**3GPP TSG-RAN** **WG2 Meeting #114 electronic R2-21xxxxx**

**Online, 19 – 27 May, 2021**

**Source: Samsung**

**Title: Summary of [AT116-e][016][feMIMO] MAC CE impacts (Samsung)**

**Document for: Report and Decision**

**Agenda Item: 8.17.3**

# Introduction

This document summarizes the following email discussion.

* [AT116-e][016][feMIMO] MAC CE impacts (Samsung)

 Scope: Based on R2-2110962, R2-2110035, RAN LS’s and RAN1 progress. Do an initial review of impacts to MAC (MAC CEs) and related R2 work, collect initial comments, assess maturity and if possible Find Potential Agreements, identify points for online discussion, can also identify open issues.

 Intended outcome: Report

 Deadline: For online W1 Thursday

The intention of this offline discussion is reviewing impacts to MAC CEs on RAN1 LSes and their consequences/agreements. As results of this offline discussion, RAN2 will find Easy/Potential Agreements, identify points for online discussion, can also identify and capture open issues, and whether LS out is needed.

# Contact Points

Respondents to the email discussion are kindly asked to fill in the following table.

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| Company | Name | Email Address |
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| LGE | Hanul Lee | hanul.lee@lge.com |
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# Discussion:

## Uplink MAC CE enhancements for multi-TRP operations

Based on RAN1 agreements captured in [4], there are some issues regarding potential MAC CE enhancements/introduction. These issues are related to the uplink enhancement to enhance the reliability features for mTRP operation (e.g. PUCCH repetition, PUSCH repetition, etc.) which is listed in feMIMO WID [1]. In addition, some RRC impacts about uplink enhancements are provided in [5].

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| 1. Enhancement on the support for multi-TRP deployment, targeting both FR1 and FR2:
	1. Identify and specify features to improve reliability and robustness for channels other than PDSCH (that is, PDCCH, PUSCH, and PUCCH) using multi-TRP and/or multi-panel, with Rel.16 reliability features as the baseline
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Below summarized issues have been identified in [4] in terms of expected UL MA CE enhancements:

* + - 1. PUCCH related issues
				* Issue 1-1: How to enhance/design PUCCH spatial relation activation/deactivation MAC CE for mTRP PUCCH repetition
				* Issue 1-2: How to support per-TRP power control in FR1;
			2. PUSCH related issues
				* Issue 2-1: How to enhance/design pathloss reference RS update MAC CE for mTRP PUSCH repetition
				* Issue 2-2: How to enhance/design PHR reporting MAC CE for mTRP PUSCH repetition

### 3.1.1 PUCCH spatial relation activation/deactivation MAC CE for mTRP PUCCH repetition

RAN1 agreed to introduce the multi-TRP PUCCH repetition in Rel-17 (i.e. each PUCCH resource can be associated with one or two spatial relations and support simultaneous activation/deactivation of spatial relations in a PUCCH groups).

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| **RAN1#106-e Agreements****Agreement**For the grouping of PUCCH resources in Rel-17 multi-TRP PUCCH repetition schemes,* Support MAC-CE activating two spatial relation info’s (for FR2) for a group of PUCCH resources in a CC.
* Support MAC-CE activating two sets of power control parameters (for FR1) for a group of PUCCH resources in a CC.
* When the PUCCH resource is indicated with two spatial relation info’s or two sets of power control parameters (via a MAC-CE that activating two spatial relation info’s or a MAC-CE that activating two sets of power control parameters for a group of PUCCH resources, respectively), the other PUCCH resources in the group also get updated to have the same two spatial relation info’s or two sets of power control parameters.
* When the PUCCH resource is indicated with one spatial relation info or one set of power control parameters (via a MAC-CE that activating single spatial relation info or a MAC-CE that activating single set of power control parameters for a group of PUCCH resources, respectively), then the other PUCCH resources in the group also get updated to have the same spatial relation info or the same set of power control parameters.
* The signalling details are up to RAN2 to decide.
* Note: Impacts coming from coverage enhancement work item on associating PUCCH resource with repetition factor can be discussed separately
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As captured in above, some enhancements on “Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE” to support multi-TRP PUCCH repetition scheme seem required.

**Q1: Do you agree to enhance the “Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE” to support multi-TRP PUCCH repetition scheme in Rel-17?**

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| **Company name** | **Comments** |
| LGE | Yes, but we think it is enough not to apply the restriction in the current specification than to change the MAC CE format. The details are answered in Q2. |
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**Rapporteur summary**

**TBD**

In [4], there are two candidate approaches to support this feature:

1. Option 1: Introduce the new PUCCH spatial relation activation/deactivation MAC CE for mTRP PUCCH repetition.
2. Option 2: Revise the legacy “Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE” with additional fields (e.g. indicator for mTRP operation, additional Spatial Relation Info ID(s) for added TRP).
3. Option 3: Others

**Q2: If yes for Q1, which option is preferred to support PUCCH spatial relation activation/deactivation MAC CE for mTRP PUCCH repetition? (i.e. activate/deactivate one or two spatial relations for a group of PUCCH resources).**

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| **Company name** | **Option** | **Comments** |
| LGE | Option 3 | We think the reuse of legacy MAC CE is the simplest way.There is a restriction not to include PUCCH Resources within the same PUCCH Resource group in one MAC CE.* no other PUCCH Resources within the same PUCCH Resource group are indicated in the MAC CE.

