

TSG-RAN Meeting #23
Phoenix, USA, 10-12 March 2004

RP-040097

Title: CRs on 25.331 R'99 (3) (and linked CRs from later releases)

Source: TSG-RAN WG2

Agenda item: 7.3.3

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	2240	-	R99	Corrections to TFC Subset Functionality	F	3.17.0	3.18.0	R2-040590	TEI
25.331	2241	-	Rel-4	Corrections to TFC Subset Functionality	A	4.12.0	4.13.0	R2-040591	TEI
25.331	2242	-	Rel-5	Corrections to TFC Subset Functionality	A	5.7.1	5.8.0	R2-040592	TEI
25.331	2243	-	Rel-6	Corrections to TFC Subset Functionality	A	6.0.1	6.1.0	R2-040593	TEI
25.331	2244	1	R99	Waiting for RLC-ACK on UMI	F	3.17.0	3.18.0	R2-040719	TEI
25.331	2245	1	Rel-4	Waiting for RLC-ACK on UMI	A	4.12.0	4.13.0	R2-040720	TEI
25.331	2246	1	Rel-5	Waiting for RLC-ACK on UMI	A	5.7.1	5.8.0	R2-040721	TEI
25.331	2247	1	Rel-6	Waiting for RLC-ACK on UMI	A	6.0.1	6.1.0	R2-040722	TEI
25.331	2278	-	R99	Issues related to Inter-RAT and Inter-frequency handovers	F	3.17.0	3.18.0	R2-040692	TEI
25.331	2279	-	Rel-4	Issues related to Inter-RAT and Inter-frequency handovers	A	4.12.0	4.13.0	R2-040693	TEI
25.331	2280	-	Rel-5	Issues related to Inter-RAT and Inter-frequency handovers	A	5.7.1	5.8.0	R2-040694	TEI
25.331	2281	-	Rel-6	Issues related to Inter-RAT and Inter-frequency handovers	A	6.0.1	6.1.0	R2-040695	TEI
25.331	2282	-	R99	Corrections to reconfiguration scenarios and cipherring of TM RBs	F	3.17.0	3.18.0	R2-040701	TEI
25.331	2283	-	Rel-4	Corrections to reconfiguration scenarios and cipherring of TM RBs	A	4.12.0	4.13.0	R2-040702	TEI
25.331	2284	1	Rel-5	Corrections to reconfiguration scenarios and cipherring of TM RBs	F	5.7.1	5.8.0	R2-040717	TEI5
25.331	2285	1	Rel-6	Corrections to reconfiguration scenarios and cipherring of TM RBs	A	6.0.1	6.1.0	R2-040718	TEI5

CHANGE REQUEST

25.331 CR 2240 # rev **-** # Current version: **3.17.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to TFC Subset Functionality		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# February 2004
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: # 1. It is clearly the Utran that defines the UL TFC Subset, not the Ue as stated.

2. The term "reset" suggests clearing, whereas other changes need to be covered too.

3. It is currently specified that the TFC Subset is cleared if the DL TFCS is changed, which is assumed to be unintentional behaviour.

Summary of change: ☼ 1. In section 8.2.5.2, "UE may initiate" is changed to "UTRAN may initiate".

2. In 8.2.5.3 the word "reset" is replaced by "changed".

3. The cases for uplink and downlink have been separated in 8.6.5.2 to ensure the TFC subset is not changed to "full transport format combination set" just because the downlink TFCS is changed.

Isolated Impact Analysis
 Functionality corrected: Transport Format Combination Subset.

Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE would clear the subset restriction when the DL TFCS is changed, and so the TFC subset would no longer operate, possibly leading to too much UL interference. If the UTRAN considered such a UE to be violating the TFC subset rules, it may terminate the RRC connection.

If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the TFC subset restriction has been removed if a DL TFCS is sent, whereas the UE would still be restricted to use low bandwidth TFCs. Also, to prevent the TFC subset restriction being cleared, the UTRAN would need to send additional IEs when changing the DL TFCS.

Consequences if not approved: ☼ If the CR is not approved then the UE and UTRAN may not be aligned with regard to the TFC subset definition. This could lead to the UE using TFCs that cause UL interference, or being unnecessarily restricted to low bandwidth TFCs. In addition, additional IEs need to be sent by the UTRAN when the DL TFCS is changed without wanting to change the UL TFC subset.

Clauses affected: ☼ 8.2.5.2, 8.2.5.3, 8.6.5.2.

	Y	N		☼
Other specs affected:		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments: ☼

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☼ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.5.2 Initiation

To initiate the transport format combination control procedure, the UTRAN transmits the TRANSPORT FORMAT COMBINATION CONTROL message on the downlink DCCH using AM or UM RLC. When not stated otherwise elsewhere, the ~~UE~~-UTRAN may initiate the transport format combination control procedure also when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

To change the sub-set of allowed transport format combinations, the UTRAN should:

- 1> set the allowed TFCs in the IE "TFC subset". The network can optionally specify the duration for which a new TFC sub-set applies by using the IE "TFC Control duration" and independently can optionally specify the time at which a new TFC sub-set shall be applied using the IE "Activation Time".

To remove completely the previous restrictions of allowed transport format combinations, the UTRAN should:

- 1> set the "full transport format combination" in the IE "TFC subset".

8.2.5.3 Reception of a TRANSPORT FORMAT COMBINATION CONTROL message by the UE

Upon reception of the TRANSPORT FORMAT COMBINATION CONTROL message the UE shall:

- 1> act upon all received information elements as specified in 8.6, unless specified otherwise in the following;
- 1> perform the actions for the transport format combination subset specified in the IE "DPCH/PUSCH TFCS in uplink" according to subclause 8.6.5.3;
- 1> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 2> if the IE "TFC Control duration" is included in the message:
 - 3> store the value of the IE "TFC Control duration" in the IE "Duration" in the variable TFC_SUBSET;
 - 3> set the IE "Current TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset";
 - 3> apply the transport format combination subset in the IE "Current TFC subset" stored in the variable TFC_SUBSET for the number of (10 ms) frames specified in the IE "TFC Control duration";
 - 3> at the end of the time period defined by the IE "TFC control duration":
 - 4> if the variable TFC_SUBSET has not subsequently been ~~reset~~-changed by another message:
 - 5> go back to any previous restriction of the transport format combination set defined by the content of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> set the value of the IE "Current TFC subset" in the variable TFC_SUBSET to the value of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> clear the IE "Duration" in the variable TFC_SUBSET.
 - 2> if the IE "TFC Control duration" is not included in the message:
 - 3> set both the IE "Current TFC subset" and the IE "Default TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset".
 - 1> if the UE is unable to comply with the reconfiguration due to an invalid activation time:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall:

- 1> clear the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;

1> and the procedure ends.

8.6.5.2 Transport format combination set

If the IE "Transport format combination set" is included [for the uplink](#), the UE shall for that direction (~~uplink or downlink~~):

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
 - 2> perform the actions as specified in subclause 8.6.5.3.
- 1> if IE "Transport format combination subset" is not received in this message:
 - 2> clear the IE "Duration" in the variable TFC_SUBSET;
 - 2> set both the IE "Current TFC subset" and the IE "Default TFC subset" in the variable TFC_SUBSET to the value indicating "full transport format combination set".

[If the IE "Transport format combination set" is included for the downlink, the UE shall for that direction :](#)

- [1> store the new transport format combination set, or \(if this exists\) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";](#)
- [1> start to respect those transport format combinations;](#)
- [1> if IE "Transport format combination subset" is received in this message:](#)
 - [2> perform the actions as specified in subclause 8.6.5.3.](#)

If the IE "Transport format combination set" is not included [for the uplink](#) and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction (~~uplink or downlink~~):

- 1> use a previously stored transport format combination set if this exists.

[If the IE "Transport format combination set" is not included for the downlink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction:](#)

- [1> use a previously stored transport format combination set if this exists.](#)

If the IE "Transport format combination set" is not included; and

- 1> if no transport format combination set is stored in the UE; or
- 1> if transport channels are added or removed in the message; or
- 1> if any transport channel is reconfigured in the message such that the size of the transport format set is changed:

the UE shall:

- 1> set the variable INVALID_CONFIGURATION to TRUE.

In the uplink TFCS the minimum set of TFCs is the set of TFCs that is needed for the TFC selection algorithm defined in [15] to give a predictable result. This set should always be included in the TFCS, and its use should never be restricted using the IE "TFC Subset". In the definition of the minimum set of TFCs below, only logical channels for which the TFCS or the TFC subset include at least one TFC with non-empty TF for the corresponding transport channel should be considered.

The minimum set of TFCs consists of the following:

- 1> for each UM logical channel:

- 2> a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits should be included in the minimum set of TFCs.
- 1> for each AM logical channel:
 - 2> a TFC with a non-empty TF for the corresponding transport channel and empty TFs for all other transport channels, where the non-empty TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size.
 - 1> for each set of "synchronous" TM logical channels (see the definition below) and for each set of SDU sizes associated with it:
 - 2> a TFC with TFs corresponding to any combination of SDU sizes that can be received in a TTI from higher layers on the corresponding transport channels and empty TFs for all other transport channels.
 - 1> for each TM logical channel that is not part of a set of "synchronous" TM logical channels (see the definition below):
 - 2> a TFC with non-empty TFs for the corresponding transport channel, and empty TFs for all other transport channels, where
 - 3> for non-segmented mode TM-RLC logical channels the non-empty TFs include, for the smallest SDU size that can be received in a single TTI from higher layer:
 - 4> a TF with non-zero number of transport blocks with "Configured RLC Size" equal to the corresponding SDU size. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits in the TFC is included in the minimum set of TFCs.
 - 3> for segmented mode TM-RLC, the non-empty TFs include any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the smallest SDU size that can be received in a single TTI from higher layer.
 - 1> an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS and any configured TFC Subset satisfies the following rules:

- 1> for each TTI length with which at least one transport channel is configured:
 - 2> for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
 - 3> a TFC with these TFs for the transport channels configured with this TTI length and empty TFs on all transport channels configured with shorter TTI lengths is also included in the TFCS.

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB).

NOTE: The "Configured RLC Size" is defined as the transport block size minus the MAC header size.

CHANGE REQUEST

⌘ 25.331 CR 2241 ⌘ rev - ⌘ Current version: 4.12.0 ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Corrections to TFC Subset Functionality		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ February 2004
Category:	⌘ A	Release:	⌘ Rel-4
<i>Use <u>one</u> of the following categories:</i>		<i>Use <u>one</u> of the following releases:</i>	
F (correction)		2 (GSM Phase 2)	
A (corresponds to a correction in an earlier release)		R96 (Release 1996)	
B (addition of feature),		R97 (Release 1997)	
C (functional modification of feature)		R98 (Release 1998)	
D (editorial modification)		R99 (Release 1999)	
Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)	
		Rel-5 (Release 5)	
		Rel-6 (Release 6)	

Reason for change: ⌘ 1. It is clearly the Utran that defines the UL TFC Subset, not the Ue as stated.

2. The term "reset" suggests clearing, whereas other changes need to be covered too.

3. It is currently specified that the TFC Subset is cleared if the DL TFCS is changed, which is assumed to be unintentional behaviour.

Summary of change: ⌘	<p>1. In section 8.2.5.2, "UE may initiate" is changed to "UTRAN may initiate".</p> <p>2. In 8.2.5.3 the word "reset" is replaced by "changed".</p> <p>3. The cases for uplink and downlink have been separated in 8.6.5.2 to ensure the TFC subset is not changed to "full transport format combination set" just because the downlink TFCS is changed.</p> <p>Isolated Impact Analysis Functionality corrected: Transport Format Combination Subset.</p> <p>Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.</p> <p>If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE would clear the subset restriction when the DL TFCS is changed, and so the TFC subset would no longer operate, possibly leading to too much UL interference. If the UTRAN considered such a UE to be violating the TFC subset rules, it may terminate the RRC connection.</p> <p>If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the TFC subset restriction has been removed if a DL TFCS is sent, whereas the UE would still be restricted to use low bandwidth TFCs. Also, to prevent the TFC subset restriction being cleared, the UTRAN would need to send additional IEs when changing the DL TFCS.</p>
Consequences if not approved: ⌘	<p>If the CR is not approved then the UE and UTRAN may not be aligned with regard to the TFC subset definition. This could lead to the UE using TFCs that cause UL interference, or being unnecessarily restricted to low bandwidth TFCs. In addition, additional IEs need to be sent by the UTRAN when the DL TFCS is changed without wanting to change the UL TFC subset.</p>

Clauses affected: ⌘	8.2.5.2, 8.2.5.3, 8.6.5.2.										
Other specs affected:	<table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Y	N	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other core specifications Test specifications O&M Specifications	⌘
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Other comments: ⌘											

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8.2.5.2 Initiation

To initiate the transport format combination control procedure, the UTRAN transmits the TRANSPORT FORMAT COMBINATION CONTROL message on the downlink DCCH using AM, UM or TM RLC. When not stated otherwise elsewhere, the ~~UE~~ UTRAN may initiate the transport format combination control procedure also when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

To change the sub-set of allowed transport format combinations, the UTRAN should:

- 1> set the allowed TFCs in the IE "TFC subset". The UTRAN may specify the duration for which a new TFC sub-set applies by using the IE "TFC Control duration" and independently may specify the time at which a new TFC sub-set shall be applied using the IE "Activation Time".

To remove completely the previous restrictions of allowed transport format combinations, the UTRAN should:

- 1> set the "full transport format combination" in the IE "TFC subset".

8.2.5.3 Reception of a TRANSPORT FORMAT COMBINATION CONTROL message by the UE

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on AM RLC or UM RLC, the UE shall:

- 1> act upon all received information elements as specified in 8.6, unless specified otherwise in the following;
- 1> perform the actions for the transport format combination subset specified in the IE "DPCH/PUSCH TFCS in uplink" according to subclause 8.6.5.3;
- 1> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 2> if the IE "TFC Control duration" is included in the message:
 - 3> store the value of the IE "TFC Control duration" in the IE "Duration" in the variable TFC_SUBSET;
 - 3> set the IE "Current TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset";
 - 3> apply the transport format combination subset in the IE "Current TFC subset" stored in the variable TFC_SUBSET for the number of (10 ms) frames specified in the IE "TFC Control duration";
 - 3> at the end of the time period defined by the IE "TFC control duration":
 - 4> if the variable TFC_SUBSET has not subsequently been ~~reset~~ changed by another message:
 - 5> go back to any previous restriction of the transport format combination set defined by the content of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> set the value of the IE "Current TFC subset" in the variable TFC_SUBSET to the value of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> clear the IE "Duration" in the variable TFC_SUBSET.
 - 2> if the IE "TFC Control duration" is not included in the message:
 - 3> set both the IE "Current TFC subset" and the IE "Default TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset".
 - 1> if the UE is unable to comply with the reconfiguration due to an invalid activation time:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on TM RLC, the UE shall:

- 1> consider the size of the transport block of the downlink transport channel where this message was received to select the format for the transparent format combination control mode as specified in subclause 12.4.1.1;
- 1> if the IE "TFC subset identity" identifies one of the TFC subsets stored in the IE "TFC subset list" in the variable TFC_SUBSET:
 - 2> perform the actions as specified in subclause 8.6.5.3;
 - 2> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 3> in the variable TFC_SUBSET, set the IE "Current TFC subset" to the value of the IE "TFC subset" in "TFC subset list" which is identified by the IE "TFC subset identity".
- 1> if the IE "TFC subset identity" is greater than the maximum number of TFC subsets stored in the IE "TFC subset list" in the variable TFC_SUBSET:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall:

- 1> clear the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> and the procedure ends.

8.6.5.2 Transport format combination set

If the IE "Transport format combination set" is included [for the uplink](#), the UE shall for that direction (~~uplink or downlink~~):

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
 - 2> perform the actions as specified in subclause 8.6.5.3.
- 1> if IE "Transport format combination subset" is not received in this message:
 - 2> clear the IE "Duration" in the variable TFC_SUBSET;
 - 2> set both the IE "Current TFC subset" and the IE "Default TFC subset" in the variable TFC_SUBSET to the value indicating "full transport format combination set".

