**3GPP TSG-RAN WG3 Meeting #111eR3-21**

 **Online, 25th January – 5th February, 2021**

|  |
| --- |
| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.413** | **CR** | **0520** | **Rev** | **1** | **Current version:** | **16.4.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **X** |

|  |
| --- |
|  |
| ***Title:***  | Including the Redundant UL NG-U UP TNL Information in the Modify Request |
|  |  |
| ***Source to WG:*** | Ericsson, CATT, ZTE, Nokia, Nokia Shanghai Bell |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core  |  | ***Date:*** | 2021-01-14 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | In PDU Session Resource Modify Request Transfer, the “Additional Redundant UL NG-U UP TNL Information” is defined. But there is no IE for Redundant UL NG-U UP TNL Information in Tabular or ASN.1 code. |
|  |  |
| ***Summary of change:*** | The Redundant UL NG-U UP TNL Information is introduced in PDU Session Resource Modify Request Transfer.Clarification added on the use of the transport bearer IEs (especially regarding the respective use of the two *Redundant UL NG-U UP TNL Information* IE present in the PDU Session Resource Modify Request Transfer.Impact Analysis:The CR has limited impact.The ASN.1 change is backwards compatible |
|  |  |
| ***Consequences if not approved:*** |  Incorrect specification - it is impossible to update use the redundant NG-U UP tunnel. |
|  |  |
| ***Clauses affected:*** | 8.2.3.2, 9.3.4.3, 9.4.5 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Rev 1: resubmission, add cosign companies. |

8.2.3.2 Successful Operation

**Figure 8.2.3.2-1: PDU session resource modify: successful operation**

The AMF initiates the procedure by sending a PDU SESSION RESOURCE MODIFY REQUEST message to the NG-RAN node.

The PDU SESSION RESOURCE MODIFY REQUEST message shall contain the information required by the NG-RAN node, which may trigger the NG-RAN configuration modification for the existing PDU sessions listed in the *PDU Session Resource Modify Request List* IE.

Upon reception of the PDU SESSION RESOURCE MODIFY REQUEST message, if the NG-RAN configuration is triggered to be modified and if resources are available for the modified NG-RAN configuration, the NG-RAN node shall execute the configuration modification for the requested PDU session.

If the *RAN Paging Priority* IE is included in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

For each PDU session, if the *S-NSSAI* IE is included in the *PDU Session Resource Modify Request Item* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall replace the previously provided S-NSSAI by the received S-NSSAI for the concerned PDU session and use it as specified in TS 23.502 [10].

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message and the *Common Network Instance* IE is not present, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9].

For each PDU session, if the *Common Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9].

For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it for the redundant transmission as specified in TS 23.501 [9].

For each PDU session, if the *TSC Traffic Characteristics* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, store it and use it as specified in TS 23.501 [9].

For each PDU session, if the *Redundant QoS Flow Indicator* IE is included and set to “false” for all QoS flows, the NG-RAN node shall, if supported, stop the redundant transmission and release the redundant tunnel for the concerned PDU session as specified in TS 23.501 [9].

For each PDU session in the PDU SESSION RESOURCE MODIFY REQUEST message, if the *Alternative QoS Parameters Set List* IE is included in the *GBR QoS Flow Information* IE in the *PDU Session Resource Modify Request Transfer* IE of the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node may accept the setup of the QoS flow when notification control has been enabled if the requested QoS parameters or at least one of the alternative QoS parameters sets can be fulfilled at the time of setup. In case the NG-RAN node accepts the setup fulfilling one of the alternative QoS parameters it shall indicate the alternative QoS parameters set which it currently fulfils in the *Current QoS Parameters Set Index* IE within the *PDU Session Resource Setup Response Transfer* IE of the PDU SESSION RESOURCE MODIFY RESPONSE message.

For each PDU session included in the *PDU Session Resource Modify Request List* IE:

- For each QoS flow included in the *QoS Flow Add or Modify Request List* IE, based on the *QoS Flow Level QoS Parameters* IE, the NG-RAN node may establish, modify or release the DRB configuration and may change allocation of resources on NG or Uu accordingly. The NG-RAN node shall associate each QoS flow accepted to setup or modify with a DRB of the PDU session. The associated DRB for the QoS flow accepted to modify may not change.