If the restriction is not applied for the multi-TRP PUCCH repetition applicable UE, multiple PUCCH Resources within the same PUCCH Resource group can be included in one MAC CE, and multiple Spatial Relation Info can be included in the MAC CE, i.e., multiple Spatial Relation Info can be activated in the same PUCCH resource group by the MAC CE. |
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**Rapporteur summary**

**TBD**

### 3.1.2 Association between PUCCH and TRP for PUCCH multi-TRP enhancements in FR1

RAN1 agreed that the linking of PUCCH resource with two power control parameter sets is required in case of FR1 mTRP operation (i.e. spatial relation activation/deactivation) in Rel-17.

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| **RAN1#104-e Agreements****Agreement**For the case of multi-TRP, to support per-TRP power control in FR1, the linking of PUCCH resource with [one or] two power control parameter sets, the following is supported* MAC-CE indicates RRC IE that configures power control parameter sets (p0, pathloss RS ID, and a closed-loop index).
	+ The exact design of RRC IE is up to RAN2 but from RAN1 point of view, one possible example is to reuse *PUCCH-SpatialRelationInfo* except for the *referenceSignal*

Note: It is common understanding in RAN1 that one PUCCH resource can be linked to one power control parameter set. |

Based on explanation in [4], RAN1 also provides their view how to handle this issue, i.e. reuse *PUCCH-SpatialRelationInfo* IE except for the *referenceSignal* in case of FR1. It means the same MAC CE what used for FR2 could be used to activate/deactivate the spatial relation for FR1 as well. If RAN2 accept the suggested signling what RAN1 suggested, there would be no MAC CE issues but only have RRC impacts e.g. restrictions in field description. Or, the new MAC CE for spatial relation update (with power control) for FR1 case could be introduced.

**Q3: Which option is preferred to support spatial relation update (with power control) for FR1?**

1. Option 1: Reuse the legacy MAC CEs (Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE and MAC CE could be introduced as a result of Q1/Q2) to FR1 case as well.
2. Option 2: Introduce the new MAC CE(s) to support spatial relation update (with power control) for FR1 cases.
3. Option 3: Others

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| **Company name** | **Option** | **Comments** |
| LGE | Option 1 | In RAN1 agreement in RAN1#104-e, RAN1 has already given the example of what they think, i.e., *reuse PUCCH-SpatialRelationInfo except for the referenceSignal*. |
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**Rapporteur summary**

**TBD**

### 3.1.3 PHR reporting for mTRP PDSCH repetition

For PHR reporting related to mTRP PUSCH repetition, RAN1 agreed the below baseline:

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| **RAN1#106-e Agreements**AgreementFor PHR reporting related to M-TRP PUSCH repetition, support Option 4 as UE optional capability for a UE that supports mTRP PUSCH, * Option 4: Calculate two PHRs (at least corresponding to the CC that applies m-TRP PUSCH repetitions), each associated with a first PUSCH occasion to each TRP, and report two PHRs.

**RAN1#106bis-e Agreements****Agreement**If a UE does not support option 4 (Calculate two PHRs),* If the PHR reporting is actual PHR, the UE uses the set of power control parameters corresponding to a first (earliest) repetition that overlaps with the first slot in which the PUSCH that carries the PHR MAC-CE is transmitted.
* If the PHR reporting is virtual PHR, it is reported based on legacy procedures.
* Note: RAN2 may further discuss PHR triggering aspects related to mTRP PUSCH repetition
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Based on RAN1 agreements, there are two possibilities that UE reports PHR for mTRP PUSCH repetition.

1. If UE support PHR reporting related to mTRP PUSCH repetition (Calculate two PHRs)
2. If UE doesn’t support PHR reporting related to mTRP PUSCH repetition (Calculate two PHRs)

It seems RAN2 need to further discuss how to support PHR reporting related to mTRP PUSCH repetition because RAN1 already agreed the schems on PHR for mTRP PUSCH repetition.

