



# Third Generation Partnership Project

# 3GPP

## Working Procedures

18 May 2009

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## Foreword

These Working Procedures of the Third Generation Partnership Project (3GPP) are effective from 27 April 2009.

An electronic version of these Partnership Project Working Procedures is available from the following address:

<http://www.3gpp.org>

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## SECTION A: GENERAL

### Article 1: Description

The Partnership Project is not a legal entity but is a collaborative activity between the following recognized Standards Development Organizations:

- ARIB (Japan)
- CCSA (China)
- ETSI (Europe)
- ATIS (US)
- TTA (Korea)
- TTC (Japan)

The Partnership Project is entitled the “THIRD GENERATION PARTNERSHIP PROJECT” and may be known by the acronym “3GPP”.

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### Article 2: Purpose

The purpose of 3GPP is to prepare, approve and maintain globally applicable Technical Specifications and Technical Reports for

- a 3rd Generation Mobile System based on the evolved GSM core network, and the Universal Terrestrial Radio Access (UTRA),
- further development of radio technologies such as LTE, coupled with evolution of core network elements such as the Evolved Packet Core (EPC),
- continuing evolution of the 2<sup>nd</sup> generation GSM/EDGE Radio Access Network (GERAN),

to be transposed by the Organizational Partners into appropriate deliverables (e.g., standards).

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### Article 3: Scope and objectives

The 3rd Generation Mobile System and its capabilities shall be developed in a phased approach. Initially, 3GPP shall prepare, approve and maintain the necessary set of Technical Specifications and Technical Reports for a 3rd Generation Mobile System including:

- UTRAN (including UTRA; in Frequency Division Duplex (FDD) and Time Division Duplex (TDD) modes);
- 3GPP Core Network (Third Generation networking capabilities evolved from GSM. These capabilities include mobility management, global roaming, and utilisation of relevant Internet Protocols);
- Terminals for access to the above (including specifications for a UIM); and
- System and service aspects.

3GPP shall prepare, approve and maintain the necessary set of Technical Specifications and Technical Reports for:

- the Global System for Mobile communication (GSM) including GSM evolved radio access technologies (e.g., General Packet Radio Service (GPRS) and Enhanced Data rates for GSM Evolution (EDGE)).

3GPP shall consider the long term evolution.

The Technical Specifications and Technical Reports shall be developed in view of global roaming and circulation of terminals.

The set of 3GPP Technical Specifications and Technical Reports for the 3GPP core network and the specifications for the GSM core network should be common to the greatest extent possible and should not be unnecessarily different.

Options in the form of a regulatory requirement particular to one or more regions / nations shall be included in 3GPP specifications. TSGs should not debate the inclusion or rejection of such options.

The results of the 3GPP work shall form the basis of member contributions to the ITU in accordance with existing procedures.

3GPP shall take account of emerging ITU recommendations on interworking between IMT-2000 family members.

In the framework of agreed relationships, the 3GPP Technical Specifications and Technical Reports will form the basis of standards, or parts of standards, of the Organizational Partners.

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## SECTION B: PARTICIPATION

### Article 4: Categories

Participation in 3GPP shall be classified into one of the following categories:

- Partners;
- Individual Members;
- ITU Representatives;
- Observers;
- Guests.

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### Article 5: Partnership

Partners in 3GPP shall be classified into one of the following two categories:

- Organizational Partners;
- Market Representation Partners.

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### Article 6: Organizational Partnership

Organizational Partnership is open to any Standards Organization, irrespective of its geographical location, which has:



- a national, regional or other officially recognized status and the capability and authority to define, publish and set standards within the 3GPP scope, in that nation or region;
- an Intellectual Property Rights (IPR) Policy which is compatible with those of the Organizational Partners;
- committed itself to all or part of the 3GPP scope;
- signed the Partnership Project Agreement.

Standards Organizations may apply to become an Organizational Partner by writing to any of the existing Organizational Partners.

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## Article 7: Market Representation Partnership

The Organizational Partners may invite Market Representation Partners to take part in 3GPP.

An invitation for Market Representation Partnership is open to any organization, irrespective of its geographical location, which:

- has the ability to offer market advice to 3GPP and to bring into 3GPP a consensus view of market requirements (e.g., services, features and functionality) falling within the 3GPP scope;
- does not have the capability and authority to define, publish and set standards within the 3GPP scope, nationally or regionally;
- has committed itself to all or part of the 3GPP scope;
- has signed the Partnership Project Agreement.

Organizations may apply to become Market Representation Partners by writing to any of the existing Partners. Further guidance for MRP applicants can be found in Annex E.

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## Article 8: Individual Membership

Membership in an Organizational Partner is a pre-requisite for Individual Membership of 3GPP. All entities registered as members of an Organizational Partner and eligible for participation in the technical work of that Organizational Partner, can become Individual Members of 3GPP if they are committed to support 3GPP and:

- to contribute technically or otherwise to one or more of the Technical Specification Groups within the 3GPP scope;
- to use the 3GPP results to the extent feasible.

An Individual Member has the right to participate in the work of 3GPP by attending meetings of the Technical Specification Groups and subtending groups.

Applications for Individual Membership of a Technical Specification Group shall be made in writing to the relevant Organizational Partner using the form given at **Annex C**. Applications may also be made on-line using the template available at <http://www.3gpp.org>.

Individual Members act in 3GPP in their own right and carry the full responsibility for their contributions.

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## Article 9: Termination of Individual Membership

Individual Membership of 3GPP may be terminated by dissolution, abolition, resignation or expulsion from the related Organizational Partner.

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## Article 10: Observers and Guests

The status of Observer may be granted by the Organizational Partners to an entity which has the qualifications to become a future Partner.

An Observer may send a single representative to an Organizational Partners or PCG meeting. An Observer may also have representatives at TSG meetings. Representatives of Observers may receive documents and contribute documents for information, but shall not submit documents that propose changes to the specifications, reports, work item descriptions, work plan, or any other document under the control of the TSG. Observers shall not take part in decision making or hold any leadership positions.

Additional participation rights of an Observer shall be decided by the Organizational Partners on a case-by-case basis.

The status of Guest may be granted for a limited period, by the Organizational Partners to an entity which has the qualifications to become a future Individual Member. The limited period shall be decided by the Organizational Partners on a case-by-case basis.

A Guest may have representatives at TSG and subtending group meetings. Representatives may receive documents but shall not take part in decision making, participate in discussions, contribute documents, or hold any leadership positions.