- For each QoS flow, if the *Redundant QoS Flow Indicator* IE is included, the NG-RAN node shall, if supported, store it and consider it for the redundant transmission as specified in TS 23.501 [9].

- For each QoS flow included in the *QoS Flow Add or Modify Request List* IE, if the *QoS Flow Add or Modify Request Item* IE is included for an existing *QoS Flow Identifier* IE, the NG-RAN node shall overwrite the content of the full *QoS Flow Add or Modify Request Item* IE.

- For each QoS flow included in the *QoS Flow to Release List* IE, the NG-RAN node shall de-associate the QoS flow with the previously associated DRB.

- If the *NAS-PDU* IE is received for the PDU session, the NG-RAN node shall pass it to the UE when modifying the Data Radio Bearer configuration. The NG-RAN node does not send the NAS PDU received for the PDU session when all the QoS flows to be added or modified are failed and no QoS flow was requested to be released, even if e.g. the NG-U UP TNL modification is successful.

- The NG-RAN node may change allocation of resources on NG according to the requested target configuration.

- If the *PDU Session Aggregate Maximum Bit Rate* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall store and use the received PDU Session Aggregate Maximum Bit Rate value when enforcing traffic policing for Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

- If the *UL NG-U UP TNL Information* IE in the *UL NG-U UP TNL Modify List* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall update the transport layer information for the uplink data accordingly for the concerned transport bearers identified by the *DL NG-U UP TNL Information* IE included in the *PDU Session Resource Modify Request Transfer* IE for the concerned PDU session.

- If the *Additional UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for an additional NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall indicate these QoS flows in the *Additional DL QoS Flow per TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE. In case the *Additional DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional UL NG-U UP TNL information as available again.

- In case more than one NG-U transport bearers have been set up for the PDU session, if all the QoS flows associated to one existing NG-U transport bearer are included in the *QoS Flow to Release List* IE in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node and 5GC shall consider that the concerned NG-U transport bearer is removed for the PDU session, and both NG-RAN node and 5GC shall therefore consider the related NG-U UP TNL information as available again.

- If the *Redundant UL NG-U UP TNL Information* IE within the *UL NG-U UP TNL Modify List* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall, if supported, update the transport layer information for the uplink data accordingly for the concerned transport bearer identified by the *Redundant DL NG-U UP TNL Information* IE included in the *PDU Session Resource Modify Request Transfer* IE for the concerned PDU session.

- If the *Additional Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for an additional redundant NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall, if supported, indicate these QoS flows in the *Additional Redundant DL QoS Flow per TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE. In case the *Additional Redundant DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional Redundant UL NG-U UP TNL information as available again.

- If the *Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for aredundant NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall, if supported, indicate the corresponding NG-RAN endpoint of this NG-U transport bearer in the *Redundant DL NG-U UP TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE.

For each QoS flow which has been successfully added or modified, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [9]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall store this information and, if supported, use it for RAN part delay reporting.

The NG-RAN node shall report to the AMF, in the PDU SESSION RESOURCE MODIFY RESPONSE message, the result for each PDU session requested to be modified listed in the PDU SESSION RESOURCE MODIFY REQUEST message:

- For each PDU session which is successfully modified, the *PDU Session Resource Modify Response Transfer* IE shall be included containing:

1. The list of QoS flows which have been successfully setup or modified, if any, in the *QoS Flow Add or Modify Response List* IE in case the PDU Session Resource Modify procedure is triggered by QoS flow setup or modification.

2. The list of QoS flows which have failed to be setup or modified, if any, in the *QoS Flow Failed to Add or Modify List* IE in case the PDU Session Resource Modify procedure is triggered by QoS flow setup or modification.

- For each PDU session which failed to be modified, the *PDU Session Resource Modify Unsuccessful Transfer* IE shall be included containing the failure cause.

- For each PDU session, if the *DL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall be considered by the SMF as the new DL transport layer address for the PDU session. The NG-RAN also may indicate the mapping between each new DL transport layer address and the corresponding UL transport layer address assigned by the 5GC.

- For each PDU session, if the *Additional NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall, if supported, be considered by the SMF as the new DL transport layer address(es) for the PDU session. The NG-RAN also may indicate the mapping between each new DL transport layer address and the corresponding UL transport layer address assigned by the 5GC.

