**3GPP TSG-RAN Meeting # 110-e *R3-206165***

**2 - 12 November 2020**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.413** | **CR** | **0483** | **rev** | **1** | **Current version:** | **16.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network | **x** |

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|  | | | | | | | | | | |
| ***Title:*** | Correction of usage of the Extended Connected Time | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NB\_IOTenh3-Core, LTE\_eMTC5-Core | | | | |  | ***Date:*** | | | 2020-09-15 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | SA2 explained in a LS to RAN3 (S2-2006217) that “RAN3 can use Extended Connected Time (ECT) instead for the same or similar scenarios in 5GS. Based on this SA2 believes that Extended Connected Time (ECT) needs to be included in all the applicable NGAP handover messages”. However ECT is not currently included in the HANDOVER REQUEST message.  In addition, ECT has a value of 0 for which the RAN behaviour is not defined.  There is also an inconsistency in the use of “shall, if supported” for this IE (missing in Initial Context Setup). | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | ECT is added to the HANDOVER REQUEST message.  It is specified that an ECT value of “0” indicates that the CN is aware of impending data traffic, but does not request a minimum time before release.  In the procedural text for Initial Context Setup, “shall” is replaced by “shall, if supported” (for ECT).  Impact analysis:  This CR has isolated impact towards the previous version of the specification (same release) because it adds optional ECT functionality to handover preparation, and clarifies how to use the ECT value “0”.  The impact can be considered isolated because the change only affects the ECT functionality | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | ECT functionality is incomplete and inconsistent with stage 2. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.3.1.2, 8.4.2.2, 9.2.3.4, 9.3.3.31, 9.4.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: Initial context setup: successful operation

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If the *Enhanced Coverage Restriction* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Extended Connected Time* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

**>>> NEXT CHANGE <<<**

### 8.4.2 Handover Resource Allocation

#### 8.4.2.1 General

The purpose of the Handover Resource Allocation procedure is to reserve resources at the target NG-RAN node for the handover of a UE.

#### 8.4.2.2 Successful Operation



Figure 8.4.2.2-1: Handover resource allocation: successful operation

The AMF initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node.

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If the *CE-mode-B Restricted* IE is included in the HANDOVER REQUEST message and the *Enhanced Coverage Restriction* IE is not set to "restricted"and the Enhanced Coverage Restriction information stored in the UE context is not set to "restricted", the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Management Based MDT PLMN List* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the received information in the UE context, and use this information to allow subsequent selections of the UE for management based MDT defined in TS 32.422 [11].

If the HANDOVER REQUEST message contains the *UE Radio Capability ID* IE, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9] and TS 23.502 [10].

If the *DAPS Request Information* IE is included for a DRB in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE within the HANDOVER REQUEST message, the target NG-RAN node shall consider that the request concerns a DAPS Handover for that DRB, as described in in TS 38.300 [8]. The target NG-RAN node shall include the *DAPS Response information List* IE in the *Target NG-RAN Node to Source NG-RAN Node Transparent Container* IE within the HANDOVER REQUEST ACKNOWLEDGE message, containing the *DAPS Response Information* IE for each DRB requested to be configured with DAPS Handover.

If the *Extended Connected Time* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

**Interactions with RRC Inactive Transition Report procedure:**

If the *RRC Inactive Transition Report Request* IE is included in the HANDOVER REQUEST message and set to "subsequent state transition report", the NG-RAN node shall, if supported, send the RRC INACTIVE TRANSITION REPORT message to the AMF to report the RRC state of the UE when the UE enters or leaves RRC\_INACTIVE state.

**>>> NEXT CHANGE <<<**

#### 9.2.3.4 HANDOVER REQUEST

This message is sent by the AMF to the target NG-RAN node to request the preparation of resources.

