**3GPP TSG RAN WG1 #107-e**  **R1-211xxxx**

**e-Meeting, November 11th – 19th, 2021**

**Agenda item:** 8.12

**Source:** CMCC

**Title:** Agreements for NR MBS up to RAN1#107

**Document for:** Discussion/decision

# 1. Agreements in #102 e-meetings

**RAN1#102-e**

Agreements:

For RRC\_CONNECTED UEs, HARQ-ACK feedback is supported for multicast and no additional evaluation is needed to justify this.

* + FFS: The detailed HARQ-ACK feedback solutions, e.g., ACK/NACK based, NACK-only based.
  + FFS: HARQ-ACK feedback can be optionally disabled and/or enabled.

Agreements:

For RRC\_CONNECTED UEs, at least support group-common PDCCH with CRC scrambled by a common RNTI to schedule a group-common PDSCH, where the scrambling of the group-common PDSCH is based on the same common RNTI.

o   FFS: whether to support UE-specific PDCCH to schedule a PDSCH for MBS.

Agreements:

* For RRC\_CONNECTED UEs, define/configure common frequency resource for group-common PDSCH.
  + FFS: whether to reuse the BWP framework or not
  + FFS: the relation between the common frequency resource and UE dedicated BWP, e.g., the common frequency resource is a MBS specific BWP, or the common frequency resource is confined within UE’s dedicated BWP, etc.
  + FFS: whether more than one common frequency resource can be configured per UE

Agreements:

* For RRC\_CONNECTED UEs, at least support FDM between unicast PDSCH and group-common PDSCH in a slot based on UE capability.
  + FFS: TDM or SDM in a slot.

Agreements:

* For RRC\_CONNECTED UEs, at least support slot-level repetition for group-common PDSCH.
  + FFS: whether enhancement is needed

Agreements:

* For RRC\_CONNECTED UEs, existing CSI feedback can be used for multicast transmission.
  + FFS: whether enhancement is needed

# 2. Agreements in #103 e-meetings

**RAN1#103-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

**Agreements:** For convenience of discussion, consider the following clarification as RAN1 common understanding.

* **PTP transmission**: For RRC\_CONNECTED UEs, use UE-specific PDCCH with CRC scrambled by UE-specific RNTI (e.g., C-RNTI) to schedule UE-specific PDSCH which is scrambled with the same UE-specific RNTI.
* **PTM transmission scheme 1**: For RRC\_CONNECTED UEs in the same MBS group, use group-common PDCCH with CRC scrambled by group-common RNTI to schedule group-common PDSCH which is scrambled with the same group-common RNTI. This scheme can also be called group-common PDCCH based group scheduling scheme.
* **PTM transmission scheme 2**: For RRC\_CONNECTED UEs in the same MBS group, use UE-specific PDCCH with CRC scrambled by UE-specific RNTI (e.g., C-RNTI) to schedule group-common PDSCH which is scrambled with group-common RNTI. This scheme can also be called UE-specific PDCCH based group scheduling scheme.
* Note: The ‘UE-specific PDCCH / PDSCH’ here means the PDCCH / PDSCH can only be identified by the target UE but cannot be identified by the other UEs in the same MBS group with the target UE.
* Note: The ‘group-common PDCCH / PDSCH’ here means the PDCCH / PDSCH are transmitted in the same time/frequency resources and can be identified by all the UEs in the same MBS group.
* FFS whether or not to have additional definition of transmission scheme(s)

Agreements**:** For RRC\_CONNECTED UEs, if initial transmission for multicast is based on PTM transmission scheme 1, at least support retransmission(s) can use PTM transmission scheme 1.

* FFS: whether to support PTP transmission for retransmission(s).
* FFS: whether to support PTM transmission scheme 2 for retransmission(s).
* FFS: How to indicate the association between PTM scheme 1 and PTP transmitting the same TB.
* FFS: If multiple retransmission schemes are supported, then can different retransmission schemes be supported simultaneously for different UEs in the same group?

**Working assumption:**

For multicast of RRC-CONNECTED UEs, a common frequency resource for group-common PDCCH / PDSCH is confined within the frequency resource of a dedicated unicast BWP to support simultaneous reception of unicast and multicast in the same slot

* Down select from the two options for the common frequency resource for group-common PDCCH/ PDSCH
  + Option 2A: The common frequency resource is defined as an MBS specific BWP, which is associated with the dedicated unicast BWP and using the same numerology (SCS and CP)
    - FFS BWP switching is needed between the multicast reception in the MBS specific BWP and unicast reception in its associated dedicated BWP
  + Option 2B: The common frequency resource is defined as an ‘MBS frequency region’ with a number of contiguous PRBs, which is configured within the dedicated unicast BWP.
    - FFS: How to indicate the starting PRB and the length of PRBs of the MBS frequency region
* FFS whether UE can be configured with no unicast reception in the common frequency resource
* FFS on details of the group-common PDCCH / PDSCH configuration
* FFS whether to support more than one common frequency resources per UE / per dedicated unicast BWP subjected to UE capabilities

Agreements: Support TDM between one unicast PDSCH and one group-common PDSCH in a slot based on UE capability for RRC\_CONNECTED UEs.

Agreements: Support SPS group-common PDSCH for MBS for RRC\_CONNECTED UEs

* FFS: use group-common PDCCH or UE-specific PDCCH for SPS group-common PDSCH activation/deactivation
* FFS: whether to support more than one SPS group-common PDSCH configuration per UE
* FFS: whether and how uplink feedback could be configured
* FFS: retransmission of SPS group-common PDSCH

Agreements: For PTM transmission scheme 1, the CORESET for group-common PDCCH is configured within the common frequency resource for group-common PDSCH.

* FFS: number of CORESET(s) for group-common PDCCH within the common frequency resource for group-common PDSCH

Agreements: For search space set of group-common PDCCH of PTM scheme 1 for multicast in RRC\_CONNECTED state, the CCE indexes are common for different UEs in the same MBS group.

Agreements: Down select from the two options for BDs/CCEs limit for Rel-17 MBS

* Option 1: the maximum number of monitored PDCCH candidates and non-overlapped CCEs per slot per serving cell defined in Rel-15 is kept unchanged for Rel-17 MBS.
* Option 2: For UEs supporting CA capability, the budget of BDs/CCEs of an unused CC can be used for group-common PDCCH to count the number of BDs/CCEs, which is similar to the method used for multi-DCI based multi-TRP in Rel-16.

Agreements:For RRC\_CONNECTED UEs, support inter-slot TDM between unicast PDSCH and group-common PDSCH in different slots (mandatory for the UE supporting MBS).

Agreements:Further study the following cases for simultaneous reception of unicast PDSCH and group-common PDSCH in a slot based on UE capability for RRC\_CONNECTED UEs.

* Case 1: support TDM between multiple TDMed unicast PDSCHs and one group-common PDSCH in a slot
* Case 2: support TDM among multiple group-common PDSCHs in a slot
* Case 3: support TDM between multiple TDMed unicast PDSCHs and multiple TDMed group-common PDSCHs in a slot
* Case 4: support FDM between multiple TDMed unicast PDSCHs and multiple TDMed group-common PDSCHs in a slot
* Case 5: support FDM among multiple group-common PDSCHs in a slot
* FFS: maximum number of PDSCHs in a slot simultaneous received per UE

Agreements:For search space set of group-common PDCCH of PTM scheme 1 for multicast in RRC\_CONNECTED state, further study the following options.

* Option 1: Define a new search space type specific for multicast
* Option 2: Reuse the existing CSS type(s) in Rel-15/16
  + FFS: whether modifications are needed for multicast
* Option 3: Reuse the existing USS in Rel-15/16 with necessary modifications for MBS
  + FFS: detailed modifications

Agreements:No specification enhancement in Rel-17 to support SDM between unicast PDSCH and group-common PDSCH in a slot for RRC\_CONNECTED UEs.

Agreements**:** For PTM transmission scheme 1, if Option 2A or Option 2B for common frequency resource for group-common PDCCH/PDSCH is agreed, the FDRA field of group-common PDCCH is interpreted based on the common frequency resource.

Agreements: For search space set of group-common PDCCH of PTM scheme 1 for multicast in RRC\_CONNECTED state, further study the following options for the monitoring priority of search space set

* Option 1: The monitoring priority of search space set for multicast is the same as existing Rel-15/16 CSS
* Option 2: The monitoring priority of search space set for multicast is the same as existing Rel-15/16 USS
* Other options are not precluded
* The monitoring priority is used at least for PDCCH overbooking case
  + FFS for other cases (e.g., to prune PDCCH in terms of whether it’s unicast or multicast, etc.)

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreements:

For RRC\_CONNECTED UEs receiving multicast, at least for PTM scheme 1, support at least one of the following:

* ACK/NACK based HARQ-ACK feedback for multicast,
  + From per UE perspective, UE feedback ACK or NACK.
  + From UEs within the group perspective,
    - FFS: PUCCH resource configuration for ACK/NACK feedback e.g., shared or separate PUCCH resources.
  + FFS details including conditions for it to be used
* NACK-only based HARQ-ACK feedback for multicast,
  + From per UE perspective, UE only feedback NACK.
  + From UEs within the group perspective~~, further down-select between:~~
    - FFS: PUCCH resource configuration for NACK only feedback.
  + FFS details including conditions for it to be used
* To decide in RAN1#104-e whether or not to support only one or both of the above schemes
  + If both are supported, FFS configuration/selection of ACK/NACK-based and NACK-only based HARQ-ACK feedback

Agreements:

For RRC\_CONNECTED UEs receiving multicast, for ACK/NACK based HARQ-ACK feedback if supported for group-common PDCCH scheduling, PUCCH resource configuration for HARQ-ACK feedback from per UE perspective is, down-select one of the following options:

* Option 1: shared with PUCCH resource configuration for HARQ-ACK feedback for unicast
* Option 2: separate from PUCCH resource configuration for HARQ-ACK feedback for unicast
* Option 3: Option 1 or option 2 based on configuration

Agreements:

For RRC\_CONNECTED UEs receiving multicast, for NACK-only based HARQ-ACK feedback if supported for group-common PDCCH scheduling, PUCCH resource configuration for HARQ-ACK feedback from per UE perspective is separate from PUCCH resource configuration for HARQ-ACK feedback for unicast.

* FFS PUCCH format

Agreements:

Enabling/disabling HARQ-ACK feedback for MBS is supported, further down-select between:

* Option 1: DCI
* Option 2: RRC configures enabling/disabling
* Option 3: RRC configures the enabling/ disabling function and DCI indicates enabling /disabling
* FFS: Option 4: MAC-CE indicates enabling/disabling
* FFS: Option 5: RRC configures the enabling/ disabling function and MAC-CE indicates enabling /disabling

Agreements:

For slot-level repetition for group-common PDSCH of RRC\_CONNECTED UEs, for indicating the repetition number, further down-select among:

* Opt 1: by DCI
* Opt 2: by RRC
* Opt 3: by RRC+DCI
* FFS: Opt 4: by MAC-CE
* FFS: Opt 5: by RRC+MAC-CE
* FFS details for each option.
* FFS further enhancements for configuration of slot-level repetition

Agreements:

From the perspective of RRC\_CONNECTED UEs receiving multicast, at least for PTM scheme 1 initial transmission, retransmission supports, for the purpose of down-selection, options are:

* Option 1: group-common PDCCH scheduled group-common PDSCH
* Option 2: UE-specific PDCCH scheduled PDSCH
  + Alt 1: PDSCH is UE-specific PDSCH
  + Alt 2: PDSCH is group-common PDSCH
* Option 3: both option 1 and option 2
* FFS other options
* FFS CBG based retransmission

Agreements:

FFS whether CSI feedback enhancement is needed for MBS, including but not limited:

* New CQI measurement
* New CSI report formats
* Targeted BLER
* CSI-RS configuration
* A-CSI-RS transmission triggering
* SRS configuration

Agreements:

For ACK/NACK based HARQ-ACK feedback if supported, both Type-1 and Type-2 HARQ-ACK codebook are supported for RRC\_CONNECTED UEs receiving multicast,

* FFS details of HARQ-ACK codebook design.
* FFS whether enhanced Type-2 and/or Type-3 HARQ-ACK codebook is supported or not.

