

TSG-RAN Meeting #27
Tokyo, Japan, 09-11 March 2005

RP-050114
Agenda item 9.8

Source: TSG-RAN WG2

Title: CR to 25.331 Rel-6 on Cell Updates

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	2506	-	Rel-6	Removal of unnecessary cell updates on receiving "Frequency info" IE in CELL UPDATE CONFIRM message	F	6.4.0	6.5.0	R2-050301	TEI6

CHANGE REQUEST

25.331 CR 2506 # rev - # Current version: 6.4.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Removal of unnecessary cell updates on receiving "Frequency info" IE in CELL UPDATE CONFIRM message		
Source:	# RAN WG2		
Work item code:	# TEI6	Date:	# January 4, 2004
Category:	# F	Release:	# Rel-6
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	# RAN2 recently decided that a Rel-5 UE entering CELL_FACH or CELL_PCH as a result of a cell update procedure is always permitted to perform a cell update if it received the IE "Frequency info" in the CELL UPDATE CONFIRM message. This cell update is unnecessary in the normal case where the UE successfully selects a cell on the frequency to which the UTRAN directed it.
Summary of change:	# Requirements on a UE entering CELL_FACH and CELL_PCH states modified to clarify that the UE performs a cell update only when one is actually needed.
Consequences if not approved:	# Unnecessary cell update procedures will be initiated.

Clauses affected:	# 8.3.1.6						
Other specs affected:	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> </table> Other core specifications	Y	N	#	X	#	
Y	N						
#	X						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> </table> Test specifications	#	X	#			
#	X						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> </table> O&M Specifications	#	X	#			
#	X						
Other comments:	#						

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI;
or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or

- 2> includes "Transport channel information elements"; and/or

- 2> includes "Physical channel information elements"; and

- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

- 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:

- 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

- 4> select a suitable UTRA cell according to [4] on that frequency;

- 4> if the UE finds a suitable UTRA cell on that frequency:

- 5> if the received CELL UPDATE CONFIRM message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received CELL UPDATE CONFIRM message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 6> act as specified in subclause 8.3.1.12.

- ~~5> otherwise, the UE may:~~

- ~~6> act as specified in subclause 8.3.1.12.~~

- 4> else, if the UE can not find a suitable UTRA cell on the indicated frequency but it finds a suitable UTRA cell on another frequency:

- 5> act as specified in subclause 8.3.1.12.

- 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

- 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

- 2> use the transport channel(s) applicable for the physical channel types that is used; and

- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

- 3> use the TFS given in system information.

- 2> if none of the TFS stored is compatible with the physical channel:

- 3> delete the stored TFS;

- 3> use the TFS given in system information.

- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
 - 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":
 - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the variable ESTABLISHMENT_CAUSE is set:
 - 2> clear the variable ESTABLISHMENT_CAUSE.
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

NOTE: The UTRAN should not change the currently used value of the IE "UTRAN DRX cycle length coefficient" within a short time of moving the UE into CELL_PCH/URA_PCH state, otherwise there is a risk of a DRX cycle mismatch between the UE and UTRAN. This time should be long enough for the UTRAN to have sufficient confidence that the ACK to the reconfiguration complete message has been received by the UE and therefore the procedure has completed within the UE.

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

- 1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

- 1> if V302 is equal to or smaller than N302:
 - 2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or
 - 3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:
 - 4> abort the ongoing integrity and/or ciphering reconfiguration;
 - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
 - 2> in case of a URA update procedure:
 - 3> stop the URA update procedure;
 - 3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 3> continue with a cell update procedure.
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH;
 - 2> increment counter V302;
 - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- 1> if V302 is greater than N302:
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> if the variable PDCP_SN_INFO is empty:

- 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
- 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish the RLC entity for RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

- 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
 - 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
 - 1> if the variable AM_RLC_ERROR_PENDING_RB234 is set to TRUE:
 - 2> set the variable AM_RLC_ERROR_PENDING_RB234 to FALSE.
 - 1> if the variable AM_RLC_ERROR_PENDING_RB5_AND_UP is set to TRUE:
 - 2> set the variable AM_RLC_ERROR_PENDING_RB5_AND_UP to FALSE.
 - 1> clear the variable SECURITY_MODIFICATION.
 - 1> stop timers T314 and/or T315 if they are running.

The procedure ends.