**3GPP TSG- Meeting #**

**, , -**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  |  | **CR** | **nnnn** | **rev** |  | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** |  | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_MIMO\_Ph5-Core | | | | |  | ***Date:*** | | |  |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduce the Rel-19 MIMO features based on the the following agreements.  RAN2#128:   * New MAC CE is introduced for PL offset update for asymmetric DL sTRP/UL mTRP. This new MAC CE is identified by new eLCID. * Absolute value of PL offset is indicated in the new MAC CE. For the offset value, the value range is [-12, 60] dB and the step size is 4dB. * In the MAC CE, PL offset value can be updated for any configured TCI states with RRC configured PL offset, i.e., not limited to the activated TCI states.   RAN2#129:   * One PL offset value is indicated for each TCI state included in the new MAC CE. * The new MAC CE contains one serving cell ID and one BWP ID * TCI state ID is used to indicate a TCI state in the new MAC CE (i.e., no bitmap for TCI states is needed) * The new MAC CE can include flexible number of PL offset values. * RAN2 understands that if a joint/UL TCI state is configured with a PL offset, PHR trigger is based on the PL change of the PL-RS associated to the joint/UL TCI, where the PL change takes into account the PL offset. FFS whether/how to capture this. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. In 5.18, 6.1.3, 6.2.1, introduce a new MAC CE for pathloss offset update  2. In 5.4.6, an editor’s note is added for PHR trigger based on pathloss offset. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Rel-19 MIMO features cannot be supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.18, 6.1.3, 6.2.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

### 5.4.6 Power Headroom Reporting

The Power Headroom reporting procedure is used to provide the serving gNB with the following information:

- Type 1 power headroom: the difference between the nominal UE maximum transmit power and the estimated power for UL-SCH transmission per activated Serving Cell;

- Type 2 power headroom: the difference between the nominal UE maximum transmit power and the estimated power for UL-SCH and PUCCH transmission on SpCell of the other MAC entity (i.e. E-UTRA MAC entity in EN-DC, NE-DC, and NGEN-DC cases);

- Type 3 power headroom: the difference between the nominal UE maximum transmit power and the estimated power for SRS transmission per activated Serving Cell;

- MPE P-MPR: the power backoff to meet the MPE FR2 requirements for a Serving Cell operating on FR2;

- DPC: the adjustment to maximum output power for a given power class for a Serving Cell operating on FR1;

- DPCBC: the adjustment to maximum output power for a given power class for a Band Combination operating on FR1.

RRC controls Power Headroom reporting by configuring the following parameters:

- *dpc-Reporting-FR1*;

- *phr-AssumedPUSCH-Reporting*;

- *phr-PeriodicTimer*;

- *phr-ProhibitTimer*;

- *phr-Tx-PowerFactorChange*;

- *phr-Type2OtherCell*;

- *phr-ModeOtherCG*;

- *multiplePHR*;

- *mpe-Reporting-FR2*;

- *mpe-ProhibitTimer*;

- *mpe-Threshold*;

- *numberOfN*;

- *mpe-ResourcePoolToAddModList*;

- *twoPHRMode*.

A Power Headroom Report (PHR) shall be triggered if any of the following events occur:

- *phr-ProhibitTimer* expires or has expired and the path loss has changed more than *phr-Tx-PowerFactorChange* dB for at least one RS used as pathloss reference for one activated Serving Cell of any MAC entity of which the active DL BWP is not dormant BWP since the last transmission of a PHR in this MAC entity when the MAC entity has UL resources for new transmission;

NOTE 1: The path loss variation for one cell assessed above is between the pathloss measured at present time on the current pathloss reference and the pathloss measured at the transmission time of the last transmission of PHR on the pathloss reference in use at that time, irrespective of whether the pathloss reference has changed in between. The current pathloss reference for this purpose does not include any pathloss reference configured using *pathlossReferenceRS-Pos* in TS 38.331 [5].

Editor’s Note: RAN2 understands that if a joint/UL TCI state is configured with a PL offset, PHR trigger is based on the PL change of the PL-RS associated to the joint/UL TCI, where the PL change takes into account the PL offset. FFS whether/how to capture this.

