**3GPP TSG-RAN WG3 Meeting #107 *R3-20xxxx***

**Athens, Greece, 24 – 28 February 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.413** | **CR** | **0082** | **rev** | **10** | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **X** |

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| ***Title:*** | Introduction of NR\_IIOT support to TS 38.413 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell, Huawei | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIOT-Core | | | | |  | ***Date:*** | | | 2020-02-14 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Support performance requirements of NR Industrial Internet of Things (NR IIoT). | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | The following enhancements are introduced:   * TSC Assistance Information (TSCAI) * CN Packet Delay Budget * Higher layer duplication for solution #4 (redundant N3 tunnels) | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Some performance requirements of NR IIoT are not achieved. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.2.1.2, 8.2.3.2, 8.2.5.2, 8.4.2.2, 8.4.4.2, 9.3.1.18, 9.3.1.28, 9.3.1.80, 9.3.1.x (new), 9.3.1.x1 (new), 9.3.1.y (new), 9.3.1.z1 (new), 9.3.1.z2 (new), 9.3.4.1, 9.3.4.2, 9.3.4.3, 9.3.4.4, 9.3.4.6, 9.3.4.7, 9.3.4.8, 9.3.4.9, 9.3.4.11 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | rev6: Includes agreements from RAN3#105 (R3-194778)  rev7: Includes agreements from RAN3#105bis (R3-196232)  rev8: Resubmission to RAN3#106 (no changes)  rev9: Includes agreements from RAN3#106 (R3-197700, R3-197760)  rev10: Rebaseline to TS 38.413 v16.0.0, *Redundant QoS Flow Information* IE renamed to *Redundant QoS Flow Indicator* IE. | | | | | | | | |

*Beginning of Text Proposal for TS 38.413*

### 8.2.1 PDU Session Resource Setup

#### 8.2.1.1 General

The purpose of the PDU Session Resource Setup procedure is to assign resources on Uu and NG-U for one or several PDU sessions and the corresponding QoS flows, and to setup corresponding DRBs for a given UE. The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: PDU session resource setup: successful operation

The AMF initiates the procedure by sending a PDU SESSION RESOURCE SETUP REQUEST message to the NG-RAN node.

The PDU SESSION RESOURCE SETUP REQUEST message shall contain the information required by the NG-RAN node to setup the PDU session related NG-RAN configuration consisting of at least one PDU session resource and include each PDU session resource to setup in the *PDU Session Resource Setup Request List* IE.

Upon reception of the PDU SESSION RESOURCE SETUP REQUEST message, if resources are available for the requested configuration, the NG-RAN node shall execute the requested NG-RAN configuration and allocate associated resources over NG and over Uu for each PDU session listed in the *PDU Session Resource Setup Request List* IE.

If the *RAN Paging Priority* IE is included in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

For each requested PDU session, if resources are available for the requested configuration, the NG-RAN node shall establish at least one DRB and associate each accepted QoS flow of the PDU session to a DRB established.

For each PDU session successfully established the NG-RAN node shall pass to the UE the *PDU Session* *NAS-PDU* IE, if included, and the value contained in the *PDU Session ID* IE received for the PDU session. The NG-RAN node shall not send to the UE the PDU Session NAS PDUs associated to the failed PDU sessions.

If the *NAS-PDU* IE is included in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall pass it to the UE.

For each PDU session the NG-RAN node shall store the *UL NG-U UP TNL Information* IE included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message and use it as the uplink termination point for the user plane data for this PDU session.

For each PDU session, if the *Additional UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node may allocate for this split PDU session resources for an additional NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Setup Request List* IE and it shall indicate these QoS flows in the *Additional DL QoS Flow per TNL Information* IE in the *PDU Session Resource Setup Response Transfer* IE. In case the *Additional DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional UL NG-U UP TNL information as available again.

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message and the *Common Network Instance* IE is not present, the NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [9].

For each PDU session, if the *Common Network Instance* IE is included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [9].

For each PDU session, if the *Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall, if supported, use it as the uplink termination point for the user plane data for this PDU session for the redundant transmission and it shall include the *Redundant QoS Flow per TNL Information* IE in the *PDU Session Resource Setup Response Transfer* IE as described in TS 23.501 [9].

For each PDU session, if the *Additional Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node may allocate for this split PDU session resources for an additional redundant NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Setup Request List* IE and it shall indicate these QoS flows in the *Additional Redundant DL QoS Flow per TNL Information* IE in the *PDU Session Resource Setup Response Transfer* IE. In case the *Additional* *Redundant DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional Redundant UL NG-U UP TNL information as available again.

For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall, if supported, use it when selecting transport network resource for the redundant transmission as specified in TS 23.501 [9].

For each PDU session, if the *TSC Traffic Characteristics* IE is included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall, if supported, store it and use it as specified in TS 23.501 [9].

For each PDU session, if the *PDU Session Type* IE included in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message is set to "ethernet" or "unstructured", the NG-RAN node shall not perform header compression for the concerned PDU session.

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message, and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "required", then the NG-RAN node shall perform user plane integrity protection or ciphering, respectively, for the concerned PDU session. If the NG-RAN node cannot perform the user plane integrity protection or ciphering, it shall reject the setup of the PDU session resources with an appropriate cause value.

If the NG-RAN node is an ng-eNB, it shall reject all PDU sessions for which the *Integrity Protection Indication* IE is set to "required".

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message, and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "preferred", then the NG-RAN node should, if supported, perform user plane integrity protection or ciphering, respectively, for the concerned PDU session and shall notify whether it performed the user plane integrity protection or ciphering by including the *Integrity Protection Result* IE or *Confidentiality Protection Result* IE, respectively, in the *PDU Session Resource Setup Response Transfer* IE of the PDU SESSION RESOURCE SETUP RESPONSE message.

For each PDU session for which the *Maximum Integrity Protected Data Rate Downlink* IE or the *Maximum Integrity Protected Data Rate Uplink* IE are included in the *Security Indication* IE in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall store the respective information and, if integrity protection is to be performed for the PDU session, it shall enforce the traffic limits corresponding to the received values, for the concerned PDU session and concerned UE, as specified in TS 23.501 [9].

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message:

- if the *Integrity Protection Indication* IE is set to "not needed", then the NG-RAN node shall not perform user plane integrity protection for the concerned PDU session;

-if the *Confidentiality Protection Indication* IE is set to "not needed", then the NG-RAN node shall not perform user plane ciphering for the concerned PDU session.

For each PDU session for which the *PDU Session Aggregate Maximum Bit Rate* IE is included in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall store the received value in the UE context and use it when enforcing traffic policing for Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

For each PDU session in the PDU SESSION RESOURCE SETUP REQUEST message, if the *Additional QoS* *Flow Information* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resource Setup Request Transfer* IE of the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node may consider it for the DRB allocation process. It is up to NG-RAN node implementation to decide whether and how to use it.

For each QoS flow which has been successfully established, the NG-RAN node shall store the *Redundant QoS* *Flow Indicator* IE if included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message and consider it for the redundant transmission as specified in TS 23.501 [9].

