**3GPP TSG-RAN WG2 Meeting #123bis *R2-230xxxx***

Xiamen, China, October 9th – 13th, 2023

|  |
| --- |
| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **draft** | **rev** | - | **Current version:** | **17.6.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |
| --- |
|  |
| ***Title:***  | RRC running CR for CHO with candidate SCG(s) |
|  |  |
| ***Source to WG:*** | CATT |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_Mob\_enh2-Core |  | ***Date:*** | 2023-10-17 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | Introduction of CHO with candidate SCG(s) in NR |
|  |  |
| ***Summary of change:*** | Introduction of CHO with candidate SCG(s) functionality in NR, including procedural text and ASN.1 changes. |
|  |  |
| ***Consequences if not approved:*** | CHO with candidate SCG(s) feature is not supported in NR. |
|  |  |
| ***Clauses affected:*** | 5.3.5.3, 5.3.5.4, 5.3.5.13, 5.3.7.3, 5.5.3.1, 5.5.4.5, 5.7.3, 6.2.2, 6.3.2,11.2.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  |  |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  |  |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  |  |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional reconfiguration (CHO, CPA or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:

2> remove all the entries within the MCG and the SCG *VarConditionalReconfig*, if any;

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

2> if all the SIB(s) and/or posSIB(s) requested in *DedicatedSIBRequest* message have been acquired:

3> stop timer T350, if running;

1> if the *RRCReconfiguration* message includes the *dedicatedPosSysInfoDelivery*:

2> perform the action upon reception of the contained posSIB(s), as specified in clause 5.2.2.4.16;

2> if all the SIB(s) and/or posSIB(s) requested in *DedicatedSIBRequest* message have been acquired:

3> stop timer T350, if running;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *iab-IP-AddressConfigurationList*:

2> if *iab-IP-AddressToReleaseList* is included:

3> perform release of IP address as specified in 5.3.5.12a.1.1;

2> if *iab-IP-AddressToAddModList* is included:

3> perform IAB IP address addition/update as specified in 5.3.5.12a.1.2;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigNR*:

2> if *needForGapNCSG-ConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap and NCSG requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap and NCSG requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigEUTRA*:

2> if *needForGapNCSG-ConfigEUTRA* is set to *setup*:

3> consider itself to be configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands;

1> if the *RRCReconfiguration* message includes the *onDemandSIB-Request*:

2> if *onDemandSIB-Request* is set to *setup*:

3> consider itself to be configured to request SIB(s) or posSIB(s) in RRC\_CONNECTED in accordance with clause 5.2.2.3.5;

2> else:

3> consider itself not to be configured to request SIB(s) or posSIB(s) in RRC\_CONNECTED in accordance with clause 5.2.2.3.5;

3> stop timer T350, if running;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

NOTE 0a: If the *sl-ConfigDedicatedNR* was received embedded within an E-UTRA *RRCConnectionReconfiguration* message, the UE does not build an NR *RRCReconfigurationComplete* message for the received *sl-ConfigDedicatedNR*.

1> if the *RRCReconfiguration* message includes the *sl-L2RelayUE-Config*:

2> perform the L2 U2N Relay UE configuration procedure as specified in 5.3.5.15;

1> if the *RRCReconfiguration* message includes the *sl-L2RemoteUE-Config*:

2> perform the L2 U2N Remote UE configuration procedure as specified in 5.3.5.16;

1> if the *RRCReconfiguration* message includes the *dedicatedPagingDelivery*:

2> perform the *Paging* message reception procedure as specified in 5.3.2.3;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA-Info*:

2> perform related procedures for V2X sidelink communication in accordance with TS 36.331 [10], clause 5.3.10 and clause 5.5.2;

1> if the *RRCReconfiguration* message includes the *ul-GapFR2-Config*:

2> perform the FR2 UL gap configuration procedure as specified in 5.3.5.13c;

1> if the *RRCReconfiguration* message includes the *musim-GapConfig*:

2> perform the MUSIM gap configuration procedure as specified in 5.3.5.9a;

1> if the *RRCReconfiguration* message includes the *appLayerMeasConfig*:

2> perform the application layer measurement configuration procedure as specified in 5.3.5.13d;

1> if the *RRCReconfiguration* message includes the *ue-TxTEG-RequestUL-TDOA-Config*:

2> if *ue-TxTEG-RequestUL-TDOA-Config* is set to *setup*:

3> perform the UE positioning assistance information procedure as specified in 5.7.14;

2> else:

3> release the configuration of UE positioning assistance information;

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the MCG;

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrentMoreCarrier*:

3> include in the *uplinkTxDirectCurrentMoreCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the MCG;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the SCG;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrentMoreCarrier*:

3> include in the *uplinkTxDirectCurrentMoreCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the SCG;

NOTE 0b: The UE does not expect that the *reportUplinkTxDirectCurrentTwoCarrier* or *reportUplinkTxDirectCurrentMoreCarrier* is received in both *masterCellGroup* and in *secondaryCellGroup*. Network only configures at most one of *reportUplinkTxDirectCurrent, reportUplinkTxDirectCurrentTwoCarrier* or *reportUplinkTxDirectCurrentMoreCarrier* in one RRC message*.*

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

3> if the *RRCReconfiguration* message is applied due to conditional reconfiguration execution and the *RRCReconfiguration* message does not include the *reconfigurationWithSync* in the *masterCellGroup*:

4> include in the *selectedCondRRCReconfig* the *condReconfigId* for the selected cell of conditional reconfiguration execution;

3> if the *RRCReconfiguration* message is applied due to conditional reconfiguration execution andthere is *condExecutionCondPSCell* configured for the associated PSCell:

4> include in the *selectedPSCellforCHOwithSCGs* the information for the selected PSCell of conditional reconfiguration execution;

2> if the *RRCReconfiguration* includes the *reconfigurationWithSync* in *spCellConfig* of an MCG:

3> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

4> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

4> if WLAN measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

3> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

4> if T330 timer is running and the logged measurements configuration is for NR:

5> set *sigLogMeasConfigAvailable* to *true* in the *RRCReconfigurationComplete* message;

4> else:

5> if the UE has logged measurements available for NR:

6> set *sigLogMeasConfigAvailable* to *false* in the *RRCReconfigurationComplete* message;

3> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* orin at least one of the entries of *VarConnEstFailReportList*:

4> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

4> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE was configured with *successHO-Config* when connected to the source PCell; and

3> if the applied *RRCReconfiguration* is not due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:

4> perform the actions for the successful handover report determination as specified in clause 5.7.10.6, upon successfully completing the Random Access procedure triggered for the *reconfigurationWithSync* in *spCellConfig* of the MCG;

3> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

4> include *successHO-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration* or E-UTRA *RRCConnectionResume*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured:

7> for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band;

6> else:

7> include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

3> if the UE is configured to provide the measurement gap and NCSG requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigNR*; or

4> if the *needForGapNCSG-InfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapNCSG-InfoNR* and set the contents as follows:

6> include *intraFreq-needForNCSG* and set the gap and NCSG requirement information of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNCSG-NR* is configured:

7> for each supported NR band included in *requestedTargetBandFilterNCSG-NR*, include an entry in *interFreq-needForNCSG* and set the NCSG requirement information for that band;

6> else:

7> include an entry for each supported NR band in *interFreq-needForNCSG* and set the corresponding NCSG requirement information;

3> if the UE is configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands:

4> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigEUTRA*; or

4> if the *needForGapNCSG-InfoEUTRA* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapNCSG-InfoEUTRA* and set the contents as follows:

6> if *requestedTargetBandFilterNCSG-EUTRA* is configured, for each supported E-UTRA band included in *requestedTargetBandFilterNCSG-EUTRA*, include an entry in *needForNCSG-EUTRA* and set the NCSG requirement information for that band; otherwise, include an entry for each supported E-UTRA band in *needForNCSG-EUTRA* and set the corresponding NCSG requirement information;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or

2> if the *RRCReconfiguration* message was received via E-UTRA RRC message *RRCConnectionReconfiguration* within *MobilityFromNRCommand* (handover from NR standalone to (NG)EN-DC);

3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC which is configured via *conditionalReconfiguration* contained in *nr-SecondaryCellGroupConfig* specified in TS 36.331 [10]:

4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.

3> else if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

4> submit the *RRCReconfigurationComplete* message via E-UTRA embedded in E-UTRA RRC message *RRCConnectionResumeComplete* as specified in TS 36.331 [10], clause 5.3.3.4a;

3> else:

4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4/5.4.2.3;

3> if the *scg-State* is not included in the E-UTRA message (*RRCConnectionReconfiguration* or *RRCConnectionResume*) containing the *RRCReconfiguration* message:

4> perform SCG activation as specified in 5.3.5.13a;

4> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else if the SCG was deactivated before the reception of the E-UTRA RRC message containing the *RRCReconfiguration* message:

5> if *bfd-and-RLM* was not configured to *true* before the reception of the E-UTRA *RRCConnectionReconfiguration* or *RRCConnectionResume* message containing the *RRCReconfiguration* message or if lower layers indicate that a Random Access procedure is needed for SCG activation:

6> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

5> else the procedure ends;

4> else the procedure ends;

3> else:

4> perform SCG deactivation as specified in 5.3.5.13b;

4> the procedure ends;

2> if the *RRCReconfiguration* message was received within *nr-SecondaryCellGroupConfig* in *RRCConnectionReconfiguration* message received via SRB3 within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if the *scg-State* is not included in the *RRCConnectionReconfiguration*:

4> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

5> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

4> else the procedure ends;

3> else:

4> perform SCG deactivation as specified in 5.3.5.13b;

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* or *RRCResume* via SRB1):

2> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC which is configured via *conditionalReconfiguration* contained in *nr-SCG* within *mrdc-SecondaryCellGroup*:

3> submit the *RRCReconfigurationComplete* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in clause 5.7.2a.3.