- *phr-PeriodicTimer* expires;

- upon configuration or reconfiguration of the power headroom reporting functionality by upper layers, which is not used to disable the function;

- activation of an SCell of any MAC entity with configured uplink of which *firstActiveDownlinkBWP-Id* is not set to dormant BWP;

- activation of an SCG;

- addition of the PSCell except if the SCG is deactivated (i.e. PSCell is newly added or changed);

- *phr-ProhibitTimer* expires or has expired, when the MAC entity has UL resources for new transmission, and the following is true for any of the activated Serving Cells of any MAC entity with configured uplink:

- there are UL resources allocated for transmission or there is a PUCCH transmission on this cell, and the required power backoff due to power management (as allowed by P-MPRc as specified in TS 38.101-1 [14], TS 38.101-2 [15], and TS 38.101-3 [16]) for this cell has changed more than *phr-Tx-PowerFactorChange* dB since the last transmission of a PHR when the MAC entity had UL resources allocated for transmission or PUCCH transmission on this cell.

- Upon switching of activated BWP from dormant BWP to non-dormant DL BWP of an SCell of any MAC entity with configured uplink;

- if *dpc-Reporting-FR1* is configured, ΔPPowerClass /ΔPPowerClass, CA/ΔPPowerClass, EN-DC/ΔPPowerClass, NR-DC reporting is triggered upon uplink duty cycle exceedance or upon return to the power class after the duty cycle exceedance, as specified in TS 38.101-1 [14] and TS 38.101-3 [16]).

- if *mpe-Reporting-FR2* is configured, and *mpe-ProhibitTimer* is not running:

- the measured P-MPR applied to meet FR2 MPE requirements as specified in TS 38.101-2 [15] is equal to or larger than *mpe-Threshold* for at least one activated FR2 Serving Cell since the last transmission of a PHR in this MAC entity; or

- the measured P-MPR applied to meet FR2 MPE requirements as specified in TS 38.101-2 [15] has changed more than *phr-Tx-PowerFactorChange* dB for at least one activated FR2 Serving Cell since the last transmission of a PHR due to the measured P-MPR applied to meet MPE requirements being equal to or larger than *mpe-Threshold* in this MAC entity.

in which case the PHR is referred below to as 'MPE P-MPR report'.

NOTE 2: The MAC entity should avoid triggering a PHR when the required power backoff due to power management decreases only temporarily (e.g. for up to a few tens of milliseconds) and it should avoid reflecting such temporary decrease in the values of PCMAX,f,c/PH when a PHR is triggered by other triggering conditions.

NOTE 3: If a HARQ process is configured with *cg-RetransmissionTimer* and if the PHR is already included in a MAC PDU for transmission on configured grant by this HARQ process, but not yet transmitted by lower layers, it is up to UE implementation how to handle the PHR content.

If the MAC entity has UL resources allocated for a new transmission the MAC entity shall:

1> if it is the first UL resource allocated for a new transmission since the last MAC reset:

2> start *phr-PeriodicTimer*.

1> if the Power Headroom reporting procedure determines that at least one PHR has been triggered and not cancelled; and

1> if the allocated UL resources can accommodate the MAC CE for PHR which the MAC entity is configured to transmit, plus its subheader, as a result of LCP as defined in clause 5.4.3.1:

2> if *multiplePHR* with value *true* is configured:

3> for each activated Serving Cell with configured uplink associated with any MAC entity of which the active DL BWP is not dormant BWP; and

3> for each activated Serving Cell with configured uplink associated with E-UTRA MAC entity:

4> if this MAC entity is configured with *twoPHRMode*:

5> if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN* and the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> if the UE supports *mTRP-PUSCH-PHR-Type1-Reporting-r17*:

7> obtain two values of the Type 1 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell.

6> else:

7> obtain two values of the Type 1 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell.

5> else if this Serving Cell is configured with multiple TRP PUSCH repetition (i.e., not configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*) and the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> obtain two values of the Type 1 or the value of Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell.