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## SECTION C: STRUCTURE

### Article 11: Structure of 3GPP

3GPP shall consist of a Project Co-ordination Group (PCG) and Technical Specification Groups (TSGs). The Technical Specification Groups may establish Working Groups if required.

The Organizational Partners may decide to call a meeting of the full 3GPP membership if required.

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## SECTION D: PARTNERS' COLLECTIVE RESPONSIBILITIES

### Article 12: Organizational Partners' Collective Responsibilities

The Organizational Partners shall determine the general policy and strategy of 3GPP.

In addition the Organizational Partners shall perform the following tasks:

- approval and maintenance of the 3GPP scope;
- maintenance the Partnership Project Description;

- taking decisions on the creation or cessation of Technical Specification Groups, and approving their scope and terms of reference;
- approval of Organizational Partner funding requirements;
- allocation of human and financial resources provided by the Organizational Partners to the Project Co-ordination Group;
- acting as a body of appeal on procedural matters referred to them.

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## Article 13: Collective responsibilities of all Partners

Organizational Partners and Market Representation Partners shall perform the following tasks:

- maintenance of the Partnership Project Agreement;
- approval of applications for 3GPP partnership;
- taking decisions relating to the dissolution of 3GPP.

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## SECTION E: PROJECT CO-ORDINATION GROUP (PCG)

### Article 14: PCG tasks

The PCG shall perform the following tasks:

- appointment of PCG Chairman and Vice Chairmen;
- allocation of human and financial resources provided by Organizational Partners to TSGs;
- allocation of voluntary human and financial resources provided by Market Representation Partners and Individual Members;
- management of the 3GPP Support Team;
- handling of appeals from Individual Members on procedural matters referred to them;
- propose and approve modifications to the Partnership Project Working Procedures;
- handling of appeals from Individual Members on technical matters referred to them;
- determination of the overall time frame and manage overall work progress;
- final adoption of new and stopped work items proposed by the TSGs within the agreed 3GPP scope and objectives;
- when a work item is outside the scope of the 3GPP, and where a common global solution is desired, recommend how to achieve a global solution;
- appointment or dismissal of TSG Chairmen and Vice Chairmen, as proposed by TSGs based on election results. (The proposed candidate shall be appointed unless there are extraordinary reasons that prevent such an appointment, e.g., severe company or geographical imbalance within 3GPP. In such cases the TSG shall be requested to elect an alternative candidate. The decision not to appoint a candidate shall be made by consensus.);
- authorizing requests from the TSGs for approval to liaise with external organizations, and maintain a list of approved requests;

- maintenance of the register of Individual Members eligible to participate in 3GPP;
- maintenance of the register of IPR declarations relevant to 3GPP, received by the Organizational Partners.

The PCG may decide to call a meeting of the full 3GPP membership if required.

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## Article 15: PCG participation

The following shall have a right to participate in the PCG:

- Typically, a maximum of five representatives of each Organizational Partner (not counting the Chairman and Vice-Chairmen);
- A maximum of three representatives of each Market Representation Partner. (MRPs are however urged to limit their participation to one representative wherever possible.);
- The Chairmen and Vice Chairmen of the TSGs as ex-officio members;
- A maximum of three ITU representatives;
- One representative of each Observer.

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## Article 16: PCG appointment of Chairman and Vice Chairman

The PCG shall appoint their Chairman and Vice Chairmen from amongst the Organizational Partner representatives.

The Chairman and Vice Chairmen shall be appointed for a one year term of office.

The Chairman and Vice Chairmen shall normally serve one term of office. If no other candidates are available, the Chairman or Vice Chairmen may be appointed for a further term.

Successive Chairmen and Vice Chairmen should not be from the same Organizational Partner, the same region or from the same group of companies, unless no other candidate is available.

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## Article 17: PCG Chairman Responsibilities

The PCG Chairman is responsible for the overall management of the co-ordination work within 3GPP.

The Chairman has the overall responsibility to ensure that the Partnership Project Agreement, Partnership Project Description and Partnership Project Working Procedures are followed.

The Chairman may nominate officials to assist in the work.

The Chairman may be assisted by the Support Team.

The Chairman may delegate tasks to the Vice Chairmen.

In performing his tasks, the Chairman and Vice Chairmen shall maintain strict impartiality and act in the interest of the 3GPP.

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## Article 18: PCG meetings

A meeting of the PCG shall be held at least twice per year.

At least thirty days before the due date, a calling notice, draft agenda and supporting documents shall be issued.

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## Article 19: PCG decision making

In any meeting of the PCG, the quorum required for decision making shall be 50% of the total number of Organizational Partners. Proxies shall not be permitted.

The PCG shall endeavour to reach consensus on all issues. The views and opinions of the Market Representation Partners and the Chairmen and Vice Chairmen of the TSGs shall be taken into account during the consensus building process. If consensus cannot be achieved, the Chairman can decide to take a vote. The vote may exceptionally be performed by a secret ballot if decided by the PCG.

Each Organizational Partner shall have one vote. A proposal shall be deemed to be approved if 71% of the votes cast are in favour. Abstentions or failure to submit a vote shall not be included in determining the number of votes cast.

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# SECTION F: TECHNICAL SPECIFICATION GROUPS

## Article 20: TSG tasks

The TSGs shall prepare, approve and maintain the 3GPP Technical Specifications and Technical Reports taking into account the market requirements provided by Market Representation Partners.

The TSGs shall also perform the following tasks:

- Propose to the PCG for appointment TSG Chairman and Vice Chairmen based on election results;
- Creation of TSG Working Groups and approval of their terms of reference;
- When a new Working Group is created, the appointment of TSG Working Group Convenor;
- Allocation of resources within the TSG;
- Allocation of voluntary human and financial resources provided by Market Representation Partners and Individual Members;
- Handling of appeals from Individual Members on technical matters;
- Preparation of a detailed time frame and management of detailed work progress;
- Management of work items;
- Technical Co-ordination;
- Proposal and approval of work items within the agreed scope and terms of reference of the TSG;
- Where a work item is outside the scope of the 3GPP, but a common global solution is desired, recommend an approach to the PCG;
- Assignment of work to Partners. (Specification development may be accomplished using various methods, including the assignment of work to Partners.);

- Maintenance of the list of Individual Members eligible to vote within the TSG (Voting Members).