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreements:For RRC\_IDLE/RRC\_INACTIVE UEs, support group-common PDCCH with CRC scrambled by a common RNTI to schedule a group-common PDSCH, where the scrambling of the group-common PDSCH is based on the same common RNTI.

* FFS details

Agreements:

* For RRC\_IDLE/RRC\_INACTIVE Ues, beam sweeping is supported for group-common PDCCH/PDSCH.
  + FFS: Details for support of beam sweeping for group-common PDCCH/PDSCH.

**Agreements:** For RRC\_IDLE/RRC\_INACTIVE UEs, define/configure common frequency resource(s) for group-common PDCCH/PDSCH.

* the UE may assume the initial BWP as the default common frequency resource for group-common PDCCH/PDSCH, if a specific common frequency resource is not configured.
* FFS: the relation of the common frequency resource(s) (if configured) and initial BWP.
* FFS: whether to configure one/more common frequency resources
* FFS: configuration and definition details of the common frequency resource

**Agreements:** From physical layer perspective, for broadcast reception, the same group-common PDCCH and the corresponding scheduled group-common PDSCH can be received by both RRC\_IDLE/RRC\_INACTIVE UEs and RRC\_CONNECTED UEs.

* FFS details.

 Agreements**:** For RRC\_IDLE/RRC\_INACTIVE UEs, CSS is supported for group-common PDCCH.

* FFS: reuse current CSS type, define a new CSS type, etc.
* FFS other details.

 Agreements: For RRC\_IDLE/RRC\_INACTIVE UEs, a CORESET can be configured within the common frequency resource for group-common PDCCH/PDSCH. CORESET0 is used by default if the common frequency resource for group-common PDCCH/PDSCH is the initial BWP and the CORESET is not configured.

* FFS: configuration details of the CORESET for group-common PDCCH/PDSCH

# 3. Agreements in #104 e-meetings

**RAN1#104-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

Agreement:

For multicast of RRC-CONNECTED UEs, a common frequency resource for group-common PDCCH / PDSCH is confined within the frequency resource of a dedicated unicast BWP to support simultaneous reception of unicast and multicast in the same slot

* Down select from the two options for the common frequency resource for group-common PDCCH/ PDSCH
  + Option 2A: The common frequency resource is defined as an MBS specific BWP, which is associated with the dedicated unicast BWP and using the same numerology (SCS and CP)
    - FFS BWP switching is needed between the multicast reception in the MBS specific BWP and unicast reception in its associated dedicated BWP
  + Option 2B: The common frequency resource is defined as an ‘MBS frequency region’ with a number of contiguous PRBs, which is configured within the dedicated unicast BWP.
    - FFS: How to indicate the starting PRB and the length of PRBs of the MBS frequency region
* FFS whether UE can be configured with no unicast reception in the common frequency resource
* FFS on details of the group-common PDCCH / PDSCH configuration
* FFS whether to support more than one common frequency resources per UE / per dedicated unicast BWP subjected to UE capabilities
* FFS whether the use of a common frequency resource for multicast is optional or not
* FFS whether the common frequency resource is applicable for PTM scheme 2 (if supported) or not

Agreement:

* If Option 2B is supported for common frequency resource for multicast of RRC-CONNECTED UEs, the starting PRB and the length of PRBs of the MBS frequency region within a dedicated unicast BWP are configured via UE-specific RRC signaling.
  + The starting PRB is referenced to one of the two options:
    - Option 1: Point A
    - Option 2: the starting PRB of the dedicated unicast BWP
  + FFS the detailed signaling
* If Option 2A is supported for common frequency resource for multicast of RRC-CONNECTED UEs, the configurations of the starting PRB and the length of PRBs of the MBS frequency resource reuse the legacy BWP configuration.

Agreement:

For RRC\_CONNECTED UEs, if ACK/NACK based HARQ-ACK feedback is supported for PTM scheme 1, and if initial transmission for multicast is based on PTM transmission scheme 1, support retransmission(s) using PTP transmission.

* The HARQ process ID and NDI indicated in DCI is used to associate the PTM scheme 1 and PTP transmitting the same TB.

Agreement:

The maximum number of monitored PDCCH candidates and non-overlapped CCEs per slot per serving cell defined in Rel-15 is kept unchanged for Rel-17 MBS.

* FFS whether the budget of BDs/CCEs of an unused CC can be used for group-common PDCCH to count the number of BDs/CCEs for UEs supporting CA capability based on configuration, which is similar to the method used for multi-DCI based multi-TRP in Rel-16.

Working Assumption:

Keep the “3+1” DCI size budget defined in Rel-15 for Rel-17 MBS.

* FFS: Whether the G-RNTI is counted as “C-RNTI” or as “other RNTI” when considering the “3+1” DCI size budget rule for group-common PDCCH.

Agreement:

For RRC\_CONNECTED UEs, more than one SPS group-common PDSCH configuration for MBS can be configured per UE subject to UE capability

* The total number of SPS configurations supported by a UE currently defined for unicast is not increased due to additionally supporting MBS.
* FFS: How to allocate the total SPS configurations between MBS and unicast.

Agreement:

For RRC\_CONNECTED UEs, support HARQ-ACK feedback for SPS group-common PDSCH for MBS

* FFS: The retransmission scheme(s)
* FFS: The HARQ-ACK details for SPS PDSCH and activation/deactivation, which can be discussed in AI 8.12.2

Agreement:

From RAN1 perspective, the CFR (common frequency resource) for multicast of RRC-CONNECTED UEs, which is confined within the frequency resource of a dedicated unicast BWP and using the same numerology (SCS and CP), includes the following configurations:

* Starting PRB and the number of PRBs
* One PDSCH-config for MBS (i.e., separate from the PDSCH-Config of the dedicated unicast BWP)
* One PDCCH-config for MBS (i.e., separate from the PDCCH-Config of the dedicated unicast BWP)
* SPS-config(s) for MBS (i.e., separate from the SPS-Config of the dedicated unicast BWP)
* FFS: Other configurations and details including whether signaling of starting PRB and the length of PRBs is needed when CFR is equal to the unicast BWP
* FFS: Whether a unified CFR design is also used for broadcast reception for RRC\_IDLE/INACTIVE and RRC\_CONNECTED
* FFS: Whether Coreset(s) for CFR in addition to existing Coresets in UE dedicated BWP is needed
* Note: The terminology of CFR is only aiming for RAN1 discussion, and the detailed signaling design is up to RAN2
* Note: This agreement does not negate any previous agreements made on CFR

Agreement:

For search space set of group-common PDCCH of PTM scheme 1 for multicast in RRC\_CONNECTED state, at least support CSS

* FFS: reuse existing CSS type(s) in Rel-15/16 or define a new Type CSS
* FFS: Two options for monitoring priority:
  + Option 1: the monitoring priority is the same as existing Rel-15/16 CSS
  + Option 2: the monitoring priority is determined based on the search space set indexes of search space set(s) for multicast and USS sets.

Working assumption:

For activation/deactivation of SPS group-common PDSCH for MBS in RRC\_CONNECTED state,

* At least group-common PDCCH is supported
  + FFS: Whether and how to address the missed activation and deactivation
* FFS: Whether UE-specific PDCCH is supported for activation/deactivation

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreement:

For ACK/NACK based feedback if supported for RRC\_CONNECTED UEs receiving multicast, UE can be optionally configured a separate *PUCCH-Config* for multicast. Otherwise, *PUCCH-Config* for unicast applies.

Agreement:

The priority for HARQ-ACK feedback for RRC\_CONNECTED UE receiving multicast can be,

* Lower, higher than or equal to the HARQ-ACK feedback for unicast
  + FFS: How to reflect the priority in specification, e.g., whether it is configured or indicated to the UE
  + FFS: The total number of priorities across multicast and unicast
* FFS the priority between HARQ-ACK feedback for multicast and other UCI for unicast (SR, CSI) or PUSCH for unicast.

Agreement:

For ACK/NACK based feedback if supported for multicast, for Type-2 HARQ-ACK feedback construction for PTM scheme 1,

* DAI for unicast and DAI for multicast are separately counted.
* Concatenation of Type-2 HARQ-ACK codebook for unicast and multicast is supported.
  + FFS details on concatenating the codebooks.
* FFS whether to support concatenating more than one Type-2 HARQ-ACK codebook for multicast.

Agreement:

For RRC\_CONNECTED UEs receiving multicast, support the following:

* ACK/NACK based HARQ-ACK feedback for multicast,
  + It is up to network to configure orthogonal PUCCH resources among UEs within the same group.
* FFS: NACK-only based HARQ-ACK feedback for multicast,
  + It is up to network to configure the PUCCH resources and the PUCCH resources can be shared among UEs within the same group.
* FFS details.

Agreement:

For the cases of HARQ-ACK feedback (at least for ACK/NACK based feedback) is available for multicast and unicast for a given UE receiving multicast, for determining the PUCCH resource,

* Support multiplexing for the same priority and prioritizing for different priorities at least when the corresponding PUCCH resources overlap in time in a slot.
  + FFS whether it is subject to UE capability.
* FFS the case of non-overlapping PUCCHs resources for HARQ-ACK in the same slot.
* FFS whether sub-slot based PUCCH transmission for HARQ-ACK is supported.
* FFS the case of HARQ-ACK feedback for multicast and other UCI for unicast.

Agreement:

For ACK/NACK based feedback if supported for multicast, construction of Type-1 HARQ-ACK codebook based on the union of the PDSCH TDRA sets of the unicast service and the multicast service (if they are separately configured), at least of the same priority, is supported

* FFS details of Type-1 HARQ-ACK codebook construction for FDM-ed unicast and multicast.
* FFS details of Type-1 HARQ-ACK codebook construction for FDM-ed multicast and multicast if supported.
* FFS: whether/how to optimize the Type-1 codebook construction to reduce the HARQ-ACK feedback payload size.

Agreement:

For enabling/disabling HARQ-ACK feedback for RRC\_CONNECTED UE receiving multicast,

* Option 3: RRC signalling configures the enabling/ disabling function of DCI indicating the enabling /disabling HARQ-ACK feedback.
  + If RRC signalling configures the function, DCI indicates (explicitly or implicitly) whether HARQ-ACK feedback is enabled/disabled
    - FFS details on RRC signalling and DCI indicating.
  + If RRC signalling does not configure the function, DCI does not indicate enabling/disabling the HARQ-ACK feedback.
    - FFS whether enabling or disabling the feedback is the default mode.
* Option 2: RRC indicates enabling/disabling.
* FFS: whether down-selection between option 3 and option 2 is needed or support the both options.
* FFS: enabling/disabling by MAC-CE.

Agreement:

For slot-level repetition for group-common PDSCH for RRC\_CONNECTED UEs receiving multicast,

* (Config A) UE can be optionally configured with *pdsch-AggregationFactor*.
* (Config B) UE can be optionally configured with TDRA table with *repetitionNumber* as part of the TDRA table.
* If UE is configured with Config B, UE does not expect to be configured with Config A for the same group-common PDSCH.

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, one common frequency resource for group-common PDCCH/PDSCH can be defined/configured.

* FFS: whether to define/configure more than one common frequency resources

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, the UE may assume that group-common PDCCH/PDSCH is QCL’d with SSB.

* It is up to UE implementation whether UE monitors monitoring occasions corresponding to all SSB indexes or monitoring occasions corresponding to a subset of all SSB indexes.
* FFS: association rules between SSB indexes and UE monitoring occasions.
* FFS: group-common PDCCH/PDSCH is QCl’d with TRS if configured

Agreement:

For broadcast reception, the same group-common PDCCH and the corresponding scheduled group-common PDSCH can be received by both RRC\_IDLE/RRC\_INACTIVE UEs and RRC\_CONNECTED UEs when UE-specific active BWP of RRC\_CONNECTED UE contains the common frequency resource of RRC\_IDLE/INACTIVE UEs and the SCS and CP are the same.