If the IE "Transport format combination set" is included for the downlink, the UE shall for that direction :

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
 - 2> perform the actions as specified in subclause 8.6.5.3.

If the IE "Transport format combination set" is not included [for the uplink](#) and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction (~~uplink or downlink~~):

- 1> use a previously stored transport format combination set if this exists.

If the IE "Transport format combination set" is not included for the downlink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction:

- 1> use a previously stored transport format combination set if this exists.

If the IE "Transport format combination set" is not included; and

- 1> if no transport format combination set is stored in the UE; or
- 1> if transport channels are added or removed in the message; or
- 1> if any transport channel is reconfigured in the message such that the size of the transport format set is changed:

the UE shall:

- 1> set the variable INVALID_CONFIGURATION to TRUE.

In the uplink TFCS the minimum set of TFCs is the set of TFCs that is needed for the TFC selection algorithm defined in [15] to give a predictable result. This set should always be included in the TFCS, and its use should never be restricted using the IE "TFC Subset". In the definition of the minimum set of TFCs below, only logical channels for which the TFCS or the TFC subset include at least one TFC with non-empty TF for the corresponding transport channel should be considered.

The minimum set of TFCs consists of the following:

- 1> for each UM logical channel:
 - 2> a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits should be included in the minimum set of TFCs.
- 1> for each AM logical channel:
 - 2> a TFC with a non-empty TF for the corresponding transport channel and empty TFs for all other transport channels, where the non-empty TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size.
- 1> for each set of "synchronous" TM logical channels (see the definition below) and for each set of SDU sizes associated with it:
 - 2> a TFC with TFs corresponding to any combination of SDU sizes that can be received in a TTI from higher layers on the corresponding transport channels and empty TFs for all other transport channels.
- 1> for each TM logical channel that is not part of a set of "synchronous" TM logical channels (see the definition below):
 - 2> a TFC with non-empty TFs for the corresponding transport channel, and empty TFs for all other transport channels, where
 - 3> for non-segmented mode TM-RLC logical channels the non-empty TFs include, for the smallest SDU size that can be received in a single TTI from higher layer:
 - 4> a TF with non-zero number of transport blocks with "Configured RLC Size" equal to the corresponding SDU size. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits in the TFC is included in the minimum set of TFCs.
 - 3> for segmented mode TM-RLC, the non-empty TFs include any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the smallest SDU size that can be received in a single TTI from higher layer.
- 1> an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS and any configured TFC Subset satisfies the following rules:

- 1> for each TTI length with which at least one transport channel is configured:
 - 2> for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
 - 3> a TFC with these TFs for the transport channels configured with this TTI length and empty TFs on all transport channels configured with shorter TTI lengths is also included in the TFCS.

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB).

NOTE: The "Configured RLC Size" is defined as the transport block size minus the MAC header size.

CHANGE REQUEST

25.331 CR 2242 # rev - # Current version: 5.7.1

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to TFC Subset Functionality		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# February 2004
Category:	# A	Release:	# Rel-5
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: # 1. It is clearly the Utran that defines the UL TFC Subset, not the Ue as stated.

2. The term "reset" suggests clearing, whereas other changes need to be covered too.

3. It is currently specified that the TFC Subset is cleared if the DL TFCS is changed, which is assumed to be unintentional behaviour.

Summary of change: ⌘	<p>1. In section 8.2.5.2, "UE may initiate" is changed to "UTRAN may initiate".</p> <p>2. In 8.2.5.3 the word "reset" is replaced by "changed".</p> <p>3. The cases for uplink and downlink have been separated in 8.6.5.2 to ensure the TFC subset is not changed to "full transport format combination set" just because the downlink TFCS is changed.</p> <p>Isolated Impact Analysis Functionality corrected: Transport Format Combination Subset.</p> <p>Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.</p> <p>If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE would clear the subset restriction when the DL TFCS is changed, and so the TFC subset would no longer operate, possibly leading to too much UL interference. If the UTRAN considered such a UE to be violating the TFC subset rules, it may terminate the RRC connection.</p> <p>If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the TFC subset restriction has been removed if a DL TFCS is sent, whereas the UE would still be restricted to use low bandwidth TFCs. Also, to prevent the TFC subset restriction being cleared, the UTRAN would need to send additional IEs when changing the DL TFCS.</p>
Consequences if not approved: ⌘	<p>If the CR is not approved then the UE and UTRAN may not be aligned with regard to the TFC subset definition. This could lead to the UE using TFCs that cause UL interference, or being unnecessarily restricted to low bandwidth TFCs. In addition, additional IEs need to be sent by the UTRAN when the DL TFCS is changed without wanting to change the UL TFC subset.</p>

Clauses affected: ⌘	8.2.5.2, 8.2.5.3, 8.6.5.2.										
Other specs affected:	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<p>Other core specifications</p> <p>Test specifications</p> <p>O&M Specifications</p>	⌘
Y	N										
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<input type="checkbox"/>	<input checked="" type="checkbox"/>										
Other comments: ⌘											

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.5.2 Initiation

To initiate the transport format combination control procedure, the UTRAN transmits the TRANSPORT FORMAT COMBINATION CONTROL message on the downlink DCCH using AM, UM or TM RLC. When not stated otherwise elsewhere, the ~~UE~~-UTRAN may initiate the transport format combination control procedure also when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

To change the sub-set of allowed transport format combinations, the UTRAN should:

- 1> set the allowed TFCs in the IE "TFC subset". The UTRAN may specify the duration for which a new TFC sub-set applies by using the IE "TFC Control duration" and independently may specify the time at which a new TFC sub-set shall be applied using the IE "Activation Time".

To remove completely the previous restrictions of allowed transport format combinations, the UTRAN should:

- 1> set the "full transport format combination" in the IE "TFC subset".

8.2.5.3 Reception of a TRANSPORT FORMAT COMBINATION CONTROL message by the UE

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on AM RLC or UM RLC, the UE shall:

- 1> act upon all received information elements as specified in 8.6, unless specified otherwise in the following;
- 1> perform the actions for the transport format combination subset specified in the IE "DPCH/PUSCH TFCS in uplink" according to subclause 8.6.5.3;
- 1> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 2> if the IE "TFC Control duration" is included in the message:
 - 3> store the value of the IE "TFC Control duration" in the IE "Duration" in the variable TFC_SUBSET;
 - 3> set the IE "Current TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset";
 - 3> apply the transport format combination subset in the IE "Current TFC subset" stored in the variable TFC_SUBSET for the number of (10 ms) frames specified in the IE "TFC Control duration";
 - 3> at the end of the time period defined by the IE "TFC control duration":
 - 4> if the variable TFC_SUBSET has not subsequently been ~~reset~~-changed by another message:
 - 5> go back to any previous restriction of the transport format combination set defined by the content of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> set the value of the IE "Current TFC subset" in the variable TFC_SUBSET to the value of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> clear the IE "Duration" in the variable TFC_SUBSET.
 - 2> if the IE "TFC Control duration" is not included in the message:
 - 3> set both the IE "Current TFC subset" and the IE "Default TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset".
 - 1> if the UE is unable to comply with the reconfiguration due to an invalid activation time:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on TM RLC, the UE shall:

- 1> consider the size of the transport block of the downlink transport channel where this message was received to select the format for the transparent format combination control mode as specified in subclause 12.4.1.1;
- 1> if the IE "TFC subset identity" identifies one of the TFC subsets stored in the IE "TFC subset list" in the variable TFC_SUBSET:
 - 2> perform the actions as specified in subclause 8.6.5.3;
 - 2> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 3> in the variable TFC_SUBSET, set the IE "Current TFC subset" to the value of the IE "TFC subset" in "TFC subset list" which is identified by the IE "TFC subset identity".
- 1> if the IE "TFC subset identity" is greater than the maximum number of TFC subsets stored in the IE "TFC subset list" in the variable TFC_SUBSET:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall:

- 1> clear the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> and the procedure ends.

8.6.5.2 Transport format combination set

If the IE "Transport format combination set" is included for the uplink, the UE shall for that direction ~~(uplink or downlink)~~:

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
 - 2> perform the actions as specified in subclause 8.6.5.3.
- 1> if IE "Transport format combination subset" is not received in this message:
 - 2> clear the IE "Duration" in the variable TFC_SUBSET;
 - 2> set both the IE "Current TFC subset" and the IE "Default TFC subset" in the variable TFC_SUBSET to the value indicating "full transport format combination set".

If the IE "Transport format combination set" is included for the downlink, the UE shall for that direction:

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
 - 2> perform the actions as specified in subclause 8.6.5.3.

If the IE "Transport format combination set" is not included for the uplink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction ~~(uplink or downlink)~~:

- 1> use a previously stored transport format combination set if this exists.

If the IE "Transport format combination set" is not included for the downlink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction:

- 1> use a previously stored transport format combination set if this exists.

If the IE "Transport format combination set" is not included; and

- 1> if no transport format combination set is stored in the UE; or
- 1> if transport channels are added or removed in the message; or
- 1> if any transport channel is reconfigured in the message such that the size of the transport format set is changed:

the UE shall:

- 1> set the variable INVALID_CONFIGURATION to TRUE.

In the uplink TFCS the minimum set of TFCs is the set of TFCs that is needed for the TFC selection algorithm defined in [15] to give a predictable result. This set should always be included in the TFCS, and its use should never be restricted using the IE "TFC Subset". In the definition of the minimum set of TFCs below, only logical channels for which the TFCS or the TFC subset include at least one TFC with non-empty TF for the corresponding transport channel should be considered.

The minimum set of TFCs consists of the following:

- 1> for each UM logical channel:
 - 2> a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits should be included in the minimum set of TFCs.
- 1> for each AM logical channel:
 - 2> a TFC with a non-empty TF for the corresponding transport channel and empty TFs for all other transport channels, where the non-empty TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size.
- 1> for each set of "synchronous" TM logical channels (see the definition below) and for each set of SDU sizes associated with it:
 - 2> a TFC with TFs corresponding to any combination of SDU sizes that can be received in a TTI from higher layers on the corresponding transport channels and empty TFs for all other transport channels.
- 1> for each TM logical channel that is not part of a set of "synchronous" TM logical channels (see the definition below):
 - 2> a TFC with non-empty TFs for the corresponding transport channel, and empty TFs for all other transport channels, where
 - 3> for non-segmented mode TM-RLC logical channels the non-empty TFs include, for the smallest SDU size that can be received in a single TTI from higher layer:
 - 4> a TF with non-zero number of transport blocks with "Configured RLC Size" equal to the corresponding SDU size. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits in the TFC is included in the minimum set of TFCs.
 - 3> for segmented mode TM-RLC, the non-empty TFs include any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the smallest SDU size that can be received in a single TTI from higher layer.
- 1> an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS and any configured TFC Subset satisfies the following rules:

- 1> for each TTI length with which at least one transport channel is configured:
 - 2> for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
 - 3> a TFC with these TFs for the transport channels configured with this TTI length and empty TFs on all transport channels configured with shorter TTI lengths is also included in the TFCS.

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB).

NOTE: The "Configured RLC Size" is defined as the transport block size minus the MAC header size.

CHANGE REQUEST

25.331 CR 2243 # rev - # Current version: 6.0.1

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to TFC Subset Functionality		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# February 2004
Category:	# A	Release:	# Rel-6
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: # 1. It is clearly the Utran that defines the UL TFC Subset, not the Ue as stated.

2. The term "reset" suggests clearing, whereas other changes need to be covered too.

3. It is currently specified that the TFC Subset is cleared if the DL TFCS is changed, which is assumed to be unintentional behaviour.

Summary of change: ⌘	<p>1. In section 8.2.5.2, "UE may initiate" is changed to "UTRAN may initiate".</p> <p>2. In 8.2.5.3 the word "reset" is replaced by "changed".</p> <p>3. The cases for uplink and downlink have been separated in 8.6.5.2 to ensure the TFC subset is not changed to "full transport format combination set" just because the downlink TFCS is changed.</p> <p>Isolated Impact Analysis Functionality corrected: Transport Format Combination Subset.</p> <p>Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.</p> <p>If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE would clear the subset restriction when the DL TFCS is changed, and so the TFC subset would no longer operate, possibly leading to too much UL interference. If the UTRAN considered such a UE to be violating the TFC subset rules, it may terminate the RRC connection.</p> <p>If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the TFC subset restriction has been removed if a DL TFCS is sent, whereas the UE would still be restricted to use low bandwidth TFCs. Also, to prevent the TFC subset restriction being cleared, the UTRAN would need to send additional IEs when changing the DL TFCS.</p>
Consequences if not approved: ⌘	<p>If the CR is not approved then the UE and UTRAN may not be aligned with regard to the TFC subset definition. This could lead to the UE using TFCs that cause UL interference, or being unnecessarily restricted to low bandwidth TFCs. In addition, additional IEs need to be sent by the UTRAN when the DL TFCS is changed without wanting to change the UL TFC subset.</p>

Clauses affected: ⌘	8.2.5.2, 8.2.5.3, 8.6.5.2.										
Other specs affected:	<table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Y	N	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other core specifications Test specifications O&M Specifications	⌘
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Other comments: ⌘											

How to create CRs using this form:

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.5.2 Initiation

To initiate the transport format combination control procedure, the UTRAN transmits the TRANSPORT FORMAT COMBINATION CONTROL message on the downlink DCCH using AM, UM or TM RLC. When not stated otherwise elsewhere, the ~~UE~~-UTRAN may initiate the transport format combination control procedure also when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

To change the sub-set of allowed transport format combinations, the UTRAN should:

- 1> set the allowed TFCs in the IE "TFC subset". The UTRAN may specify the duration for which a new TFC sub-set applies by using the IE "TFC Control duration" and independently may specify the time at which a new TFC sub-set shall be applied using the IE "Activation Time".

To remove completely the previous restrictions of allowed transport format combinations, the UTRAN should:

- 1> set the "full transport format combination" in the IE "TFC subset".

8.2.5.3 Reception of a TRANSPORT FORMAT COMBINATION CONTROL message by the UE

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on AM RLC or UM RLC, the UE shall:

- 1> act upon all received information elements as specified in 8.6, unless specified otherwise in the following;
- 1> perform the actions for the transport format combination subset specified in the IE "DPCH/PUSCH TFCS in uplink" according to subclause 8.6.5.3;
- 1> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 2> if the IE "TFC Control duration" is included in the message:
 - 3> store the value of the IE "TFC Control duration" in the IE "Duration" in the variable TFC_SUBSET;
 - 3> set the IE "Current TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset";
 - 3> apply the transport format combination subset in the IE "Current TFC subset" stored in the variable TFC_SUBSET for the number of (10 ms) frames specified in the IE "TFC Control duration";
 - 3> at the end of the time period defined by the IE "TFC control duration":
 - 4> if the variable TFC_SUBSET has not subsequently been ~~reset~~-changed by another message:
 - 5> go back to any previous restriction of the transport format combination set defined by the content of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> set the value of the IE "Current TFC subset" in the variable TFC_SUBSET to the value of the IE "Default TFC subset" in the variable TFC_SUBSET;
 - 5> clear the IE "Duration" in the variable TFC_SUBSET.
 - 2> if the IE "TFC Control duration" is not included in the message:
 - 3> set both the IE "Current TFC subset" and the IE "Default TFC subset" (for the CCTrCH indicated by the IE "TFCS Id" in case of TDD) in the variable TFC_SUBSET to the value of the IE "Transport format combination subset".
 - 1> if the UE is unable to comply with the reconfiguration due to an invalid activation time:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

If the TRANSPORT FORMAT COMBINATION CONTROL message was received on TM RLC, the UE shall:

- 1> consider the size of the transport block of the downlink transport channel where this message was received to select the format for the transparent format combination control mode as specified in subclause 12.4.1.1;
- 1> if the IE "TFC subset identity" identifies one of the TFC subsets stored in the IE "TFC subset list" in the variable TFC_SUBSET:
 - 2> perform the actions as specified in subclause 8.6.5.3;
 - 2> if the variable INVALID_CONFIGURATION is set to FALSE:
 - 3> in the variable TFC_SUBSET, set the IE "Current TFC subset" to the value of the IE "TFC subset" in "TFC subset list" which is identified by the IE "TFC subset identity".
- 1> if the IE "TFC subset identity" is greater than the maximum number of TFC subsets stored in the IE "TFC subset list" in the variable TFC_SUBSET:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall:

- 1> clear the entry for the TRANSPORT FORMAT COMBINATION CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> and the procedure ends.