5> else:

6> obtain the value of the Type 1 or Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell and clause 5.1.1.2 of TS 36.213 [17] for E-UTRA Serving Cell.

4> else (i.e. this MAC entity is not configured with *twoPHRMode*):

5> if this Serving Cell is configured with multiple TRP PUSCH repetition or *multipanelSchemeSDM* or *multipanelSchemeSFN* and if the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> if there is at least one real PUSCH transmission at the slot where the PHR MAC CE is transmitted:

7> if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

8> if the first *TCI-State* or *TCI-UL-State* is applied for a real PUSCH transmission:

9> obtain the value of the Type 1 power headroom of the real PUSCH transmission associated with the first *TCI-State* or *TCI-UL-State* for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

8> else:

9> obtain the value of the Type 1 power headroom of the real PUSCH transmission associated with the second *TCI-State* or *TCI-UL-State* for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

7> else if this Serving Cell is configured with multiple TRP PUSCH repetition:

8> obtain the value of the Type 1 power headroom of the first real transmission of the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

6> else if there is no real PUSCH transmission at the slot where the PHR MAC CE is transmitted:

7> if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

8> obtain the value of the Type 1 power headroom of the reference PUSCH transmission associated with the first *TCI-State* or *TCI-UL-State* for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

7> else if this Serving Cell is configured with multiple TRP PUSCH repetition:

8> if the UE supports *mTRP-PUSCH-PHR-Type1-Reporting-r17*:

9> obtain the value of the Type 1 power headroom of the reference PUSCH transmission associated with the *SRS-ResourceSet* with a lower *SRS-resourceSetID* for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

8> else:

9> obtain the value of the Type 1 power headroom of the reference PUSCH transmission associated with the *SRS-ResourceSet* with a lower *SRS-resourceSetID* or the value of the Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

5> else:

6> obtain the value of the Type 1 or Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell and clause 5.1.1.2 of TS 36.213 [17] for E-UTRA Serving Cell.

4> if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*:

5> if this MAC entity has UL resources allocated for transmission on this Serving Cell; or

5> if the other MAC entity, if configured, has UL resources allocated for transmission on this Serving Cell and *phr-ModeOtherCG* is set to *real* by upper layers:

6> if *dynamicTransformPrecoderFieldPresenceDCI-0-1-r18* or *dynamicTransformPrecoderFieldPresenceDCI-0-2-r18* is set to *enabled* in the active BWP of this Serving Cell:

7> obtain the value for the corresponding PCMAX,f,c field for assumed PUSCH from the physical layer if available, as specified in clause 7.7 of TS 38.213 [6].

6> obtain the value for the corresponding PCMAX,f,c field from the physical layer.

6> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

7> obtain the value for the corresponding MPE field from the physical layer.

4> else (i.e. if this MAC entity is not configured with *phr-AssumedPUSCH-Reporting*):

5> if this MAC entity is configured with *twoPHRMode* and any Serving Cell belonging to this MAC entity is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*; and

5> if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN* and the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> obtain two values for the corresponding PCMAX,f,c,k fields from the physical layer.

6> if *mpe-Reporting-FR2* is configured for the MAC entity this Serving Cell belongs to and this Serving Cell operates on FR2:

7> obtain two values for the corresponding MPEk fields from the physical layer.

5> else if this MAC entity is not configured with *twoPHRmode*, or if this MAC entity is configured with *twoPHRMode* and any Serving Cell belonging to this MAC entity is configured with multiple TRP PUSCH repetition; and

5> if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN* and the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> if the first *TCI-State* or *TCI-UL-State* is applied for a real PUSCH transmission at the slot where the PHR MAC CE is transmitted:

7> obtain the value for the PCMAX,f,c field for the PUSCH transmission associated to the first *TCI-State* or *TCI-UL-State* from the physical layer.

7> if *mpe-Reporting-FR2* is configured for the MAC entity this Serving Cell belongs to and this Serving Cell operates on FR2:

8> obtain the value for the corresponding MPE field for the PUSCH transmission associated to the first *TCI-State* or *TCI-UL-State* from the physical layer.

