# TSG-RAN Meeting #21 Frankfurt, Germany, 16 - 19 September 2003

- Title: CR (R99) to TS 25.214
- Source: QUALCOMM Europe, NOKIA

Agenda item: 7.2.2 & 7.2.3

## *TS 25.214* (**RP-030513**)

| RP Tdoc # | WG Toc#     | Spec   | CR  | R | Subject                | Phase | Cat | Current |
|-----------|-------------|--------|-----|---|------------------------|-------|-----|---------|
| RP-030513 | (R1-030894) | 25.214 | 332 | 2 | Uplink synchronization | R99   | F   | 3.12.0  |
| RP-030513 |             | 25.214 | 334 | - | Uplink synchronization | R99   | A   | 4.6.0   |
| RP-030513 |             | 25.214 | 335 | - | Uplink synchronization | R99   | A   | 5.5.0   |

|   |          |   | C  | CHANGE   | REG                                   | ວຸບເ              | ES   | ST  |  |  |  | CR-Form-v7 |
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| ж   |          | <mark>25.214</mark>   | CR   | 332  | жrev                                  | 2                 | 2    | ж   | Current vers   | ion:   | <mark>3.12.0</mark>  | ) #        |
| For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols. |          |   |  |  |                                       |                   |      |     |  |  |  |            |
| Proposed chang  | e a      | ffects:   | UICC a   | apps <b>#</b>  | ME                                    | Ra                | adio | o A | ccess Networ   | k X  | Core N   | etwork     |
| Title:  | Ж        | TPC patt  | ern dur  | ing loss of RL   | synchro                               | onisat            | ion  | )   |  |  |  |            |
| Source:   | æ        | QUALCC  | MM E   | urope, Nokia   |                                       |                   |      |     |  |  |  |            |
| Work item code:   | ¥        | TEI   |  |  |                                       |                   |      |     | Date: ೫  | 27/  | 08/2003  |            |
| Category:   | <b>#</b> | F<br>Use <u>one</u> of<br>F (co<br>A (co<br>release<br>B (ac<br>C (fui<br>D (ec<br>Detailed ex<br>be found in | the follo<br>rrection<br>prespon<br>dition o<br>nctional<br>litorial n<br>planatio<br>3GPP | owing categories<br>)<br>ads to a correction<br>f feature),<br>(modification of<br>nodification)<br>nns of the above<br><u>IR 21.900</u> . | s:<br>on in an<br>feature)<br>categor | earlier<br>ies ca | 'n   |     | Release: <b>%</b><br>Use <u>one</u> of<br>2<br>R96<br>R97<br>R98<br>R99<br>Rel-4<br>Rel-5<br>Rel-6 | R99<br>the fo.<br>(GSM<br>(Relea<br>(Relea<br>(Relea<br>(Relea<br>(Relea | 9<br>Ilowing rel<br>1 Phase 2;<br>ase 1996)<br>ase 1997)<br>ase 1999)<br>ase 1999)<br>ase 4)<br>ase 5)<br>ase 6) | eases:     |

| Reason for change. њ               | although the choice of TPC commands has a significant impact on the system operation in such situation.  |
|------------------------------------|--|
|                                    |  |
| Summary of change: #               | A note is added in order to clarify the expected Node B behaviour.   |
|                                    |  |
| Consequences if %<br>not approved: | <ul> <li>When the number of RLS is larger than 1, Node B which has lost UL synchronisation with a UE may transmit a TPC pattern which will lead the UE to decrease its UL DPCH transmit power to the point where the Node Bs will lose synchronisation with all the associated RL, which will in turn result in the call being dropped.</li> <li>If the expected Node B behaviour is not spelled out, UE may attempt to implement alternate TPC combining procedure not resulting in dropped calls but affecting the overall system performance under normal operation.</li> </ul> |

| Clauses affected: | ж | 5.1.2.2.1.2   |
|-------------------|---|---|
|                   | [ | YN  |
| Other specs       | ж | X Other core specifications %   |
| affected:         |   | X Test specifications   |
|                   | L | X O&M Specifications  |
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| Other comments:   | ж | Isolated impact analysis:   |
|                   |   | This CR provides guidance on the Node B behaviour in a scenario which was not   |
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# 5.1.2 DPCCH/DPDCH

## 5.1.2.1 General

The initial uplink DPCCH transmit power is set by higher layers. Subsequently the uplink transmit power control procedure simultaneously controls the power of a DPCCH and its corresponding DPDCHs (if present). The relative transmit power offset between DPCCH and DPDCHs is determined by the network and is computed according to subclause 5.1.2.5 using the gain factors signalled to the UE using higher layer signalling.