8.6.5.2 Transport format combination set

If the IE "Transport format combination set" is included [for the uplink](#), the UE shall for that direction (~~uplink or downlink~~):

- 1> store the new transport format combination set, or (if this exists) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";
- 1> start to respect those transport format combinations;
- 1> if IE "Transport format combination subset" is received in this message:
 - 2> perform the actions as specified in subclause 8.6.5.3.
- 1> if IE "Transport format combination subset" is not received in this message:
 - 2> clear the IE "Duration" in the variable TFC_SUBSET;
 - 2> set both the IE "Current TFC subset" and the IE "Default TFC subset" in the variable TFC_SUBSET to the value indicating "full transport format combination set".

[If the IE "Transport format combination set" is included for the downlink, the UE shall for that direction:](#)

- [1> store the new transport format combination set, or \(if this exists\) modify a previously stored transport format combination set according to IEs included in IE "Transport format combination set";](#)
- [1> start to respect those transport format combinations;](#)
- [1> if IE "Transport format combination subset" is received in this message:](#)
 - [2> perform the actions as specified in subclause 8.6.5.3.](#)

If the IE "Transport format combination set" is not included [for the uplink](#) and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction (~~uplink or downlink~~):

- 1> use a previously stored transport format combination set if this exists.

[If the IE "Transport format combination set" is not included for the downlink and if there is no addition, removal or reconfiguration of transport channels, the UE shall for that direction:](#)

- [1> use a previously stored transport format combination set if this exists.](#)

If the IE "Transport format combination set" is not included; and

- 1> if no transport format combination set is stored in the UE; or
- 1> if transport channels are added or removed in the message; or
- 1> if any transport channel is reconfigured in the message such that the size of the transport format set is changed:

the UE shall:

- 1> set the variable INVALID_CONFIGURATION to TRUE.

In the uplink TFCS the minimum set of TFCs is the set of TFCs that is needed for the TFC selection algorithm defined in [15] to give a predictable result. This set should always be included in the TFCS, and its use should never be restricted using the IE "TFC Subset". In the definition of the minimum set of TFCs below, only logical channels for which the TFCS or the TFC subset include at least one TFC with non-empty TF for the corresponding transport channel should be considered.

The minimum set of TFCs consists of the following:

- 1> for each UM logical channel:
 - 2> a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits should be included in the minimum set of TFCs.
- 1> for each AM logical channel:
 - 2> a TFC with a non-empty TF for the corresponding transport channel and empty TFs for all other transport channels, where the non-empty TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size.
- 1> for each set of "synchronous" TM logical channels (see the definition below) and for each set of SDU sizes associated with it:
 - 2> a TFC with TFs corresponding to any combination of SDU sizes that can be received in a TTI from higher layers on the corresponding transport channels and empty TFs for all other transport channels.
- 1> for each TM logical channel that is not part of a set of "synchronous" TM logical channels (see the definition below):
 - 2> a TFC with non-empty TFs for the corresponding transport channel, and empty TFs for all other transport channels, where
 - 3> for non-segmented mode TM-RLC logical channels the non-empty TFs include, for the smallest SDU size that can be received in a single TTI from higher layer:
 - 4> a TF with non-zero number of transport blocks with "Configured RLC Size" equal to the corresponding SDU size. If more than one TFC fulfils this criteria, only the TFC with the lowest number of bits in the TFC is included in the minimum set of TFCs.
 - 3> for segmented mode TM-RLC, the non-empty TFs include any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the smallest SDU size that can be received in a single TTI from higher layer.
- 1> an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS and any configured TFC Subset satisfies the following rules:

- 1> for each TTI length with which at least one transport channel is configured:
 - 2> for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
 - 3> a TFC with these TFs for the transport channels configured with this TTI length and empty TFs on all transport channels configured with shorter TTI lengths is also included in the TFCS.

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB).

NOTE: The "Configured RLC Size" is defined as the transport block size minus the MAC header size.

CHANGE REQUEST

25.331 CR 2244 # rev 1 # Current version: 3.17.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Waiting for RLC-ACK on UMI		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 10/02/2004
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change:	# The actions on whether the UE shall wait for the RLC-ACK of UTRAN Mobility Information Complete before resuming transmission on RBs & SRBs, when "ciphering mode info" or "integrity protection info" were included in UMI (ie. SRNS Relocation), are unclear. Currently, the text on resuming the RLC entities implies that it would not be necessary to wait for the RLC-ACK of the UTRAN Mobility Information Complete, to resume transmission. Note: in the reconfiguration cases the UE always waits for RLC-ACK, under the same conditions.
Summary of change:	# Add a sentence stating the shall wait for RLC-ACK before resuming transmission on RBs & SRBs.
Consequences if not approved:	# When performing SRNS Relocation triggered by UTRAN Mobility Information, the UE may resume data transmission before the UTRAN receives the response message and resume transmission on SRBs and RBs, thus potentially causing discarding of message by the RNC. Impact Analysis: This CR only affects the UE side and will therefore require changes to UE that are not already behaving as indicated in the CR. A UE that does not comply to this CR may trigger discarding of messages under the conditions explained above (consequences if not approved). Such an implementation will require a modification to ensure the RLC-ACK of UMI Complete is received before resuming transmission on SRBs & RBs. A UE implementation that already waits for the RLC-ACK of UMI Complete, before resuming transmission on RBs & SRBs, under the above described conditions (ie. The UE behaviour for SRNS Relocation with UMI is aligned with the UE behaviour for SRNS Relocation with reconfiguration messages), will not

require changes to its implementation.

Clauses affected:	⌘	8.3.3.3									
Other specs affected:		<table border="1"><tr><td>Y</td><td>N</td></tr><tr><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr><tr><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr><tr><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr></table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications ⌘
	Y	N									
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		Test specifications ⌘									
		O&M Specifications ⌘									
Other comments:	⌘										

How to create CRs using this form:

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
 - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 3> if the IE "PDCP context relocation info" is present:
 - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP_SN_INFO is empty; and
 - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO; and
 - 3> perform the actions below.

The UE shall:

- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 2245 # rev 1 # Current version: 4.12.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Waiting for RLC-ACK on UMI		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 10/02/2004
Category:	# A	Release:	# Rel-4
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# The actions on whether the UE shall wait for the RLC-ACK of UTRAN Mobility Information Complete before resuming transmission on RBs & SRBs, when "ciphering mode info" or "integrity protection info" were included in UMI (ie. SRNS Relocation), are unclear. Currently, the text on resuming the RLC entities implies that it would not be necessary to wait for the RLC-ACK of the UTRAN Mobility Information Complete, to resume transmission. Note: in the reconfiguration cases the UE always waits for RLC-ACK, under the same conditions.
Summary of change:	# Add a sentence stating the shall wait for RLC-ACK before resuming transmission on RBs & SRBs.
Consequences if not approved:	# When performing SRNS Relocation triggered by UTRAN Mobility Information, the UE may resume data transmission before the UTRAN receives the response message and resume transmission on SRBs and RBs, thus potentially causing discarding of message by the RNC. Impact Analysis: This CR only affects the UE side and will therefore require changes to UE that are not already behaving as indicated in the CR. A UE that does not comply to this CR may trigger discarding of messages under the conditions explained above (consequences if not approved). Such an implementation will require a modification to ensure the RLC-ACK of UMI Complete is received before resuming transmission on SRBs & RBs. A UE implementation that already waits for the RLC-ACK of UMI Complete, before resuming transmission on RBs & SRBs, under the above described conditions (ie. The UE behaviour for SRNS Relocation with UMI is aligned with the UE behaviour for SRNS Relocation with reconfiguration messages), will not

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Clauses affected:	⌘	8.3.3.3									
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Other comments:	⌘										

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8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
 - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 3> if the IE "PDCP context relocation info" is present:
 - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP_SN_INFO is empty; and
 - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
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 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO; and
 - 3> perform the actions below.

The UE shall:

- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 2246 # rev 1 # Current version: 5.7.1

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Waiting for RLC-ACK on UMI		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 10/02/2004
Category:	# A	Release:	# Rel-5
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
 - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 3> if the IE "PDCP context relocation info" is present:
 - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP_SN_INFO is empty; and
 - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO; and
 - 3> perform the actions below.

The UE shall:

- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 2247 # rev 1 # Current version: 6.0.1

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Waiting for RLC-ACK on UMI		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 10/02/2004
Category:	# A	Release:	# Rel-6
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# The actions on whether the UE shall wait for the RLC-ACK of UTRAN Mobility Information Complete before resuming transmission on RBs & SRBs, when "ciphering mode info" or "integrity protection info" were included in UMI (ie. SRNS Relocation), are unclear. Currently, the text on resuming the RLC entities implies that it would not be necessary to wait for the RLC-ACK of the UTRAN Mobility Information Complete, to resume transmission. Note: in the reconfiguration cases the UE always waits for RLC-ACK, under the same conditions.
Summary of change:	# Add a sentence stating the shall wait for RLC-ACK before resuming transmission on RBs & SRBs.
Consequences if not approved:	# When performing SRNS Relocation triggered by UTRAN Mobility Information, the UE may resume data transmission before the UTRAN receives the response message and resume transmission on SRBs and RBs, thus potentially causing discarding of message by the RNC. Impact Analysis: This CR only affects the UE side and will therefore require changes to UE that are not already behaving as indicated in the CR. A UE that does not comply to this CR may trigger discarding of messages under the conditions explained above (consequences if not approved). Such an implementation will require a modification to ensure the RLC-ACK of UMI Complete is received before resuming transmission on SRBs & RBs. A UE implementation that already waits for the RLC-ACK of UMI Complete, before resuming transmission on RBs & SRBs, under the above described conditions (ie. The UE behaviour for SRNS Relocation with UMI is aligned with the UE behaviour for SRNS Relocation with reconfiguration messages), will not

require changes to its implementation.

Clauses affected:	⌘	8.3.3.3									
Other specs affected:		<table border="1"><tr><td>Y</td><td>N</td></tr><tr><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr><tr><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr><tr><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td></tr></table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications ⌘
	Y	N									
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		Test specifications ⌘									
		O&M Specifications ⌘									
Other comments:	⌘										

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
 - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 3> if the IE "PDCP context relocation info" is present:
 - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP_SN_INFO is empty; and
 - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message;
 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO; and
 - 3> perform the actions below.

The UE shall:

- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

⌘ **25.331 CR 2278** ⌘ rev ⌘ Current version: **3.17.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Issues related to Inter-RAT and Inter-frequency handovers		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ January 2004
Category:	⌘ F Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	⌘ R99 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: ⌘ 1) When performing a handover from utran, the RAB(s) that need to be handed over are identified in the HANDOVER FROM UTRAN COMMAND. Instead of just identifying the RAB with a domain id and RAB id, the specification erroneously included the IE "RAB Info". Apart from the RAB identify and the domain identity, the IE "RAB Info" also includes the IEs "NAS synchronisation indicator" and "Re-establishment timer". It is currently unclear if/how the UE has to use these IEs.
More background information on this issue can be found in R2-040433, section 2.

2) It is not clear when the UE shall/is able to sent a RA-update after an Inter-RAT handover to UTRAN. It is also not very clear that the UTRAN is required to provide CN information info on the RRC connection in order to enable the RA-Update.
More background information on this issue can be found in R2-040433, section 3.

3) Currently it is not specified in 25.331 what the UE is supposed to do when it receives a HANDOVER FROM UTRAN COMMAND message, while a reconfiguration is pending (ORDERED_RECONFIGURATION set to TRUE).
More background information on this issue can be found in R2-040433, section 4.

4) It is currently unclear if a UE is supposed to be able to handle return to an existing UTRAN configuration when the HANDOVER FROM UTRAN or the timing-reinitialised hard handover is received by the UE while a "TGPS Reconfiguration CFN" is still pending.
More background information on this issue can be found in R2-040433, section 5.

- 5) It is currently unclear if the UE should update the “selected PLMN” when receiving “CN Information info” from the UTRAN in RRC connected mode. More background information on this issue can be found in R2-040433, section 6.

Summary of change: ☞

- 1) Following changes are proposed:
 - It is proposed that the UE can ignore any contents in the IE “NAS synchronisation indicator” when received in the HANDOVER FROM UTRAN COMMAND message.
 - It is proposed to add that the UE behaviour is undefined if the IE “Re-establishment timer” indicates a value different from the value currently configured for the concerning RAB.

The proposed change is included in section 8.3.7.3 in the CR.
- 2) In order to clarify that the UTRAN should send the CN domain system information to the UE explicitly after the inter-rat handover, and to clarify that the UE should wait with sending the RA-Update until it has received this information from the UTRAN, it is proposed to have the AS in the UE after establishment of the connection to the UTRAN, indicate to upper layers that no CN system information is available for any domain other than the CS domain.
The proposed change is included in section 8.3.6.3 in the CR. The behaviour is specified with “should” for R99/Rel4, and “shall” for later releases.
- 3) It is proposed to have the UE reject a HANDOVER FROM UTRAN received while the ORDERED_ RECONFIGURATION variable is set to TRUE.
The proposed change is included in section 8.6.3.11 in the CR. It is proposed to have the behaviour with “should” in R99, and “shall” from release 5.
- 4) It is proposed to allow the UE to abort the ongoing CM activation when a HANDOVER FROM UTRAN or a timing re-initialised hard handover is received while an earlier received “TGPS Reconfiguration CFN” has not elapsed yet.
It is proposed to have the behaviour with “may” in all releases.
- 5) It is proposed that when the UE receives PLMN information in the IE “CN Information Info”, this PLMN shall be considered from then on to be the selected PLMN.
The changes proposed are included in section 8.6.1.2 in the CR. It is again proposed to introduce this behaviour with “should” in R99, and “shall” from release 5.

Isolated impact analysis:

- 1) If the UTRAN does not comply with this change, the UE behaviour related to the addressed IEs is undefined.
- 2) If the UTRAN does not comply to this change, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
If the UE does not comply to this change, no serious impact is foreseen since the AS will then already have implemented a mechanism to handle a RA-Update sent to early by NAS.
- 3) If the UTRAN does not comply to this change, it might receive rejections on HANDOVER FROM UTRAN requests.
If the UE does not comply to this change, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) There is no UTRAN impact related to this change. A UE not using the option

provided in this CR will have to be able to return to the old configuration with full continuation of any pending CM activations.

- 5) This change has no UTRAN impact. If the UE does not comply to this change, PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Impact on test specifications:

No impact on test specification is foreseen.

Consequences if not approved:

- ⌘ 1) It will remain unclear if the UTRAN should include the IE "NAS synchronisation indicator" in the HANDOVER FROM UTRAN COMMAND message. In addition, it will remain unclear if the UTRAN what values the UTRAN can use for the IE "Re-establishment timer" in this message;
- 2) If this change is not approved, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
- 3) In case the UTRAN requests HANDOVER FROM UTRAN while a reconfiguration is pending, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) Unnecessary complexity in the UE for supporting continuation of pending CM pattern activations in case of handover failure.
- 5) PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Clauses affected:

⌘ 8.2.2.3; 8.2.2.7; 8.3.6.3; 8.3.7.3; 8.3.7.5; 8.6.1.2; 8.6.3.11

Other specs affected:

	Y	N		⌘
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments:

⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see 8.3.5.1), the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

// partly omitted//

8.2.2.7 Physical channel failure

If the received message caused the UE to be in CELL_DCH state and the UE according to subclause 8.5.4 failed to establish the dedicated physical channel(s) indicated in the received message.