* FFS: the case when UE-specific active BWP of RRC\_CONNECTED UE does not contain the common frequency resource of RRC\_IDLE/INACTIVE UEs.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, further study the following cases of a configured/defined specific common frequency resource (CFR) for group-common PDCCH/PDSCH, and identify which case(s) will be supported:

* [Case E] the case where a CFR is defined based on a configured BWP.
  + In particular, study the following:
    - whether a configured BWP for MBS is needed or not.
    - whether BWP switching is needed or not.
  + In this study, the configured BWP has the following properties:
    - The configured BWP is different than the initial BWP where the frequency resources of this initial BWP are configured smaller than the full carrier bandwidth.
    - The CFR has the frequency resources identical to the configured BWP.
    - The configured BWP needs to fully contain the initial BWP in frequency domain and has the same SCS and CP as the initial BWP.
  + Note: The configured BWP is not larger than the carrier bandwidth
* the case where the initial BWP fully contains the CFR in the frequency domain.
  + In this study the following sub-cases are considered:
    - [Case B] A CFR with smaller size than the initial BWP, where the initial BWP has the same frequency resources as CORESET0. In this case the CFR has the frequency resources confined within the initial BWP and have the same SCS and CP as the initial BWP.
    - [Case D] A CFR with smaller size than the initial BWP, where the initial BWP has the frequency resources configured by SIB1. In this case the CFR has the frequency resources confined within the initial BWP and have the same SCS and CP as the initial BWP.
  + In particular, study the following:
    - Whether the considered two options with a CFR with smaller size than the initial BWP are needed or not for MBS.
* the case where the initial BWP has same size as the CFR in the frequency domain.
  + In this study the following two sub-cases are considered:
    - [Case A] A CFR with the same size as the initial BWP, where the initial BWP has the same frequency resources as CORESET0. In this case the CFR has the same frequency resources and same SCS and CP as the initial BWP.
    - [Case C] A CFR with same size as the initial BWP, where the initial BWP has the frequency resources configured by SIB1. In this case the CFR has the same frequency resources and same SCS and CP as the initial BWP.
  + In particular, study the following:
    - Whether the considered two options with a CFR with the same size as the initial BWP are needed or not for MBS.

# 4. Agreements in #104b e-meetings

**RAN1#104b-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

Agreement:

For group-common PDCCH of Rel-17 MBS, support at least two DCI formats.

* DCI format 1\_0 is used as the baseline for the first DCI format with CRC scrambled with G-RNTI.
* DCI format 1\_1 or 1\_2 is used as the baseline for the second DCI format with CRC scrambled with G-RNTI
  + FFS: Which of DCI format 1\_1 or 1\_2 is used as the baseline
* FFS: Details of the reuse (or not) of DCI format 1\_0, 1\_1 or 1\_2 fields

Agreement:

The same HARQ process ID and NDI are used for PTM scheme 1 (re)transmissions and PTP retransmissions of the same TB.

Agreement:

At least support the following cases for PDSCH reception for MBS in a slot based on UE capability for RRC\_CONNECTED UEs

* Case 1: support TDM between M (M>1) TDMed unicast PDSCHs and one group-common PDSCH in a slot per CC
  + FFS: the value(s) of M
* Case 2: support TDM among N (N>1) group-common PDSCHs in a slot per CC
  + FFS: the value(s) of N
* Case 3: support TDM between K (K>1) TDMed unicast PDSCHs and L (L>1) TDMed group-common PDSCHs in a slot per CC
  + FFS: the value(s) of K and L

Agreement:

If a CFR is configured for multicast in RRC-CONNECTED state and confined within a dedicated unicast BWP, further study the following options.

* Option 1: the CORESET configured in PDCCH-config for unicast in the dedicated unicast BWP can be used for multicast transmission if the CORESET is fully contained in the CFR in frequency domain, and the CORESET configured in PDCCH-config for MBS in the CFR can be used for unicast transmission.
* Option 2: the CORESET configured in PDCCH-config for unicast in the dedicated unicast BWP cannot be used for multicast transmission even if the CORESET is fully contained in the CFR in frequency domain, and the CORESET configured in PDCCH-config for MBS in the CFR cannot be used for unicast transmission.
* Option 3: the CORESET configured in PDCCH-config for unicast in the dedicated unicast BWP can be used for multicast transmission if the CORESET is fully contained in the CFR in frequency domain, but the CORESET configured in PDCCH-config for MBS in the CFR cannot be used for unicast transmission.
* Option 4: the CORESET configured in PDCCH-config for unicast in the dedicated unicast BWP cannot be used for multicast transmission even if the CORESET is fully contained in the CFR in frequency domain, but the CORESET configured in PDCCH-config for MBS in the CFR can be used for unicast transmission.

Agreement:

One CFR is supported per dedicated unicast BWP for multicast of RRC-CONNECTED UEs.

* FFS: Whether more than one CFR is supported per dedicated unicast BWP
* FFS: Whether multicast can be supported or not in a dedicated unicast BWP when no CFR is configured for that BWP

Agreement:

The retransmission scheme for a given SPS group-common PDSCH can be either PTM scheme 1 or PTP.

* FFS: Whether PTM scheme 1 retransmission and PTP retransmission can be used simultaneously for different UEs in the same MBS group

Agreement:

Define G-CS-RNTI at least for SPS group-common PDSCH and activation/deactivation of SPS group-common PDSCH, different from CS-RNTI for unicast SPS PDSCH.

* G-CS-RNTI is used for PTM scheme 1 based dynamic retransmission of SPS group-common PDSCH
* FFS: Whether CS-RNTI can be used for PTP retransmission of SPS group-common PDSCH.
* FFS: Number of G-CS-RNTI.

Conclusion:

The maximum number of HARQ processes per cell, currently supported for unicast, is kept unchanged for UE to support multicast reception.

* How to allocate HARQ processes between unicast and multicast is up to gNB.

Agreement:

Send an LS to RAN2 regarding at least the following questions:

* Whether RAN1 should take into account the case of UE supporting multiple G-RNTIs?

Agreement:

Include the following in the LS to RAN2:

* Whether RAN1 should consider the case of UE supporting multiple G-CS-RNTIs?
* The agreements related to SPS will also be included in the LS for information

**R1-2104045 LS on G-RNTI and G-CS-RNTI for MBS RAN1, CMCC**

**Decision:** As per email decision posted on April 22nd, the LS is approved.

Agreement:

For CSS of group-common PDCCH of PTM scheme 1 for multicast in RRC\_CONNECTED state, down-select from the following alternatives (to be decided in RAN1#105):

* Alt 1: support Type-3 CSS
  + The monitoring priority of Type-3 CSS for group-common PDCCH is the same as existing Rel-15/16 CSS, regardless of which DCI format of group-common PDCCH is configured in Type-3 CSS
* Alt 2: support a new Type-x CSS
  + The monitoring priority of new Type-x CSS is determined based on the search space set indexes of the new Type-x CSS set and USS sets, regardless of which DCI format of group-common PDCCH is configured in the new Type-x CSS.
* Alt 3: support both Alt 1 and Alt 2

Agreement:

The down-selection of Option 2A and Option 2B for CFR for multicast of RRC-CONNECTED UEs will be made before the end of RAN1#105-e.

Conclusion:

It is based on gNB implementation to schedule unicast on the frequency resources covered by CFR configured for multicast.

Agreement:

For RRC\_CONNECTED UE supporting MBS, support up to 8 configured SPS configurations in a BWP of a serving cell for unicast and MBS in total.

* It is up to gNB implementation to configure the SPS configuration indexes for unicast and MBS, respectively.

Agreement:

Confirm the working assumption:

For activation/deactivation of SPS group-common PDSCH for MBS in RRC\_CONNECTED state,

* At least group-common PDCCH is supported
  + FFS: Whether and how to address the missed activation and deactivation
* FFS: Whether UE-specific PDCCH is supported for activation/deactivation

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreement:

Support NACK-only based HARQ-ACK feedback for RRC\_CONNECTED UEs receiving multicast.

Agreement:

Two priority indexes are introduced for multicast, with

* Index 0 meaning low priority and index 1 meaning high priority.
* Priority index can be included in DCI formats scheduling the group-common PDSCH.
  + FFS details for DCI formats.
* FFS: the priority comparison between multicast and unicast with the same priority index.

Agreement:

For a separate *PUCCH-ConfigurationList* for multicast that is optionally configured, at least for ACK/NACK based HARQ-ACK feedback,

* The separate *PUCCH-ConfigurationList* for multicastconfigurationcan be a list which includes up to 2 *PUCCH-Config* configurations corresponding low priority codebook and high priority codebook, respectively.
* FFS other configurations

Agreement:

For Type-2 HARQ-ACK codebook concatenation to be multiplexed in the same PUCCH resource,

* The first Type-2 HARQ-ACK sub-codebook for unicast precedes the second Type-2 HARQ-ACK sub-codebook for multicast.
* FFS: The number of Type-2 HARQ-ACK sub-codebooks for multicast.
* Note: The case of SPS PDSCH will be discussed separately.

Agreement:

For multiplexing the ACK/NACK-based HARQ-ACK feedback for multicast and unicast, determining the PUCCH resources for transmission is based on the PRI indicated in the “last DCI”, where the “last DCI” refers to, down-select the following alternatives:

* Alt.1: the last DCI for unicast;
* Alt.2: the last DCI across unicast and multicast;

# 5. Agreements in #105 e-meetings

**RAN1#105-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

Agreement:

For CSS of group-common PDCCH of PTM scheme 1 for multicast in RRC\_CONNECTED state, Alt 2 is supported:

* Alt 2: support a Type-x CSS
  + The monitoring priority of Type-x CSS is determined based on the search space set indexes of the Type-x CSS set and USS sets, regardless of which DCI format of group-common PDCCH is configured in the Type-x CSS.
* FFS: Whether the Type-x CSS is a Type-3 CSS

Agreement:

For PTP retransmission of SPS group-common PDSCH, CS-RNTI is used for CRC scrambling of PDCCH with the NDI bit set to 1.

Agreement:

As a baseline, reuse existing fields in DCI format 1\_0 with CRC scrambled by C-RNTI for the fields of first DCI format with CRC scrambled with G-RNTI.

* FFS: how to determine the bitlength of FDRA field.
* FFS: Whether ‘Identifier for DCI formats’, ‘TPC command for scheduled PUCCH’ are needed.
* FFS: How to perform DCI size alignment
* FFS: Whether to include new DCI fields
* Note: All of the fields may not be reused and the size of the fields may not be the same

Working assumption:

Option 2B for CFR associated with UE active BWP other than initial BWP is supported at least for multicast of RRC-CONNECTED UEs.

* FFS: CFR associated with initial BWP
* FFS: CFR larger than initial BWP

Agreement:

For multicast of RRC\_CONNECTED UEs, further study

* How the LBRM (Limited buffer rate-matching) for GC-PDSCH TBS is determined.
* How the xOverhead for GC-PDSCH TBS determination is configured.
* Whether MAC-CE over GC-PDSCH is needed for activation/deactivation of semi-persistent ZP CSI-RS resource set if the semi-persistent ZP CSI-RS resource set is configured in PDSCH-Config in CFR.

Agreement:

Confirm the working assumption:

Keep the “3+1” DCI size budget defined in Rel-15 for Rel-17 MBS.

* FFS: Whether the G-RNTI is counted as “C-RNTI” or as “other RNTI” when considering the “3+1” DCI size budget rule for group-common PDCCH.

Agreement:

For Rel-17 MBS UE, the UE maximum number of TDMed PDSCH receptions capability in a slot per CC is kept as for Rel-15/Rel-16, i.e., {2/4/7} based on UE FG5-11/5-11a/5-11b.

* Note:   Group-common PDSCH(s) are counted as unicast PDSCH(s).

Agreement:

For reliability of the group-common PDCCH activation of SPS group-common PDSCH, support at least one of the following alternatives.