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## Article 21: TSG participation

The following shall have a right to participate in the TSGs:

- Representatives of members of participating Organizational Partners (i.e. Individual Members);
  - Representatives of Organizational Partners;
  - Representatives of Market Representation Partners;
  - Representatives of Observers;
  - Representatives of Guests.
- 

## Article 22: TSG and WG election of Chairman and Vice Chairman

### 22.1 TSG elections

Regular TSG elections shall be held every two years in the first semester of odd numbered years.

The TSG Chairman and Vice Chairmen shall be elected by the Technical Specification Group from amongst the Individual Member representatives. Each TSG shall elect a maximum of three Vice Chairmen.

A candidate for election shall provide a letter of support from the Individual Member that he/she represents. Nominations may be made up to the point when an election takes place.

The Chairman and the Vice Chairmen shall be elected for a two year term of office. The Chairman and Vice Chairmen may offer themselves for election for a second consecutive term. Exceptionally, they may offer themselves for further consecutive terms, subject to the following:

- A two week deadline that expires at noon Central European Time on the Friday which falls between 20 and 14 days prior to the first day of the meeting at which elections are due to be held shall be established.
- A Chairman is not permitted to stand for another consecutive term if another candidate is announced via the 3GPP web site or via the relevant 3GPP email reflector prior to this deadline.
- A Chairman is not permitted to stand for another consecutive term until after this deadline.
- A Vice Chairman is not permitted to stand for another consecutive term in a Vice Chairman position for which there is a candidate announced via the 3GPP web site or via the relevant 3GPP email reflector prior to this deadline.
- A Vice Chairman is not permitted to stand for another consecutive term until after this deadline. If, by the deadline, the number of candidatures is less than the number of Vice Chairman positions, then any incumbent Vice Chairman may put forward his candidature.

There is no restriction on a Vice Chairman whose term of office is due to expire offering himself for the position of Chairman; neither is there any restriction on a Chairman whose term of office is due to expire offering himself as a Vice Chairman. There is no restriction of a retiring Chairman or Vice Chairman of one TSG offering himself for election as either Chairman or Vice Chairman of any other TSG (or WG).

Should the office of Chairman or Vice Chairman of a TSG become vacant for reasons other than expiration of term of office, a special election shall be conducted to fill the unexpired term. This special election shall be conducted at a meeting of the TSG, and shall be announced in the agenda according to the provisions of Article 32.

When such a special election is held to fill the office of Chairman, and one or more of the Vice Chairmen of the TSG intends to stand for election to that position, the announcement shall also indicate that there will be an election to fill the position of Vice Chairman in the event that a Vice Chairman be elected to the office of Chairman. If at the special election the Vice Chairman is elected to the office of Chairman, that individual shall become Chairman immediately, and, the position of Vice Chairman thus being vacant, an election shall be held for the office of Vice Chairman.

Similarly, if an incumbent Chairman intends to stand for election to the position of Vice Chairman, the same applies, with the necessary changes to the text above.

If a Chairman or Vice Chairman changes the Individual Member that he/she represents (e.g., job change, merger or acquisition) during his/her term of office, a new letter of support shall be provided. If the change of company affiliation is due to a move to another company, then the decision for the Chairman or Vice Chairman to continue in office shall be made by consensus of the TSG. If consensus cannot be achieved, a special election shall be held for the office.

The term of office for a Chairman or Vice Chairman elected at a special election is a partial term lasting until the end of the current term. A partial term of office does not count towards the two consecutive term limit.

Chairman and Vice Chairmen should not be from the same region, Organizational Partner, or from the same group of companies, unless no other candidate is available.

Successive Chairmen should not be from the same Organizational Partner, the same region or from the same group of companies, unless no other candidate is available. This does not apply to special elections or to regular elections for a second or subsequent consecutive term of office .

When a new TSG is established, the Organizational Partners shall appoint a convenor for the first two TSG meetings. The election for TSG Chairman and Vice Chairmen shall take place at the second TSG meeting. If the election takes place other than at the normal time for TSG elections (see above), the elected Chairman and Vice Chairman shall serve until the next regular TSG election. This partial term of office does not count towards the two consecutive term limit. The provisions of this paragraph may be overridden by consensus of the PCG where a new TSG is formed by the merger of existing TSGs; under these circumstances, the PCG shall establish a set of ad hoc rules for the creation of the new TSG.

Following election by the TSG, the TSG Chairman and Vice Chairmen shall be appointed by the PCG on the proposal of the TSG.

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## 22.2 WG elections

The provisions concerning the election of WG Chairmen and Vice Chairmen shall be as for TSG Chairmen and Vice Chairmen as defined in 22.1 above, with the necessary changes, with the following differences.

Each WG shall elect a maximum of two Vice Chairmen.

WG elections shall be held when the corresponding terms of office expire or when an existing Chairman or Vice Chairman resigns. WG elections need not be synchronized within the WG (i.e. elections for Chairman and each Vice Chairmen may occur at different times), across different WGs of the same or other TSGs, nor with the regular TSG elections.

WG Chairmen and Vice Chairmen are always elected for a period of two years (and not, as is the case with special elections in TSGs, for the unexpired period of the present term of office).

Note: For this reason, elections for WG Chairmen and Vice Chairmen are likely to become spread over a period of time, rather than being grouped like the TSGs' regular elections.

When a new WG is established, the parent TSG is responsible for appointing a convenor for the first two meetings.

Following election by the WG, the Chairman and Vice Chairman hold office immediately, without need of appointment by TSG or PCG.

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## Article 23: TSG and WG Chairman responsibilities

The TSG Chairman is responsible for the overall management of the technical work within the TSG and its Working Groups. The Chairman has an overall responsibility to ensure that the activities of the TSG follow the Partnership Project Working Procedures.

The WG Chairman is responsible for the overall management of the technical work within the WG and its sub-groups.

The Chairman may nominate officials to assist in the work.

The Chairman may delegate tasks to the Vice Chairmen.

The Chairman may be assisted by the Support Team.

The Chairman shall form a Management Team, including the Vice Chairmen and Support Team, in order to assist in discharging his duties.

Recognizing the need to balance the requirement of rapid specification development with the limited resources of delegates, the Chairman should encourage a minimum number of meetings, especially parallel meetings, and maximize the use of electronic means to advance the work.

In performing TSG tasks, the Chairman shall maintain strict impartiality and act in the interest of 3GPP.

