**3GPP TSG-RAN WG2 Meeting #111-e *draft R2-200xxxx***

**Online, August 17th – 28th 2020**

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| --- |
| *CR-Form-v12.0* |
| **DRAFT CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** |  | **rev** | **-** | **Current version:** | **16.1.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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|  |
| ***Title:***  | Corrections on FRX/XDD-Diff UE capabilities for NR\_Mob\_enh |
|  |  |
| ***Source to WG:*** | China Telecom |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_Mob\_enh-Core |  | ***Date:*** | 2020-08-28 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | 1. condHandoverParameters (i.e. condHandover-r16, condHandoverFailure-r16 and condHandoverTwoTriggerEvents-r16) defined under both MeasAndMobParametersXDD-Diff-r16 and MeasAndMobParametersFRX-Diff-r16 need to be support all cases including FR1 FDD FR2 TDD case. Keep them FRX-Diff and XDD-Diff and change their type to per band. UE shall set the capability value consistently for all FDD-FR1 bands, all TDD-FR1 bands and all TDD-FR2 bands respectively.
2. condPSCellChangeParameters (i.e. condPSCellChange-r16, condPSCellChangeTwoTriggerEvents-r16) defined under both MeasAndMobParametersMRDCXDD-Diff-v1610 and MeasAndMobParametersMRDCFRX-Diff-v1610 need to be support all cases including FR1 FDD FR2 TDD case. Keep them FRX-Diff and XDD-Diff and change their type to per band. UE shall set the capability value consistently for all FDD-FR1 bands, all TDD-FR1 bands and all TDD-FR2 bands respectively.
3. For pcellT312-r16, pscellT312-r16, remove FRX-Diff and XDD-Diff and keep their type as per UE.
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|  |  |
| ***Summary of change:*** | 1. To define condHandoverParameters (i.e. condHandover-r16, condHandoverFailure-r16 and condHandoverTwoTriggerEvents-r16) under bandNR and remove from the current places.
2. To define condPSCellChangeParameters (i.e. condPSCellChange-r16, condPSCellChangeTwoTriggerEvents-r16) under bandNR and remove from the current places.
3. To define pcellT312-r16 under MeasAndMobParametersCommon and remove from the current places.
4. To define pscellT312-r16 under MeasAndMobParametersMRDC-Common-v1610 and remove from the current places.
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|  |  |
| ***Consequences if not approved:*** | If the changes are not approved, UE capability signaling for NR\_Mob\_enh is not clearly completed. |
|  |  |
| ***Clauses affected:*** | 6.3.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS 38.306 CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of changes

### 6.3.3 UE capability information elements

#### – *MeasAndMobParameters*

The IE *MeasAndMobParameters* is used to convey UE capabilities related to measurements for radio resource management (RRM), radio link monitoring (RLM) and mobility (e.g. handover).

*MeasAndMobParameters* information element

-- ASN1START

-- TAG-MEASANDMOBPARAMETERS-START

MeasAndMobParameters ::= SEQUENCE {

 measAndMobParametersCommon MeasAndMobParametersCommon OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

MeasAndMobParametersCommon ::= SEQUENCE {

 supportedGapPattern BIT STRING (SIZE (22)) OPTIONAL,

 ssb-RLM ENUMERATED {supported} OPTIONAL,

 ssb-AndCSI-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 eventB-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFDD-TDD ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting ENUMERATED {supported} OPTIONAL

 ]],

 [[

 independentGapConfig ENUMERATED {supported} OPTIONAL,

 periodicEUTRA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFR1-FR2 ENUMERATED {supported} OPTIONAL,

 maxNumberCSI-RS-RRM-RS-SINR ENUMERATED {n4, n8, n16, n32, n64, n96} OPTIONAL

 ]],

 [[

 nr-CGI-Reporting-ENDC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 eutra-CGI-Reporting-NEDC ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting-NRDC ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NEDC ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NRDC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 reportAddNeighMeasForPeriodic-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverParametersCommon-r16 SEQUENCE {

 condHandoverFDD-TDD-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFR1-FR2-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 nr-NeedForGap-Reporting-r16 ENUMERATED {supported} OPTIONAL,

 supportedGapPattern-NRonly-r16 BIT STRING (SIZE (10)) OPTIONAL,

 supportedGapPattern-NRonly-NEDC-r16 ENUMERATED {supported} OPTIONAL,

 maxNumberCLI-RSSI-r16 ENUMERATED {n8, n16, n32, n64} OPTIONAL,

 maxNumberCLI-SRS-RSRP-r16 ENUMERATED {n4, n8, n16, n32} OPTIONAL,

 maxNumberPerSlotCLI-SRS-RSRP-r16 ENUMERATED {n2, n4, n8} OPTIONAL,

 mfbi-IAB-r16 ENUMERATED {supported} OPTIONAL,

 multipleNS-And-Pmax-IAB-r16 ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NPN-r16 ENUMERATED {supported} OPTIONAL,

 idleInactiveEUTRA-MeasReport-r16 ENUMERATED {supported} OPTIONAL,

 idleInactive-ValidityArea-r16 ENUMERATED {supported} OPTIONAL

]],

[[

pcellT312-r16 ENUMERATED {supported} OPTIONAL

]]