* Alt 1: retransmit the activation command via group-common PDCCH.
* Alt 2: retransmit the activation command via UE-specific PDCCH.
* Alt 3: retransmit the activation command via MAC-CE.
* FFS other details.
* Note: Down-selection can take into account the HARQ-ACK feedback scheme for SPS activation

Working assumption:

The maximum number of CORESETs per BWP is not increased for support of MBS, and the number of CORESETs configured within the CFR is left to gNB implementation.

Agreement:

As a baseline, reuse existing fields in DCI format 1\_1 for the fields of the second DCI format with CRC scrambled with G-RNTI.

* FFS: whether ‘Identifier for DCI formats’, ‘TPC command for scheduled PUCCH’, ‘Carrier indicator’ and ‘Bandwidth part indicator’ are needed.
* FFS: How to perform DCI size alignment
* FFS: Whether to include new DCI fields for the second DCI format
* Note: All of the fields may not be reused and the size of the fields may not be the same

Agreement:

For HARQ process management, further study whether/how to differentiate the HARQ process ID used for PTP (re)transmission for unicast and PTP retransmission for multicast.

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreement:

The signalling for URLLC feature can be reused to configure separate codebooks for unicast and multicast, respectively, at least for the case of different priorities, at least for Type-2 HARQ codebook

* FFS: The case for the same priority.
* FFS: The case of Type-1 HARQ codebook
* FFS: Whether this applies to separate PUCCH transmissions only

Agreement:

Support PUCCH format 0 and format 1 for NACK-only based HARQ-ACK feedback for multicast.

Agreement:

Support NACK-only based HARQ-ACK feedback at least for multicast SPS PDSCH without PDCCH scheduling.

* FFS for SPS activation/deactivation.

Agreement:

The priority of multicast is the same as the priority of unicast for the same priority index of HARQ-ACK at least for ACK/NACK based feedback.

Agreement:

NR supports at least the following cases for UE supporting multicast:

* UE supports two non-overlapping slot-based PUCCHs for ACK/NACK based HARQ-ACK feedback for multicast with different priorities in a slot subject to UE capability.
* UE supports two non-overlapping slot-based PUCCHs for ACK/NACK based HARQ-ACK feedback for multicast and unicast with different priorities, respectively, in a slot subject to UE capability.

Agreement:

For Type-1 HARQ-ACK codebook construction for FDM-ed unicast and multicast with the same priority from the same TRP, support

* Opt 4: HARQ-ACK bits for all the PDSCH occasions over all the slots for all serving cells for unicast, precede, HARQ-ACK bits for all the PDSCH occasions over all the slots for all serving cells for multicast. (This is similar to the joint Type-1 codebook for mTRP).
* FFS: If UE reports the capability of supporting the FDM-ed unicast and multicast in the same slot, UE can be indicated semi-statically to generate Type-1 HARQ-ACK codebook as FDM-ed manner (i.e., Opt 4).
  + Otherwise, UE does not expect unicast and multicast are to be scheduled in FDM-ed.

**Conclusion:**

PUCCH resource for NACK-only can be shared by UEs transmitting the NACK-only based HARQ-ACK feedback.

Agreement:

For ACK/NACK based HARQ-ACK feedback for multicast, the multiplexing/prioritizing rule between the HARQ-ACK for multicast and SR/CSI can reuse Rel-16 multiplexing/ prioritizing rule between the HARQ-ACK for unicast and SR/CSI.

Agreement:

For support of ACK/NACK based HARQ-ACK feedback for SPS multicast,

* the HARQ-ACK codebook index corresponding the HARQ-ACK codebook for SPS PDSCH is included in the configuration for SPS multicast.
  + UE determines a priority index from the HARQ-ACK codebook index
* UE can be optionally configured a separate SPS-PUCCH-AN-List for all SPS multicast configurations. Otherwise, a common SPS-PUCCH-AN-List applies to all SPS unicast and SPS multicast configurations.

Agreement:

For TDM-ed unicast and multicast, for Type-1 HARQ-ACK codebook construction for ACK/NACK-based unicast and multicast to be multiplexed in the same PUCCH resource, determining PDSCH reception candidate occasions is based on down-selecting one of the two alternatives as follows:

* Alt 1:
  + for slot timing values in the intersection of set for unicast (termed set *A*) and set for multicast (termed set *B*), based on union of the PDSCH TDRA sets,
  + for slot timing values in set A but not in set B, based on PDSCH TDRA set for unicast, and
  + for slot timing values in set B but not in set A, based on PDSCH TDRA set for multicast.
* Alt 2: for slot timing values in the union of set for unicast and set for multicast, based on the union of the PDSCH TDRA sets.
* Companies are encouraged to continue discussion of pros and cons for each alternative for further down-selection in the next meeting.

assumption:

For enabling/disabling ACK/NACK-based HARQ-ACK feedback for RRC\_CONNECTED UE receiving multicast via dynamic group-common PDSCH:

* RRC signalling configures the enabling/ disabling function of group-common DCI indicating the enabling /disabling ACK/NACK based HARQ-ACK feedback.
  + If RRC signalling configures the function of group-common DCI based indication, group-common DCI indicates (explicitly or implicitly) whether ACK/NACK based HARQ-ACK feedback is enabled/disabled
  + Otherwise, enabling/disabling ACK/NACK based HARQ-ACK feedback is configured by RRC signalling.
  + FFS details on RRC signalling and group-common DCI indicating.
* FFS whether/how this option is extended to apply to NACK-only based feedback and multiple G-RNTI cases.
* FFS the relation to the HARQ-ACK codebook types and HARQ-ACK codebook construction.
* FFS the relation to the enabling/disabling ACK/NACK based HARQ-ACK feedback for retransmission.
* FFS whether/how to allow UE not to react to the DCI signalling, but instead follow UE-specific RRC configuration for HARQ feedback.
* FFS whether/how to apply it to SPS group-common PDSCH.

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, both searchSpace#0 and common search space other than searchSpace#0 can be configured for GC-PDCCH scheduling MCCH.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, DCI format 1\_0 is used as baseline for GC-PDCCH of MCCH and MTCH.

* FFS details of FDRA.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, RAN1 confirms the following assumptions made by RAN2

* RAN2 assumes, in case searchSpace#0 is configured for MCCH (if allowed, pending RAN1 decision), the mapping between PDCCH occasions and SSBs is the same as for SIB1.
* RAN2 assumes that if common search space other than searchSpace#0 is configured for MCCH (if allowed, pending RAN1 decision), the PDCCH monitoring occasions for MCCH message which are not overlapping with UL symbols are sequentially numbered from one in the MCCH transmission window and mapped to SSBs using the similar rule as defined for OSI in TS 38.331.

Agreement:

For broadcast reception, RRC\_IDLE/RRC\_INACTIVE UEs support the same CSS type for MCCH and MTCH.

* FFS support of different CSS types for MCCH and MTCH channels for broadcast reception.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, study the following alternatives for MCCH change notification indication due to session start:

* Alt 1: Define a dedicated RNTI to scramble the CRC of a DCI indicating a MCCH change notification;
* Alt 2: Use of a field in a DCI format scheduling a MCCH without a dedicated RNTI for MCCH change notification;

Other solutions are not precluded and it is also not precluded whether to support both Alt1 and Alt2.

**Conclusion:**

It is up to RAN2 to decide the specific contents of the MCCH change notification, e.g, whether notification only informs about session start, whether or not notification also informs about session modification/stop or whether or not the notification informs about any other information.

Agreement:

For broadcast reception, RRC\_IDLE/RRC\_INACTIVE UEs can use a configured/defined CFR with the same size as the initial BWP, where the initial BWP has the same frequency resources as CORESET0 (i.e., Case A), to receive GC-PDCCH/PDSCH carrying MCCH.

* Note: GC-PDCCH/PDSCH transmission within a narrower portion of the Initial BWP (where the initial BWP has the same frequency resources as CORESET0) is possible by implementation via appropriate scheduling.

Agreement:

For broadcast reception, RRC\_IDLE/RRC\_INACTIVE UEs can use a configured/defined CFR with the same size as the initial BWP, where the initial BWP has the same frequency resources as CORESET0 (i.e., Case A), to receive GC-PDCCH/PDSCH carrying MTCH.

* Note: GC-PDCCH/PDSCH transmission within a narrower portion of the Initial BWP (where the initial BWP has the same frequency resources as CORESET0) is possible by implementation via appropriate scheduling.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, the CORESET index can be the same for GC-PDCCH of MCCH and MTCH.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, the same beam can be used for group-common PDCCH and the corresponding scheduled group-common PDSCH for carrying MCCH or MTCH.

* UE may assume that DMRS ports of the group-common PDCCH/PDSCH for MCCH is QCL’d with SSB.
* UE may assume that DMRS ports of the group-common PDCCH/PDSCH for MTCH is QCL’d with SSB.
* FFS: group-common PDCCH/PDSCH for MTCH is QCL’d with periodic TRS if configured

Agreement:

For Rel-17, for broadcast reception, RRC\_IDLE/RRC\_INACTIVE UEs do not exceed the maximum number of CORESETs mandatorily (in the minimum capability) supported for Rel-15/Rel-16 UEs, i.e., 2 CORESETs.

* If the CFR has the same frequency range as the initial BWP, where the initial BWP has the same frequency resources as CORESET0 or where the initial BWP has the frequency resources configured by SIB1, RRC\_IDLE/RRC\_INACTIVE UEs can be configured with the following options:
  + CORESET#0 (default option if CFR is the initial BWP and CORESET is not configured); or
  + CORESET configured by *commonControlResourceSet;* or
  + CORESET#0 and CORESET configured by *commonControlResourceSet*.

# 6. Agreements in #106 e-meetings

**RAN1#106-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

Agreement:

Confirm the working assumption with the following update:

Option 2B for CFR associated with UE active BWP other than initial DL BWP is supported at least for multicast of RRC-CONNECTED UEs.

* ~~FFS: CFR associated with initial BWP~~
* ~~FFS: CFR larger than initial BWP~~

Note: The deleted FFSs can be discussed in another AI.

Agreement:

For multicast of RRC-CONNECTED UEs, align the size of the first DCI format for GC-PDCCH with DCI format 1\_0 with CRC scrambled by C-RNTI monitored in CSS.

Agreement:

Confirm the following working assumption:

The maximum number of CORESETs per BWP is not increased for support of MBS, and the number of CORESETs configured within the CFR is left to gNB implementation.

Agreement:

For indication of the starting PRB and the length of PRBs of CFR for multicast of RRC-CONNECTED UEs,

* the starting PRB is referenced to Point A, i.e., the starting PRB is a PRB determined by *subcarrierSpacing* of the associated BWP and *offsetToCarrier* corresponding to this subcarrier spacing, similar as how *locationAndBandwidth* of a BWPis indicated as described in TS 38.331.
* FFS: Indication mechanism.

Agreement:

For LBRM and TBS determination for GC-PDSCH:

* The maximum number of layers can be provided by *maxMIMO-Layers* in *PDSCH-Config* for MBS in CFR; if not provided, a default value is defined.
  + FFS the default value.
* The maximum modulation order can be determined from mcs-Table in PDSCH-Config for MBS in CFR;
  + FFS: if *mcs-Table* in *PDSCH-Config* for MBS is not configured in CFR, a value determined from *mcs-Table* in *PDSCH-Config* for unicast in the active DL BWP is used; if the *mcs-Table* in *PDSCH-Config* for unicast is not configured, Table 5.1.3.1-1 in TS38.214 is used (similar as the default value in R16).
* xOverhead can be provided in PDSCH-Config for MBS in CFR; if not provided, a default value of zero is used.
* The number of PRBs is determined based on the size of CFR.