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## Article 24: TSG and WG Chairman and Vice Chairmen dismissal

A secret ballot shall be taken for the proposal to dismiss a TSG or WG Chairman or Vice Chairman because of a failure to effectively perform their duties, if requested by 30% of the TSG or WG membership list. 71% of the votes cast are required to recommend dismissal.

The PCG shall dismiss a Chairman or Vice Chairman on the proposal of the TSG.

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## Article 25: TSG and WG decision making

TSGs and WGs shall endeavour to reach consensus on all issues, including decisions on Technical Specifications and Technical Reports. Informal methods of reaching consensus are encouraged (e.g., a show of hands). If consensus cannot be achieved, the Chairman can decide to take a vote. The vote may exceptionally be performed by a secret ballot if decided by the TSG or WG. A vote may be conducted during a TSG or WG meeting or by correspondence.

A proposal shall be deemed to be approved if 71% of the votes cast are in favour. Abstentions or failure to submit a vote shall not be included in determining the number of votes cast.

It is the responsibility of the Chairman to ensure that questions to be voted upon are phrased in a positive yes/no manner, with 71% required to approve the question. Questions should not be phrased as the TSG shall not do something. Examples of appropriate questions are; Shall the TSG approve the Specification and send it to the SDOs? Shall the liaison be approved? Shall the new WI be approved? Shall the existing WI be stopped? If the issue is to choose option A or B, the question should be split into two questions, with the Chairman selecting the order. First, shall the TSG take option A as the way forward? If this question fails the second question is, shall the TSG take option B as the way forward?



Contributions on which decisions will be based should be made available in good time before each meeting. TSGs may establish informal guidelines for dealing with late contributions.

Working Agreements may be used to establish tentative agreements in cases where consensus cannot be obtained. The working agreement process is described in Annex G.

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## Article 26: TSG and WG voting during a meeting

The following procedures apply for voting during a TSG or WG meeting:

- before voting, a clear definition of the issues shall be provided by the Chairman;
- Voting Members shall only be entitled to one vote;
- if a Voting Member has more than one representative present, only one representative may vote;
- each Voting Member may only cast the vote once;
- each Voting Member may carry proxy votes for up to five other Voting Members. All proxy votes shall be accompanied by a letter of authority from the authorising Voting Member. Proxies will not be taken into account when determining the quorum;
- the quorum required for voting during a TSG or WG meeting shall be 30% of the total number of Voting Member companies on the TSG or WG voting list;
- the result of the vote shall be recorded in the meeting report.

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## Article 27: TSG or WG voting by correspondence

The following procedures apply for voting by correspondence:

- before voting, a clear definition of the issues shall be provided by the Chairman and disseminated to all on the TSG or WG membership list;
- Voting Members shall only be entitled to one vote;
- each Voting Member may only cast the vote once within the voting period;
- the voting period shall be 30 days;
- there are no quorum requirements;
- The result of the vote should be disseminated to the TSG or WG .

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## Article 28: TSG or WG voting for the election of TSG or WG Chairman and Vice Chairman

In the case where there is more than one candidate for TSG or WG Chairman or Vice Chairman, a secret ballot shall be used. For interpreting the result of the secret ballot the following procedure shall apply:

When, in the first ballot, no candidate has obtained 71% of the votes cast, a second ballot shall be held. In the second ballot, in cases where there are only two candidates, the candidate obtaining the higher number of votes is elected. In cases where there are more than two candidates, if none of them has obtained 71% of the votes, a third and final ballot

shall be held among the two candidates who have obtained the highest number of votes in the second ballot. The candidate obtaining the higher number of votes in the third ballot is then elected.

The TSG or WG Chairman shall be responsible for the voting process and shall ensure that confidentiality is maintained.

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## Article 29: TSG or WG Chairman's decision appeal process

An Individual Member of 3GPP who opposes a Chairman's ruling on a vote taken within a TSG or WG may submit its case to the PCG for decision. In such cases the Individual Member shall also inform the relevant TSG or WG Chairman.

When a TSG or WG Chairman has made a ruling, his decision shall be taken as the basis for future operations, unless or until overturned by the PCG.

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## Article 30: TSG and WG meetings

TSGs and WGs shall meet as necessary to complete their work within the prescribed timeframe. TSGs should endeavour to hold their meetings at the same time and place to assist in the overall co-ordination of the work.

Meeting locations should reflect the geographical diversity of the TSG and WG delegates.

Further information on meetings is given in Annex F.

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## Article 31: TSG and WG meeting invitation

The invitation to a TSG or WG meeting and the necessary logistical information should be disseminated as soon as practically possible, taking into account the need to obtain travel documentation. It shall be disseminated at least 28 days before the meeting to all on the TSG or WG membership list.

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## Article 32: TSG and WG meeting agenda

The draft agenda for a TSG or WG meeting shall be disseminated by the responsible Chairman to all on the TSG or WG membership list at least 21 days before a meeting. The draft agenda should indicate subject matters where voting may be required. The draft agenda shall indicate elections to be held (including known candidates).

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## Article 33: TSG and WG meeting registration

Every delegate shall register on arrival at each TSG or WG meeting. Each delegate who represents an Individual Member shall declare the precise name of that Individual Member. A delegate may only register to represent one Individual Member.

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## Article 34: TSG and WG meeting document and file naming

Documents for a TSG or WG meeting shall follow a consistent numbering system as shown in the following example:

**xminnzzzz**

This numbering system has six logical elements:

- 1) **x**: a single letter corresponding to the TSG;
  - where x : **R** (Radio Access Network)
  - N** (Core Network) [TSG closed March 2005]
  - S** (Service and System Aspects)
  - T** (Terminals) [TSG closed March 2005]
  - G** (GSM/EDGE Radio Access Network)
  - C** (Core network and Terminals)
- 2) **m** A single character corresponding to the Working Group identity (typically 1, 2, 3, etc) or, in the case of the TSG itself, the letter "P".
- 3) **i**: Normally the hyphen character "-". May take on other values depending on the nature of the meeting at which the document is presented, eg the identity of a subgroup.
- 4) **(nn)**: two digits to indicate the year, i.e. 99, 00, 01, etc;
- 5) **zzzz**: unique number of the document

No provision is made for the use of revision numbers. Documents which are a revision of a previous version should indicate the document number of that previous version.

The filename of documents shall be the document number. Documents may be compressed to .Zip files (and thus bear a file extension ".zip"). For example, document **S1-060357** will be contained in file **S1-060357.zip**.

