**3GPP TSG- Meeting #**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
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|  |  | **CR** |  | **rev** |  | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Clarification on *rrc-MaxCapaSegAllowed*[Max-RRC-SegUL] |
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| ***Source to WG:*** |  |
| ***Source to TSG:*** |  |
|  |  |
| ***Work item code:*** |  |  | ***Date:*** |  |
|  |  |  |  |  |
| ***Category:*** |  |  | ***Release:*** | 7 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
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| ***Reason for change:*** | Based on discussion at R2#129, companies tend to agree that for the usage of *rrc-MaxCapaSegAllowed*, if MR-DC/NR capability are enquired separately from the LTE capability, there could be FS-ID inconsistency issue, and that has to be handled by inter-vendor coordination. Considering current spec may be interpreted as FS-ID consistency is mandated even for the usage of *rrc-MaxCapaSegAllowed*, some clarification is needed. |
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| ***Summary of change:*** | Add a NOTE to clarify the FS-ID inconsistency issue for the usage of *rrc-MaxCapaSegAllowed*.**Impact analysis**Impacted 5G architecture options: (NG)EN-DC, NE-DCImpacted functionality: UE capability enquiry and UL RRC message segmentationInter-operability:* If the network is implemented according to the CR and the UE is not, there is no inter-operability issue since the issue implied by the NOTE does not happen and thus no impact to network operation.
* If the UE is implemented according to the CR and the network is not, the problem implied by the NOTE remains.
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| ***Consequences if not approved:*** | Current spec may be interpreted as FS-ID consistency is mandated even for the usage of *rrc-MaxCapaSegAllowed*. |
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| ***Clauses affected:*** | 5.6.1.4 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

#### 5.6.1.4 Setting band combinations, feature set combinations and feature sets supported by the UE

The UE invokes the procedures in this clause if the NR or E-UTRA network requests UE capabilities for *nr*, *eutra-nr* or *eutra*. This procedure is invoked once per requested *rat-Type* (see clause 5.6.1.3 for capability enquiry by the NR network; see TS 36.331 [10], clause 5.6.3.3 for capability enquiry by the E-UTRA network). The UE shall ensure that the feature set IDs are consistent across feature sets, feature set combinations and band combinations in all three UE capability containers that the network queries with the same fields with the same values, i.e. *UE-CapabilityRequestFilterNR,* *UE-CapabilityRequestFilterCommon, rrc-SegAllowed* and fields in *UECapabilityEnquiry* message (i.e. *requestedFreqBandsNR-MRDC, requestedCapabilityNR, eutra-nr-only* flag, *requestedCapabilityCommon* and *rrc-SegAllowed*)as defined in TS 36.331 [10], where applicable.

NOTE 1: Capability enquiry without *frequencyBandListFilter* is not supported.

NOTE 2: In (NG)EN-DC, the gNB needs the capabilities for RAT types *nr* and *eutra-nr* and it uses the *featureSets* in the *UE-NR-Capability* together with the *featureSetCombinations* in the *UE-MRDC-Capability* to determine the NR UE capabilities for the supported MRDC band combinations. Similarly, the eNB needs the capabilities for RAT types *eutra* and *eutra-nr* and it uses the *featureSetsEUTRA* in the *UE-EUTRA-Capability* together with the *featureSetCombinations* in the *UE-MRDC-Capability* to determine the E-UTRA UE capabilities for the supported MRDC band combinations. Hence, the IDs used in the *featureSets* must match the IDs referred to in *featureSetCombinations* across all three containers. The requirement on consistency implies that there are no undefined feature sets and feature set combinations.

NOTE 3: If the UE cannot include all feature sets and feature set combinations due to message size or list size constraints, it is up to UE implementation which feature sets and feature set combinations it prioritizes.

NOTE X: For the usage of *rrc-MaxCapaSegAllowed*, if the NR or E-UTRA network does not request UE capabilities for *nr*, *eutra-nr* and *eutra* in a single enquiry, the feature set IDs might not be consistent across feature sets, feature set combinations and band combinations in all three UE capability containers.

The UE shall:

1> compile a list of "candidate band combinations" according to the filter criteria in *capabilityRequestFilterCommon* (if included), only consisting of bands included in *frequencyBandListFilter*, and prioritized in the order of *frequencyBandListFilter* (i.e. first include band combinations containing the first-listed band, then include remaining band combinations containing the second-listed band, and so on), where for each band in the band combination, the parameters of the band do not exceed *maxBandwidthRequestedDL*, *maxBandwidthRequestedUL*, *maxCarriersRequestedDL*, *maxCarriersRequestedUL*, *ca-BandwidthClassDL-EUTRA* or *ca-BandwidthClassUL-EUTRA*, whichever are received;

1> for each band combination included in the list of "candidate band combinations":

2> if the network (E-UTRA) included the *eutra-nr-only* field, or

2> if the requested *rat-Type* is *eutra*:

3> remove the NR-only band combination from the list of "candidate band combinations";

NOTE 4: The (E-UTRA) network may request capabilities for *nr* but indicate with the *eutra-nr-only* flag that the UE shall not include any NR band combinations in the *UE-NR-Capability*. In this case the procedural text above removes all NR-only band combinations from the candidate list and thereby also avoids inclusion of corresponding feature set combinations and feature sets below.

