3GPP TS 38.306 V16.0.0 (2020-03)

Technical Specification

3rd Generation Partnership Project;

Technical Specification Group Radio Access Network;

NR;

User Equipment (UE) radio access capabilities

(Release 16)

 

The present document has been developed within the 3rd Generation Partnership Project (3GPP TM) and may be further elaborated for the purposes of 3GPP.
The present document has not been subject to any approval process by the 3GPPOrganizational Partners and shall not be implemented.
This Specification is provided for future development work within 3GPPonly. The Organizational Partners accept no liability for any use of this Specification.
Specifications and Reports for implementation of the 3GPP TM system should be obtained via the 3GPP Organizational Partners' Publications Offices.

***3GPP***

Postal address

3GPP support office address

650 Route des Lucioles - Sophia Antipolis

Valbonne - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Internet

http://www.3gpp.org

***Copyright Notification***

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© 2020, 3GPP Organizational Partners (ARIB, ATIS, CCSA, ETSI, TSDSI, TTA, TTC).

All rights reserved.

UMTS™ is a Trade Mark of ETSI registered for the benefit of its members

3GPP™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners
LTE™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners

GSM® and the GSM logo are registered and owned by the GSM Association

Contents

Foreword 5

1 Scope 6

2 References 6

3 Definitions, symbols and abbreviations 7

3.1 Definitions 7

3.2 Symbols 7

3.3 Abbreviations 7

4 UE radio access capability parameters 8

4.1 Supported max data rate 8

4.1.1 General 8

4.1.2 Supported max data rate 8

4.1.3 Void 9

4.1.4 Total layer 2 buffer size 9

4.2 UE Capability Parameters 10

4.2.1 Introduction 10

4.2.2 General parameters 11

4.2.3 SDAP Parameters 12

4.2.4 PDCP Parameters 12

4.2.5 RLC parameters 13

4.2.6 MAC parameters 13

4.2.7 Physical layer parameters 14

4.2.7.1 *BandCombinationList* parameters 14

4.2.7.2 *BandNR parameters* 17

4.2.7.3 *CA-ParametersEUTRA* 26

4.2.7.4 *CA-ParametersNR* 27

4.2.7.5 *FeatureSetDownlink* parameters 30

4.2.7.6 *FeatureSetDownlinkPerCC* parameters 34

4.2.7.7 *FeatureSetUplink* parameters 35

4.2.7.8 *FeatureSetUplinkPerCC* parameters 38

4.2.7.9 *MRDC-Parameters* 39

4.2.7.10 *Phy-Parameters* 41

4.2.7.11 Other PHY parameters 48

4.2.7.12 *NRDC-Parameters* 49

4.2.8 Void 49

4.2.9 *MeasAndMobParameters* 50

4.2.10 Inter-RAT parameters 53

4.2.10.1 Void 54

4.2.10.2 Void 54

4.2.11 Void 54

4.2.12 Void 54

4.2.13 IMS Parameters 54

4.2.14 RRC buffer size 54

5 Optional features without UE radio access capability parameters 54

6 Conditionally mandatory features without UE radio access capability parameters 54

7 Void 55

8 UE Capability Constraints 55

Annex A (normative): Differentiation of capabilities 56

Annex A.1: TDD/FDD differentiation of capabilities in TDD-FDD CA 56

Annex A.2: FR1/FR2 differentiation of capabilities in FR1-FR2 CA 57

Annex B (informative): Change history 59

# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document defines the NR UE Radio Access Capability Parameters.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception Part 1: Range 1 Standalone".

[3] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception Part 2: Range 2 Standalone".

[4] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception Part 3: Range 1 and Range 2 Interworking operation with other radios".

[5] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[6] 3GPP TS 38.211: "NR; Physical channels and modulation".

[7] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR Multi-connectivity".

[8] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".

[9] 3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification".

[10] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[11] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[12] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[13] 3GPP TS 38.215: "NR; Physical layer measurements".

[14] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA) radio transmission and reception".

[15] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA) User Equipment (UE) radio access capabilities".

[16] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[17] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC); Protocol Specification".

[18] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception Part 4: Performance requirements".

[19] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

[20] 3GPP TS 25.306:"UE radio access capabilities".

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Fallback band combination:** A band combination that would result from another band combination by releasing at least one SCell or uplink configuration of SCell, or SCG. An intra-band non-contiguous band combination is not considered to be a fallback band combination of an intra-band contiguous band combination.

**Fallback per band feature set:** A feature set per band that has same or lower values than the reported values from the reported feature set per band for a given band.

**Fallback per CC feature set:** A feature set per CC that has lower value of UE supported MIMO layers and BW while keeping the numerology and other parameters the same from the reported feature set per CC for a given carrier per band.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

MaxDLDataRate: Maximum DL data rate

MaxDLDataRate\_MN: Maximum DL data rate in the MN

MaxDLDataRate\_SN: Maximum DL data rate in the SN

MaxULDataRate: Maximum UL data rate

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BC Band Combination

DL Downlink

FS Feature Set

FSPC Feature Set Per Component-carrier

MAC Medium Access Control

MCG Master Cell Group

MN Master Node

MR-DC Multi-RAT Dual Connectivity

PDCP Packet Data Convergence Protocol

RLC Radio Link Control

RTT Round Trip Time

SCG Secondary Cell Group

SDAP Service Data Adaptation Protocol

SN Secondary Node

UL Uplink

# 4 UE radio access capability parameters

## 4.1 Supported max data rate

### 4.1.1 General

The DL and UL max data rate supported by the UE is calculated by band or band combinations supported by the UE. A UE supporting NR (NR SA, MR-DC) shall support the calculated DL and UL max data rate defined in 4.1.2.

### 4.1.2 Supported max data rate

For NR, the approximate data rate for a given number of aggregated carriers in a band or band combination is computed as follows.



wherein

J is the number of aggregated component carriers in a band or band combination

Rmax = 948/1024

For the j-th CC,

  is the maximum number of supported layers given by higher layer parameter *maxNumberMIMO-LayersPDSCH* for downlink and maximum of higher layer parameters *maxNumberMIMO-LayersCB-PUSCH* and *maxNumberMIMO-LayersNonCB-PUSCH* for uplink.

  is the maximum supported modulation order given by higher layer parameter *supportedModulationOrderDL* for downlink and higher layer parameter *supportedModulationOrderUL* for uplink.

 is the scaling factor given by higher layer parameter *scalingFactor* and can take the values 1, 0.8, 0.75, and 0.4.

  is the numerology (as defined in TS 38.211 [6])

  is the average OFDM symbol duration in a subframe for numerology , i.e. . Note that normal cyclic prefix is assumed.

  is the maximum RB allocation in bandwidth  with numerology , as defined in 5.3 TS 38.101-1 [2] and 5.3 TS 38.101-2 [3], where  is the UE supported maximum bandwidth in the given band or band combination.

 is the overhead and takes the following values

0.14, for frequency range FR1 for DL

0.18, for frequency range FR2 for DL

0.08, for frequency range FR1 for UL

0.10, for frequency range FR2 for UL

NOTE: Only one of the UL or SUL carriers (the one with the higher data rate) is counted for a cell operating SUL.

The approximate maximum data rate can be computed as the maximum of the approximate data rates computed using the above formula for each of the supported band or band combinations.

For single carrier NR SA operation, the UE shall support a data rate for the carrier that is no smaller than the data rate computed using the above formula, with $J=1 CC$ and component $v\_{Layers}^{(j)}⋅Q\_{m}^{\left(j\right)}⋅f\_{}^{\left(j\right)}$ is no smaller than 4.

NOTE: As an example, the value 4 in the component above can correspond to $v\_{Layers}^{(j)}=1$, $Q\_{m}^{\left(j\right)}= 4$ and $f\_{}^{\left(j\right)}=1$.

For EUTRA in case of MR-DC, the approximate data rate for a given number of aggregated carriers in a band or band combination is computed as follows.

Data rate (in Mbps) = $10^{-3}\*\sum\_{j=1}^{J}TBS\_{j  }$

wherein

J is the number of aggregated EUTRA component carriers in MR-DC band combination

$TBS\_{j  }$is the total maximum number of DL-SCH transport block bits received or the total maximum number of UL-SCH transport block bits transmitted, within a 1ms TTI for j-th CC, as derived from TS36.213 [19] based on the UE supported maximum MIMO layers for the j-th CC, and based on the maximum modulation order for the j-th CC and number of PRBs based on the bandwidth of the j-th CC according to indicated UE capabilities.

The approximate maximum data rate can be computed as the maximum of the approximate data rates computed using the above formula for each of the supported band or band combinations.

For MR-DC, the approximate maximum data rate is computed as the sum of the approximate maximum data rates from NR and EUTRA.

### 4.1.3 Void

### 4.1.4 Total layer 2 buffer size

The total layer 2 buffer size is defined as the sum of the number of bytes that the UE is capable of storing in the RLC transmission windows and RLC reception and reordering windows and also in PDCP reordering windows for all radio bearers.

The required total layer 2 buffer size in MR-DC and NR-DC is the maximum value of the calculated values based on the following equations:

- *MaxULDataRate\_MN* \* *RLCRTT\_MN* + *MaxULDataRate\_SN* \* *RLCRTT\_SN* + *MaxDLDataRate\_SN* \* *RLCRTT\_SN* + *MaxDLDataRate\_MN* *\** (*RLCRTT\_SN* + *X2/Xn delay* + *Queuing in SN*)

- *MaxULDataRate\_MN* \* *RLCRTT\_MN* + *MaxULDataRate\_SN* \* *RLCRTT\_SN* + *MaxDLDataRate\_MN* \* *RLCRTT\_MN* + *MaxDLDataRate\_SN* *\** (*RLCRTT\_MN* + *X2/Xn delay* + *Queuing in MN*)

Otherwise it is calculated by *MaxDLDataRate \* RLC RTT + MaxULDataRate \* RLC RTT*.

NOTE: Additional L2 buffer required for preprocessing of data is not taken into account in above formula.

The required total layer 2 buffer size is determined as the maximum total layer 2 buffer size of all the calculated ones for each band combination and the applicable Feature Set combination in the supported MR-DC or NR band combinations. The RLC RTT for NR cell group corresponds to the smallest SCS numerology supported in the band combination and the applicable Feature Set combination.

wherein

X2/Xn delay + Queuing in SN = 25ms if SCG is NR, and 55ms if SCG is EUTRA

X2/Xn delay + Queuing in MN = 25ms if MCG is NR, and 55ms if MCG is EUTRA

RLC RTT for EUTRA cell group = 75ms

RLC RTT for NR cell group is defined in Table 4.1.4-1

Table 4.1.4-1: RLC RTT for NR cell group per SCS

| SCS (KHz) | RLC RTT (ms) |
| --- | --- |
| 15KHz | 50 |
| 30KHz | 40 |
| 60KHz | 30 |
| 120KHz | 20 |

## 4.2 UE Capability Parameters

### 4.2.1 Introduction

The following clauses define the UE radio access capability parameters. Only parameters for which there is the possibility for UEs to signal different values are considered as UE radio access capability parameters. Therefore, mandatory features without capability parameters that are the same for all UEs are not listed here.

The network needs to respect the signalled UE radio access capability parameters when configuring the UE and when scheduling the UE.

The UE may support different functionalities between FDD and TDD, and/or between FR1 and FR2. The UE shall indicate the UE capabilities as follows. In the table of UE capability parameter in subsequent clauses, "Yes" in the column by "FDD-TDD DIFF" and "FR1-FR2 DIFF" indicates the UE capability field can have a different value for between FDD and TDD or between FR1 and FR2 and "No" indicates if it cannot. "FD" in the column indicates to refer the associated field description. "FR1 only" or "FR2 only" in the column indicates the associated feature is only supported in FR1 or FR2 and "TDD only" indicates the associated feature is only supported in TDD.

1> set all fields of UE-NR/MRDC-Capability except fdd-Add-UE-NR/MRDC-Capabilities, tdd-Add-UE-NR/MRDC-Capabilities, fr1-Add-UE-NR/MRDC-Capabilities and fr2-Add-UE-NR/MRDC-Capabilities, to include the values applicable for all duplex mode(s) and frequency range(s) that the UE supports;

1> if UE supports both FDD and TDD and if (some of) the UE capability fields have a different value for FDD and TDD

2> if for FDD, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fdd-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FDD;

2> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field tdd-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for TDD;

1> if UE supports both FR1 and FR2 and if (some of) the UE capability fields have a different value for FR1 and FR2:

2> if for FR1, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fr1-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR1;

2> if for FR2, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3> include field fr2-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR2;

NOTE: The fields which indicate "shall be set to 1" or "shall be set to *supported*" in the following tables means these features are purely mandatory and are assumed they are the same as mandatory without capability signaling.

For optional features, the UE radio access capability parameter indicates whether the feature has been implemented and successfully tested. For mandatory features with the UE radio access capability parameter, the parameter indicates whether the feature has been successfully tested. In the table of UE capability parameter in subsequent clauses, "Yes" in the column by "M" indicates the associated feature is mandatory and "No" indicates the associated feature is optional. "CY" in the column indicates the associated feature is conditional mandatory and the condition is described in the field description and the associated feature is considered mandatory with capability parameter, when the described condition is satisfied. "FD" in the column indicates to refer the associated field description. Some parameters in subsequent clauses are not related to UE features and in the case, "N/A" is indicated in the column.

UE capability parameters have hierarchical structure. In the table of UE capability parameter in subsequent clauses, "Per" indicates the level the associated parameter is included. "UE" in the column indicates the associated parameter is signalled per UE, "Band" indicates it is signalled per band, "BC" indicates it is signalled per band combination, "FS" indicates it is signalled per feature set (per band per band combination), "FSPC" indicates it is signalled per feature set per component carrier (per CC per band per band combination), and "FD" in the column indicates to refer the associated field description.

### 4.2.2 General parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF | **FR1-FR2**DIFF |
| --- | --- | --- | --- | --- |
| ***accessStratumRelease***Indicates the access stratum release the UE supports as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***delayBudgetReporting***Indicates whether the UE supports delay budget reporting as specified in TS 38.331 [9]. | UE | No | No | No |
| ***dl-DedicatedMessageSegmentation-r16***Indicates whether the UE supports reception of segmented DL RRC messages. | UE | No | No | No |
| ***inactiveState***Indicates whether the UE supports RRC\_INACTIVE as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***inDeviceCoexInd***Indicates whether the UE supports IDC (In-Device Coexistence) assistance information as specified in TS 38.331 [9]. | UE | No | No | No |
| ***overheatingInd***Indicates whether the UE supports overheating assistance information. | UE | No | No | No |
| ***reducedCP-Latency***Indicates whether the UE supports reduced control plane latency as defined in TS 38.331 [9] | UE | No | No | No |
| ***splitSRB-WithOneUL-Path***Indicates whether the UE supports UL transmission via MCG path and DL reception via either MCG path or SCG path, as specified for the split SRB in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | No | No | No |
| ***splitDRB-withUL-Both-MCG-SCG***Indicates whether the UE supports UL transmission via both MCG path and SCG path for the split DRB as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | Yes | No | No |
| ***srb3***Indicates whether the UE supports direct SRB between the SN and the UE as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). This field is not applied to NE-DC. | UE | Yes | No | No |
| ***v2x-EUTRA***Indicates whether the UE supports EUTRA V2X according to *UE-EUTRA-Capability* as defined in TS 36.331 [17], independent of the configured EN-DC band combination. This field is only applied to EN-DC. In UE-NR-Capability, this field is not used, and UE does not include the field. | UE | No | Yes | No |

### 4.2.3 SDAP Parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF |
| --- | --- | --- | --- |
| ***as-ReflectiveQoS***Indicates whether the UE supports AS reflective QoS. | UE | No | No |

### 4.2.4 PDCP Parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF |
| --- | --- | --- | --- |
| ***continueROHC-Context***Defines whether the UE supports ROHC context continuation operation where the UE does not reset the current ROHC context upon PDCP re-establishment, as specified in TS 38.323 [16]. | UE | No | No |
| ***maxNumberROHC-ContextSessions***Defines the maximum number of header compression context sessions supported by the UE, excluding context sessions that leave all headers uncompressed. | UE | No | No |
| ***outOfOrderDelivery***Indicates whether UE supports out of order delivery of data to upper layers by PDCP. | UE | No | No |
| ***pdcp-DuplicationMCG-OrSCG-DRB***Indicates whether the UE supports CA-based PDCP duplication over MCG or SCG DRB as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSplitDRB***Indicates whether the UE supports PDCP duplication over split DRB as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSplitSRB***Indicates whether the UE supports PDCP duplication over split SRB1/2 as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSRB***Indicates whether the UE supports CA-based PDCP duplication over SRB1/2 and/or, if EN-DC is supported, SRB3 as specified in TS 38.323 [16]. | UE | No | No |
| ***shortSN***Indicates whether the UE supports 12 bit length of PDCP sequence number. | UE | Yes | No |
| ***supportedROHC-Profiles***Defines which ROHC profiles from the list below are supported by the UE:- 0x0000 ROHC No compression (RFC 5795)- 0x0001 ROHC RTP/UDP/IP (RFC 3095, RFC 4815)- 0x0002 ROHC UDP/IP (RFC 3095, RFC 4815)- 0x0003 ROHC ESP/IP (RFC 3095, RFC 4815)- 0x0004 ROHC IP (RFC 3843, RFC 4815)- 0x0006 ROHC TCP/IP (RFC 6846)- 0x0101 ROHC RTP/UDP/IP (RFC 5225)- 0x0102 ROHC UDP/IP (RFC 5225)- 0x0103 ROHC ESP/IP (RFC 5225)- 0x0104 ROHC IP (RFC 5225)A UE that supports one or more of the listed ROHC profiles shall support ROHC profile 0x0000 ROHC uncompressed (RFC 5795). | UE | No | No |
| ***uplinkOnlyROHC-Profiles***Indicates the ROHC profile(s) that are supported in uplink-only ROHC operation by the UE.- 0x0006 ROHC TCP (RFC 6846)A UE that supports uplink-only ROHC profile(s) shall support ROHC profile 0x0000 ROHC uncompressed (RFC 5795). | UE | No | No |