2> if the *scg-State* is not included in the *RRCReconfiguration* or *RRCResume* message containing the *RRCReconfiguration* message:

3> perform SCG activation as specified in 5.3.5.13a;

3> if *reconfigurationWithSync* was included in *spCellConfig* in nr-SCG:

4> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

3> else if the SCG was deactivated before the reception of the NR RRC message containing the *RRCReconfiguration* message:

4> if *bfd-and-RLM* was not configured to *true* before the reception of the *RRCReconfiguration* or *RRCResume* message containing the *RRCReconfiguration* message; or

4> if lower layers indicate that a Random Access procedure is needed for SCG activation:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else the procedure ends;

3> else the procedure ends;

2> else

3> perform SCG deactivation as specified in 5.3.5.13b;

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if the *scg-State* is not included in the *RRCReconfiguration* message containing the *RRCReconfiguration* message:

5> if *reconfigurationWithSync* was included in spCellConfig in nr-SCG:

6> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

5> else:

6> the procedure ends;

4> else:

5> perform SCG deactivation as specified in 5.3.5.13b;

5> the procedure ends;

3> else:

4> if the *RRCReconfiguration* does not include the *mrdc-SecondaryCellGroupConfig*:

5> if the *RRCReconfiguration* includes the *scg-State*:

6> perform SCG deactivation as specified in 5.3.5.13b;

4> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> else:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> if the UE is in NR-DC and;

2> if the *RRCReconfiguration* does not include the *mrdc-SecondaryCellGroupConfig*:

3> if the *RRCReconfiguration* includes the *scg-State*:

4> perform SCG deactivation as specified in 5.3.5.13b;

3> else:

4> perform SCG activation without SN message as specified in 5.3.5.13b1;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if *ta-Report* is configured with value *enabled* and the UE supports TA reporting:

4> indicate TA report initiation to lower layers;

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2, SRB4, DRBs, multicast MRB, and BH RLC channels for IAB-MT, and Uu Relay RLC channels for L2 U2N Relay UE, that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG and when MAC of an NR cell group successfully completes a Random Access procedure triggered above; or,

1> if *sl-PathSwitchConfig* was included in *reconfigurationWithSync* included in *spCellConfig* of an MCG, and when successfully sending *RRCReconfigurationComplete* message (i.e., PC5 RLC acknowledgement is received from target L2 U2N Relay UE):

2> stop timer T304 for that cell group if running;

2> if *sl-PathSwitchConfig* was included in *reconfigurationWithSync*:

3> stop timer T420;

3> release all radio resources, including release of the RLC entities and the MAC configuration at the source side;

3> reset MAC used in the source cell;

NOTE 2b: PDCP and SDAP configured by the source prior to the path switch that are reconfigured and re-used by target when delta signalling is used, are not released as part of this procedure.

2> stop timer T310 for source SpCell if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> for each DRB configured as DAPS bearer, request uplink data switching to the PDCP entity, as specified in TS 38.323 [5];

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if T350 is running:

4> stop timer T350;

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPA or CPC was configured:

3> remove all the entries within the MCG and the SCG *VarConditionalReconfig*, if any;

3> remove all the entries within *VarConditionalReconfiguration* as specified in TS 36.331 [10], clause 5.3.5.9.6, if any;

3> for each *measId* of the MCG *measConfig*, if configured, and for each *measId* of the SCG *measConfig*, if configured, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup* or *secondaryCellGroup*:

3> if the UE initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group during the last 1 second, and the UE is still configured to provide the concerned UE assistance information for the corresponding cell group; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE is configured to provide UE assistance information for the corresponding cell group, and the UE has initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group since it was configured to do so in accordance with 5.7.4.2:

4> initiate transmission of a *UEAssistanceInformation* message for the corresponding cell group in accordance with clause 5.7.4.3 to provide the concerned UE assistance information;

4> start or restart the prohibit timer (if exists) or the leave without response timer for the MUSIM associated with the concerned UE assistance information with the timer value set to the value in corresponding configuration;

3> if *SIB12* is provided by the target PCell, and the UE initiated transmission of a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication/discovery related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync* in *spCellConfig* of an MCG; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution and the UE is capable of NR sidelink communication/discovery and *SIB12* is provided by the target PCell, and the UE has initiated transmission of a *SidelinkUEInformationNR* message since it was configured to do so in accordance with 5.8.3.2:

4> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

2> if *reconfigurationWithSync* was included in *masterCellGroup*:

3> if configured with application layer measurements and if application layer measurement report container has been received from upper layers for which the successful transmission of the message or at least one segment of the message has not been confirmed by lower layers:

4> re-submit the *MeasurementReportAppLayer* message or all segments of the *MeasurementReportAppLayer* message to lower layers for transmission via SRB4;

2> if *reconfigurationWithSync* was included in *masterCellGroup* and the target cell provides *SIB21*:

3> if the UE initiated transmission of an *MBSInterestIndication*message during the last 1 second preceding reception of this *RRCReconfiguration* message; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE has initiated transmission of an *MBSInterestIndication* message after having received this *RRCReconfiguration* message:

4> initiate transmission of an *MBSInterestIndication*message in accordance with clause 5.9.4;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast or MBS multicast data reception, i.e. the broadcast and unicast/MBS multicast beams are quasi co-located.

NOTE 4: The UE sets the content of *UEAssistanceInformation* according to latest configuration (i.e. the configuration after applying the *RRCReconfiguration* message) and latest UE preference. The UE may include more than the concerned UE assistance information within the *UEAssistanceInformation* according to 5.7.4.2. Therefore, the content of *UEAssistanceInformation* message might not be the same as the content of the previous *UEAssistanceInformation* message.

5.3.5.4 Secondary cell group release

The UE shall:

1> as a result of SCG release triggered by E-UTRA (i.e. (NG)EN-DC case) or NR (i.e. NR-DC case):

2> reset SCG MAC, if configured;

2> for each RLC bearer that is part of the SCG configuration:

3> perform RLC bearer release procedure as specified in 5.3.5.5.3;

2> for each BH RLC channel that is part of the SCG configuration:

3> perform BH RLC channel release procedure as specified in 5.3.5.5.10;

2> release the SCG configuration;

2> remove all the entries within the SCG *VarConditionalReconfig*, if any;

2> if SCG release was triggered by NR (i.e. NR-DC case):

3> remove all the entries within the MCG *VarConditionalReconfig* for which the *RRCReconfiguration* within *condRRCReconfig* does not include the *masterCellGroup* with *reconfigurationWithSync*, if any;

2> else (i.e. EN-DC case):

3> perform *VarConditionalReconfiguration* CPC removal as specified in TS 36.331 [10] clause 5.3.5.9.7;

2> stop timer T310 for the corresponding SpCell, if running;

2> stop timer T312 for the corresponding SpCell, if running;

2> stop timer T304 for the corresponding SpCell, if running.

NOTE: Release of cell group means only release of the lower layer configuration of the cell group but the *RadioBearerConfig* may not be released.

#### 5.3.5.13 Conditional Reconfiguration

##### 5.3.5.13.1 General

The network configures the UE with one or more candidate target SpCells in the conditional reconfiguration. The UE evaluates the condition of each configured candidate target SpCell. The UE applies the conditional reconfiguration associated with one of the target SpCells which fulfils associated execution condition.

The network can also configure the UE with one or more candidate target PCells associated with one or more candidate target PSCells. The UE evaluates the conditions for the candidate target PCells and the associated candidate target PSCells in parallel and applies a target configuration for the PCell and the PSCell which both fulfil the associated execution conditions. If there are multiple candidate PSCells associated with one candidate target PCell, the network provides multiple conditional configurations for the same candidate target PCell, i.e. each configuration contains one MCG configuration (for the same candidate target PCell) and one SCG configuration (for one of the multiple associated candidate PSCells). For this case, the network may also provide a complementary CHO only configuration, i.e. there is execution condition only for candidate PCell.

 The network provides the configuration parameters for the target SpCell(s) in the *ConditionalReconfiguration* IE.

In NR-DC, the UE may receive two independent *conditionalReconfiguration*:

- a conditionalReconfiguration associated with MCG, that is included in the *RRCReconfiguration* message received via SRB1; and

- a *conditionalReconfiguration*, associated with SCG, that is included in the *RRCReconfiguration* message received via SRB3, or, alternatively, included within a *RRCReconfiguration* message embedded in a *RRCReconfiguration* message received via SRB1.

In this case:

- the UE maintains two independent *VarConditionalReconfig*, one associated with each *conditionalReconfiguration*;

- the UE independently performs all the procedures in clause 5.3.5.13 for each *conditionalReconfiguration* and the associated *VarConditionalReconfig*, unless explicitly stated otherwise;

- the UE performs the procedures in clause 5.5 for the *VarConditionalReconfig* associated with the same cell group like the *measConfig*.

In EN-DC, the *VarConditionalReconfig* is associated with the SCG.

In NE-DC and when no SCG is configured, the *VarConditionalReconfig* is associated with the MCG.