The operation of the inner power control loop, described in sub clause 5.1.2.2, adjusts the power of the DPCCH and DPDCHs by the same amount, provided there are no changes in gain factors. Additional adjustments to the power of the DPCCH associated with the use of compressed mode are described in sub clause 5.1.2.3.

Any change in the uplink DPCCH transmit power shall take place immediately before the start of the pilot field on the DPCCH. The change in DPCCH power with respect to its previous value is derived by the UE and is denoted by  $\Delta_{DPCCH}$  (in dB). The previous value of DPCCH power shall be that used in the previous slot, except in the event of an interruption in transmission due to the use of compressed mode, when the previous value shall be that used in the last slot before the transmission gap.

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power.

The provisions for power control at the maximum allowed value and below the required minimum output power (as defined in [7]) are described in sub-clause 5.1.2.6.

## 5.1.2.2 Ordinary transmit power control

## 5.1.2.2.1 General

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR<sub>target</sub>.

The serving cells (cells in the active set) should estimate signal-to-interference ratio  $SIR_{est}$  of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1".

Upon reception of one or more TPC commands in a slot, the UE shall derive a single TPC command, TPC\_cmd, for each slot, combining multiple TPC commands if more than one is received in a slot. This is also valid when SSDT transmission is used in the downlink. Two algorithms shall be supported by the UE for deriving a TPC\_cmd. Which of these two algorithms is used is determined by a UE-specific higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size  $\Delta_{TPC}$  is a layer 1 parameter which is derived from the UE-specific higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter  $\Delta_{TPC}$  shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then  $\Delta_{TPC}$  shall take the value 2 dB. The parameter "TPC-StepSize" only applies to Algorithm 1 as stated in [5]. For Algorithm 2  $\Delta_{TPC}$  shall always take the value 1 dB.

After deriving of the combined TPC command TPC\_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink DPCCH with a step of  $\Delta_{DPCCH}$  (in dB) which is given by:

 $\Delta_{\text{DPCCH}} = \Delta_{\text{TPC}} \times \text{TPC}\_\text{cmd}.$ 

### 5.1.2.2.1.1 Out of synchronisation handling

After 160 ms after physical channel establishment (defined in [5]), the UE shall control its transmitter according to a downlink DPCCH quality criterion as follows:

- The UE shall shut its transmitter off when the UE estimates the DPCCH quality over the last 160 ms period to be worse than a threshold Q<sub>out</sub>. Q<sub>out</sub> is defined implicitly by the relevant tests in [7].
- The UE can turn its transmitter on again when the UE estimates the DPCCH quality over the last 160 ms period to be better than a threshold Q<sub>in</sub>. Q<sub>in</sub> is defined implicitly by the relevant tests in [7]. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

#### 5.1.2.2.1.2 TPC command generation on downlink during RL initialisation

When commanded by higher layers the TPC commands sent on a downlink radio link from Node Bs that have not yet achieved uplink synchronisation shall follow a pattern as follows:

If higher layers indicate by "First RLS indicator" that the radio link is part of the first radio link set sent to the UE and the value 'n' obtained from the parameter "DL TPC pattern 01 count" passed by higher layers is different from 0 then :

- the TPC pattern shall consist of n instances of the pair of TPC commands ("0","1"), followed by one instance of TPC command "1", where ("0","1") indicates the TPC commands to be transmitted in 2 consecutive slots,
- the TPC pattern continuously repeat but shall be forcibly re-started at the beginning of each frame where CFN mod 4 = 0.

else

- The TPC pattern shall consist only of TPC commands "1".

