**3GPP TSG-RAN WG2 Meeting #128 *Updated-*R2-2409750**

**Orlando, Florida, USA, November 18-22 2024**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **5004** | **rev** | **3** | **Current version:** | **17.10.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  | Introduction of network signalling of maximum number of UL segments [Max-RRC-SegUL] |
|  |  |
| ***Source to WG:*** | Qualcomm Incorporated |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | TEI17 |  | ***Date:*** | 2024-11-xx |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
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| ***Reason for change:*** | It is understood that the network may not always support the reception of *UECapabilityInformation* message with the maximum number of UL RRC segments (i.e. 16) as supported by the current standard. |
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| ***Summary of change:*** | The following procedure and corresponding RRC processing delay requirement are introduced.1. The UE indicates its support for the network-requested maximum number of UL segments, in *RRCSetupComplete* message.
2. The network indicates the maximum number of UL segments the UE is allowed to use.
3. The UE generates UECapabilityInformation ensuring the total size of the message does not exceed the maximum allowed size according to the maximum number of UL segments the UE is allowed to use.
4. The UE also indicates its support for the network-requested maximum number of UL segments in *UECapabilityInformation* message.

***Implementation of this CR by a Release 16 UE will not cause compatibility issues.*****Impact analysis**Impacted 5G architecture options:NR SA, NE-DC, NR-DCImpacted functionality:UE capability enquiry procedure.Inter-operability:* If the network is implemented according to the CR and the UE is not; there is no inter-operability problem.
* If the UE is implemented according to the CR and the network is not; there is no inter-operability problem.
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| ***Consequences if not approved:*** | UL RRC segmentation for UECapabilityInformation message can be utilized only if the network supports the maximum number of UL segments as supported by the current standard. |
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| ***Clauses affected:*** | 5.3.3.4, 5.6.1.3, 5.7.7.3, 6.2.2, 6.3.3,12, Annex C |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS38.306 CR1202r1 |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> if *sdt-MAC-PHY-CG-Config* is configured:

3> instruct the MAC entity to stop the *cg-SDT-TimeAlignmentTimer*, if it is running;

3> instruct the MAC entity to start the *timeAlignmentTimer* associated with the PTAG*,* if it is not running;

2> if *srs-PosRRC-Inactive* is configured:

3> instruct the MAC entity to stop the *inactivePosSRS-TimeAlignmentTimer*, if it is running;

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0 and broadcast MRBs, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration, CCCH configuration and broadcast MRBs;

2> indicate to upper layers fallback of the RRC connection;

2> discard any application layer measurement reports which were not transmitted yet;

2> inform upper layers about the release of all application layer measurement configurations;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301, T319;

1> if T319a is running:

2> stop T319a;

2> consider SDT procedure is not ongoing;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

2> stop relay (re)selection procedure if any for L2 U2N Remote UE;

1> consider the current cell to be the PCell;

1> perform the L2 U2N Remote UE configuration procedure in accordance with the received *sl-L2RemoteUE-Config* as specified in 5.3.5.16;

1> perform the sidelink dedicated configuration procedure in accordance with the received *sl-ConfigDedicatedNR* as specified in 5.3.5.14;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set after failing to perform reestablishment and if this is the first *RRCSetup* received by the UE after declaring the failure:

3> if the UE supports RLF-Report for conditional handover and if *choCellId* in *VarRLF-Report* is set:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the radio link failure or handover failure experienced in the *failedPCellId* stored in *VarRLF-Report*;

3> else:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set after failing to perform reestablishment and if this is the first *RRCSetup* received by the UE after declaring the failure:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> if upper layers provide onboarding request indication:

3> include the *onboardingRequest*;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

3> if T330 timer is running and the logged measurements configuration is for NR:

4> set *sigLogMeasConfigAvailable* to *true* in the *RRCSetupComplete* message;

3> else:

4> if the UE has logged measurements available for NR:

5> set *sigLogMeasConfigAvailable* to *false* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or in at least one of the entries of *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