1> If the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE the UE shall:

~~1~~2> revert to the configuration prior to the reception of the message (old configuration);

1> If the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the old configuration includes dedicated physical channels (CELL_DCH state) and the UE is unable to revert to the old configuration:

2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure";

2> after the cell update procedure has completed successfully:

3> proceed as below.

1> if the old configuration does not include dedicated physical channels (CELL_FACH state):

2> select a suitable UTRA cell according to [4];

2> if the UE selects another cell than the cell the UE camped on upon reception of the reconfiguration message:

3> initiate a cell update procedure according to subclause 8.3.1, using the cause "Cell reselection";

3> after the cell update procedure has completed successfully:

4> proceed as below.

1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "failure cause" to "physical channel failure".

1> set the variable ORDERED_RECONFIGURATION to FALSE;

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
 - 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
 - 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
 - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used

- 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration":
 - 2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
 - 3> 0 dB for the power offset $P_{\text{Pilot-DPCH}}$ bearer in FDD;
 - 3> calculate the Default DPCH Offset Value using the following formula:
 - 3> in FDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI 2 mod } 600) * 512$$
 - 3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e. do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" equal to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:

- 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
- 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it should:

1> indicate to upper layers that no CN system information is available for any domain other than the CS domain:

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDBOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANDBOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
 - 2> enter UTRA RRC connected mode in state CELL_DCH;
 - 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
 - 2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDBOVER_INFO_TRANSFERRED;
 - 2> for all radio bearers using RLC-AM or RLC-UM:
 - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and

- 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.3.7 Inter-RAT handover from UTRAN

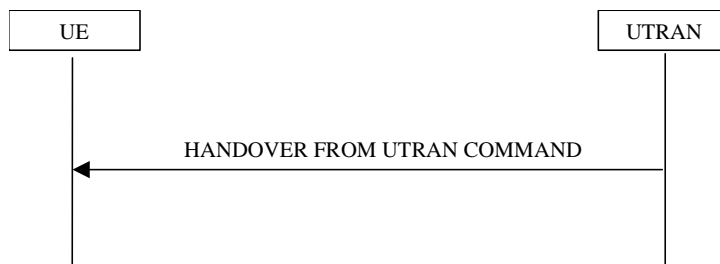


Figure 8.3.7-1: Inter-RAT handover from UTRAN, successful case

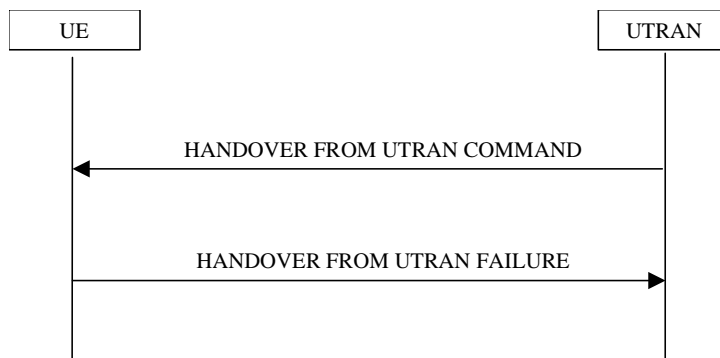


Figure 8.3.7-2: Inter-RAT handover from UTRAN, failure case

8.3.7.1 General

The purpose of the inter-RAT handover procedure is to, under the control of the network, transfer a connection between the UE and UTRAN to another radio access technology (e.g. GSM). This procedure may be used in CELL_DCH state. This procedure may be used when no RABs are established or when the established RABs are only in the CS domain or when the established RABs are in both CS and PS domains.

8.3.7.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL_DCH state, to make a handover to a radio access technology other than UTRAN, e.g. GSM.

To initiate the procedure, UTRAN sends a HANDOVER FROM UTRAN COMMAND message.

8.3.7.3 Reception of a HANDOVER FROM UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER FROM UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the HANDOVER FROM UTRAN COMMAND the UE may:
 - 2> abort the pending CM activation;
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.
- 1> otherwise
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

- 1> establish the connection to the target radio access technology, by using the contents of the IE "Inter-RAT message". This IE contains a message specified in another standard, as indicated by the IE "System type", and carries information about the candidate/ target cell identifier(s) and radio parameters relevant for the target radio access technology. The correspondence between the value of the IE "System type", the standard to apply and the message contained within IE "Inter RAT message" is shown in the following:

Value of the IE "System type"	Standard to apply	Inter RAT Message
GSM	GSM TS 04.18, version 8.5.0 or later	HANDOVER COMMAND
cdma2000	TIA/EIA/IS-2000 or later, TIA/EIA/IS-833 or later, TIA/EIQ/IS-834 or later	

- 1> if the IE "System type" has the value "GSM":
- 2> if the IE "Frequency band" has the value "GSM /DCS 1800 band used":
- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1800 band".
- 2> if the IE "Frequency band" has the value " GSM /PCS 1900 band used":
- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1900 band".
- 1> apply the "Inter RAT Message" according to the "standard to apply" in the table above.
- 1> if the IE "RAB information List" is included in the HANDOVER FROM UTRAN COMMAND message:
- 2> if the IE "RAB information List" includes one IE "RAB Info" with the IE "CN domain Identity" set to "CS domain":
- 3> connect upper layer entities corresponding to the indicated CS domain RAB to the radio resources indicated in the inter-RAT message.

NOTE1: In this version of the specification the maximum number of CS domain RABs which may be included in the IE "RAB information List" is limited to 1.

NOTE2: Requirements concerning the establishment of the radio connection towards the other radio access technology and the signalling procedure are outside the scope of this specification.

NOTE3: The UE may ignore the IE "NAS synchronisation indicator" if included in the HANDOVER FROM UTRAN COMMAND message;

NOTE4: The UE behaviour is undefined if the IE "Re-establishment timer" in the IE "RAB info" indicates a timer different from the timer currently configured for this RAB.

8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

- 1> release the radio connection; and
- 1> remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

- 1> if there are any NAS messages with the IE "CN domain identity" set to "CS domain" for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 that have not yet been confirmed by RLC:
- 2> retransmit those NAS messages to the network on the newly established radio connection to the target radio access technology.
- 1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

8.3.7.5 UE fails to complete requested handover

If the UE does not succeed in establishing the connection to the target radio access technology, it shall:

1> revert back to the UTRA configuration;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE:

2> establish the UTRA physical channel(s) used at the time for reception of HANOVER FROM UTRAN COMMAND;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the UE does not succeed to establish the UTRA physical channel(s):

2> perform a cell update procedure according to subclause 8.3.1 with cause "Radio link failure";

2> when the cell update procedure has completed successfully:

3> proceed as below.

1> transmit the HANOVER FROM UTRAN FAILURE message setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "Inter-RAT handover failure" to "physical channel failure".

1> When the HANOVER FROM UTRAN FAILURE message has been submitted to lower layer for transmission:

2> the procedure ends.

8.6.1.2 CN information info

If the IE "CN information info" is present in a message, the UE shall:

- 1> if present, forward the content of the IE "PLMN identity" to upper layers;
- 1> if present, forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers;
- 1> if the IE "CN domain related information" is present:
 - 2> forward each occurrence of the IE "CN domain specific GSM-MAP NAS system info" together with the IE "CN domain identity" to upper layers.
 - 2> if an IE "CN domain specific GSM-MAP NAS system info" is not present for a particular CN domain:
 - 3> indicate to upper layers that no CN system information is available for that CN domain.

If the "PLMN identity" is present, the UE should consider this PLMN to be the selected PLMN (see [4]).

8.6.3.11 RRC transaction identifier

The IE "RRC transaction identifier" may be used, together with the message type, for identification of an invocation of a downlink procedure (transaction). The UE behaviour for accepting or rejecting transactions based on the message type and the IE "RRC transaction identifier" is specified below.

If the IE "RRC transaction identifier" is included in a received message, the UE shall perform the actions below. The UE shall:

If the received message is any of the messages:

- RADIO BEARER SETUP; or
- RADIO BEARER RECONFIGURATION; or
- RADIO BEARER RELEASE; or
- TRANSPORT CHANNEL RECONFIGURATION; or
- PHYSICAL CHANNEL RECONFIGURATION;

the UE shall:

- 1> if the variable ORDERED_RECONFIGURATION is set to FALSE; and
- 1> if the variable CELL_UPDATE_STARTED is set to FALSE; and
- 1> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE; and
- 1> if the table "Accepted transactions" in the variable TRANSACTIONS does not contain an entry with an IE "Message Type" set to ACTIVE SET UPDATE:
 - 2> accept the transaction; and
 - 2> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
- 1> else:
 - 2> if the variable ORDERED_RECONFIGURATION is set to TRUE; or
 - 2> if the variable CELL_UPDATE_STARTED is set to TRUE; or
 - 2> if the table "Accepted transactions" in the variable TRANSACTIONS contains an entry with an IE "Message Type" set to ACTIVE SET UPDATE; or
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the same "Message Type" as the received message in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 4> ignore the transaction; and
 - 4> continue with any ongoing processes and procedures as the message was not received;
 - 4> and end the procedure.
 - 3> else:
 - 4> reject the transaction; and
 - 4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- RRC CONNECTION SETUP; or
- CELL UPDATE CONFIRM; or
- URA UPDATE CONFIRM; or
- UE CAPABILITY ENQUIRY;

the UE shall:

- 1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 3> accept the transaction; and
 - 3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
 - 2> else:
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> reject the transaction; and
 - 3> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.
 - 1> else:
 - 1> if the IE "Message Type" of the received message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> ignore the transaction; and
 - 3> continue with any ongoing processes and procedures as the message was not received; and
 - 3> end the procedure.
 - 2> else:
 - 2> if the IE "RRC transaction identifier" of the received message is different from the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 4> ignore the once accepted transaction and instead accept the new transaction; and
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS, replacing the previous entry.

NOTE 1: The UE is expected to process the first RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM message that it receives after transmitting an RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message. If the UE receives further RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM messages without having transmitted another RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message, the UE is not required to process these messages.

NOTE 2: If the previously accepted transaction was a CELL UPDATE CONFIRM/URA UPDATE CONFIRM that included the IE "Dowlink counter synchronisation info", rather than ignore the first accepted transaction the UE may continue with the first transaction in the case where a cell re-selection interrupted the on-going procedure causing a cell update procedure to be triggered. In this case the response message acts as an explicit acknowledgement of both the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message signalling an SRNS relocation and the subsequent CELL UPDATE CONFIRM/URA UPDATE CONFIRM.

3> else:

3> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:

4> reject the transaction; and

4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- HANDOVER FROM UTRAN COMMAND

the UE should:

1> if the variable ORDERED_RECONFIGURATION is set to TRUE:

2> reject the transaction; and

2> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

// partly ommited //

CHANGE REQUEST

⌘ **25.331 CR 2279** ⌘ rev **4.12.0** ⌘ Current version: **4.12.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Issues related to Inter-RAT and Inter-frequency handovers		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ January 2004
Category:	⌘ A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	⌘ Rel-4 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: ⌘

- 1) When performing a handover from utran, the RAB(s) that need to be handed over are identified in the HANDOVER FROM UTRAN COMMAND. Instead of just identifying the RAB with a domain id and RAB id, the specification erroneously included the IE "RAB Info". Apart from the RAB identify and the domain identity, the IE "RAB Info" also includes the IEs "NAS synchronisation indicator" and "Re-establishment timer". It is currently unclear if/how the UE has to use these IEs.
More background information on this issue can be found in R2-040433, section 2.
- 2) It is not clear when the UE shall/is able to sent a RA-update after an Inter-RAT handover to UTRAN. It is also not very clear that the UTRAN is required to provide CN information info on the RRC connection in order to enable the RA-Update.
More background information on this issue can be found in R2-040433, section 3.
- 3) Currently it is not specified in 25.331 what the UE is supposed to do when it receives a HANDOVER FROM UTRAN COMMAND message, while a reconfiguration is pending (ORDERED_RECONFIGURATION set to TRUE).
More background information on this issue can be found in R2-040433, section 4.
- 4) It is currently unclear if a UE is supposed to be able to handle return to an existing UTRAN configuration when the HANDOVER FROM UTRAN or the timing-reinitialised hard handover is received by the UE while a "TGPS Reconfiguration CFN" is still pending.
More background information on this issue can be found in R2-040433, section 5.

- 5) It is currently unclear if the UE should update the “selected PLMN” when receiving “CN Information info” from the UTRAN in RRC connected mode. More background information on this issue can be found in R2-040433, section 6.

Summary of change: ☞

- 1) Following changes are proposed:
 - It is proposed that the UE can ignore any contents in the IE “NAS synchronisation indicator” when received in the HANDOVER FROM UTRAN COMMAND message.
 - It is proposed to add that the UE behaviour is undefined if the IE “Re-establishment timer” indicates a value different from the value currently configured for the concerning RAB.
 The proposed change is included in section 8.3.7.3 in the CR.
- 2) In order to clarify that the UTRAN should send the CN domain system information to the UE explicitly after the inter-rat handover, and to clarify that the UE should wait with sending the RA-Update until it has received this information from the UTRAN, it is proposed to have the AS in the UE after establishment of the connection to the UTRAN, indicate to upper layers that no CN system information is available for any domain other than the CS domain.
The proposed change is included in section 8.3.6.3 in the CR. The behaviour is specified with “should” for R99/Rel4, and “shall” for later releases.
- 3) It is proposed to have the UE reject a HANDOVER FROM UTRAN received while the ORDERED_ RECONFIGURATION variable is set to TRUE.
The proposed change is included in section 8.6.3.11 in the CR. It is proposed to have the behaviour with “should” in R99, and “shall” from release 5.
- 4) It is proposed to allow the UE to abort the ongoing CM activation when a HANDOVER FROM UTRAN or a timing re-initialised hard handover is received while an earlier received “TGPS Reconfiguration CFN” has not elapsed yet.
It is proposed to have the behaviour with “may” in all releases.
- 5) It is proposed that when the UE receives PLMN information in the IE “CN Information Info”, this PLMN shall be considered from then on to be the selected PLMN.
The changes proposed are included in section 8.6.1.2 in the CR. It is again proposed to introduce this behaviour with “should” in R99, and “shall” from release 5.

Isolated impact analysis:

- 1) If the UTRAN does not comply with this change, the UE behaviour related to the addressed IEs is undefined.
- 2) If the UTRAN does not comply to this change, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
If the UE does not comply to this change, no serious impact is foreseen since the AS will then already have implemented a mechanism to handle a RA-Update sent to early by NAS.
- 3) If the UTRAN does not comply to this change, it might receive rejections on HANDOVER FROM UTRAN requests.
If the UE does not comply to this change, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) There is no UTRAN impact related to this change. A UE not using the option

provided in this CR will have to be able to return to the old configuration with full continuation of any pending CM activations.

- 5) This change has no UTRAN impact. If the UE does not comply to this change, PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Impact on test specifications:

No impact on test specification is foreseen.

Consequences if not approved:

- ⌘ 1) It will remain unclear if the UTRAN should include the IE "NAS synchronisation indicator" in the HANDOVER FROM UTRAN COMMAND message. In addition, it will remain unclear if the UTRAN what values the UTRAN can use for the IE "Re-establishment timer" in this message;
- 2) If this change is not approved, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
- 3) In case the UTRAN requests HANDOVER FROM UTRAN while a reconfiguration is pending, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) Unnecessary complexity in the UE for supporting continuation of pending CM pattern activations in case of handover failure.
- 5) PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Clauses affected: ⌘ 8.2.2.3; 8.2.2.7; 8.3.6.3; 8.3.7.3; 8.3.7.5; 8.6.1.2; 8.6.3.11

Other specs affected:

	Y	N		⌘
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see 8.3.5.1), the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

// partly omitted//

8.2.2.7 Physical channel failure

If the received message caused the UE to be in CELL_DCH state and the UE according to subclause 8.5.4 failed to establish the dedicated physical channel(s) indicated in the received message.

1> If the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE the UE shall:

~~1~~2> revert to the configuration prior to the reception of the message (old configuration);

1> If the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the old configuration includes dedicated physical channels (CELL_DCH state) and the UE is unable to revert to the old configuration:

2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure";

2> after the cell update procedure has completed successfully:

3> proceed as below.