The TPC pattern shall terminate once uplink synchronisation is achieved.

Note: In case the Node B subsequently loses RL synchronisation, and if the number of RLS for the associated UE is greater than one, the Node B should not transmit the TPC command "0" until uplink synchronisation is achieved.

|   |                      | СН   | ANGE R  | EQUE                               | ST      |  |   | CR-Form-v7 |
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| For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols. |                      |  |   |                                    |         |  |   | mbols.     |
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| Work item code:   | <mark>೫ TEI</mark>   |  |   |                                    |         | Date: ೫  | 27/08/2003  |            |
| Category:   |                      | of the following<br>correction)<br>corresponds to<br>addition of feat<br>functional modific<br>explanations o<br>l in 3GPP <u>TR 2</u> | g categories:<br>a correction in a<br>ure),<br>ification of featur<br>cation)<br>f the above cate<br><u>1.900</u> . | an earlier re<br>re)<br>gories can | elease) | Release: <b>%</b><br>Use <u>one</u> of t<br>2<br>R96<br>R97<br>R98<br>R99<br>Rel-4<br>Rel-5<br>Rel-6 | Rel-4<br>he following rel<br>(GSM Phase 2)<br>(Release 1996)<br>(Release 1997)<br>(Release 1999)<br>(Release 4)<br>(Release 5)<br>(Release 6) | eases:     |

| Reason for change:            | 3 TPC commands transmitted by the Node B during RL failure are not<br>although the choice of TPC commands has a significant impact on the<br>operation in such situation.   | specified<br>e system                                      |
|-------------------------------|---|--|
|                               |   |  |
| Summary of change:            | A note is added in order to clarify the expected Node B behaviour.  |  |
|                               |   |  |
| Consequences if not approved: | <ul> <li>When the number of RLS is larger than 1, Node B which has lost UL synchronisation with a UE may transmit a TPC pattern which will lead decrease its UL DPCH transmit power to the point where the Node B synchronisation with all the associated RL, which will in turn result in being dropped.</li> <li>If the expected Node B behaviour is not spelled out, UE may attempt implement alternate TPC combining procedure not resulting in dropped.</li> </ul> | the UE to<br>s will lose<br>the call<br>to<br>ed calls but |
|                               | anecung the overall system performance under normal operation.  |  |

| Clauses affected: | ж | 5.1.2.2.1.2   |
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|                   | [ | YN  |
| Other specs       | ж | X Other core specifications %   |
| affected:         |   | X Test specifications   |
|                   |   | X O&M Specifications  |
|                   |   |   |
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Note: In case the Node B subsequently loses RL synchronisation, and if the number of RLS for the associated UE is greater than one, the Node B should not transmit the TPC command "0" until uplink synchronisation is achieved.

|   | CHANGE REQU  | EST                   |  |   | CR-Form-v7 |  |  |  |
|---|--|-----------------------|--|---|------------|--|--|--|
| ж   | 25.214 CR 335 #rev   | ж (                   | Current versi  | on: <b>5.5.0</b>  | ж          |  |  |  |
| For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols. |  |                       |  |   |            |  |  |  |
| Proposed chang  | e affects: UICC apps <b>%</b> ME R   | adio Ac               | cess Networ  | k X Core No   | etwork     |  |  |  |
| Title:  | # TPC pattern during loss of RL synchronisa  | tion                  |  |   |            |  |  |  |
| Source:   | CUALCOMM Europe, Nokia   |                       |  |   |            |  |  |  |
| Work item code:   | ж <mark>ТЕІ</mark>   |                       | Date: ೫  | 12/09/2003  |            |  |  |  |
| Category:   | <ul> <li><b>A</b></li> <li>Use <u>one</u> of the following categories:<br/><i>F</i> (correction)<br/><i>A</i> (corresponds to a correction in an earlier<br/><i>B</i> (addition of feature),<br/><i>C</i> (functional modification of feature)<br/><i>D</i> (editorial modification)<br/>Detailed explanations of the above categories categories</li></ul> | <i>release)</i><br>In | Release: <b>#</b><br>Use <u>one</u> of t<br>2<br>R96<br>R97<br>R98<br>R99<br>Rel-4<br>Rel-5<br>Pol 6 | Rel-5<br>the following rele<br>(GSM Phase 2)<br>(Release 1996)<br>(Release 1997)<br>(Release 1999)<br>(Release 4)<br>(Release 5)<br>(Release 6) | eases:     |  |  |  |