- For each PDU session, if the *Additional Redundant NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall, if supported, be considered by the SMF as the new DL transport layer address(es) for the PDU session for the redundant transmission. The NG-RAN also may indicate the mapping between each new redundant DL transport layer address and the corresponding redundant UL transport layer address assigned by the 5GC.

Upon reception of the PDU SESSION RESOURCE MODIFY RESPONSE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *PDU Session Resource Modify Response Transfer* IE or *PDU Session Resource Modify Unsuccessful Transfer* IE to each SMF associated with the concerned PDU session.

The NG-RAN node shall, if supported, report in the PDU SESSION RESOURCE MODIFY RESPONSE message location information of the UE in the *User Location Information* IE.

For a PDU session or a QoS flow which failed to be modified, the NG-RAN node shall fall back to the configuration of the PDU session or the QoS flow as it was configured prior to the reception of the PDU SESSION RESOURCE MODIFY REQUEST message.

Upon reception of the PDU SESSION RESOURCE MODIFY REQUEST message to setup a QoS flow for IMS voice, if the NG-RAN node is not able to support IMS voice, the NG-RAN node shall initiate EPS fallback or RAT fallback for IMS voice procedure as specified in TS 23.501 [9] and report unsuccessful establishment of the QoS flow in the *PDU Session Resource Modify Response Transfer* IE or in the *PDU Session Resource Modify Unsuccessful Transfer* IE with cause value "IMS voice EPS fallback or RAT fallback triggered".

If the *User Location Information* IE is included in the PDU SESSION RESOURCE MODIFY RESPONSE message, the AMF shall handle this information as specified in TS 23.501 [9].

#### 9.3.4.3 PDU Session Resource Modify Request Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| PDU Session Aggregate Maximum Bit Rate | O |  | 9.3.1.102 |  | YES | reject |
| **UL NG-U UP TNL Modify List** |  | *0..1* |  |  | YES | reject |
| **>UL NG-U UP TNL Modify Item** |  | *1..<maxnoofMultiConnectivity>* |  |  | - |  |
| >>UL NG-U UP TNL Information | M |  | UP Transport Layer Information9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs. | - |  |
| >>DL NG-U UP TNL Information | M |  | UP Transport Layer Information9.3.2.2 | Identifies the NG-U transport bearer at the NG-RAN node. | - |  |
| >>Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs for the redundant transmission. This IE is included only for modification of an existing redundant tunnel. | YES | ignore |
| >>Redundant DL NG-U UP TNL Information | O |  | UP Transport Layer Information9.3.2.2 | Identifies the NG-U transport bearer at the NG-RAN node for the redundant transmission. | YES | ignore |
| Network Instance | O |  | 9.3.1.113 | This IE is ignored if the *Common Network Instance* IE is included. | YES | reject |
| **QoS Flow Add or Modify Request List** |  | *0..1* |  |  | YES | reject |
| **>QoS Flow Add or Modify Request Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| >>QoS Flow Level QoS Parameters | O |  | 9.3.1.12 |  | - |  |
| >>E-RAB ID | O |  | 9.3.2.3 |  | - |  |
| >>TSC Traffic Characteristics | O |  | 9.3.1.130 | This IE may be present in case of GBR QoS flows and is ignored otherwise. | YES | ignore |
| >>Redundant QoS Flow Indicator | O |  | 9.3.1.134 | This IE indicates whether this QoS flow is requested for the redundant transmission. | YES | ignore |
| QoS Flow to Release List | O |  | QoS Flow List with Cause9.3.1.13 |  | YES | reject |
| Additional UL NG-U UP TNL Information | O |  | UP Transport Layer Information List9.3.2.12 | UPF endpoint of the additional NG-U transport bearer(s) proposed for delivery of UL PDUs for split PDU session. | YES | reject |
| Common Network Instance | O |  | 9.3.1.120 |  | YES | ignore |
| Additional Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information List9.3.2.12 | UPF endpoint of the additional NG-U transport bearer(s) proposed for delivery of redundant UL PDUs for split PDU session. | YES | ignore |
| Redundant Common Network Instance | O |  | Common Network Instance9.3.1.120 |  | YES | ignore |
| Redundant UL NG-U UP TNL Information  | O |  | UP Transport Layer Information9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs for the redundant transmission of the Redundant QoS Flow(s). | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |
| maxnoofMultiConnectivity | Maximum no. of connectivity allowed for a UE. Value is 4. The current version of the specification supports up to 2 connectivity. |