### 4.2.5 RLC parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF |
| --- | --- | --- | --- |
| ***am-WithShortSN***Indicates whether the UE supports AM DRB with 12 bit length of RLC sequence number. | UE | Yes | No |
| ***um-WithLongSN***Indicates whether the UE supports UM DRB with 12 bit length of RLC sequence number. | UE | Yes | No |
| ***um-WithShortSN***Indicates whether the UE supports UM DRB with 6 bit length of RLC sequence number. | UE | Yes | No |

### 4.2.6 MAC parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| --- | --- | --- | --- | --- |
| ***lch-ToSCellRestriction***Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of serving cells (see allowedServingCells in LogicalChannelConfig). A UE supporting pdcp-DuplicationMCG-OrSCG-DRB or pdcp-DuplicationSRB (see PDCP-Config) shall also support lch-ToSCellRestriction. | UE | No | No | No |
| ***lcp-Restriction***Indicates whether UE supports the selection of logical channels for each UL grant based on RRC configured restriction. | UE | No | No | No |
| ***logicalChannelSR-DelayTimer***Indicates whether the UE supports the logicalChannelSR-DelayTimer as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***longDRX-Cycle***Indicates whether UE supports long DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***multipleConfiguredGrants***Indicates whether UE supports more than one configured grant configurations (including both Type 1 and Type 2) in a cell group. For each cell, the UE supports at most one configured grant per BWP and the maximum number of configured grant configurations per cell group is 2. If absent, for each configured cell group, the UE only supports one configured grant configuration on one serving cell. | UE | No | Yes | No |
| ***multipleSR-Configurations***Indicates whether the UE supports 8 SR configurations per PUCCH cell group as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***recommendedBitRate***Indicates whether the UE supports the bit rate recommendation message from the gNB to the UE as specified in TS 38.321 [8]. | UE | No | No | No |
| ***recommendedBitRateMultiplier***Indicates whether the UE supports the bit rate multiplier for recommended bit rate MAC CE as specified in TS 38.321 [8], clause 6.1.3.20. This field is only applicable if the UE supports recommendedBitRate. | UE | No | No | No |
| ***recommendedBitRateQuery***Indicates whether the UE supports the bit rate recommendation query message from the UE to the gNB as specified in TS 38.321 [8]. This field is only applicable if the UE supports recommendedBitRate. | UE | No | No | No |
| ***shortDRX-Cycle***Indicates whether UE supports short DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***skipUplinkTxDynamic***Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as specified in TS 38.321 [8]. | UE | No | Yes | No |

### 4.2.7 Physical layer parameters

#### 4.2.7.1 *BandCombinationList* parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***bandEUTRA***Defines supported EUTRA frequency band by NR frequency band number, as specified in TS 36.101 [14]. | Band | Yes | No | No |
| ***bandList***Each entry of the list should include at least one bandwidth class for UL or DL. | BC | Yes | No | No |
| ***bandNR***Defines supported NR frequency band by NR frequency band number, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | Yes | No | No |
| ***ca-BandwidthClassDL-EUTRA***Defines for DL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 36.101 [14]. When all FeatureSetEUTRA-DownlinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-BandwidthClassDL-NR***Defines for DL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. When all FeatureSetDownlinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-BandwidthClassUL-EUTRA***Defines for UL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 36.101 [14]. When all FeatureSetEUTRA-UplinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-BandwidthClassUL-NR***Defines for UL, the class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by the UE, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. When all FeatureSetUplinkId:s in the corresponding FeatureSetsPerBand are zero, this field is absent. | Band | No | No | No |
| ***ca-ParametersEUTRA***Contains the EUTRA part of band combination parameters for a given EN-DC band combination. | BC | No | No | No |
| ***ca-ParametersNR***Contains the NR band combination parameters for a given EN-DC and/or NR CA band combination. | BC | No | No | No |
| ***ca-ParametersNRDC***Indicates whether the UE supports NR-DC for the band combination. It contains the NR band combination parameters applicable across MCG and SCG. | BC | No | No | No |
| ***featureSetCombination***Indicates the feature set that the UE supports on the NR and/or MR-DC band combination by FeatureSetCombinationId. | BC | N/A | No | No |
| ***mrdc-Parameters***Contains the band combination parameters for a given EN-DC band combination. | BC | No | No | No |
| ***ne-DC-BC***Indicates whether the UE supports NE-DC for the band combination. | BC | No | No | No |
| ***powerClass***Indicates power class the UE supports when operating according to this band combination. If the field is absent, the UE supports the default power class. If this power class is higher than the power class that the UE supports on the individual bands of this band combination (*ue-PowerClass* in *BandNR*), the latter determines maximum TX power available in each band. The UE sets the power class parameter only in band combinations with two FR1 uplink serving cells. | BC | No | No | FR1 only |
| ***SRS-SwitchingTimeNR***Indicates the interruption time on DL/UL reception within a NR band pair during the RF retuning for switching between a carrier on one band and another (PUSCH-less) carrier on the other band to transmit SRS. *switchingTimeDL/ switchingTimeUL*:n0us represents 0 us, n30us represents 30us, and so on. *switchingTimeDL/ switchingTimeUL* is mandatory present if switching between the NR band pair is supported, otherwise the field is absent. It is signalled per pair of bands per band combination. | FD | No | No | No |
| ***SRS-SwitchingTimeEUTRA***Indicates the interruption time on DL/UL reception within a EUTRA band pair during the RF retuning for switching between a carrier on one band and another (PUSCH-less) carrier on the other band to transmit SRS. *switchingTimeDL/ switchingTimeUL:* n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. *switchingTimeDL/ switchingTimeUL* is mandatory present if switching between the EUTRA band pair is supported, otherwise the field is absent. It is signalled per pair of bands per band combination. | FD | No | No | No |
| ***srs-TxSwitch***Defines whether UE supports SRS for DL CSI acquisition as defined in clause 6.2.1.2 of TS 38.214 [12]. The capability signalling comprises of the following parameters:- *supportedSRS-TxPortSwitch* indicates SRS Tx port switching pattern supported by the UE, which is mandatory with capability signaling. The indicated UE antenna switching capability of ′xTyR′ corresponds to a UE, capable of SRS transmission on ′x′ antenna ports over total of ′y′ antennas, where ′y′ corresponds to all or subset of UE receive antennas, where 2T4R is two pairs of antennas. *supportedSRS-TxPortSwitch-r16*, which is optional to report, indicates downgrading configuration of SRS Tx port switching pattern. If the UE indicates the support of downgrading configuration of SRS Tx port switching pattern using *supportedSRS-TxPortSwitch-r16*, the UE shall report the values for this as below, based on what is reported in *supportedSRS-TxPortSwitch*.

|  |  |
| --- | --- |
| *supportedSRS-TxPortSwitch* | *supportedSRS-TxPortSwitch-r16* |
| *t1r2* | *t1r1-t1r2* |
| *t1r4* | *t1r1-t1r2-t1r4* |
| *t2r4* | *t1r1-t1r2-t2r2-t2r4* |
| *t2r2* | *t1r1-t2r2* |
| *t4r4* | *t1r1-t2r2-t4r4* |
| *t1r4-t2r4* | *t1r1-t1r2-t2r2-t1r4-t2r4* |

- *txSwitchImpactToRx* indicates the entry number of the first-listed band with UL in the band combination that affects this DL, which is mandatory with capability signaling;- *txSwitchWithAnotherBand* indicates the entry number of the first-listed band with UL in the band combination that switches together with this UL, which is mandatory with capability signaling.For *txSwitchImpactToRx* and *txSwitchWithAnotherBand*, value 1 means first entry, value 2 means second entry and so on. All DL and UL that switch together indicate the same entry number.The UE is restricted not to include fallback band combinations for the purpose of indicating different SRS antenna switching capabilities. | BC | FD | No | No |
| ***supportedBandwidthCombinationSet***Defines the supported bandwidth combination for the band combination set as defined in the TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. For NR SA CA, NR-DC, inter-band EN-DC without intra-band EN-DC component and intra-band EN-DC with additional inter-band NR CA component, the field defines the bandwidth combinations for the NR part of the band combination. For intra-band EN-DC without additional inter-band NR and LTE CA component, the field indicates the supported bandwidth combination set applicable to the NR and LTE band combinations. Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination as defined in the TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. It is mandatory if the band combination has more than one NR carrier (at least one SCell in an NR cell group) or is an intra-band EN-DC combination or both. | BC | CY | No | No |
| ***supportedBandwidthCombinationSetIntraENDC***Defines the supported bandwidth combination for the band combination set as defined in the TS 38.101-3 [4]. For intra-band EN-DC with additional inter-band CA component(s) of LTE and/or NR, the field defines the bandwidth combinations for the intra-band EN-DC component. Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination as defined in the TS 38.101-3 [4]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. It is mandatory if the band combination is an intra-band EN-DC combination with additional inter-band NR/LTE CA component. | BC | CY | No | No |