| Reason for change:               | # TPC commands transmitted by the Node B during RL failure are not specified<br>although the choice of TPC commands has a significant impact on the system<br>operation in such situation.   |
|----------------------------------|--|
|                                  |  |
| Summary of change:               | <b>#</b> A note is added in order to clarify the expected Node B behaviour.  |
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| Clauses affected: | <b>光</b> 5.1.2.2.1.2  |
|-------------------|---|
|                   | YN  |
| Other specs       | <b>X</b> Other core specifications <b>X</b>                                     |
| affected:         | X Test specifications   |
|                   | X O&M Specifications  |
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| Other comments:   | Solated impact analysis:  |
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The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR<sub>target</sub>.

The serving cells (cells in the active set) should estimate signal-to-interference ratio  $SIR_{est}$  of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1".

Upon reception of one or more TPC commands in a slot, the UE shall derive a single TPC command, TPC\_cmd, for each slot, combining multiple TPC commands if more than one is received in a slot. This is also valid when SSDT transmission is used in the downlink. Two algorithms shall be supported by the UE for deriving a TPC\_cmd. Which of these two algorithms is used is determined by a UE-specific higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size  $\Delta_{TPC}$  is a layer 1 parameter which is derived from the UE-specific higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter  $\Delta_{TPC}$  shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then  $\Delta_{TPC}$  shall take the value 2 dB. The parameter "TPC-StepSize" only applies to Algorithm 1 as stated in [5]. For Algorithm 2  $\Delta_{TPC}$  shall always take the value 1 dB.

After deriving of the combined TPC command TPC\_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink DPCCH with a step of  $\Delta_{DPCCH}$  (in dB) which is given by:

 $\Delta_{\text{DPCCH}} = \Delta_{\text{TPC}} \times \text{TPC}\_\text{cmd}.$ 

### 5.1.2.2.1.1 Out of synchronisation handling

After 160 ms after physical channel establishment (defined in [5]), the UE shall control its transmitter according to a downlink DPCCH quality criterion as follows:

- The UE shall shut its transmitter off when the UE estimates the DPCCH quality over the last 160 ms period to be worse than a threshold Q<sub>out</sub>. Q<sub>out</sub> is defined implicitly by the relevant tests in [7].
- The UE can turn its transmitter on again when the UE estimates the DPCCH quality over the last 160 ms period to be better than a threshold Q<sub>in</sub>. Q<sub>in</sub> is defined implicitly by the relevant tests in [7]. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

#### 5.1.2.2.1.2 TPC command generation on downlink during RL initialisation

When commanded by higher layers the TPC commands sent on a downlink radio link from Node Bs that have not yet achieved uplink synchronisation shall follow a pattern as follows:

If higher layers indicate by "First RLS indicator" that the radio link is part of the first radio link set sent to the UE and the value 'n' obtained from the parameter "DL TPC pattern 01 count" passed by higher layers is different from 0 then :

- the TPC pattern shall consist of n instances of the pair of TPC commands ("0","1"), followed by one instance of TPC command "1", where ("0","1") indicates the TPC commands to be transmitted in 2 consecutive slots,
- the TPC pattern continuously repeat but shall be forcibly re-started at the beginning of each frame where CFN mod 4 = 0.

else

- The TPC pattern shall consist only of TPC commands "1".

The TPC pattern shall terminate once uplink synchronisation is achieved.

Note: In case the Node B subsequently loses RL synchronisation, and if the number of RLS for the associated UE is greater than one, the Node B should not transmit the TPC command "0" until uplink synchronisation is achieved.