6> else if the second *TCI-State* or *TCI-UL-State* is applied for a real PUSCH transmission at the slot where the PHR MAC CE is transmitted:

7> obtain the value for the PCMAX,f,c field for the PUSCH transmission associated to the second *TCI-State* or *TCI-UL-State* from the physical layer.

7> if *mpe-Reporting-FR2* is configured for the MAC entity this Serving Cell belongs to and this Serving Cell operates on FR2:

8> obtain the value for the corresponding MPE field for the PUSCH transmission associated to the second *TCI-State* or *TCI-UL-State* from the physical layer.

5> else:

6> if this MAC entity has UL resources allocated for transmission on this Serving Cell; or

6> if the other MAC entity, if configured, has UL resources allocated for transmission on this Serving Cell and *phr-ModeOtherCG* is set to *real* by upper layers:

7> obtain the value for the corresponding PCMAX,f,c field from the physical layer.

7> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

8> obtain the value for the corresponding MPE field from the physical layer.

7> if *mpe-Reporting-FR2-r17* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

8> obtain the value for the corresponding MPEi field from the physical layer;

8> obtain the value for the corresponding Resourcei field from the physical layer.

7> if *dpc-Reporting-FR1* is configured and ΔPPowerClass /ΔPPowerClass, CA/ΔPPowerClass, EN-DC/ΔPPowerClass, NR-DC reporting is triggered and this Serving Cell operates on FR1 and this Serving Cell is associated to this MAC entity:

8> obtain the value for the corresponding DPC field(s) from the physical layer.

3> if *phr-Type2OtherCell* with value *true* is configured:

4> if the other MAC entity is E-UTRA MAC entity:

5> obtain the value of the Type 2 power headroom for the SpCell of the other MAC entity (i.e. E-UTRA MAC entity);

5> if *phr-ModeOtherCG* is set to *real* by upper layers:

6> obtain the value for the corresponding PCMAX,f,c field for the SpCell of the other MAC entity (i.e. E-UTRA MAC entity) from the physical layer.

3> if this MAC entity is configured with *mpe-Reporting-FR2-r17*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Multiple entry PHR as defined in clause 6.1.3.49 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *twoPHRMode* and any Serving Cell belonging to this MAC entity is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CE as defined in clause 6.1.3.82 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *twoPHRMode* and any Serving Cell belonging to this MAC entity is configured with multiple TRP PUSCH repetition:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Multiple Entry PHR for multiple TRP MAC CE as defined in clause 6.1.3.51 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Multiple Entry PHR with assumed PUSCH MAC CE as defined in clause 6.1.3.79 based on the values reported by the physical layer.

3> else:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Multiple Entry PHR MAC CE as defined in clause 6.1.3.9 based on the values reported by the physical layer.

2> else (i.e. Single Entry PHR format is used):

3> if this MAC entity is configured with *twoPHRMode* for multiple TRP PUSCH repetition or *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> obtain two values of the Type 1 power headroom from the physical layer for the corresponding uplink carrier of the PCell.

3> else:

4> obtain the value of the Type 1 power headroom from the physical layer for the corresponding uplink carrier of the PCell.

3> if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*:

4> if *dynamicTransformPrecoderFieldPresenceDCI-0-1-r18* or *dynamicTransformPrecoderFieldPresenceDCI-0-2-r18* is set to *enabled* in the active BWP of this Serving Cell:

5> obtain the value for the corresponding PCMAX,f,c field for assumed PUSCH from the physical layer, if available, as specified in clause 7.7 of TS 38.213 [6].

3> if this MAC entity is configured with *twoPHRMode* and if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> obtain two values for the corresponding PCMAX,f,c,k fields from the physical layer.

4> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

5> obtain two values for the corresponding MPEk fields from the physical layer.

3> else:

4> obtain the value for the corresponding PCMAX,f,c field from the physical layer;

4> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2:

5> obtain the value for the corresponding MPE field from the physical layer.

4> if *mpe-Reporting-FR2-r17* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

5> obtain the value for the corresponding MPEi field from the physical layer;

5> obtain the value for the corresponding Resourcei field from the physical layer.