#### 4.2.7.2 *BandNR parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***additionalActiveTCI-StatePDCCH***Indicates whether the UE supports one additional active TCI-State for control in addition to the supported number of active TCI-States for PDSCH. The UE can include this field only if *maxNumberActiveTCI-PerBWP* in *tci-StatePDSCH* is set to *n1*. Otherwise, the UE does not include this field. | Band | CY | No | No |
| ***aperiodicBeamReport***Indicates whether the UE supports aperiodic 'CRI/RSRP' or 'SSBRI/RSRP' reporting on PUSCH. The UE provides the capability for the band number for which the report is provided (where the measurement is performed). | Band | Yes | No | No |
| ***aperiodicTRS***Indicates whether the UE supports DCI triggering aperiodic TRS associated with periodic TRS. | Band | No | No | Yes |
| ***bandNR***Defines supported NR frequency band by NR frequency band number, as specified in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | Yes | No | No |
| ***beamCorrespondenceWithoutUL-BeamSweeping***Indicates how UE supports FR2 beam correspondence as specified in TS 38.101-2 [3], clause 6.6. The UE that fulfils the beam correspondence requirement without the uplink beam sweeping (as specified in TS 38.101-2 [3], clause 6.6) shall set the field to *supported*. The UE that fulfils the beam correspondence requirement with the uplink beam sweeping (as specified in TS 38.101-2 [3], clause 6.6) shall not report this field. | Band | Yes | No | FR2 only |
| ***beamManagementSSB-CSI-RS***Defines support of SS/PBCH and CSI-RS based RSRP measurements. The capability comprises signalling of- *maxNumberSSB-CSI-RS-ResourceOneTx* indicates maximum total number of configured one port NZP CSI-RS resources and SS/PBCH blocks that are supported by the UE to measure L1-RSRP as specified in TS 38.215 [13] within a slot and across all serving cells (see NOTE). On FR2, it is mandatory to report >=8; On FR1, it is mandatory with capability signalling to report >=8.- *maxNumberCSI-RS-Resource* indicates maximum total number of configured NZP-CSI-RS resources that are supported by the UE to measure L1-RSRP as specified in TS 38.215 [13] across all serving cells (see NOTE). It is mandated to report at least n8 for FR1.- *maxNumberCSI-RS-ResourceTwoTx* indicates maximum total number of two ports NZP CSI-RS resources that are supported by the UE to measure L1-RSRP as specified in TS 38.215 [13] within a slot and across all serving cells (see NOTE).- *supportedCSI-RS-Density* indicates density of one RE per PRB for one port NZP CSI-RS resource for RSRP reporting, if supported. On FR2, it is mandatory to report either "three" or "oneAndThree"; On FR1, it is mandatory with capability signalling to report either "three" or "oneAndThree".- *maxNumberAperiodicCSI-RS-Resource* indicates maximum number of configured aperiodic CSI-RS resources across all serving cells (see NOTE). For FR1 and FR2, the UE is mandated to report at least n4.NOTE: If the UE sets a value other than *n0* in an FR1 band, it shall set that same value in all FR1 bands. If the UE sets a value other than *n0* in an FR2 band, it shall set that same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. | Band | Yes | No | Yes |
| ***beamReportTiming***Indicates the number of OFDM symbols between the last symbol of SSB/CSI-RS and the first symbol of the transmission channel containing beam report. The UE provides the capability for the band number for which the report is provided (where the measurement is performed). The UE includes this field for each supported sub-carrier spacing. | Band | Yes | No | No |
| ***beamSwitchTiming***Indicates the minimum number of OFDM symbols between the DCI triggering of aperiodic CSI-RS and aperiodic CSI-RS transmission. The number of OFDM symbols is measured from the last symbol containing the indication to the first symbol of CSI-RS. The UE includes this field for each supported sub-carrier spacing.*beamSwitchTiming* of value (sym224 or sym336) indicates the minimum number of required OFDM symbols between the DCI triggering aperiodic CSI-RS and the corresponding aperiodic CSI-RS transmission in a CSI-RS resource set configured with repetition ‘ON’ | Band | No | No | FR2 only |
| ***bwp-DiffNumerology***Indicates whether the UE supports BWP adaptation up to 4 BWPs with the different numerologies, via DCI and timer. For the UE capable of this feature, the bandwidth of a UE-specific RRC configured DL BWP includes the bandwidth of the CORESET#0 (if CORESET#0 is present) and SSB for PCell and PSCell (if configured). For SCell(s), the bandwidth of the UE-specific RRC configured DL BWP includes SSB, if there is SSB on SCell(s). | Band | No | No | No |
| ***bwp-SameNumerology***Defines type A/B BWP adaptation (up to 2/4 BWPs) with the same numerology, via DCI and timer. For the UE capable of this feature, the bandwidth of a UE-specific RRC configured DL BWP includes the bandwidth of the CORESET#0 (if CORESET#0 is present) and SSB for PCell and PSCell (if configured). For SCell(s), the bandwidth of the UE-specific RRC configured DL BWP includes SSB, if there is SSB on SCell(s). | Band | No | No | No |
| ***bwp-WithoutRestriction***Indicates support of BWP operation without bandwidth restriction. The Bandwidth restriction in terms of DL BWP for PCell and PSCell means that the bandwidth of a UE-specific RRC configured DL BWP may not include the bandwidth of CORESET #0 (if configured) and SSB. For SCell(s), it means that the bandwidth of DL BWP may not include SSB. | Band | No | No | No |
| ***channelBWs-DL***Indicates for each subcarrier spacing the UE supported channel bandwidths.Absence of the *channelBWs-DL* (without suffix) for a band or absence of specific scs-XXkHz entry for a supported subcarrier spacing means that the UE supports the channel bandwidths among [5, 10, 15, 20, 25, 30, 40, 50, 60, 80, 100] and [50, 100, 200] that were defined in clause 5.3.5 of TS 38.101-1 version 15.7.0 [2] and TS 38.101-2 version 15.7.0 [3] for the given band or the specific SCS entry.For FR1, the bits in *channelBWs-DL* (without suffix) starting from the leading / leftmost bit indicate 5, 10, 15, 20, 25, 30, 40, 50, 60 and 80MHz. For FR2, the bits in *channelBWs-DL* (without suffix) starting from the leading / leftmost bit indicate 50, 100 and 200MHz. The third / rightmost bit (for 200MHz) shall be set to 1.For FR1, the leading/leftmost bit in *channelBWs-DL-v1590* indicates 70MHz, and all the remaining bits in *channelBWs-DL-v1590* shall be set to 0.NOTE: To determine whether the UE supports a specific SCS for a given band, the network validates the *supportedSubCarrierSpacingDL* and the *scs-60kHz*.To determine whether the UE supports a channel bandwidth of 90 MHz, the network may ignore this capability for and validate instead the *channelBW-90mhz* and the *supportedBandwidthCombinationSet*. For serving cells with other channel bandwidths the network validates the *channelBWs-DL*, the *supportedBandwidthCombinationSet* and *supportedBandwidthDL*. | Band | Yes | No | No |
| ***channelBWs-UL***Indicates for each subcarrier spacing the UE supported channel bandwidths.Absence of the *channelBWs-UL* (without suffix) for a band or absence of specific scs-XXkHz entry for a supported subcarrier spacing means that the UE supports the channel bandwidths among [5, 10, 15, 20, 25, 30, 40, 50, 60, 80, 100] and [50, 100, 200] that were defined in clause 5.3.5 of TS 38.101-1 version 15.7.0 [2] and TS 38.101-2 version 15.7.0 [3] for the given band or the specific SCS entry.For FR1, the bits in *channelBWs-UL* (without suffix) starting from the leading / leftmost bit indicate 5, 10, 15, 20, 25, 30, 40, 50, 60 and 80MHz. For FR2, the bits in *channelBWs-UL* (without suffix) starting from the leading / leftmost bit indicate 50, 100 and 200MHz. The third / rightmost bit (for 200MHz) shall be set to 1.For FR1, the leading/leftmost bit in *channelBWs-UL-v1590* indicates 70 MHz, and all the remaining bits in *channelBWs-UL-v1590* shall be set to 0.NOTE: To determine whether the UE supports a specific SCS for a given band, the network validates the *supportedSubCarrierSpacingUL* and the *scs-60kHz*.To determine whether the UE supports a channel bandwidth of 90 MHz the network may ignore this capability for and validate instead the *channelBW-90mhz* and the *supportedBandwidthCombiantionSet*. For serving cells with other channel bandwidths the network validates the *channelBWs-UL*, the *supportedBandwidthCombinationSet* and *supportedBandwidthUL*. | Band | Yes | No | No |
| ***codebookParameters***Indicates the codebooks and the corresponding parameters supported by the UE.Parameters for type I single panel codebook (type1 singlePanel) supported by the UE, which are mandatory to report:- *supportedCSI-RS-ResourceList*;- *modes* indicates supported codebook modes (mode 1, both mode 1 and mode 2);- *maxNumberCSI-RS-PerResourceSet* indicates the maximum number of CSI-RS resource in a resource set.Parameters for type I multi-panel codebook (type1 multiPanel) supported by the UE, which are optional:- *supportedCSI-RS-ResourceList*;- *modes* indicates supported codebook modes (mode 1, mode 2, or both mode 1 and mode 2);- *maxNumberCSI-RS-PerResourceSet* indicates the maximum number of CSI-RS resource in a resource set;- *nrofPanels* indicates supported number of panels.Parameters for type II codebook (type2) supported by the UE, which are optional:- *supportedCSI-RS-ResourceList*;- *parameterLx* indicates the parameter "Lx" in codebook generation where x is an index of Tx ports indicated by *maxNumberTxPortsPerResource*;- *amplitudeScalingType* indicates the amplitude scaling type supported by the UE (wideband or both wideband and sub-band);- *amplitudeSubsetRestriction* indicates whether amplitude subset restriction is supported for the UE.Parameters for type II codebook with port selection (type2-PortSelection) supported by the UE, which are optional:- *supportedCSI-RS-ResourceList*;- *parameterLx* indicates the parameter "Lx" in codebook generation where x is an index of Tx ports indicated by *maxNumberTxPortsPerResource*;- *amplitudeScalingType* indicates the amplitude scaling type supported by the UE (wideband or both wideband and sub-band).*supportedCSI-RS-ResourceList* includes list of the following parameters:- *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource;- *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band simultaneously;- *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band simultaneously. | Band | FD | No | No |
| ***crossCarrierScheduling-SameSCS***Indicates whether the UE supports cross carrier scheduling for the same numerology with carrier indicator field (CIF) in carrier aggregation where numerologies for the scheduling cell and scheduled cell are same. | Band | No | No | No |
| ***csi-ReportFramework***Indicates whether the UE supports CSI report framework. This capability signalling comprises the following parameters:- *maxNumberPeriodicCSI-PerBWP-ForCSI-Report* indicates the maximum number of periodic CSI report setting per BWP for CSI report;- *maxNumberPeriodicCSI-PerBWP-ForBeamReport* indicates the maximum number of periodic CSI report setting per BWP for beam report.- *maxNumberAperiodicCSI-PerBWP-ForCSI-Report* indicates the maximum number of aperiodic CSI report setting per BWP for CSI report;- *maxNumberAperiodicCSI-PerBWP-ForBeamReport* indicates the maximum number of aperiodic CSI report setting per BWP for beam report;- *maxNumberAperiodicCSI-triggeringStatePerCC* indicates the maximum number of aperiodic CSI triggering states in *CSI-AperiodicTriggerStateList* per CC;- *maxNumberSemiPersistentCSI-PerBWP-ForCSI-Report* indicates the maximum number of semi-persistent CSI report setting per BWP for CSI report;- *maxNumberSemiPersistentCSI-PerBWP-ForBeamReport* indicates the maximum number of semi-persistent CSI report setting per BWP for beam report;- *simultaneousCSI-ReportsPerCC* indicates the number of CSI report(s) for which the UE can measure and process reference signals simultaneously in a CC of the band for which this capability is provided. The CSI report comprises periodic, semi-persistent and aperiodic CSI and any latency classes and codebook types. The CSI report in simultaneousCSI-ReportsPerCC includes the beam report and CSI report. | Band or UE | Yes | No | No |
| ***csi-RS-ForTracking***Indicates support of CSI-RS for tracking (i.e. TRS). This capability signalling comprises the following parameters:- *maxBurstLength* indicates the TRS burst length. Value 1 indicates 1 slot and value 2 indicates both of 1 slot and 2 slots. In this release UE is mandated to report value 2;- *maxSimultaneousResourceSetsPerCC* indicates the maximum number of TRS resource sets per CC which the UE can track simultaneously;- *maxConfiguredResourceSetsPerCC* indicates the maximum number of TRS resource sets configured to UE per CC. It is mandated to report at least 8 for FR1 and 16 for FR2;- *maxConfiguredResourceSetsAllCC* indicates the maximum number of TRS resource sets configured to UE across CCs. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. The UE is mandated to report at least 16 for FR1 and 32 for FR2. | Band | Yes | No | No |
| ***csi-RS-IM-ReceptionForFeedback***Indicates support of CSI-RS and CSI-IM reception for CSI feedback. This capability signalling comprises the following parameters:- *maxConfigNumberNZP-CSI-RS-PerCC* indicates the maximum number of configured NZP-CSI-RS resources per CC;- *maxConfigNumberPortsAcrossNZP-CSI-RS-PerCC* indicates the maximum number of ports across all configured NZP-CSI-RS resources per CC;- *maxConfigNumberCSI-IM-PerCC* indicates the maximum number of configured CSI-IM resources per CC;- *maxNumberSimultaneousNZP-CSI-RS-PerCC* indicates the maximum number of simultaneous CSI-RS-resources per CC;- *totalNumberPortsSimultaneousNZP-CSI-RS-PerCC* indicates the total number of CSI-RS ports in simultaneous CSI-RS resources per CC. | Band or UE | Yes | No | No |
| ***csi-RS-ProcFrameworkForSRS***Indicates support of CSI-RS processing framework for SRS. This capability signalling comprises the following parameters:- *maxNumberPeriodicSRS-AssocCSI-RS-PerBWP* indicates the maximum number of periodic SRS resources associated with CSI-RS per BWP;- *maxNumberAperiodicSRS-AssocCSI-RS-PerBWP* indicates the maximum number of aperiodic SRS resources associated with CSI-RS per BWP;- *maxNumberSP-SRS-AssocCSI-RS-PerBWP* indicates the maximum number of semi-persistent SRS resources associated with CSI-RS per BWP;- *simultaneousSRS-AssocCSI-RS-PerCC* indicates the number of SRS resources that the UE can process simultaneously in a CC, including periodic, aperiodic and semi-persistent SRS. | Band or UE | No | No | No |
| ***extendedCP***Indicates whether the UE supports 60 kHz subcarrier spacing with extended CP length for reception of PDCCH, and PDSCH, and transmission of PUCCH, PUSCH, and SRS. | Band | No | No | No |
| ***groupBeamReporting***Indicates whether UE supports RSRP reporting for the group of two reference signals. | Band | No | No | No |
| ***maxNumberCSI-RS-BFD***Indicates maximal number of CSI-RS resources across all CCs, and across MCG and SCG in case of NR-DC, for UE to monitor PDCCH quality. In this release, the maximum value that can be signalled is 16. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. It is mandatory with capability signalling for FR2 and optional for FR1. | Band | CY | No | No |
| ***maxNumberCSI-RS-SSB-CBD***Defines maximal number of different CSI-RS [and/or SSB] resources across all CCs, and across MCG and SCG in case of NR-DC, for new beam identifications. In this release, the maximum value that can be signalled is 128. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. It is mandatory with capability signalling for FR2 and optional for FR1. The UE is mandated to report at least 32 for FR2. | Band | CY | No | No |
| ***maxNumberNonGroupBeamReporting***Defines support of non-group based RSRP reporting using N\_max RSRP values reported. | Band | Yes | No | No |
| ***maxNumberRxBeam***Defines whether UE supports receive beamforming switching using NZP CSI-RS resource. UE shall indicate a single value for the preferred number of NZP CSI-RS resource repetitions per CSI-RS resource set. Support of Rx beam switching is mandatory for FR2. | Band | CY | No | No |
| ***maxNumberRxTxBeamSwitchDL***Defines the number of Tx and Rx beam changes UE can perform on this band within a slot. UE shall report one value per each subcarrier spacing supported by the UE. In this release, the number of Tx and Rx beam changes for scs-15kHz and scs-30kHz are not included. | Band | No | No | FR2 only |
| ***maxNumberSSB-BFD***Defines maximal number of different SSBs across all CCs, and across MCG and SCG in case of NR-DC, for UE to monitor PDCCH quality. In this release, the maximum value that can be signalled is 16. If the UE includes the field in an FR1 band, it shall set the same value in all FR1 bands. If the UE includes the field in an FR2 band, it shall set the same value in all FR2 bands. The UE supports a total number of resources equal to the maximum of the FR1 and FR2 value, but no more than the FR1 value across all FR1 serving cells and no more than the FR2 value across all FR2 serving cells. It is mandatory with capability signalling for FR2 and optional for FR1. | Band | CY | No | No |
| ***maxUplinkDutyCycle-PC2-FR1***Indicates the maximum percentage of symbols during a certain evaluation period that can be scheduled for uplink transmission so as to ensure compliance with applicable electromagnetic energy absorption requirements provided by regulatory bodies. This field is only applicable for FR1 power class 2 UE as specified in clause 6.2.1 of TS 38.101-1 [2]. If the field is absent, 50% shall be applied. Value n60 corresponds to 60%, value n70 corresponds to 70% and so on. | Band | No | No | FR1 only |
| ***maxUplinkDutyCycle-FR2***Indicates the maximum percentage of symbols during 1s that can be scheduled for uplink transmission so as to ensure compliance with applicable electromagnetic power density exposure requirements provided by regulatory bodies. This field is applicable for all power classes UE in FR2 as specified in TS 38.101-2 [3]. Value n15 corresponds to 15%, value n20 corresponds to 20% and so on. If the field is absent or the percentage of uplink symbols transmitted within any 1s evaluation period is larger than *maxUplinkDutyCycle-FR2*, the UE behaviour is specified in TS 38.101-2 [3]. | Band | No | No | FR2 only |
| ***modifiedMPR-Behaviour***Indicates whether UE supports modified MPR behaviour defined in TS 38.101-1 [2] and TS 38.101-2 [3]. | Band | No | No | No |
| ***multipleTCI***Indicates whether UE supports more than one TCI state configurations per CORESET. UE is only required to track one active TCI state per CORESET. UE is required to support minimum between 64 and number of configured TCI states indicated by *tci-StatePDSCH*. This field shall be set to *supported*. | Band | Yes | No | No |
| ***pdsch-256QAM-FR2***Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR2 as defined in 7.3.1.2 of TS 38.211 [6]. | Band | No | No | FR2 only |
| ***periodicBeamReport***Indicates whether UE supports periodic 'CRI/RSRP' or 'SSBRI/RSRP' reporting using PUCCH formats 2, 3 and 4 in one slot. | Band | Yes | No | No |
| ***powerBoosting-pi2BPSK***Indicates whether UE supports power boosting for pi/2 BPSK, when applicable as defined in 6.2 of TS 38.101-1 [2]. | Band | No | TDD only | FR1 only |
| ***ptrs-DensityRecommendationSetDL***For each supported sub-carrier spacing, indicates preferred threshold sets for determining DL PTRS density. It is mandated for FR2. For each supported sub-carrier spacing, this field comprises:- two values of *frequencyDensity*;- three values of *timeDensity*. | Band | CY | No | No |
| ***ptrs-DensityRecommendationSetUL***For each supported sub-carrier spacing, indicates preferred threshold sets for determining UL PTRS density. For each supported sub-carrier spacing, this field comprises:- two values of *frequencyDensity*;- three values of *timeDensity*;- five values of *sampleDensity*. | Band | No | No | No |
| ***pucch-SpatialRelInfoMAC-CE***Indicates whether the UE supports indication of *PUCCH-spatialrelationinfo* by a MAC CE per PUCCH resource. It is mandatory for FR2 and optional for FR1. | Band | CY | No | No |
| ***pusch-256QAM***Indicates whether the UE supports 256QAM modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. | Band | No | No | No |
| ***pusch-TransCoherence***Defines support of the uplink codebook subset by the UE for UL precoding for PUSCH transmission as described in clause 6.1.1.1 of TS 38.214 [12]. UE indicated support of partial coherent codebook subset shall also support non-coherent codebook subset. UE indicated support of full coherent codebook subset shall also support partial and non-coherent codebook subset. | Band | No | No | No |
| ***rateMatchingLTE-CRS***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs determined by the higher layer configuration LTE-carrier configuring common RS, as specified in TS 38.214 [12]. | Band | Yes | No | No |
| ***spatialRelations***Indicates whether the UE supports spatial relations. The capability signalling comprises the following parameters.- *maxNumberConfiguredSpatialRelations* indicates the maximum number of configured spatial relations per CC for PUCCH and SRS. It is not applicable to FR1 and applicable to FR2 only. The UE is mandated to report 16 or higher values;- *maxNumberActiveSpatialRelations* indicates the maximum number of active spatial relations with regarding to PUCCH and SRS for PUSCH, per BWP per CC. It is not applicable to FR1 and applicable and mandatory to report for FR2 only;- *additionalActiveSpatialRelationPUCCH* indicates support of one additional active spatial relation for PUCCH. It is mandatory with capability signalling if *maxNumberActiveSpatialRelations* is set to n1;- *maxNumberDL-RS-QCL-TypeD* indicates the maximum number of downlink RS resources used for QCL type D in the active TCI states and active spatial relation information, which is optional. | Band | FD | No | FD |
| ***sp-BeamReportPUCCH***Indicates support of semi-persistent 'CRI/RSRP' or 'SSBRI/RSRP' reporting using PUCCH formats 2, 3 and 4 in one slot. | Band | No | No | Yes |
| ***sp-BeamReportPUSCH***Indicates support of semi-persistent 'CRI/RSRP' or 'SSBRI/RSRP' reporting on PUSCH. | Band | No | No | Yes |
| ***srs-AssocCSI-RS***Parameters for the calculation of the precoder for SRS transmission based on channel measurements using associated NZP CSI-RS resource (srs-AssocCSI-RS) as described in clause 6.1.1.2 of TS 38.214 [12]. UE supporting this feature shall also indicate support of non-codebook based PUSCH transmission.This capability signalling includes list of the following parameters:- *maxNumberTxPortsPerResource* indicates the maximum number of Tx ports in a resource;- *maxNumberResourcesPerBand* indicates the maximum number of resources across all CCs within a band simultaneously;*-* *totalNumberTxPortsPerBand* indicates the total number of Tx ports across all CCs within a band simultaneously. | Band | No | No | No |
| ***tci-StatePDSCH***Defines support of TCI-States for PDSCH. The capability signalling comprises the following parameters:- *maxNumberConfiguredTCIstatesPerCC* indicates the maximum number of configured TCI-states per CC for PDSCH. For FR2, the UE is mandated to set the value to 64. For FR1, the UE is mandated to set these values to the maximum number of allowed SSBs in the supported band;- *maxNumberActiveTCI-PerBWP* indicates the maximum number of activated TCI-states per BWP per CC, including control and data. If a UE reports X active TCI state(s), it is not expected that more than X active QCL type D assumption(s) for any PDSCH and any CORESETs for a given BWP of a serving cell become active for the UE. The UE shall include this field.Note the UE is required to track only the active TCI states. | Band | Yes | No | No |
| ***twoPortsPTRS-UL***Defines whether UE supports PT-RS with 2 antenna ports for UL transmission. | Band | No | No | No |
| ***ue-PowerClass***For FR1, if the UE supports the different UE power class than the default UE power class as defined in clause 6.2 of TS 38.101-1 [2], the UE shall report the supported UE power class in this field. For FR2, UE shall report the supported UE power class as defined in clause 6 and 7 of TS 38.101-2 [3] in this field. | Band | Yes | No | No |
| ***uplinkBeamManagement***Defines support of beam management for UL. This capability signalling comprises the following parameters:- *maxNumberSRS-ResourcePerSet-BM* indicates the maximum number of SRS resources per SRS resource set configurable for beam management, supported by the UE.- *maxNumberSRS-ResourceSet* indicates the maximum number of SRS resource sets configurable for beam management, supported by the UE.If the UE does not set *beamCorrespondenceWithoutUL-BeamSweeping* to *supported*, the UE shall report this capability. This feature is optional for the UE that supports beam correspondence without uplink beam sweeping as defined in clause 6.6, TS 38.101-2 [3]. NOTE: The network uses *maxNumberSRS-ResourceSet* to determine the maximum number of SRS resource sets that can be configured to the UE for periodic/semi-persistent/aperiodic configurations as below:

|  |  |
| --- | --- |
| Maximum number of SRS resource sets across all time domain behaviour (periodic/semi-persistent/aperiodic) reported in *maxNumberSRS-ResourceSet* | Additional constraint on the maximum number of SRS resource sets configured to the UE for each supported time domain behaviour (periodic/semi-persistent/aperiodic) |
| 1 | 1 |
| 2 | 1 |
| 3 | 1 |
| 4 | 2 |
| 5 | 2 |
| 6 | 2 |
| 7 | 4 |
| 8 | 4 |

 | Band | No | No | FR2 only |

#### 4.2.7.3 *CA-ParametersEUTRA*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***additionalRx-Tx-PerformanceReq****additionalRx-Tx-PerformanceReq* defined in 4.3.5.22, TS 36.306 [15]. | BC | No | No | No |
| ***dl-1024QAM-TotalWeightedLayers***Indicates total number of weighted layers for the LTE part of the concerned EN-DC band combination the UE can process for 1024QAM, as described in TS 36.306 [15] equation 4.3.5.31-1. Actual value = (10 + indicated value x 2), i.e. value 0 indicates 10 layers, value 1 indicates 12 layers and so on. For an EN-DC band combination for which this field is not included, *dl-1024QAM-TotalWeightedLayers-r15* as described in TS 36.331 [17] applies, if included. | BC | No | No | No |
| ***multipleTimingAdvance****multipleTimingAdvance* defined in 4.3.5.3, TS 36.306 [15]. | BC | No | No | No |
| ***simultaneousRx-Tx****simultaneousRx-Tx* defined in 4.3.5.4, TS 36.306 [15]. | BC | No | No | No |
| ***supportedBandwidthCombinationSetEUTRA***Indicates the set of supported bandwidth combinations for the LTE part for inter-band EN-DC without intra-band EN-DC component and intra-band EN-DC with additional inter-band LTE CA component. The field is encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. The UE shall neither include the field for a EN-DC combination which has only one LTE carrier, nor for a EN-DC combination which has more than one LTE carrier for which the UE only supports Bandwidth Combination Set 0 for the LTE part. If the inter-band EN-DC has more than one LTE carrier, the UE shall support at least one bandwidth combination for the supported LTE part. | BC | CY | No | No |
| ***supportedNAICS-2CRS-AP****supportedNAICS-2CRS-AP* defined in 4.3.5.8, TS 36.306 [15]. | BC | No | No | No |
| ***fd-MIMO-TotalWeightedLayers***Indicates total number of weighted layers for the LTE part of the concerned EN-DC band combination the UE can process for FD-MIMO, as described in TS 36.306 [15] equation 4.3.28.13-1 and TS 36.331 [17] clause 6.3.6, NOTE 8 in *UE-EUTRA-Capability* field descriptions. For an EN-DC band combination for which this field is not included, *totalWeightedLayers-r13* as described in TS 36.331 [17] applies, if included. | BC | No | No | No |
| ***ue-CA-PowerClass-N****ue-CA-PowerClass-N* defined in 4.3.5.1.3, TS 36.306 [15]. | BC | No | No | No |

