**3GPP TSG- Meeting #**

**Electronic Meeting, 17th – 28th August 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **XXXX** | **rev** | **-** | **Current version:** | **16.1.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Adding a note for joint success and failure in crossRAT SL | | | | | | | | | |
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| ***Source to WG:*** | Ericsson, MediaTek Inc., OPPO, Samsung | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_V2X\_NRSL-Core | | | | |  | ***Date:*** | | | 2020-08-08 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | For the crossRAT SL feature, the NR RRCReconfiguration message (including sidelink-related fields) embedded in the E-UTRA RRCConnectionReconfiguration message does not receive a separate acknowledgment. The reconfiguration procedure operates as a normal E-UTRA reconfiguration procedure, meaning that the UE will send an E-UTRA RRCConnectionReconfigurationComplete message when it has successfully applied the new configuration, or trigger re-establishment if a problem occurs.  This means that a joint success/failure procedure is performed. However, this aspect needs to be clarified to avoid any misunderstanding. | | | | | | | | |
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| ***Summary of change:*** | | Section 5.3.5.3  - Added a note to clarify that in case of the NR RRCReconfiguration message (including sidelink-related fields) embedded in the E-UTRA RRCConnectionReconfiguration the UE does not need to build a separate complete message.  Section 5.3.5.5  - Added a note to clarify that the compliance of the procedure also covers any NR sidelink configuration carried within an octet string, e.g. field sl-ConfigDedicatedNR. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded NR sidelink configuration.  **Impact analysis**  Impacted 5G architecture options: LTE SA, NR V2X  Impacted functionality: RRC reconfiguration  Inter-operability:  If the network implements the CR and the UE does not, there is no inter-operability issue.  If the UE implements the CR and the network does not, there is no inter-operability issue.  If the TX UE implements the CR and the RX UE does not, there is no inter-operability issue.  If the RX UE implements the CR and the TX UE does not, there is no inter-operability issue. | | | | | | | | |
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| ***Consequences if not approved:*** | | In the CR is not approved, the network may expect a separate acknoledge for the LTE RRCConnectionReconfiguration message (including sidelink-related fields) embedded in the E-UTRA RRCConnectionReconfiguration message. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.5.3, 5.3.5.5 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.331 CR XXXX | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF CHANGE*

5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if the received *RRCConnectionReconfiguration* includes the *daps-SourceRelease*:

2> reset source MCG MAC and release the source MCG MAC configuration;

2> for each DAPS bearer:

3> re-establish the RLC entity or entities for the source PCell;

3> release the RLC entity or entities and the associated DTCH logical channel for the source PCell;

3> reconfigure the PDCP entity to release DAPS, as specified in TS 36.323 [8];

2> for each SRB:

3> release the PDCP entity for the source PCell;

3> release the RLC entity and the associated DCCH logical channel for the source PCell;

2> release the physical channel configuration for the source PCell;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;

2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;

2> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 1: Void

NOTE 2: Void

1> else:

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 3: If the *RRCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*: or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;

NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7*;*

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType2Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType2* message as specified in 5.2.2.9;

1> if the *RRCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:

2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

NOTE X: If the *sl-V2X-ConfigDedicated* was received embedded within an NR *RRCReconfiguration* message, the UE does not build an E-UTRA *RRCConnectionReconfigurationComplete* message for the received *sl-V2X-ConfigDedicated*.

1> if the RRCConnectionReconfiguration message includes the *sl-ConfigDedicatedNR*:

2> perform the NR sidelink communication dedicated configuration procedure as specified in 5.3.5.14 in TS 38.331 [82];

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:

2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *RRCConnectionReconfigurationComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];

1> if the *RRCConnectionReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.9;

NOTE 6: In case of conditional reconfiguration the text "if the received *RRCConnectionReconfiguration. . .*" corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.9.5).

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

2> if the received *RRCConnectionReconfiguration* message was included in an NR *RRCResume* message:

3> include the *RRCConnectionReconfigurationComplete* message in the NR MCG RRC message *RRCResumeComplete* in accordance with TS 38.331 [82], clause 5.3.13.4, upon which the procedure ends;

1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication*, as if the UE receives this field from SIB2, to upper layers, otherwise indicate upper layers absence of this field;

1> if the UE is configured with NE-DC:

2> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82];

1> else:

2> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

*END OF CHANGE*

*START OF CHANGE*

5.3.5.5 Reconfiguration failure

The UE shall:

1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message or if the upper layers indicate that the *nas-Container* is invalid:

2> continue using the configuration used prior to the reception of *RRCConnectionReconfiguration* message;

2> if the UE is in NE-DC:

3> perform the actions as specified in TS 38.331 [82], clause 5.3.7;

2> else if security has not been activated:

3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause other;

2> else:

3> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the connection reconfiguration procedure ends;

NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

NOTE 3: The compliance also covers the NR configuration carried within octet strings e.g. field *nr-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the NR configuration or with the combination of (parts of) the LTE and NR configurations.

NOTE X: The compliance also covers any NR sidelink configuration carried within an octet string, e.g. field *sl-ConfigDedicatedNR*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded NR sidelink configuration.

*END OF CHANGE*