3GPP TSG-RAN WG3 #111-e R3-21xxxx

**E-meeting, 25st January – 4th February 2021 Revise of R3-210310**

**Source: CATT**

**Title:** **(TP on SON for 38.473) TP on PRACH coordination for F1AP**

**Agenda Item:** **10.2.1.7**

**Document for:** **Discussion and decision**

**Introduction**

This is a TP based on R3-211170 “CB: # 1006\_SONMDT\_RACH - Summary of email discussion”.

**TP for TS 38.473**

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### 8.2.3 F1 Setup

#### 8.2.3.1 General

The purpose of the F1 Setup procedure is to exchange application level data needed for the gNB-DU and the gNB-CU to correctly interoperate on the F1 interface. This procedure shall be the first F1AP procedure triggered for the F1-C interface instance after a TNL association has become operational.

NOTE: If F1-C signalling transport is shared among multiple F1-C interface instances, one F1 Setup procedure is issued per F1-C interface instance to be setup, i.e. several F1 Setup procedures may be issued via the same TNL association after that TNL association has become operational.

The procedure uses non-UE associated signalling.

This procedure erases any existing application level configuration data in the two nodes and replaces it by the one received. This procedure also re-initialises the F1AP UE-related contexts (if any) and erases all related signalling connections in the two nodes like a Reset procedure would do.

#### 8.2.3.2 Successful Operation



Figure 8.2.3.2-1: F1 Setup procedure: Successful Operation

The gNB-DU initiates the procedure by sending a F1 SETUP REQUEST message including the appropriate data to the gNB-CU. The gNB-CU responds with a F1 SETUP RESPONSE message including the appropriate data.

The exchanged data shall be stored in respective node and used as long as there is an operational TNL association. When this procedure is finished, the F1 interface is operational and other F1 messages may be exchanged.

If the F1 SETUP REQUEST message contains the *gNB-DU Name* IE, the gNB-CU may use this IE as a human readable name of the gNB-DU.

If the F1 SETUP REQUEST message contains the *gNB-DU Served Cells List* IE, the gNB-CU shall take into account as specified in TS 38.401 [4].

For NG-RAN, the gNB-DU shall include the *gNB-DU System Information* IE and the *TAI Slice Support List* IE in the F1 SETUP REQUEST message.

The gNB-CU may include the *Cells to be Activated List* IE in the F1 SETUP RESPONSE message. The *Cells to be Activated List* IE includes a list of cells that the gNB-CU requests the gNB-DU to activate. The gNB-DU shall activate the cells included in the *Cells to be Activated List* IE and reconfigure the physical cell identity for cells for which the *NR PCI* IE is included.

If *Cells to be Activated List Item* IE is included in the F1 SETUP RESPONSE message, and the information for the cell indicated by the *NR CGI* IE includes the *IAB Info IAB-donor-CU* IE, the gNB-DU shall, if supported, apply the *IAB STC Info* IE therein to the indicated cell.

For NG-RAN, the gNB-CU shall include the *gNB-CU System Information* IE in the F1 SETUP RESPONSE message.

For NG-RAN, the gNB-DU may include the *RAN Area Code* IE in the F1 SETUP REQUEST message. The gNB-CU may use it according to TS 38.300 [6].

For NG-RAN, the gNB-CU may include *Available PLMN List* IE, and optionally also *Extended Available PLMN List* IE in the F1 SETUP RESPONSE message, if the available PLMN(s) are different from what gNB-DU has provided in F1 SETUP REQUEST message, gNB-DU shall take this into account and only broadcast the PLMN(s) included in the received Available PLMN list(s).

For NG-RAN, the gNB-CU may include *Available SNPN ID List* IE in the F1 SETUP RESPONSE message. If the available SNPN(s) are different from what gNB-DU has provided in F1 SETUP REQUEST message, gNB-DU shall take this into account and only broadcast the SNPN(s) included in the received Available SNPN ID list.

The *Latest* *RRC Version Enhanced* IE shall be included in the F1 SETUP REQUEST message and in the F1 SETUP RESPONSE message.