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Skip to the next change

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

### 9.4.5 Information Element Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

NGAP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) ngap (1) version1 (1) ngap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

 id-AdditionalDLForwardingUPTNLInformation,

 id-AdditionalULForwardingUPTNLInformation,

 id-AdditionalDLQosFlowPerTNLInformation,

 id-AdditionalDLUPTNLInformationForHOList,

 id-AdditionalNGU-UP-TNLInformation,

 id-AdditionalRedundantDL-NGU-UP-TNLInformation,

 id-AdditionalRedundantDLQosFlowPerTNLInformation,

 id-AdditionalRedundantNGU-UP-TNLInformation,

 id-AdditionalRedundantUL-NGU-UP-TNLInformation,

 id-AdditionalUL-NGU-UP-TNLInformation,

 id-AlternativeQoSParaSetList,

 id-Cause,

 id-CNPacketDelayBudgetDL,

 id-CNPacketDelayBudgetUL,

 id-CNTypeRestrictionsForEquivalent,

 id-CNTypeRestrictionsForServing,

 id-CommonNetworkInstance,

 id-ConfiguredTACIndication,

 id-CurrentQoSParaSetIndex,

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Skip the next change

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- P

PacketDelayBudget ::= INTEGER (0..1023, ...)

PacketErrorRate ::= SEQUENCE {

 pERScalar INTEGER (0..9, ...),

 pERExponent INTEGER (0..9, ...),

 iE-Extensions ProtocolExtensionContainer { {PacketErrorRate-ExtIEs} } OPTIONAL,

 ...

}

PacketErrorRate-ExtIEs NGAP-PROTOCOL-EXTENSION ::= {

 ...

}

PacketLossRate ::= INTEGER (0..1000, ...)

PagingAssisDataforCEcapabUE ::= SEQUENCE {

 eUTRA-CGI EUTRA-CGI,

 coverageEnhancementLevel CoverageEnhancementLevel,

 iE-Extensions ProtocolExtensionContainer { { PagingAssisDataforCEcapabUE-ExtIEs} } OPTIONAL,

 ...

}

PagingAssisDataforCEcapabUE-ExtIEs NGAP-PROTOCOL-EXTENSION ::= {

 ...

}

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Skip the next change

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

PDUSessionResourceModifyRequestTransfer ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {PDUSessionResourceModifyRequestTransferIEs} },

 ...

}

PDUSessionResourceModifyRequestTransferIEs NGAP-PROTOCOL-IES ::= {

 { ID id-PDUSessionAggregateMaximumBitRate CRITICALITY reject TYPE PDUSessionAggregateMaximumBitRate PRESENCE optional }|

 { ID id-UL-NGU-UP-TNLModifyList CRITICALITY reject TYPE UL-NGU-UP-TNLModifyList PRESENCE optional }|

 { ID id-NetworkInstance CRITICALITY reject TYPE NetworkInstance PRESENCE optional }|

 { ID id-QosFlowAddOrModifyRequestList CRITICALITY reject TYPE QosFlowAddOrModifyRequestList PRESENCE optional }|

 { ID id-QosFlowToReleaseList CRITICALITY reject TYPE QosFlowListWithCause PRESENCE optional }|

 { ID id-AdditionalUL-NGU-UP-TNLInformation CRITICALITY reject TYPE UPTransportLayerInformationList PRESENCE optional }|

 { ID id-CommonNetworkInstance CRITICALITY ignore TYPE CommonNetworkInstance PRESENCE optional }|

 { ID id-AdditionalRedundantUL-NGU-UP-TNLInformation CRITICALITY ignore TYPE UPTransportLayerInformationList PRESENCE optional }|

 { ID id-RedundantCommonNetworkInstance CRITICALITY ignore TYPE CommonNetworkInstance PRESENCE optional }|

 { ID id-RedundantUL-NGU-UP-TNLInformation CRITICALITY ignore TYPE UPTransportLayerInformation PRESENCE optional },

 ...

}