Direction: AMF → NG-RAN node.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| Handover Type | M |  | 9.3.1.22 |  | YES | reject |
| Cause | M |  | 9.3.1.2 |  | YES | ignore |
| UE Aggregate Maximum Bit Rate | M |  | 9.3.1.58 |  | YES | reject |
| Core Network Assistance Information for RRC INACTIVE | O |  | 9.3.1.15 |  | YES | ignore |
| UE Security Capabilities | M |  | 9.3.1.86 |  | YES | reject |
| Security Context | M |  | 9.3.1.88 |  | YES | reject |
| New Security Context Indicator | O |  | 9.3.1.55 |  | YES | reject |
| NASC | O |  | NAS-PDU  9.3.3.4 | Refers to either the “Intra N1 mode NAS transparent container” or the “S1 mode to N1 mode NAS transparent container”, the details of the IE definition and the encoding arespecified in TS 24.501 [26]. | YES | reject |
| **PDU Session Resource Setup List** |  | *1* |  |  | YES | reject |
| **>PDU Session Resource Setup Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
| >>S-NSSAI | M |  | 9.3.1.24 |  | - |  |
| >>Handover Request Transfer | M |  | OCTET STRING | Containing the *PDU Session Resource Setup Request Transfer* IE specified in subclause 9.3.4.1. | - |  |
| Allowed NSSAI | M |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network. | YES | reject |
| Trace Activation | O |  | 9.3.1.14 |  | YES | ignore |
| Masked IMEISV | O |  | 9.3.1.54 |  | YES | ignore |
| Source to Target Transparent Container | M |  | 9.3.1.20 |  | YES | reject |
| Mobility Restriction List | O |  | 9.3.1.85 |  | YES | ignore |
| Location Reporting Request Type | O |  | 9.3.1.65 |  | YES | ignore |
| RRC Inactive Transition Report Request | O |  | 9.3.1.91 |  | YES | ignore |
| GUAMI | M |  | 9.3.3.3 |  | YES | reject |
| Redirection for Voice EPS Fallback | O |  | 9.3.1.116 |  | YES | ignore |
| CN Assisted RAN Parameters Tuning | O |  | 9.3.1.119 |  | YES | ignore |
| SRVCC Operation Possible | O |  | 9.3.1.128 |  | YES | ignore |
| IAB Authorized | O |  | 9.3.1.129 |  | YES | reject |
| Enhanced Coverage Restriction | O |  | 9.3.1.140 |  | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.144 |  | YES | ignore |
| NR V2X Services Authorized | O |  | 9.3.1.146 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.3.1.147 |  | YES | ignore |
| NR UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.3.1.148 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| LTE UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.3.1.149 | This IE applies only if the UE is authorized for LTE V2X services. | YES | ignore |
| PC5 QoS Parameters | O |  | 9.3.1.150 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| CE-mode-B Restricted | O |  | 9.3.1.155 |  | YES | ignore |
| UE User Plane CIoT Support Indicator | O |  | 9.3.1.160 |  | YES | ignore |
| Management Based MDT PLMN List | O |  | 9.3.1.168 |  | YES | ignore |
| UE Radio Capability ID | O |  | 9.3.1.142 |  | YES | reject |
| Extended Connected Time | O |  | 9.3.3.31 |  | YES | ignore |

**>>> NEXT CHANGE <<<**

#### 9.3.3.31 Extended Connected Time

This IE indicates the minimum time the RAN should keep the UE in RRC\_CONNECTED state regardless of inactivity, as defined in TS 23.501 [9].

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Extended Connected Time | M |  | INTEGER (0..255) | Minimum time the RAN should keep the UE in RRC\_CONNECTED state. Unit is second.  Value of “0” indicates that the AMF is aware of pending data traffic, but no specific time value is requested. |

**>>> NEXT CHANGE <<<**

### 9.4.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- PDU definitions for NGAP.

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

NGAP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) ngap (1) version1 (1) ngap-PDU-Contents (1) }

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- Handover Resource Allocation Elementary Procedure

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- HANDOVER REQUEST

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

HandoverRequest ::= SEQUENCE {

protocolIEs ProtocolIE-Container { {HandoverRequestIEs} },

...