2> if it is regarded as a fallback band combination with the same capabilities of another band combination included in the list of "candidate band combinations", and

2> if this fallback band combination is generated by releasing at least one SCell or uplink configuration of SCell or SUL according to TS 38.306 [26]:

3> remove the band combination from the list of "candidate band combinations";

NOTE 5: Even if the network requests (only) capabilities for *nr*, it may include E-UTRA band numbers in the *frequencyBandListFilter* to ensure that the UE includes all necessary feature sets needed for subsequently requested *eutra-nr* capabilities. At this point of the procedure the list of "candidate band combinations" contains all NR- and/or E-UTRA-NR band combinations that match the filter (*frequencyBandListFilter*) provided by the NW and that match the *eutra-nr-only* flag (if RAT-Type *nr* is requested by E-UTRA). In the following, this candidate list is used to derive the band combinations, feature set combinations and feature sets to be reported in the requested capability container.

1> if the requested *rat-Type* is *nr*:

2> include into *supportedBandCombinationList* as many NR-only band combinations as possible from the list of "candidate band combinations", starting from the first entry;

3> if *srs-SwitchingTimeRequest* is received:

4> if SRS carrier switching is supported;

5> include *srs-SwitchingTimesListNR* and *srs-SwitchingAffectedBandsListNR* for each band combination;

4> set *srs-SwitchingTimeRequested* to *true*;

2> include, into *featureSetCombinations*, the feature set combinations referenced from the supported band combinations as included in *supportedBandCombinationList* according to the previous;

2> compile a list of "candidate feature set combinations" referenced from the list of "candidate band combinations" excluding entries (rows in feature set combinations) with same or lower capabilities;

2> if *uplinkTxSwitchRequest* is received:

3> include into *supportedBandCombinationList-UplinkTxSwitch* as many NR-only band combinations that supported UL TX switching as possible from the list of "candidate band combinations", starting from the first entry;

4> if *srs-SwitchingTimeRequest* is received:

5> if SRS carrier switching is supported;

6> include *srs-SwitchingTimesListNR* and *srs-SwitchingAffectedBandsListNR* for each band combination;

5> set *srs-SwitchingTimeRequested* to *true*;

3> include, into *featureSetCombinations*, the feature set combinations referenced from the supported band combinations as included in s*upportedBandCombinationList-UplinkTxSwitch* according to the previous;

NOTE 6: This list of "candidate feature set combinations" contains the feature set combinations used for NR-only as well as E-UTRA-NR band combinations. It is used to derive a list of NR feature sets referred to from the feature set combinations in the *UE-NR-Capability* and from the feature set combinations in a *UE-MRDC-Capability* container.

2> if *sidelinkRequest* is received:

3> for a sidelink band combination the UE included in *supportedBandCombinationListSidelinkEUTRA-NR*, *supportedBandCombinationListSL-RelayDiscovery* or *supportedBandCombinationListSL-NonRelayDiscovery*:

4> if the UE supports partial sensing for a band of the sidelink band combination, include the partial sensing capabilities for the band using the *sl-TransmissionMode2-PartialSensing-r17*;

3> set *sidelinkRequested* to *true*;

2> include into *featureSets* the feature sets referenced from the "candidate feature set combinations" and may exclude the feature sets with the parameters that exceed any of *maxBandwidthRequestedDL*, *maxBandwidthRequestedUL*, *maxCarriersRequestedDL* or *maxCarriersRequestedUL*, whichever are received;

1> else, if the requested *rat-Type* is *eutra-nr*:

2> include into *supportedBandCombinationList* and/or *supportedBandCombinationListNEDC-Only* as many E-UTRA-NR band combinations as possible from the list of "candidate band combinations", starting from the first entry;

3> if *srs-SwitchingTimeRequest* is received:

4> if SRS carrier switching is supported;

5> include *srs-SwitchingTimesListNR*, *srs-SwitchingTimesListEUTRA* and *srs-SwitchingAffectedBandsListNR* for each band combination;

4> set *srs-SwitchingTimeRequested* to *true*;

2> include, into *featureSetCombinations*, the feature set combinations referenced from the supported band combinations as included in *supportedBandCombinationList* according to the previous;

2> if *uplinkTxSwitchRequest* is received:

3> include into *supportedBandCombinationList-UplinkTxSwitch* as many E-UTRA-NR band combinations that supported UL TX switching as possible from the list of "candidate band combinations", starting from the first entry;

4> if *srs-SwitchingTimeRequest* is received:

5> if SRS carrier switching is supported;

6> include *srs-SwitchingTimesListNR*, *srs-SwitchingTimesListEUTRA* and *srs-SwitchingAffectedBandsListNR* for each band combination;

5> set *srs-SwitchingTimeRequested* to *true*;

3> include, into *featureSetCombinations*, the feature set combinations referenced from the supported band combinations as included in *supportedBandCombinationList-UplinkTxSwitch* according to the previous;

1> else (if the requested *rat-Type* is *eutra*):

2> compile a list of "candidate feature set combinations" referenced from the list of "candidate band combinations" excluding entries (rows in feature set combinations) with same or lower capabilities;

NOTE 7: This list of "candidate feature set combinations" contains the feature set combinations used for E-UTRA-NR band combinations. It is used to derive a list of E-UTRA feature sets referred to from the feature set combinations in a *UE-MRDC-Capability* container.

2> include into *featureSetsEUTRA* (in the *UE-EUTRA-Capability*) the feature sets referenced from the "candidate feature set combinations" and may exclude the feature sets with the parameters that exceed *ca-BandwidthClassDL-EUTRA* or *ca-BandwidthClassUL-EUTRA*, whichever are received;

1> include the received *frequencyBandListFilter* in the field *appliedFreqBandListFilter* of the requested UE capability, except if the requested *rat-Type* is *nr* andthe network included the *eutra-nr-only* field;

1> if the network included *ue-CapabilityEnquiryExt*:

2> include the received *ue-CapabilityEnquiryExt* in the field *receivedFilters*;