#### 4.2.7.4 *CA-ParametersNR*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***csi-RS-IM-ReceptionForFeedbackPerBandComb***Indicates support of CSI-RS and CSI-IM reception for CSI feedback. This capability signalling comprises the following parameters:- *maxNumberSimultaneousNZP-CSI-RS-ActBWP-AllCC* indicates the maximum number of simultaneous CSI-RS resources in active BWPs across all CCs, and across MCG and SCG in case of NR-DC. This parameter limits the total number of NZP-CSI-RS resources that the NW may configure across all CCs, and across MCG and SCG in case of NR-DC (irrespective of the associated codebook type). The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand-> maxNumberSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff-> maxNumberSimultaneousNZP-CSI-RS-PerCC*;- *totalNumberPortsSimultaneousNZP-CSI-RS-ActBWP-AllCC* indicates the total number of CSI-RS ports in simultaneous CSI-RS resources in active BWPs across all CCs, and across MCG and SCG in case of NR-DC. This parameter limits the total number of ports that the NW may configure across all NZP-CSI-RS resources across all CCs, and across MCG and SCG in case of NR-DC (irrespective of the associated codebook type). The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand-> totalNumberPortsSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff-> totalNumberPortsSimultaneousNZP-CSI-RS-PerCC*. | BC | Yes | No | No |
| ***diffNumerologyAcrossPUCCH-Group***Indicates whether different numerology across two NR PUCCH groups for data and control channel at a given time in NR CA and EN-DC is supported by the UE. | BC | No | No | No |
| ***diffNumerologyWithinPUCCH-GroupLargerSCS***Indicates whether UE supports different numerology across carriers within a PUCCH group and a same numerology between DL and UL per carrier for data/control channel at a given time in NR CA, EN-DC/NE-DC and NR-DC.In case of NR CA and EN-DC/NE-DC with one NR PUCCH group and in case of NR CA with two NR PUCCH groups, it also indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group up to two different numerologies within the same NR PUCCH group, wherein NR PUCCH is sent on the carrier with larger SCS for data and control channel at a given time.In case of EN-DC/NE-DC with two NR PUCCH groups, it indicates whether the UE supports different numerologies across NR carriers up to two different numerologies within an NR PUCCH group in FR1, wherein NR PUCCH is sent on the carrier with larger SCS, and same numerology across NR carriers within another NR PUCCH group in FR2 for data and control channel at a given time.In case of NR-DC, it indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group in MCG (in FR1) up to two different numerologies within the same NR PUCCH group wherein NR PUCCH is sent on the carrier with larger SCS for data/control channel at a given time; and same numerology across NR carriers in SCG (in FR2). | BC | No | No | No |
| ***diffNumerologyWithinPUCCH-GroupSmallerSCS***Indicates whether UE supports different numerology across carriers within a PUCCH group and a same numerology between DL and UL per carrier for data/control channel at a given time in NR CA, EN-DC/NE-DC and NR-DC.In case of NR CA and EN-DC/NE-DC with one NR PUCCH group and in case of NR CA with two NR PUCCH groups, it also indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group up to two different numerologies within the same NR PUCCH group, wherein NR PUCCH is sent on the carrier with smaller SCS for data and control channel at a given time.In case of EN-DC/NE-DC with two NR PUCCH groups, it indicates whether the UE supports different numerologies across NR carriers up to two different numerologies within an NR PUCCH group in FR1, wherein NR PUCCH is sent on the carrier with smaller SCS, and same numerology across NR carriers within another NR PUCCH group in FR2 for data and control channel at a given time.In case of NR-DC, it indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group in MCG (in FR1) up to two different numerologies within the same NR PUCCH group wherein NR PUCCH is sent on the carrier with smaller SCS for data/control channel at a given time; and same numerology across NR carriers in SCG (in FR2). | BC | No | No | No |
| ***dualPA-Architecture***For band combinations with single-band with UL CA, this field indicates the support of dual PA. If absent in such band combinations, the UE supports single PA for all the ULs. For other band combinations, this field is not applicable. | BC | No | No | No |
| ***parallelTxSRS-PUCCH-PUSCH***Indicates whether the UE supports parallel transmission of SRS and PUCCH/ PUSCH across CCs in an inter-band CA band combination. | BC | No | No | No |
| ***parallelTxPRACH-SRS-PUCCH-PUSCH***Indicates whether the UE supports parallel transmission of PRACH and SRS/PUCCH/PUSCH across CCs in an inter-band CA band combination. | BC | No | No | No |
| ***simultaneousCSI-ReportsAllCC***Indicates whether the UE supports CSI report framework and the number of CSI report(s) which the UE can simultaneously process across all CCs, and across MCG and SCG in case of NR-DC. The CSI report comprises periodic, semi-persistent and aperiodic CSI and any latency classes and codebook types. The CSI report in *simultaneousCSI-ReportsAllCC* includes the beam report and CSI report. This parameter may further limit *simultaneousCSI-ReportsPerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. | BC | Yes | No | No |
| ***simultaneousRxTxInterBandCA***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band NR CA. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. | BC | CY | No | No |
| ***simultaneousRxTxSUL***Indicates whether the UE supports simultaneous reception and transmission for a NR band combination including SUL. Mandatory/Optional support depends on band combination and captured in TS 38.101-1 [2]. | BC | CY | No | No |
| ***simultaneousSRS-AssocCSI-RS-AllCC***Indicates support of CSI-RS processing framework for SRS and the number of SRS resources that the UE can process simultaneously across all CCs, and across MCG and SCG in case of NR-DC, including periodic, aperiodic and semi-persistent SRS. This parameter may further limit *simultaneousSRS-AssocCSI-RS-PerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. | BC | No | No | No |
| ***supportedNumberTAG***Defines the number of timing advance groups supported by the UE. It is applied to NR CA, NR-DC and EN-DC/NE-DC. For EN-DC/NE-DC, it indicates number of TAGs only for NR CG. The number of TAGs for the LTE MCG is signalled by existing LTE TAG capability signalling. For NR CA/NR-DC band combination, if the band combination comprised of more than one band entry (i.e., inter-band or intra-band non-contiguous band combination), it indicates that different timing advances on different band entries are supported. If absent, the UE supports only one TAG for the NR part. It is mandatory for the UE to support more than one TAG for NR-DC. | BC | CY | No | No |

#### 4.2.7.5 *FeatureSetDownlink* parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***additionalDMRS-DL-Alt***Indicates whether the UE supports the alternative additional DMRS position for co-existence with LTE CRS. It is applied to 15kHz SCS and one additional DMRS case only. | FS | No | No | FR1 only |
| ***crossCarrierScheduling-OtherSCS***Indicates whether the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in DL carrier aggregation where numerologies for the scheduling cell and scheduled cell are different.NOTE: Cross-carrier scheduling with different numerologies is not supported in this release of specification. | FS | No | No | No |
| ***csi-RS-MeasSCellWithoutSSB***Defines whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that does not transmit SS/PBCH block. A UE that supports this feature shall also support scellWithoutSSB. | FS | No | No | No |
| ***dl-MCS-TableAlt-DynamicIndication***Indicates whether the UE supports dynamic indication of MCS table for PDSCH. | FS | No | No | No |
| ***featureSetListPerDownlinkCC***Indicates which features the UE supports on the individual DL carriers of the feature set (and hence of a band entry that refer to the feature set) by *FeatureSetDownlinkPerCC-Id*. The UE shall hence include as many *FeatureSetDownlinkPerCC-Id* in this list as the number of carriers it supports according to the *ca-bandwidthClassDL*. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetDownlinkPerCC-Id* in this list. A fallback per CC feature set resulting from the reported feature set per DL CC is not signalled but the UE shall support it. | FS | N/A | No | No |
| ***intraBandFreqSeparationDL***Indicates DL frequency separation class the UE supports, which indicates a maximum frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band, for intra-band non-contiguous CA. The UE sets the same value in the FeatureSetDownlink of each band entry within a band. The values c1, c2 and c3 correspond to the values defined in TS 38.101-2 [3]. It is mandatory to report for UE which supports DL intra-band non-contiguous CA in FR2. | FS | CY | No | FR2 only |
| ***oneFL-DMRS-ThreeAdditionalDMRS-DL***Defines whether the UE supports DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | FS | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-DL***Defines support of DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | FS | Yes | No | Yes |
| ***pdcch-MonitoringAnyOccasions***Defines the supported PDCCH search space monitoring occasions. withoutDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. withDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation of two OFDM symbols for 15 kHz, four OFDM symbols for 30 kHz, seven OFDM symbols for 60 kHz with NCP, and 14OFDM symbols for 120kHz between two consecutive transmissions of PDCCH scrambled with C-RNTI, MCS-C-RNTI, or CS-RNTI for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space, with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. | FS | No | No | No |
| ***pdcch-MonitoringAnyOccasionsWithSpanGap***Indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation between two consecutive transmissions of PDCCH with span up to two OFDM symbols for two OFDM symbols or span up to three OFDM symbols for four and seven OFDM symbols. Value set1 indicates the supported value set (X,Y) is (7,3), value set2 indicates the supported value set (X,Y) is (4,3) and (7,3) and value set 3 indicates the supported value set (X,Y) is (2,2), (4,3) and (7,3). | FS | No | No | No |
| ***pdsch-ProcessingType1-DifferentTB-PerSlot***Defines whether the UE capable of processing time capability 1 supports reception of up to two, four or seven unicast PDSCHs for several transport blocks with PDSCH scrambled using C-RNTI, TC-RNTI, or CS-RNTI in one serving cell within the same slot per CC that are multiplexed in time domain only.Note PDSCH(s) for Msg.4 is included. | FS | No | No | No |
| ***pdsch-ProcessingType2***Indicates whether the UE supports PDSCH processing capability 2. The UE supports it only if all serving cells are self-scheduled and if all serving cells in one band on which the network configured processingType2 use the same subcarrier spacing. This capability signalling comprises the following parameters for each sub-carrier spacing supported by the UE.- *fallback* indicates whether the UE supports PDSCH processing capability 2 when the number of configured carriers is larger than *numberOfCarriers* for a reported value of *differentTB-PerSlot*. If *fallback* = 'sc', UE supports capability 2 processing time on lowest cell index among the configured carriers in the band where the value is reported, if *fallback* = 'cap1-only', UE supports only capability 1, in the band where the value is reported;- *differentTB-PerSlot* indicates whether the UE supports processing type 2 for 1, 2, 4 and/or 7 unicast PDSCHs for different transport blocks per slot per CC; and if so, it indicates up to which number of CA serving cells the UE supports that number of unicast PDSCHs for different TBs. The UE shall include at least one of *numberOfCarriers* for 1, 2, 4 or 7 transport blocks per slot in this field if *pdsch-ProcessingType2* is indicated. | FS | No | No | FR1 only |
| ***pdsch-ProcessingType2-Limited***Indicates whether the UE supports PDSCH processing capability 2 with scheduling limitation for SCS 30kHz. This capability signalling comprises the following parameter.- *differentTB-PerSlot-SCS-30kHz* indicates the number of different TBs per slot.The UE supports this limited processing capability 2 only if:1) One carrier is configured in the band, independent of the number of carriers configured in the other bands;2) The maximum bandwidth of PDSCH is 136 PRBs;3) N1 based on Table 5.3-2 of TS 38.214 [12] for SCS 30 kHz. | FS | No | No | FR1 only |
| ***pdsch-SeparationWithGap***Indicates whether the UE supports separation of two unicast PDSCHs with a gap, applicable to Sub-carrier spacings of 30 kHz and 60 kHz only. For any two consecutive slots n and n+1, if there are more than 1 unicast PDSCH in either slot, the minimum time separation between starting time of any two unicast PDSCHs within the duration of these slots is 4 OFDM symbols for 30kHz and 7 OFDM symbols for 60kHz. | FS | No | No | No |
| ***scalingFactor***Indicates the scaling factor to be applied to the band in the max data rate calculation as defined in 4.1.2. Value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. If absent, the scaling factor 1 is applied to the band in the max data rate calculation. | FS | No | No | No |
| ***scellWithoutSSB***Defines whether the UE supports configuration of SCell that does not transmit SS/PBCH block. This is conditionally mandatory with capability signalling for intra-band CA but not supported for inter-band CA. | FS | CY | No | No |
| ***searchSpaceSharingCA-DL***Defines whether the UE supports DL PDCCH search space sharing for carrier aggregation operation. | FS | No | No | No |
| ***timeDurationForQCL***Defines minimum number of OFDM symbols required by the UE to perform PDCCH reception and applying spatial QCL information received in DCI for PDSCH processing as described in TS 38.214 [12] clause 5.1.5. UE shall indicate one value of the minimum number of OFDM symbols per each subcarrier spacing of 60kHz and 120kHz. | FS | Yes | No | FR2 only |
| ***twoFL-DMRS-TwoAdditionalDMRS-DL***Defines whether the UE supports DM-RS pattern for DL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | FS | No | No | Yes |
| ***type1-3-CSS***Defines whether the UE is able to receive PDCCH in FR2 in a Type1-PDCCH common search space configured by dedicated RRC signaling, in a Type3-PDCCH common search space or a UE-specific search space if those are associated with a CORESET with a duration of 3 symbols. | FS | Yes | No | FR2 only |
| ***ue-SpecificUL-DL-Assignment***Indicates whether the UE supports dynamic determination of UL and DL link direction and slot format based on Layer 1 scheduling DCI and higher layer configured parameter UL-DL-configuration-dedicated as specified in TS 38.213 [11]. | FS | No | No | No |

#### 4.2.7.6 *FeatureSetDownlinkPerCC* parameters

| **Definitions for parameters** | **Per** | **M** | **FDD-TDD****DIFF** | **FR1-FR2****DIFF** |
| --- | --- | --- | --- | --- |
| ***channelBW-90mhz***Indicates whether the UE supports the channel bandwidth of 90 MHz.For FR1, the UE shall indicate support according to TS 38.101-1 [2], Table 5.3.5-1. | FSPC | CY | No | FR1 only |
| ***maxNumberMIMO-LayersPDSCH***Defines the maximum number of spatial multiplexing layer(s) supported by the UE for DL reception. For single CC standalone NR, it is mandatory with capability signaling to support at least 4 MIMO layers in the bands where 4Rx is specified as mandatory for the given UE and at least 2 MIMO layers in FR2. If absent, the UE does not support MIMO on this carrier. | FSPC | CY | No | No |
| ***supportedBandwidthDL***Indicates maximum DL channel bandwidth supported for a given SCS that UE supports within a single CC, which is defined in Table 5.3.5-1 in TS 38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.For FR1, all the bandwidths listed in TS38.101-1 Table 5.3.5-1 for each band shall be mandatory with a single CC unless indicated optional. For FR2, the set of mandatory CBW is 50, 100, 200 MHz. When this field is included in a band combination with a single band entry and a single CC entry (i.e. non-CA band combination), the UE shall indicate the maximum channel bandwidth for the band according to TS 38.101-1 [2] and TS 38.101-2 [3].NOTE: To determine whether the UE supports a channel bandwidth of 90 MHz, the network may ignore this capability for and validate instead the *channelBW-90mhz* and the *supportedBandwidthCombinationSet*. For serving cells with other channel bandwidths the network validates the *channelBWs-DL*, the *supportedBandwidthCombinationSet* and *supportedBandwidthDL*. | FSPC | CY | No | No |
| ***supportedModulationOrderDL***Indicates the maximum supported modulation order to be applied for downlink in the carrier in the max data rate calculation as defined in 4.1.2. If included, the network may use a modulation order on this serving cell which is higher than the value indicated in this field as long as UE supports the modulation of higher value for downlink. If not included:- for FR1, the network uses the modulation order signalled in *pdsch-256QAM-FR1.*- for FR2, the network uses the modulation order signalled per band i.e. *pdsch-256QAM-FR2* if signalled*.* If not signalled in a given band, the network shall use the modulation order 64QAM.In all the cases, it shall be ensured that the data rate does not exceed the max data rate (*DataRate*) and max data rate per CC (*DataRateCC*) according to TS 38.214 [12]. | FSPC | No | No | No |
| ***supportedSubCarrierSpacingDL***Defines the supported sub-carrier spacing for DL by the UE, as defined in clause 4.2-1 of TS 38.211 [6], indicating the UE supports simultaneous reception with same or different numerologies in CA. Support of simultaneous reception with same numerology for intra-band NR CA including both contiguous and non-contiguous is mandatory with capability in both FR1 and FR2. Support of simultaneous reception with two different numerologies between FR1 band(s) and FR2 band(s) in DL is mandatory with capability if UE supports inter-band NR CA including both FR1 band(s) and FR2 band(s). Optional for other cases. Support of simultaneous reception of with different numerologies in CA for other cases is optional. | FSPC | CY | No | No |