4> if *dpc-Reporting-FR1* is configured and this Serving Cell operates on FR1:

5> obtain the value for the corresponding DPC field from the physical layer.

3> if this MAC entity is configured with *mpe-Reporting-FR2-r17*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Single entry PHR as defined in clause 6.1.3.48 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *twoPHRMode* and this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Single Entry PHR for multiple TRP STx2P MAC CE as defined in clause 6.1.3.81 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *twoPHRMode* and this Serving Cell is configured with multiple TRP PUSCH repetition:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Single Entry PHR for multiple TRP MAC CE as defined in clause 6.1.3.50 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Single Entry PHR with assumed PUSCH MAC CE as defined in clause 6.1.3.78 based on the values reported by the physical layer.

3> else:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Single Entry PHR MAC CE as defined in clause 6.1.3.8 based on the values reported by the physical layer.

2> if this PHR report is an MPE P-MPR report:

3> start or restart the *mpe-ProhibitTimer*;

3> cancel triggered MPE P-MPR reporting for Serving Cells included in the PHR MAC CE.

2> start or restart *phr-PeriodicTimer*;

2> start or restart *phr-ProhibitTimer*;

2> cancel all triggered PHR(s).

All triggered PHRs shall be cancelled when there is an ongoing SDT procedure as in clause 5.27 and the UL grant(s) can accommodate all pending data available for transmission but is not sufficient to additionally accommodate the PHR MAC CE plus its subheader.

### 5.18.XX Update of Pathloss Offset

The network may update pathloss offsets configured for joint TCI states or UL TCI states of a Serving Cell by sending the Pathloss Offset Update MAC CE described in clause 6.1.3.YY.

The MAC entity shall:

1> if the MAC entity receives a Pathloss Offset Update MAC CE for a Serving Cell:

2> indicate to lower layers the information regarding the Pathloss Offset Update MAC CE.

#### 6.1.3.YY Pathloss Offset Update MAC CE

The Pathloss Offset Update MAC CE is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1b. It has a variable size with the following fields:

- Serving Cell ID: This field indicates the identity of the Serving Cell to which the MAC CE is applied. The length of this field is 5 bits;

- BWP ID: This field indicates a BWP as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. If the value of *unifiedTCI-StateType* in the Serving Cell indicated by Serving Cell IDis *joint*, this field indicates a DL BWP to which the MAC CE is applied. If the value of *unifiedTCI-StateType* in the Serving Cell indicated by Serving Cell ID is *separate*, this field indicates a UL BWP to which the MAC CE is applied. The length of this field is 2 bits;

- TCI state ID: This field indicates a TCI state identified by *TCI-StateId* or *TCI-UL-State-Id* as specified in TS 38.331 [5]. The length of this field is 7 bits. If the value of *unifiedTCI-StateType* in the Serving Cell indicated by Serving Cell IDis *joint*, this field indicates a *TCI-StateId* for a joint TCI state. If the value of *unifiedTCI-StateType* in the Serving Cell indicated by Serving Cell ID is *separate*, the most significant bit of the field is considered as the reserved bit and remainder 6 bits indicate a *TCI-UL-State-Id* for a UL TCI state;

- Pathloss Offset: This field indicates the pathloss offset for the TCI state indicated by the preceding TCI state ID field. It updates the pathloss offset configured by *pathlossOffset* for the TCI state, as specified in TS 38.331 [5]. The range of the indicated pathloss offset is from -12 dB to 60 dB with a step size of 4 dB. The field value 0 corresponds to -12 dB, the field value 1 corresponds to -8 dB and so on. The field values from 19 onwards are reserved. The length of this field is 5 bits;

Editor’s Note: whether to limit the maximum number of TCI states in the MAC CE.

- R: Reserved bit, set to 0.