The UE performs the following actions based on a received *ConditionalReconfiguration* IE:

1> if the *ConditionalReconfiguration* contains the *condReconfigToRemoveList*:

2> perform conditional reconfiguration removal procedure as specified in 5.3.5.13.2;

1> if the *ConditionalReconfiguration* contains the *condReconfigToAddModList*:

2> perform conditional reconfiguration addition/modification as specified in 5.3.5.13.3;

##### 5.3.5.13.2 Conditional reconfiguration removal

The UE shall:

1> for each *condReconfigId* value included in the *condReconfigToRemoveList* that is part of the current UE conditional reconfiguration in *VarConditionalReconfig*:

2> remove the entry with the matching *condReconfigId* from the *VarConditionalReconfig*;

NOTE: The UE does not consider the message as erroneous if the *condReconfigToRemoveList* includes any cond*ReconfigId* value that is not part of the current UE configuration.

##### 5.3.5.13.3 Conditional reconfiguration addition/modification

For each *condReconfigId* received in the *condReconfigToAddModList* IE the UE shall:

1> if an entry with the matching *condReconfigId* exists in the *condReconfigToAddModList* within the *VarConditionalReconfig*:

2> if the entry in *condReconfigToAddModList* includes an *condExecutionCond* or *condExecutionCondSCG* or *condExecutionCondPSCell*;

3> replace *condExecutionCond* or *condExecutionCondSCG* or *condExecutionCondPSCell* within the *VarConditionalReconfig* with the value received for this *condReconfigId*;

2> if the entry in *condReconfigToAddModList* includes an *condRRCReconfig*;

3> replace *condRRCReconfig* within the *VarConditionalReconfig* with the value received for this *condReconfigId*;

1> else:

2> add a new entry for this *condReconfigId* within the *VarConditionalReconfig*;

1> perform conditional reconfiguration evaluation as specified in 5.3.5.13.4;

##### 5.3.5.13.4 Conditional reconfiguration evaluation

The UE shall:

1> for each *condReconfigId* within the *VarConditionalReconfig*:

2> if the *RRCReconfiguration* within *condRRCReconfig* includes the *masterCellGroup* including the *reconfigurationWithSync*:

3> if the associated *condExecutionCondPSCell* is configured:

4> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* within the *masterCellGroup* in the received *condRRCReconfig* to be applicable cell, and

4> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* within the *secondaryCellGroup* within the *nr-SCG*  within the received *condRRCReconfig* to be applicable cell;

3> else:

4> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* within the *masterCellGroup* in the received *condRRCReconfig* to be applicable cell;

2> else if the *RRCReconfiguration* within *condRRCReconfig* includes the *secondaryCellGroup* including the *reconfigurationWithSync*:

3> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* within the *secondaryCellGroup* within the received *condRRCReconfig* to be applicable cell;

2> if *condExecutionCondSCG* is configured:

3> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCondSCG* as a *measId* in the *VarMeasConfig* associated with the SCG *measConfig*;

2> if the *condExecutionCondPSCell* is configured:

3> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCondPSCell* as a *measId* in the *VarMeasConfig* associated with the MCG *measConfig*;

2> if *condExecutionCond* is configured:

3> if it is configured via SRB3 or configured within *nr-SCG* or within *nr-SecondaryCellGroupConfig* (specified in TS 36.331[10]) via SRB1:

4> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCond* as a *measId* in the *VarMeasConfig* associated with the SCG *measConfig*;

3> else:

4> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCond* as a *measId* in the *VarMeasConfig* associated with the MCG *measConfig*;

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *condExecutionCond* or *condExecutionCondSCG* or *condExecutionCondPSCell* associated to *condReconfigId:*

3> if the *condEventId* is associated with *condEventT1*, and if the entry condition applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell; or

3> if the *condEventId* is associated with *condEventD1*, and if the entry conditions applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*; or

3> if the *condEventId* is associated with *condEventA3*, *condEventA4* or *condEventA5*, and if the entry condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*:

4> consider the event associated to that *measId* to be fulfilled;

3> if the *measId* for this event associated with the *condReconfigId* has been modified; or

3> if the *condEventId* is associated with *condEventT1*, and if the leaving condition applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell; or

3> if the *condEventId* is associated with *condEventD1*, and if the leaving condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*; or

3> if the *condEventId* is associated with *condEventA3*, *condEventA4* or *condEventA5*, and if the leaving condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*:

4> consider the event associated to that *measId* to be not fulfilled;

2> if *condExecutionCondPSCell* is not configured:

3> if event(s) associated to all *measId*(s) within *condTriggerConfig* for a target candidate cell within the stored *condRRCReconfig* are fulfilled:

4> consider the target candidate cell within the stored *condRRCReconfig*, associated to that *condReconfigId*, as a triggered cell;

4> initiate the conditional reconfiguration execution, as specified in 5.3.5.13.5;

2> else:

3> if event(s) associated to all *measId*(s), as indicated in the *condExecutionCond* and *condExecutionCondPSCell,* within *condTriggerConfig* for a target candidate cell within the stored *condRRCReconfig* are fulfilled:

4> consider the target candidate PCell within the stored *condRRCReconfig*, associated to that *condReconfigId*, as a triggered PCell;

4> consider the target candidate PSCell within the stored *condRRCReconfig*, associated to that *condReconfigId*, as a triggered PSCell;

4> initiate the conditional reconfiguration execution, as specified in 5.3.5.13.5;

NOTE 1: Up to 2 *MeasId* can be configured for each *condReconfigId* if *condExecutionCondPSCell* is not configured*.* The conditional reconfiguration event of the 2 *MeasId* may have the same or different event conditions, triggering quantity, time to trigger, and triggering threshold.

NOTE 2: Void.

NOTE 3: For CHO with candidate SCGs, up to 2 *MeasId* can be configured for *condExecutionCond* andup to 2 *MeasId* can be configured for *condExecutionCondPSCell*  for each *condReconfigId*.

##### 5.3.5.13.4a Conditional reconfiguration evaluation of SN initiated inter-SN CPC for EN-DC

The UE shall:

1> for each *condReconfigurationId* within the *VarConditionalReconfiguration* specified in TS 36.331[10]:

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *CondReconfigExecCondSCG* contained in the *triggerConditionSN* associated to the *condReconfigurationId* as specified in TS 36.331[10]:

3> if the entry condition(s) applicable for the event associated with that *measId*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event associated with that *measId*:

4> consider this event to be fulfilled;

3> if the *measId* for this event has been modified; or

3> if the leaving condition(s) applicable for this event associated with that *measId*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event associated with that *measId*:

4> consider this event associated to that *measId* to be not fulfilled;

2> if trigger conditions for all events associated with the *measId(s)* indicated in the *CondReconfigExecCondSCG* contained in the *triggerConditionSN* as specified in TS 36.331[10]), are fulfilled:

3> consider the target cell candidate within the *RRCReconfiguration* message contained in *nr-SecondaryCellGroupConfig* in the *RRCConnectionReconfiguration* message, as specified in TS 36.331[10], contained in the stored *condReconfigurationToApply*, associated to that *condReconfigurationId* as specified in TS 36.331[10]), clause 5.3.5.9.4, as a triggered cell;

3> initiate the conditional reconfiguration execution, as specified in TS 36.331[10]), clause 5.3.5.9.5;

NOTE: Void.

##### 5.3.5.13.5 Conditional reconfiguration execution

The UE shall:

1> if more than one pair of triggered PCell and associated triggered PSCell exist:

2> select one of the triggered PCell(s) and the associated triggered PSCell(s) as the selected cells for conditional reconfiguration execution;

1> else if only one pair of triggered PCell and associated triggered PSCell exists:

2> consider the triggered PCell and the associated triggered PSCell as the selected cells for conditional reconfiguration execution;

1> else if more than one triggered cell exists:

2> select one of the triggered cells as the selected cell for conditional reconfiguration execution;

1> else:

2> consider the triggered cell as the selected cell for conditional reconfiguration execution;

1> for the selected cell(s) of conditional reconfiguration execution:

2> apply the stored *condRRCReconfig* of the selected cell(s) and perform the actions as specified in 5.3.5.3;

NOTE: If multiple NR cells are triggered in conditional reconfiguration execution, it is up to UE implementation which one to select, e.g. the UE considers beams and beam quality to select one of the triggered cells for execution.

5.3.7 RRC connection re-establishment

5.3.7.1 General

 ****

**Figure 5.3.7.1-1: RRC connection re-establishment, successful**

****

**Figure 5.3.7.1-2: RRC re-establishment, fallback to RRC establishment, successful**

The purpose of this procedure is to re-establish the RRC connection. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB/multicast MRB setup or, for IAB, SRB2, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds if the network is able to find and verify a valid UE context or, if the UE context cannot be retrieved, and the network responds with an *RRCSetup* according to clause 5.3.3.4.

The network applies the procedure e.g as follows:

- When AS security has been activated and the network retrieves or verifies the UE context:

- to re-activate AS security without changing algorithms;

- to re-establish and resume the SRB1;

- When UE is re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context:

- to discard the stored AS Context and release all RBs and BH RLC channels and Uu Relay RLC channels;

- to fallback to establish a new RRC connection.

If AS security has not been activated, the UE shall not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'other'. If AS security has been activated, but SRB2 and at least one DRB or multicast MRB or, for IAB, SRB2, are not setup, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'RRC connection failure'.