If in F1 SETUP REQUEST message, the *Cell Direction* IE is present, the gNB-CU should use it to understand whether the cell is for UL or DL only. If in F1 SETUP REQUEST message, the *Cell Direction* IE is omitted in the *Served Cell Information* IE it shall be interpreted as that the Cell Direction is Bi-directional.

If the *Intended TDD DL-UL Configuration IE* is present in the F1 SETUP REQUEST message, the receiving gNB-CU shall use the received information for Cross Link Interference management and/or NR-DC power coordination. The gNB-CU may merge the Intended TDD DL-UL Configuration information received from two or more gNB-DUs. The gNB-CU shall consider the received *Intended TDD DL-UL Configuration* content valid until reception of an update of the IE for the same cell(s).

If the *Aggressor gNB Set* ID IE is included in the *Served Cell Information* IE in the F1 SETUP REQUEST message, the gNB-CU shall, if supported, take it into account.

If the *Victim gNB Set* ID IE is included in the *Served Cell Information* IE in the F1 SETUP REQUEST message, the gNB-CU shall, if supported, take it into account.

If the F1 SETUP REQUEST message contains the *Transport Layer Address Info* IE, the gNB-CU shall, if supported, take into account for IPSec tunnel establishment.

If the F1 SETUP RESPONSE message contains the *Transport Layer Address Info* IE, the gNB-DU shall, if supported, take into account for IPSec tunnel establishment.

If the F1 SETUP RESPONSE message contains the *Uplink BH Non-UP Traffic Mapping* IE, the gNB-DU shall, if supported, consider the information therein for mapping of non-UP uplink traffic.

If the *BAP Address* IE is included in the F1 SETUP REQUEST, the receiving gNB-CU shall, if supported, consider the information therein for discovering the collocation of an IAB-DU and an IAB-MT.

If the F1 SETUP REQUEST message is received from an IAB-donor-DU, the gNB-CU shall, if supported, include the *BAP Address* IE in the F1 SETUP RESPONSE message.

If the F1 SETUP RESPONSE message contains the *BAP Address* IE, the gNB-DU shall, if supported, store the received BAP address and use it as specified in TS 38.340 [30].

If the *Cell Information Notification List* IE is present in the F1 SETUP RESPONSE message, the gNB-DU may store or update the information. If the *NR Cell PRACH Configuration* IE is present in the *Cell Information Notification Item* IE, the gNB-DU may store or update the information and use it to adjust the PRACH configuration of its serving cell.

Editor's note: It is FFS on:
1) whether the *Cell Information Notification List* is possible to be included in the F1 SETUP RESPONSE message,
2) whether it could be included only upon the gNB-DU’s request or can be “pushed” by the gNB-CU,
3) whether the gNB-DU should be possible to request, and if so, how to request.
The paragraph above may need to revise depending on the answer to the FFSs above.

#### 8.2.3.3 Unsuccessful Operation



Figure 8.2.3.3-1: F1 Setup procedure: Unsuccessful Operation

If the gNB-CU cannot accept the setup, it should respond with a F1 SETUP FAILURE and appropriate cause value.

If the F1 SETUP FAILURE message includes the *Time To Wait* IE, the gNB-DU shall wait at least for the indicated time before reinitiating the F1 setup towards the same gNB-CU.

#### 8.2.3.4 Abnormal Conditions

Not applicable.

### 8.2.4 gNB-DU Configuration Update

#### 8.2.4.1 General

The purpose of the gNB-DU Configuration Update procedure is to update application level configuration data needed for the gNB-DU and the gNB-CU to interoperate correctly on the F1 interface. This procedure does not affect existing UE-related contexts, if any. The procedure uses non-UE associated signalling.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: gNB-DU Configuration Update procedure: Successful Operation

The gNB-DU initiates the procedure by sending a GNB-DU CONFIGURATION UPDATE message to the gNB-CU including an appropriate set of updated configuration data that it has just taken into operational use. The gNB-CU responds with GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If an information element is not included in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall interpret that the corresponding configuration data is not changed and shall continue to operate the F1-C interface with the existing related configuration data.

The updated configuration data shall be stored in both nodes and used as long as there is an operational TNL association or until any further update is performed.

If g*NB-DU ID* IE is contained in the GNB-DU CONFIGURATION UPDATE message for a newly established SCTP association, the gNB-CU will associate this association with the related gNB-DU.