3> include *successHO-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the UE supports uplink RRC message segmentation of *UECapabilityInformation* according to the network indication *rrc-SegAllowed*:

3> may include the *ul-RRC-Segmentation* in the *RRCSetupComplete* message;

2> if the UE supports uplink RRC message segmentation of *UECapabilityInformation* according to the network indication *rrc-MaxCapaSegAllowed*:

3> include the *ul-RRC-MaxCapaSegments* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

#### 5.6.1.3 Reception of the *UECapabilityEnquiry* by the UE

The UE shall set the contents of *UECapabilityInformation* message as follows:

1> if the *ue-CapabilityRAT-RequestList* contains a *UE-CapabilityRAT-Request* with *rat-Type* set to *nr*:

2> include in the *ue-CapabilityRAT-ContainerList* a *UE-CapabilityRAT-Container* of the type *UE-NR-Capability* and with the *rat-Type* set to *nr*;

2> include the *supportedBandCombinationList, featureSets* and *featureSetCombinations* as specified in clause 5.6.1.4;

1> if the *ue-CapabilityRAT-RequestLis*t contains a *UE-CapabilityRAT-Request* with *rat-Type* set to *eutra-nr*:

2> if the UE supports (NG)EN-DC or NE-DC:

3> include in the *ue-CapabilityRAT-ContainerList* a *UE-CapabilityRAT-Container* of the type *UE-MRDC-Capability* and with the *rat-Type* set to *eutra-nr*;

3> include the *supportedBandCombinationList* and *featureSetCombinations* as specified in clause 5.6.1.4;

1> if the *ue-CapabilityRAT-RequestList* contains a *UE-CapabilityRAT-Request* with *rat-Type* set to *eutra*:

2> if the UE supports E-UTRA:

3> include in the *ue-CapabilityRAT-ContainerList* a *ue-CapabilityRAT-Container* of the type *UE-EUTRA-Capability* associated with the terrestrial network and with the *rat-Type* set to *eutra* as specified in TS 36.331 [10], clause 5.6.3.3, according to the *capabilityRequestFilter*, if received;

1> if the *ue-CapabilityRAT-RequestList* contains a *UE-CapabilityRAT-Request* with *rat-Type* set to *utra-fdd*:

2> if the UE supports UTRA-FDD:

3> include the UE radio access capabilities for UTRA-FDD within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *utra-fdd*;

1> if the RRC message segmentation is enabled based on the field *rrc-SegAllowed* received, and the encoded RRC message is larger than the maximum supported size of a PDCP SDU specified in TS 38.323 [5]:

2> consider the maximum number of UL segments the UE is allowed to use when segmenting the *UECapabilityInformation* message is 16;

2> initiate the UL message segment transfer procedure as specified in clause 5.7.7;

1> else if the RRC message segmentation is enabled based on the field *rrc-MaxCapaSegAllowed* received, and the encoded RRC message is larger than the maximum supported size of a PDCP SDU specified in TS 38.323 [5]:

2> consider the maximum number of UL segments the UE is allowed to use when segmenting the *UECapabilityInformation* message to be the value indicated by *rrc-MaxCapaSegAllowed*;

2> initiate the UL message segment transfer procedure as specified in clause 5.7.7;

1> else:

2> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends.

5.7.7.2 Initiation

A UE capable of UL RRC message segmentation in RRC\_CONNECTED will initiate the procedure when the following conditions are met:

1> if the RRC message segmentation is enabled based on the field *rrc-SegAllowed* or *rrc-MaxCapaSegAllowed* received, and

1> if the encoded RRC message is larger than the maximum supported size of a PDCP SDU specified in TS 38.323 [5];

Upon initiating the procedure, the UE shall:

1> initiate transmission of the *ULDedicatedMessageSegment* message as specified in 5.7.7.3;

#### 5.7.7.3 Actions related to transmission of *ULDedicatedMessageSegment* message

The UE shall segment the encoded RRC PDU based on the maximum supported size of a PDCP SDU specified in TS 38.323 [5] and the maximum number of UL segments according to *rrc-SegAllowed* or *rrc-MaxCapaSegAllowed*, if received. UE shall minimize the number of segments and set the contents of the *ULDedicatedMessageSegment* messages as follows:

1> For each new UL DCCH message, set the *segmentNumber* to 0 for the first message segment and increment the *segmentNumber* for each subsequent RRC message segment;

1> set *rrc-MessageSegmentContainer* to include the segment of the UL DCCH message corresponding to the *segmentNumber*;

1> if the segment included in the *rrc-MessageSegmentContainer* is the last segment of the UL DCCH message:

2> set the rrc-MessageSegmentType to lastSegment;

1> else:

2> set the *rrc-MessageSegmentType* to *notLastSegment*;

1> submit all the *ULDedicatedMessageSegment* messages generated for the segmented RRC message to lower layers for transmission in ascending order based on the *segmentNumber*, upon which the procedure ends.

### 6.2.2 Message definitions

#### – *RRCSetupComplete*

The *RRCSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*RRCSetupComplete* message

-- ASN1START

-- TAG-RRCSETUPCOMPLETE-START

RRCSetupComplete ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcSetupComplete RRCSetupComplete-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCSetupComplete-IEs ::= SEQUENCE {

 selectedPLMN-Identity INTEGER (1..maxPLMN),

 registeredAMF RegisteredAMF OPTIONAL,

 guami-Type ENUMERATED {native, mapped} OPTIONAL,

 s-NSSAI-List SEQUENCE (SIZE (1..maxNrofS-NSSAI)) OF S-NSSAI OPTIONAL,

 dedicatedNAS-Message DedicatedNAS-Message,

 ng-5G-S-TMSI-Value CHOICE {

 ng-5G-S-TMSI NG-5G-S-TMSI,

 ng-5G-S-TMSI-Part2 BIT STRING (SIZE (9))

 } OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING RRCSetupComplete-v15x0-IEs) OPTIONAL,

 nonCriticalExtension RRCSetupComplete-v1610-IEs OPTIONAL

}

-- Regular non-critical extensions:

RRCSetupComplete-v1610-IEs ::= SEQUENCE {

 iab-NodeIndication-r16 ENUMERATED {true} OPTIONAL,

 idleMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

 ue-MeasurementsAvailable-r16 UE-MeasurementsAvailable-r16 OPTIONAL,

 mobilityHistoryAvail-r16 ENUMERATED {true} OPTIONAL,

 mobilityState-r16 ENUMERATED {normal, medium, high, spare} OPTIONAL,

 nonCriticalExtension RRCSetupComplete-v1690-IEs OPTIONAL

}

RRCSetupComplete-v1690-IEs ::= SEQUENCE {

 ul-RRC-Segmentation-r16 ENUMERATED {true} OPTIONAL,

 nonCriticalExtension RRCSetupComplete-v1700-IEs OPTIONAL

}

RRCSetupComplete-v1700-IEs ::= SEQUENCE {

 onboardingRequest-r17 ENUMERATED {true} OPTIONAL,

 nonCriticalExtension SEQUENCE{} OPTIONAL

}

RegisteredAMF ::= SEQUENCE {

 plmn-Identity PLMN-Identity OPTIONAL,

 amf-Identifier AMF-Identifier

}

-- Late non-critical extensions:

RRCSetupComplete-v15x0-IEs ::= SEQUENCE {

-- Following field is only for REL-15 late non-critical extensions

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCSetupComplete-v16x0-IEs OPTIONAL

}

RRCSetupComplete-v16x0-IEs ::= SEQUENCE {

-- Following field is only for REL-16 late non-critical extensions

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCSetupComplete-v17x0-IEs OPTIONAL