1> if the old configuration does not include dedicated physical channels (CELL_FACH state):

2> select a suitable UTRA cell according to [4];

2> if the UE selects another cell than the cell the UE camped on upon reception of the reconfiguration message:

3> initiate a cell update procedure according to subclause 8.3.1, using the cause "Cell reselection";

3> after the cell update procedure has completed successfully:

4> proceed as below.

1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "failure cause" to "physical channel failure".

1> set the variable ORDERED_RECONFIGURATION to FALSE;

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
 - 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
 - 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
 - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

- 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration":
 - 2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
 - 3> 0 dB for the power offset $P_{\text{Pilot-DPDCH}}$ bearer in FDD;
 - 3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e. do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
 - 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it should:

1> indicate to upper layers that no CN system information is available for any domain other than the CS domain;

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> Set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDBOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANDBOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

- 2> enter UTRA RRC connected mode in state CELL_DCH;
- 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- 2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;
- 2> for all radio bearers using RLC-AM or RLC-UM:
 - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.3.7 Inter-RAT handover from UTRAN

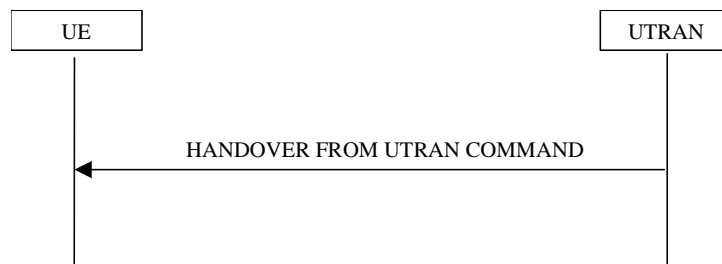


Figure 8.3.7-1: Inter-RAT handover from UTRAN, successful case

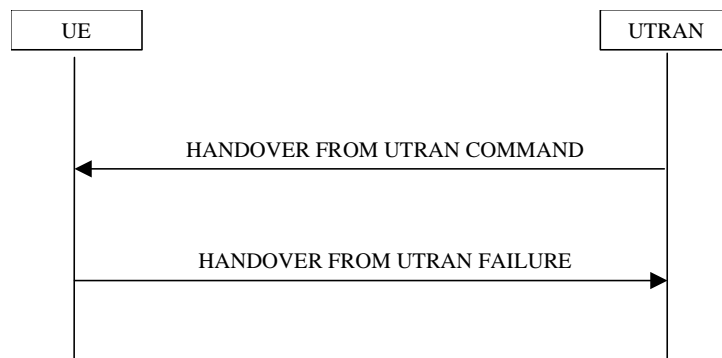


Figure 8.3.7-2: Inter-RAT handover from UTRAN, failure case

8.3.7.1 General

The purpose of the inter-RAT handover procedure is to, under the control of the network, transfer a connection between the UE and UTRAN to another radio access technology (e.g. GSM). This procedure may be used in CELL_DCH state. This procedure may be used when no RABs are established or when the established RABs are only in the CS domain or when the established RABs are in both CS and PS domains.

8.3.7.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL_DCH state, to make a handover to a radio access technology other than UTRAN, e.g. GSM.

To initiate the procedure, UTRAN sends a HANDOVER FROM UTRAN COMMAND message.

8.3.7.3 Reception of a HANDOVER FROM UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER FROM UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target cell.

The UE shall:

1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the HANDOVER FROM UTRAN COMMAND the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

- 1> establish the connection to the target radio access technology, by using the contents of the IE "Inter-RAT message". This IE contains a message specified in another standard, as indicated by the IE "System type", and carries information about the candidate/ target cell identifier(s) and radio parameters relevant for the target radio access technology. The correspondence between the value of the IE "System type", the standard to apply and the message contained within IE "Inter RAT message" is shown in the following:

Value of the IE "System type"	Standard to apply	Inter RAT Message
GSM	GSM TS 04.18, version 8.5.0 or later	HANDOVER COMMAND
cdma2000	TIA/EIA/IS-2000 or later, TIA/EIA/IS-833 or later, TIA/EIQ/IS-834 or later	

- 1> if the IE "System type" has the value "GSM":
- 2> if the IE "Frequency band" has the value "GSM /DCS 1800 band used":
- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1800 band".
- 2> if the IE "Frequency band" has the value " GSM /PCS 1900 band used":
- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1900 band".
- 1> apply the "Inter RAT Message" according to the "standard to apply" in the table above.
- 1> if the IE "RAB information List" is included in the HANDOVER FROM UTRAN COMMAND message:
- 2> if the IE "RAB information List" includes one IE "RAB Info" with the IE "CN domain Identity" set to "CS domain":
- 3> connect upper layer entities corresponding to the indicated CS domain RAB to the radio resources indicated in the inter-RAT message.

NOTE1: In this version of the specification the maximum number of CS domain RABs which may be included in the IE "RAB information List" is limited to 1.

NOTE2: Requirements concerning the establishment of the radio connection towards the other radio access technology and the signalling procedure are outside the scope of this specification.

NOTE3: The UE may ignore the IE "NAS synchronisation indicator" if included in the HANDOVER FROM UTRAN COMMAND message;

NOTE4: The UE behaviour is undefined if the IE "Re-establishment timer" in the IE "RAB info" indicates a timer different from the timer currently configured for this RAB.

8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

- 1> release the radio connection; and
- 1> remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

- 1> if there are any NAS messages with the IE "CN domain identity" set to "CS domain" for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 that have not yet been confirmed by RLC:
- 2> retransmit those NAS messages to the network on the newly established radio connection to the target radio access technology.
- 1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

8.3.7.5 UE fails to complete requested handover

If the UE does not succeed in establishing the connection to the target radio access technology, it shall:

1> revert back to the UTRA configuration;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE:

2> establish the UTRA physical channel(s) used at the time for reception of HANOVER FROM UTRAN COMMAND;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the UE does not succeed to establish the UTRA physical channel(s):

2> perform a cell update procedure according to subclause 8.3.1 with cause "Radio link failure";

2> when the cell update procedure has completed successfully:

3> proceed as below.

1> transmit the HANOVER FROM UTRAN FAILURE message setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "Inter-RAT handover failure" to "physical channel failure".

1> When the HANOVER FROM UTRAN FAILURE message has been submitted to lower layer for transmission:

2> the procedure ends.

8.6.1.2 CN information info

If the IE "CN information info" is present in a message, the UE shall:

- 1> if present, forward the content of the IE "PLMN identity" to upper layers;
- 1> if present, forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers;
- 1> if the IE "CN domain related information" is present:
 - 2> forward each occurrence of the IE "CN domain specific GSM-MAP NAS system info" together with the IE "CN domain identity" to upper layers.
 - 2> if an IE "CN domain specific GSM-MAP NAS system info" is not present for a particular CN domain:
 - 3> indicate to upper layers that no CN system information is available for that CN domain.

If the "PLMN identity" is present, the UE should consider this PLMN to be the selected PLMN (see [4]).

8.6.3.11 RRC transaction identifier

The IE "RRC transaction identifier" may be used, together with the message type, for identification of an invocation of a downlink procedure (transaction). The UE behaviour for accepting or rejecting transactions based on the message type and the IE "RRC transaction identifier" is specified below.

If the IE "RRC transaction identifier" is included in a received message, the UE shall perform the actions below. The UE shall:

If the received message is any of the messages:

- RADIO BEARER SETUP; or
- RADIO BEARER RECONFIGURATION; or
- RADIO BEARER RELEASE; or
- TRANSPORT CHANNEL RECONFIGURATION; or
- PHYSICAL CHANNEL RECONFIGURATION;

the UE shall:

- 1> if the variable ORDERED_RECONFIGURATION is set to FALSE; and
- 1> if the variable CELL_UPDATE_STARTED is set to FALSE; and
- 1> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE; and
- 1> if the table "Accepted transactions" in the variable TRANSACTIONS does not contain an entry with an IE "Message Type" set to ACTIVE SET UPDATE:
 - 2> accept the transaction; and
 - 2> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
- 1> else:
 - 2> if the variable ORDERED_RECONFIGURATION is set to TRUE; or
 - 2> if the variable CELL_UPDATE_STARTED is set to TRUE; or
 - 2> if the table "Accepted transactions" in the variable TRANSACTIONS contains an entry with an IE "Message Type" set to ACTIVE SET UPDATE; or
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the same "Message Type" as the received message in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 4> ignore the transaction; and
 - 4> continue with any ongoing processes and procedures as the message was not received;
 - 4> and end the procedure.
 - 3> else:
 - 4> reject the transaction; and
 - 4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- RRC CONNECTION SETUP; or
- CELL UPDATE CONFIRM; or
- URA UPDATE CONFIRM; or
- UE CAPABILITY ENQUIRY;

the UE shall:

- 1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 3> accept the transaction; and
 - 3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
 - 2> else:
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> reject the transaction; and
 - 3> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.
 - 1> else:
 - 1> if the IE "Message Type" of the received message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> ignore the transaction; and
 - 3> continue with any ongoing processes and procedures as the message was not received; and
 - 3> end the procedure.
 - 2> else:
 - 2> if the IE "RRC transaction identifier" of the received message is different from the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 4> ignore the once accepted transaction and instead accept the new transaction; and
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS, replacing the previous entry.

NOTE 1: The UE is expected to process the first RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM message that it receives after transmitting an RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message. If the UE receives further RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM messages without having transmitted another RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message, the UE is not required to process these messages.

NOTE 2: If the previously accepted transaction was a CELL UPDATE CONFIRM/URA UPDATE CONFIRM that included the IE "Dowlink counter synchronisation info", rather than ignore the first accepted transaction the UE may continue with the first transaction in the case where a cell re-selection interrupted the on-going procedure causing a cell update procedure to be triggered. In this case the response message acts as an explicit acknowledgement of both the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message signalling an SRNS relocation and the subsequent CELL UPDATE CONFIRM/URA UPDATE CONFIRM.

3> else:

3> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:

4> reject the transaction; and

4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- HANDOVER FROM UTRAN COMMAND

the UE should:

1> if the variable ORDERED_RECONFIGURATION is set to TRUE:

2> reject the transaction; and

2> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any other message, the UE shall:

1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:

2> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:

3> accept the transaction; and

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.

//partly omitted //

CHANGE REQUEST

⌘ **25.331 CR 2280** ⌘ rev ⌘ Current version: **5.7.1** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Issues related to Inter-RAT and Inter-frequency handovers		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ January 2004
Category:	⌘ A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	⌘ Rel-5 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: ⌘

- 1) When performing a handover from utran, the RAB(s) that need to be handed over are identified in the HANDOVER FROM UTRAN COMMAND. Instead of just identifying the RAB with a domain id and RAB id, the specification erroneously included the IE "RAB Info". Apart from the RAB identify and the domain identity, the IE "RAB Info" also includes the IEs "NAS synchronisation indicator" and "Re-establishment timer". It is currently unclear if/how the UE has to use these IEs.
More background information on this issue can be found in R2-040433, section 2.
- 2) It is not clear when the UE shall/is able to sent a RA-update after an Inter-RAT handover to UTRAN. It is also not very clear that the UTRAN is required to provide CN information info on the RRC connection in order to enable the RA-Update.
More background information on this issue can be found in R2-040433, section 3.
- 3) Currently it is not specified in 25.331 what the UE is supposed to do when it receives a HANDOVER FROM UTRAN COMMAND message, while a reconfiguration is pending (ORDERED_RECONFIGURATION set to TRUE).
More background information on this issue can be found in R2-040433, section 4.
- 4) It is currently unclear if a UE is supposed to be able to handle return to an existing UTRAN configuration when the HANDOVER FROM UTRAN or the timing-reinitialised hard handover is received by the UE while a "TGPS Reconfiguration CFN" is still pending.
More background information on this issue can be found in R2-040433, section 5.

- 5) It is currently unclear if the UE should update the “selected PLMN” when receiving “CN Information info” from the UTRAN in RRC connected mode. More background information on this issue can be found in R2-040433, section 6.

Summary of change: ☞

- 1) Following changes are proposed:
 - It is proposed that the UE can ignore any contents in the IE “NAS synchronisation indicator” when received in the HANDOVER FROM UTRAN COMMAND message.
 - It is proposed to add that the UE behaviour is undefined if the IE “Re-establishment timer” indicates a value different from the value currently configured for the concerning RAB.

The proposed change is included in section 8.3.7.3 in the CR.
- 2) In order to clarify that the UTRAN should send the CN domain system information to the UE explicitly after the inter-rat handover, and to clarify that the UE should wait with sending the RA-Update until it has received this information from the UTRAN, it is proposed to have the AS in the UE after establishment of the connection to the UTRAN, indicate to upper layers that no CN system information is available for any domain other than the CS domain.
The proposed change is included in section 8.3.6.3 in the CR. The behaviour is specified with “should” for R99/Rel4, and “shall” for later releases.
- 3) It is proposed to have the UE reject a HANDOVER FROM UTRAN received while the ORDERED_ RECONFIGURATION variable is set to TRUE.
The proposed change is included in section 8.6.3.11 in the CR. It is proposed to have the behaviour with “should” in R99, and “shall” from release 5.
- 4) It is proposed to allow the UE to abort the ongoing CM activation when a HANDOVER FROM UTRAN or a timing re-initialised hard handover is received while an earlier received “TGPS Reconfiguration CFN” has not elapsed yet.
It is proposed to have the behaviour with “may” in all releases.
- 5) It is proposed that when the UE receives PLMN information in the IE “CN Information Info”, this PLMN shall be considered from then on to be the selected PLMN.
The changes proposed are included in section 8.6.1.2 in the CR. It is again proposed to introduce this behaviour with “should” in R99, and “shall” from release 5.

Isolated impact analysis:

- 1) If the UTRAN does not comply with this change, the UE behaviour related to the addressed IEs is undefined.
- 2) If the UTRAN does not comply to this change, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
If the UE does not comply to this change, no serious impact is foreseen since the AS will then already have implemented a mechanism to handle a RA-Update sent to early by NAS.
- 3) If the UTRAN does not comply to this change, it might receive rejections on HANDOVER FROM UTRAN requests.
If the UE does not comply to this change, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) There is no UTRAN impact related to this change. A UE not using the option

provided in this CR will have to be able to return to the old configuration with full continuation of any pending CM activations.

- 5) This change has no UTRAN impact. If the UE does not comply to this change, PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Impact on test specifications:

No impact on test specification is foreseen.

Consequences if not approved:

- ⌘ 1) It will remain unclear if the UTRAN should include the IE "NAS synchronisation indicator" in the HANDOVER FROM UTRAN COMMAND message. In addition, it will remain unclear if the UTRAN what values the UTRAN can use for the IE "Re-establishment timer" in this message;
- 2) If this change is not approved, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
- 3) In case the UTRAN requests HANDOVER FROM UTRAN while a reconfiguration is pending, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) Unnecessary complexity in the UE for supporting continuation of pending CM pattern activations in case of handover failure.
- 5) PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Clauses affected:

⌘ 8.2.2.3; 8.2.2.7; 8.3.6.3; 8.3.7.3; 8.3.7.5; 8.6.1.2; 8.6.3.11

Other specs affected:

	Y	N		⌘
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments:

⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

- 1> be able to receive any of the following messages:
 - 2> RADIO BEARER SETUP message; or
 - 2> RADIO BEARER RECONFIGURATION message; or
 - 2> RADIO BEARER RELEASE message; or
 - 2> TRANSPORT CHANNEL RECONFIGURATION message; or
 - 2> PHYSICAL CHANNEL RECONFIGURATION message;
- 1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see 8.3.5.1), the UE may:
 - 2> abort the pending CM activation;
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.
- 1> otherwise
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

// partly omitted//

8.2.2.7 Physical channel failure

If the received message caused the UE to be in CELL_DCH state and the UE according to subclause 8.5.4 failed to establish the dedicated physical channel(s) indicated in the received message the UE shall:

- 1> for HS-DSCH remove existing HS-PDSCH configurations;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE:

2~~1~~> ~~otherwise~~ revert to the configuration prior to the reception of the message (old configuration);

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the old configuration includes dedicated physical channels (CELL_DCH state) and the UE is unable to revert to the old configuration:

2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure";

2> after the cell update procedure has completed successfully:

3> proceed as below.