If *Served Cells To Add Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall add cell information according to the information in the *Served Cell Information IE*. For NG-RAN, the gNB-DU shall include the *gNB-DU System Information* IE.

If *Served Cells To Modify Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall modify information of cell indicated by *Old* *NR CGI* IE according to the information in the *Served Cell Informatio*n IE and overwrite the served cell information for the affected served cell. Further, if the *gNB-DU System Information* IE is present the gNB-CU shall store and replace any previous information received.

If *Served Cells To Delete Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall delete information of cell indicated by *Old* *NR CGI* IE.

If *Cells Status Item* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall update the information about the cells, as described in TS 38.401 [4]. If if the *Switching Off Ongoing* IE is present in the *Cells Status Item* IE, contained in the GNB-DU CONFIGURATION UPDATE message, and the corresponding *Service State IE* is set to “Out-of-Service”, the gNB-CU shall ignore the *Switching Off Ongoing* IE.

If *Cells to be Activated List Item* IE is contained in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall activate the cell indicated by *NR CGI* IE and reconfigure the physical cell identity for cells for which the *NR PCI* IE is included.

If *Cells to be* *Activated List Item* IE is contained in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message and the indicated cells are already activated, the gNB-DU shall update the cell information received in *Cells to be Activated List Item* IE.

If *Cells to be Activated List Item* IE is included in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, and the information for the cell indicated by the *NR CGI* IE includes the *IAB Info IAB-donor-CU* IE, the gNB-DU shall, if supported, apply the *IAB STC Info* IE therein to the indicated cell.

If *Cells to be Deactivated List Item* IE is contained in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall deactivate all the cells with NR CGI listed in the IE.

If *Dedicated SI Delivery Needed UE List* IE is contained in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU should take it into account when informing the UE of the updated system information via the dedicated RRC message.

For NG-RAN, the gNB-CU shall include the *gNB-CU System Information* IE in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message. The *SIB type to Be Updated List* IE shall contain the full list of SIBs to be broadcast*.*

For NG-RAN, the gNB-DU may include the *RAN Area Code* IE in the GNB-DU CONFIGURATION UPDATE message. The gNB-CU shall store and replace any previously provided *RAN Area Code* IE by the received *RAN Area Code* IE.

If *Available PLMN List* IE, and optionally also *Extended Available PLMN List* IE, is contained in GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall overwrite the whole available PLMN list and update the corresponding system information.

If *Available SNPN ID List* IE is contained in GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU shall overwrite the whole available SNPN ID list and update the corresponding system information.

If in GNB-DU CONFIGURATION UPDATE message, the *Cell Direction* IE is present, the gNB-CU should use it to understand whether the cell is for UL or DL only. If in GNB-DU CONFIGURATION UPDATE message, the *Cell Direction* IE is omitted in the *Served Cell Information* IE it shall be interpreted as that the Cell Direction is Bi-directional.

If the GNB-DU CONFIGURATION UPDATE message includes *gNB-DU TNL Association To Remove List* IE, and the *Endpoint IP address* IE and the *Port Number* IE for both TNL endpoints of the TNL association(s) are included in the *gNB-DU TNL Association To Remove List* IE, the gNB-CU shall, if supported, consider that the TNL association(s) indicated by both received TNL endpoints will be removed by the gNB-DU. If the *Endpoint IP address* IE, or the *Endpoint IP address* IE and the *Port Number* IE for one or both of the TNL endpoints is included in the *gNB-DU TNL Association To Remove List* IE in GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, consider that the TNL association(s) indicated by the received endpoint IP address(es) will be removed by the gNB-DU.

If the *Intended TDD DL-UL Configuration* IE is present in the GNB-DU CONFIGURATION UPDATE message, the receiving gNB-CU shall use the received information for Cross Link Interference management and/or NR-DC power coordination. The gNB-CU may merge the Intended TDD DL-UL Configuration information received from two or more gNB-DUs. The gNB-CU shall consider the received *Intended TDD DL-UL Configuration* IE content valid until reception of an update of the IE for the same cell(s).

If the *Aggressor gNB Set* ID IE is included in the *Served Cell Information* IE in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, take it into account.