Figure 6.1.3.YY: Pathloss Offset Update MAC CE

## 6.2 Formats and parameters

### 6.2.1 MAC subheader for DL-SCH and UL-SCH

The MAC subheader consists of the following fields:

- LCID: The Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE or padding as described in Tables 6.2.1-1 and 6.2.1-1c for the DL-SCH and Tables 6.2.1-2 and 6.2.1-2c for the UL-SCH. There is one LCID field per MAC subheader. The size of the LCID field is 6 bits. If the LCID field is set to 34 as in Table 6.2.1-1 or 6.2.1-2, one additional octet is present in the MAC subheader containing the eLCID field and follow the octet containing LCID field. If the LCID field is set to 33 as in Table 6.2.1-1 or 6.2.1-2, two additional octets are present in the MAC subheader containing the eLCID field and these two additional octets follow the octet containing LCID field;

NOTE 1: For MBS broadcast, a logical channel is identified based on G-RNTI and LCID if the same LCID is allocated for logical channels corresponding to different G-RNTIs.

- eLCID: The extended Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE as described in tables 6.2.1-1a, 6.2.1-1b, 6.2.1-2a and 6.2.1-2b for the DL-SCH and UL-SCH respectively. The size of the eLCID field is either 8 bits or 16 bits.

NOTE 2: The extended Logical Channel ID space using two-octet eLCID and the relevant MAC subheader format is used, only when configured, on the NR backhaul links between IAB nodes or between IAB node and IAB Donor, or for multicast MTCHs.

- L: The Length field indicates the length of the corresponding MAC SDU or variable-sized MAC CE in bytes. There is one L field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the L field is indicated by the F field;

- F: The Format field indicates the size of the Length field. There is one F field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the F field is 1 bit. The value 0 indicates 8 bits of the Length field. The value 1 indicates 16 bits of the Length field;

- LX: The LCID extension field indicates the use of extended LCID space. The size of the LX field is 1 bit. The LX field set to 1 indicates the use of Table 6.2.1-2c, otherwise R bit is present instead (i.e. set to 0);

- R: Reserved bit, set to 0.

The MAC subheader is octet aligned.

Table 6.2.1-1: Values of LCID for DL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | CCCH |
| 1–32 | Identity of the logical channel of DCCH, DTCH and multicast MTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35–46 | Reserved |
| 47 | Recommended bit rate |
| 48 | SP ZP CSI-RS Resource Set Activation/Deactivation |
| 49 | PUCCH spatial relation Activation/Deactivation |
| 50 | SP SRS Activation/Deactivation |
| 51 | SP CSI reporting on PUCCH Activation/Deactivation |
| 52 | TCI State Indication for UE-specific PDCCH |
| 53 | TCI States Activation/Deactivation for UE-specific PDSCH |
| 54 | Aperiodic CSI Trigger State Subselection |
| 55 | SP CSI-RS/CSI-IM Resource Set Activation/Deactivation |
| 56 | Duplication Activation/Deactivation |
| 57 | SCell Activation/Deactivation (four octets) |
| 58 | SCell Activation/Deactivation (one octet) |
| 59 | Long DRX Command |
| 60 | DRX Command |
| 61 | Timing Advance Command |
| 62 | UE Contention Resolution Identity |
| 63 | Padding |

Table 6.2.1-1a: Values of two-octet eLCID for DL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