#### 4.2.7.7 *FeatureSetUplink* parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***scalingFactor***Indicates the scaling factor to be applied to the band in the max data rate calculation as defined in 4.1.2. Value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. If absent, the scaling factor 1 is applied to the band in the max data rate calculation. | FS | No | No | No |
| ***crossCarrierScheduling-OtherSCS***Indicates whether the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in UL carrier aggregation where numerologies for the scheduling cell and scheduled cell are different. The UE shall set this field to the same value as *crossCarrierScheduling-OtherSCS* in the associated *FeatureSetDownlink* (if present).NOTE: Cross-carrier scheduling with different numerologies is not supported in this release of specification. | FS | No | No | No |
| ***dynamicSwitchSUL***Indicates whether the UE supports supplemental uplink with dynamic switch (DCI based selection of PUSCH carrier). | FS | No | No | No |
| ***featureSetListPerUplinkCC***Indicates which features the UE supports on the individual UL carriers of the feature set (and hence of a band entry that refer to the feature set) by *FeatureSetUplinkPerCC-Id*. The UE shall hence include as many *FeatureSetUplinkPerCC-Id* in this list as the number of carriers it supports according to the *ca-bandwidthClassUL*. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetUplinkPerCC-Id* in this list. A fallback per CC feature set resulting from the reported feature set per UL CC is not signalled but the UE shall support it. | FS | N/A | No | No |
| ***intraBandFreqSeparationUL***Indicates UL frequency separation class the UE supports, which indicates a maximum frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band, for intra-band non-contiguous CA. The UE sets the same value in the FeatureSetUplink of each band entry within a band. The values c1, c2 and c3 corresponds to the values defined in TS 38.101-2 [3]. It is mandatory to report for UE which supports UL non-contiguous CA in FR2. | FS | CY | No | FR2 only |
| ***pa-PhaseDiscontinuityImpacts***Indicates incapability motivated by impacts of PA phase discontinuity with overlapping transmissions with non-aligned starting or ending times or hop boundaries across carriers for intra-band EN-DC, intra-band CA and FDM based ULSUP. | FS | No | No | No |
| ***pusch-ProcessingType1-DifferentTB-PerSlot***Indicates whether the UE capable of processing time capability 1 supports transmission of up to two, four or seven unicast PUSCHs for several transport blocks in one serving cell within the same slot per CC that are multiplexed in time domain only. | FS | No | No | No |
| ***pusch-ProcessingType2***Indicates whether the UE supports PUSCH processing capability 2. The UE supports it only if all serving cells are self-scheduled and if all serving cells in one band on which the network configured processingType2 use the same subcarrier spacing. This capability signalling comprises the following parameters for each sub-carrier spacing supported by the UE.- *fallback* indicates whether the UE supports PUSCH processing capability 2 when the number of configured carriers is larger than *numberOfCarriers* for a reported value of *differentTB-PerSlot*. If *fallback* = 'sc', UE supports capability 2 processing time on lowest cell index among the configured carriers in the band where the value is reported, if *fallback* = 'cap1-only', UE supports only capability 1, in the band where the value is reported;- *differentTB-PerSlot* indicates whether the UE supports processing type 2 for 1, 2, 4 and/or 7 unicast PUSCHs for different transport blocks per slot per CC; and if so, it indicates up to which number of CA serving cells the UE supports that number of unicast PUSCHs for different TBs. The UE shall include at least one of *numberOfCarriers* for 1, 2, 4 or 7 transport blocks per slot in this field if *pusch-ProcessingType2* is indicated. | FS | No | No | FR1 only |
| ***pusch-SeparationWithGap***Indicates whether the UE supports separation of two unicast PUSCHs with a gap, applicable to Sub-carrier spacings of 15 kHz, 30 kHz and 60 kHz only. For any two consecutive slots n and n+1, if there are more than 1 unicast PUSCH in either slot, the minimum time separation between starting time of any two unicast PUSCHs within the duration of these slots is 2 OFDM symbols for 15kHz, 4 OFDM symbols for 30kHz and 7 OFDM symbols for 60kHz. | FS | No | No | No |
| ***searchSpaceSharingCA-UL***Defines whether the UE supports UL PDCCH search space sharing for carrier aggregation operation. | FS | No | No | No |
| ***simultaneousTxSUL-NonSUL***Indicates whether the UE supports simultaneous transmission of SRS on an SUL/non-SUL carrier and PUSCH/PUCCH/SRS on the other UL carrier in the same cell. | FS | No | No | No |
| ***supportedSRS-Resources***Defines support of SRS resources. The capability signalling comprising indication of:- *maxNumberAperiodicSRS-PerBWP* indicates supported maximum number of aperiodic SRS resources that can be configured for the UE per each BWP- *maxNumberAperiodicSRS-PerBWP-PerSlot* indicates supported maximum number of aperiodic SRS resources per slot in the BWP- *maxNumberPeriodicSRS-PerBWP* indicates supported maximum number of periodic SRS resources per BWP- *maxNumberPeriodicSRS-PerBWP-PerSlot* indicates supported maximum number of periodic SRS resources per slot in the BWP- *maxNumberSemiPersistentSRS-PerBWP* indicate supported maximum number of semi-persistent SRS resources that can be configured for the UE per each BWP- *maxNumberSemiPersistentSRS-PerBWP-PerSlot* indicates supported maximum number of semi-persistent SRS resources per slot in the BWP- *maxNumberSRS-Ports-PerResource* indicates supported maximum number of SRS antenna port per each SRS resource | FS | Yes | No | No |
| ***twoPUCCH-Group***Indicates whether two PUCCH group in CA with a same numerology across CCs for data and control channel [at a given time] is supported by the UE. For NR CA, two PUCCH group is supported with the same numerology across NR carriers for data and control channel at a given time. For EN-DC, two PUCCH group is supported with the same numerology across NR carriers for data and control channel at a given time, wherein an NR PUCCH group is configured in FR1 and another NR PUCCH group is configured in FR2. | FS | No | No | No |
| ***ul-MCS-TableAlt-DynamicIndication***Indicates whether the UE supports dynamic indication of MCS table using MCS-C-RNTI for PUSCH. | FS | No | No | No |
| ***zeroSlotOffsetAperiodicSRS***Indicates whether the UE supports 0 slot offset between aperiodic SRS triggering and transmission, for SRS for CB PUSCH and antenna switching on FR1. | FS | No | No | No |

#### 4.2.7.8 *FeatureSetUplinkPerCC* parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***channelBW-90mhz***Indicates whether the UE supports the channel bandwidth of 90 MHz.For FR1, the UE shall indicate support according to TS 38.101-1 [2], Table 5.3.5-1. | FSPC | CY | No | FR1 only |
| ***maxNumberMIMO-LayersCB-PUSCH***Defines supported maximum number of MIMO layers at the UE for PUSCH transmission with codebook precoding. UE indicating support of this feature shall also indicate support of PUSCH codebook coherency subset. This feature is not supported for SUL. | FSPC | No | No | No |
| ***maxNumberMIMO-LayersNonCB-PUSCH***Defines supported maximum number of MIMO layers at the UE for PUSCH transmission using non-codebook precoding. This feature is not supported for SUL.UE supporting non-codebook based PUSCH transmission shall indicate support of *maxNumberMIMO-LayersNonCB-PUSCH, maxNumberSRS-ResourcePerSet* and *maxNumberSimultaneousSRS-ResourceTx* together. | FSPC | No | No | No |
| ***maxNumberSimultaneousSRS-ResourceTx***Defines the maximum number of simultaneous transmitted SRS resources at one symbol for non-codebook based transmission to the UE. This feature is not supported for SUL. | FSPC | No | No | No |
| ***maxNumberSRS-ResourcePerSet***Defines the maximum number of SRS resources per SRS resource set configured for codebook or non-codebook based transmission to the UE. This feature is not supported for SUL. | FSPC | No | No | No |
| ***supportedBandwidthUL***Indicates maximum UL channel bandwidth supported for a given SCS that UE supports within a single CC, which is defined in Table 5.3.5-1 in TS38.101-1 [2] for FR1 and Table 5.3.5-1 in TS 38.101-2 [3] for FR2.For FR1, all the bandwidths listed in TS38.101-1 Table 5.3.5-1 for each band shall be mandatory with a single CC unless indicated optional. For FR2, the set of mandatory CBW is 50, 100, 200 MHz. When this field is included in a band combination with a single band entry and a single CC entry (i.e. non-CA band combination), the UE shall indicate the maximum channel bandwidth for the band according to TS 38.101-1 [2] and TS 38.101-2 [3].NOTE: To determine whether the UE supports a channel bandwidth of 90 MHz the network may ignore this capability for and validate instead the *channelBW-90mhz* and the *supportedBandwidthCombiantionSet*. For serving cells with other channel bandwidths the network validates the *channelBWs-UL*, the *supportedBandwidthCombinationSet* and *supportedBandwidthUL*. | FSPC | CY | No | No |
| ***supportedModulationOrderUL***Indicates the maximum supported modulation order to be applied for uplink in the carrier in the max data rate calculation as defined in 4.1.2. If included, the network may use a modulation order on this serving cell which is higher than the value indicated in this field as long as UE supports the modulation of higher value for uplink. If not included,- for FR1 and FR2, the network uses the modulation order signalled per band i.e. *pusch-256QAM* if signalled*.* If not signalled in a given band, the network shall use the modulation order 64QAM.In all the cases, it shall be ensured that the data rate does not exceed the max data rate (*DataRate*) and max data rate per CC (*DataRateCC*) according to TS 38.214 [12]. | FSPC | No | No | No |
| ***supportedSubCarrierSpacingUL***Defines the supported sub-carrier spacing for UL by the UE, as defined in 4.2-1 of TS 38.211 [6], indicating the UE supports simultaneous transmission with same or different numerologies in CA, or indicating the UE supports different numerologies on NR UL and SUL within one cell. Support of simultaneous transmissions with same numerology for intra-band NR CA including both contiguous and non-contiguous is mandatory with capability in both FR1 and FR2. Support of simultaneous transmission with two different numerologies between FR1 band(s) and FR2 band(s) in UL is mandatory with capability if UE supports inter-band NR CA including both FR1 band(s) and FR2 band(s). Support of simultaneous transmission with different numerologies in CA for other cases is optional. | FSPC | CY | No | No |

#### 4.2.7.9 *MRDC-Parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***asyncIntraBandENDC***Indicates whether the UE supports asynchronous FDD-FDD intra-band EN-DC with MRTD and MTTD as specified in clause 7.5 and 7.6 of TS 38.133 [5]. If asynchronous FDD-FDD intra-band EN-DC is not supported, the UE supports only synchronous FDD-FDD intra-band EN-DC. | BC | No | FDD only | FR1 only |
| ***dualPA-Architecture***For an intra-band band combination, this field indicates the support of dual PAs. If absent in an intra-band band combination, the UE supports single PA for all the ULs in the intra-band band combination. For other band combinations, this field is not applicable. | BC | No | No | No |
| ***dynamicPowerSharingENDC***Indicates whether the UE supports dynamic (NG)EN-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability the UE supports the dynamic power sharing behaviour as specified in clause 7 of TS 38.213 [11]. | BC | Yes | No | FR1 only |
| ***dynamicPowerSharingNEDC***Indicates whether the UE supports dynamic NE-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability, the UE supports the dynamic power sharing behavior as specified in clause 7 of TS 38.213 [11]. | BC | Yes | No | FR1 only |
| ***intraBandENDC-Support***Indicates whether the UE supports intra-band EN-DC with only non-contiguous spectrum, or with both contiguous and non-contiguous spectrum for the EN-DC combination as specified in TS 38.101-3 [4].If the UE does not include this field for an intra-band EN-DC combination the UE only supports the contiguous spectrum for the intra-band EN-DC combination. | BC | No | No | No |
| ***interBandContiguousMRDC***Indicates for an inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]), that the UE supports intra-band contiguous (NG)EN-DC/NE-DC requirements (see TS 38.101-3 [4]). If the field is absent for such an inter-band (NG)EN-DC/NE-DC combination, the UE supports intra-band non-contiguous (NG)EN-DC/NE-DC requirements. | BC | CY | No | No |
| ***simultaneousRxTxInterBandENDC***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band EN-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-3 [4]. | BC | CY | No | No |
| ***singleUL-Transmission***Indicates that the UE does not support simultaneous UL transmissions as defined in TS 38.101-3 [4]. The UE may only include this field for certain band combinations defined in TS 38.101-3 [4]. If included for a particular band combination, the field applies to all fallback band combinations of this band combination that are defined in TS 38.101-3 [4] as being allowed to include this field and does not apply to any other fallback band combinations defined in TS 38.101-3 [4]. | BC | No | No | No |
| ***tdm-Pattern***Indicates whether the UE supports the *tdm-PatternConfig* for *single UL-transmission* associated functionality, as specified in TS 36.331 [17]. Support is conditionally mandatory in (NG)EN-DC for UEs that do not support dynamicPowerSharingENDC and for UEs that indicate single UL transmission for any (NG)EN-DC BC. Support is conditionally mandatory in NE-DC for UEs that do not support dynamicPowerSharingNEDC and for UEs that indicate single UL transmission for any NE-DC BC. The feature is optional otherwise. | BC | CY | Yes | Yes |
| ***ul-SharingEUTRA-NR***Indicates whether the UE supports EN-DC with EUTRA-NR coexistence in UL sharing via TDM only, FDM only, or both TDM and FDM from UE perspective as specified in TS 38.101-3 [4]. | BC | No | No | FR1 only |
| ***ul-SwitchingTimeEUTRA-NR***Indicates support of switching type between LTE UL and NR UL for EN-DC with LTE-NR coexistence in UL sharing from UE perspective as defined in clause 6.3B of TS 38.101-3 [4]. It is mandatory to report switching time type 1 or type 2 if UE reports *ul-SharingEUTRA-NR* is *tdm* or *both*. | BC | CY | No | FR1 only |
| ***ul-TimingAlignmentEUTRA-NR***Indicates whether to apply the same UL timing between NR and LTE for dynamic power sharing capable UE operating in a synchronous intra-band contiguous (NG)EN-DC. If this field is absent, UE shall be capable of handling a timing difference up to applicable MTTD requirements when operating in a synchronous intra-band contiguous (NG)EN-DC network, as specified in TS 38.133 [5]. If this capability is included in an inter-band (NG)EN-DC BC with an intra-band (NG)EN-DC BC part, this capability is used to indicate the restriction to the intra-band (NG)EN-DC BC part. | BC | No | No | No |
| ***maxUplinkDutyCycle-interBandENDC-TDD-PC2***Indicates the maximum percentage of symbols during a certain evaluation period that can be scheduled for NR uplink transmission under different EUTRA TDD uplink-downlink configurations so as to ensure compliance with applicable electromagnetic energy absorption requirements provided by regulatory bodies. This field is only applicable for inter-band TDD+TDD EN-DC power class 2 UE as specified in TS 38.101-3 [4]. If the field is absent, 30% shall be applied to all EUTRA TDD uplink-downlink configurations. If *eutra-TDD-Configx* is absent, 30% shall be applied to the corresponding EUTRA TDD uplink-downlink configuration.Value n20 corresponds to 20%, value n40 corresponds to 40% and so on. | BC | No | TDD only | FR1 only |