1> if the old configuration does not include dedicated physical channels (CELL_FACH state):

2> select a suitable UTRA cell according to [4];

2> if the UE selects another cell than the cell the UE camped on upon reception of the reconfiguration message:

3> initiate a cell update procedure according to subclause 8.3.1, using the cause "Cell reselection";

3> after the cell update procedure has completed successfully:

4> proceed as below.

1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "failure cause" to "physical channel failure".

1> set the variable ORDERED_RECONFIGURATION to FALSE;

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
 - 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
 - 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
 - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

- 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration":
 - 2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
 - 3> 0 dB for the power offset $P_{\text{Pilot-DPDCH}}$ bearer in FDD;
 - 3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e. do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
 - 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

1> indicate to upper layers that no CN system information is available for any domain other than the CS domain;

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> Set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

- 2> enter UTRA RRC connected mode in state CELL_DCH;
- 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- 2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;
- 2> for all radio bearers using RLC-AM or RLC-UM:
 - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.3.7 Inter-RAT handover from UTRAN

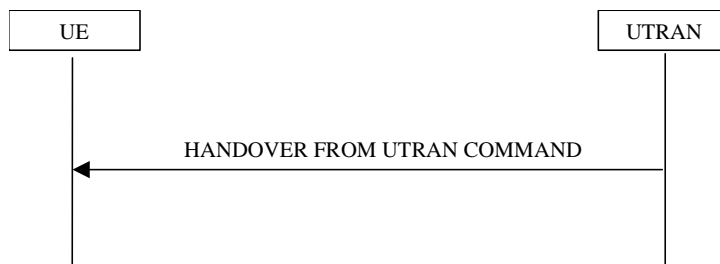


Figure 8.3.7-1: Inter-RAT handover from UTRAN, successful case

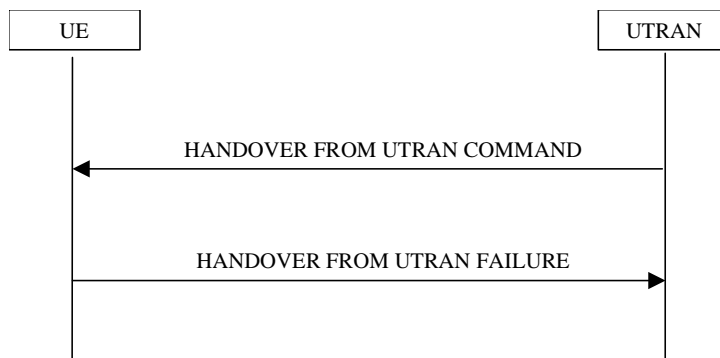


Figure 8.3.7-2: Inter-RAT handover from UTRAN, failure case

8.3.7.1 General

The purpose of the inter-RAT handover procedure is to, under the control of the network, transfer a connection between the UE and UTRAN to another radio access technology (e.g. GSM). This procedure may be used in CELL_DCH state. This procedure may be used when no RABs are established or when the established RABs are only in the CS domain or when the established RABs are in both CS and PS domains.

8.3.7.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL_DCH state, to make a handover to a radio access technology other than UTRAN, e.g. GSM.

To initiate the procedure, UTRAN sends a HANDOVER FROM UTRAN COMMAND message.

8.3.7.3 Reception of a HANDOVER FROM UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER FROM UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the HANDOVER FROM UTRAN COMMAND the UE may:
 - 2> abort the pending CM activation;
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.
- 1> otherwise
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

- 1> establish the connection to the target radio access technology, by using the contents of the IE "Inter-RAT message". This IE contains a message specified in another standard, as indicated by the IE "System type", and carries information about the candidate/ target cell identifier(s) and radio parameters relevant for the target radio access technology. The correspondence between the value of the IE "System type", the standard to apply and the message contained within IE "Inter RAT message" is shown in the following:

Value of the IE "System type"	Standard to apply	Inter RAT Message
GSM	GSM TS 04.18, version 8.5.0 or later, or 3GPP TS 44.018	HANDOVER COMMAND
GERAN Iu	3GPP TS 44.118	RADIO BEARER RECONFIGURATION
cdma2000	TIA/EIA/IS-2000 or later, TIA/EIA/IS-833 or later, TIA/EIQ/IS-834 or later	

- 1> if the IE "System type" has the value "GSM" or "GERAN Iu":

- 2> if the IE "Frequency band" has the value "GSM /DCS 1800 band used":

- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1800 band".

- 2> if the IE "Frequency band" has the value " GSM /PCS 1900 band used":

- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1900 band".

- 1> apply the "Inter RAT Message" according to the "standard to apply" in the table above.

- 1> if the IE "RAB information List" is included in the HANDOVER FROM UTRAN COMMAND message:

- 2> if the IE "RAB information List" includes one IE "RAB Info" with the IE "CN domain Identity" set to "CS domain":

- 3> connect upper layer entities corresponding to the indicated CS domain RAB to the radio resources indicated in the inter-RAT message.

NOTE1: In this version of the specification the maximum number of CS domain RABs which may be included in the IE "RAB information List" is limited to 1.

NOTE2: In handover to GERAN *Iu mode*, the RAB information is included in the RADIO BEARER RECONFIGURATION message specified in [53].

NOTE3: Requirements concerning the establishment of the radio connection towards the other radio access technology and the signalling procedure are outside the scope of this specification.

NOTE4: [The UE may ignore the IE "NAS synchronisation indicator" if included in the HANDOVER FROM UTRAN COMMAND message;](#)

NOTE5: [The UE behaviour is undefined if the IE "Re-establishment timer" in the IE "RAB info" indicates a timer different from the timer currently configured for this RAB.](#)

8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

- 1> release the radio connection; and
- 1> remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

- 1> if inter-RAT handover to GERAN *Iu mode* is performed:
 - 2> perform the actions on reception of the RADIO BEARER RECONFIGURATION message as specified in [53].

- 1> if inter-RAT handover to GERAN *Iu mode* is performed and if there are any NAS messages for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 has not yet been confirmed by RLC; or
- 1> if inter-RAT handover to other RAT than GERAN *Iu mode* is performed and if there are any NAS messages with the IE "CN domain identity" set to "CS domain" for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 that have not yet been confirmed by RLC:
 - 2> retransmit those NAS messages to the network on the newly established radio connection to the target radio access technology.
- 1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

8.3.7.5 UE fails to complete requested handover

If the UE does not succeed in establishing the connection to the target radio access technology, it shall:

- 1> for HS-DSCH remove existing HS-PDSCH configurations;
- 1> otherwise revert back to the UTRA configuration;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE:

- 2+> establish the UTRA physical channel(s) used at the time for reception of HANOVER FROM UTRAN COMMAND;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the UE does not succeed to establish the UTRA physical channel(s):

- 2> perform a cell update procedure according to subclause 8.3.1 with cause "Radio link failure";
- 2> when the cell update procedure has completed successfully:
 - 3> proceed as below.

1> transmit the HANOVER FROM UTRAN FAILURE message setting the information elements as specified below:

- 2> include the IE "RRC transaction identifier"; and
- 2> set it to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 2> clear that entry;
- 2> set the IE "Inter-RAT handover failure" to "physical channel failure".

- 1> When the HANOVER FROM UTRAN FAILURE message has been submitted to lower layer for transmission:
 - 2> the procedure ends.

8.6.1.2 CN information info

If the IE "CN information info" is present in a message, the UE shall:

- 1> if present, forward the content of the IE "PLMN identity" to upper layers;
- 1> if present, forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers;
- 1> if the IE "CN domain related information" is present:
 - 2> forward each occurrence of the IE "CN domain specific GSM-MAP NAS system info" together with the IE "CN domain identity" to upper layers.
 - 2> if an IE "CN domain specific GSM-MAP NAS system info" is not present for a particular CN domain:
 - 3> indicate to upper layers that no CN system information is available for that CN domain.

If the "PLMN identity" is present, the UE shall consider this PLMN to be the selected PLMN (see [4]).

8.6.3.11 RRC transaction identifier

The IE "RRC transaction identifier" may be used, together with the message type, for identification of an invocation of a downlink procedure (transaction). The UE behaviour for accepting or rejecting transactions based on the message type and the IE "RRC transaction identifier" is specified below.

If the IE "RRC transaction identifier" is included in a received message, the UE shall perform the actions below. The UE shall:

If the received message is any of the messages:

- RADIO BEARER SETUP; or
- RADIO BEARER RECONFIGURATION; or
- RADIO BEARER RELEASE; or
- TRANSPORT CHANNEL RECONFIGURATION; or
- PHYSICAL CHANNEL RECONFIGURATION;

the UE shall:

- 1> if the variable ORDERED_RECONFIGURATION is set to FALSE; and
- 1> if the variable CELL_UPDATE_STARTED is set to FALSE; and
- 1> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE; and
- 1> if the table "Accepted transactions" in the variable TRANSACTIONS does not contain an entry with an IE "Message Type" set to ACTIVE SET UPDATE:
 - 2> accept the transaction; and
 - 2> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
- 1> else:
 - 2> if the variable ORDERED_RECONFIGURATION is set to TRUE; or
 - 2> if the variable CELL_UPDATE_STARTED is set to TRUE; or
 - 2> if the table "Accepted transactions" in the variable TRANSACTIONS contains an entry with an IE "Message Type" set to ACTIVE SET UPDATE; or
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the same "Message Type" as the received message in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 4> ignore the transaction; and
 - 4> continue with any ongoing processes and procedures as the message was not received;
 - 4> and end the procedure.
 - 3> else:
 - 4> reject the transaction; and
 - 4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- RRC CONNECTION SETUP; or
- CELL UPDATE CONFIRM; or
- URA UPDATE CONFIRM; or
- UE CAPABILITY ENQUIRY;

the UE shall:

- 1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 3> accept the transaction; and
 - 3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
 - 2> else:
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> reject the transaction; and
 - 3> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.
 - 1> else:
 - 1> if the IE "Message Type" of the received message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> ignore the transaction; and
 - 3> continue with any ongoing processes and procedures as the message was not received; and
 - 3> end the procedure.
 - 2> else:
 - 2> if the IE "RRC transaction identifier" of the received message is different from the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 4> ignore the once accepted transaction and instead accept the new transaction; and
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS, replacing the previous entry.

NOTE 1: The UE is expected to process the first RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM message that it receives after transmitting an RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message. If the UE receives further RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM messages without having transmitted another RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message, the UE is not required to process these messages.

NOTE 2: If the previously accepted transaction was a CELL UPDATE CONFIRM/URA UPDATE CONFIRM that included the IE "Dowlink counter synchronisation info", rather than ignore the first accepted transaction the UE may continue with the first transaction in the case where a cell re-selection interrupted the on-going procedure causing a cell update procedure to be triggered. In this case the response message acts as an explicit acknowledgement of both the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message signalling an SRNS relocation and the subsequent CELL UPDATE CONFIRM/URA UPDATE CONFIRM.

3> else:

3> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:

4> reject the transaction; and

4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- HANDOVER FROM UTRAN COMMAND

the UE shall:

1> if the variable ORDERED_RECONFIGURATION is set to TRUE:

2> reject the transaction; and

2> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any other message, the UE shall:

1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:

//partly omitted //

CHANGE REQUEST

⌘ **25.331 CR 2281** ⌘ rev **6.0.1** ⌘ Current version: **6.0.1** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Issues related to Inter-RAT and Inter-frequency handovers		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ January 2004
Category:	⌘ A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	⌘ Rel-6 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: ⌘ 1) When performing a handover from utran, the RAB(s) that need to be handed over are identified in the HANDOVER FROM UTRAN COMMAND. Instead of just identifying the RAB with a domain id and RAB id, the specification erroneously included the IE "RAB Info". Apart from the RAB identify and the domain identity, the IE "RAB Info" also includes the IEs "NAS synchronisation indicator" and "Re-establishment timer". It is currently unclear if/how the UE has to use these IEs.
More background information on this issue can be found in R2-040433, section 2.

2) It is not clear when the UE shall/is able to sent a RA-update after an Inter-RAT handover to UTRAN. It is also not very clear that the UTRAN is required to provide CN information info on the RRC connection in order to enable the RA-Update.
More background information on this issue can be found in R2-040433, section 3.

3) Currently it is not specified in 25.331 what the UE is supposed to do when it receives a HANDOVER FROM UTRAN COMMAND message, while a reconfiguration is pending (ORDERED_RECONFIGURATION set to TRUE).
More background information on this issue can be found in R2-040433, section 4.

4) It is currently unclear if a UE is supposed to be able to handle return to an existing UTRAN configuration when the HANDOVER FROM UTRAN or the timing-reinitialised hard handover is received by the UE while a "TGPS Reconfiguration CFN" is still pending.
More background information on this issue can be found in R2-040433, section 5.

- 5) It is currently unclear if the UE should update the “selected PLMN” when receiving “CN Information info” from the UTRAN in RRC connected mode. More background information on this issue can be found in R2-040433, section 6.

Summary of change: ☞

- 1) Following changes are proposed:
 - It is proposed that the UE can ignore any contents in the IE “NAS synchronisation indicator” when received in the HANDOVER FROM UTRAN COMMAND message.
 - It is proposed to add that the UE behaviour is undefined if the IE “Re-establishment timer” indicates a value different from the value currently configured for the concerning RAB.

The proposed change is included in section 8.3.7.3 in the CR.
- 2) In order to clarify that the UTRAN should sent the CN domain system information to the UE explicitly after the inter-rat handover, and to clarify that the UE should wait with sending the RA-Update until it has received this information from the UTRAN, it is proposed to have the AS in the UE after establishment of the connection to the UTRAN, indicate to upper layers that no CN system information is available for any domain other than the CS domain.
The proposed change is included in section 8.3.6.3 in the CR. The behaviour is specified with “should” for R99/Rel4, and “shall” for later releases.
- 3) It is proposed to have the UE reject a HANDOVER FROM UTRAN received while the ORDERED_ RECONFIGURATION variable is set to TRUE.
The proposed change is included in section 8.6.3.11 in the CR. It is proposed to have the behaviour with “should” in R99, and “shall” from release 5.
- 4) It is proposed allow the UE to abort the ongoing CM activation when a HANDOVER FROM UTRAN or a timing re-initialised hard handover is received while an earlier received “TGPS Reconfiguration CFN” has not elapsed yet.
It is proposed to have the behaviour with “may” in all releases.
- 5) It is proposed that when the UE receives PLMN information in the IE “CN Information Info”, this PLMN shall be considered from then on to be the selected PLMN.
The changes proposed are included in section 8.6.1.2 in the CR. It is again proposed to introduce this behaviour with “should” in R99, and “shall” from release 5.

Isolated impact analysis:

- 1) If the UTRAN does not comply with this change, the UE behaviour related to the addressed IEs is undefined.
- 2) If the UTRAN does not comply to this change, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
If the UE does not comply to this change, no serious impact is foreseen since the AS will then already have implemented a mechanism to handle a RA-Update sent to early by NAS.
- 3) If the UTRAN does not comply to this change, it might receive rejections on HANDOVER FROM UTRAN requests.
If the UE does not comply to this change, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) There is no UTRAN impact related to this change. A UE not using the option

provided in this CR will have to be able to return to the old configuration with full continuation of any pending CM activations.

- 5) This change has no UTRAN impact. If the UE does not comply to this change, PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Impact on test specifications:

No impact on test specification is foreseen.