5.3.7.2 Initiation

The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure of the MCG and *t316* is not configured, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while PSCell change or PSCell addition is ongoing, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while the SCG is deactivated, in accordance with 5.3.10; or

1> upon re-configuration with sync failure of the MCG, in accordance with clause 5.3.5.8.3; or

1> upon mobility from NR failure, in accordance with clause 5.4.3.5; or

1> upon integrity check failure indication from lower layers concerning SRB1 or SRB2, except if the integrity check failure is detected on the *RRCReestablishment* message; or

1> upon an RRC connection reconfiguration failure, in accordance with clause 5.3.5.8.2; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with clause 5.3.10.3 in NR-DC or in accordance with TS 36.331 [10] clause 5.3.11.3 in NE-DC; or

1> upon reconfiguration with sync failure of the SCG while MCG transmission is suspended in accordance with clause 5.3.5.8.3; or

1> upon SCG change failure while MCG transmission is suspended in accordance with TS 36.331 [10] clause 5.3.5.7a; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with clause 5.3.5.8.2 in NR-DC or in accordance with TS 36.331 [10] clause 5.3.5.5 in NE-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG is suspended; or

1> upon T316 expiry, in accordance with clause 5.7.3b.5; or

1> upon detecting sidelink radio link failure by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with clause 5.8.9.3; or

1> upon reception of *NotificationMessageSidelink* including *indicationType* by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with clause 5.8.9.10; or

1> upon PC5 unicast link release indicated by upper layer at L2 U2N Remote UE in RRC\_CONNECTED.

NOTE 0: It is up to UE implementation whether to initiate the procedure while T346g is running.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T304, if running;

1> start timer T311;

1> stop timer T316, if running;

1> if UE is not configured with *attemptCondReconfig*:

2> reset MAC;

2> release *spCellConfig*, if configured;

2> suspend all RBs, and BH RLC channels for IAB-MT, and Uu Relay RLC channels for L2 U2N Relay UE, except SRB0 and broadcast MRBs;

2> release the MCG SCell(s), if configured;

2> if MR-DC is configured:

3> perform MR-DC release, as specified in clause 5.3.5.10;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

2> release *idc-AssistanceConfig*, if configured;

2> release *btNameList*, if configured;

2> release *wlanNameList*, if configured;

2> release *sensorNameList*, if configured;

2> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

2> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

2> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

2> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

2> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured stop timer T346e associated with the MCG, if running;

2> release *rlm-RelaxationReportingConfig* for the MCG, if configured and stop timer T346j associated with the MCG, if running;

2> release *bfd-RelaxationReportingConfig* for the MCG, if configured and stop timer T346k associated with the MCG, if running;

2> release *releasePreferenceConfig*, if configured stop timer T346f, if running;

2> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

2> release *referenceTimePreferenceReporting*, if configured;

2> release *sl-AssistanceConfigNR*, if configured;

2> release *obtainCommonLocation*, if configured;

2> release *musim-GapAssistanceConfig*, if configured and stop timer T346h, if running;

2> release *musim-LeaveAssistanceConfig*, if configured;

2> release*ul-GapFR2-PreferenceConfig*, if configured;

2> release *scg-DeactivationPreferenceConfig*, if configured, and stop timer T346i, if running;

2> release *propDelayDiffReportConfig*, if configured;

2> release *rrm-MeasRelaxationReportingConfig*, if configured;

2> release *maxBW-PreferenceConfigFR2-2*, if configured;

2> release *maxMIMO-LayerPreferenceConfigFR2-2*, if configured;

2> release *minSchedulingOffsetPreferenceConfigExt*, if configured;

1> release *successHO-Config*, if configured;

1> if any DAPS bearer is configured:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> release *sl-L2RelayUE-Config*, if configured;

1> release *sl-L2RemoteUE-Config*, if configured;

1> release the SRAP entity, if configured;

1> if the UE is acting as L2 U2N Remote UE:

2> if the PC5-RRC connection with the U2N Relay UE is determined to be released:

3> indicate upper layers to trigger PC5 unicast link release;

3> perform either cell selection in accordance with the cell selection process as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.15.3, or both;

2> else (i.e., maintain the PC5 RRC connection):

3> consider the connected L2 U2N Relay UE as suitable and perform actions as specified in clause 5.3.7.3a;

NOTE 1: It is up to Remote UE implementation whether to release or keep the current PC5 unicast link.

1> else:

2> if the UE is capable of L2 U2N Remote UE:

3> perform either cell selection as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.15.3, or both;

2> else:

3> perform cell selection in accordance with the cell selection process as specified in TS 38.304 [20].

NOTE 2: For L2 U2N Remote UE, if both a suitable cell and a suitable relay are available, the UE can select either one based on its implementation.

5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable NR cell, the UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> stop the relay (re)selection procedure, if ongoing;

1> if the cell selection is triggered by detecting radio link failure of the MCG or re-configuration with sync failure of the MCG or mobility from NR failure, and

1> if *attemptCondReconfig* is configured; and

1> if the selected cell is not configured with *CondEventT1*, or the selected cell is configured with *CondEventT1* and leaving condition has not been fulfilled; and

1> if the selected cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in the MCG *VarConditionalReconfig* and the selected cell is one of the candidate cells for which the *condExecutionCondPSCell* is not included in the *condRRCReconfig* in the MCG *VarConditionalReconfig*:

2> if the UE supports RLF-Report for conditional handover, set the *choCellId* in the *VarRLF-Report* to the global cell identity, if available, otherwise to the physical cell identity and carrier frequency of the selected cell;

2> apply the stored *condRRCReconfig* associated to the selected cell and perform actions as specified in 5.3.5.3;

NOTE 1: It is left to network implementation to how to avoid keystream reuse in case of CHO based recovery after a failed handover without key change.

1> else:

2> if UE is configured with *attemptCondReconfig*:

3> reset MAC;

3> release *spCellConfig*, if configured;

3> release the MCG SCell(s), if configured;

3> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

3> release *overheatingAssistanceConfig* , if configured and stop timer T345, if running;

3> if MR-DC is configured:

4> perform MR-DC release, as specified in clause 5.3.5.10;

3> release *idc-AssistanceConfig*, if configured;

3> release *btNameList*, if configured;

3> release *wlanNameList*, if configured;

3> release *sensorNameList*, if configured;

3> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

3> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

3> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

3> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

3> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured and stop timer T346e associated with the MCG, if running;

3> release *rlm-RelaxationReportingConfig* for the MCG, if configured and stop timer T346j associated with the MCG, if running;

3> release *bfd-RelaxationReportingConfig* for the MCG, if configured and stop timer T346k associated with the MCG, if running;

3> release *releasePreferenceConfig*, if configured and stop timer T346f, if running;

3> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

3> release referenceTimePreferenceReporting, if configured;

3> release *sl-AssistanceConfigNR*, if configured;

3> release *obtainCommonLocation*, if configured;

3> release *scg-DeactivationPreferenceConfig*, if configured, and stop timer T346i, if running;

3> release *musim-GapAssistanceConfig*, if configured and stop timer T346h, if running;

3> release *musim-LeaveAssistanceConfig*, if configured;

3> release *propDelayDiffReportConfig*, if configured;

3> release *ul-GapFR2-PreferenceConfig*, if configured;

3> release *rrm-MeasRelaxationReportingConfig*, if configured;

3> release *maxBW-PreferenceConfigFR2-2*, if configured;

3> release *maxMIMO-LayerPreferenceConfigFR2-2*, if configured;

3> release *minSchedulingOffsetPreferenceConfigExt*, if configured;

3> suspend all RBs, and BH RLC channels for the IAB-MT, except SRB0 and broadcast MRBs;

2> remove all the entries within the MCG *VarConditionalReconfig*, if any;

2> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> for the associated *reportConfigId*:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> release the PC5 RLC entity for SL-RLC0, if any;

2> start timer T301;

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

2> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE 2: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

### 5.5.3 Performing measurements

#### 5.5.3.1 General

An RRC\_CONNECTED UE shall derive cell measurement results by measuring one or multiple beams associated per cell as configured by the network, as described in 5.5.3.3. For all cell measurement results, except for RSSI, and CLI measurement results in RRC\_CONNECTED, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, measurement reporting or the criteria to trigger conditional reconfiguration execution. For cell measurements, the network can configure RSRP, RSRQ, SINR, RSCP or EcN0 as trigger quantity. For CLI measurements, the network can configure SRS-RSRP or CLI-RSSI as trigger quantity. For cell and beam measurements, reporting quantities can be any combination of quantities (i.e. only RSRP; only RSRQ; only SINR; RSRP and RSRQ; RSRP and SINR; RSRQ and SINR; RSRP, RSRQ and SINR; only RSCP; only EcN0; RSCP and EcN0), irrespective of the trigger quantity, and for CLI measurements, reporting quantities can be either SRS-RSRP or CLI-RSSI. For conditional reconfiguration execution, the network can configure up to 2 quantities, both using same RS type. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the CBR measurements. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the Rx-Tx time difference measurements.