#### 4.2.7.10 *Phy-Parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***absoluteTPC-Command***Indicates whether the UE supports absolute TPC command mode. | UE | No | No | Yes |
| ***almostContiguousCP-OFDM-UL***Indicates whether the UE supports almost contiguous UL CP-OFDM transmissions as defined in clause 6.2 of TS 38.101-1 [2]. | UE | No | No | Yes |
| ***bwp-SwitchingDelay***Defines whether the UE supports DCI and timer based active BWP switching delay type1 or type2 specified in clause 8.6.2 of TS 38.133 [5]. It is mandatory to report type 1 or type 2. | UE | Yes | No | No |
| ***cbg-FlushIndication-DL***Indicates whether the UE supports CBG-based (re)transmission for DL using CBG flushing out information (CBGFI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-DL***Indicates whether the UE supports CBG-based (re)transmission for DL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-UL***Indicates whether the UE supports CBG-based (re)transmission for UL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cli-RSSI-FDM-DL-r16***Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and CLI-RSSI FDMed reception is supported as specified in 38.215 [13]. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-FDM-DL-r16***Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and SRS-RSRP FDMed reception is supported as specified in 38.215 [13]. | UE | No | TDD only | Yes |
| ***configuredUL-GrantType1***Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***configuredUL-GrantType2***Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***cqi-TableAlt***Indicates whether UE supports the CQI table with target BLER of 10^-5. | UE | No | No | Yes |
| ***csi-ReportFramework***See *csi-ReportFramework* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-ReportWithoutCQI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-ReportWithoutPMI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/CQI' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-RS-CFRA-ForHO***Indicates whether the UE can perform reconfiguration with sync using a contention free random access on PRACH resources that are associated with CSI-RS resources of the target cell. | UE | No | No | No |
| ***csi-RS-IM-ReceptionForFeedback***See *csi-RS-IM-ReceptionForFeedback* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-RS-ProcFrameworkForSRS***See *csi-RS-ProcFrameworkForSRS* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | No | No | No |
| ***dl-64QAM-MCS-TableAlt***Indicates whether the UE supports the alternative 64QAM MCS table for PDSCH. | UE | No | No | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeA***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A. | UE | Yes | Yes | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeB***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B. | UE | Yes | Yes | Yes |
| ***downlinkSPS***Indicates whether the UE supports PDSCH reception based on semi-persistent scheduling. | UE | No | No | No |
| ***dynamicBetaOffsetInd-HARQ-ACK-CSI***Indicates whether the UE supports indicating beta-offset (UCI repetition factor onto PUSCH) for HARQ-ACK and/or CSI via DCI among the RRC configured beta-offsets. | UE | No | No | No |
| ***dynamicHARQ-ACK-Codebook***Indicates whether the UE supports HARQ-ACK codebook dynamically constructed by DCI(s). This field shall be set to *supported*. | UE | Yes | No | No |
| ***dynamicHARQ-ACK-CodeB-CBG-Retx-DL***Indicates whether the UE supports HARQ-ACK codebook size for CBG-based (re)transmission based on the DAI-based solution as specified in TS 38.213 [11]. | UE | No | No | No |
| ***dynamicPRB-BundlingDL***Indicates whether UE supports DCI-based indication of the PRG size for PDSCH reception. | UE | No | No | No |
| ***dynamicSFI***Indicates whether the UE supports monitoring for DCI format 2\_0 and determination of slot formats via DCI format 2\_0. | UE | No | Yes | Yes |
| ***dynamicSwitchRA-Type0-1-PDSCH***Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PDSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***dynamicSwitchRA-Type0-1-PUSCH***Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PUSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***pucch-F0-2WithoutFH***Indicates whether the UE supports transmission of a PUCCH format 0 or 2 without frequency hopping. When included, the UE does not support PUCCH formats 0 and 2 without frequency hopping. When not included, the UE supports the PUCCH formats 0 and 2 without frequency hopping. | UE | Yes | No | Yes |
| ***pucch-F1-3-4WithoutFH***Indicates whether the UE supports transmission of a PUCCH format 1, 3 or 4 without frequency hopping. When included, the UE does not support PUCCH formats 1, 3 and 4 without frequency hopping. When not included, the UE supports the PUCCH formats 1, 3 and 4 without frequency hopping. | UE | Yes | No | Yes |
| ***interleavingVRB-ToPRB-PDSCH***Indicates whether the UE supports receiving PDSCH with interleaved VRB-to-PRB mapping as specified in TS 38.211 [6]. | UE | Yes | No | No |
| ***interSlotFreqHopping-PUSCH***Indicates whether the UE supports inter-slot frequency hopping for PUSCH transmissions. | UE | No | No | No |
| ***intraSlotFreqHopping-PUSCH***Indicates whether the UE supports intra-slot frequency hopping for PUSCH transmission, except for PUSCH scheduled by PDCCH in the Type1-PDCCH common search space before RRC connection establishment. | UE | Yes | No | Yes |
| ***maxLayersMIMO-Indication***Indicates whether the UE supports the network configuration of *maxMIMO-Layers* as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***maxNumberSearchSpaces***Indicates whether the UE supports up to 10 search spaces in an SCell per BWP. | UE | No | No | No |
| ***multipleCORESET***Indicates whether the UE supports configuration of more than one PDCCH CORESET per BWP in addition to the CORESET with CORESET-ID 0 in the BWP. It is mandatory with capability signaling for FR2 and optional for FR1. | UE | CY | No | Yes |
| ***mux-HARQ-ACK-PUSCH-DiffSymbol***Indicates whether the UE supports HARQ-ACK piggyback on a PUSCH with/without aperiodic CSI once per slot when the starting OFDM symbol of the PUSCH is different from the starting OFDM symbols of the PUCCH resource that HARQ-ACK would have been transmitted on. | UE | Yes | No | Yes |
| ***mux-MultipleGroupCtrlCH-Overlap***Indicates whether the UE supports more than one group of overlapping PUCCHs and PUSCHs per slot per PUCCH cell group for control multiplexing. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot***Indicates whether the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH more than once per slot when SR, HARQ-ACK and CSI are supposed to be sent with the same or different starting symbol in a slot. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot****sameSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the same starting symbols on the PUCCH resources in a slot. *diffSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the different starting symbols in a slot. The UE is mandated to support the multiplexing and piggybacking features indicated by *sameSymbol* while the UE is optional to support the multiplexing and piggybacking features indicated by *diffSymbol*.If the UE indicates *sameSymbol* in this field and does not support *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot, when the starting OFDM symbol of the PUSCH is the same as the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on.If the UE indicates *sameSymbol* in this field and supports *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot for which case the starting OFDM symbol of the PUSCH is the different from the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on. | UE | FD | No | Yes |
| ***mux-SR-HARQ-ACK-PUCCH***Indicates whether the UE supports multiplexing SR and HARQ-ACK on a PUCCH or piggybacking on a PUSCH once per slot, when SR and HARQ-ACK are supposed to be sent with the different starting symbols in a slot. | UE | No | No | Yes |
| ***nzp-CSI-RS-IntefMgmt***Indicates whether the UE supports interference measurements using NZP CSI-RS. | UE | No | No | No |
| ***oneFL-DMRS-ThreeAdditionalDMRS-UL***Defines whether the UE supports DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | UE | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-UL***Defines support of DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | UE | Yes | No | Yes |
| ***onePortsPTRS***Defines whether UE supports PT-RS with 1 antenna port in DL reception and/or UL transmission. It is mandatory with UE capability signalling for FR2 and optional for FR1. The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | CY | No | Yes |
| ***onePUCCH-LongAndShortFormat***Indicates whether the UE supports transmission of one long PUCCH format and one short PUCCH format in TDM in the same slot. | UE | No | No | Yes |
| ***pCell-FR2***Indicates whether the UE supports PCell operation on FR2. | UE | Yes | No | FR2 only |
| ***pdcch-MonitoringSingleOccasion***Indicates whether the UE supports receiving PDCCH scrambled with C-RNTI or CS-RNTI in a search space configured to be monitored within a single span of any three contiguous OFDM symbols in a slot with the capability of supporting at least 44 blind decodes in a slot for 15 kHz subcarrier spacing. | UE | No | No | FR1 only |
| ***pdcch-BlindDetectionCA***Indicates PDCCH blind decoding capabilities supported by the UE for CA with more than 4 CCs as specified in TS 38.213 [11]. The field value is from 4 to 16.NOTE: FR1-FR2 differentiation is not allowed in this release, although the capability signalling is supported for FR1-FR2 differentiation. | UE | No | No | No |
| ***pdcch-BlindDetectionMCG-UE***Indicates PDCCH blind decoding capabilities supported for MCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdcch-BlindDetectionSCG-UE***Indicates PDCCH blind decoding capabilities supported for SCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdsch-256QAM-FR1***Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR1 as defined in 7.3.1.2 of TS 38.211 [6]. | UE | Yes | No | FR1 only |
| ***pdsch-MappingTypeA***Indicates whether the UE supports receiving PDSCH using PDSCH mapping type A with less than seven symbols. This field shall be set to *supported*. | UE | Yes | No | No |
| ***pdsch-MappingTypeB***Indicates whether the UE supports receiving PDSCH using PDSCH mapping type B. | UE | Yes | No | No |
| ***pdsch-RepetitionMultiSlots***Indicates whether the UE supports receiving PDSCH scheduled by DCI format 1\_1 when configured with higher layer parameter *pdsch-AggregationFactor* > 1, as defined in 5.1.2.1 of TS 38.214 [12]. | UE | No | No | No |
| ***pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot***Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR1, each described as a resource (including NZP/ZP CSI-RS, CRS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CCare limited by the respective capability parameters. Value n10 means 10 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR1 only |
| ***pdsch-RE-MappingFR2-PerSymbol/pdsch-RE-MappingFR2-PerSlot***Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR2, each described as a resource (including NZP/ZP CSI-RS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CC are limited by the respective capability parameters. Value n6 means 6 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR2 only |
| ***precoderGranularityCORESET***Indicates whether the UE supports receiving PDCCH in CORESETs configured with CORESET-precoder-granularity equal to the size of the CORESET in the frequency domain as specified in TS 38.211 [6]. | UE | No | No | No |
| ***pre-EmptIndication-DL***Indicates whether the UE supports interrupted transmission indication for PDSCH reception based on reception of DCI format 2\_1 as defined in TS 38.213 [11]. | UE | No | No | No |
| ***pucch-F2-WithFH***Indicates whether the UE supports transmission of a PUCCH format 2 (2 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-WithFH***Indicates whether the UE supports transmission of a PUCCH format 3 (4~14 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-4-HalfPi-BPSK***Indicates whether the UE supports pi/2-BPSK for PUCCH format 3/4 as defined in 6.3.2.6 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. | UE | CY | No | Yes |
| ***pucch-F4-WithFH***Indicates whether the UE supports transmission of a PUCCH format 4 (4~14 OFDM symbols in total) with frequency hopping in a slot. | UE | Yes | No | Yes |
| ***pusch-RepetitionMultiSlots***Indicates whether the UE supports transmitting PUSCH scheduled by DCI format 0\_1 when configured with higher layer parameter *pusch-AggregationFactor* > 1, as defined in clause 6.1.2.1 of TS 38.214 [12]. | UE | Yes | No | No |
| ***pucch-Repetition-F1-3-4***Indicates whether the UE supports transmission of a PUCCH format 1 or 3 or 4 over multiple slots with the repetition factor 2, 4 or 8. | UE | Yes | No | No |
| ***pusch-HalfPi-BPSK***Indicates whether the UE supports pi/2-BPSK modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. | UE | CY | No | Yes |
| ***pusch-LBRM***Indicates whether the UE supports limited buffer rate matching in UL as specified in TS 38.212 [10]. | UE | No | No | Yes |
| ***ra-Type0-PUSCH***Indicates whether the UE supports resource allocation Type 0 for PUSCH as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingCtrlResrcSetDynamic***Indicates whether the UE supports dynamic rate matching for DL control resource set. | UE | Yes | No | No |
| ***rateMatchingResrcSetDynamic***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity based on dynamic indication in the scheduling DCI as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingResrcSetSemi-Static***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity following the semi-static configuration as specified in TS 38.214 [12]. | UE | Yes | No | No |
| ***scs-60kHz***Indicates whether the UE supports 60kHz subcarrier spacing for data channel in FR1 as defined in clause 4.2-1 of TS 38.211 [6]. | UE | No | No | FR1 only |
| ***semiOpenLoopCSI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1/CQI ' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***semiStaticHARQ-ACK-Codebook***Indicates whether the UE supports HARQ-ACK codebook constructed by semi-static configuration. | UE | Yes | No | No |
| ***spatialBundlingHARQ-ACK***Indicates whether the UE supports spatial bundling of HARQ-ACK bits carried on PUCCH or PUSCH per PUCCH group. With spatial bundling, two HARQ-ACK bits for a DL MIMO data is bundled into a single bit by logical "AND" operation. | UE | Yes | No | No |
| ***sp-CSI-IM***Indicates whether the UE supports semi-persistent CSI-IM. | UE | No | No | Yes |
| ***sp-CSI-ReportPUCCH***Indicates whether UE supports semi-persistent CSI reporting using PUCCH formats 2, 3 and 4. | UE | No | No | No |
| ***sp-CSI-ReportPUSCH***Indicates whether UE supports semi-persistent CSI reporting using PUSCH. | UE | No | No | No |
| ***sp-CSI-RS***Indicates whether the UE supports semi-persistent CSI-RS. | UE | Yes | No | Yes |
| ***supportedDMRS-TypeDL***Defines supported DM-RS configuration types at the UE for DL reception. Type 1 is mandatory with capability signaling. Type 2 is optional. | UE | CY | No | Yes |
| ***supportedDMRS-TypeUL***Defines supported DM-RS configuration types at the UE for UL transmission. Support of both type 1 and type 2 is mandatory with capability signalling. | UE | Yes | No | Yes |
| ***tdd-MultiDL-UL-SwitchPerSlot***Indicates whether the UE supports more than one switch points in a slot for actual DL/UL transmission(s). | UE | No | TDD only | Yes |
| ***tpc-PUCCH-RNTI***Indicates whether the UE supports group DCI message based on TPC-PUCCH-RNTI for TPC commands for PUCCH. | UE | No | No | Yes |
| ***tpc-PUSCH-RNTI***Indicates whether the UE supports group DCI message based on TPC-PUSCH-RNTI for TPC commands for PUSCH. | UE | No | No | Yes |
| ***tpc-SRS-RNTI***Indicates whether the UE supports group DCI message based on TPC-SRS-RNTI for TPC commands for SRS. | UE | No | No | Yes |
| ***twoDifferentTPC-Loop-PUCCH***Indicates whether the UE supports two different TPC loops for PUCCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoDifferentTPC-Loop-PUSCH***Indicates whether the UE supports two different TPC loops for PUSCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoFL-DMRS***Defines whether the UE supports DM-RS pattern for DL reception and/or UL transmission with 2 symbols front-loaded DM-RS without additional DM-RS symbols.The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | Yes | No | Yes |
| ***twoFL-DMRS-TwoAdditionalDMRS-UL***Defines whether the UE supports DM-RS pattern for UL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | UE | Yes | No | Yes |
| ***twoPUCCH-AnyOthersInSlot***Indicates whether the UE supports transmission of two PUCCH formats in TDM in the same slot, which are not covered by *twoPUCCH-F0-2-ConsecSymbols* and *onePUCCH-LongAndShortFormat*. | UE | No | No | Yes |
| ***twoPUCCH-F0-2-ConsecSymbols***Indicates whether the UE supports transmission of two PUCCHs of format 0 or 2 in consecutive symbols in a slot. | UE | No | Yes | Yes |
| ***type1-PUSCH-RepetitionMultiSlots***Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-PUSCH-RepetitionMultiSlots***Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-SP-CSI-Feedback-LongPUCCH***Indicates whether UE supports Type II CSI semi-persistent CSI reporting over PUCCH Formats 3 and 4 as defined in clause 5.2.4 of TS 38.214 [12]. | UE | No | No | No |
| ***uci-CodeBlockSegmentation***Indicates whether the UE supports segmenting UCI into multiple code blocks depending on the payload size. | UE | Yes | No | Yes |
| ***ul-64QAM-MCS-TableAlt***Indicates whether the UE supports the alternative 64QAM MCS table for PUSCH with and without transform precoding respectively. | UE | No | No | Yes |
| ***ul-SchedulingOffset***Indicates whether the UE supports UL scheduling slot offset (K2) greater than 12. | UE | Yes | Yes | Yes |

#### 4.2.7.11 Other PHY parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***appliedFreqBandListFilter***Mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. | UE | No | No | No |
| ***downlinkSetEUTRA***Indicates the features that the UE supports on the DL carriers corresponding to one EUTRA band entry in a band combination by FeatureSetEUTRA-DownlinkId. The FeatureSetEUTRA-DownlinkId = 0 means that the UE does not support a EUTRA DL carrier in this band of a band combination. | Band | N/A | No | No |
| ***downlinkSetNR***Indicates the features that the UE supports on the DL carriers corresponding to one NR band entry in a band combination by FeatureSetDownlinkId. The FeatureSetDownlinkId = 0 means that the UE does not support a DL carrier in this band of a band combination. A fallback per band feature set resulting from the reported DL feature set that has fallback per CC feature set is not signalled but the UE shall support it. | Band | N/A | No | No |
| ***featureSetCombinations***Pools of feature sets that the UE supports on the NR or MR-DC band combinations. | UE | N/A | No | No |
| ***featureSets***Pools of downlink and uplink features sets as well as a pool of FeatureSetCombination elements. A FeatureSetCombination refers to the IDs of the feature set(s) that the UE supports in that FeatureSetCombination. The BandCombination entries in the BandCombinationList then indicate the ID of the FeatureSetCombination that the UE supports for that band combination. | UE | N/A | No | No |
| ***naics-Capability-List***Indicates that UE in MR-DC supports NAICS as defined in TS 36.331 [17]. | UE | No | No | No |
| ***receivedFilters***Contains all filters requested with UE-CapabilityRequestFilterNR from version 15.6.0 onwards. | UE | No | No | No |
| ***supportedBandCombinationList***Defines the supported NR and/or MR-DC band combinations by the UE. For each band combination the UE identifies the associated feature set combination by featureSetCombinations index referring to featureSetCombination. A fallback band combination resulting from the reported CA and MR-DC band combination is not signalled but the UE shall support it. For intra-band non-contiguous CA band combinations, the UE only includes one band combination, and exclude the others for which the presence of uplink CA bandwidth class in the band combination entry is different. One band combination entry can also indicate support of any other possible permutations in the presence of uplink CA bandwidth class where a paired downlink CA bandwidth class is the same or where the number of UL CCs is smaller than the one of paired DL CCs expressed by the CA bandwidth class, as specified in TS 36.306 [15]. For these band combinations not included in the capability, the supported feature set is the same as the ones for the band combination included in the UE capability. | UE | Yes | No | No |
| ***supportedBandCombinationListNEDC-Only***Defines the supported NE-DC only type of band combinations by the UE. | UE | No | No | No |
| ***supportedBandListNR***Includes the supported NR bands as defined in TS 38.101-1 [2] and TS 38.101-2 [3]. | UE | Yes | No | No |
| ***uplinkSetEUTRA***Indicates the features that the UE supports on the UL carriers corresponding to one EUTRA band entry in a band combination by FeatureSetEUTRA-UplinkId. The FeatureSetUplinkId = 0 means that the UE does not support a UL carrier in this band of a band combination. | Band | N/A | No | No |
| ***uplinkSetNR***Indicates the features that the UE supports on the UL carriers corresponding to one NR band entry in a band combination by FeatureSetUplinkId. The FeatureSetUplinkId = 0 means that the UE does not support a UL carrier in this band of a band combination. A fallback per band feature set resulting from the reported UL feature set that has fallback per CC feature set is not signalled but the UE shall support it. | Band | N/A | No | No |

#### 4.2.7.12 *NRDC-Parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***sfn-SyncNRDC***Indicates the UE supports NR-DC only with SFN and frame synchronization between PCell and PSCell. If not included by the UE supporting NR-DC, the UE supports NR-DC with slot-level synchronization without condition on SFN and frame synchronization. | UE | No | No | No |