Agreement:

The first DCI format for GC-PDCCH uses the same fields as DCI format 1\_0 with CRC scrambled by C-RNTI with the following modifications:

* At least ‘Identifier for DCI formats’ is not needed.
  + FFS: Whether the field should be ignored and reserved, or should be removed.
* For FDRA determination, down-select from following options:
  + Option 1:
    -  is given by
      * the size of CORESET 0 if CORESET 0 is configured for the cell; and
      * the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
    - For resource indication value (*RIV*) of downlink resource allocation type 1, the resource blocks that can be indicated are
      * the resource blocks in the CORESET 0 if CORESET 0 is configured for the cell; and
      * the resource blocks in the initial DL bandwidth part if CORESET 0 is not configured for the cell.
  + Option 2:
    -  is given by
      * the size of CORESET 0 if CORESET 0 is configured for the cell; and
      * the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
    - For resource indication value (*RIV*) of downlink resource allocation type 1, the similar scheme as for the case that the DCI size for DCI format 1\_0 in USS is derived from the size of DCI format 1\_0 in CSS but applied to an active BWP is used.
      * FFS details, e.g., if the size of CFR (i.e. ) is larger than the size of CORESET0/initial DL bandwidth part, the resource indication value (*RIV*) is defined as in section 5.1.2.2.2 in TS38.214, where K is the maximum value from set {1, 2, 4, 8} which satisfies ;otherwise,
  + Option 3:  is given by the size of CFR in the active DL BWP

Agreement:

The second DCI format for GC-PDCCH uses the same fields as DCI format 1\_1 with the following modifications:

* At least ‘Identifier for DCI formats’ and ‘SRS request’ are not needed.
  + FFS whether the fields should be ignored and reserved, or should be removed.
* Note: At least the configurable fields in DCI format 1\_1 remain configurable for the second DCI format

Agreement:

For initializing scrambling sequence generator for GC-PDCCH with the second DCI format,

* equals the higher layer parameter *pdcch-DMRS-ScramblingID* if it is configured in the CORESET in a CFR used for the GC-PDCCH; otherwise.
* FFS: Values for . Choices include one or more of the following:
  + Alt1: G-RNTI used for the GC-PDCCH.
  + Alt2: 0
  + Alt3: Other fixed values

Agreement:

If a SPS-config for MBS is configured in CFR, one G-CS-RNTI is associated with the SPS-config.

* FFS: Multiple G-CS-RNTIs associated with one SPS-config

Agreement:

For FDRA determination of the first DCI format for GC-PDCCH, down-select from Option 2 and updated Option 3.

* + Option 2:
    -  is given by
      * the size of CORESET 0 if CORESET 0 is configured for the cell; and
      * the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
    - For resource indication value (*RIV*) of downlink resource allocation type 1, the similar scheme as for the case that the DCI size for DCI format 1\_0 in USS is derived from the size of DCI format 1\_0 in CSS but applied to an active BWP is used.
      * FFS details, e.g., if the size of CFR (i.e. ) is larger than the size of CORESET0/initial DL bandwidth part, the resource indication value (*RIV*) is defined as in section 5.1.2.2.2 in TS38.214, where K is the maximum value from set {1, 2, 4, 8} which satisfies ;otherwise,
  + Option 3:  is given by the size of CFR in the active DL BWP
    - If the size of the first DCI format for GC-PDCCH prior to truncation is larger than the size of DCI format 1\_0 monitored in CSS, the bit width of the FDRA field in the first DCI format for GC-PDCCH is reduced by truncating the first few most significant bits such that the size of the first DCI format for GC-PDCCH equals the size of DCI format 1\_0 monitored in CSS.
    - FFS: Whether the removed/reserved fields can be repurposed for FDRA
    - FFS: Solution for the case where the size of the first DCI format for GC-PDCCH prior to padding is smaller than the size of DCI format 1\_0 monitored in CSS.

Conclusion:

The specification impact of having a new Type-x CSS for GC-PDCCH in RRC\_CONNECTED state can be studied and discussed further.

Agreement:

For initializing scrambling sequence generator for GC-PDSCH scheduled by the second DCI format for multicast received in Type-x CSS,

* equals the higher layer parameter *dataScramblingIdentityPDSCH* if it is configured in *PDSCH-Config* in a CFR used for GC-PDSCH and the RNTI equals the G-RNTI or G-CS-RNTI; otherwise.
* corresponds to the RNTI associated with the GC-PDSCH transmission (i.e., the G-RNTI used by the scheduling GC-PDCCH, or the G-CS-RNTI used by the SPS GC-PDSCH activation PDCCH)

Agreement:

For initializing sequence generator for DMRS of GC-PDCCH with the second DCI format received in Type-x CSS,

* equals the higher layer parameter *pdcch-DMRS-ScramblingID* if it is configured in the CORESET in a CFR used for the GC-PDCCH; otherwise.

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreement:

For UE supporting both unicast and multicast, the *pdsch-HARQ-ACK-Codebook/pdsch-HARQ-ACK-CodebookList* can be separately configured for multicast from that for unicast.

Agreement:

When UE is configured Type-1 codebooks for unicast and multicast with different priorities, respectively, the UE separately generates each of the Type-1 codebooks.

* FFS: How UE is configured one codebook for unicast and one codebook for multicast and the two codebooks are of different priorities.

Agreement:

For a UE configured with Type-1 HARQ-ACK codebook,

* If UE is not configured to receive FDM-ed unicast and multicast, Type-1 HARQ codebook is generated as the agreement for TDM-ed unicast and multicast.
* If UE is configured to receive FDM-ed unicast and multicast, Type-1 HARQ codebook is generated as the agreement for FDM-ed unicast and multicast.

Agreement:

For UEs supporting ACK/NACK-based HARQ-ACK feedback for multicast and unicast, the following values are unchanged compared to unicast in Rel-16:

* + The maximum number of PUCCH resources sets in each *PUCCH-Config*,
  + The maximum number of PUCCH resources in a PUCCH resource set in each *PUCCH-Config*,
  + The maximum number of UCI information bits for the first PUCCH resource set.
  + The total number of PUCCH resources from all *PUCCH-Config/PUCCH-ConfigurationList*.
  + Note:
    - This applies to both cases of whether or not UE is configured optionally with a separate *PUCCH-Config or PUCCH-ConfigurationList* for multicast.
    - The case of NACK-only based is discussed separately.

Agreement:

When UE is configured with the *pdsch-HARQ-ACK-Codebook/pdsch-HARQ-ACK-CodebookList* for ACK/NACK based feedback for multicast, it is applied to all G-RNTIs configured to UE.

Agreement:

For the separate *PUCCH-ConfigurationList* that is optionally configured to UE for NACK-only based HARQ-ACK feedback for multicast,

* + The separate *PUCCH-ConfigurationList* for multicast configuration can be a list which includes up to 2 *PUCCH-Config* configurations corresponding low priority feedback and high priority feedback, respectively.
  + FFS: how to handle the case when separate *PUCCH-ConfigurationList* is not configured to UE for NACK-only based HARQ-ACK feedback for multicast.

Agreement:

The priority index is,

* for the second DCI format for GC-PDCCH, optionally configured to be included in the DCI format. If not configured, the priority index is not included in the DCI format and is low priory by default.
* for the first DCI format for GC-PDCCH, down-select from:
  + - Alt1: Optionally configured to be included in the DCI format. If not configured, the priority index is not included in the DCI format and is low priory by default.
    - Alt2: Always low priority, i.e., the priority index is not included in the DCI format.

Agreement:

The priority of multicast for NACK-only based feedback is the same as the priority of unicast for the same priority index of HARQ-ACK.

Agreement:

When more than one NACK-only based feedback are available for transmission in the same PUCCH slot, down-select from the following alternatives:

* + Alt1: Support UE multiplexing the HARQ-ACK bits by transforming NACK-only into ACK/NACK HARQ bits.
  + Alt2: Support sub-slot based PUCCH for this case.
  + Alt3: Support UE transmitting more than one slot-based PUCCHs in the same PUCCH slot.
  + Alt4: Define combination of NACK-only which corresponds to a specific sequence or a PUCCH transmission.
  + Alt5: NACK-only bundling

Agreement:

When UE supports and is configured with more than one G-RNTI,

* + for Type-2 codebook construction, DAI is separately counted per G-RNTI.
  + Type-2 codebook is constructed by concatenating Type-2 sub-codebook of each RNTI following the ascending order of the G-RNTI value.

Agreement:

Update the WA made in RAN1#105-e meeting regarding enabling/disabling HARQ-ACK feedback as follows:

Working assumption:

For enabling/disabling ACK/NACK-based HARQ-ACK feedback for RRC\_CONNECTED UE receiving multicast via dynamic group-common PDSCH:

* RRC signaling configures the enabling/ disabling function of group-common DCI indicating the enabling /disabling ACK/NACK based HARQ-ACK feedback.
  + If RRC signaling configures the function of group-common DCI based indication, group-common DCI indicates (explicitly or implicitly) whether ACK/NACK based HARQ-ACK feedback is enabled/disabled
  + Otherwise, enabling/disabling ACK/NACK based HARQ-ACK feedback is configured by RRC signaling.
  + FFS details on RRC signaling and group-common DCI indicating.
* FFS whether/how this option is extended to apply to NACK-only based feedback and multiple G-RNTI cases.
* FFS the relation to the HARQ-ACK codebook types and HARQ-ACK codebook construction.
* FFS the relation to the enabling/disabling ACK/NACK based HARQ-ACK feedback for retransmission.
* FFS whether/how to allow UE not to react to the DCI signaling, but instead follow UE-specific RRC configuration for HARQ feedback.
* FFS whether/how to apply it to SPS group-common PDSCH.
* UE capability for enabling/ disabling function of group-common DCI indicating the enabling /disabling ACK/NACK based HARQ-ACK feedback is introduced and FFS details.
* Note: It is up to network implementation to avoid any potential HARQ ACK mismatch between different UEs in the same multicast group

Agreement

For UE supports both ACK/NACK-based and NACK-only based HARQ-ACK feedback for multicast SPS PDSCH without PDCCH scheduling, select one or more of the following alternatives:

* + Alt1: HARQ-ACK feedback option is configured per SPS configuration index.
  + Alt2: HARQ-ACK feedback option is indicated in the SPS activation DCI.
  + Note: enabling/disabling HARQ-ACK feedback for multicast SPS can be discussed separately.

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreement:

From RAN1 perspective, the CFR for broadcast reception of RRC\_IDLE/INACTIVE UEs, includes at least the following configurations:

* One set of parameters configured for PDSCH for broadcast reception with GC-PDSCH
* One set of parameters configured for PDCCH for broadcast reception with GC-PDCCH
* FFS: whether some parameters configured for PDSCH/PDCCH are optional/needed for the supported cases of CFR.
* FFS: If necessary, depending on the cases supported, starting PRB and the number of PRBs
  + The reference for starting PRB is Point A. (Following the same approach to determine reference for starting PRB as that defined in AI8.12.1.)

Conclusion:

There is no specification support in Rel-17 for broadcast reception with RRC\_IDLE/RRC\_INACTIVE UEs with configured/defined CFRs for group-common PDCCH/PDSCH with smaller size than the initial BWP, where the initial BWP has the same frequency resources as CORESET0 (i.e., Case B).

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, if searchSpace#0 is configured for MTCH, the mapping between PDCCH occasions and SSBs is the same as for SIB1.

Agreement:

Study and reach an agreement by RAN1#106b-e on whether Alt1 and Alt2 for MCCH change notification indication can accommodate at least 2 bits for the notification of MCCH configuration changes due to a session start and the notification of MCCH configuration changes of an ongoing session (including session stop).

Agreement:

The DCI format for GC-PDCCH scheduling a GC-PDSCH carrying MCCH/MTCH at least includes the following fields for broadcast reception with UEs in RRC\_IDLE/INACTIVE state:

* FDRA field
* TDRA field
* Modulation and coding scheme
* Redundancy version
* FFS:
  + MCCH change notification (if supported and only for MCCH),
  + RB numbering starts from the lowest RB of the CFR and support of resource allocation with granularity of single or multiple RBs.
  + HARQ process number and New data indicator
  + VRB-to-PRB mapping
  + other fields if needed.

Agreement

Only one CFR can be configured for group-common PDCCH/PDSCH carrying MCCH for broadcast reception with UEs in RRC\_IDLE/INACTIVE state.

Agreement

For broadcast reception with UEs in RRC\_IDLE/INACTIVE state, the DCI size of GC-PDCCH scheduling a GC-PDSCH carrying MCCH/MTCH is aligned with DCI format 1\_0 with CRC scrambled by C-RNTI in the CSS.