}

RRCSetupComplete-v17x0-IEs ::= SEQUENCE {

 ul-RRC-MaxCapaSegments-r17 ENUMERATED {true} OPTIONAL,

 nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-RRCSETUPCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| *RRCSetupComplete-IEs* field descriptions |
| ***guami-Type***This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [23]. |
| ***iab-NodeIndication***This field is used to indicate that the connection is being established by an IAB-node as specified in TS 38.300 [2]. |
| ***idleMeasAvailable***Indication that the UE has idle/inactive measurement report available. |
| ***mobilityState***This field indicates the UE mobility state (as defined in TS 38.304 [20], clause 5.2.4.3) just prior to UE going into RRC\_CONNECTED state. The UE indicates the value of *medium* and *high* when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value *normal*. |
| ***ng-5G-S-TMSI-Part2***The leftmost 9 bits of 5G-S-TMSI. |
| ***onboardingRequest***This field indicates that the connection is being established for UE onboarding in the selected onboarding SNPN, see TS 23.501 [32]. |
| ***registeredAMF***This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [21]. |
| ***selectedPLMN-Identity***Index of the PLMN or SNPN selected by the UE from the *plmn-IdentityInfoList* or *npn-IdentityInfoList* fields included in SIB1. |
| ***ul-RRC-MaxCapaSegments***This field indicates that the UE supports uplink RRC segmentation of *UECapabilityInformation* according to the network indication *rrc-MaxCapaSegAllowed*. |
| ***ul-RRC-Segmentation***This field indicates the UE supports uplink RRC segmentation of *UECapabilityInformation* according to the network indication *rrc-SegAllowed.* |

#### – *UECapabilityEnquiry*

The *UECapabilityEnquiry* message is used to request UE radio access capabilities for NR as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*UECapabilityEnquiry* message

-- ASN1START

-- TAG-UECAPABILITYENQUIRY-START

UECapabilityEnquiry ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 ueCapabilityEnquiry UECapabilityEnquiry-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

UECapabilityEnquiry-IEs ::= SEQUENCE {

 ue-CapabilityRAT-RequestList UE-CapabilityRAT-RequestList,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 ue-CapabilityEnquiryExt OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL -- Need N

}

UECapabilityEnquiry-v1560-IEs ::= SEQUENCE {

 capabilityRequestFilterCommon UE-CapabilityRequestFilterCommon OPTIONAL, -- Need N

 nonCriticalExtension UECapabilityEnquiry-v1610-IEs OPTIONAL

}

UECapabilityEnquiry-v1610-IEs ::= SEQUENCE {

 rrc-SegAllowed-r16 ENUMERATED {enabled} OPTIONAL, -- Need N

 nonCriticalExtension UECapabilityEnquiry-v17x0-IEs OPTIONAL

}

UECapabilityEnquiry-v17x0-IEs ::= SEQUENCE {

 rrc-MaxCapaSegAllowed-r17 INTEGER (2..16) OPTIONAL, -- Need N

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-UECAPABILITYENQUIRY-STOP

-- ASN1STOP

|  |
| --- |
| *UECapabilityEnquiry-IEs* field descriptions |
| ***rrc-SegAllowed***This field is used to enable the UL message segment transfer for *UECapabilityInformation* message. The field is present only if *rrc-MaxCapaSegAllowed* is not present. |
| ***rrc-MaxCapaSegAllowed***This field is used to enable the UL message segment transfer for *UECapabilityInformation* message with the number of segments allowed by the network. The field is present only if *rrc-SegAllowed* is not present. |

### 6.3.3 UE capability information elements

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical Rel-15 extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical Rel-15 extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

 nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

 partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v15g0 OPTIONAL

}

UE-NR-Capability-v15g0 ::= SEQUENCE {

 rf-Parameters-v15g0 RF-Parameters-v15g0 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v15j0 OPTIONAL

}

UE-NR-Capability-v15j0 ::= SEQUENCE {

 -- Following field is only for REL-15 late non-critical extensions

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v16a0 OPTIONAL

}

-- Regular non-critical Rel-16 extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

 powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

 directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

 bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

 referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

 sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

 highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

 mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

 mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

 ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

 onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

 redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

 phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

 mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1690 OPTIONAL

}

UE-NR-Capability-v1690 ::= SEQUENCE {

 ul-RRC-Segmentation-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1700 OPTIONAL