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## Article 35: TSG and WG Voting Membership List

### 35.1 Voting list

Each TSG and WG shall maintain a list of Individual Members eligible to vote in that group.

The voting list shall be used to establish quorum and for determining those eligible to take part in a vote.

NOTE 1: The term "membership list" has no particular significance in the context of TSG and WG meetings. The term "attendance list" is used for a list of individuals who attend a given meeting representing an Individual Member or other entity entitled to participate in meetings – see annex F.

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### 35.2 On creation of new TSG or WG

When a new TSG or WG is formed an Individual Member is added to the voting list at the first meeting at which it is represented, and may vote at the second meeting where it is represented.

Exceptionally, if inadequate notice (less than the period of time given in article 31) had been given for the first meeting of the new group, an Individual Member shall be added to the voting list on its delegate's attending the second meeting (the election meeting) and vote at that meeting even if it had not been represented at the group's first meeting.

Only an Individual Member that had been represented at the group's first meeting may provide a proxy for the second meeting.

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### 35.3 Established TSGs and WGs

To qualify for the voting list it is necessary for at least one delegate of an Individual Member to attend at least two meetings of the group concerned, without being removed according to the provisions of article 35.4..

An Individual Member having been represented at at least two ordinary meetings of the group has the right to cast a vote by proxy (see article 26). Thus an Individual Member may not cast a vote by proxy during a meeting at which it is not represented unless it had already been represented at two or more qualifying meetings of the group.

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### 35.4 Removal from and reinstatement to voting list

An Individual Member which is not represented at three consecutive meetings of the TSG or WG shall be removed from the voting list.

The right to vote is reinstated at the second meeting which a delegate of the Individual Member attends without missing the number of consecutive meetings mentioned in the previous paragraph (but see article 35.3 for eligibility to cast a proxy vote).

EXAMPLE 1: If Individual Member Z is represented at meeting K and K+3 (and is thus eligible to vote) but is not represented at meetings K+4, K+5 and K+6, it is removed from the voting list.

EXAMPLE 2: If Individual Member X, not previously having been represented at a meeting of the group, is represented at meetings N and N+3, it may cast a vote at meeting N+3.

NOTE: Individual Member X might choose to cast a vote by proxy at meeting N+3, even though its delegate is physically present. This is sometimes useful for coordinating company positions where one large company has several Individual Memberships, possibly via several different Organizational Partners.

EXAMPLE 3: If Individual Member Y, not previously having been represented at a meeting of the group, is represented at meeting N only, it may not cast a vote by proxy at meeting N+2.

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### 35.5 Meetings other than ordinary meetings

Any group that wants to call an electronic meeting (audio, video, document distribution by posting or e-mail, etc) may do so, although this works best with smaller groups. Therefore, all electronic meetings are allowed but only ordinary meetings (see annex F) count towards attendance. However, if a meeting is designated as face-to-face, provision of bridge and speakerphone capabilities for those requesting it would be at the discretion of the host. Those participating by speakerphone are not to be counted toward quorum or attendance, and are not allowed to vote.

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## Article 36: TSG Sub Working Groups

A Working Group may establish a Sub Working Group (SWG) with defined Terms of Reference. The Working Group shall appoint a SWG Chairman. The SWG shall work by consensus. The meeting notice requirements for a SWG meeting are the same as for TSGs and WGs.

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## SECTION G: WORK PROGRAMME AND TECHNICAL CO-ORDINATION

### Article 37: Work Programme

The 3GPP Work Programme shall consist of Work Items defined by the TSGs.

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### Article 38: Work Items

A 3GPP Work Item is a specification task defined in terms of the following principal parameters:

- title;
- intended output (i.e. Technical Specifications or Technical Reports);
- impact on other Technical Specifications and Technical Reports;
- technical scope, including the field of application of the intended output;
- impact on other 3GPP Work Items;
- the schedule of tasks to be performed;
- the identities of the supporting Individual Members;
- the identity of the Work Item Rapporteurs.

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### Article 39: Work Item creation

Each proposed new Work Item shall be supported by at least four Individual Members, and their names shall be recorded in the Work Item definition prepared for the TSG approval. One or more persons shall be named as Rapporteur for the proposed Work Item, and the Rapporteur shall act as the prime contact point on technical matters and for information on progress throughout the drafting phases. The supporting Individual Members are expected to contribute to and progress the new work item throughout the drafting phases.

In addition to the above, TSGs shall approve new Work Items, giving all essential parameters. The proposal shall be entered into the 3GPP work programme, clearly marked as a new entry, for which a unique reference identity shall be allocated.

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## Article 40: Work Item adoption by PCG

The 3GPP work programme shall be made available to all Individual Members. A new Work Item shall remain flagged as "new" until the end of the month following the month during which the 3GPP work item was entered into the 3GPP work programme. A new Work Item shall be adopted by the PCG unless a substantial objection is received from an Individual Member or Partner during this period. At the end of the period, the "new" flag shall be removed (even if there is an objection) and it is the responsibility of any objecting Individual Member or Partner to discuss their objections with the TSG Chairman. If it is not possible to resolve the objection, it is the responsibility of the Individual Member or Partner to raise the issue with the PCG.

The TSGs shall ensure that the 3GPP Work Item details are maintained at regular intervals.

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## Article 41: Work Item stopping

Prior to completion of the intended 3GPP output, the responsible TSG may conclude that a Work Item is no longer required. Any Work Item shall automatically be considered by a TSG for stopping, if no progress has been achieved in a given period of time, typically six months, e.g. due to a lack of contribution. In such cases, the Work Item shall be flagged as "stopped" in the Work Programme. The proposal to stop a Work Item shall be fully justified.

The Work Programme shall be updated accordingly, and shall show the Work Item as "stopped" until the end of the month following the month during which the Work Item was initially flagged.

The Work Item will be stopped by the PCG unless substantial objection is received from an Individual Member during this period. It is the responsibility of any objecting Individual Member to discuss their objections with the TSG Chairman. If it is not possible to resolve the objection, it is the responsibility of the Individual Member to raise the issue with the PCG.

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## Article 42: Technical co-ordination

The PCG shall be responsible for determining the overall time frame and for managing the overall work progress. The System Aspects TSG shall have a particular responsibility for the technical co-ordination of work being undertaken within 3GPP, and for overall system architecture and system integrity. Problems encountered in performing this technical co-ordination role shall be reported immediately to the PCG.