Agreement:

For broadcast reception, RRC\_IDLE/RRC\_INACTIVE UEs can use the same bandwidth configurations for the CFR of GC-PDCCH/PDSCH carrying MCCH and the CFR of GC-PDCCH/PDSCH carrying MTCH.

* FFS: use of different bandwidth configurations for the CFR of GC-PDCCH/PDSCH carrying MCCH and the CFR of GC-PDCCH/PDSCH carrying MTCH

Conclusion:

For broadcast reception with RRC\_IDLE/RRC\_INACTIVE UEs, there is no specification support in Rel-17 of different CSS types for GC-PDCCH scheduling MCCH and MTCH.

Agreement:

Study whether the Type-x CSS supported for multicast in RRC\_CONNECTED can be reused as baseline for broadcast in RRC\_IDLE/RRC\_INACTIVE for GC-PDCCH scheduling MCCH and MTCH.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs with broadcast reception, if common search space other than searchSpace#0 is configured for MTCH, the mapping of PDCCH monitoring occasions to SSBs can be configured with a rule.

* The existing rule defined for OSI in TS 38.331 is used as starting point to define the above rule.

# 7. Agreements in RANP#93 e-meetings

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreement:

* The following aspects can be considered to be within the scope of the Rel-17 MBS WID and can be further discussed in the WGs with the aim of minimizing specification impacts:
  + Configurable scrambling sequence initialization for PDCCH/PDSCH and DMRS sequence generator initialization for PDCCH/PDSCH for broadcast transmission (as supported for RRC\_CONNECTED UE).
  + Configuring TRS as QCL sources for broadcast transmission (as supported for RRC\_CONNECTED UE).
* Note: For broadcast transmission, the presence of TRS would be optional from a network perspective.
* Note: Any SFN operation is transparent to the UE

Agreement (Updated proposal from RAN1#106e):

For a configured/defined CFR for GC-PDCCH/PDSCH carrying MCCH and MTCH for broadcast reception with UEs in RRC IDLE/INACTIVE state.

* Support Case-C
* Support at least one of Case D and Case E.
  + Down-selection to be made at RAN1#106b-e
* Note: Case C, D and E are defined in previous agreements

# 8. Agreements in #106b e-meetings

**RAN1#106b-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

Agreement:

The starting PRB and the length of PRBs of CFR are jointly indicated reusing the RIV indication mechanism in the same way as *locationAndBandwidth* of a BWP.

Agreement:

RBG and PRG for multicast GC-PDSCH in CFR are defined using the same procedure as for unicast PDSCH in DL BWP.

* + - * For RBG, the size is defined based on the starting PRB of the CFR, size of the CFR and the higher layer parameter *rbg-Size* configured by *PDSCH-Config* for multicast in the CFR.
      * For PRG, the size is defined based on the starting PRB of the CFR, size of the CFR and precoding granularity for multicast which can be equal to one of the values among {2, 4, wideband}.
      * Note: Whether the RBG and PRG size for multicast (configured directly or indirectly) is the same as for unicast can be discussed separately.

Agreement:

The number of CFRs for multicast is no more than one per dedicated unicast BWP in Rel-17.

Agreement:

For LBRM and TBS determination for GC-PDSCH, the default value of the maximum number of layers is 1 if *maxMIMO-Layers* in *PDSCH-Config* for MBS in CFR is not configured.

Agreement:

For determination of maximum modulation order for LBRM and TBS determination for GC-PDSCH,

* if *mcs-Table* in *PDSCH-Config* for MBS is not configured in CFR, Table 5.1.3.1-1 in TS38.214 is used (similar as the default value in R16).

Agreement:

For multicast of RRC\_CONNECTED UEs, the G-RNTI(s) is/are configured

* Opt.2: per serving cell.
* FFS G-CS-RNTI(s)

Agreement:

The ‘TPC command for scheduled PUCCH’ field is not needed for the first DCI format for multicast.

* FFS: Whether the field should be reserved or should be removed.

Agreement:

The ‘TPC command for scheduled PUCCH’ field is not needed for the second DCI format for multicast.

* FFS: Whether the field should be reserved or should be removed.

Agreement:

The first and second DCI formats for multicast can be configured in the same or different search space sets belonging to type-x CSS.

Agreement:

For FDRA determination of the first DCI format for GC-PDCCH, Option 2 is supported.

* + Option 2:
    -  is given by
      * the size of CORESET 0 if CORESET 0 is configured for the cell; and
      * the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
    - For resource indication value (*RIV*) of downlink resource allocation type 1, the similar scheme as for the case that the DCI size for DCI format 1\_0 in USS is derived from the size of DCI format 1\_0 in CSS but applied to an active BWP is used.
      * If the size of CFR (i.e. ) is larger than the size of CORESET0/initial DL bandwidth part, the resource indication value (*RIV*) is defined as in section 5.1.2.2.2 in TS38.214, where K is the maximum value from set {1, 2, 4, 6, 8, 10, 12} which satisfies ;otherwise,

Agreement:

For GC-PDSCH scheduled with the first DCI format for multicast, RB numbering starts from the lowest RB of the CFR.

Agreement:

For initializing scrambling sequence generator for GC-PDCCH with the second DCI format for RRC\_CONNECTED UEs, =0.

Agreement:

For initializing scrambling sequence generator for GC-PDSCH scheduled by the first DCI format for multicast received in Type-x CSS for RRC\_CONNECTED UEs,

* equals the higher layer parameter *dataScramblingIdentityPDSCH* if it is configured in *PDSCH-Config* in a CFR used for GC-PDSCH and the RNTI equals the G-RNTI or G-CS-RNTI; otherwise.
* corresponds to the RNTI associated with the GC-PDSCH transmission (i.e., the G-RNTI used by the scheduling GC-PDCCH, or the G-CS-RNTI used by the SPS GC-PDSCH activation PDCCH)

Agreement:

For initializing sequence generator for DMRS of GC-PDSCH,

* and are given by the higher-layer parameters *scramblingID0* and *scramblingID1*, respectively, in the *DMRS-DownlinkConfig*IE if provided in *PDSCH-Config* in a CFR used for GC-PDSCH and the GC-PDSCH is scheduled by GC-PDCCH using the second DCI format
* is given by the higher-layer parameter *scramblingID0* if provided in *PDSCH-Config* in a CFR used for GC-PDSCH and the GC-PDSCH is scheduled by GC-PDCCH using the first DCI format;
* otherwise;
* FFS: is given by the DM-RS sequence initialization field, if present, in the DCI associated with the GC-PDSCH transmission if second DCI format is used, otherwise .

Agreement:

The association between a G-CS-RNTI and a SPS-Config-Multicast is indicated by the activation GC-PDCCH for SPS GC-PDSCH, i.e., a value of the HARQ process number field in a DCI format indicates an activation for a SPS GC-PDSCH configuration for multicast with a same value as provided by *sps-ConfigIndex* in a *SPS-Config-Multicast.*

Agreement:

For initializing scrambling sequence generator for GC-PDCCH with the first DCI format for RRC\_CONNECTED UEs,

*  equals the higher layer parameter *pdcch-DMRS-ScramblingID* if it is configured in the CORESET configured within CFR-Config-Multicast for the GC-PDCCH; otherwise.
*  = 0.

Agreement:

For initializing sequence generator for DMRS of GC-PDCCH with the first DCI format received in Type-x CSS for RRC\_CONNECTED UEs,

*  equals the higher layer parameter *pdcch-DMRS-ScramblingID* if it is configured in the CORESET configured within CFR-Config-Multicast for the GC-PDCCH;  otherwise.

Agreement:

Study the following options for the LBRM/TBS determination for PTP retransmission of multicast.

* Option 1: based on the LBRM/TBS determination of the PTM initial transmission using same HPID and NDI.
* Option 2: based on the LBRM/TBS determination of the legacy unicast PDSCH transmission.

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreement:

The group-common DCI indicating the enabling/disabling ACK/NACK based HARQ-ACK feedback is configured per G-RNTI by UE RRC signalling.

Agreement:

If the group-common DCI indicating the enabling/disabling ACK/NACK based HARQ-ACK feedback is not configured, enabling/disabling ACK/NACK based HARQ-ACK feedback is configured per G-RNTI by UE RRC signalling.

Agreement:

When PUCCH transmission for the NACK-only based feedback for multicast collides with PUCCH transmissions for HARQ-ACK feedback/CSI for unicast for the same priority or PUSCH transmission for the same priority, support UE multiplexing the NACK-only based feedback with the HARQ-ACK feedback/CSI on PUCCH or on to PUSCH by transforming NACK-only into the ACK/NACK HARQ bit.

* This applies to at least the case of the feedback addressing one TB. NACK-only based feedback for more than one TBs is to be handled separately.
* Note: When the TB is correctly decoded, the ACK will be transmitted and multiplexed with others.
* FFS the case of PUCCH for SR.

Agreement:

When more than one NACK-only based feedback are available for transmission in the same PUCCH slot, further decide based on the following subset of alternatives (from previous agreement) with potential further down-selection:

* Alt1: Support UE multiplexing the HARQ-ACK bits by transforming NACK-only into ACK/NACK HARQ bits.
* ~~Alt2: Support sub-slot based PUCCH for this case.~~
* ~~Alt3: Support UE transmitting more than one slot-based PUCCHs in the same PUCCH slot.~~
* Alt4: Define combination of NACK-only which corresponds to a specific sequence or a PUCCH transmission.
* ~~Alt5: NACK-only bundling~~

Agreement:

Confirm the WA made in RAN1#106-e meeting regarding enabling/disabling HARQ-ACK feedback.

Agreement:

For group-common DCI indicating whether ACK/NACK based HARQ-ACK feedback is enabled/disabled, down-select from the following alternatives:

* Alt1: Reuse one existing field in the group-common DCI.
* Alt2: Introduce a new field in the group-common DCI.

Agreement:

For multicast SPS PDSCH without PDCCH scheduling, HARQ-ACK feedback option is configured by UE RRC signalling.

* FFS: Whether the configuration is per SPS configuration index or per G-CS-RNTI.
* Note: Whether there is a UE capability for support of NACK-only based HARQ-ACK or not will be discussed as part of UE features discussion.

Agreement:

* If configured, the *pdsch-AggregationFactor* for multicast dynamic scheduling is configured per G-RNTI.
* If configured, the *pdsch-AggregationFactor* for multicast SPS is configured per *SPS-Config-Multicast*.

Agreement:

For slot-level repetition for SPS GC-PDSCH for multicast RRC\_CONNECTED UEs.

* + Config A or Config B can be configured to UE:
    1. (Config A) UE can be optionally configured with *pdsch-AggregationFactor* per *SPS-Config-Multicast*.
    2. (Config B) UE can be optionally configured with TDRA table with *repetitionNumber* as part of the TDRA table in *PDSCH-Config-Multicast*. If UE is configured with Config B, UE does not expect to be configured with Config A for the same SPS group-common PDSCH.
  + For Config A, if *pdsch-AggregationFactor* in *SPS-Config-Multicast* is not configured, default value is
    1. Alt1: equal to 1.

Agreement:

For UE supporting both ACK/NACK based and NACK-only basedfeedback for multicast, for the same G-RNTI, support the following

* UE can be configured with either ACK/NACK based or NACK-only feedback for a single G-RNTI.
  + Note: Case1-1: if configured with ACK/NACK based feedback, UE can be optionally configured a separate *PUCCH-Config/PUCCH-ConfigurationList* for multicast. Otherwise, *PUCCH-Config/PUCCH-ConfigurationList* for unicast applies (This has been agreed.)
  + Case 1-2: if configured with NACK-only based feedback, when separate *PUCCH-Config/PUCCH-ConfigurationList* for NACK-onlyis not configured, *PUCCH-Config/PUCCH-ConfigurationList* for unicast applies.

Agreement:

For the priority index for the first DCI format for GC-PDCCH, support the following **Alt2** from the previous agreement:

* + Alt2: Always low priority, i.e., the priority index is not included in the DCI format.