The network may also configure the UE to report measurement information per beam (which can either be measurement results per beam with respective beam identifier(s) or only beam identifier(s)), derived as described in 5.5.3.3a. If beam measurement information is configured to be included in measurement reports, the UE applies the layer 3 beam filtering as specified in 5.5.3.2. On the other hand, the exact L1 filtering of beam measurements used to derive cell measurement results is implementation dependent.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell for which *servingCellMO* is configured as follows:

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *ssb*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell measurement results based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *csi-rs*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell measurement results based on CSI-RS, as described in 5.5.3.3;

1> for each serving cell for which *servingCellMO* is configured, if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains SINR as trigger quantity and/or reporting quantity:

2> if the *reportConfig* contains *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell SINR based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* contains *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell SINR based on CSI-RS, as described in 5.5.3.3;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *reportType* for the associated *reportConfig* is set to *reportCGI* and timer T321 is running:

3> if *useAutonomousGaps* is configured for the associated *reportConfig*:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods;

3> if the cell indicated by *reportCGI* field for the associated *measObject* is an NR cell and that indicated cell is broadcasting *SIB1* (see TS 38.213 [13], clause 13):

4> try to acquire *SIB1* in the concerned cell;

3> if the cell indicated by *reportCGI* field is an E-UTRA cell:

4> try to acquire *SystemInformationBlockType1* in the concerned cell;

2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding average UL PDCP packet delay measurement per DRB;

2> if the *ul-ExcessDelayConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding UL PDCP Excess Packet Delay delay measurement according to the configured threshold per DRB;

2> if the *reportType* for the associated *reportConfig* is *periodical*, *eventTriggered*; or

2> if the *reportType* for the associated *reportConfig* is *condTriggerConfig,* the *measId* is within the MCG *measConfig* and is indicated in the *condExecutionCond* or in the *condExecutionCondPSCell* associated to a *condReconfigId* in the MCG *VarConditionalReconfig* (for CHO, CPA or MN-initiated inter-SN CPC in NR-DC); or

2> if the *reportType* for the associated *reportConfig* is *condTriggerConfig*, the *measId* is within the SCG *VarMeasConfig* and is indicated in the *condExecutionCond* associated to a *condReconfigId* in the SCG *VarConditionalReconfig* (for intra-SN CPC); or

2> if the *reportType* for the associated *reportConfig* is *condTriggerConfig*, the *measId* is within the SCG *VarMeasConfig* and is indicated in the *condExecutionCondSCG* associated to a *condReconfigId* in the MCG *VarConditionalReconfig* (for SN-initiated inter-SN CPC in NR-DC); or

2> if the *reportType* for the associated *reportConfig* is *condTriggerConfig*, the *measId* is within the SCG *VarMeasConfig* and is indicated in the *triggerConditionSN* associated to a *condReconfigurationId* in *VarConditionalReconfiguration* as specified in TS 36.331 [10] (for SN-initiated inter-SN CPC in EN-DC):

3> if a measurement gap configuration is setup, or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-MeasureConfig* is not configured, or

4> if *s-MeasureConfig* is set to *ssb-RSRP* and the NR SpCell RSRP based on SS/PBCH block, after layer 3 filtering, is lower than *ssb-RSRP,* or

4> if *s-MeasureConfig* is set to *csi-RSRP* and the NR SpCell RSRP based on CSI-RS, after layer 3 filtering, is lower than *csi-RSRP*:

5> if the *measObject* is associated to NR and the *rsType* is set to *csi-rs*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 filtered beam measurements only based on CSI-RS for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on CSI-RS for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to NR and the *rsType* is set to *ssb*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 beam measurements only based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on SS/PBCH block for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to E-UTRA:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to UTRA-FDD:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to L2 U2N Relay UE:

6> perform the corresponding measurements associated to candidate Relay UEs on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.4;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

NOTE 0: The network avoids configuring UEs supporting only CHO and/or Rel-16 CPC with measurements not referred to by any execution condition.

2> if the *reportType* for the associated *reportConfig* is set to *reportSFTD* and the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than one:

3> if the *reportSFTD-Meas* is set to *true:*

4> if the *measObject* is associated to E-UTRA:

5> perform SFTD measurements between the PCell and the E-UTRA PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the E-UTRA PSCell;

4> else if the *measObject* is associated to NR:

5> perform SFTD measurements between the PCell and the NR PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the NR PSCell based on SSB;

3> else if the *reportSFTD-NeighMeas* is included*:*

4> if the *measObject* is associated to NR:

5> if the *drx-SFTD-NeighMeas* is included:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject* using available idle periods;

5> else:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

5> if the *reportRSRP* is set to *true*:

6> perform RSRP measurements based on SSB for the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is *cli-Periodical* or *cli-EventTriggered*:

3> perform the corresponding measurements associated to CLI measurement resources indicated in the concerned *measObjectCLI*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condTriggerConfig*.

The UE acting as a L2 U2N Remote UE whenever configured with *measConfig* shall:

1> perform the corresponding measurements associated to the serving L2 U2N Relay UE, as described in 5.5.3.4;

NOTE 1: The evaluation of conditional reconfiguration execution criteria is specified in 5.3.5.13.

The UE capable of Rx-Tx time difference measurement when configured with *measObjectRxTxDiff* shall:

1> perform the corresponding Rx-Tx time difference measurements associated with downlink reference signals indicated in the concerned *measObjectRxTxDiff*.

The UE capable of CBR measurement when configured to transmit NR sidelink communication/discovery shall:

1> If the frequency used for NR sidelink communication/discovery is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

2> if the UE is in RRC\_IDLE or in RRC\_INACTIVE:

3> if configured with NR sidelink communication and the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency; or

3> if configured with NR sidelink discovery and the cell chosen for NR sidelink discovery provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* but does not include *sl-DiscTxPoolSelected* forthe concerned frequency:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

3> if configured with NR sidelink discovery and the cell chosen for NR sidelink discovery provides *SIB12* which includes *sl-DiscTxPoolSelected* forthe concerned frequency:

4> perform CBR measurement on pools in *sl-DiscTxPoolSelected* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

2> if the UE is in RRC\_CONNECTED:

3> if *tx-PoolMeasToAddModList* is included in *VarMeasConfig*:

4> perform CBR measurements on each transmission resource pool indicated in the *tx-PoolMeasToAddModList*;

3> if *sl-DiscTxPoolSelected*, *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* is included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*:

4> perform CBR measurement on pool(s) in *sl-DiscTxPoolSelected*, *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* and *sl-TxPoolExceptional* if included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*;

3> else:

4> if configured with NR sidelink communication and the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency; or

4> if configured with NR sidelink discovery and the cell chosen for NR sidelink discovery provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* but does not provide *sl-DiscTxPoolSelected* forthe concerned frequency:

5> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

4> if configured with NR sidelink discovery and the cell chosen for NR sidelink discovery provides *SIB12* which includes *sl-DiscTxPoolSelected* forthe concerned frequency:

5> perform CBR measurement on pools in *sl-DiscTxPoolSelected* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

1> else:

2> if configured with NR sidelink communication and *sl-TxPoolSelectedNormal* is included in *SidelinkPreconfigNR* for the concerned frequency; or

2> if configured with NR sidelink discovery and *sl-TxPoolSelectedNormal* is included in *SidelinkPreconfigNR* but *sl-DiscTxPoolSelected* is not included in *SidelinkPreconfigNR* for the concerned frequency:

3> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* in *SidelinkPreconfigNR* for the concerned frequency.

2> if configured with NR sidelink discovery and *sl-DiscTxPoolSelected* is included in *SidelinkPreconfigNR* for the concerned frequency:

3> perform CBR measurement on pools in *sl-DiscTxPoolSelected* if included in *SidelinkPreconfigNR*.

NOTE 2: In case the configurations for NR sidelink communication and CBR measurement are acquired via the E-UTRA, configurations for NR sidelink communication in *SIB12*, *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in this clause are provided by the configurations in *SystemInformationBlockType28*, *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

NOTE 3: If a UE that is configured by upper layers to transmit V2X sidelink communication is configured by NR with transmission resource pool(s) and the measurement objects concerning V2X sidelink communication (i.e. by *sl-ConfigDedicatedEUTRA-Info*), it shall perform CBR measurement as specified in clause 5.5.3 of TS 36.331 [10], based on the transmission resource pool(s) and the measurement object(s) concerning V2X sidelink communication configured by NR.

NOTE 4: For V2X sidelink communication, each of the CBR measurement results is associated with a resource pool, as indicated by the *poolReportId* (see TS 36.331 [10]), that refers to a pool as included in *sl-ConfigDedicatedEUTRA-Info* or *SIB13*.

### 5.5.4 Measurement report triggering

#### 5.5.4.5 Event A4 (Neighbour becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A4-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A4-2, as specified below, is fulfilled.

Inequality A4-1 (Entering condition)

*Mn + Ofn + Ocn – Hys > Thresh*

Inequality A4-2 (Leaving condition)

*Mn + Ofn + Ocn + Hys < Thresh*

The variables in the formula are defined as follows:

***Mn*** is the measurement result of the neighbouring cell, not taking into account any offsets, or the measurement result of serving PSCell (i.e., in case it is configured as candidate PSCell for CondEvent A4 evaluation) for CHO with candidate SCGs case.

***Ofn*** is the measurement object specific offset of the neighbour cell (i.e. *offsetMO* as defined within *measObjectNR* corresponding to the neighbour cell).

***Ocn*** is the measurement object specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectNR* corresponding to the neighbour cell), and set to zero if not configured for the neighbour cell.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigNR* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *a4-Threshold* as defined within *reportConfigNR* for this event).

***Mn*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

***Ofn, Ocn, Hys*** are expressed in dB.

***Thresh*** is expressed in the same unit as ***Mn***.

NOTE: The definition of Event A4 also applies to CondEvent A4.

5.7.3 SCG failure information

5.7.3.1 General

****

**Figure 5.7.3.1-1: SCG failure information**

The purpose of this procedure is to inform E-UTRAN or NR MN about an SCG failure the UE has experienced i.e. SCG radio link failure, beam failure of the PSCell while the SCG is deactivated, failure of SCG reconfiguration with sync, SCG configuration failure for RRC message on SRB3, SCG integrity check failure, and consistent uplink LBT failures on PSCell for operation with shared spectrum channel access.

