future use.. | – |  |
| **Forbidden Area Information** |  | *0..<maxnoofPLMNs>* |  | This IE contains Forbidden Area information as specified in TS 23.501 [7]. | – |  |
| >PLMN Identity | M |  | 9.2.2.4 |  | – |  |
| **>Forbidden TACs** |  | *1..<maxnoofForbiddenTACs>* |  |  | – |  |
| >>TAC | M |  | 9.2.2.5 | The TAC of the forbidden TAI. | – |  |
| **Service Area Information** |  | *0..<maxnoofPLMNs>* |  | This IE contains Service Area Restriction information as specified in TS 23.501 [7]. | – |  |
| >PLMN Identity | M |  | 9.2.2.4 |  | – |  |
| **>Allowed TACs** |  | *0..<maxnooAllowedAreas>* |  |  | – |  |
| >>TAC | M |  | 9.2.2.5 | The TAC of the allowed TAI. | – |  |
| **>Not Allowed TACs** |  | *0..<maxnooAllowedAreas>* |  |  | – |  |
| >>TAC | M |  | 9.2.2.5 | The TAC of the not-allowed TAI. | – |  |
| Last E-UTRAN PLMN Identity | O |  | 9.2.2.4 | Indicates the E-UTRAN PLMN ID from where the UE formerly handed over to 5GS and which is preferred in case of subsequent mobility to EPS. | YES | ignore |
| Core Network Type Restriction for serving PLMN | O |  | ENUMERATED  (EPCForbidden, …) | Indicates whether the UE is restricted to connect to EPC for the Serving PLMN as specified in TS 23.501 [7]. | YES | ignore |
| **Core Network Type Restriction for Equivalent PLMNs** |  | *0..<maxnoofEPLMNs>* |  |  | YES | ignore |
| >PLMN Identity | M |  | 9.2.2.4 | Includes any of the Equivalent PLMNs listed in the *Mobility Restriction List* IE for which CN Type restriction applies as specified in TS 23.501 [7]. | – |  |
| >Core Network Type Restriction | M |  | ENUMERATED  (EPCForbidden, 5GCForbidden, …) | Indicates whether the UE is restricted to connect to EPC or to 5GC for this PLMN. | – |  |

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| Range bound | Explanation |
| maxnoofEPLMNs | Maximum no. of equivalent PLMNs. Value is 15. |
| maxnoofPLMNs | Maximum no. of allowed PLMNs. Value is 16. |
| maxnoofForbiddenTACs | Maximum no. of forbidden Tracking Area Codes. Value is 4096. |
| maxnoofAllowedAreas | Maximum no. of allowed or not allowed Tracking Areas. Value is 16. |