For each QoS flow requested to be setup the NG-RAN node shall take into account the received *QoS Flow Level QoS Parameters* IE. For each QoS flow the NG-RAN node shall establish or modify the resources according to the values of the *Allocation and Retention Priority* IE (priority level and pre-emption indicators) and the resource situation as follows:

- The NG-RAN node shall consider the priority level of the requested QoS flow, when deciding on the resource allocation.

- The priority levels and the pre-emption indicators may (individually or in combination) be used to determine whether the QoS flow setup has to be performed unconditionally and immediately. If the requested QoS flow is marked as "may trigger pre-emption" and the resource situation requires so, the NG-RAN node may trigger the pre-emption procedure which may then cause the forced release of a lower priority QoS flow which is marked as "pre-emptable". Whilst the process and the extent of the pre-emption procedure are operator-dependent, the pre-emption indicators shall be treated as follows:

1. The values of the last received *Pre-emption Vulnerability* IE and *Priority Level* IE shall prevail.

2. If the *Pre-emption Capability* IE is set to "may trigger pre-emption", then this allocation request may trigger the pre-emption procedure.

3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.

4. If the *Pre-emption Vulnerability* IE is set to "pre-emptable", then this QoS flow shall be included in the pre-emption process.

5. If the *Pre-emption Vulnerability* IE is set to "not pre-emptable", then this QoS flow shall not be included in the pre-emption process.

- The NG-RAN node pre-emption process shall keep the following rules:

1. The NG-RAN node shall only pre‑empt QoS flows with lower priority, in ascending order of priority.

2. The pre-emption may be done for QoS flows belonging to the same UE or to other UEs.

For each QoS flow which has been successfully established, the NG-RAN node shall store the mapped E-RAB ID if included in the *PDU Session Resource Setup Request Transfer* IE contained in the PDU SESSION RESOURCE SETUP REQUEST message and use it as specified in TS 38.300 [8].

The NG-RAN node shall report to the AMF in the PDU SESSION RESOURCE SETUP RESPONSE message the result for each PDU session resource requested to be setup:

- For each PDU session resource successfully setup, the *PDU Session Resource Setup Response Transfer* IE shall be included containing:

1. The NG-U UP transport layer information to be used for the PDU session and associated list of QoS flows which have been successfully established, in the *QoS Flow per TNL Information* IE.

2. The list of QoS flows which failed to be established, if any, in the *QoS Flow Failed to Setup List* IE. When the NG-RAN node reports unsuccessful establishment of a QoS flow, the cause value should be precise enough to enable the SMF to know the reason for the unsuccessful establishment.

- For each PDU session resource which failed to be setup, the *PDU Session Resource Setup Unsuccessful Transfer* IE shall be included containing a cause value that should be precise enough to enable the SMF to know the reason for the unsuccessful establishment.

Upon reception of the PDU SESSION RESOURCE SETUP RESPONSE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *PDU Session Resource Setup Response Transfer* IE or *PDU Session Resource Setup Unsuccessful Transfer* IE to the SMF associated with the concerned PDU session.

The *UE Aggregate Maximum Bit Rate* IE should be sent to the NG-RAN node if the AMF has not sent it previously. If it is included in the PDU SESSION RESOURCE SETUP REQUEST message, the NG-RAN node shall store the UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

**Interactions with Handover Preparation procedure:**

If a handover becomes necessary during the PDU Session Resource Setup procedure, the NG-RAN node may interrupt the ongoing PDU Session Resource Setup procedure and initiate the Handover Preparation procedure as follows:

1. The NG-RAN node shall send the PDU SESSION RESOURCE SETUP RESPONSE message in which the NG-RAN node shall indicate, if necessary, all the PDU session resources which failed to be setup with an appropriate cause value, e.g. "NG intra-system handover triggered", "NG inter-system handover triggered" or "Xn handover triggered".

2. The NG-RAN node shall trigger the handover procedure.

*Next Change*

### 8.2.3 PDU Session Resource Modify

#### 8.2.3.1 General

The purpose of the PDU Session Resource Modify procedure is to enable configuration modifications of already established PDU session(s) for a given UE. It is also to enable the setup, modification and release of the QoS flow for already established PDU session(s). The procedure uses UE-associated signalling.

#### 8.2.3.2 Successful Operation



Figure 8.2.3.2-1: PDU session resource modify: successful operation

The AMF initiates the procedure by sending a PDU SESSION RESOURCE MODIFY REQUEST message to the NG-RAN node.

The PDU SESSION RESOURCE MODIFY REQUEST message shall contain the information required by the NG-RAN node, which may trigger the NG-RAN configuration modification for the existing PDU sessions listed in the *PDU Session Resource Modify Request List* IE.

Upon reception of the PDU SESSION RESOURCE MODIFY REQUEST message, if the NG-RAN configuration is triggered to be modified and if resources are available for the modified NG-RAN configuration, the NG-RAN node shall execute the configuration modification for the requested PDU session.

If the *RAN Paging Priority* IE is included in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

For each PDU session, if the *S-NSSAI* IE is included in the *PDU Session Resource Modify Request Item* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall replace the previously provided S-NSSAI by the received S-NSSAI for the concerned PDU session and use it as specified in TS 23.502 [10].

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message and the *Common Network Instance* IE is not present, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9].

For each PDU session, if the *Common Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it as specified in TS 23.501 [9].

For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, use it for the redundant transmission as specified in TS 23.501 [9].

For each PDU session, if the *TSC Traffic Characteristics* IE is included in the *PDU Session Resource Modify Request Transfer* IE contained in the PDU SESSION RESOURCE MODIFY REQUEST message, the NG-RAN node shall, if supported, store it and use it as specified in TS 23.501 [9].

For each PDU session included in the *PDU Session Resource Modify Request List* IE:

- For each QoS flow included in the *QoS Flow Add or Modify Request List* IE, based on the *QoS Flow Level QoS Parameters* IE, the NG-RAN node may establish, modify or release the DRB configuration and may change allocation of resources on NG or Uu accordingly. The NG-RAN node shall associate each QoS flow accepted to setup or modify with a DRB of the PDU session. The associated DRB for the QoS flow accepted to modify may not change.

- For each QoS flow, if the *Redundant QoS Flow Indicator* IE is included, the NG-RAN node shall, if supported, store it and consider it for the redundant transmission as specified in TS 23.501 [9].

Editor’s note: FFS whether it is needed to introduce explicit redundant release indicator.

Editor’s note: FFS whether redundancy characteristic of a QoS/PDU Session can be changed.

- For each QoS flow included in the *QoS Flow to Release List* IE, the NG-RAN node shall de-associate the QoS flow with the previously associated DRB.

- The NG-RAN node shall pass the *NAS-PDU* IE received for the PDU session to the UE when modifying the PDU session configuration. The NG-RAN node does not send the NAS PDUs associated to the failed PDU sessions to the UE.