Table 6.2.1-1b: Values of one-octet eLCID for DL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to 2xx | 64 to 2xx | Reserved |
| 2xx | 2xx | Pathloss Offset Update |
| 216 | 280 | Aggregated SP Positioning SRS Activation/Deactivation |
| 217 | 281 | Enhanced SP CSI reporting on PUCCH Activation/Deactivation |
| 218 | 282 | Cross-RRH TCI State Indication for UE-specific PDCCH |
| 219 | 283 | LTM Cell Switch Command |
| 220 | 284 | Candidate Cell TCI States Activation/Deactivation |
| 221 | 285 | PSI-Based SDU Discard Activation/Deactivation |
| 222 | 286 | Enhanced Unified TCI states Activation/Deactivation MAC CE for Joint TCI States |
| 223 | 287 | Enhanced Unified TCI states Activation/Deactivation MAC CE for Separate TCI States |
| 224 | 288 | NCR Access Link Beam Indication |
| 225 | 289 | NCR Downlink Backhaul Link Beam Indication |
| 226 | 290 | NCR Uplink Backhaul Link Beam Indication |
| 227 | 291 | Serving Cell Set based SRS TCI State Indication |
| 228 | 292 | SP/AP SRS TCI State Indication |
| 229 | 293 | BFD-RS Indication |
| 230 | 294 | Differential Koffset |
| 231 | 295 | Enhanced SCell Activation/Deactivation (one octet Ci field) |
| 232 | 296 | Enhanced SCell Activation/Deactivation (four octet Ci field) |
| 233 | 297 | Unified TCI States Activation/Deactivation |
| 234 | 298 | PUCCH Power Control Set Update for multiple TRP PUCCH repetition |
| 235 | 299 | PUCCH spatial relation Activation/Deactivation for multiple TRP PUCCH repetition |
| 236 | 300 | Enhanced TCI States Indication for UE-specific PDCCH |
| 237 | 301 | Positioning Measurement Gap Activation/Deactivation Command |
| 238 | 302 | PPW Activation/Deactivation Command |
| 239 | 303 | DL Tx Power Adjustment |
| 240 | 304 | Timing Case Indication |
| 241 | 305 | Child IAB-DU Restricted Beam Indication |
| 242 | 306 | Case-7 Timing advance offset |
| 243 | 307 | Provided Guard Symbols for Case-6 timing |
| 244 | 308 | Provided Guard Symbols for Case-7 timing |
| 245 | 309 | Serving Cell Set based SRS Spatial Relation Indication |
| 246 | 310 | PUSCH Pathloss Reference RS Update |
| 247 | 311 | SRS Pathloss Reference RS Update |
| 248 | 312 | Enhanced SP/AP SRS Spatial Relation Indication |
| 249 | 313 | Enhanced PUCCH Spatial Relation Activation/Deactivation |
| 250 | 314 | Enhanced TCI States Activation/Deactivation for UE-specific PDSCH |
| 251 | 315 | Duplication RLC Activation/Deactivation |
| 252 | 316 | Absolute Timing Advance Command |
| 253 | 317 | SP Positioning SRS Activation/Deactivation |
| 254 | 318 | Provided Guard Symbols |
| 255 | 319 | Timing Delta |

Table 6.2.1-1c: Values of LCID for MBS multicast MCCH and MBS broadcast on DL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | Broadcast MCCH or multicast MCCH |
| 1–32 | Identity of the logical channel of broadcast MTCH |
| 33–63 | Reserved |

Table 6.2.1-2: Values of LCID for UL-SCH when the LX field is not present or is set to 0

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | CCCH of size 64 bits, except for an (e)RedCap UE |
| 1–32 | Identity of the logical channel of DCCH and DTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35 | CCCH of size 48 bits for a RedCap UE |
| 36 | CCCH of size 64 bits for a RedCap UE |
| 37–42 | Reserved |
| 43 | Truncated Enhanced BFR (one octet Ci) |
| 44 | Timing Advance Report |
| 45 | Truncated Sidelink BSR |
| 46 | Sidelink BSR |
| 47 | Reserved |
| 48 | LBT failure (four octets) |
| 49 | LBT failure (one octet) |
| 50 | BFR (one octet Ci) |
| 51 | Truncated BFR (one octet Ci) |
| 52 | CCCH of size 48 bits, except for an (e)RedCap UE |
| 53 | Recommended bit rate query |
| 54 | Multiple Entry PHR (four octets Ci) |
| 55 | Configured Grant Confirmation |
| 56 | Multiple Entry PHR (one octet Ci) |
| 57 | Single Entry PHR |
| 58 | C-RNTI |
| 59 | Short Truncated BSR |
| 60 | Long Truncated BSR |
| 61 | Short BSR |
| 62 | Long BSR |
| 63 | Padding |
| NOTE: CCCH of size 48 bits and CCCH of size 64 bits are referred to as CCCH and CCCH1, respectively, in TS 38.331 [5]. | |

Table 6.2.1-2a: Values of two-octet eLCID for UL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