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## SECTION H: DELIVERABLES

### Article 43: Deliverable types

3GPP shall prepare, approve and maintain documents known as Technical Specifications and Technical Reports. Such documents shall be drawn up by the TSGs and shall, following approval at that level, be submitted to the participating Organizational Partners to be submitted to their respective standardization processes.

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### Article 44: Approval process

Approval of Technical Specifications and Technical reports by a TSG shall normally be by consensus.

Where consensus cannot be achieved in the TSG a vote may be taken.

When Technical Specifications and Technical Reports become sufficiently stable, they shall be put under change control of the relevant TSG. The further elaboration of these Technical Specifications and Technical Reports shall be achieved by change requests to be approved by the TSG.

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## Article 45: Drafting rules

The Technical Specifications and Technical Reports drafted by the TSGs shall follow the 3GPP drafting rules, using document processing facilities, format, languages and notations agreed by the Organizational Partners, and on a medium suited for electronic document handling and publishing.

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## Article 46: Copyright and ownership

The Organizational Partners will have joint ownership (including copyright) of the Technical Specifications and Technical Reports produced by 3GPP.

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## Article 47: Conversion by Organizational Partners

Organizational Partners shall use their best endeavours to convert the Technical Specifications and Technical Reports approved by the Partnership Project into national/regional deliverables in a timely manner through their normal processes.

The Organizational Partners are urged not to change the technical parts of the Technical Specifications and Technical Reports; they may add non-technical parts required by their own deliverable schemes and they may add descriptions of options selected.

Organizational Partners should ensure that all unresolved comments raised during their public enquiry and approval phases are delivered to the appropriate TSG.

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## SECTION I: REPORTING

### Article 48: Chairman's reporting obligations

A report shall be prepared by the Chairman following all PCG and TSG meetings.

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### Article 49: Changes to structure and officials

The Chairman of each TSG shall inform the PCG of all organizational changes concerning Working Groups and their officials. An up to date record of the 3GPP structure shall be maintained.

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## Article 50: Calendar of meetings

The PCG and TSGs shall maintain an up to date calendar of the dates and venues for future meetings.

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## SECTION J: EXTERNAL RELATIONS

### Article 51: Relationship with the ITU

3GPP results should be submitted to the ITU as appropriate.

3GPP will not contribute directly to the ITU. Formal contributions to ITU Study Groups shall be made by Individual Members who are also members of the ITU. 3GPP Technical Specifications and Technical Reports may be taken as the technical content of such contributions.

PCG need only review those ITU LSs which are destined to become part of ITU deliverables.

Representatives of ITU-D, ITU-R and ITU-T are invited to participate in the Project Coordination Group for the efficient coordination and exchange of information.

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### Article 52: Relations with other groups

TSGs and WGs are encouraged to liaise directly with the relevant technical bodies within the 3GPP and Partners as appropriate.

A liaison statement shall clearly communicate what is expected from the receiver, i.e., which parts are for information, which questions are expected to be clarified and by whom (especially if there are multiple receivers), and also when an answer is needed, e.g., when is the next meeting of the group sending the liaison statement.

A TSG or any subtending Working Group may send individual liaisons to any external organization (other than ITU) without PCG approval, except if the statement is considered sensitive the TSG Chairman, in which case PCG clearance is needed. Relations with the ITU are described in Article 51.

It is not necessary to have all external liaisons copied to the PCG and/or TSG SA. The liaison originating TSG should decide, at its own discretion, which should be copied. External liaisons that may have management implications such as schedules, organization, process, procedures, and policy shall be copied to the PCG, or approved by the PCG if sensitive.

Relations with the ITU are described in Article 51.

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## SECTION K: MISCELLANEOUS

### Article 53: Resources

The resources for the operation of 3GPP shall be managed by the Organizational Partners. The resources are allocated to the TSGs by the PCG.

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## Article 54: Support Team

The Partners shall provide logistical support to, and assist in the operation of, 3GPP. The support shall be in the form of a Support Team which shall operate under the overall management of the PCG and the day to day management of TSGs.

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## Article 55: Intellectual Property Rights (IPR) Policy

Individual Members shall be bound by the IPR Policy of their respective Organizational Partner.

Individual Members should declare at the earliest opportunity, any IPRs which they believe to be essential, or potentially essential, to any work ongoing within 3GPP. Declarations should be made by Individual Members to their respective Organizational Partners.

Organizational Partners should encourage their respective members to grant licences on fair, reasonable terms and conditions and on a non-discriminatory basis.

The PCG shall maintain a register of IPR declarations relevant to 3GPP, received by the Organizational Partners.

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## Article 56: Working language

The working language for 3GPP shall be English.

Meetings of the PCG and TSGs shall be conducted in English.

3GPP Technical Specifications and Technical Reports shall be prepared in English (as defined by the Shorter Oxford English Dictionary).

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## Article 57: Duration

3GPP shall be task oriented and on completion of the tasks the future of 3GPP shall be re-considered. The continuation of 3GPP shall therefore be confirmed by the Organizational Partners on an annual basis.

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## Article 58: Review of activities

An evaluation of the activities of 3GPP should be made by the Organizational Partners at regular intervals.

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## Article 59: Dissolution, winding up

In the event of a voluntary dissolution of 3GPP, the Partners shall determine the terms of liquidation by consensus. All issues shall be documented and distributed at least 30 days prior to decisions being made.

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## Article 60: Amendments to 3GPP Working Procedures

These Partnership Project Working Procedures may only be amended by decision taken by the PCG.