- The NG-RAN node may change allocation of resources on NG according to the requested target configuration.

- If the *PDU Session Aggregate Maximum Bit Rate* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall store and use the received PDU Session Aggregate Maximum Bit Rate value when enforcing traffic policing for Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

- If the *UL NG-U UP TNL Modify List* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall update the transport layer information for the uplink data accordingly for the concerned transport bearers identified by the *DL NG-U UP TNL Information* IE included in the *PDU Session Resource Modify Request Transfer* IE for the concerned PDU session.

- If the *Additional UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for an additional NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall indicate these QoS flows in the *Additional DL QoS Flow per TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE. In case the *Additional DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional UL NG-U UP TNL information as available again.

- In case more than one NG-U transport bearers have been set up for the PDU session, if all the QoS flows associated to one existing NG-U transport bearer are included in the *QoS Flow to Release List* IE in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node and 5GC shall consider that the concerned NG-U transport bearer is removed for the PDU session, and both NG-RAN node and 5GC shall therefore consider the related NG-U UP TNL information as available again.

- If the *Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node shall update the transport layer information for the uplink data accordingly for the concerned transport bearer identified by the *Redundant DL NG-U UP TNL Information* IE included in the *PDU Session Resource Modify Request Transfer* IE for the concerned PDU session.

- If the *Additional Redundant UL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Request Transfer* IE, the NG-RAN node may allocate resources for an additional redundant NG-U transport bearer for some or all of the QoS flows present in the *QoS Flow Add or Modify Request List* IE and it shall indicate these QoS flows in the *Additional Redundant DL QoS Flow per TNL Information* IE in the *PDU Session Resource Modify Response Transfer* IE. In case the *Additional Redundant DL QoS Flow per TNL Information* IE is not included the SMF shall consider the proposed additional Redundant UL NG-U UP TNL information as available again.

The NG-RAN node shall report to the AMF, in the PDU SESSION RESOURCE MODIFY RESPONSE message, the result for each PDU session requested to be modified listed in the PDU SESSION RESOURCE MODIFY REQUEST message:

- For each PDU session which is successfully modified, the *PDU Session Resource Modify Response Transfer* IE shall be included containing:

1. The list of QoS flows which have been successfully setup or modified, if any, in the *QoS Flow Add or Modify Response List* IE in case the PDU Session Resource Modify procedure is triggered by QoS flow setup or modification.

2. The list of QoS flows which have failed to be setup or modified, if any, in the *QoS Flow Failed to Add or Modify List* IE in case the PDU Session Resource Modify procedure is triggered by QoS flow setup or modification.

- For each PDU session which failed to be modified, the *PDU Session Resource Modify Unsuccessful Transfer* IE shall be included containing the failure cause.

- For each PDU session, if the *DL NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall be considered by the SMF as the new DL transport layer address for the PDU session. The NG-RAN also may indicate the mapping between each new DL transport layer address and the corresponding UL transport layer address assigned by the 5GC.

- For each PDU session, if the *Additional NG-U UP TNL Information* IE is included in the *PDU Session Resource Modify Response Transfer* IE in the PDU SESSION RESOURCE MODIFY RESPONSE message, it shall be considered by the SMF as the new DL transport layer address(es) for the PDU session. The NG-RAN also may indicate the mapping between each new DL transport layer address and the corresponding UL transport layer address assigned by the 5GC.

Upon reception of the PDU SESSION RESOURCE MODIFY RESPONSE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *PDU Session Resource Modify Response Transfer* IE or *PDU Session Resource Modify Unsuccessful Transfer* IE to each SMF associated with the concerned PDU session.

The NG-RAN node shall, if supported, report in the PDU SESSION RESOURCE MODIFY RESPONSE message location information of the UE in the *User Location Information* IE.

For a PDU session or a QoS flow which failed to be modified, the NG-RAN node shall fall back to the configuration of the PDU session or the QoS flow as it was configured prior to the reception of the PDU SESSION RESOURCE MODIFY REQUEST message.

Upon reception of the PDU SESSION RESOURCE MODIFY REQUEST message to setup a QoS flow for IMS voice, if the NG-RAN node is not able to support IMS voice, the NG-RAN node shall initiate EPS fallback or RAT fallback for IMS voice procedure as specified in TS 23.501 [9] and report unsuccessful establishment of the QoS flow in the *PDU Session Resource Modify Response Transfer* IE or in the *PDU Session Resource Modify Unsuccessful Transfer* IE with cause value "IMS voice EPS fallback or RAT fallback triggered".

If the *User Location Information* IE is included in the PDU SESSION RESOURCE MODIFY RESPONSE message, the AMF shall handle this information as specified in TS 23.501 [9].

**Interactions with Handover Preparation procedure:**

If a handover becomes necessary during the PDU Session Resource Modify procedure, the NG-RAN node may interrupt the ongoing PDU Session Resource Modify procedure and initiate the Handover Preparation procedure as follows:

1. The NG-RAN node shall send the PDU SESSION RESOURCE MODIFY RESPONSE message in which the NG-RAN node shall indicate, if necessary, all the PDU sessions failed with an appropriate cause value, e.g. "NG intra-system handover triggered", "NG inter-system handover triggered" or "Xn handover triggered".

2. The NG-RAN node shall trigger the handover procedure.

*Next Change*

### 8.2.5 PDU Session Resource Modify Indication

#### 8.2.5.1 General

The purpose of the PDU Session Resource Modify Indication procedure is for the NG-RAN node to request modification of the established PDU session(s). The procedure uses UE-associated signalling.

#### 8.2.5.2 Successful Operation



Figure 8.2.5.2-1: PDU session resource modify indication: successful operation

The NG-RAN node initiates the procedure by sending a PDU SESSION RESOURCE MODIFY INDICATION message. Upon reception of the PDU SESSION RESOURCE MODIFY INDICATION message, the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transparently transfer the *PDU Session Resource Modify Indication Transfer* IE to the SMF associated with the concerned PDU session.

For each PDU session for which the *DL QoS Flow per TNL Information* IE is included in the *PDU Session Resource Modify Indication Transfer* IE in the PDU SESSION RESOURCE MODIFY INDICATION message, the SMF shall consider the included DL transport layer address as the new DL transport layer address for the included associated QoS flows and it may provide the associated UL transport layer address in the *UL NG-U UP TNL Information* IE in the *PDU Session Resource Modify Confirm Transfer* IE in the PDU SESSION RESOURCE MODIFY CONFIRM message.

For each PDU session for which the *Additional DL QoS Flow per TNL Information* IE is included in the *PDU Session Resource Modify Indication Transfer* IE in the PDU SESSION RESOURCE MODIFY INDICATION message, the SMF shall consider for this split PDU session each included DL transport layer address(es) as the new downlink termination point(s) for the included associated QoS flows and it may provide the associated UL transport layer address(s) in the *Additional NG-U UP TNL Information* IE in the *PDU Session Resource Modify Confirm Transfer* IE in the PDU SESSION RESOURCE MODIFY CONFIRM message.