}

-- Late non-critical extensions from Rel-16 onwards:

UE-NR-Capability-v16a0 ::= SEQUENCE {

 phy-Parameters-v16a0 Phy-Parameters-v16a0 OPTIONAL,

 rf-Parameters-v16a0 RF-Parameters-v16a0 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v16c0 OPTIONAL

}

UE-NR-Capability-v16c0 ::= SEQUENCE {

 rf-Parameters-v16c0 RF-Parameters-v16c0 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v16d0 OPTIONAL

}

UE-NR-Capability-v16d0 ::= SEQUENCE {

 featureSets-v16d0 FeatureSets-v16d0 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v16x0 OPTIONAL

}

UE-NR-Capability-v16x0 ::= SEQUENCE {

 -- Following field is only for REL-16 late non-critical extensions

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v17x0 OPTIONAL

}

-- Regular non-critical Rel-17 extensions:

UE-NR-Capability-v1700 ::= SEQUENCE {

 inactiveStatePO-Determination-r17 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1700 HighSpeedParameters-v1700 OPTIONAL,

 powSav-Parameters-v1700 PowSav-Parameters-v1700 OPTIONAL,

 mac-Parameters-v1700 MAC-Parameters-v1700 OPTIONAL,

 ims-Parameters-v1700 IMS-Parameters-v1700 OPTIONAL,

 measAndMobParameters-v1700 MeasAndMobParameters-v1700,

 appLayerMeasParameters-r17 AppLayerMeasParameters-r17 OPTIONAL,

 redCapParameters-r17 RedCapParameters-r17 OPTIONAL,

 ra-SDT-r17 ENUMERATED {supported} OPTIONAL,

 srb-SDT-r17 ENUMERATED {supported} OPTIONAL,

 gNB-SideRTT-BasedPDC-r17 ENUMERATED {supported} OPTIONAL,

 bh-RLF-DetectionRecovery-Indication-r17 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1700 NRDC-Parameters-v1700 OPTIONAL,

 bap-Parameters-v1700 BAP-Parameters-v1700 OPTIONAL,

 musim-GapPreference-r17 ENUMERATED {supported} OPTIONAL,

 musimLeaveConnected-r17 ENUMERATED {supported} OPTIONAL,

 mbs-Parameters-r17 MBS-Parameters-r17,

 nonTerrestrialNetwork-r17 ENUMERATED {supported} OPTIONAL,

 ntn-ScenarioSupport-r17 ENUMERATED {gso, ngso} OPTIONAL,

 sliceInfoforCellReselection-r17 ENUMERATED {supported} OPTIONAL,

 ue-RadioPagingInfo-r17 UE-RadioPagingInfo-r17 OPTIONAL,

 -- R4 17-2 UL gap pattern for Tx power management

 ul-GapFR2-Pattern-r17 BIT STRING (SIZE (4)) OPTIONAL,

 ntn-Parameters-r17 NTN-Parameters-r17 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1740 OPTIONAL

}

UE-NR-Capability-v1740 ::= SEQUENCE {

 redCapParameters-v1740 RedCapParameters-v1740,

 nonCriticalExtension UE-NR-Capability-v1750 OPTIONAL

}

UE-NR-Capability-v1750 ::= SEQUENCE {

 crossCarrierSchedulingConfigurationRelease-r17 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

-- Late non-critical extensions from Rel-17 onwards:

UE-NR-Capability-v17x0 ::= SEQUENCE {

 ul-RRC-MaxCapaSegments-r17 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

 powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

 flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

 flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

BAP-Parameters-v1700 ::= SEQUENCE {

 bapHeaderRewriting-Rerouting-r17 ENUMERATED {supported} OPTIONAL,

 bapHeaderRewriting-Routing-r17 ENUMERATED {supported} OPTIONAL

}

MBS-Parameters-r17 ::= SEQUENCE {

 maxMRB-Add-r17 INTEGER (1..16) OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

|  |
| --- |
| *UE-NR-Capability-v1540 field descriptions* |
| ***fr1-fr2-Add-UE-NR-Capabilities***This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

# 12 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following tables. The performance requirement is expressed as the time in [ms] from the end of reception of the network -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> network response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation). In case the RRC procedure triggers BWP switching, the RRC procedure delay is the value defined in the following table plus the BWP switching delay defined in TS 38.133 [14], clause 8.6.3.