}

HandoverRequestIEs NGAP-PROTOCOL-IES ::= {

{ ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory }|

{ ID id-HandoverType CRITICALITY reject TYPE HandoverType PRESENCE mandatory }|

{ ID id-Cause CRITICALITY ignore TYPE Cause PRESENCE mandatory }|

{ ID id-UEAggregateMaximumBitRate CRITICALITY reject TYPE UEAggregateMaximumBitRate PRESENCE mandatory }|

{ ID id-CoreNetworkAssistanceInformationForInactive CRITICALITY ignore TYPE CoreNetworkAssistanceInformationForInactive PRESENCE optional }|

{ ID id-UESecurityCapabilities CRITICALITY reject TYPE UESecurityCapabilities PRESENCE mandatory }|

{ ID id-SecurityContext CRITICALITY reject TYPE SecurityContext PRESENCE mandatory }|

{ ID id-NewSecurityContextInd CRITICALITY reject TYPE NewSecurityContextInd PRESENCE optional }|

{ ID id-NASC CRITICALITY reject TYPE NAS-PDU PRESENCE optional }|

{ ID id-PDUSessionResourceSetupListHOReq CRITICALITY reject TYPE PDUSessionResourceSetupListHOReq PRESENCE mandatory }|

{ ID id-AllowedNSSAI CRITICALITY reject TYPE AllowedNSSAI PRESENCE mandatory }|

{ ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

{ ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional }|

{ ID id-SourceToTarget-TransparentContainer CRITICALITY reject TYPE SourceToTarget-TransparentContainer PRESENCE mandatory }|

{ ID id-MobilityRestrictionList CRITICALITY ignore TYPE MobilityRestrictionList PRESENCE optional }|

{ ID id-LocationReportingRequestType CRITICALITY ignore TYPE LocationReportingRequestType PRESENCE optional }|

{ ID id-RRCInactiveTransitionReportRequest CRITICALITY ignore TYPE RRCInactiveTransitionReportRequest PRESENCE optional }|

{ ID id-GUAMI CRITICALITY reject TYPE GUAMI PRESENCE mandatory }|

{ ID id-RedirectionVoiceFallback CRITICALITY ignore TYPE RedirectionVoiceFallback PRESENCE optional }|

{ ID id-CNAssistedRANTuning CRITICALITY ignore TYPE CNAssistedRANTuning PRESENCE optional }|

{ ID id-SRVCCOperationPossible CRITICALITY ignore TYPE SRVCCOperationPossible PRESENCE optional }|

{ ID id-IAB-Authorized CRITICALITY reject TYPE IAB-Authorized PRESENCE optional }|

{ ID id-Enhanced-CoverageRestriction CRITICALITY ignore TYPE Enhanced-CoverageRestriction PRESENCE optional }|

{ ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional }|

{ ID id-NRV2XServicesAuthorized CRITICALITY ignore TYPE NRV2XServicesAuthorized PRESENCE optional }|

{ ID id-LTEV2XServicesAuthorized CRITICALITY ignore TYPE LTEV2XServicesAuthorized PRESENCE optional }|

{ ID id-NRUESidelinkAggregateMaximumBitrate CRITICALITY ignore TYPE NRUESidelinkAggregateMaximumBitrate PRESENCE optional }|

{ ID id-LTEUESidelinkAggregateMaximumBitrate CRITICALITY ignore TYPE LTEUESidelinkAggregateMaximumBitrate PRESENCE optional }|

{ ID id-PC5QoSParameters CRITICALITY ignore TYPE PC5QoSParameters PRESENCE optional }|

{ ID id-CEmodeBrestricted CRITICALITY ignore TYPE CEmodeBrestricted PRESENCE optional }|

{ ID id-UE-UP-CIoT-Support CRITICALITY ignore TYPE UE-UP-CIoT-Support PRESENCE optional }|

{ ID id-UERadioCapabilityID CRITICALITY reject TYPE UERadioCapabilityID PRESENCE optional }|

{ ID id-Extended-ConnectedTime CRITICALITY ignore TYPE Extended-ConnectedTime PRESENCE optional },

...

}