5.7.3.2 Initiation

A UE initiates the procedure to report SCG failures when neither MCG nor SCG transmission is suspended and when one of the following conditions is met:

1> upon detecting radio link failure for the SCG, in accordance with clause 5.3.10.3;

1> upon detecting beam failure of the PSCell while the SCG is deactivated, in accordance with TS 38.321[3];

1> upon reconfiguration with sync failure of the SCG, in accordance with clause 5.3.5.8.3;

1> upon SCG configuration failure, in accordance with clause 5.3.5.8.2;

1> upon integrity check failure indication from SCG lower layers concerning SRB3.

Upon initiating the procedure, the UE shall:

1> if the procedure was not initiated due to beam failure of the PSCell while the SCG is deactivated:

2> suspend SCG transmission for all SRBs, DRBs and, if any, BH RLC channels;

2> reset SCG MAC;

1> stop T304 for the SCG, if running;

1> stop conditional reconfiguration evaluation for CPC or CPA, if configured;

1> stop conditional reconfiguration evaluation for CHO for each *condReconfigId* which has *condExecutionCondPSCell* configured, if configured;1> if the UE is in (NG)EN-DC:

2> initiate transmission of the *SCGFailureInformationNR* message as specified in TS 36.331 [10], clause 5.6.13a.

1> else:

2> initiate transmission of the *SCGFailureInformation* message in accordance with 5.7.3.5.

### 6.2.2 Message definitions

*– RRCReconfigurationComplete*

The *RRCReconfigurationComplete* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

***RRCReconfigurationComplete message***

-- ASN1START

-- TAG-RRCRECONFIGURATIONCOMPLETE-START

RRCReconfigurationComplete ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcReconfigurationComplete RRCReconfigurationComplete-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCReconfigurationComplete-IEs ::= SEQUENCE {

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1530-IEs OPTIONAL

}

RRCReconfigurationComplete-v1530-IEs ::= SEQUENCE {

 uplinkTxDirectCurrentList UplinkTxDirectCurrentList OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1560-IEs OPTIONAL

}

RRCReconfigurationComplete-v1560-IEs ::= SEQUENCE {

 scg-Response CHOICE {

 nr-SCG-Response OCTET STRING (CONTAINING RRCReconfigurationComplete),

 eutra-SCG-Response OCTET STRING

 } OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1610-IEs OPTIONAL

}

RRCReconfigurationComplete-v1610-IEs ::= SEQUENCE {

 ue-MeasurementsAvailable-r16 UE-MeasurementsAvailable-r16 OPTIONAL,

 needForGapsInfoNR-r16 NeedForGapsInfoNR-r16 OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1640-IEs OPTIONAL

}

RRCReconfigurationComplete-v1640-IEs ::= SEQUENCE {

 uplinkTxDirectCurrentTwoCarrierList-r16 UplinkTxDirectCurrentTwoCarrierList-r16 OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1700-IEs OPTIONAL

}

RRCReconfigurationComplete-v1700-IEs ::= SEQUENCE {

 needForGapNCSG-InfoNR-r17 NeedForGapNCSG-InfoNR-r17 OPTIONAL,

 needForGapNCSG-InfoEUTRA-r17 NeedForGapNCSG-InfoEUTRA-r17 OPTIONAL,

 selectedCondRRCReconfig-r17 CondReconfigId-r16 OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v1720-IEs OPTIONAL

}

RRCReconfigurationComplete-v1720-IEs ::= SEQUENCE {

 uplinkTxDirectCurrentMoreCarrierList-r17 UplinkTxDirectCurrentMoreCarrierList-r17 OPTIONAL,

 nonCriticalExtension RRCReconfigurationComplete-v18xy-IEs OPTIONAL

}

RRCReconfigurationComplete-v18xy-IEs ::= SEQUENCE {

 selectedPSCellforCHOwithSCGs-r18 SelectedPSCellforCHOwithSCGs-r18 OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

SelectedPSCellforCHOwithSCGs-r18::= SEQUENCE {

 ssbFrequency-r18 ARFCN-ValueNR,

 physCellId-r18 PhysCellId

}

-- TAG-RRCRECONFIGURATIONCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCReconfigurationComplete-IEs* field descriptions** |
| ***needForGapsInfoNR***This field is used to indicate the measurement gap requirement information of the UE for NR target bands. |
| ***needForGapNCSG-InfoEUTRA***This field is used to indicate the measurement gap and NCSG requirement information of the UE for E‑UTRA target bands. |
| ***needForGapNCSG-InfoNR***This field is used to indicate the measurement gap and NCSG requirement information of the UE for NR target bands. |
| ***scg-Response***In case of NR-DC (*nr-SCG-Response*), this field includes the *RRCReconfigurationComplete* message. In case of NE-DC (*eutra-SCG-Response*), this field includes the E-UTRA *RRCConnectionReconfigurationComplete* message as specified in TS 36.331 [10]*.* |
| ***selectedCondRRCReconfig***This field indicates the ID of the selected conditional reconfiguration the UE applied upon the execution of CPA or inter-SN CPC. |
| ***uplinkTxDirectCurrentList***The Tx Direct Current locations for the configured serving cells and BWPs if requested by the NW (see *reportUplinkTxDirectCurrent* in *CellGroupConfig*). |
| ***uplinkTxDirectCurrentMoreCarrierList***The Tx Direct Current locations for the configured intra-band CA requested by *reportUplinkTxDirectCurrentMoreCarrier-r17*. |
| ***uplinkTxDirectCurrentTwoCarrierList***The Tx Direct Current locations for the configured uplink intra-band CA with two carriers if requested by the NW (see *reportUplinkTxDirectCurrentTwoCarrier-r16* in *CellGroupConfig*). |
| ***selectedPSCellforCHOwithSCGs***This fieldindicates the information of the selected target PSCell to target MN at execution of a conditional reconfiguration for CHO with candidate SCG(s). |

### 6.3.2 Radio resource control information elements

*– CondReconfigId*

The IE *CondReconfigId* is used to identify a CHO, CPA or CPC configuration.

***CondReconfigId* information element**

-- ASN1START

-- TAG-CONDRECONFIGID-START

CondReconfigId-r16 ::= INTEGER (1.. maxNrofCondCells-r16)

-- TAG-CONDRECONFIGID-STOP

-- ASN1STOP

Editor’s note: R2 assumes that the maximum number of conditional reconfigurations maxNrofCondCells (i.e., including the coexistence CHO with candidate SCGs, CHO only, CHO with target SCG, CPA/CPC if present) is 8 in Rel-18. FFS whether any optional additional UE cap for higher number is needed.

*– CondReconfigToAddModList*

The IE *CondReconfigToAddModList* concerns a list of conditional reconfigurations to add or modify, with for each entry the *condReconfigId* and the associated *condExecutionCond/condExecutionCondSCG/condExecutionCondPSCell* and *condRRCReconfig*.

***CondReconfigToAddModList* information element**

-- ASN1START

-- TAG-CONDRECONFIGTOADDMODLIST-START

CondReconfigToAddModList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCondCells-r16)) OF CondReconfigToAddMod-r16

CondReconfigToAddMod-r16 ::= SEQUENCE {

 condReconfigId-r16 CondReconfigId-r16,

 condExecutionCond-r16 SEQUENCE (SIZE (1..2)) OF MeasId OPTIONAL, -- Need M

 condRRCReconfig-r16 OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL, -- Cond condReconfigAdd

 ...,

 [[

 condExecutionCondSCG-r17 OCTET STRING (CONTAINING CondReconfigExecCondSCG-r17) OPTIONAL -- Need M

 ]] ,

 [[

 condExecutionCondPSCell-r18 SEQUENCE (SIZE (1..2)) OF MeasId OPTIONAL -- Cond condReconfigCHOwithSCGs

 ]]

}

CondReconfigExecCondSCG-r17 ::= SEQUENCE (SIZE (1..2)) OF MeasId

-- TAG-CONDRECONFIGTOADDMODLIST-STOP

-- ASN1STOP

| ***CondReconfigToAddMod* field descriptions** |
| --- |
| ***condExecutionCond***The execution condition that needs to be fulfilled in order to trigger the execution of a conditional reconfiguration for CHO, CPA, intra-SN CPC without MN involvement or MN initiated inter-SN CPC. When configuring 2 triggering events (Meas Ids) for a candidate cell, the network ensures that both refer to the same *measObject.* For CHO, if the network configures *condEventD1* or *condEventT1* for a candidate cell, the network configures a second triggering event *condEventA3, condEventA4* or *condEventA5* for the same candidate cell. The network does not configure both *condEventD1* and *condEventT1* for the same candidate cell. For CHO in terrestrial networks, the network does not indicate a *MeasId* associated with *condEventA4*. For CPA and for MN-initiated inter-SN CPC, the network only indicates *MeasId*(s) associated with *condEventA4*. For intra-SN CPC, the network only indicates *MeasId*(s) associated with *condEventA3* or *condEventA5*. |
| ***condExecutionCondSCG***Contains execution condition that needs to be fulfilled in order to trigger the execution of a conditional reconfiguration for SN initiated inter-SN CPC. The Meas Ids refer to the *measConfig* associated with the SCG. When configuring 2 triggering events (Meas Ids) for a candidate cell, network ensures that both refer to the same *measObject*. For each *condReconfigId*, the network always configures either *condExecutionCond* or *condExecutionCondSCG* (not both). The network only indicates *MeasId*(s) associated with *condEventA3* or *condEventA5*. |
| ***condExecutionCondPSCell***The execution condition that needs to be fulfilled for the associated PSCell in order to trigger the execution of a conditional reconfiguration for CHO with candidate SCG(s). The Meas Ids refer to the *measConfig* associated with the MCG. When configuring 2 triggering events (Meas Ids) for a candidate cell, network ensures that both refer to the same *measObject*. The network only indicates *MeasId*(s) associated with *condEventA4*. |
| ***condRRCReconfig***The *RRCReconfiguration* message to be applied when the condition(s) are fulfilled. The *RRCReconfiguration* message contained in *condRRCReconfig* cannot contain the field *conditionalReconfiguration* or the field *daps-Config*. |

.