Figure 12.1-1: Illustration of RRC procedure delay

Table 12.1-1: UE performance requirements for RRC procedures for UEs

| Procedure title: | Network -> UE | UE -> Network | Value [ms] | Notes |
| --- | --- | --- | --- | --- |
| **RRC Connection Control Procedures** |
| RRC reconfiguration | *RRCReconfiguration* | *RRCReconfigurationComplete* | 10 |  |
| RRC reconfiguration (scell addition/release) | *RRCReconfiguration* | *RRCReconfigurationComplete* | 16 |  |
| RRC reconfiguration (LTE/NR SCG establishment/ modification/ release) | *RRCReconfiguration* | *RRCReconfigurationComplete* | 16 |  |
| RRC reconfiguration (Intra-NR mobility with LTE/NR SCG establishment/ modification/ release) | *RRCReconfiguration* | *RRCReconfigurationComplete* | 16 |  |
| RRC reconfiguration  | *DLDedicatedMessageSegment* | *RRCReconfigurationComplete* | 16+( Nseg-1)\*10 | Nsegis number of RRC segments |
| RRC setup | *RRCSetup* | *RRCSetupComplete* | 10 |  |
| RRC Release | *RRCRelease* |  | NA |  |
| RRC re-establishment | *RRCReestablishment* | *RRCReestablishmentComplete* | 10 |  |
| RRC resume | *RRCResume* | *RRCResumeComplete* | 6 or 10 | Value=6 applies for a UE supporting reduced CP latency for the case of RRCResume message only including MAC and PHY configuration, reestablishPDCP and reestablishRLC for SRB2, multicast MRB(s) and DRB(s), and no DRX, SPS, configured grant, CA or MIMO re-configuration will be triggered by this message. Further, the UL grant for transmission of *RRCResumeComplete* and the data is transmitted over common search space with DCI format 0\_0.In this scenario, the RRC procedure delay [ms] can extend beyond the reception of the UL grant, up to 7 ms.For other cases, Value = 10 applies. |
| RRC resume (MCG SCell addition/restoration/release) | *RRCResume* | *RRCResumeComplete* | 16 |  |
| RRC resume (SCG establishment/ restoration/release) | *RRCResume* | *RRCResumeComplete* | 16 |  |
| RRC resume | *DLDedicatedMessageSegment* | *RRCResumeComplete* | 16+( Nseg-1)\*10 | Nsegis number of RRC segments |
| Initial AS security activation | *SecurityModeCommand* | *SecurityModeComplete/SecurityModeFailure* | 5 |  |
| **Inter RAT mobility** |
| Handover to NR | *RRCReconfiguration (sent by other RAT)* | *RRCReconfigurationComplete* | NA | The performance of this procedure is specified in TS 36.133 [40] clauses 5.3.4.2, 5.3.4A.2 and 5.3.5.2 in case of handover from E-UTRA to NR. |
| Handover from NR | *MobilityFromNRCommand* |  | NA | The performance of this procedure is specified in TS 38.133 [14], clauses 6.1.2.1.2 and 6.1.2.2.2. |
| **Other procedures** |
| UE assistance information |  | *UEAssistanceInformation* | NA |  |
| UE capability transfer | *UECapabilityEnquiry* | *UECapabilityInformation* | 80 |  |
| UE capability transfer | *UECapabilityEnquiry* | *ULDedicatedMessageSegment* | 80 | Applicable when UL RRC segmentation is enabled by the field *rrc-SegAllowed*. |
| UE capability transfer | *UECapabilityEnquiry* | *ULDedicatedMessageSegment* | 560+max (0, Nseg-7)\*80 | Applicable when UL RRC segmentation is enabled by the field *rrc-MaxCapaSegAllowed*.Nseg is the value indicated by *rrc-MaxCapaSegAllowed*. |
| Counter check | *CounterCheck* | *CounterCheckResponse* | 5 |  |
| UE information | *UEInformationRequest* | *UEInformationResponse* | 15 |  |
| DL Information transfer MR-DC | *DLInformationTransferMRDC* |  | NA | The UE shall apply the performance requirements of the RRC message included within the DLInformationTransferMRDC message. |
| IAB other information |  | *IABOtherInformation* | NA |  |
| Sidelink UE information |  | *SidelinkUEInformationNR* | NA |  |
| UE Positioning assistance information |  | *UEPositioningAssistanceInfo* | NA |  |