Agreement:

For TDM-ed unicast and multicast, for Type-1 HARQ-ACK codebook construction for ACK/NACK-based unicast and multicast to be multiplexed in the same PUCCH resource, determining PDSCH reception candidate occasions can be configuredbetween the following alternativesfrom the previous agreement:

* Alt 1:
  + for slot timing values in the intersection of set for unicast (termed set *A*) and set for multicast (termed set *B*), based on union of the PDSCH TDRA sets,
  + for slot timing values in set A but not in set B, based on PDSCH TDRA set for unicast, and
  + for slot timing values in set B but not in set A, based on PDSCH TDRA set for multicast.
* Alt 2: for slot timing values in the union of set for unicast and set for multicast, based on the union of the PDSCH TDRA sets.
* Support of Alt. 1 is a UE capability

Agreement:

For multiplexing the ACK/NACK-based HARQ-ACK feedback for multicast and unicast, determining the PUCCH resources for transmission is based on the PRI indicated in the “last DCI”, where the “last DCI” refers to the following **Alt1** from the previous agreement:

* Alt.1: The last DCI for unicast
* FFS: Any details when last DCI is missed by the UE if it is necessary to make them different from current specifications for this case.

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs, for broadcast reception, both searchSpace#0 and common search space other than searchSpace#0 can be configured for GC-PDCCH scheduling MTCH.

Agreement:

The PDCCH/PDSCH parameters for broadcast reception with GC-PDCCH/PDSCH, which are not configured, use as default the value of the PDCCH/PDSCH parameters for the configuration of the Rel-15/Rel-16 initial BWP for RRC\_IDLE/RRC\_INACTIVE UEs.

Agreement:

For initializing scrambling sequence generator for GC-PDCCH for MCCH/MTCH for broadcast,

* equals the higher layer parameter *pdcch-DMRS-ScramblingID* if it is configured in a CFR used for the GC-PDCCH for MCCH/MTCH; otherwise.

Agreement:

For broadcast reception with UEs in RRC\_IDLE/INACTIVE states, support slot-level repetition for MTCH.

Agreement:

For initializing scrambling sequence generator for GC-PDSCH for MCCH/MTCH for broadcast,

* equals the higher layer parameter *dataScramblingIdentityPDSCH* if it is configured in a CFR used for GC-PDSCH for MCCH/MTCH and the RNTI equals the G-RNTI or MCCH-RNTI; otherwise.
* corresponds to the RNTI associated with the GC-PDSCH transmission.

Agreement:

For initializing sequence generator for DMRS of GC-PDCCH for MCCH/MTCH for broadcast,

* equals the higher layer parameter *pdcch-DMRS-ScramblingID* if it is configured in a CFR used for the GC-PDCCH for MCCH/MTCH; otherwise.

Agreement:

For initializing sequence generator for DMRS of GC-PDSCH for MCCH/MTCH for broadcast,

* equals the higher-layer parameters *scramblingID0* if it is configured in the *DMRS-DownlinkConfig*IE in a CFR used for GC-PDSCH for MCCH/MTCH;  otherwise.

Working assumption:

Alt 2 (from previous agreement) is supported for broadcast reception with RRC\_IDLE/RRC\_INACTIVE UEs for the notification of MCCH configuration changes.

* Send an LS to RAN2 with the mechanism agreed in RAN1

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs for broadcast reception, MTCH scheduling is associated with a window defined by the MTCH monitoring periodicity and the starting of the periodicity

* FFS: the window is associated to one or multiple or all G-RNTI.

Agreement:

For RRC\_IDLE/RRC\_INACTIVE UEs for broadcast reception, at least support that within the MTCH scheduling window, the association between the PDCCH monitoring occasions and SSB is defined as:

* the [*x*×*N*+*K*]th PDCCH monitoring occasion(s) for MTCH in the scheduling window corresponds to the *K*th transmitted SSB, where *x* = 0, 1, ...*X*-1, *K* = 1, 2, …*N*, *N* is the number of actual transmitted SSBs determined according to *ssb-PositionsInBurst* in SIB1 and *X* is equal to CEIL(*number of PDCCH monitoring occasions in MTCH transmission window*/*N*).
* For the purpose of associating PDCCH monitoring occasion for MTCH and SSB,the UE assumes that, in the MTCH scheduling window, PDCCH for an MTCH scrambled by G-RNTI is transmitted in at least one PDCCH monitoring occasion corresponding to each transmitted SSB.

# 8. Agreements in #107 e-meetings

**RAN1#107-e**

**Mechanisms to support group scheduling for RRC\_CONNECTED UEs**

Agreement:

For multicast of RRC\_CONNECTED UEs, the G-CS-RNTI(s) is/are configured per serving cell.

Agreement:

For initializing sequence generator for DMRS of GC-PDSCH, are defined using the same procedure as for unicast PDSCH.

* given by

- if the higher-layer parameter *dmrs-Downlink* in the *DMRS-DownlinkConfig* IE in the *PDSCH-Config-Multicast* IE is provided

where λ is the CDM group defined in clause 7.4.1.1.2 in TS38.211.

- otherwise by

* The quantity is given by the DM-RS sequence initialization field, if present, in the DCI associated with the PDSCH transmission if multicast DCI format 1\_1 is used, otherwise .

Agreement:

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by G-RNTI for multicast:

* Frequency domain resource assignment
* Time domain resource assignment – 4 bits as defined in Clause 5.1.2.1 of TS38.214
* VRB-to-PRB mapping – 1 bit according to Table 7.3.1.2.2-5 in TS38.212
* Modulation and coding scheme – 5 bits as defined in Clause 5.1.3 of TS38.214
* New data indicator – 1 bit
* Redundancy version – 2 bits as defined in Table 7.3.1.1.1-2 in TS38.212
* HARQ process number – [4 or 5] bits
* Downlink assignment index – 2 bits as defined in Clause 9.1.3 of TS 38.213, as counter DAI
* PUCCH resource indicator – 3 bits as defined in Clause 9.2.3 of TS38.213
* PDSCH-to-HARQ\_feedback timing indicator – 3 bits as defined in Clause 9.2.3 of TS38.213
* Reserved bits –3 bits
* FFS: Some of the fields may be not useful and can be reserved in some conditions, and FFS the details of the conditions
* FFS: other fields, e.g. for HARQ enabling/disabling

Note: Whether new fields are defined for multicast DCI format 1\_0 can be discussed separately. The reserved bits can be used for new fields if needed.

Agreement:

For the LBRM/TBS determination for PTP retransmission of multicast, Option 2 is supported.

* Option 2: based on the LBRM/TBS determination of the legacy unicast PDSCH transmission
  + Note: The UE is not required to soft combine the PTM initial transmission and the PTP retransmission in case of different circular buffer
    - FFS: spec impact, if any

Conclusion:

For the RRC parameters that can be configured in *PDSCH-Config / PDCCH-Config / SPS-Config* in Rel-15/16, they can also be configured in *PDSCH-Config-Multicast / PDCCH-Config-Multicast / SPS-Config-Multicast*.

* If some of these RRC parameters need changes for multicast reception (e.g., modify the default values, delete some useless parameters), RAN1 will list them explicitly in the RRC parameter list that will be sent to RAN2.
* For other RRC parameters that do not need changes for multicast reception, RAN1 will not list them with postfix ‘-Multicast’ one by one in the RRC parameter list that will be sent to RAN2, and the default values of these parameters are the same as the default values of the corresponding parameters in dedicated unicast BWP.

Agreement:

PRB bundle and VRB bundle for multicast GC-PDSCH in CFR are defined using the same procedure as for unicast PDSCH scheduled with unicast DCI formats 1\_1 in DL BWP as defined in clause 7.3.1.6 in TS38.211. For interleaved mapping of downlink resource allocation type 1,

* the parameter *N*bundle  is interpreted as the number of bundles within the CFR,
* the size of the CFR is used instead of the size of the BWP,
* the starting PRB of the CFR is used instead of the starting PRB of the BWP
* the higher-layer parameter *vrb-ToPRB-Interleaver* in *PDSCH-Config-Multicast* for multicast, if provided, is used instead of the size of the higher-layer parameter *vrb-ToPRB-Interleaver* in *PDSCH-Config* for unicast.

Conclusion:

For multicast of RRC-CONNECTED UEs, support CFR associated with UE active BWP, where UE active BWP can be an RRC reconfigured initial DL BWP (using Option#2 for configuring initial BWP according to the Annex B.2 of TS 38.331).

Agreement:

Multicast DCI format 1\_1 includes all configurable fields of unicast DCI format 1\_1 except

* Identifier for DCI formats, TPC command for scheduled PUCCH, SRS request
* FFS: Scell dormancy indication
* One-shot HARQ-ACK request, PDSCH group index, New feedback indicator, Number of requested PDSCH group(s), ChannelAccess-Cpext
* CBGTI, CBGFI
* Minimum applicable scheduling offset indicator
* FFS: Carrier indicator, BWP indicator, ZP CSI-RS trigger
* FFS: MCS/NDI/RV for TB2

Conclusion:

If a CFR is configured in a dedicated unicast BWP for multicast in RRC-CONNECTED state, it is up to gNB’s configuration whether to use the CORESET configured in *PDCCH-config-Multicast* in the CFR for unicast transmission or PTP retransmission of multicast.

Agreement:

For MCS determination of SPS GC-PDSCH, *mcs-Table* of ‘qam64LowSE’ can be optionally configured in the *SPS-Config-Multicast*.

* If *mcs-Table* of ‘qam64LowSE’ is not configured in the *SPS-Config-Multicast*, the *mcs-Table* of *PDSCH-Config-Multicast* in the same *CFR-Config-Multicast* is used for the SPS GC-PDSCH to determine the MCS.
* If *mcs-Table* of ‘qam64LowSE’ is configured in the *SPS-Config-Multicast*, it is used for the SPS GC-PDSCH to determine the MCS.

Agreement:

A list of up to 8 k1 values can be configured by higher layer parameter *dl-DataToUL-ACK-MulticastDciFormat1\_0* to be applied to multicast DCI format 1\_0 for RRC\_CONNECTED UEs. If the higher layer parameter *dl-DataToUL-ACK-MulticastDciFormat1\_0* is not provided, k1 list {1, 2, 3, 4, 5, 6, 7, 8} is applied to multicast DCI format 1\_0.

* The size of ‘PDSCH-to-HARQ\_feedback timing indicator’ field of multicast DCI format 1\_0 is fixed at 3 bits.

Agreement:

If *locationAndBandwidth-Multicast* is not configured in a *cfr-Config-Multicast*, the default value is the *locationAndBandwidth* of the DL BWP in which the *cfr-Config-Multicast* is configured.

Agreement:

For applicable PDSCH time domain resource allocation for multicast DCI format,

* if *pdsch-TimeDomainAllocationList* in *PDSCH-Config-Multicast* is provided, the *pdsch-TimeDomainAllocationList* in *PDSCH-Config-Multicast* is applied,
* else if *pdsch-TimeDomainAllocationList* in *PDSCH-Config-Multicast* is not providedbut *pdsch-TimeDomainAllocationList* in *PDSCH-ConfigCommon* is provided, the *pdsch-TimeDomainAllocationList* in *PDSCH-ConfigCommon* is applied,
* else if both *pdsch-TimeDomainAllocationList* in *PDSCH-Config-Multicast* and *pdsch-TimeDomainAllocationList* in *PDSCH-ConfigCommon* are not provided, Default A table is applied irrespective of the SS/PBCH block and CORESET multiplexing pattern.

Agreement:

For multicast in RRC\_CONNECTED state,

* Only SPS-Config-Multicast(s) configured in CFR for multicast can be activated/deactivated by GC-PDCCH with G-CS-RNTI.
* SPS-Config-Multicast(s) configured in CFR for multicast cannot be activated by unicast PDCCH with CS-RNTI, but can be deactivated by unicast PDCCH with CS-RNTI.

Agreement:

For multicast of RRC\_CONNECTED UEs in Rel-17,

* DCI format 2\_x cannot be configured in the same CSS configuration with multicast DCI formats.