If the *Victim gNB Set* ID IE is included in the *Served Cell Information* IE in the GNB-DU CONFIGURATION UPDATE message, the gNB-CU shall, if supported, take it into account.

If the GNB-DU CONFIGURATION UPDATE message includes *Transport Layer Address Info* IE, the gNB-CU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message includes *Transport Layer Address Info* IE, the gNB-DU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message contains the *Uplink BH Non-UP Traffic Mapping* IE, the gNB-DU shall, if supported, consider the information therein for mapping of non-UP uplink traffic.

If the *Cell Information Notification List* IE is present in the GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-DU may store or update the information. If the *NR Cell PRACH Configuration* IE is present in the *Cell Information Notification Item* IE, the gNB-DU may store or update the information and use it to adjust the PRACH configuration of its serving cell.

Editor's note: It is FFS on:
1) whether it could be included only upon the gNB-DU’s request or can be “pushed” by the gNB-CU,
2) whether the gNB-DU should be possible to request, and if so, how to request.
The paragraph above may need to revise depending on the answer to the FFSs above.

#### 8.2.4.3 Unsuccessful Operation



Figure 8.2.4.3-1: gNB-DU Configuration Update procedure: Unsuccessful Operation

If the gNB-CU cannot accept the update, it shall respond with a GNB-DU CONFIGURATION UPDATE FAILURE message and appropriate cause value.

If the GNB-DU CONFIGURATION UPDATE FAILURE message includes the *Time To Wait* IE, the gNB-DU shall wait at least for the indicated time before reinitiating the GNB-DU CONFIGURATION UPDATE message towards the same gNB-CU.

#### 8.2.4.4 Abnormal Conditions

 Not applicable.

### 8.2.5 gNB-CU Configuration Update

#### 8.2.5.1 General

The purpose of the gNB-CU Configuration Update procedure is to update application level configuration data needed for the gNB-DU and gNB-CU to interoperate correctly on the F1 interface. This procedure does not affect existing UE-related contexts, if any. The procedure uses non-UE associated signalling.

#### 8.2.5.2 Successful Operation



Figure 8.2.5.2-1: gNB-CU Configuration Update procedure: Successful Operation

The gNB-CU initiates the procedure by sending a GNB-CU CONFIGURATION UPDATE message including the appropriate updated configuration data to the gNB-DU. The gNB-DU responds with a GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If an information element is not included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall interpret that the corresponding configuration data is not changed and shall continue to operate the F1-C interface with the existing related configuration data.

The updated configuration data shall be stored in the respective node and used as long as there is an operational TNL association or until any further update is performed.

If *Cells to be Activated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall activate the cell indicated by *NR CGI* IE and reconfigure the physical cell identity for which the *NR PCI* IE is included.

If *Cells to be Deactivated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall deactivate the cell indicated by *NR CGI* IE.

If *Cells to be Activated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message and the indicated cells are already activated, the gNB-DU shall update the cell information received in *Cells to be Activated List Item* IE.

If *Cells to be Activated List Item* IE is included in the GNB-CU CONFIGURATION UPDATE message, and the information for the cell indicated by the *NR CGI* IE includes the *IAB Info IAB-donor-CU* IE, the gNB-DU shall, if supported, apply the *IAB STC Info* IE therein to the indicated cell.

If the *gNB-CU System Information* IE is contained in the gNB-CU CONFIGURATION UPDATE message, the gNB-DU shall include the *Dedicated SI Delivery Needed UE List* IE in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message for UEs that are unable to receive system information from broadcast.

If *Dedicated SI Delivery Needed UE List* IE is contained in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-CU should take it into account when informing the UE of the updated system information via the dedicated RRC message.

If the *gNB-CU TNL Association To Add List* IE is contained in the gNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, use it to establish the TNL association(s) with the gNB-CU. The gNB-DU shall report to the gNB-CU, in the gNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the successful establishment of the TNL association(s) with the gNB-CU as follows:

- A list of TNL address(es) with which the gNB-DU successfully established the TNL association shall be included in the gNB-CU *TNL Association Setup List* IE;

- A list of TNL address(es) with which the gNB-DU failed to establish the TNL association shall be included in the *gNB-CU TNL Association Failed To Setup List* IE.