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## Annex A: Definitions

Consensus:	General agreement, characterized by the absence of sustained opposition to substantial issues by any important part of the concerned interest and by a process that involves seeking to take into account the views of all parties concerned and to reconcile any conflicting arguments. (Note: consensus need not imply unanimity).
Conversion:	The transformation of a 3GPP output document into an Organizational Partners deliverable following the Organizational Partners' recognized processes.
Delegate:	An individual taking part in a TSG/WG meeting physically or by electronic means.
Drafting Rules:	A document approved by the Organizational Partners providing rules for the drafting of 3GPP Technical Specifications and Technical Reports.
Election:	The voting process used to identify an individual from a number of individuals.
Guest:	An entity fulfilling the criteria to become a future Individual Member, which has been granted temporary participation rights in the 3GPP
Individual Member:	A member of an Organizational Partner having participation rights within that Organizational Partner and which has registered to take part in 3GPP.
ITU Representative	Representatives of ITU-D, ITU-R and ITU-T invited to participate in the Project Coordination Group for the efficient coordination and exchange of information.
Market Representation Partner:	A Partner invited by the Organizational Partners to participate in 3GPP to offer advice and to bring into 3GPP a consensus view of market requirements.
Observer:	An Organization fulfilling the criteria to become a future Partner which has been granted temporary participation rights in 3GPP.
Organizational Partner:	A recognized Standards Organization which has been accepted as a Partner in 3GPP.
Partner:	An Organizational Partner or a Market Representation Partner of 3GPP.
Partnership Project Agreement:	The document signed by 3GPP Partners defining their rights and obligations.
Partnership Project Description:	A document which describes the overall structure and operation of 3GPP.
Represent:	Attend on behalf of. A person who attends a meeting on behalf of an Individual Member represents that Individual Member. The person is not necessarily employed by that Individual Member, but may be, for example, employed by a subsidiary company in the same group as the represented Individual Member; or the person may be an independent consultant under contract to the Individual Member. "Representation" sometimes influences the eligibility to vote – see article 35 and annexes A and F.
Sub Working Group	A subordinate body of a Working Group.
Support Team:	A number of persons dedicated to support 3GPP.
Technical Report:	A 3GPP output document containing mainly informative elements approved by a Technical Specification Group.
Technical Specification:	A 3GPP output document containing normative provisions approved by a Technical Specification Group.
Voting Member	An Individual Member who has voting rights within a TSG/WG.
Work Item:	The documented record of a specific technical activity of 3GPP.
Work Programme:	The documented record of the all technical activities of 3GPP.
Working Group:	A subordinate body of a Technical Specification Group.



## Annex B: Abbreviations

3GPP	Third Generation Partnership Project
ARIB	Association of Radio Industries and Businesses
ATIS	Alliance for Telecommunications Industry Solutions (successor to T1)
CCSA	China Communications and Standards Association (successor to CWTS)
CDMA	Code Division Multiple Access
CWTS	China Wireless Telecommunications Standards Group (superseded by CCSA)
ETSI	European Telecommunications Standards Institute
FDD	Frequency Division Duplex
GSM	Global System for Mobile Communication
IMT-2000	International Mobile Telecommunication
ITU	International Telecommunication Union
MRP	Market Representation Partner
PCG	Project Co-ordination Group
SWG	Sub Working Group
T1	Standards Committee T1 (superseded by ATIS)
TDD	Time Division Duplex
TSG	Technical Specification Group
TTA	Telecommunications Technology Association
TTC	Telecommunication Technology Committee
UIM	User Identity Module
UTRA	Universal Terrestrial Radio Access
UTRAN	Universal Terrestrial Radio Access Network
WG	Working Group

## Annex C: Individual member application form

**APPLICATION FORM**  
for INDIVIDUAL MEMBERSHIP  
of the THIRD GENERATION PARTNERSHIP PROJECT  
Please complete this form and return it to your Organizational Partner

COMPANY NAME .....

Please indicate below which ORGANIZATIONAL PARTNER you are a member of

ARIB	
CCSA	
ETSI	
ATIS	
TTA	
TTC	

Please indicate below which Technical Specification Groups you wish to participate in:

Radio Access Network	
Core Network and Terminals	
Service and System Aspects	
GSM EDGE Radio Access Network (GERAN)	

Signed by (Authorized Representative) (See Note 1)	
Print name	
Position	
Date	
Telephone	
Fax	
Email	
Company Website URL	

Contact person's family name (See Note 2)	
Contact person's given name	
Job title	
Mailing address	
Telephone	
Fax	
Email	

Note 1: Is either the official contact person or voting contact of a company.

Note 2: People attending meetings and who can also have signing authority.

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Annex D: (Void)

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## Annex E: Guidance for MRP applicants

### E.1 Introduction

The conditions for the acceptance of Market Representation Partners (MRPs) in 3GPP are given in Article 7 of these Working Procedures. This Annex provides additional guidance to MRP Applicants.

### E.2 Guidance

During the application process, the Organizational Partners will need to be assured that an Applicant:

- (a) Has a field of interest directly or indirectly related to the work being undertaken by 3GPP;
- (b) Has attained a demonstrable level of recognition within this field of interest;
- (c) Has an understanding of the market requirements relevant to 3GPP;
- (d) Is willing to actively contribute to the work of 3GPP and has sufficient resources for this purpose;
- (e) Fully supports the goals and objectives of 3GPP and does not take part in activities that conflict with these goals and objectives.

In processing an application, the Organizational Partners will peruse openly available documentation published by an Applicant, including material posted on its Website, in order to confirm that the points listed above have been satisfied.

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## Annex F: Guidance on meeting organization

### F.1 Meeting classification

TSG and WG Meetings are classified either:

- ordinary; or
- ad hoc.

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### F.2 Ordinary meetings

Ordinary meetings are ones where the regular business of the TSG or WG is conducted. Such meetings are normally chaired by the Group's chairman or, if unavailable, a vice-chairman. Ordinary meeting should be announced at least six months prior to the opening day of the meeting.

TSG and WG ordinary meetings shall follow an incrementing number sequence. If an additional ordinary meeting is required between two ordinary meetings, the sequence may be preserved, even though this means renumbering subsequent meetings, or the additional meeting may be numbered using the 'bis' suffix as a numbering scheme.

Attendance at an ordinary meeting counts towards an Individual Member's eligibility to cast a vote in the Group (see Articles 26 and 27). The Support Team shall record the participation in an ordinary meeting (each person and organization represented) and the attendance list shall be included in the meeting report.

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### F.3 Ad hoc meetings

An ad hoc meeting of a WG or a TSG is one called to address one or more particular topics. The ad hoc meeting shall be called by decision of the WG (or its parent TSG) or TSG concerned; the decision to hold any subsequent ad hoc meetings on the same topic may be made by the ad hoc meeting participants themselves without reference to the parent WG or TSG, although the parent WG or TSG shall review any ad hoc activity at every Ordinary meeting and determine to either continue or to close the ad hoc activity, even if the previous ad hoc meeting suggested a further meeting. The scope of each subsequent ad hoc meeting shall be indicated by the chairman at least 21 days before the meeting. The ad hoc meeting shall not expand or change the scope originally determined by the parent group. An ad hoc meeting is allowed to make decisions only within its pre-defined remit, and any such decisions shall be ratified by the parent WG or TSG unless, in the case of a WG, a decision was previously explicitly delegated by the WG to the ad hoc meeting.