For each PDU session for which the *Redundant DL QoS Flow per TNL Information* IE is included in the *PDU Session Resource Modify Indication Transfer* IE in the PDU SESSION RESOURCE MODIFY INDICATION message, the SMF shall consider the included DL transport layer address as the new DL transport layer address for the included associated QoS flows for redundant transmission and it may provide the associated UL transport layer address in the *Redundant* *UL NG-U UP TNL Information* IE in the *PDU Session Resource Modify Confirm Transfer* IE in the PDU SESSION RESOURCE MODIFY CONFIRM message.

For each PDU session for which the *Additional Redundant DL QoS Flow per TNL Information* IE is included in the *PDU Session Resource Modify Indication Transfer* IE in the PDU SESSION RESOURCE MODIFY INDICATION message, the SMF shall consider for this split PDU session each included DL transport layer address(es) as the new downlink termination point(s) for the included associated QoS flows and it may provide the associated UL transport layer address(s) in the *Additional Redundant NG-U UP TNL Information* IE in the *PDU Session Resource Modify Confirm Transfer* IE in the PDU SESSION RESOURCE MODIFY CONFIRM message for the redundant transmission.

If the *Security Result* IE is included in the *PDU Session Resource Modify Indication Transfer* IE in the PDU SESSION RESOURCE MODIFY INDICATION message, it may be considered by the SMF as the new security status of the PDU session.

For each PDU session for which the *Secondary RAT Usage Information* IE is included in the *PDU Session Resource Modify Indication Transfer* IE, the SMF shall handle this information as specified in TS 23.502 [10].

The AMF shall report to the NG-RAN node in the PDU SESSION MODIFY RESOURCE CONFIRM message the result for each PDU session listed in PDU SESSION RESOURCE MODIFY INDICATION message:

- For each PDU session which is successfully modified, the *PDU Session Resource Modify Confirm Transfer* IE shall be included containing:

1. The list of QoS flows which have been successfully modified in the *QoS Flow Modify Confirm List* IE.

2. The list of QoS flows which have failed to be modified, if any, in the *QoS Flow Failed to Modify List* IE.

- In case more than one NG-U transport bearers have been set up for the PDU session, if no QoS flow is associated to one existing NG-U transport bearer after the modification, the NG-RAN node and 5GC shall consider that the concerned NG-U transport bearer is removed for the PDU session, and both NG-RAN node and 5GC shall therefore consider the related NG-U UP TNL information as available again.

- For each PDU session which failed to be modified, the *PDU Session Resource Modify Failed Transfer* IE shall be included to report the failure cause.

Upon reception of the *PDU Session* *Resource Modify Confirm Transfer* IE for each PDU session listed in the PDU SESSION RESOURCE MODIFY CONFIRM message:

- If the *QoS Flow Failed To Modify List* IE is included, the NG-RAN node shall either

1. de-associate the corresponding DRB for the concerned QoS flow, or

2. keep the previous transport layer information before sending the PDU SESSION RESOURCE MODIFY INDICATION unchanged for the concerned QoS flow.

Upon reception of the *PDU Session* *Resource Modify Failed Transfer* IE for each PDU session listed in the PDU SESSION RESOURCE MODIFY CONFIRM message, the NG-RAN node shall either:

1. release all corresponding NG-RAN configuration and resources for the concerned PDU session, or

2. keep the previous transport layer information before sending the PDU SESSION RESOURCE MODIFY INDICATION unchanged for the concerned PDU session.

*Next Change*

### 8.4.2 Handover Resource Allocation

#### 8.4.2.1 General

The purpose of the Handover Resource Allocation procedure is to reserve resources at the target NG-RAN node for the handover of a UE.

#### 8.4.2.2 Successful Operation



Figure 8.4.2.2-1: Handover resource allocation: successful operation

The AMF initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node.

If the *Masked IMEISV* IE is contained in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

Upon receipt of the HANDOVER REQUEST message the target NG-RAN node shall

- attempt to execute the requested PDU session configuration and associated security;

- store the received UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9];

- store the received Mobility Restriction List in the UE context;

- store the received UE Security Capabilities in the UE context;

- store the received Security Context in the UE context and take it into use as defined in TS 33.501 [13].

Upon reception of the *UE History Information* IE, which is included within the *Source to Target Transparent Container* IE of the HANDOVER REQUEST message, the target NG-RAN node shall collect the information defined as mandatory in the *UE History Information* IE and shall, if supported, collect the information defined as optional in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

Upon receiving the *PDU Session Resource Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall behave the same as defined in the PDU Session Resource Setup procedure. The target NG-RAN node shall report to the AMF in the HANDOVER REQUEST ACKNOWLEDGE message the result for each PDU session resource requested to be setup. In particular, for each PDU session resource successfully setup, it shall include the *Handover Request Acknowledge Transfer* IE containing the following information:

- The list of QoS flows which have been successfully established in the *QoS Flow Setup Response List* IE.

- The *Data Forwarding Accepted* IE if the data forwarding for the QoS flow is accepted.

- The list of QoS flows which have failed to be established, if any, in the *QoS Flow Failed to Setup List* IE.

- The UP transport layer information to be used for the PDU session.

- The security result associated to the PDU session.

- The redundant UP transport layer information to be used for the redundant transmission for the PDU session.

For each PDU session resource which failed to be setup, the *Handover Resource Allocation Unsuccessful Transfer* IE shall be included in the HANDOVER REQUEST ACKNOWLEDGE message containing a cause value that should be precise enough to enable the SMF to know the reason for the unsuccessful establishment.

Upon reception of the HANDOVER REQUEST ACKNOWLEDGE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *Handover Request Acknowledge Transfer* IE or *Handover Resource Allocation Unsuccessful Transfer* IE to the SMF associated with the concerned PDU session.

If the HANDOVER REQUEST message contains the *Data Forwarding Not Possible* IE associated with a given PDU session within the *Handover Request Transfer* IE set to "data forwarding not possible", the target NG-RAN node may not include the *DL Forwarding UP TNL Information* IE and for intra-system handover the *Data Forwarding Response DRB List* IE within the *Handover Request Acknowledge Transfer* IE in the HANDOVER REQUEST ACKNOWLEDGE message for that PDU session.

In case of intra-system handover, if the target NG-RAN node accepts the downlink data forwarding for at least one QoS flow for which the *DL Forwarding* IE is set to "DL forwarding proposed", it may include the *DL Forwarding UP TNL Information* IE in the *Handover Request Acknowledge Transfer* IE as forwarding tunnel for the QoS flows listed in the *QoS Flow Setup Response List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, if the target NG-RAN node accepts the uplink data forwarding for at least one QoS flow for which the *UL Forwarding* IE is set to "UL forwarding proposed", it may include the *UL Forwarding UP TNL Information* IE in the *Handover Request Acknowledge Transfer* IE for the PDU session within the *PDU Session Resource Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, for each PDU session for which the *Additional DL UP TNL Information for HO List* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall consider the included *Additional DL NG-U UP TNL Information* IE as the downlink termination point for the associated flows indicated in the *Additional QoS Flow Setup Response List* IE for this PDU session split in different tunnels and shall consider the *Additional DL Forwarding UP TNL Information* IE, if included, as the forwarding tunnel associated to these QoS flows.