If the GNB-CU CONFIGURATION UPDATE message includes *gNB-CU TNL Association To Remove List* IE, and the *Endpoint IP address* IE and the *Port Number* IE for both TNL endpoints of the TNL association(s) are included in the *gNB-CU TNL Association To Remove List* IE, the gNB-DU shall, if supported, initiate removal of the TNL association(s) indicated by both received TNL endpoints towards the gNB-CU. If the *Endpoint IP address* IE, or the *Endpoint IP address* IE and the *Port Number* IE for one or both of the TNL endpoints is included in the *gNB-CU TNL Association To Remove List* IE, the gNB-DU shall, if supported, initiate removal of the TNL association(s) indicated by the received endpoint IP address(es).

If the *gNB-CU TNL Association To Update List* IE is contained in the gNB-CU CONFIGURATION UPDATE message the gNB-DU shall, if supported, overwrite the previously stored information for the related TNL Association(s).

If in the gNB-CU CONFIGURATION UPDATE message the *TNL* *Association usage* IE is included in the *gNB-CU TNL Association To Add List* IE or the *gNB-CU TNL Association To Update List* IE, the gNB-DU node shall, if supported, use it as described in TS 38.472 [22].

For NG-RAN, the gNB-CU shall include the *gNB-CU System Information* IE in the GNB-CU CONFIGURATION UPDATE message. The *SIB type to Be Updated List* IE shall contain the full list of SIBs to be broadcast*.*

If *Protected E-UTRA Resources List* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall protect the corresponding resource of the cells indicated by *E-UTRA Cells* *List* IE for spectrum sharing between E-UTRA and NR.

If the GNB-CU CONFIGURATION UPDATE message contains the *Protected E-UTRA Resource Indication* IE, the receiving gNB-DU should forward it to lower layers and use it for cell-level resource coordination. The gNB-DU shall consider the received *Protected E-UTRA Resource Indication* IE when expressing its desired resource allocation during gNB-DU Resource Coordination procedure. The gNB-DU shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same gNB-DU.

If *Available PLMN List* IE, and optionally also *Extended Available PLMN List* IE, is contained in GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall overwrite the whole available PLMN list and update the corresponding system information.

If *Available SNPN ID List* IE is contained in GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall overwrite the whole available SNPN ID list and update the corresponding system information.

If *Cells Failed to be Activated Item* IE is contained in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-CU shall consider that the indicated cells are out-of-service as defined in TS 38.401 [4].

If the *Neighbour Cell Information List* IE is present in the GNB-CU CONFIGURATION UPDATE message, the receiving gNB-DU shall use the received information for Cross Link Interference management and/or NR-DC power coordination. The gNB-DU shall consider the received *Neighbour Cell Information List* IE content valid until reception of an update of the IE for the same cell(s). If the *Intended TDD DL-UL Configuration NR* IE is absent from the *Neighbour Cell Information List* IE, whereas the corresponding *NR CGI* IE is present, the receiving gNB-DU shall remove the previously stored *Neighbour Cell Information* IE corresponding to the NR CGI.

If the GNB-CU CONFIGURATION UPDATE message includes *Transport Layer Address Info* IE, the gNB-DU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message includes *Transport Layer Address Info* IE, the gNB-CU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-CU CONFIGURATION UPDATE message contains the *Uplink BH Non-UP Traffic Mapping* IE, the gNB-DU shall, if supported, consider the information therein for mapping of non-UP uplink traffic.

If the *IAB Barred* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, consider it as an indication of whether the cell allows IAB-node access or not.

If the *Cell Information Notification List* IE is present in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU may store or update the information. If the *NR Cell PRACH Configuration* IE is present in the *Cell Information Notification Item* IE, the gNB-DU may store or update the information and use it to adjust the PRACH configuration of its serving cell.

Editor's note: It is FFS on when a gNB-CU can include the *Cell Information Notification List* IE within GNB-CU CONFIGURATION UPDATE message, e.g. what node to trigger. The paragraph above may need to revise depending on the answer.