**Q4: Do you agree to introduce the new MAC CE regarding PHR for mTRP PUSCH repetition?**

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| **Company name** | **Comments** |
| LGE | Yes. For "calculate two PHR" capable UE, we think the new MAC CE is essential. |
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**Rapporteur summary**

**TBD**

In [4], RAN2 is requested to discuss how to support PHR reporting related to mTRP PUSCH repetition, and provides some considerable issues as below:

* + - * + New MAC CE design including the function which TRP is applied for PHR reporting.
				+ How to handle if both MAC CEs are pending and UL grant is not large enough to accommodate both the MAC CEs.
* UE implementation
* one MAC CE have priority of the other (e.g. original PHR MAC CE has high priority)
* Network can indicate which TRP’s PHR has higher priority
	+ - * + Whether use legacy parameters (timer, threshold, etc.) or adding TRP specific parameters
				+ PHR triggering conditions

**Q5: If yes for Q4, do you agree the potential issues identified above? Please add further issues if you think necessary from RAN2 perspective.**

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| **Company name** | **Comments** |
| LGE | Agree with the design and handling of new MAC CE. However, we think the separate timer is not needed even TRP specific PHR is introduced, but RAN2 may ask RAN1 whether TRP specific threshold and TRP specific triggering condition are needed. |
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**Rapporteur summary**

**TBD**

### 3.1.4 Pathloss Reference RS update MAC CE for mTRP

In Rel-17, RAN1 has introduced PUSCH repetition for mTRP, so it is required to enhance PUSCH Pathloss Reference RS Update MAC CE to support mTRP. It needs to be indicated which TRP is applied for this MAC CE i.e. adding TRP indication or SRS resource set associated with TRP information.

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| **RAN1#104-e Agreements****Agreement**When MAC-CE indicates a PL-RS ID for one or more SRI IDs, it also indicates whether the SRI IDs are associated with the first or the second SRS resource set. |

In [4], two candidate approaches have been provided to support this feature:

1. Option 1: Introduce the new PUSCH Pathloss Reference RS Update MAC CE for mTRP PUSCH repetition.
2. Option 2: Revise the legacy PUSCH Pathloss Reference RS Update MAC CE with additional field to differentiate the TRP information.
3. Option 3: Others

**Q6: Which option is preferred to support Pathloss Reference RS update MAC CE for mTRP?**

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| **Company name** | **Comments** |
| LGE | Option 2. Currently, there are two R bit in PUSCH Pathloss Reference RS Update MAC CE. We think it would be simple to replace R bit to one indicator. |
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**Rapporteur summary**

**TBD**

## Other MAC CE enhancements

According to LSes [2][3] from RAN1, some MAC CE enhancements regarding inter-cell beam management are required, see relevant RAN1 responses:

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| **Question 1: RAN2 notes that WI objective 1 states " The same beam measurement/reporting mechanism will be reused for inter-cell mTRP "). RAN2 would like to understand if the entire inter-cell BM is also applicable to inter-cell mTRP? If not, which part is not applicable to mTRP and how does that work?****Answer 1**: Rel17 Inter-cell BM and inter-cell mTRP have common points but they are not entirely the same. The common and different points are as follows: they both use the same beam measurement/reporting mechanisms but they have different TCI signaling framework (beam indication) as inter-cell BM is based on Rel17 unified TCI while inter-cell mTRP is based on Rel15/16 TCI framework. For inter-cell BM, UE assumes that the UE-dedicated channels/RSs can be switched to a TRP with different PCI according to DCI/MAC-CE based unified TCI update; for inter-cell mTRP, UE assumes mDCI-mTRPbased multi-PDSCH reception.f) **TCI switching signalling:** Which signalling should be used for TCI switching for inter-cell beam management?**Answer 2.f**: Inter-cell beam management is going to use Rel-17 unified TCI signaling where RAN1 agreed that a MAC-CE activates one or multiple TCI states out of RRC configured TCI state pool. If multiple TCI states are activated, DCI selects one TCI state among activated ones. If only one TCI state is activated, the activated TCI state is also implicitly selected without further DCI indication. |

In addition, [5] provides the analysis on the User plane impact of inter-cell beam management but it seems there are no further MAC CE impacts other than above issues. One further required MAC CE would be mTRP BFR related MAC CE which has been discussed in other offline discussion.

We think the detail MAC CE discussion for both TCI update for inter-cell mTRP and mTRP BFR will be discussed in other offline discussion.

**Q7: Are there further MAC CEs to be introduced in Rel-17 other than both inter-cell mTRP and mTRP BFR MAC CEs?**

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| **Company name** | **Comments** |
| LGE | No. Not needed anything other than the new PHR and some enhancements mentioned above. |
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**Rapporteur summary**

**TBD**

# Conclusion

**TBD**

# Reference

1. RP-202024 Revised WID: Further enhancements on MIMO for NR, Samsung.
2. R2-2111214 LS Reply on inter-cell beam management and multi-TRP in Rel-17 (R1-2108717; contact: Nokia) RAN1 LS in Rel-17 NR\_feMIMO-Core To:RAN2 Cc: RAN4
3. R2-2109326 LS on Rel-17 inter-cell multi TRP (R1-2108633; contact: vivo) RAN1 LS in Rel-17 NR\_feMIMO-Core To:RAN2
4. R2-2110962 UL MAC CE enhancements for multi-TRP Samsung discussion Rel-17 NR\_feMIMO-Core.
5. R2-2110035 User plane impact of inter-cell beam management Apple discussion Rel-17 NR\_feMIMO-Core.
6. R2-2110341 On Rel-17 FeMIMO Ericsson Rel-17 NR\_feMIMO-Core.