Table 6.2.1-2b: Values of one-octet eLCID for UL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to 218 | 64 to 282 | Reserved |
| 219 | 283 | Enhanced Multiple Entry PHR for multiple TRP STx2P (four octets Ci) |
| 220 | 284 | Enhanced Multiple Entry PHR for multiple TRP STx2P (one octets Ci) |
| 221 | 285 | Enhanced Single Entry PHR for multiple TRP STx2P |
| 222 | 286 | SL LBT Failure |
| 223 | 287 | Multiple Entry PHR with assumed PUSCH (four octets Ci) |
| 224 | 288 | Multiple Entry PHR with assumed PUSCH (one octets Ci) |
| 225 | 289 | Single Entry PHR with assumed PUSCH |
| 226 | 290 | SL-PRS Resource Request |
| 227 | 291 | Refined Long BSR |
| 228 | 292 | Delay Status Report |
| 229 | 293 | Enhanced Multiple Entry PHR for multiple TRP (four octets Ci) |
| 230 | 294 | Enhanced Multiple Entry PHR for multiple TRP (one octets Ci) |
| 231 | 295 | Enhanced Single Entry PHR for multiple TRP |
| 232 | 296 | Enhanced Multiple Entry PHR (four octets Ci) |
| 233 | 297 | Enhanced Multiple Entry PHR (one octets Ci) |
| 234 | 298 | Enhanced Single Entry PHR |
| 235 | 299 | Enhanced BFR (one octet Ci) |
| 236 | 300 | Enhanced BFR (four octet Ci) |
| 237 | 301 | Truncated Enhanced BFR (four octet Ci) |
| 238 | 302 | Positioning Measurement Gap Activation/Deactivation Request |
| 239 | 303 | IAB-MT Recommended Beam Indication |
| 240 | 304 | Desired IAB-MT PSD range |
| 241 | 305 | Desired DL Tx Power Adjustment |
| 242 | 306 | Case-6 Timing Request |
| 243 | 307 | Desired Guard Symbols for Case 6 timing |
| 244 | 308 | Desired Guard Symbols for Case 7 timing |
| 245 | 309 | Extended Short Truncated BSR |
| 246 | 310 | Extended Long Truncated BSR |
| 247 | 311 | Extended Short BSR |
| 248 | 312 | Extended Long BSR |
| 249 | 313 | Extended Pre-emptive BSR |
| 250 | 314 | BFR (four octets Ci) |
| 251 | 315 | Truncated BFR (four octets Ci) |
| 252 | 316 | Multiple Entry Configured Grant Confirmation |
| 253 | 317 | Sidelink Configured Grant Confirmation |
| 254 | 318 | Desired Guard Symbols |
| 255 | 319 | Pre-emptive BSR |

Table 6.2.1-2c: Values of LCID for UL-SCH when the LX field is set to 1

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 | (216 + 320) | CCCH of size 48 bits for an eRedCap UE |
| 1 | (216 + 321) | CCCH of size 64 bits for an eRedCap UE |
| 2 | (216 + 322) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK, except for an (e)RedCap UE |
| 3 | (216 + 323) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK, except for an (e)RedCap UE |
| 4 | (216 + 324) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK of a RedCap UE |
| 5 | (216 + 325) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK of a RedCap UE |
| 6 | (216 + 326) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK of an eRedCap UE |
| 7 | (216 + 327) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK of an eRedCap UE |
| 8 to 63 | (216 + 328) to (216 + 383) | Reserved |
| NOTE 1: The MAC entity may use the code point corresponding to a given feature or feature combination in Table 6.2.1-2c only if network indicates support for the corresponding feature or feature combination.  NOTE 2: CCCH of size 48 bits and CCCH of size 64 bits are referred to as CCCH and CCCH1, respectively, in TS 38.331 [5].  NOTE 3: For UE capable of PUCCH repetition of Msg4 HARQ-ACK, the MAC entity uses the code points corresponding to PUCCH repetition of Msg4 HARQ-ACK if *numberOfMsg4HARQ-ACK-Repetitions* is configured and *rsrp-ThresholdMsg4HARQ-ACK* is not configured, or if both are configured and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg4HARQ-ACK.* | | |