An ad hoc meeting may be chaired as described for an ordinary meeting above, or, with the agreement of the WG or TSG concerned, by a Work Item rapporteur, or other appropriate person. Although open to all 3GPP Individual Members, ad hoc meetings may attract only a subset of Individual Member representatives who would normally participate in ordinary meetings.

Ad hoc meetings shall not be considered when calculating voting rights. That is, attendance at (or absence from) an ad hoc meeting shall not influence voting rights, which are determined solely by attendance at "ordinary" meetings.

No voting shall occur at ad hoc meetings.

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## F.4 Attendance register

### F.4.1 Face to face meetings

A delegate is deemed to have attended a given meeting once all the following conditions have been fulfilled:

- the individual has registered his intention to attend;
- the individual has physically picked up his badge at the meeting venue and, when possible, has been acknowledged by the meeting Secretary or a representative of the hosting organization as having done so;
- the individual has confirmed his participation by ticking the "yes" box on the delegate list circulated by the group's Secretary.

If any of these conditions are not fulfilled, the meeting Secretary will assume that the individual did not attend.

A delegate, having registered and begun to participate in a meeting, is not allowed to change the organization he represents during the course of that meeting. An individual delegate is not allowed to simultaneously represent two or more Individual Members at a meeting.

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### F.4.2 Electronic meetings

Electronic meetings such as audio / video conferences, email exchanges considered as meetings, etc, are encouraged where appropriate. For such events, the Secretary will establish the attendance list on the basis of those actually participating in the meeting (those dialling in to the conference bridge, those issuing and responding to emails, etc.) Nevertheless, advance registration is strongly encouraged.

Fully electronic meetings are to be considered as "ad hoc" as defined above.

Participation by Individual Member in fully electronic meetings, or electronic participation in a face to face meeting (eg by phoning in) is not considered for the accrual or loss of voting rights.

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## Annex G: Working agreements

"Working agreements" are tentative decisions reached by 3GPP groups in order to make progress on matters where consensus (as defined in Annex A) cannot be reached. It is intended to be used in situations where there is a clear majority in favour of one approach, but a small minority has sustained opposition to that approach.

The working agreement process has the following steps:

- Consensus cannot be reached on an issue. A substantial majority of individual members prefer a given approach, but there is sustained opposition by a small minority of individual members, preventing consensus.
- The chair declares a working agreement. The working agreement is documented in the meeting report
- The approach agreed to by the majority and documented in the working agreement can continue unimpeded.
- The working agreement is entered into the 3GPP working agreements page on the 3GPP web site. The window for challenging the working agreement is now open.
- Working agreements may be challenged, resulting in a formal vote. This potential vote would be held at the next meeting of the WG or parent TSG (whichever comes first). If the next meeting is less than 14 days after the establishment of the working agreement, then that meeting is skipped in determining the next meeting. The meeting for potential voting is documented on the 3GPP working agreements page of the 3GPP web site (<http://www.3gpp.org/TSG-Working-Agreements>).
- The challenge cutoff date is established. This is 7 days before the start of the meeting for potential voting. The cutoff date is documented on the 3GPP working agreements page of the 3GPP web site.
- Organizations wishing to challenge the working agreement may do so until the challenge window closes. A challenge may be made by informing the chair of the group which will do the voting. Any received challenge is documented on 3GPP working agreements page of the 3GPP web site.
- The group which will be voting is informed of the intention to have a working agreement challenge vote and the specific voting question.
- If no challenge is received by the challenge cutoff date, then the working agreement is confirmed. The confirmation of the working agreement is documented on the 3GPP working agreements page of the 3GPP web site. The issue is closed.
- If a challenge is received, then a formal vote on the working agreement will occur at the designated meeting. Voting on working agreements is a standing part of meeting agendas. Voting is as described in articles 25 & 26 of the working procedures.
- If the challenge fails (at least 71% in favour of the working agreement), then the working agreement is confirmed. The confirmation of the working agreement is documented on the 3GPP working agreements page of the 3GPP web site. The issue is closed.
- If the challenge succeeds (less than 71% in favour of the working agreement), then the working agreement fails. All changes which were made based on the working agreement shall be reversed and the group must continue to search for consensus. The overturning of the working agreement is documented on the 3GPP working agreements page of the 3GPP web site.
- Organizations may withdraw a challenge at any time in the process. If the last challenge is withdrawn after the challenge cutoff, then the working agreement is confirmed. The confirmation of the working agreement is documented on the 3GPP working agreements page of the 3GPP web site. The issue is closed.

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## Annex H: Change history

Date	PCG #	PCG Doc.	CR	Rev	Subject/Comment			
2002-04-25	6							
2005-03-01	13				Changes agreed at PCG meeting incorporated. [No formal change control system yet in place]			
2006-04-24	16	PCG16_07	2		ETSI proposal: Article 10 Clarify the rights of observers and guests			
			3		ETSI proposal: Article 15 To allow leeway in the number of delegates representing each OP at PCG (To reflect the decision already taken by PCG#14 on PCG participation)			
			9		ETSI proposal: Article 34 To cater for current TSG structure and to reflect common practice of Tdoc numbering			
			1	1	Article 3 Reflect decision on regional options (To incorporate PCG#7 decision)			
			4	1	Article 22 Clarification and codification of the rights to stand for elected positions in TSGs and WGs			
			8		Article 30 & annex F Inclusion of an annex giving guidance on meeting organization			
2006-10-27	17	PCG17_15 r1	10		Article 35 Clarification of voting rights			
2009-05-18	22	PCG22_18	11	5	Changes resulting from the OP ad hoc group on improvements report and conclusions of OP#20. Articles affected: 22 23 31 35 41 52 Annex D plus sundry spelling corrections.			
					PCG22_19	12	2	Rules on eligibility to cast votes at TSG and WG level.
					PCG22_20	13		Bring statement of purpose of 3GPP up to date
					PCG22_23	14		Adding Working Agreements to working procedures
					PCG22_30 r3	15	3	Change of ITU liaison process  Note: PCG meeting #22 approved contribution PCG22_30r1 but this was subsequently revised to r3 after the meeting with the apparent approval of the PCG.