#### 8.2.5.3 Unsuccessful Operation



Figure 8.2.5.3-1: gNB-CU Configuration Update: Unsuccessful Operation

If the gNB-DU cannot accept the update, it shall respond with a GNB-CU CONFIGURATION UPDATE FAILURE message and appropriate cause value.

If the GNB-CU CONFIGURATION UPDATE FAILURE message includes the *Time To Wait* IE, the gNB-CU shall wait at least for the indicated time before reinitiating the GNB-CU CONFIGURATION UPDATE message towards the same gNB-DU.

#### 8.2.5.4 Abnormal Conditions

Not applicable.

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#### 9.2.1.5 F1 SETUP RESPONSE

This message is sent by the gNB-CU to transfer information associated to an F1-C interface instance.

Direction: gNB-CU → gNB-DU

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| Transaction ID | M |  | 9.3.1.23 |  | YES | reject |
| gNB-CU Name | O |  | PrintableString(SIZE(1..150,...)) | Human readable name of the gNB-CU.  | YES | ignore |
| **Cells to be Activated List** |  | *0.. 1* |  |  | YES | reject |
| **>Cells to be Activated List Item** |  | *1.. <maxCellingNBDU>* |  | List of cells to be activated | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| >> NR PCI  | O |  | INTEGER (0..1007) | Physical Cell ID | - |  |
| >>gNB-CU System Information | O |  | 9.3.1.42 | RRC container with system information owned by gNB-CU  | YES | reject |
| >>Available PLMN List | O |  | 9.3.1.65 |  | YES | ignore |
| >>Extended Available PLMN List | O |  | 9.3.1.76 | This is included if *Available PLMN List* IE is included and if more than 6 Available PLMNs is to be signalled.  | YES | ignore |
| >>IAB Info IAB-donor-CU | O |  | 9.3.1.105 | IAB-related configuration sent by the IAB-donor-CU. | YES | ignore |
| >>Available SNPN ID List | O |  | 9.3.1.163 | Indicates the available SNPN ID list.If this IE is included, the content of the *Available PLMN List* IE and *Extended Available PLMN List* IE if present in the *Cells to be Activated List Item* IE is ignored. | YES | ignore |
| gNB-CU RRC version  | M |  | RRC version 9.3.1.70 |  | YES | reject |
| Transport Layer Address Info | O |  | 9.3.2.5 |  | YES | ignore |
| Uplink BH Non-UP Traffic Mapping | O |  | 9.3.1.103 |  | YES | reject |
| BAP Address | O |  | 9.3.1.111 | Indicates a BAP address assigned to the IAB-donor-DU. | YES | ignore |
| Cell Information Notification List | O |  | 9.3.1.x1 |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxCellingNBDU | Maximum no. cells that can be served by a gNB-DU. Value is 512. |

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#### 9.2.1.8 GNB-DU CONFIGURATION UPDATE ACKNOWLEDGE

This message is sent by a gNB-CU to a gNB-DU to acknowledge update of information associated to an F1-C interface instance.

NOTE: If F1-C signalling transport is shared among several F1-C interface instances, this message may transfer updated information associated to several F1-C interface instances.

Direction: gNB-CU → gNB-DU

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| Transaction ID | M |  | 9.3.1.23 |  | YES | reject |
| **Cells to be Activated List** |  | *0.. 1* |  | List of cells to be activated | YES | reject |
| **>Cells to be Activated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| >> NR PCI  | O |  | INTEGER (0..1007) | Physical Cell ID | - |  |
| >> gNB-CU System Information | O |  | 9.3.1.42 | RRC container with system information owned by gNB-CU | YES | reject |
| >>Available PLMN List | O |  | 9.3.1.65 |  | YES | ignore |
| >>Extended Available PLMN List | O |  | 9.3.1.76 | This is included if *Available PLMN List* IE is included and if more than 6 Available PLMNs is to be signalled. | YES | ignore |
| >>IAB Info IAB-donor-CU | O |  | 9.3.1.105 | IAB-related configuration sent by the IAB-donor-CU. | YES | ignore |
| >>Available SNPN ID List | O |  | 9.3.1.163 | Indicates the available SNPN ID list.If this IE is included, the content of the *Available PLMN List* IE and *Extended Available PLMN List* IE if present in the *Cells to be Activated List Item* IE is ignored. | YES | ignore |
| Criticality Diagnostics | O |  | 9.3.1.3 |  | YES | ignore |
| **Cells to be Deactivated List** |  | *0.. 1* |  | List of cells to be deactivated | YES | reject |
| **>Cells to be Deactivated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - | - |
| Transport Layer Address Info | O |  | 9.3.2.5 |  | YES | ignore |
| Uplink BH Non-UP Traffic Mapping | O |  | 9.3.1.103 |  | YES | reject |
| Cell Information Notification List | O |  | 9.3.1.x1 |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxCellingNBDU | Maximum no. cells that can be served by a gNB-DU. Value is 512. |