}

MeasAndMobParametersXDD-Diff ::= SEQUENCE {

 intraAndInterF-MeasAndReport ENUMERATED {supported} OPTIONAL,

 eventA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE-EPC ENUMERATED {supported} OPTIONAL,

 handoverLTE-5GC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 sftd-MeasNR-Neigh ENUMERATED {supported} OPTIONAL,

 sftd-MeasNR-Neigh-DRX ENUMERATED {supported} OPTIONAL

 ]],

 [[

 handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGapsNEDC-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGapsNRDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-ENDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGapsNEDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGapsNRDC-r16 ENUMERATED {supported} OPTIONAL,

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

MeasAndMobParametersFRX-Diff ::= SEQUENCE {

 ss-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithSSB ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithoutSSB ENUMERATED {supported} OPTIONAL,

 csi-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE-EPC ENUMERATED {supported} OPTIONAL,

 handoverLTE-5GC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxNumberResource-CSI-RS-RLM ENUMERATED {n2, n4, n6, n8} OPTIONAL

 ]],

 [[

 simultaneousRxDataSSB-DiffNumerology ENUMERATED {supported} OPTIONAL

 ]],

 [[

 nr-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-ENDC-r16 ENUMERATED {supported} OPTIONAL,

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

 cli-RSSI-Meas-r16 ENUMERATED {supported} OPTIONAL,

 cli-SRS-RSRP-Meas-r16 ENUMERATED {supported} OPTIONAL,

 interFrequencyMeas-Nogap-r16 ENUMERATED {supported} OPTIONAL,

 simultaneousRxDataSSB-DiffNumerology-Inter-r16 ENUMERATED {supported} OPTIONAL,

 handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL,

 idleInactiveNR-MeasReport-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-MEASANDMOBPARAMETERS-STOP

-- ASN1STOP

#### – *MeasAndMobParametersMRDC*

The IE *MeasAndMobParametersMRDC* is used to convey capability parameters related to RRM measurements and RRC mobility.

*MeasAndMobParametersMRDC* information element

-- ASN1START

-- TAG-MEASANDMOBPARAMETERSMRDC-START

MeasAndMobParametersMRDC ::= SEQUENCE {

 measAndMobParametersMRDC-Common MeasAndMobParametersMRDC-Common OPTIONAL,

 measAndMobParametersMRDC-XDD-Diff MeasAndMobParametersMRDC-XDD-Diff OPTIONAL,

 measAndMobParametersMRDC-FRX-Diff MeasAndMobParametersMRDC-FRX-Diff OPTIONAL

}

MeasAndMobParametersMRDC-v1560 ::= SEQUENCE {

 measAndMobParametersMRDC-XDD-Diff-v1560 MeasAndMobParametersMRDC-XDD-Diff-v1560 OPTIONAL

}

MeasAndMobParametersMRDC-v1610 ::= SEQUENCE {

 measAndMobParametersMRDC-Common-v1610 MeasAndMobParametersMRDC-Common-v1610 OPTIONAL,

 measAndMobParametersMRDC-XDD-Diff-v1610 MeasAndMobParametersMRDC-XDD-Diff-v1610 OPTIONAL,

 measAndMobParametersMRDC-FRX-Diff-v1610 MeasAndMobParametersMRDC-FRX-Diff-v1610 OPTIONAL,

 interNR-MeasEUTRA-IAB-r16 ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-Common ::= SEQUENCE {

 independentGapConfig ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-Common-v1610 ::= SEQUENCE {

 condPSCellChangeParametersCommon-r16 SEQUENCE {

 condPSCellChangeFDD-TDD-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChangeFR1-FR2-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

 pscellT312-r16 ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-XDD-Diff ::= SEQUENCE {

 sftd-MeasPSCell ENUMERATED {supported} OPTIONAL,

 sftd-MeasNR-Cell ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-XDD-Diff-v1560 ::= SEQUENCE {

 sftd-MeasPSCell-NEDC ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-FRX-Diff ::= SEQUENCE {

 simultaneousRxDataSSB-DiffNumerology ENUMERATED {supported} OPTIONAL

}

-- TAG-MEASANDMOBPARAMETERSMRDC-STOP

-- ASN1STOP

– *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

***RF-Parameters* information element**

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

 supportedBandCombinationListSidelink-r16 BandCombinationListSidelink-r16 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

 ]]

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 channelBWs-DL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL

 ]],

 [[

 asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

 ]],

 [[

 -- R1 10: NR-unlicensed

 unlicensedParametersPerBand-r16 UnlicensedParametersPerBand-r16 OPTIONAL,

 -- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

 cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-1: Multiple LTE-CRS rate matching patterns

 multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

 maxNumberPatterns-r16 INTEGER (2..6),

 maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

 } OPTIONAL,

 -- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

 overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

 pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-3: One slot periodic TRS configuration for FR1

 oneShotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

 olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

 spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

 simul-SRS-Trans-IntraBandCA-r16 INTEGER (1..2) OPTIONAL,

 channelBW-DL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 channelBW-UL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

 ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

 condHandover-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChange-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChangeTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| ***RF-Parameters* field descriptions** |
| ***appliedFreqBandListFilter***In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for NR (and NR-DC, if requested). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList-UplinkTxSwitch***A list of band combinations that the UE supports dynamic uplink Tx switching for NR UL CA and SUL. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

End of the change