### 4.2.8 Void

### 4.2.9 *MeasAndMobParameters*

| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| --- | --- | --- | --- | --- |
| ***cli-RSSI-Meas-r16***Indicates whether the UE can perform CLI RSSI measurements as specified in 38.215 [13] and supports periodical reporting and measurement event triggering as specified in 38.331 [9]. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-Meas-r16***Indicates whether the UE can perform SRS RSRP measurements as specified in 38.215 [13] and supports periodical reporting and measurement event triggering based on SRS-RSRP 38.331 [9].  | UE | No | TDD only | Yes |
| ***csi-RS-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of CSI-RS as specified in TS 38.213 [11] and TS 38.133 [5]. This parameter needs FR1 and FR2 differentiation. If the UE supports this feature, the UE needs to report *maxNumberResource-CSI-RS-RLM*. | UE | Yes | No | Yes |
| ***csi-RSRP-AndRSRQ-MeasWithSSB***Indicates whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured with an associated SS/PBCH. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. | UE | No | No | Yes |
| ***csi-RSRP-AndRSRQ-MeasWithoutSSB***Indicates whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that transmits SS/PBCH block and without an associated SS/PBCH block. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. | UE | No | No | Yes |
| ***csi-SINR-Meas***Indicates whether the UE can perform CSI-SINR measurements based on configured CSI-RS resources as specified in TS 38.215 [13]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponding to the frequency range of measured target cell. If the UE supports this feature, the UE needs to report *maxNumberCSI-RS-RRM-RS-SINR*. | UE | No | No | Yes |
| ***eutra-AutonomousGaps***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when MR-DC is not configured.  | UE | No | Yes | No |
| ***eutra-CGI-Reporting***Defines whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the EN-DC is not configured. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***eventA-MeasAndReport***Indicates whether the UE supports NR measurements and events A triggered reporting as specified in TS 38.331 [9]. This field only applies to SN configured measurement when EN-DC is configured. For NR SA, this feature is mandatory supported. | UE | Yes | Yes | No |
| ***eventB-MeasAndReport***Indicates whether the UE supports EUTRA measurement and event B triggered reporting as specified in TS 38.331 [9]. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***handoverLTE-5GC***Indicates whether the UE supports HO to EUTRA connected to 5GC. It is mandated if the UE supports EUTRA connected to 5GC. | UE | CY | Yes | Yes |
| ***handoverFDD-TDD***Indicates whether the UE supports HO between FDD and TDD. It is mandated if the UE supports both FDD and TDD. This field only applies to NR SA (e.g. PCell handover). For PSCell change when EN-DC is configured, this feature is mandatory supported. | UE | Yes | No | No |
| ***handoverFR1-FR2***Indicates whether the UE supports HO between FR1 and FR2. Support is mandatory for the UE supporting both FR1 and FR2. This field only applies to NR SA(e.g. PCell handover). For PSCell change when EN-DC is configured, this feature is mandatory supported. | UE | Yes | No | No |
| ***handoverInterF***Indicates whether the UE supports inter-frequency HO. It indicates the support for inter-frequency HO from the corresponding duplex mode if this capability is included in *fdd-Add-UE-NR-Capabilities* or *tdd-Add-UE-NR-Capabilities*. It indicates the support for inter-frequency HO from the corresponding frequency range if this capability is included in *fr1-Add-UE-NR-Capabilities* or *fr2-Add-UE-NR-Capabilities*. This field only applies to NR SA (e.g. PCell handover). For PSCell change when EN-DC is configured, this feature is mandatory supported. | UE | Yes | Yes | Yes |
| ***handoverLTE-EPC***Indicates whether the UE supports HO to EUTRA connected to EPC. It is mandated if the UE supports EUTRA connected to EPC. | UE | CY | Yes | Yes |
| ***handoverUTRA-FDD***Indicates whether the UE supports NR to UTRA-FDD CELL\_DCH CS handover. It is mandatory to support both UTRA-FDD measurement and event B triggered reporting, and periodic UTRA-FDD measurement and reporting if the UE supports HO to UTRA-FDD. If this field is included, then UE shall support IMS voice over NR. | UE | No | Yes | Yes |
| ***independentGapConfig***This field indicates whether the UE supports two independent measurement gap configurations for FR1 and FR2 specified in clause 9.1.2 of TS 38.133 [5]. The field also indicates whether the UE supports the FR2 inter-RAT measurement without gaps when EN-DC is not configured. | UE | No | No | No |
| ***intraAndInterF-MeasAndReport***Indicates whether the UE supports NR intra-frequency and inter-frequency measurements and at least periodical reporting. This field only applies to SN configured measurement when EN-DC is configured. For NR SA, this feature is mandatory supported. | UE | Yes | Yes | No |
| ***periodicEUTRA-MeasAndReport***Indicates whether the UE supports periodic EUTRA measurement and reporting. It is mandated if the UE supports EUTRA. | UE | CY | No | No |
| ***maxNumberCSI-RS-RRM-RS-SINR***Defines the maximum number of CSI-RS resources for RRM and RS-SINR measurement across all measurement frequencies per slot. If UE supports any of *csi-RSRP-AndRSRQ-MeasWithSSB*, *csi-RSRP-AndRSRQ-MeasWithoutSSB*, and *csi-SINR-Meas*, UE shall report this capability. | UE | CY | No | No |
| ***maxNumberResource-CSI-RS-RLM***Defines the maximum number of CSI-RS resources within a slot per spCell for CSI-RS based RLM. If UE supports any of *csi-RS-RLM* and *ssb-AndCSI-RS-RLM*, UE shall report this capability. | UE | CY | No | Yes |
| ***nr-AutonomousGaps***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when MR-DC is not configured.  | UE | No | Yes | Yes |
| ***nr-AutonomousGaps-ENDC***Defines whether the UE supports, upon configuration of *useAutonomousGaps* by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell using autonomous gap and reporting the acquired information to the network as specified in TS 38.331 [9] when (NG)EN-DC is configured. | UE | No | Yes | Yes |
| ***nr-CGI-Reporting***Defines whether the UE supports acquisition of relevant information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when EN-DC is not configured. | UE | Yes | No | No |
| ***nr-CGI-Reporting-ENDC***Defines whether the UE supports acquisition of relevant information from a neighbouring intra-frequency or inter-frequency NR cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the (NG)EN-DC is configured. | UE | Yes | No | No |
| ***simultaneousRxDataSSB-DiffNumerology***Indicates whether the UE supports concurrent intra-frequency measurement on serving cell or neighbouring cell and PDCCH or PDSCH reception from the serving cell with a different numerology as defined in clause 8 and 9 of TS 38.133 [5]. | UE | No | No | Yes |
| ***sftd-MeasPSCell***Indicates whether the UE supports SFTD measurements between the PCell and a configured PSCell. If this capability is included in UE-MRDC-Capability, it indicates that the UE supports SFTD measurement between PCell and PSCell in (NG)EN-DC. If this capability is included in UE-NR-Capability, it indicates that the UE supports SFTD measurement between PCell and PSCell in NR-DC. | UE | No | Yes | No |
| ***sftd-MeasPSCell-NEDC***Indicates whether the UE supports SFTD measurement between the NR PCell and a configured E-UTRA PSCell in NE-DC. | UE | No | Yes | No |
| ***sftd-MeasNR-Cell***Indicates whether the SFTD measurement with and without measurement gaps between the EUTRA PCell and the NR cells is supported by the UE which is capable of EN-DC/NGEN-DC when EN-DC/NGEN-DC is not configured. The SFTD measurement without gaps can be used when the UE supports at least one EN-DC band combination consisting of the set of the current E-UTRA serving frequencies and the NR frequency where SFTD measurement is configured. In UE-NR-Capability, this field is not used, and UE does not include the field. | UE | No | Yes | No |
| ***sftd-MeasNR-Neigh***Indicates whether the inter-frequency SFTD measurement with and without measurement gaps between the NR PCell and inter-frequency NR neighbour cells is supported by the UE when MR-DC is not configured. The SFTD measurement without gaps can be used when the UE supports at least one DC or CA band combination consisting of the set of the current NR serving frequencies and the NR frequency where SFTD measurement is configured.  | UE | No | Yes | No |
| ***sftd-MeasNR-Neigh-DRX***Indicates whether the inter-frequency SFTD measurement using DRX off period between the NR PCell and the inter-frequency NR neighbour cells is supported by the UE when MR-DC is not configured. | UE | No | Yes | No |
| ***ssb-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of SS/PBCH block as specified in TS 38.213 [11] and TS 38.133 [5]. This field shall be set to *supported*. | UE | Yes | No | No |
| ***ssb-AndCSI-RS-RLM***Indicates whether the UE can perform radio link monitoring procedure based on measurement of SS/PBCH block and CSI-RS as specified in TS 38.213 [11] and TS 38.133 [5]. If the UE supports this feature, the UE needs to report *maxNumberResource-CSI-RS-RLM*. | UE | No | No | No |
| ***ss-SINR-Meas***Indicates whether the UE can perform SS-SINR measurement as specified in TS 38.215 [13]. If this parameter is indicated for FR1 and FR2 differently, each indication corresponds to the frequency range of measured target cell. | UE | No | No | Yes |
| ***supportedGapPattern***Indicates measurement gap pattern(s) optionally supported by the UE for NR SA, for NR-DC, for NE-DC and for independent measurement gap configuration on FR2 in (NG)EN-DC. The leading / leftmost bit (bit 0) corresponds to the gap pattern 2, the next bit corresponds to the gap pattern 3, as specified in TS 38.133 [5] and so on. The UE shall set the bits corresponding to the measurement gap pattern 13 and 14 to 1 if the UE is an NR standalone capable UE that supports a band in FR2 or if the UE is an (NG)EN-DC capable UE that supports *independentGapConfig* and supports a band in FR2. | UE | CY | No | No |

### 4.2.10 Inter-RAT parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF |
| --- | --- | --- | --- |
| ***mfbi-EUTRA***Indicates whether the UE supports the mechanisms defined for cells broadcasting multi band information i.e. comprehending *multiBandInfoList* defined in TS 36.331 [17]. | UE | Yes | No |
| ***modifiedMPR-BehaviorEUTRA****modifiedMPR-Behavior* in 4.3.5.10, TS 36.306 [15]. | UE | No | No |
| ***multiNS-Pmax-EUTRA****multiNS-Pmax* defined in 4.3.5.16, TS 36.306 [15]. | UE | No | No |
| ***ne-DC***Indicates whether the UE supports NE-DC as specified in TS 37.340 [7]. | UE | No | No |
| ***nr-HO-ToEN-DC-r16*** Indicates whether the UE supports inter-RAT handover from NR to EN-DC while NR-DC or NE-DC is not configured as defined in TS 36.306 [15]. It is mandated for UE support EN-DC. | UE | CY | No |
| ***rs-SINR-MeasEUTRA****rs-SINR-Meas* in 4.3.6.13, TS 36.306 [15]. | UE | No | No |
| ***rsrqMeasWidebandEUTRA****rsrqMeasWideband* in 4.3.6.2, TS 36.306 [15] | UE | No | Yes |
| ***supportedBandListEUTRA****supportedBandListEUTRA* defined in 4.3.5.1, TS 36.306 [15]. | UE | No | No |
| ***supportedBandListUTRA-FDD****Radio frequency bands* defined in 4.5.7, TS 25.306 [xx]. | UE | No | No |

#### 4.2.10.1 Void

#### 4.2.10.2 Void

### 4.2.11 Void

### 4.2.12 Void

### 4.2.13 IMS Parameters

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***voiceFallbackIndicationEPS***Indicates whether the UE supports *voiceFallbackIndication* in *RRCRelease* and *MobilityFromNRCommand*. If this field is included, the UE shall support IMS voice over NR and IMS voice over E-UTRA via EPC. | UE | No | No | No |
| ***voiceOverEUTRA-5GC***Indicates whether the UE supports IMS voice over E-UTRA via 5GC. It is mandated to the UE if the UE is capable of IMS voice over E-UTRA via 5GC. Otherwise, the UE does not include this field. If this field is included and the UE is capable of E-UTRA with EPC, the UE shall support IMS voice over E-UTRA via EPC. | UE | No | No | No |
| ***voiceOverNR***Indicates whether the UE supports IMS voice over NR. It is mandated to the UE if the UE is capable of IMS voice over NR. Otherwise, the UE does not include this field. If this field is included and the UE is capable of E-UTRA with EPC, the UE shall support IMS voice over E-UTRA via EPC. | UE | No | No | Yes |
| ***voiceOverSCG-BearerEUTRA-5GC***Indicates whether the UE supports IMS voice over SCG bearer of NE-DC. | UE | No | No | N/A |

### 4.2.14 RRC buffer size

The RRC buffer size is defined as the maximum overall RRC configuration size that the UE is required to store. The RRC buffer size is 45Kbytes.

# 5 Optional features without UE radio access capability parameters

| Definitions for feature |
| --- |
| SU-MIMO Interference Mitigation advanced receiver- R-ML (reduced complexity ML) receivers with enhanced inter-stream interference suppression for SU-MIMO transmissions with rank 2 with 2 RX antennas- R-ML (reduced complexity ML) receivers with enhanced inter-stream interference suppression for SU-MIMO transmissions with rank 2, 3, and 4 with 4 RX antennasUE supporting the feature is required to meet the Enhanced Receiver Type requirements in TS 38.101-4 [18]. |

# 6 Conditionally mandatory features without UE radio access capability parameters

| Features | Condition |
| --- | --- |
| Skipping UL configured grant if no data to transmit. | Either *configuredUL-GrantType1* or *configuredUL-GrantType2* is supported. |
| Downlink SDAP header | Either NAS reflective QoS or *as-ReflectiveQoS* is supported. |

# 7 Void

# 8 UE Capability Constraints

The following table lists constraints indicating the UE capabilities that the UE shall support.

| Parameter | Description | Value |
| --- | --- | --- |
| #DRBs | The number of DRBs that a UE shall support. | 16 per UE.NOTE: 8 per MAC entity with duplication. |
| #minCellperMeasObjectNR | The minimum number of neighbour cells (excluding black list cells) that a UE shall be able to store associated with a MeasObjectNR. | 32 |
| #minBlackCellRangesperMeasObjectNR | The minimum number of blacklist cell PCI ranges that a UE shall be able to store associated with a MeasObjectNR. | 8 |
| #minCellperMeasObjectEUTRA | The minimum number of neighbour cells that a UE shall be able to store associated with a MeasObjectEUTRA. | 32 |
| #minCellTotal | The minimum number of neighbour cells (excluding black list cells) that UE shall be able to store in total from all measurement objects configured. | 256 with counting CSI-RS and SSB as 2. |
| #cell for CGI reporting  | the limit regarding the cells NR can configure includes the cell for which the UE is requested to report CGI. | (# minCellperMeasObjectRAT - 1), where RAT represents NR and EUTRA. |
| #maxDeprioritisationFreq | The UE shall be able to store a depriotisation request for up to 8 frequencies (applicable when receiving another frequency specific deprioritisation request via *RRCRelease* before T325 expiry). | 8 |
| #minCellperMeasObjectUTRA-FDD | The minimum number of neighbour cells that a UE shall be able to store associated with a MeasObjectUTRA-FDD. | 32 |

Annex A (normative):
Differentiation of capabilities

# Annex A.1: TDD/FDD differentiation of capabilities in TDD-FDD CA

Annex A.1 specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature/capability for which it indicates support within the capability signalling.

A UE that indicates support for TDD/FDD CA (e.g. MCG or SCG):

- For the fields for which the UE is allowed to indicate different support for FDD and TDD, the UE shall support the feature on the PCell and/or SCell(s), as specified in tables A.1-1 in accordance to the following rules:

- PCell: the UE shall support the feature for the PCell, if the UE indicates support of the feature for the PCell duplex mode;

- PSCell: the UE shall support the feature for the PSCell, if the UE indicates support of the feature for the PSCell duplex mode;

- Per serving cell: the UE shall support the feature for a serving cell if the UE indicates support of the feature for the serving cell's duplex mode;

- All serving cells: UE shall support the feature for all serving cells in a CG if the UE indicates support of the feature for both TDD and FDD duplex modes;

- Associated serving cells: UE shall support the feature if the UE indicates support of the feature for all associated serving cells's duplex modes;

- For the fields where the UE is not allowed to indicate different support for FDD and TDD, the UE shall support the feature for PCell and SCell(s) if the UE indicates support of the feature via the common capability bit.

Table A.1-1: Rel-15 UE capabilities for which FDD/TDD differentiation is allowed

|  |  |
| --- | --- |
| UE-NR-Capability or UE-MRDC-Capability | Classification |
| eventA-MeasAndReport | PSCell  |
| dl-SchedulingOffset-PDSCH-TypeA (Note3) | Associated serving cells |
| dl-SchedulingOffset-PDSCH-TypeB (Note3) | Associated serving cells |
| dynamicSFI (Note3) | Associated serving cells |
| handoverInterF | PCell |
| handoverLTE-EPC | PCell |
| handoverLTE-5GC | PCell |
| intraAndInterF-MeasAndReport | PSCell |
| logicalChannelSR-DelayTimer(Note2) | Associated serving cells |
| longDRX-Cycle | All serving cells |
| multipleConfiguredGrants(Note1) | Associated serving cells |
| multipleSR-Configurations | Per serving cell |
| sftd-MeasNR-Cell | PCell |
| sftd-MeasNR-Neigh | PCell |
| sftd-MeasNR-Neigh-DRX | PCell |
| sftd-MeasPSCell | PCell |
| sftd-MeasPSCell-NEDC | PCell |
| shortDRX-Cycle | All serving cells |
| skipUplinkTxDynamic | Per serving cell |
| twoDifferentTPC-Loop-PUCCH (Note3) | Associated serving cells |
| twoDifferentTPC-Loop-PUSCH (Note3) | Associated serving cells |
| ul-SchedulingOffset (Note3) | Associated serving cells |
| NOTE 1: The associated serving cells including the serving cell(s) configured with configured grant.NOTE 2: For a given logical channel, the associated serving cells including the PUCCH cell(s) associated with this logical channel (via *schedulingRequestID*).NOTE 3: The associated serving cells including both the cell sending the command and the cell applying the command. |

# Annex A.2: FR1/FR2 differentiation of capabilities in FR1-FR2 CA

Annex A.2 specifies for which FR1 and FR2 serving cells a UE supporting FR1/FR2 CA shall support a feature/capability for which it indicates support within the capability signalling.