////////////////////////////////////////////////////////////////////////skip unrelated text////////////////////////////////////////////////////////////////////////

#### 9.2.1.10 GNB-CU CONFIGURATION UPDATE

This message is sent by the gNB-CU to transfer updated information associated to an F1-C interface instance.

NOTE: If F1-C signalling transport is shared among several F1-C interface instances, this message may transfer updated information associated to several F1-C interface instances.

Direction: gNB-CU → gNB-DU

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| Transaction ID | M |  | 9.3.1.23 |  | YES | reject |
| **Cells to be Activated List** |  | *0..1* |  | List of cells to be activated or modified | YES | reject |
| **>Cells to be Activated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| >> NR PCI  | O |  | INTEGER (0..1007) | Physical Cell ID | - |  |
| >> gNB-CU System Information | O |  | 9.3.1.42 | RRC container with system information owned by gNB-CU | YES | reject |
| >>Available PLMN List | O |  | 9.3.1.65 |  | YES | ignore |
| >>Extended Available PLMN List | O |  | 9.3.1.76 | This is included if *Available PLMN List* IE is included and if more than 6 Available PLMNs is to be signalled. | YES | ignore |
| >>IAB Info IAB-donor-CU | O |  | 9.3.1.105 | IAB-related configuration sent by the IAB-donor-CU. | YES | ignore |
| >>Available SNPN ID List | O |  |  | Indicates the available SNPN ID list.If this IE is included, the content of the *Available PLMN List* IE and *Extended Available PLMN List* IE if present in the *Cells to be Activated List Item* IE is ignored. | YES | ignore |
| **Cells to be Deactivated List** |  | *0..1* |  | List of cells to be deactivated | YES | reject |
| **>Cells to be Deactivated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| **gNB-CU TNL Association To Add List**  |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Add Item IEs** |  | *1..<maxnoofTNLAssociations>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Information | M |  | CP Transport Layer Address9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Usage | M |  | ENUMERATED (ue, non-ue, both, ...) | Indicates whether the TNL association is only used for UE-associated signalling, or non-UE-associated signalling, or both. For usage of this IE, refer to TS 38.472 [22]. | - |  |
| **gNB-CU TNL Association To Remove List**  |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Remove Item IEs** |  | *1..<maxnoofTNLAssociation>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Transport Layer Address gNB-DU | O |  | CP Transport Layer Address9.3.2.4 | Transport Layer Address of the gNB-DU. | YES | reject |
| **gNB-CU TNL Association To Update List**  |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Update Item IEs** |  | *1..<maxnoofTNLAssociations>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Usage | O |  | ENUMERATED (ue, non-ue, both, ...) | Indicates whether the TNL association is only used for UE-associated signalling, or non-UE-associated signalling, or both. For usage of this IE, refer to TS 38.472 [22]. | - |  |
| **Cells to be barred List** |  | *0..1* |  | List of cells to be barred. | YES | ignore |
| **>Cells to be barred List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | ignore |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Cell Barred | M |  | ENUMERATED (barred, not-barred, ...) |  | - |  |
| >>IAB Barred | O |  | ENUMERATED (barred, not-barred, ...) |  | - |  |
| **Protected E-UTRA Resources List** |  | *0..1* |  | List of Protected E-UTRA Resources. | YES | reject |
| **>Protected E-UTRA Resources List Item** |  | *1.. <maxCellineNB>* |  |  | EACH | reject |
| >>Spectrum Sharing Group ID | M |  | INTEGER (1.. maxCellineNB) | Indicates the E-UTRA cells involved in resource coordination with the NR cells affiliated with the same Spectrum Sharing Group ID. | - |  |
| **>> E-UTRA Cells List** |  | *1* |  | List of applicable E-UTRA cells.  | - |  |
| **>>> E-UTRA Cells List Item** |  | *1 .. <maxCellineNB>* |  |  | - |  |
| >>>>EUTRA Cell ID | M |  | BIT STRING (SIZE(28)) | Indicates the E-UTRAN Cell Global Identifier as defined in subclause 9.2.14 in TS 36.423 [9]. | - |  |
| >>>>Served E-UTRA Cell Information | M |  | 9.3.1.64 |  | - |  |
| **Neighbour Cell Information List** |  | *0..1* |  |  | YES | ignore |
| **>Neighbour Cell Information List Item** |  | *1 .. <maxCellingNBDU>* |  |  | EACH | ignore |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Intended TDD DL-UL Configuration | O |  | 9.3.1.89 |  | - |  |
| Transport Layer Address Info | O |  | 9.3.2.5 |  | YES | ignore |
| Uplink BH Non-UP Traffic Mapping | O |  | 9.3.1.103 |  | YES | reject |
| Cell Information Notification List | O |  | 9.3.1.x1 |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxCellingNBDU | Maximum nunmerbs of cells that can be served by a gNB-DU. Value is 512. |
| maxnoofTNLAssociations | Maximum numbers of TNL Associations between the gNB-CU and the gNB-DU. Value is 32. |
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |

////////////////////////////////////////////////////////////////////////skip unrealted text////////////////////////////////////////////////////////////////////////

#### 9.3.1.x1 Cell Information Notification List

This IE used to deliver cell information.

Editor's note: IE name, structure, value range or presence may need refining.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE Type and Reference | Semantics Description |
| **Cell Information Notification Item** |  | *1 .. <FFS>* |  |  |
| >CHOICE *Cell Information Notification Item* | M |  |  |  |
| >>*NR* |  |  |  |  |
| >>>**NR Cell Information Notification Info** |  | *1* |  |  |
| >>>>NR CGI | M |  | 9.3.1.12 |  |
| >>>>Served Cell Neighbouring List | O |  | 9.3.1.x2 | A list of cells served by the gNB-DU that neighbours this nofiticated cell |
| >>>>NR Mode Info Rel16 | O |  | 9.3.1.x3 |  |
| >>>>SSB Positions In Burst | O |  | 9.3.1.138 |  |
| >>>>NR Cell PRACH Configuration | O |  | 9.3.1.139 |  |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| FFS | Value is FFS. |

#### 9.3.1.x2 Served Cell Neighbouring List

This IE contains the CGIs of a list of cells served by the gNB-DU and neighbouring to the concerned cell.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE Type and Reference | Semantics Description |
| **Served Cell Neighbouring Item** |  | *1 .. <maxnoofNeighbours>* |  |  |
| >NR CGI | M |  | 9.3.1.12 |  |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofNeighbours | Maximum no. of neighbour cells associated to a given served cell. Value is 1024. |

#### 9.3.1.x3 NR Mode Info Rel16

This IE contains the information of a NR cell which needs to be encoded differently for FDD and TDD.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** |
| CHOICE *NR-Mode-Info-Rel16* | M |  |  |  |
| *>FDD* |  |  |  |  |
| **>>FDD Info-Rel16** |  | *1* |  |  |
| >>>UL FreqInfo | O |  | NR Frequency Info9.3.1.17 |  |
| >>>UL Carrier List | O |  | NR Carrier List9.3.1.137 |  |
| *>TDD* |  |  |  |  |
| **>>TDD Info-Rel16** |  | *1* |  |  |
| >>>NR FreqInfo | O |  | NR Frequency Info9.3.1.17 |  |
| >>>TDD DL-UL Configuration Common NR | O |  | OCTET STRING | The *tdd-UL-DL-ConfigurationCommon* as defined in TS 38.331 [8] |
| >>>Carrier List | O |  | NR Carrier List9.3.1.137 |  |

////////////////////////////////////////////////////////////////////////skip unrelated text////////////////////////////////////////////////////////////////////////