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *condReconfigAdd* | The field is mandatory present when a *condReconfigId* is being added. Otherwise the field is optional, need M. |
| *condReconfigCHOwithSCGs* | This field is optional present, need M, if the *RRCReconfiguration* message contained in corresponding condRRCReconfig includes the *nr-SCG* and *condExecutionCond* is(or has been) configured. Otherwise, it is absent, need M. |

*– ConditionalReconfiguration*

The IE *ConditionalReconfiguration* is used to add, modify and release the configuration of conditional reconfiguration.

***ConditionalReconfiguration* information element**

-- ASN1START

-- TAG-CONDITIONALRECONFIGURATION-START

ConditionalReconfiguration-r16 ::= SEQUENCE {

 attemptCondReconfig-r16 ENUMERATED {true} OPTIONAL, -- Cond CHO

 condReconfigToRemoveList-r16 CondReconfigToRemoveList-r16 OPTIONAL, -- Need N

 condReconfigToAddModList-r16 CondReconfigToAddModList-r16 OPTIONAL, -- Need N

 ...

}

CondReconfigToRemoveList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCondCells-r16)) OF CondReconfigId-r16

-- TAG-CONDITIONALRECONFIGURATION-STOP

-- ASN1STOP

| ***ConditionalReconfiguration* field descriptions** |
| --- |
| ***attemptCondReconfig***If present, the UE shall perform conditional reconfiguration if selected cell is a target candidate cell and it is the first cell selection after failure as described in clause 5.3.7.3. |
| ***condReconfigToAddModList***List of the configuration of candidate SpCells to be added or modified for CHO, CPA or CPC. |
| ***condReconfigToRemoveList***List of the configuration of candidate SpCells to be removed. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *CHO* | The field is optional present, Need R, if the UE is configured with at least a candidate SpCell for CHO. Otherwise the field is not present. |

– *ReportConfigNR*

The IE *ReportConfigNR* specifies criteria for triggering of an NR measurement reporting event or of a CHO, CPA or CPC event or of an L2 U2N relay measurement reporting event. For events labelled AN with N equal to 1, 2 and so on, measurement reporting events and CHO, CPA or CPC events are based on cell measurement results, which can either be derived based on SS/PBCH block or CSI-RS.

Event A1: Serving becomes better than absolute threshold;

Event A2: Serving becomes worse than absolute threshold;

Event A3: Neighbour becomes amount of offset better than PCell/PSCell;

Event A4: Neighbour becomes better than absolute threshold;

Event A5: PCell/PSCell becomes worse than absolute threshold1 AND Neighbour/SCell becomes better than another absolute threshold2;

Event A6: Neighbour becomes amount of offset better than SCell;

Event D1: Distance between UE and a reference location *referenceLocation1* becomes larger than configured threshold *distanceThreshFromReference1* and distance between UE and a reference location *referenceLocation2* becomes shorter than configured threshold *distanceThreshFromReference2*;

CondEvent A3: Conditional reconfiguration candidate becomes amount of offset better than PCell/PSCell;

CondEvent A4: Conditional reconfiguration candidate becomes better than absolute threshold, *condEventA4* can be used for current PSCell (i.e., in case it is configured as candidate PSCell for CondEvent A4 evaluation) for CHO with candidate SCGs case;

CondEvent A5: PCell/PSCell becomes worse than absolute threshold1 AND Conditional reconfiguration candidate becomes better than another absolute threshold2;

CondEvent D1: Distance between UE and a reference location *referenceLocation1* becomes larger than configured threshold *distanceThreshFromReference1* and distance between UE and a reference location *referenceLocation2* of conditional reconfiguration candidate becomes shorter than configured threshold *distanceThreshFromReference2*;

CondEvent T1: Time measured at UE becomes more than configured threshold *t1-Threshold* but is less than *t1-Threshold + duration*;

Event X1: Serving L2 U2N Relay UE becomes worse than absolute threshold1 AND NR Cell becomes better than another absolute threshold2;

Event X2: Serving L2 U2N Relay UE becomes worse than absolute threshold;

For event I1, measurement reporting event is based on CLI measurement results, which can either be derived based on SRS-RSRP or CLI-RSSI.

Event I1: Interference becomes higher than absolute threshold.

***ReportConfigNR* information element**

-- ASN1START

-- TAG-REPORTCONFIGNR-START

ReportConfigNR ::= SEQUENCE {

 reportType CHOICE {

 periodical PeriodicalReportConfig,

 eventTriggered EventTriggerConfig,

 ...,

 reportCGI ReportCGI,

 reportSFTD ReportSFTD-NR,

 condTriggerConfig-r16 CondTriggerConfig-r16,

 cli-Periodical-r16 CLI-PeriodicalReportConfig-r16,

 cli-EventTriggered-r16 CLI-EventTriggerConfig-r16,

 rxTxPeriodical-r17 RxTxPeriodical-r17

 }

}

ReportCGI ::= SEQUENCE {

 cellForWhichToReportCGI PhysCellId,

 ...,

 [[

 useAutonomousGaps-r16 ENUMERATED {setup} OPTIONAL -- Need R

 ]]

}

ReportSFTD-NR ::= SEQUENCE {

 reportSFTD-Meas BOOLEAN,

 reportRSRP BOOLEAN,

 ...,

 [[

 reportSFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

 drx-SFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

 cellsForWhichToReportSFTD SEQUENCE (SIZE (1..maxCellSFTD)) OF PhysCellId OPTIONAL -- Need R

 ]]

}

CondTriggerConfig-r16 ::= SEQUENCE {

 condEventId CHOICE {

 condEventA3 SEQUENCE {

 a3-Offset MeasTriggerQuantityOffset,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 condEventA5 SEQUENCE {

 a5-Threshold1 MeasTriggerQuantity,

 a5-Threshold2 MeasTriggerQuantity,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 ...,

 condEventA4-r17 SEQUENCE {

 a4-Threshold-r17 MeasTriggerQuantity,

 hysteresis-r17 Hysteresis,

 timeToTrigger-r17 TimeToTrigger

 },

 condEventD1-r17 SEQUENCE {

 distanceThreshFromReference1-r17 INTEGER(0.. 65525),

 distanceThreshFromReference2-r17 INTEGER(0.. 65525),

 referenceLocation1-r17 ReferenceLocation-r17,

 referenceLocation2-r17 ReferenceLocation-r17,

 hysteresisLocation-r17 HysteresisLocation-r17,

 timeToTrigger-r17 TimeToTrigger

 },

 condEventT1-r17 SEQUENCE {

 t1-Threshold-r17 INTEGER (0..549755813887),

 duration-r17 INTEGER (1..6000)

 }

 },

 rsType-r16 NR-RS-Type,

 ...

}

EventTriggerConfig::= SEQUENCE {

 eventId CHOICE {

 eventA1 SEQUENCE {

 a1-Threshold MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 eventA2 SEQUENCE {

 a2-Threshold MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 eventA3 SEQUENCE {

 a3-Offset MeasTriggerQuantityOffset,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useAllowedCellList BOOLEAN

 },

 eventA4 SEQUENCE {

 a4-Threshold MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useAllowedCellList BOOLEAN

 },

 eventA5 SEQUENCE {

 a5-Threshold1 MeasTriggerQuantity,

 a5-Threshold2 MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useAllowedCellList BOOLEAN

 },

 eventA6 SEQUENCE {

 a6-Offset MeasTriggerQuantityOffset,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useAllowedCellList BOOLEAN

 },

 ...,

 [[

 eventX1-r17 SEQUENCE {

 x1-Threshold1-Relay-r17 SL-MeasTriggerQuantity-r16,

 x1-Threshold2-r17 MeasTriggerQuantity,

 reportOnLeave-r17 BOOLEAN,

 hysteresis-r17 Hysteresis,

 timeToTrigger-r17 TimeToTrigger,

 useAllowedCellList-r17 BOOLEAN

 },

 eventX2-r17 SEQUENCE {

 x2-Threshold-Relay-r17 SL-MeasTriggerQuantity-r16,

 reportOnLeave-r17 BOOLEAN,

 hysteresis-r17 Hysteresis,

 timeToTrigger-r17 TimeToTrigger

 },

 eventD1-r17 SEQUENCE {

 distanceThreshFromReference1-r17 INTEGER(1.. 65525),

 distanceThreshFromReference2-r17 INTEGER(1.. 65525),

 referenceLocation1-r17 ReferenceLocation-r17,

 referenceLocation2-r17 ReferenceLocation-r17,

 reportOnLeave-r17 BOOLEAN,

 hysteresisLocation-r17 HysteresisLocation-r17,

 timeToTrigger-r17 TimeToTrigger

 }

 ]]