Consequences if not approved:

- ⌘ 1) It will remain unclear if the UTRAN should include the IE "NAS synchronisation indicator" in the HANDOVER FROM UTRAN COMMAND message. In addition, it will remain unclear if the UTRAN what values the UTRAN can use for the IE "Re-establishment timer" in this message;
- 2) If this change is not approved, PS activity might not be enabled on the RRC connection used after an Inter-RAT handover to UTRAN.
- 3) In case the UTRAN requests HANDOVER FROM UTRAN while a reconfiguration is pending, the UE and UTRAN configuration on return to UTRAN after a Inter-RAT handover failure might be different. Such misalignments should normally be handled with a cell update procedure, thereby increasing the interruption time for the failed handover.
- 4) Unnecessary complexity in the UE for supporting continuation of pending CM pattern activations in case of handover failure.
- 5) PLMN selection to a PLMN different from the intended PLMN might be the result when entering a common channel state or going to RRC-Idle.

Clauses affected:

⌘ 8.2.2.3; 8.2.2.7; 8.3.6.3; 8.3.7.3; 8.3.7.5; 8.6.1.2; 8.6.3.11

Other specs affected:

	Y	N		⌘
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments:

⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

- 1> be able to receive any of the following messages:
 - 2> RADIO BEARER SETUP message; or
 - 2> RADIO BEARER RECONFIGURATION message; or
 - 2> RADIO BEARER RELEASE message; or
 - 2> TRANSPORT CHANNEL RECONFIGURATION message; or
 - 2> PHYSICAL CHANNEL RECONFIGURATION message;
- 1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see 8.3.5.1), the UE may:
 - 2> abort the pending CM activation;
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.
- 1> otherwise
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

// partly omitted//

8.2.2.7 Physical channel failure

If the received message caused the UE to be in CELL_DCH state and the UE according to subclause 8.5.4 failed to establish the dedicated physical channel(s) indicated in the received message the UE shall:

- 1> for HS-DSCH remove existing HS-PDSCH configurations;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE:

2~~1~~> ~~otherwise~~ revert to the configuration prior to the reception of the message (old configuration);

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the old configuration includes dedicated physical channels (CELL_DCH state) and the UE is unable to revert to the old configuration:

2> initiate a cell update procedure according to subclause 8.3.1, using the cause "radio link failure";

2> after the cell update procedure has completed successfully:

3> proceed as below.

1> if the old configuration does not include dedicated physical channels (CELL_FACH state):

2> select a suitable UTRA cell according to [4];

2> if the UE selects another cell than the cell the UE camped on upon reception of the reconfiguration message:

3> initiate a cell update procedure according to subclause 8.3.1, using the cause "Cell reselection";

3> after the cell update procedure has completed successfully:

4> proceed as below.

1> transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

2> include the IE "RRC transaction identifier"; and

2> set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

2> set the IE "failure cause" to "physical channel failure".

1> set the variable ORDERED_RECONFIGURATION to FALSE;

1> continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
 - 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
 - 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
 - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

- 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration":
 - 2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
 - 3> 0 dB for the power offset $P_{\text{Pilot-DPDCH}}$ bearer in FDD;
 - 3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e. do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
 - 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

1> indicate to upper layers that no CN system information is available for any domain other than the CS domain;

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> Set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

- 2> enter UTRA RRC connected mode in state CELL_DCH;
- 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- 2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;
- 2> for all radio bearers using RLC-AM or RLC-UM:
 - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.3.7 Inter-RAT handover from UTRAN

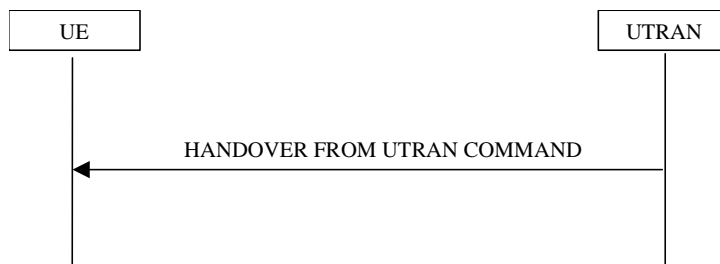


Figure 8.3.7-1: Inter-RAT handover from UTRAN, successful case

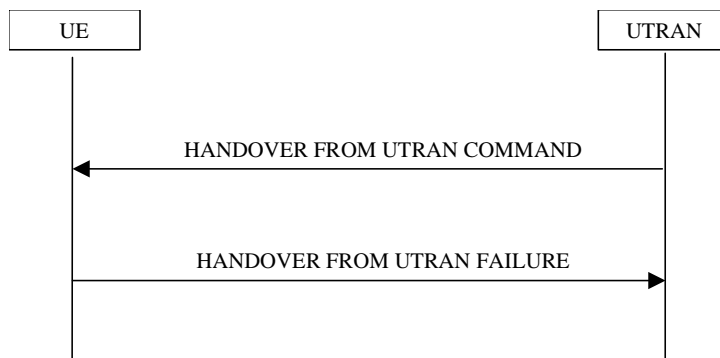


Figure 8.3.7-2: Inter-RAT handover from UTRAN, failure case

8.3.7.1 General

The purpose of the inter-RAT handover procedure is to, under the control of the network, transfer a connection between the UE and UTRAN to another radio access technology (e.g. GSM). This procedure may be used in CELL_DCH state. This procedure may be used when no RABs are established or when the established RABs are only in the CS domain or when the established RABs are in both CS and PS domains.

8.3.7.2 Initiation

The procedure is initiated when UTRAN orders a UE in CELL_DCH state, to make a handover to a radio access technology other than UTRAN, e.g. GSM.

To initiate the procedure, UTRAN sends a HANDOVER FROM UTRAN COMMAND message.

8.3.7.3 Reception of a HANDOVER FROM UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER FROM UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> if the UE has a pending “TGPS reconfiguration CFN” at the activation time received in the HANDOVER FROM UTRAN COMMAND the UE may:
 - 2> abort the pending CM activation;
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.
- 1> otherwise
 - 2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

- 1> establish the connection to the target radio access technology, by using the contents of the IE "Inter-RAT message". This IE contains a message specified in another standard, as indicated by the IE "System type", and carries information about the candidate/ target cell identifier(s) and radio parameters relevant for the target radio access technology. The correspondence between the value of the IE "System type", the standard to apply and the message contained within IE "Inter RAT message" is shown in the following:

Value of the IE "System type"	Standard to apply	Inter RAT Message
GSM	GSM TS 04.18, version 8.5.0 or later, or 3GPP TS 44.018	HANDOVER COMMAND
GERAN Iu	3GPP TS 44.118	RADIO BEARER RECONFIGURATION
cdma2000	TIA/EIA/IS-2000 or later, TIA/EIA/IS-833 or later, TIA/EIQ/IS-834 or later	

- 1> if the IE "System type" has the value "GSM" or "GERAN Iu":

- 2> if the IE "Frequency band" has the value "GSM /DCS 1800 band used":

- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1800 band".

- 2> if the IE "Frequency band" has the value " GSM /PCS 1900 band used":

- 3> set the BAND_INDICATOR [45] to "ARFCN indicates 1900 band".

- 1> apply the "Inter RAT Message" according to the "standard to apply" in the table above.

- 1> if the IE "RAB information List" is included in the HANDOVER FROM UTRAN COMMAND message:

- 2> if the IE "RAB information List" includes one IE "RAB Info" with the IE "CN domain Identity" set to "CS domain":

- 3> connect upper layer entities corresponding to the indicated CS domain RAB to the radio resources indicated in the inter-RAT message.

NOTE1: In this version of the specification the maximum number of CS domain RABs which may be included in the IE "RAB information List" is limited to 1.

NOTE2: In handover to GERAN *Iu mode*, the RAB information is included in the RADIO BEARER RECONFIGURATION message specified in [53].

NOTE3: Requirements concerning the establishment of the radio connection towards the other radio access technology and the signalling procedure are outside the scope of this specification.

NOTE4: The UE may ignore the IE "NAS synchronisation indicator" if included in the HANDOVER FROM UTRAN COMMAND message;

NOTE5: The UE behaviour is undefined if the IE "Re-establishment timer" in the IE "RAB info" indicates a timer different from the timer currently configured for this RAB.

8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

- 1> release the radio connection; and
- 1> remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

- 1> if inter-RAT handover to GERAN *Iu mode* is performed:
- 2> perform the actions on reception of the RADIO BEARER RECONFIGURATION message as specified in [53].

- 1> if inter-RAT handover to GERAN *Iu mode* is performed and if there are any NAS messages for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 has not yet been confirmed by RLC; or
- 1> if inter-RAT handover to other RAT than GERAN *Iu mode* is performed and if there are any NAS messages with the IE "CN domain identity" set to "CS domain" for which the successful delivery of the INITIAL DIRECT TRANSFER message or UPLINK DIRECT TRANSFER message on signalling radio bearer RB3 or signalling radio bearer RB4 that have not yet been confirmed by RLC:
 - 2> retransmit those NAS messages to the network on the newly established radio connection to the target radio access technology.
- 1> clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

8.3.7.5 UE fails to complete requested handover

If the UE does not succeed in establishing the connection to the target radio access technology, it shall:

- 1> for HS-DSCH remove existing HS-PDSCH configurations;
- 1> otherwise revert back to the UTRA configuration;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is not set to TRUE:

- 2+> establish the UTRA physical channel(s) used at the time for reception of HANOVER FROM UTRAN COMMAND;

1> if the CM_PATTERN_ACTIVATION_ABORTED flag is set to TRUE or if the UE does not succeed to establish the UTRA physical channel(s):

- 2> perform a cell update procedure according to subclause 8.3.1 with cause "Radio link failure";
- 2> when the cell update procedure has completed successfully:
 - 3> proceed as below.

1> transmit the HANOVER FROM UTRAN FAILURE message setting the information elements as specified below:

- 2> include the IE "RRC transaction identifier"; and
- 2> set it to the value of "RRC transaction identifier" in the entry for the HANOVER FROM UTRAN COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 2> clear that entry;
- 2> set the IE "Inter-RAT handover failure" to "physical channel failure".

- 1> When the HANOVER FROM UTRAN FAILURE message has been submitted to lower layer for transmission:
 - 2> the procedure ends.

8.6.1.2 CN information info

If the IE "CN information info" is present in a message, the UE shall:

- 1> if present, forward the content of the IE "PLMN identity" to upper layers;
- 1> if present, forward the content of the IE "CN common GSM-MAP NAS system information" to upper layers;
- 1> if the IE "CN domain related information" is present:
 - 2> forward each occurrence of the IE "CN domain specific GSM-MAP NAS system info" together with the IE "CN domain identity" to upper layers.
 - 2> if an IE "CN domain specific GSM-MAP NAS system info" is not present for a particular CN domain:
 - 3> indicate to upper layers that no CN system information is available for that CN domain.

If the "PLMN identity" is present, the UE shall consider this PLMN to be the selected PLMN (see [4]).

8.6.3.11 RRC transaction identifier

The IE "RRC transaction identifier" may be used, together with the message type, for identification of an invocation of a downlink procedure (transaction). The UE behaviour for accepting or rejecting transactions based on the message type and the IE "RRC transaction identifier" is specified below.

If the IE "RRC transaction identifier" is included in a received message, the UE shall perform the actions below. The UE shall:

If the received message is any of the messages:

- RADIO BEARER SETUP; or
- RADIO BEARER RECONFIGURATION; or
- RADIO BEARER RELEASE; or
- TRANSPORT CHANNEL RECONFIGURATION; or
- PHYSICAL CHANNEL RECONFIGURATION;

the UE shall:

- 1> if the variable ORDERED_RECONFIGURATION is set to FALSE; and
- 1> if the variable CELL_UPDATE_STARTED is set to FALSE; and
- 1> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE; and
- 1> if the table "Accepted transactions" in the variable TRANSACTIONS does not contain an entry with an IE "Message Type" set to ACTIVE SET UPDATE:
 - 2> accept the transaction; and
 - 2> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
- 1> else:
 - 2> if the variable ORDERED_RECONFIGURATION is set to TRUE; or
 - 2> if the variable CELL_UPDATE_STARTED is set to TRUE; or
 - 2> if the table "Accepted transactions" in the variable TRANSACTIONS contains an entry with an IE "Message Type" set to ACTIVE SET UPDATE; or
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the same "Message Type" as the received message in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 4> ignore the transaction; and
 - 4> continue with any ongoing processes and procedures as the message was not received;
 - 4> and end the procedure.
 - 3> else:
 - 4> reject the transaction; and
 - 4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- RRC CONNECTION SETUP; or
- CELL UPDATE CONFIRM; or
- URA UPDATE CONFIRM; or
- UE CAPABILITY ENQUIRY;

the UE shall:

- 1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 3> accept the transaction; and
 - 3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS.
 - 2> else:
 - 2> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:
 - 3> reject the transaction; and
 - 3> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.
 - 1> else:
 - 1> if the IE "Message Type" of the received message is present in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 2> if the IE "RRC transaction identifier" of the received message is identical to the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> ignore the transaction; and
 - 3> continue with any ongoing processes and procedures as the message was not received; and
 - 3> end the procedure.
 - 2> else:
 - 2> if the IE "RRC transaction identifier" of the received message is different from the "RRC transaction identifier" stored for the "Message Type" in the table "Accepted transactions" in the variable TRANSACTIONS:
 - 3> if the received message does not contain a protocol error according to clause 9 and the variable PROTOCOL_ERROR_REJECT is set to FALSE:
 - 4> ignore the once accepted transaction and instead accept the new transaction; and
 - 4> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Accepted transactions" in the variable TRANSACTIONS, replacing the previous entry.

NOTE 1: The UE is expected to process the first RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM message that it receives after transmitting an RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message. If the UE receives further RRC CONNECTION SETUP/CELL UPDATE CONFIRM/URA UPDATE COMFIRM messages without having transmitted another RRC CONNECTION REQUEST/CELL_UPDATE/URA_UPDATE message, the UE is not required to process these messages.

NOTE 2: If the previously accepted transaction was a CELL UPDATE CONFIRM/URA UPDATE CONFIRM that included the IE "Dowlink counter synchronisation info", rather than ignore the first accepted transaction the UE may continue with the first transaction in the case where a cell re-selection interrupted the on-going procedure causing a cell update procedure to be triggered. In this case the response message acts as an explicit acknowledgement of both the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message signalling an SRNS relocation and the subsequent CELL UPDATE CONFIRM/URA UPDATE CONFIRM.

3> else:

3> if the received message contains a protocol error according to clause 9 causing the variable PROTOCOL_ERROR_REJECT to be set to TRUE:

4> reject the transaction; and

4> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

5> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any of the messages:

- HANDOVER FROM UTRAN COMMAND

the UE shall:

1> if the variable ORDERED_RECONFIGURATION is set to TRUE:

2> reject the transaction; and

2> if the IE "Message Type" of the received message is not present in the table "Rejected transactions" in the variable TRANSACTIONS:

3> store the IE "Message type" and the IE "RRC transaction identifier" of the received message in the table "Rejected transactions" in the variable TRANSACTIONS.

Else:

If the received message is any other message, the UE shall:

1> if the IE "Message Type" of the received message is not present in the table "Accepted transactions" in the variable TRANSACTIONS:

//partly omitted //

CHANGE REQUEST

25.331 CR 2282 # rev # Current version: 3.17.0

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to reconfiguration scenarios and ciphering of TM RBs		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# Febr 2004
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change: #/ Currently, TS 25.331 does not unambiguously specify the UE behaviour in scenarios related to SRNS relocation, hard handover and establishment of radio bearers using RLC TM.

In particular, this is valid for Timing re-initialised HHO (with and without SRNS relocation) and simultaneous setup of RBs using RLC TM.

More details can be found in R2-040388 and R2-040577.

Summary of change: # Section 8.2.2.3:

It is added that the following scenarios are not supported (by stating that the UE behavior is not specified):

At Reconfiguration Cell_DCH to Cell_DCH (Timing re-initialised HHO):

- Establishment of TM RB at SRNS relocation with change of ciphering algorithm
- SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is included

At Reconfiguration Cell_DCH to Cell_DCH (Not Timing re-init HHO):

- SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is not included.

Revision compared to R2-040577: Corrected that this case is only of concern if the received message is used to setup TM RB, or TM RB already exist at reception of the message.