In case of intra-system handover, for each PDU session for which the *Additional UL Forwarding UP TNL Information* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall consider it as the termination points for the uplink forwarding tunnels for this PDU session split in different tunnels.

In case of intra-system handover, if the target NG-RAN node accepts the data forwarding for a successfully configured DRB, the target NG-RAN node may include the *DL Forwarding UP TNL Information* IE for the DRB within the *Data Forwarding Response DRB List* IE within *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

If the HANDOVER REQUEST ACKNOWLEDGE message contains the *UL Forwarding UP TNL Information* IE for a given DRB in the *Data Forwarding Response DRB List* IE within the *Handover Request Acknowledge Transfer* IE, it indicates the target NG-RAN node has requested the forwarding of uplink data for the DRB.

In case of inter-system handover from E-UTRAN, if the *PDU Session Resource Setup Request Transfer* IE contains the *Direct Forwarding Path Availability* IE set to "direct path available", the target NG-RAN node shall, if supported, and if it accepts downlink data forwarding for the QoS flows mapped to an E-RAB of an admitted PDU session, include the *DL Forwarding UP TNL Information* IE in the *Data Forwarding Response E-RAB List* IE in the *Handover Request Acknowledge Transfer* IE in the HANDOVER REQUEST ACKNOWLEDGE message for that mapped E-RAB.

In case of inter-system handover from E-UTRAN, the target NG-RAN node includes the *Data Forwarding Accepted* IE for each QoS flow that the *DL Forwarding* IE is set to "DL forwarding proposed" for the corresponding E-RAB in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE and that the target NG-RAN node has admitted the proposed forwarding of downlink data for the QoS flow. If indirect data forwarding is applied for inter-system handover, if the target NG-RAN node accepts the downlink data forwarding for at least one QoS flow of an admitted PDU session it shall include the *DL Forwarding UP TNL Information* IE in the *PDU Session Resource Setup Response Transfer* IE for that PDU session within the *PDU Session Resources Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

The target NG-RAN node shall use the information in the *Mobility Restriction List* IE if present in the HANDOVER REQUEST message to

- determine a target for subsequent mobility action for which the target NG-RAN node provides information about the target of the mobility action towards the UE;

- select a proper SCG during dual connectivity operation;

- assign proper RNA(s) for the UE when moving the UE to RRC\_INACTIVE state.

If the *Mobility Restriction List* IE is not contained in the HANDOVER REQUEST message, the target NG-RAN node shall consider that no roaming and no access restriction apply to the UE. The target NG-RAN node shall also consider that no roaming and no access restriction apply to the UE when:

- one of the QoS flows includes a particular ARP value (TS 23.501 [9]).

If the *Trace Activation* IE is included in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [11].

If the *Location Reporting Request Type* IE is included in the HANDOVER REQUEST message, the target NG-RAN node should perform the requested location reporting functionality for the UE as described in subclause 8.12.

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the HANDOVER REQUEST message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *New Security Context Indicator* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall use the information as specified in TS 33.501 [13].

If the *NASC* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall use it towards the UE as specified in TS 33.501 [13].

If the *RRC Inactive Transition Report Request* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context.

If the *Redirection for Voice EPS Fallback* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store it and use it in a subsequent decision of EPS fallback for voice as specified in TS 23.502 [10].

After all necessary resources for the admitted PDU session resources have been allocated, the target NG-RAN node shall generate the HANDOVER REQUEST ACKNOWLEDGE message.

**Interactions with RRC Inactive Transition Report procedure:**

If the *RRC Inactive Transition Report Request* IE is included in the HANDOVER REQUEST message and set to "subsequent state transition report", the NG-RAN node shall, if supported, send the RRC INACTIVE TRANSITION REPORT message to the AMF to report the RRC state of the UE when the UE enters or leaves RRC\_INACTIVE state.

*Next Change*

### 8.4.4 Path Switch Request

#### 8.4.4.1 General

The purpose of the Path Switch Request procedure is to establish a UE associated signalling connection to the 5GC and, if applicable, to request the switch of the downlink termination point of the NG-U transport bearer towards a new termination point.

#### 8.4.4.2 Successful Operation



Figure 8.4.4.2-1: Path switch request: successful operation

The NG-RAN node initiates the procedure by sending the PATH SWITCH REQUEST message to the AMF. Upon reception of the PATH SWITCH REQUEST message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transparently transfer the *Path Switch Request Transfer* IE to the SMF associated with the concerned PDU session.

After all necessary updates including the UP path switch have been successfully completed in the 5GC for at least one of the PDU session resources included in the PATH SWITCH REQUEST, the AMF shall send the PATH SWITCH REQUEST ACKNOWLEDGE message to the NG-RAN node and the procedure ends.

The list of accepted QoS flows shall be included in the PATH SWITCH REQUEST message within the *Path Switch Request Transfer* IE. The SMF shall handle this information as specified in TS 23.502 [10].

For each PDU session for which the *Additional DL QoS Flow per TNL Information* IE is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF may use each included UP transport layer information as the downlink termination point for the included associated QoS flows for this PDU session split in different tunnels.

The list of PDU sessions which failed to be setup, if any, shall be included in the PATH SWITCH REQUEST message within the *Path Switch Request Setup Failed Transfer* IE. The AMF shall handle this information as specified in TS 23.502 [10].

For each PDU session for which the *User Plane Security Information* IE is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF shall behave as specified in TS 33.501 [13] and may send back the *Security Indication* IE within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message.

For each PDU session for which the *DL NG-U TNL Information Reused* IE set to "true" is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF shall, if supported, consider that the DL TNL information contained in the *DL NG-U UP TNL Information* IE has been reused.

For each PDU session for which the *Additional Redundant DL QoS Flow per TNL Information* IE is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF may use each included UP transport layer information as the downlink termination point for the included associated QoS flows for this PDU session split in different tunnels for the redundant transmission.

For each PDU session for which the *Redundant DL NG-U TNL Information Reused* IE is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF shall, if supported, consider the included DL transport layer address as the DL transport layer address for the redundant transmission as specified in TS 23.501 [9].

If the *Security Indication* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall behave as specified in TS 33.501 [13].

If the *UL NG-U UP TNL Information* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall store this information and use it as the uplink termination point for the user plane data for this PDU session.

If the *Additional NG-U* *UP TNL Information* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall store this information and use the included *UL NG-U UP TNL Information* IE(s) as the uplink termination point(s) of the user plane data for this PDU session split in different tunnel.

If the *Redundant UL NG-U UP TNL Information* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall store this information and use it as the uplink termination point for the user plane data for the redundant transmission for this PDU session as specified in TS 23.501 [9].