Annex C (normative): List of CRs Containing Early Implementable Features and Corrections

This annex lists the Change Requests (CRs) whose changes may be implemented by a UE of an earlier release than which the CR was approved in (i.e. CRs that contain on their coversheets the sentence "Implementation of this CR from Rel-N will not cause interoperability issues").

Table C-1: List of CRs Containing Early Implementable Features and Corrections

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| TDoc Number (RP-xxxxxx): CR Title | CR Number(s) | CR Revision Number(s) | Earliest Implementable Release | Additional Information |
| RP-200335: Correction on usage of access category 2 for UAC for RNA update | 1141 | 2 | Release 15 |  |
| RP-201185: Introduction of signalling for high-speed train scenarios | 1464 | 5 | Release 15 |  |
| RP-201216: Release-16 UE capabilities based on RAN1, RAN4 feature lists and RAN2 | 1665 | 2 | Release 15 | Early implementation part is referring to the aspect covered by- R2-2006203: Extension of CSI-RS capabilities per codebook type- R2-2006360: Intraband EN\_DC power class expansion for 29 dBm |
| RP-202768: UE behaviour when UL 7.5KHz shift is not supported | 2107 | 2 | Release 15 |  |
| RP-202790: Correction on uac-AccessCategory1-SelectionAssistanceInfo | 2130 | 1 | Release 15 |  |
| RP-211483: Clarification on the initiation of RNA update | 2581 | 1 | Release 15 |  |
| RP-201190: Introduction of eCall over IMS for NR | 1670 | - | Release 15 |  |
| RP-212598: Distinguishing support of extended band n77 | 2810 | 2 | Release 15 |  |
| RP-213342: Duty cycle signalling for power class 1.5 | 2817 | 1 | Release 15 |  |
| RP-213345: CR on 38.331 for introducing UE capability of txDiversity | 2859 | 1 | Release 15 |  |
| RP-220497: Introduction of function for RRM enhancements for Rel-17 NR FR1 HST | 2898 | 2 | Release 16 |  |
| RP-220838: Release-17 UE capabilities based on R1 and R4 feature lists (TS38.331) | 2901 | 1 | Release 15 | Early implementation part is referring to the aspect covered by:- R2-2203898: Introduction of BCS4 and BCS5- R2-2203836: Introducing UE capability for power class 5 for FR2 FWA |
| RP-221721: CR on the CBM/IBM reporting-38331 | 2916 | 2 | Release 16 |  |
| RP-221736: Distinguishing support of band n77 restrictions in Canada [n77 Canada] | 3078 | 2 | Release 15 |  |
| RP-222527: Correction to additionalSpectrumEmission for UL CA in n77 for the US | 3476 | - | Release 15 |  |
| RP-222527: Correction to additionalSpectrumEmission for UL CA in n77 for Canada | 3478 | - | Release 15 |  |
| RP-232570: Addition of extended number range for NS value | 3900 | 6 | Release 16 |  |
| RP-233888: Introduction of FR2 FBG2 CA BW classes | 2867 | 6 | Release 15 |  |
| RP-24xxxx: Introduction of network signalling of maximum number of UL segments [Max-RRC-SegUL] | 5004 | 3 | Release 16 |  |