Section: 8.6.4.3:

It is added that UE behaviour is not specified for the case of Timing re-initialised HHO simultaneous with setup of the first RB using RLC TM.

It should be noted that Timing re-initialised HHO with simultaneous setup of additional TM RB is already covered in the specification.

Some minor editorials have been corrected.

T1 impact: No impact

Backward compatibility: This correction will affect not affect UE. This correction will affect UTRAN implementations that has not already considered the UE behaviour in the CR.

Consequences if not approved: ☞ The standard will not clearly indicate which scenarios are supported, and which are not.

Clauses affected: ☞ 8.2.2.3, 8.6.4.3

Other specs affected:

	Y	N		☞
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments: ☞

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent:

2> not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection".

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

- 2> select a suitable UTRA cell according to [4];
- 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
 - 1> select PRACH according to subclause 8.5.17;
 - 1> select Secondary CCPCH according to subclause 8.5.19;
 - 1> use the transport format set given in system information;
 - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
 - 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
- 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:

- 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:

3> the UE behaviour is not specified;

2> if the message is used to perform a timing re-initialised hard handover:

3> if IE "Ciphering activation time for DPCH" is included:

4> the UE behaviour is not specified;

3> else

4> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM

5> the UE behaviour is not specified;

2> else

3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM, or

3> if radio bearer(s) using RLC-TM already exist:

4> if IE "Ciphering activation time for DPCH" is not included:

5> UE behaviour is not specified.

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].

- 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

- 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
- 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- 1> perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- 1> perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- 1> if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
 - 2> initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 2> set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;
 - 2> start incrementing the COUNT-C values.
 - 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the hyper frame number component of COUNT-C to zero;
 - 5> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 4> at the activation time as specified in the IE "Activation Time" in the RADIO BEARER SETUP message:

- 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode RLC radio bearer to the value of the latest transmitted START for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 5> set the remaining LSB of the HFN component of COUNT-C to zero;
- 5> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN.
- 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the HFN component of COUNT-C to zero;
 - 5> if the received message is used to perform a Timing re-initialised hard handover:
 - 6> the UE behaviour is not specified:
 - 5> start incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.
- 2> if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 4> ~~continue incrementing use~~ the COUNT-C value common for all transparent mode radio bearers of this CN domain.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 2> start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.

NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.

CHANGE REQUEST

25.331 CR 2283 # rev # Current version: 4.12.0

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Corrections to reconfiguration scenarios and ciphering of TM RBs		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# Febr 2004
Category:	# A	Release:	# Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	<p>#/ Currently, TS 25.331 does not unambiguously specify the UE behaviour in scenarios related to SRNS relocation, hard handover and establishment of radio bearers using RLC TM.</p> <p>In particular, this is valid for Timing re-initialised HHO (with and without SRNS relocation) and simultaneous setup of RBs using RLC TM.</p> <p>More details can be found in R2-040388 and R2-040577.</p>
Summary of change:	<p># Section 8.2.2.3:</p> <p>It is added that the following scenarios are not supported (by stating that the UE behavior is not specified):</p> <p style="padding-left: 20px;">At Reconfiguration Cell_DCH to Cell_DCH (Timing re-initialised HHO):</p> <ul style="list-style-type: none"> • Establishment of TM RB at SRNS relocation with change of ciphering algorithm • SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is included <p style="padding-left: 20px;">At Reconfiguration Cell_DCH to Cell_DCH (Not Timing re-init HHO):</p> <ul style="list-style-type: none"> • SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is not included. <p style="padding-left: 20px;"><u>Revision compared to R2-040577:</u> Corrected that this case is only of concern if the received message is used to setup TM RB, or TM RB already exist at reception of the message.</p>

Section: 8.6.4.3:

It is added that UE behaviour is not specified for the case of Timing re-initialised HHO simultaneous with setup of the first RB using RLC TM.

It should be noted that Timing re-initialised HHO with simultaneous setup of additional TM RB is already covered in the specification.

Some minor editorials have been corrected.

T1 impact: No impact

Backward compatibility: This correction will affect not affect UE. This correction will affect UTRAN implementations that has not already considered the UE behaviour in the CR.

Consequences if not approved: ☞ The standard will not clearly indicate which scenarios are supported, and which are not.

Clauses affected: ☞ 8.2.2.3, 8.6.4.3

Other specs affected:

	Y	N		☞
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments: ☞

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2>else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received

reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New URNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish RB2;

- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:

3> the UE behaviour is not specified;

2> if the message is used to perform a timing re-initialised hard handover:

3> if IE "Ciphering activation time for DPCH" is included:

4> the UE behaviour is not specified;

3> else

4> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM

5> the UE behaviour is not specified;

2> else

3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM, or

3> if radio bearer(s) using RLC-TM already exist:

4> if IE "Ciphering activation time for DPCH" is not included:

5> UE behaviour is not specified.

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].

- 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

- 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
- 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- 1> perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- 1> perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- 1> if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
 - 2> initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 2> set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;
 - 2> start incrementing the COUNT-C values.
 - 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the hyper frame number component of COUNT-C to zero;
 - 5> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to " Started":
 - 4> at the activation time as specified in the IE "Activation Time" in the RADIO BEARER SETUP message:

- 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode RLC radio bearer to the value of the latest transmitted START for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 5> set the remaining LSB of the HFN component of COUNT-C to zero;
- 5> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN.
- 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the HFN component of COUNT-C to zero;
 - 5> if the received message is used to perform a Timing re-initialised hard handover:
 - 6> the UE behaviour is not specified:
 - 5> start incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.
- 2> if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 4> ~~continue incrementing~~use the COUNT-C value common for all transparent mode radio bearers of this CN domain.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 2> start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.

NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.

CHANGE REQUEST

25.331 CR 2284 # rev 1 # Current version: 5.7.1

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Corrections to reconfiguration scenarios and ciphering of TM RBs		
Source:	# RAN WG2		
Work item code:	# TEI-5	Date:	# Febr 2004
Category:	# F	Release:	# Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change: #/ Currently, TS 25.331 does not unambiguously specify the UE behaviour in scenarios related to SRNS relocation, hard handover and establishment of radio bearers using RLC TM.

In particular, this is valid for Timing re-initialised HHO (with and without SRNS relocation) and simultaneous setup of RBs using RLC TM.

More details can be found in R2-040388 and R2-040577.

Summary of change: # Section 8.2.2.3:

It is added that the following scenarios are not supported (by stating that the UE behavior is not specified):

At Reconfiguration Cell_DCH to Cell_DCH (Timing re-initialised HHO):

- SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is included.

Changes in Rev 1: Statement added in the original CR that UE behaviour is not specified for "SRNS relocation with change of ciphering algorithm (Timing re-initialised HHO) and simultaneous establishment of TM RBs" has been deleted.

At Reconfiguration Cell_DCH to Cell_DCH (Not Timing re-init HHO):

- SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is not included.

Revision compared to R2-040577: Corrected that this case is only of concern if the received message is used to setup TM RB, or TM RB already exist at

reception of the message.

Section: 8.6.4.3:

It is added that in case of Timing re-initialised HHO simultaneous with setup of the first RB using RLC TM, UE shall increment the HFN component of the COUNT-C variable by one.

It should be noted that Timing re-initialised HHO with simultaneous setup of additional TM RB is already covered in the specification.

Some minor editorials have been corrected.

Changes in Rev 1: Statement added in the original CR that actions related to ciphering of TM RBs at Timing re-initialised HHO shall be performed "after having activated the dedicated physical channels" has been deleted, since this text has no effect compared to the already existing text.

T1 impact: No impact

Backward compatibility: This correction will affect a UE and UTRAN implementation that has not already implemented according to the CR

Consequences if not approved:

⌘ The standard will not clearly indicate which scenarios are supported, and which are not.

Timing re-initialised HHO (with and without SRNS relocation) and simultaneous setup of RBs using RLC TM might fail.

Clauses affected: ⌘ 8.2.2.3, 8.6.4.3

Other specs affected:

Y	N
⌘	X
⌘	X
⌘	X

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

- 1> be able to receive any of the following messages:
 - 2> RADIO BEARER SETUP message; or
 - 2> RADIO BEARER RECONFIGURATION message; or
 - 2> RADIO BEARER RELEASE message; or
 - 2> TRANSPORT CHANNEL RECONFIGURATION message; or
 - 2> PHYSICAL CHANNEL RECONFIGURATION message;
- 1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> stop any HS-DSCH reception procedures according to the stored HS-PDSCH configuration;
- 1> clear any stored HS-PDSCH configuration;
- 1> remove any H-RNTI stored;
- 1> clear the variable H_RNTI;
- 1> set the variable HS_DSCH_RECEPTION to FALSE.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;
- 1> in TDD:
 - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 3> remove any C-RNTI from MAC;
 - 3> clear the variable C_RNTI.
- 1> if "DPCH frame offset" is included for one or more RLS in the active set:
 - 2> use its value to determine the beginning of the DPCH frame in accordance with the following:
 - 3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:
 - 4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).
 - 3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:
 - 4> set the variable INVALID_CONFIGURATION to TRUE.
 - 3> and the procedure ends.
- 2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:

- 2> select a suitable UTRA cell according to [4] on that frequency;
- 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2, downlink HFN of RB2);
- 2> increment by one the downlink and uplink HFN values for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:
 - 3> the UE behaviour is not specified;
 - 2> if the message is used to perform a timing re-initialised hard handover:
 - 3> if IE "Ciphering activation time for DPCH" is included:
 - 4> the UE behaviour is not specified;
 - 2> else
 - 3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM, or
 - 3> if radio bearer(s) using RLC-TM already exist:
 - 4> if IE "Ciphering activation time for DPCH" is not included:
 - 5> UE behaviour is not specified.
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers:
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received

reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received

reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- 1> perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- 1> perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- 1> if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
 - 2> initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 2> set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;
 - 2> start incrementing the COUNT-C values.
 - 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the hyper frame number component of COUNT-C to zero;
 - 5> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 4> at the activation time as specified in the IE "Activation Time" in the RADIO BEARER SETUP message or, in case of Timing re-initialised hard handover, after having activated the dedicated physical channels:

- 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode RLC radio bearer to the value of the latest transmitted START for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 5> set the remaining LSB of the HFN component of COUNT-C to zero;
- 5> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN.
- 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the HFN component of COUNT-C to zero;
 - 5> if the received message is used to perform a Timing re-initialised hard handover:
 - 6> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is set to zero;
 - 5> start incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.
- 2> if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 4> ~~continue incrementing~~use the COUNT-C value common for all transparent mode radio bearers of this CN domain.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 2> start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.

NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.

CHANGE REQUEST

25.331 CR 2285 # rev 1 # Current version: 6.0.1

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Corrections to reconfiguration scenarios and ciphering of TM RBs		
Source:	# RAN WG2		
Work item code:	# TEI-5	Date:	# Febr 2004
Category:	# A	Release:	# Rel-6
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change: #/ Currently, TS 25.331 does not unambiguously specify the UE behaviour in scenarios related to SRNS relocation, hard handover and establishment of radio bearers using RLC TM.

In particular, this is valid for Timing re-initialised HHO (with and without SRNS relocation) and simultaneous setup of RBs using RLC TM.

More details can be found in R2-040388 and R2-040577.

Summary of change: # Section 8.2.2.3:

It is added that the following scenarios are not supported (by stating that the UE behavior is not specified):

At Reconfiguration Cell_DCH to Cell_DCH (Timing re-initialised HHO):

- SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is included.

Changes in Rev 1: Statement added in the original CR that UE behaviour is not specified for "SRNS relocation with change of ciphering algorithm (Timing re-initialised HHO) and simultaneous establishment of TM RBs" has been deleted.

At Reconfiguration Cell_DCH to Cell_DCH (Not Timing re-init HHO):

- SRNS relocation with change of ciphering algorithm and IE "Ciphering activation time for DPCH" is not included.

Revision compared to R2-040577: Corrected that this case is only of concern if the received message is used to setup TM RB, or TM RB already exist at

reception of the message.

Section: 8.6.4.3:

It is added that in case of Timing re-initialised HHO simultaneous with setup of the first RB using RLC TM, UE shall increment the HFN component of the COUNT-C variable by one.

It should be noted that Timing re-initialised HHO with simultaneous setup of additional TM RB is already covered in the specification.

Some minor editorials have been corrected.

Changes in Rev 1: Statement added in the original CR that actions related to ciphering of TM RBs at Timing re-initialised HHO shall be performed "after having activated the dedicated physical channels" has been deleted, since this text has no effect compared to the already existing text.

T1 impact: No impact

Backward compatibility: This correction will affect a UE and UTRAN implementation that has not already implemented according to the CR

Consequences if not approved:

⌘ The standard will not clearly indicate which scenarios are supported, and which are not.

Timing re-initialised HHO (with and without SRNS relocation) and simultaneous setup of RBs using RLC TM might fail.

Clauses affected: ⌘ 8.2.2.3, 8.6.4.3

Other specs affected:

Y	N
⌘	X
⌘	X
⌘	X

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

- 1> be able to receive any of the following messages:
 - 2> RADIO BEARER SETUP message; or
 - 2> RADIO BEARER RECONFIGURATION message; or
 - 2> RADIO BEARER RELEASE message; or
 - 2> TRANSPORT CHANNEL RECONFIGURATION message; or
 - 2> PHYSICAL CHANNEL RECONFIGURATION message;
- 1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> stop any HS-DSCH reception procedures according to the stored HS-PDSCH configuration;
- 1> clear any stored HS-PDSCH configuration;
- 1> remove any H-RNTI stored;
- 1> clear the variable H_RNTI;
- 1> set the variable HS_DSCH_RECEPTION to FALSE.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;
- 1> in TDD:
 - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 3> remove any C-RNTI from MAC;
 - 3> clear the variable C_RNTI.
- 1> if "DPCH frame offset" is included for one or more RLS in the active set:
 - 2> use its value to determine the beginning of the DPCH frame in accordance with the following:
 - 3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:
 - 4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).
 - 3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:
 - 4> set the variable INVALID_CONFIGURATION to TRUE.
 - 3> and the procedure ends.
- 2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:

- 2> select a suitable UTRA cell according to [4] on that frequency;
- 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
 - 1> select PRACH according to subclause 8.5.17;
 - 1> select Secondary CCPCH according to subclause 8.5.19;
 - 1> use the transport format set given in system information;
 - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2, downlink HFN of RB2);
- 2> increment by one the downlink and uplink HFN values for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:
 - 3> the UE behaviour is not specified;
 - 2> if the message is used to perform a timing re-initialised hard handover:
 - 3> if IE "Ciphering activation time for DPCH" is included:
 - 4> the UE behaviour is not specified;
 - 2> else
 - 3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM, or
 - 3> if radio bearer(s) using RLC-TM already exist:
 - 4> if IE "Ciphering activation time for DPCH" is not included:
 - 5> UE behaviour is not specified.
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers:
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received

reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received

reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- 1> use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- 1> perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- 1> perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- 1> perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- 1> if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
 - 2> initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 2> set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;
 - 2> start incrementing the COUNT-C values.
 - 1> if the IE "RB information to setup" was received in a message other than HANDOVER TO UTRAN COMMAND; and
 - 1> if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
 - 2> if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the hyper frame number component of COUNT-C to zero;
 - 5> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to " Started":
 - 4> at the activation time as specified in the IE "Activation Time" in the RADIO BEARER SETUP message:

- 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode RLC radio bearer to the value of the latest transmitted START for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 5> set the remaining LSB of the HFN component of COUNT-C to zero;
- 5> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN.
- 4> at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
 - 5> initialise the 20 most significant bits of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START_VALUE_TO_TRANSMIT;
 - 5> set the remaining LSB of the HFN component of COUNT-C to zero;
 - 5> if the received message is used to perform a Timing re-initialised hard handover:
 - 6> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is set to zero;
 - 5> start incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.
- 2> if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Not Started":
 - 4> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 4> ~~continue incrementing~~use the COUNT-C value common for all transparent mode radio bearers of this CN domain.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 2> start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.

NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.