If the *Additional Redundant NG-U* *UP TNL Information* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall store this information and use the included *UL NG-U UP TNL Information* IE(s) as the uplink termination point(s) of the user plane data for this PDU session split in different tunnel.

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *RRC Inactive Transition Report Request* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store this information in the UE context.

If the *New Security Context Indicator* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall use the information as specified in TS 33.501 [13].

Upon reception of the PATH SWITCH REQUEST ACKNOWLEDGE message the NG-RAN node shall store the received *Security Context* IE in the UE context and the NG-RAN node shall use it as specified in TS 33.501 [13].

If the *UE Security Capabilities* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall handle it accordingly (TS 33.501 [13]).

If the *Redirection for Voice EPS Fallback* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store it and use it in a subsequent decision of EPS fallback for voice as specified in TS 23.502 [10].

If the *PDU Session Resource Released List* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall release the corresponding QoS flows and regard the PDU session(s) indicated in the *PDU Session Resource Released List* IE as being released. The appropriate cause value for each PDU session released is included in the *Path Switch Request Unsuccessful Transfer* IE contained in the PATH SWITCH REQUEST ACKNOWLEDGE message.

**Interactions with RRC Inactive Transition Report procedure:**

If the *RRC Inactive Transition Report Request* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message and set to "single RRC connected state report" and the UE is in RRC\_CONNECTED state, the NG-RAN node shall, if supported, send one RRC INACTIVE TRANSITION REPORT message to the AMF to report the RRC state of the UE.

If the *RRC Inactive Transition Report Request* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message and set to "single RRC connected state report" and the UE is in RRC\_INACTIVE state, the NG-RAN node shall, if supported, send to the AMF one RRC INACTIVE TRANSITION REPORT message plus one subsequent RRC INACTIVE TRANSITION REPORT message when the RRC state transitions to RRC\_CONNECTED state.

If the *RRC Inactive Transition Report Request* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message and set to "subsequent state transition report", the NG-RAN node shall, if supported, send one RRC INACTIVE TRANSITION REPORT message to the AMF to report the RRC state of the UE and subsequent RRC INACTIVE TRANSITION REPORT messages to report the RRC state of the UE when the UE enters or leaves RRC\_INACTIVE state.

*Next Change*

#### 9.3.1.18 Dynamic 5QI Descriptor

This IE indicates the QoS Characteristics for a Non-standardised or not pre-configured 5QI for downlink and uplink.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Priority Level | M |  | 9.3.1.84 | Priority Level is specified in TS 23.501 [9]. | - |  |
| Packet Delay Budget | M |  | 9.3.1.80 | Packet Delay Budget is specified in TS 23.501 [9]. | - |  |
| Packet Error Rate | M |  | 9.3.1.81 | Packet Error Rate is specified in TS 23.501 [9]. | - |  |
| 5QI | O |  | INTEGER (0..255, …) | Indicates the dynamically assigned 5QI as specified in TS 23.501 [9]. | - |  |
| Delay Critical | C-ifGBRflow |  | ENUMERATED (delay critical, non-delay critical, …) | Indicates whether the GBR QoS flow is delay critical as specified in TS 23.501 [9]. | - |  |
| Averaging Window | C-ifGBRflow |  | 9.3.1.82 | Averaging Window is specified in TS 23.501 [9]. | - |  |
| Maximum Data Burst Volume | O |  | 9.3.1.83 | Maximum Data Burst Volume is specified in TS 23.501 [9]. This IE shall be included if the *Delay Critical* IE is set to “delay critical” and is ignored otherwise. | - |  |
| CN Packet Delay Budget | O |  | Packet Delay Budget  9.3.1.80 | Core Network Packet Delay Budget is specified in TS 23.501 [9].  This IE may be present in case of GBR QoS flows and is ignored otherwise. | YES | ignore |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifGBRflow | This IE shall be present if the *GBR QoS Flow Information* IE is present in the *QoS Flow Level QoS Parameters* IE. |

*Next Change*

#### 9.3.1.28 Non Dynamic 5QI Descriptor

This IE indicates the QoS Characteristics for a standardized or pre-configured 5QI for downlink and uplink.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| 5QI | M |  | INTEGER (0..255, …) | Indicates the standardized or pre-configured 5QI as specified in TS 23.501 [9]. | - |  |
| Priority Level | O |  | 9.3.1.84 | Priority Level is specified in TS 23.501 [9]. When included, it overrides standardized or pre-configured value. | - |  |
| Averaging Window | O |  | 9.3.1.82 | Averaging Window is specified in TS 23.501 [9]. When included, it overrides standardized or pre-configured value. | - |  |
| Maximum Data Burst Volume | O |  | 9.3.1.83 | Maximum Data Burst Volume is specified in TS 23.501 [9]. When included, it overrides standardized or pre-configured value. | - |  |
| CN Packet Delay Budget | O |  | Packet Delay Budget  9.3.1.80 | Core Network Packet Delay Budget is specified in TS 23.501 [9].  This IE may be present in case of GBR QoS flows and is ignored otherwise. | YES | ignore |

*Next Change*

#### 9.3.1.80 Packet Delay Budget

This IE indicates the Packet Delay Budget for a QoS flow.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Packet Delay Budget | M |  | INTEGER (0..1023, …) | Upper bound value for the delay that a packet may experience expressed in unit of 0.5ms. |
| Fractional Part of PDB | O |  | INTEGER (1..49) | If present, overall packet delay budget is obtained by adding the value of this IE to the Packet Delay Budget. Expressed in units of 0.01 ms. |

*Next Change*

#### 9.3.1.x TSC Traffic Characteristics

This IE provides the traffic characteristics of TSC QoS flows.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| TSC Assistance Information Downlink | O |  | TSC Assistance Information  9.3.1.y |  |
| TSC Assistance Information Uplink | O |  | TSC Assistance Information  9.3.1.y |  |

#### 9.3.1.y TSC Assistance Information

This IE provides the TSC assistance information for a TSC QoS flow in the uplink or downlink (see TS 23.501 [9]).

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Periodicity | M |  | 9.3.1.z1 |  |
| Burst Arrival Time | O |  | 9.3.1.z2 |  |

#### 9.3.1.z1 Periodicity

This IE indicates the Periodicity of the TSC QoS flow as defined in TS 23.501 [9].

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Periodicity | M |  | INTEGER (0..640000, …) | Periodicity expressed in units of 1 us. |

#### 9.3.1.z2 Burst Arrival Time

This IE indicates the Burst Arrival Time of the TSC QoS flow as defined in TS 23.501 [9].