A UE that indicates support for FR1/FR2 CA (e.g. MCG or SCG):

- For the fields for which the UE is allowed to indicate different support for FR1 and FR2, the UE shall support the feature on the PCell and/or SCell(s), as specified in tables A.2-1 in accordance to the following rules:

- PCell: the UE shall support the feature for the PCell, if the UE indicates support of the feature for the PCell FR mode;

- Associated serving cells: UE shall support the feature if the UE indicates support of the feature for associated serving cells's FR modes;

- For the fields where the UE is not allowed to indicate different support for FR1 and FR2, the UE shall support the feature for PCell and SCell(s) if the UE indicates support of the feature via the common capability bit.

Table A.2-1: Rel-15 UE capabilities for which FR1/FR2 differentiation is allowed

|  |  |
| --- | --- |
| UE-NR-Capability | Classification |
| absoluteTPC-Command (Note2) | Associated serving cells |
| dl-SchedulingOffset-PDSCH-TypeA (Note2) | Associated serving cells |
| dl-SchedulingOffset-PDSCH-TypeB (Note2) | Associated serving cells |
| dynamicSFI (Note2) | Associated serving cells |
| handoverInterF | PCell |
| handoverLTE-EPC | PCell |
| handoverLTE-5GC | PCell |
| tpc-PUCCH-RNTI (Note2) | Associated serving cells |
| tpc-PUSCH-RNTI (Note2) | Associated serving cells |
| tpc-SRS-RNTI (Note2) | Associated serving cells |
| twoDifferentTPC-Loop-PUCCH (Note2) | Associated serving cells |
| twoDifferentTPC-Loop-PUSCH (Note2) | Associated serving cells |
| ul-SchedulingOffset (Note2) | Associated serving cells |
| voiceOverNR (Note1) | Associated serving cells. |
| NOTE 1: For a UE that does not support *lch-ToSCellRestriction* capability, the associated serving cells includes all serving cells in the CG; for a UE that supports *lch-ToSCellRestriction* capability, the associated serving cells includes the serving cells indicated by *allowedServingCells* for the LCH.NOTE 2: The associated serving cells including both the cell sending the command and the cell applying the command. |

Annex B (informative):
Change history

|  |
| --- |
| **Change history** |
| **Date** | **Meeting** | **TDoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 06/2017 | RAN2#98 | R2-1704810 |  |  |  | First version | 0.0.1 |
| 06/2017 | RAN2#NR2 | R2-1707386 |  |  |  |  | 0.0.2 |
| 08/2017 | RAN2#99 | R2-1708750 |  |  |  |  | 0.0.3 |
| 12/2017 | RAN2#100 | R2-1712587 |  |  |  |  | 0.0.4 |
| 12/2017 | RAN2#100 | R2-1714141 |  |  |  |  | 0.0.5 |
| 12/2017 | RAN2#100 | R2-1714271 |  |  |  |  | 0.1.0 |
| 12/2017 | RP-78 | RP-172521 |  |  |  | Submitted to RAN#78 for approval | 1.0.0 |
| 12/2017 | RP-78 |  |  |  |  | Upgraded to Rel-15 | 15.0.0 |
| 03/2018 | RP-79 | RP-180440 | 0003 | 3 | F | Updates on UE capabilities | 15.1.0 |
| 06/2018 | RP-80 | RP-181216 | 0009 | 2 | B | Introduce ANR in NR | 15.2.0 |
|  | RP-80 | RP-181216 | 0012 | 1 | F | Miscellaneous corrections | 15.2.0 |
|  | RP-80 | RP-181216 | 0013 | - | B | Delay budget report and MAC CE adaptation for NR for TS 38.306 | 15.2.0 |
| 09/2018 | RP-81 | RP-181940 | 0008 | 4 | F | Correction on total layer2 buffer size | 15.3.0 |
|  | RP-81 | RP-181942 | 0024 | 1 | F | Introduction of UE capability constraints | 15.3.0 |
|  | RP-81 | RP-181942 | 0030 | - | F | 38.306 corrections and cleanup | 15.3.0 |
| 12/2018 | RP-82 | RP-182651 | 0016 | 4 | F | Clarification for Interruption-based and gap-based SFTD measurement | 15.4.0 |
|  | RP-82 | RP-182653 | 0033 | 1 | F | Timer based BWP switching | 15.4.0 |
|  | RP-82 | RP-182652 | 0035 | 2 | F | Additional UE capabilities for NR standalone | 15.4.0 |
|  | RP-82 | RP-182651 | 0037 | 1 | F | Clarification to UE capability of independentGapConfig for inter-RAT NR measurement not yet configured with EN-DC | 15.4.0 |
|  | RP-82 | RP-182661 | 0038 | 2 | F | Update of L2 capability parameters | 15.4.0 |
|  | RP-82 | RP-182660 | 0047 | 2 | F | Clarification on physical layer parameters of UE capability | 15.4.0 |
|  | RP-82 | RP-182666 | 0050 | 3 | F | Introduce RRC buffer size in NR | 15.4.0 |
|  | RP-82 | RP-182664 | 0051 | 2 | F | Clarification of multipleConfiguredGrants | 15.4.0 |
|  | RP-82 | RP-182664 | 0052 | 2 | F | CR to 38.306 for PDCP CA duplication for SRB | 15.4.0 |
|  | RP-82 | RP-182661 | 0054 | 1 | F | UE capability handling for FDD/TDD and FR1/FR2 | 15.4.0 |
|  | RP-82 | RP-182663 | 0057 | 1 | F | Clarify for per CC UL/DL modulation order capabilities | 15.4.0 |
|  | RP-82 | RP-182664 | 0058 | 1 | F | Inter-frequency handover capability | 15.4.0 |
|  | RP-82 | RP-182665 | 0060 | 3 | F | UE capability on PA architecture | 15.4.0 |
|  | RP-82 | RP-182661 | 0062 | 1 | F | CR on signaling contiguous and non-contiguous EN-DC capability | 15.4.0 |
|  | RP-82 | RP-182813 | 0063 | 6 | F | Update of UE capabilities | 15.4.0 |
|  | RP-82 | RP-182662 | 0065 | 2 | F | Introduction of SRS switching capability | 15.4.0 |
|  | RP-82 | RP-182667 | 0068 | 2 | B | CR on introduction of UE overheating support in NR SA scenario | 15.4.0 |
|  | RP-82 | RP-182664 | 0071 | - | F | Introduction of SRS switching capability | 15.4.0 |
| 03/2019 | RP-83 | RP-190634 | 0073 | 1 | F | Capability for aperiodic CSI-RS triggering with different numerology between PDCCH and CSI-RS | 15.5.0 |
|  | RP-83 | RP-190542 | 0074 | 1 | F | Layer-1 capability update | 15.5.0 |
|  | RP-83 | RP-190545 | 0075 | 2 | F | CR to 38.306 on introducing nr-CGI-Reporting-ENDC | 15.5.0 |
|  | RP-83 | RP-190545 | 0086 | 2 | F | CR to clarify intra-NR handover capabilities | 15.5.0 |
|  | RP-83 | RP-190546 | 0088 | 3 | F | Clarification for PDSCHs and PUSCHs per slot for different TBs for UE capable of processing time capability 1 | 15.5.0 |
|  | RP-83 | RP-190542 | 0092 | 2 | F | Correction to mandatory supported capability signaling | 15.5.0 |
|  | RP-83 | RP-190542 | 0097 | 2 | F | Miscellaneous corrections | 15.5.0 |
|  | RP-83 | RP-190545 | 0098 | 2 | F | Correction on supportedBandwidthCombinationSetEUTRA-v1530 usage | 15.5.0 |
|  | RP-83 | RP-190543 | 0099 | - | F | Clarification on signaling the bandwidth class | 15.5.0 |
|  | RP-83 | RP-190545 | 0100 | 1 | F | Clarification on Frequency Separation Class | 15.5.0 |
|  | RP-83 | RP-190544 | 0101 | - | F | CR on Processing delay requirements for RRC Resume procedures in TS 38.306 | 15.5.0 |
| 06/2019 | RP-84 | RP-191375 | 0094 | 1 | F | CR to clarify ul-TimingAlignmentEUTRA-NR | 15.6.0 |
|  | RP-84 | RP-191373 | 0108 | - | F | Layer-1, RF and RRM capability updates | 15.6.0 |
|  | RP-84 | RP-191373 | 0109 | - | F | Clarification on UE capability of lch-ToSCellRestriction | 15.6.0 |
|  | RP-84 | RP-191379 | 0110 | 2 | F | Correction on description of additionalActiveSpatialRelationPUCCH | 15.6.0 |
|  | RP-84 | RP-191378 | 0111 | 1 | F | Clarification on csi-RS-CFRA-ForHO | 15.6.0 |
|  | RP-84 | RP-191379 | 0114 | 2 | F | CR on capability of maxUplinkDutyCycle for FR2 | 15.6.0 |
|  | RP-84 | RP-191380 | 0115 | 2 | F | 38.306 miscellaneous corrections | 15.6.0 |
|  | RP-84 | RP-191378 | 0116 | 1 | B | 38.306 CR for late drop | 15.6.0 |
|  | RP-84 | RP-191381 | 0118 | 4 | F | Clarification on supported modulation order capability | 15.6.0 |
|  | RP-84 | RP-191374 | 0119 | - | F | Correction to PDCP parameters | 15.6.0 |
|  | RP-84 | RP-191381 | 0121 | 3 | F | Corrections to UE Capability definitions | 15.6.0 |
|  | RP-84 | RP-191378 | 0122 | 1 | F | 38.306 Clarification on multiple TA capabilities | 15.6.0 |
|  | RP-84 | RP-191379 | 0123 | 2 | F | CR to clarify non-codebook based PUSCH transmission | 15.6.0 |
|  | RP-84 | RP-191380 | 0124 | 3 | F | Clarification on pdsch-ProcessingType2 | 15.6.0 |
|  | RP-84 | RP-191378 | 0125 | 1 | F | Clarification on present of tci-StatePDSCH | 15.6.0 |
|  | RP-84 | RP-191378 | 0126 | 1 | F | Clarification on SA fallback BC support | 15.6.0 |
|  | RP-84 | RP-191375 | 0128 | - | F | Correction to Beam Correspondence for CA | 15.6.0 |
|  | RP-84 | RP-191379 | 0130 | 2 | F | Correction on the number of DRB in UE Capability Constraints | 15.6.0 |
|  | RP-84 | RP-191379 | 0132 | 1 | F | CR to capture UE supported DL/UL bandwidths | 15.6.0 |
|  | RP-84 | RP-191376 | 0133 | - | F | UE capability signalling for FD-MIMO processing capabilities for EN-DC | 15.6.0 |
|  | RP-84 | RP-191376 | 0134 | - | F | Modified UE capability on different numerologies within the same PUCCH group | 15.6.0 |
|  | RP-84 | RP-191554 | 0135 | - | F | Removal of "Capability for aperiodic CSI-RS triggering with different numerology between PDCCH and CSI-RS" | 15.6.0 |
| 09/2019 | RP-85 | RP-192196 | 0136 | 1 | C | Additional capability signalling for 1024QAM support | 15.7.0 |
|  | RP-85 | RP-192191 | 0142 | 1 | B | Introduction of SFTD measurement to neighbour cells for NR SA | 15.7.0 |
|  | RP-85 | RP-192193 | 0146 | 1 | F | MR-DC measurement gap pattern capability | 15.7.0 |
|  | RP-85 | RP-192194 | 0151 | 3 | F | Clarifying UE capability freqHoppingPUCCH-F0-2 and freqHoppingPUCCH-F1-3-4 | 15.7.0 |
|  | RP-85 | RP-192190 | 0152 | - | F | Clarification to dynamic power sharing capability | 15.7.0 |
|  | RP-85 | RP-192192 | 0153 | 2 | F | Miscellaneous corrections | 15.7.0 |
|  | RP-85 | RP-192190 | 0154 | - | F | Capability of measurement gap patterns | 15.7.0 |
|  | RP-85 | RP-192193 | 0155 | 2 | F | Correction to IMS capability | 15.7.0 |
|  | RP-85 | RP-192194 | 0156 | 3 | F | UE Capabilities covering across all serving cells | 15.7.0 |
|  | RP-85 | RP-192190 | 0167 | - | F | Clarification on UE capability on different numerologies within the same PUCCH group | 15.7.0 |
|  | RP-85 | RP-192193 | 0168 | 1 | F | Correction on CA parameters in NR-DC | 15.7.0 |
|  | RP-85 | RP-192346 | 0169 | - | C | Introduction of UE capability for NR-DC with SFN synchronization between PCell and PSCell | 15.7.0 |
| 12/2019 | RP-86 | RP-192934 | 0185 | 1 | F | Clarification on the restriction of maximum SRS resource sets configuration for uplink beam management. | 15.8.0 |
|  | RP-86 | RP-192936 | 0186 | 3 | F | Miscellaneous corrections on UE capability fields | 15.8.0 |
|  | RP-86 | RP-192935 | 0191 | 1 | F | Corrections on PDCCH blind decoding in NR-DC | 15.8.0 |
|  | RP-86 | RP-192937 | 0200 | 1 | F | Clarification on ne-DC capability | 15.8.0 |
|  | RP-86 | RP-192935 | 0202 | 1 | F | Correction to channelBWs | 15.8.0 |
|  | RP-86 | RP-192936 | 0204 | 1 | F | Use of splitSRB-WithOneUL-Path capability (38.306) | 15.8.0 |
|  | RP-86 | RP-192935 | 0205 | - | F | Correction to pdsch-RepetitionMultiSlots and pusch-RepetitionMultiSlots | 15.8.0 |
|  | RP-86 | RP-192937 | 0215 | 1 | F | Correction on initial BWP bandwidth capabilities | 15.8.0 |
|  | RP-86 | RP-192937 | 0216 | 1 | F | NE-DC dynamic power sharing capability | 15.8.0 |
|  | RP-86 | RP-192935 | 0219 | - | F | Clarification on crossCarrierScheduling-OtherSCS in R15 | 15.8.0 |
|  | RP-86 | RP-192937 | 0220 | - | F | Correction on ambiguity of UE FDD/TDD FR1/FR2 capabilities | 15.8.0 |
| 03/2020 | RP-87 | RP-200334 | 0194 | 2 | F | Correction on parameter description of beamManagementSSB-CSI-RS | 15.9.0 |
|  | RP-87 | RP-200335 | 0208 | 3 | F | CR on BWCS for inter-ENDC BC with intra-ENDC BC (38.306) | 15.9.0 |
|  | RP-87 | RP-200335 | 0209 | 5 | F | CR to 38.306 on support of 70MHz channel bandwidth | 15.9.0 |
|  | RP-87 | RP-200334 | 0236 | - | F | Correction on SRB capability in NR-DC | 15.9.0 |
|  | RP-87 | RP-200335 | 0248 | 2 | F | Data rate for the case of single carrier standalone operation | 15.9.0 |
|  | RP-87 | RP-200334 | 0254 | 1 | F | CR on the maximum stored number of deprioritisation frequencies | 15.9.0 |
|  | RP-87 | RP-200335 | 0255 | 2 | F | Miscellaneous Corrections to UE capability parameters | 15.9.0 |
|  | RP-87 | RP-200335 | 0259 | 1 | F | UE capability of intra-band requirements for inter-band EN-DC/NE-DC | 15.9.0 |
| 03/2020 | RP-87 | RP-200356 | 0145 | 1 | F | CR on capability of maxUplinkDutyCycle for inter-band EN-DC PC2 UE | 16.0.0 |
|  | RP-87 | RP-200335 | 0214 | 2 | F | Correction on beamSwitchTiming values of 224 and 336 | 16.0.0 |
|  | RP-87 | RP-200335 | 0223 | 1 | C | Inclusion of 90MHz UE Bandwidth | 16.0.0 |
|  | RP-87 | RP-200358 | 0226 | 2 | B | Introducing autonomous gap in CGI reporting | 16.0.0 |
|  | RP-87 | RP-200357 | 0229 | - | B | UE capability for IDC | 16.0.0 |
|  | RP-87 | RP-200340 | 0230 | - | B | Introduction of Cross Link Interference (CLI) handling and Remote Interference Management (RIM) | 16.0.0 |
|  | RP-87 | RP-200358 | 0233 | 1 | C | Introduction of EPS voice fallback enhancement | 16.0.0 |
|  | RP-87 | RP-200350 | 0235 | - | B | Introduction of SRVCC from 5G to 3G | 16.0.0 |
|  | RP-87 | RP-200358 | 0243 | 1 | B | Introduction of DL RRC segmentation | 16.0.0 |
|  | RP-87 | RP-200358 | 0258 | 1 | B | Introduction of downgraded configuration for SRS antenna switching | 16.0.0 |
|  | RP-87 | RP-200359 | 0260 | - | B | Recommended Bit Rate/Query for FLUS and MTSI | 16.0.0 |
|  | RP-87 | RP-200358 | 0261 | - | B | Introduction of UE capability indicator of supporting inter-RAT handover from NR to EN-DC in 38.306. | 16.0.0 |