Agreement:

For multicast, if a UE is configured with a CFR in the active DL BWP, for timer-based active DL BWP switching to a default BWP, option 1 is supported.

* Option 1: UE also starts or restarts BWP-InactivityTimer when it successfully decodes a GC-PDCCH addressed to group-common RNTI (e.g., G-RNTI or G-CS-RNTI) for multicast on/for the active BWP or when a MAC PDU for is received in a configured downlink assignment for multicast.
  + UE does not start or restart BWP-InactivityTimer when it successfully decodes a GC-PDCCH addressed to group-common RNTI (e.g., G-RNTI or G-CS-RNTI) for broadcast.

**Mechanisms to improve reliability for RRC\_CONNECTED UEs**

Agreement:

When UE is configured with different codebook types for unicast and multicast and when UE is scheduled to multiplex HARQ-ACK for unicast and HARQ-ACK for multicast with the same priority in the same PUCCH slot,

* UE generates two separate sub-codebooks for unicast and multicast respectively and then concatenates them by appending sub-codebook for multicast to the sub-codebook for unicast.
  + Note: The PUCCH resource for transmitting the codebook is based on the last unicast DCI.
  + FFS: when Type-3 HARQ-ACK codebook or enhanced Type-2 codebook is used for unicast
  + Define a UE capability

Agreement:

For multicast SPS activation/deactivation, only ACK/NACK based feedback is supported.

Agreement:

UE is not expected to be configured with different PUCCH structures for unicast and multicast for which the HARQ-ACK are with the same priority and to be scheduled to multiplex the HARQ-ACK in the same PUCCH slot simultaneously.

Agreement:

For a UE that supports multicast, the same TDRA table applies to all G-RNTIs if configured ona given serving cell.

Agreement:

For a UE that supports multicast,when *PUCCH-Config* for ACK/NACK based feedback for multicast is configured separately from unicast, the *PUCCH-Config* is applied to all G-RNTIs with ACK/NACK based feedback with the same priority on a given serving cell.

* Note: The *dl-DataToUL-ACK* is included in *PUCCH-Config*

Agreement:

At least for ACK/NACK based feedback, for obtaining a transmission power for a PUCCH, for Type-2 codebook, is determined as follows:

* *,* where
  + is computed as in R15/R16.
  + is the total number of bits for all configured G-RNTIs.

Agreement:

* For PTM retransmission,
  + if UE is configured to enable/disable HARQ-ACK per group-common DCI indication for initial transmission, whether HARQ-ACK is enabled/disabled for PTM retransmission also follows the indication in the group-common DCI scheduling the PTM retransmission.
  + if UE is configured directly whether the HARQ-ACK is enabled/disabled, it applies to both PTM initial transmission and retransmission.
* For PTP retransmission, the HARQ-ACK is always enabled.

Agreement:

Support enabling/disabling HARQ-ACK for NACK-only based feedback.

* The relevant agreements made for ACK/NACK based feedback can be extended for the support of NACK-only, including:
  + RRC signalling configures the presence of the field “enabling/disabling HARQ-ACK feedback indication” in the group-common DCI and the configuration is per G-RNTI.
  + RRC signalling configures directly whether the HARQ-ACK feedback is enabled or disabled and the configuration is per G-RNTI.

Agreement:

HARQ-ACK feedback option is configured per G-CS-RNTI.

Agreement:

For group-common DCI indicating whether ACK/NACK based HARQ-ACK feedback is enabled/disabled, the “enabling/disabling HARQ-ACK feedback indication” is included in DCI format 1\_1 scrambled by G-RNTI

* For DCI format 1\_1 scrambled by G-CS-RNTI, it is discussed separately.

Agreement:

For the DCI format including the field of “enabling/disabling HARQ-ACK feedback indication” for multicast scheduling, the field is a new field with 1 bit.

Agreement:

For multicast SPS PDSCH without PDCCH scheduling, support the following:

* RRC signalling configures the presence of the field “enabling/disabling HARQ-ACK feedback indication” in the group-common DCI for multicast SPS activation.
  + The configuration is per G-CS-RNTI.
  + Separate UE capability is needed from that for dynamic scheduling for multicast.
* RRC signalling configures directly whether the HARQ-ACK feedback is enabled or disabled.
  + The configuration is per G-CS-RNTI.

Agreement:

For the Type-1 codebook construction for FDM-ed unicast and multicast via Opt 4 (from the previous agreement), when UE is configured with multiple G-RNTIs and UE is configured with *fdmed-Reception-Multicast*, the sub-codebook for multicast consists of the sub-codebooks for each G-RNTI by appending one to another in ascending order of G-RNTI value.

* The sub-codebook for each G-RNTI is generated per the *k1* and *TDRA* configurations for the same G-RNTI as the legacy procedure.
* FFS: whether/how to reduce the Type-1 codebook size when multiple G-RNTIs are configured.
* Note: The maximum number of G-RNTI(s) configured to UE for the FDMed unicast and multicast Type-1 codebook is up to UE capability which will be discussed in UE features.

**Basic functions for broadcast/multicast for RRC\_IDLE/RRC\_INACTIVE UEs**

Agreement:

Confirm the working assumption made at RAN1#106bis-e:

Working assumption:

Alt 2 (from previous agreement) is supported for broadcast reception with RRC\_IDLE/RRC\_INACTIVE UEs for the notification of MCCH configuration changes.

* Send an LS to RAN2 with the mechanism agreed in RAN1

R1-2112645 [DRAFT] Reply LS on MCCH change notification Moderator (BBC)

Final LS is endorsed in R1-2112646

Agreement:

For GC-PDSCH scheduled with DCI format 1\_0 for broadcast reception, RB numbering starts from the lowest RB of the CFR.

Conclusion:

For broadcast reception, the DCI 1\_0 format for GC-PDCCH scheduling a GC-PDSCH does not include the field TB scaling.

Agreement:

For broadcast reception, the following options is supported for VRB-to-PRB mapping field in the DCI format 1\_0 for GC-PDCCH scheduling a GC-PDSCH

* Opt-1: DCI includes the VRB-to-PRB mapping field with 1 bit according to Table 7.3.1.2.2-5 in TS 38.212
  + Note: DL resource allocation type 0 is not supported in DCI format 1\_0

Working assumption:

For FDRA determination of the DCI format 1\_0 for GC-PDCCH for broadcast reception:

* is the size of CORESET 0if CORESET 0 is configured for the cell; and the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
* If the size of CFR (i.e.)is larger than the size of CORESET0/initial DL bandwidth part, the resource indication value (*RIV*) is defined as in section 5.1.2.2.2 in TS38.214, where *K* is the maximum value from set {1, 2, 4, 6, 8, 10, 12} which satisfies *;*otherwise*,*

Agreement:

For broadcast reception with RRC\_IDLE/RRC\_INACTIVE UEs:

* The CFR frequency resources used for MCCH and MTCH are configured by SIBx;
* PDCCH-config/PDSCH-config for broadcast reception with GC-PDCCH/PDSCH carrying MCCH is configured by SIBx
* PDCCH-config/PDSCH-config for broadcast reception with GC-PDCCH/PDSCH carrying MTCH is configured by MCCH. If the PDCCH-config/PDSCH-config for MTCH is not configured, the PDCCH-config/PDSCH-config for GC-PDCCH/PDSCH carrying MCCH configured by SIBx is reused for GC-PDCCH/PDSCH carrying MTCH.

Agreement:

Adding the following PDSCH TDRA table determination rule for broadcast to Table 5.1.2.1.1-1 of TS38.214.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **RNTI** | **PDCCH search space** | **SS/PBCH block and CORESET multiplexing pattern** | **pdsch-ConfigCommon includes pdsch-TimeDomainAllocationList** | **pdsch-Config includes pdsch-TimeDomainAllocationList** | **pdsch-Config-broadcast includes pdsch-TimeDomainAllocationList** | **PDSCH time domain resource allocation to apply** |
| MCCH\_RNTI, G\_RNTI for broadcast | Type-x Common for broadcast | 1 | No | - | - | Default A |
| 2 | No | - | - | Default B |
| 3 | No | - | - | Default C |
|  |  |  |  |  |
| 1,2,3 | Yes | - | No | pdsch-TimeDomainAllocationList provided in pdsch-ConfigCommon |
| 1,2,3 | No/Yes | - | Yes | pdsch-TimeDomainAllocationList provided in pdsch-Config-broadcast |

Agreement:

The definition of the broadcast CFR frequency resources reuses the legacy definition of BWP frequency resources for unicast using the combination of Point A, *offsetToCarrier* and *locationAndBandwidth* to indicate the exact location of the CFR with respect to the carrier starting RB.

* Note: for Case A and Case C, the above parameters (Point A, *offsetToCarrier* and *locationAndBandwidth*) can be derived from the configurations in MIB and SIB1, respectively.

Agreement:

For RRC\_IDLE/INACTIVE UEs, for slot-level repetition for MTCH, support:

* (Config A) UE can be configured with *pdsch-AggregationFactor* per G-RNTI, applied to DCI format 1\_0 with the G-RNTI.
* (Config B) UE can be configured with TDRA table with *repetitionNumber* as part of the TDRA table in *PDSCH-Config-Broadcast*
* If UE is configured with Config B, UE does not expect to be configured with Config A for the same GC-PDSCH.

Agreement:

The following agreements for RRC\_CONECTED UEs also apply for broadcast reception with UEs in RRC\_IDLE/ RRC\_INACTIVE states, with the following updates:

Agreement:

For LBRM and TBS determination for GC-PDSCH:

* The maximum number of layers can be provided by *maxMIMO-Layers* in *PDSCH-Config* for MBS in CFR; if not provided, a default value is defined.
  + FFS the default value.
* The maximum modulation order can be determined from *mcs-Table* in PDSCH-Config for MBS in CFR;
  + FFS: if *mcs-Table* in *PDSCH-Config* for MBS is not configured in CFR, a value determined from *mcs-Table* in *PDSCH-Config* for unicast in the active DL BWP is used; if the *mcs-Table* in *PDSCH-Config* for unicast is not configured, Table 5.1.3.1-1 in TS38.214 is used (similar as the default value in R16).
* xOverhead can be provided in PDSCH-Config for MBS in CFR; if not provided, a default value of zero is used.
* The number of PRBs is determined based on the size of CFR.

Agreement:

For LBRM and TBS determination for GC-PDSCH, the default value of the maximum number of layers is 1 if *maxMIMO-Layers* in *PDSCH-Config* for MBS in CFR is not configured.

Agreement:

For determination of maximum modulation order for LBRM and TBS determination for GC-PDSCH,

* if *mcs-Table* in *PDSCH-Config* for MBS is not configured in CFR, Table 5.1.3.1-1 in TS38.214 is used (similar as the default value in R16).

For LBRM and TBS determination for GC-PDSCH for broadcast reception:

* the maximum number of layers is 1
* the maximum modulation order can be determined from *mcs-Table* in *PDSCH-Config* for broadcast.
* If *mcs-Table* in *PDSCH-Config* is not configured in CFR for broadcast, Table 5.1.3.1-1 in TS38.214 is used.

Agreement:

Confirm the following working assumption with the following note:

* Note: Confirming this WA does not have impact on the down-selection decision for CFR cases

Working assumption

For FDRA determination of the DCI format 1\_0 for GC-PDCCH for broadcast reception:

* is the size of CORESET 0if CORESET 0 is configured for the cell; and the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
* If the size of CFR (i.e.)is larger than the size of CORESET0/initial DL bandwidth part, the resource indication value (*RIV*) is defined as in section 5.1.2.2.2 in TS38.214, where *K* is the maximum value from set {1, 2, 4, 6, 8, 10, 12} which satisfies *;*otherwise*,*

Conclusion:

RAN1 cannot get consensus on the support of Case D and/or Case E.

Conclusion:

Is up to RAN2 decision:

* the configuration of the MTCH scheduling window parameters: monitoring periodicity and the starting of the periodicity:
* whether the MTCH scheduling window is associated to one or multiple or all G-RNTIs

Send an LS to RAN2 to inform about RAN1 conclusion

R1-2112850 LS on MTCH scheduling window