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Burst Arrival Time | M |  | OCTET STRING | Encoded in the same format as the *ReferenceTime* IE as defined in TS 38.331 [18]. The value is truncated to 1 us granularity. |

#### 9.3.1.x1 Redundant QoS Flow Indicator

This IE provides the redundant QoS flow indicator for a QoS flow.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Redundant QoS Flow Indicator | M |  | ENUMERATED (true, false) | This IE indicates that this QoS flow is requested for the redundant transmission, as specified in TS 23.501 [7]. |

*Next Change*

#### 9.3.4.1 PDU Session Resource Setup Request Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| PDU Session Aggregate Maximum Bit Rate | O |  | 9.3.1.102 | This IE shall be present when at least one Non-GBR QoS flow is being setup and is ignored otherwise. | YES | reject |
| UL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs. | YES | reject |
| Additional UL NG-U UP TNL Information | O |  | UP Transport Layer Information List  9.3.2.12 | UPF endpoint of the additional NG-U transport bearer(s), for delivery of UL PDUs for split PDU session. | YES | reject |
| Data Forwarding Not Possible | O |  | 9.3.1.63 | This IE may be present in case of HANDOVER REQUEST message and is ignored otherwise. | YES | reject |
| PDU Session Type | M |  | 9.3.1.52 |  | YES | reject |
| Security Indication | O |  | 9.3.1.27 |  | YES | reject |
| Network Instance | O |  | 9.3.1.113 | This IE is ignored if the *Common Network Instance* IE is included. | YES | reject |
| **QoS Flow Setup Request List** |  | *1* |  |  | YES | reject |
| **>QoS Flow Setup Request Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| >>QoS Flow Level QoS Parameters | M |  | 9.3.1.12 |  | - |  |
| >>E-RAB ID | O |  | 9.3.2.3 |  | - |  |
| >>TSC Traffic Characteristics | O |  | 9.3.1.x | This IE may be present in case of GBR QoS flows and is ignored otherwise. | YES | ignore |
| >>Redundant QoS Flow Indicator | O |  | 9.3.1.x1 | This IE indicates that this QoS flow is requested for the redundant transmission. | YES | ignore |
| Common Network Instance | O |  | 9.3.1.120 |  | YES | ignore |
| Direct Forwarding Path Availability | O |  | 9.3.1.64 | This IE may be present in case of inter-system handover and shall be ignored otherwise. | YES | ignore |
| Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs for the redundant transmission. | YES | ignore |
| Additional Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information List  9.3.2.12 | UPF endpoint of the additional NG-U transport bearer(s), for delivery of redundant UL PDUs for split PDU session. | YES | ignore |
| Redundant Common Network Instance | O |  | Common Network Instance  9.3.1.120 |  | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |

#### 9.3.4.2 PDU Session Resource Setup Response Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| DL QoS Flow per TNL Information | M |  | QoS Flow per TNL Information  9.3.2.8 | NG-RAN node endpoint of the NG-U transport bearer for delivery of DL PDUs, together with associated QoS flows. | - |  |
| Additional DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of DL PDUs for split PDU session, together with associated QoS flows. | - |  |
| Security Result | O |  | 9.3.1.59 |  | - |  |
| QoS Flow Failed to Setup List | O |  | QoS Flow List with Cause  9.3.1.13 |  | - |  |
| Redundant DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information  9.3.2.8 |  | YES | ignore |
| Additional Redundant DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of redundant DL PDUs for split PDU session, together with associated QoS flows. | YES | ignore |

#### 9.3.4.3 PDU Session Resource Modify Request Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| PDU Session Aggregate Maximum Bit Rate | O |  | 9.3.1.102 |  | YES | reject |
| **UL NG-U UP TNL Modify List** |  | *0..1* |  |  | YES | reject |
| **>UL NG-U UP TNL Modify Item** |  | *1..<maxnoofMultiConnectivity>* |  |  | - |  |
| >>UL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs. | - |  |
| >>DL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | Identifies the NG-U transport bearer at the NG-RAN node. | - |  |
| >>Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs for the redundant transmission. | YES | ignore |
| >>Redundant DL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | Identifies the NG-U transport bearer at the NG-RAN node for the redundant transmission. | YES | ignore |
| Network Instance | O |  | 9.3.1.113 | This IE is ignored if the *Common Network Instance* IE is included. | YES | reject |
| **QoS Flow Add or Modify Request List** |  | *0..1* |  |  | YES | reject |
| **>QoS Flow Add or Modify Request Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| >>QoS Flow Level QoS Parameters | O |  | 9.3.1.12 |  | - |  |
| >>E-RAB ID | O |  | 9.3.2.3 |  | - |  |
| >>TSC Traffic Characteristics | O |  | 9.3.1.x | This IE may be present in case of GBR QoS flows and is ignored otherwise. | YES | ignore |
| >>Redundant QoS Flow Indicator | O |  | 9.3.1.x1 | This IE indicates that this QoS flow is requested for the redundant transmission. | YES | ignore |
| QoS Flow to Release List | O |  | QoS Flow List with Cause  9.3.1.13 |  | YES | reject |
| Additional UL NG-U UP TNL Information | O |  | UP Transport Layer Information List  9.3.2.12 | UPF endpoint of the additional NG-U transport bearer(s) proposed for delivery of UL PDUs for split PDU session. | YES | reject |
| Common Network Instance | O |  | 9.3.1.120 |  | YES | ignore |
| Additional Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information List  9.3.2.12 | UPF endpoint of the additional NG-U transport bearer(s) proposed for delivery of redundant UL PDUs for split PDU session. | YES | ignore |
| Redundant Common Network Instance | O |  | Common Network Instance  9.3.1.120 |  | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |
| maxnoofMultiConnectivity | Maximum no. of connectivity allowed for a UE. Value is 4. The current version of the specification supports up to 2 connectivity. |

#### 9.3.4.4 PDU Session Resource Modify Response Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| DL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the NG-U transport bearer, for delivery of DL PDUs. | - |  |
| UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | Identifies the NG-U transport bearer at the 5GC node. | - |  |
| **QoS Flow Add or Modify Response List** |  | *0..1* |  |  | - |  |
| **>QoS Flow Add or Modify Response Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| Additional DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of DL PDUs for split PDU session, together with associated QoS flows. | - |  |
| QoS Flow Failed to Add or Modify List | O |  | QoS Flow List with Cause  9.3.1.13 |  | - |  |
| Additional NG-U UP TNL Information | O |  | UP Transport Layer Information Pair List  9.3.2.11 | NG-RAN node endpoint of the NG-U transport bearer corresponding to the modified UPF endpoint received in the *PDU Session Resource Modify Request Transfer* IE in case of PDU session split. | YES | ignore |
| Redundant DL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the NG-U transport bearer, for delivery of DL PDUs for the redundant transmission. | YES | ignore |
| Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | Identifies the NG-U transport bearer at the 5GC node for the redundant transmission. | YES | ignore |
| Additional Redundant DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of redundant DL PDUs for split PDU session, together with associated QoS flows. | YES | ignore |
| Additional Redundant NG-U UP TNL Information | O |  | UP Transport Layer Information Pair List  9.3.2.11 | NG-RAN node endpoint of the NG-U transport bearer for delivery of redundant DL PDUs corresponding to the modified UPF endpoint received in the *PDU Session Resource Modify Request Transfer* IE in case of PDU session split. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |

*Next Change*

#### 9.3.4.6 PDU Session Resource Modify Indication Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| DL QoS Flow per TNL Information | M |  | QoS Flow per TNL Information  9.3.2.8 | NG-RAN node endpoint of the NG-U transport bearer for delivery of DL PDUs, together with associated QoS flows. | - |  |
| Additional DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of DL PDUs for split PDU session, together with associated QoS flows | - |  |
| Secondary RAT Usage Information | O |  | 9.3.1.114 |  | YES | ignore |
| Security Result | O |  | 9.3.1.59 | Current UP security status | YES | ignore |
| Redundant DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information  9.3.2.8 | NG-RAN node endpoint of the NG-U transport bearer for delivery of DL PDUs for the redundant transmission, together with associated QoS flows. | YES | ignore |
| Additional Redundant DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of Redundant DL PDUs for split PDU session, together with associated QoS flows | YES | ignore |

#### 9.3.4.7 PDU Session Resource Modify Confirm Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| **QoS Flow Modify Confirm List** |  | *1* |  |  | - |  |
| **>QoS Flow Modify Confirm Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| UL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer corresponding to the *DL NG-U UP TNL Information* IE received in the *PDU Session Resource Modify Indication Transfer* IE. | - |  |
| Additional NG-U UP TNL Information | O |  | UP Transport Layer Information Pair List  9.3.2.11 | NG-RAN node endpoint of the NG-U transport bearer indicated in the *PDU Session Resource Modify Indication Transfer* IE and the corresponding UPF endpoint for split PDU session. | - |  |
| QoS Flow Failed to Modify List | O |  | QoS Flow List with Cause  9.3.1.13 |  | - |  |
| Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer identified by the above redundant DL NG-U UP TNL Information IE for the redundant transmission. | YES | ignore |
| Additional Redundant NG-U UP TNL Information | O |  | UP Transport Layer Information Pair List  9.3.2.11 | NG-RAN node endpoint of the NG-U transport bearer for the redundant transmission indicated in the PDU Session Resource Modify Indication Transfer IE and the corresponding UPF endpoint for split PDU session. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |

#### 9.3.4.8 Path Switch Request Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| DL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the NG-U transport bearer, for delivery of DL PDUs. | - |  |
| DL NG-U TNL Information Reused | O |  | ENUMERATED (true, …) | Indicates that DL NG-U TNL Information has been reused. | - |  |
| User Plane Security Information | O |  | 9.3.1.60 |  | - |  |
| **QoS Flow Accepted List** |  | *1* |  | QoS flows associated with the *DL NG-U UP TNL Information* IE. | - |  |
| **>QoS Flow Accepted Item** |  | *1..<maxnoofQoSFlows>* |  |  | - |  |
| >>QoS Flow Identifier | M |  | 9.3.1.51 |  | - |  |
| Additional DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of DL PDUs for split PDU session, together with associated QoS flows. | YES | ignore |
| Redundant DL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the NG-U transport bearer, for delivery of redundant DL PDUs. | YES | ignore |
| Redundant DL NG-U TNL Information Reused | O |  | ENUMERATED (true, …) | Indicates that Redundant DL NG-U TNL Information has been reused. | YES | ignore |
| Additional Redundant DL QoS Flow per TNL Information | O |  | QoS Flow per TNL Information List  9.3.2.1 | NG-RAN node endpoint of the additional NG-U transport bearer(s) for delivery of Redundant DL PDUs for split PDU session, together with associated QoS flows. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |

#### 9.3.4.9 Path Switch Request Acknowledge Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer corresponding to the *DL NG-U UP TNL Information* IE received in the *Path Switch Request Transfer* IE. | - |  |
| Security Indication | O |  | 9.3.1.27 |  | - |  |
| Additional NG-U UP TNL Information | O |  | UP Transport Layer Information Pair List  9.3.2.11 | NG-RAN node endpoint of the NG-U transport bearer indicated in the *Path Switch Request Transfer* IE and the corresponding UPF endpoint for split PDU session. | YES | ignore |
| Redundant UL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | UPF endpoint of the NG-U transport bearer, for delivery of UL PDUs for the redundant transmission. | YES | ignore |
| Additional Redundant NG-U UP TNL Information | O |  | UP Transport Layer Information Pair List  9.3.2.11 | NG-RAN node endpoint of the NG-U transport bearer for the redundant transmission indicated in the *Path Switch Request Transfer* IE and the corresponding UPF endpoint for split PDU session. | YES | ignore |

*Next Change*

#### 9.3.4.11 Handover Request Acknowledge Transfer

This IE is transparent to the AMF.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| DL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the NG-U transport bearer, for delivery of DL PDUs. | - |  |
| DL Forwarding UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | To deliver forwarded DL PDUs. | - |  |
| Security Result | O |  | 9.3.1.59 |  | - |  |
| QoS Flow Setup Response List | M |  | QoS Flow List with Data Forwarding 9.3.2.13 | QoS flows associated with the *DL NG-U UP TNL Information* IE. | - |  |
| QoS Flow Failed to Setup List | O |  | QoS Flow List with Cause  9.3.1.13 |  | - |  |
| Data Forwarding Response DRB List | O |  | 9.3.1.77 |  | - |  |
| **Additional DL UP TNL Information for HO List** |  | *0..1* |  |  | YES | ignore |
| **>Additional DL UP TNL Information for HO Item** |  | *1..<maxnoofMultiConnectivityMinusOne>* |  | Additional DL UP TNL Information for split PDU session, in the same order as the UPF endpoint of the additional NG-U transport bearer(s) received in the *PDU Session Request Setup Transfer* IE of the Handover Request message. | - |  |
| >>Additional DL NG-U UP TNL Information | M |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the additional NG-U transport bearer for delivery of DL PDUs. | - |  |
| >>Additional QoS Flow Setup Response List | M |  | QoS Flow List with Data Forwarding 9.3.2.13 | QoS flows associated with the *Additional* *DL NG-U UP TNL Information* IE. | - |  |
| >>Additional DL Forwarding UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint to deliver forwarded DL PDUs. | - |  |
| >>Additional Redundant DL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the additional NG-U transport bearer for delivery of redundant DL PDUs. | YES | ignore |
| UL Forwarding UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | To deliver forwarded UL PDUs | YES | reject |
| Additional UL Forwarding UP TNL Information | O |  | UP Transport Layer Information List  9.3.2.12 | NG-RAN node endpoint to deliver forwarded UL PDUs for split PDU session. | YES | reject |
| Data Forwarding Response E-RAB List | O |  | 9.3.1.121 |  | YES | ignore |
| Redundant DL NG-U UP TNL Information | O |  | UP Transport Layer Information  9.3.2.2 | NG-RAN node endpoint of the NG-U transport bearer, for delivery of DL PDUs for the redundant transmission. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |
| maxnoofMultiConnectivityMinusOne | Maximum no. of connectivity allowed for a UE minus one. Value is 3. The current version of the specification supports 1. |

*Next Change*

\*\* ASN.1 to be added later \*\*

*End of Text Proposal for TS 38.413*