 },

 rsType NR-RS-Type,

 reportInterval ReportInterval,

 reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

 reportQuantityCell MeasReportQuantity,

 maxReportCells INTEGER (1..maxCellReport),

 reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

 maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

 includeBeamMeasurements BOOLEAN,

 reportAddNeighMeas ENUMERATED {setup} OPTIONAL, -- Need R

 ...,

 [[

 measRSSI-ReportConfig-r16 MeasRSSI-ReportConfig-r16 OPTIONAL, -- Need R

 useT312-r16 BOOLEAN OPTIONAL, -- Need M

 includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

 includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

 includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

 includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL -- Need M

 ]],

 [[

 coarseLocationRequest-r17 ENUMERATED {true} OPTIONAL, -- Need R

 reportQuantityRelay-r17 SL-MeasReportQuantity-r16 OPTIONAL -- Need R

 ]]

}

PeriodicalReportConfig ::= SEQUENCE {

 rsType NR-RS-Type,

 reportInterval ReportInterval,

 reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

 reportQuantityCell MeasReportQuantity,

 maxReportCells INTEGER (1..maxCellReport),

 reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

 maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

 includeBeamMeasurements BOOLEAN,

 useAllowedCellList BOOLEAN,

 ...,

 [[

 measRSSI-ReportConfig-r16 MeasRSSI-ReportConfig-r16 OPTIONAL, -- Need R

 includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

 includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

 includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

 includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL, -- Need M

 ul-DelayValueConfig-r16 SetupRelease { UL-DelayValueConfig-r16 } OPTIONAL, -- Need M

 reportAddNeighMeas-r16 ENUMERATED {setup} OPTIONAL -- Need R

 ]],

 [[

 ul-ExcessDelayConfig-r17 SetupRelease { UL-ExcessDelayConfig-r17 } OPTIONAL, -- Need M

 coarseLocationRequest-r17 ENUMERATED {true} OPTIONAL, -- Need R

 reportQuantityRelay-r17 SL-MeasReportQuantity-r16 OPTIONAL -- Need R

 ]]

}

NR-RS-Type ::= ENUMERATED {ssb, csi-rs}

MeasTriggerQuantity ::= CHOICE {

 rsrp RSRP-Range,

 rsrq RSRQ-Range,

 sinr SINR-Range

}

MeasTriggerQuantityOffset ::= CHOICE {

 rsrp INTEGER (-30..30),

 rsrq INTEGER (-30..30),

 sinr INTEGER (-30..30)

}

MeasReportQuantity ::= SEQUENCE {

 rsrp BOOLEAN,

 rsrq BOOLEAN,

 sinr BOOLEAN

}

MeasRSSI-ReportConfig-r16 ::= SEQUENCE {

 channelOccupancyThreshold-r16 RSSI-Range-r16 OPTIONAL -- Need R

}

CLI-EventTriggerConfig-r16 ::= SEQUENCE {

 eventId-r16 CHOICE {

 eventI1-r16 SEQUENCE {

 i1-Threshold-r16 MeasTriggerQuantityCLI-r16,

 reportOnLeave-r16 BOOLEAN,

 hysteresis-r16 Hysteresis,

 timeToTrigger-r16 TimeToTrigger

 },

 ...

 },

 reportInterval-r16 ReportInterval,

 reportAmount-r16 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

 maxReportCLI-r16 INTEGER (1..maxCLI-Report-r16),

 ...

}

CLI-PeriodicalReportConfig-r16 ::= SEQUENCE {

 reportInterval-r16 ReportInterval,

 reportAmount-r16 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

 reportQuantityCLI-r16 MeasReportQuantityCLI-r16,

 maxReportCLI-r16 INTEGER (1..maxCLI-Report-r16),

 ...

}

RxTxPeriodical-r17 ::= SEQUENCE {

 rxTxReportInterval-r17 RxTxReportInterval-r17 OPTIONAL, -- Need R

 reportAmount-r17 ENUMERATED {r1, infinity, spare6, spare5, spare4, spare3, spare2, spare1},

 ...

}

RxTxReportInterval-r17 ::= ENUMERATED {ms80,ms120,ms160,ms240,ms320,ms480,ms640,ms1024,ms1280,ms2048,ms2560,ms5120,spare4,spare3,spare2,spare1}

MeasTriggerQuantityCLI-r16 ::= CHOICE {

 srs-RSRP-r16 SRS-RSRP-Range-r16,

 cli-RSSI-r16 CLI-RSSI-Range-r16

}

MeasReportQuantityCLI-r16 ::= ENUMERATED {srs-rsrp, cli-rssi}

-- TAG-REPORTCONFIGNR-STOP

-- ASN1STOP

|  |
| --- |
| ***CondTriggerConfig* field descriptions** |
| ***a3-Offset***Offset value(s) to be used in NR conditional reconfiguration triggering condition for cond event a3. The actual value is field value \* 0.5 dB. |
| ***a4-Threshold***Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR conditional reconfiguration triggering condition for cond event a4. |
| ***a5-Threshold1/ a5-Threshold2***Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR conditional reconfiguration triggering condition for cond event a5. In the same *condeventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***condEventId***Choice of NR conditional reconfiguration event triggered criteria. |
| ***distanceThreshFromReference1, distanceThreshFromReference2***Distance from a reference location configured with *referenceLocation1* or *referenceLocation2*. Each step represents 50m. |
| ***duration***This field is used for defining the leaving condition T1-2 for conditional HO event *condEventT1*. Each step represents 100ms. |
| ***referenceLocation1, referenceLocation2***Reference locations used for *condEventD1*. The r*eferenceLocation1* is associated to serving cell and *referenceLocation2* is associated to candidate target cell. |
| ***t1-Threshold***The field counts the number of UTC seconds in 10 ms units since 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Sunday, December 31, 1899 and Monday, January 1, 1900). |
| ***timeToTrigger***Time during which specific criteria for the event needs to be met in order to execute the conditional reconfiguration evaluation. |

|  |
| --- |
| ***ReportConfigNR* field descriptions** |
| ***reportType***Type of the configured measurement report. In MR-DC, network does not configure report of type *reportCGI* using SRB3. The *condTriggerConfig is* used for CHO, CPA or CPC configuration. |

|  |
| --- |
| ***ReportCGI* field descriptions** |
| ***useAutonomousGaps***Indicates whether or not the UE is allowed to use autonomous gaps in acquiring system information from the NR neighbour cell. When the field is included, the UE applies the corresponding value for T321. |

|  |
| --- |
| ***EventTriggerConfig* field descriptions** |
| ***a3-Offset/a6-Offset***Offset value(s) to be used in NR measurement report triggering condition for event a3/a6. The actual value is field value \* 0.5 dB. |
| ***aN-ThresholdM***Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR measurement report triggering condition for event number aN. If multiple thresholds are defined for event number aN, the thresholds are differentiated by M. The network configures aN-Threshold1 only for events A1, A2, A4, A5 and a5-Threshold2 only for event A5. In the same *eventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***channelOccupancyThreshold***RSSI threshold which is used for channel occupancy evaluation. |
| ***coarseLocationRequest***This field is used to request UE to report coarse location information. |
| ***distanceThreshFromReference1, distanceThreshFromReference2***Threshold value associated to the distance from a reference location configured with *referenceLocation1* or *referenceLocation2.* Each step represents 50m. |
| ***eventId***Choice of NR event triggered reporting criteria. |
| ***maxNrofRS-IndexesToReport***Max number of RS indexes to include in the measurement report for A1-A6 events. |
| ***maxReportCells***Max number of non-serving cells to include in the measurement report. |
| ***referenceLocation1, referenceLocation2***Reference locations used for *eventD1*. The *referenceLocation1* is associated to serving cell and *referenceLocation2* is associated to neighbour cell. |
| ***reportAddNeighMeas***Indicates that the UE shall include the best neighbour cells per serving frequency. |
| ***reportAmount****Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types. |
| ***reportOnLeave***Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1.Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met if configured in *eventD1*, as specified in 5.5.4.1. |
| ***reportQuantityCell***The cell measurement quantities to be included in the measurement report. |
| ***reportQuantityRS-Indexes***Indicates which measurement information per RS index the UE shall include in the measurement report. |
| ***timeToTrigger***Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |
| ***useAllowedCellList***Indicates whether only the cells included in the allow-list of the associated measObject are applicable as specified in 5.5.4.1. |
| ***useT312***If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObjectNR*. If value FALSE is configured, the timer T312 is considered as disabled. Network configures value *TRUE* only if *reportType* is set to *eventTriggered*. |
| ***xN-ThresholdM***Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR measurement report triggering condition for event xN. If multiple thresholds are defined for event number xN, the thresholds are differentiated by M. x1-Threshold1 and x2-Threshold indicates the threshold value for the serving L2 U2N Relay UE, x1-Threshold2 indicates the threshold value for the NR Cells. |

|  |
| --- |
| ***CLI-EventTriggerConfig* field descriptions** |
| ***i1-Threshold***Threshold value associated to the selected trigger quantity (e.g. SRS-RSRP, CLI-RSSI) to be used in CLI measurement report triggering condition for event i1. |
| ***eventId***Choice of CLI event triggered reporting criteria. |
| ***maxReportCLI***Max number of CLI measurement resource to include in the measurement report. |
| ***reportAmount****Number* of measurement reports. |
| ***reportOnLeave***Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CLI measurement resource in *srsTriggeredList* or *rssiTriggeredList*, as specified in 5.5.4.1. |
| ***timeToTrigger***Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |

|  |
| --- |
| ***CLI-PeriodicalReportConfig* field descriptions** |
| ***maxReportCLI***Max number of CLI measurement resource to include in the measurement report. |
| ***reportAmount****Number* of measurement reports. |
| ***reportQuantityCLI***The CLI measurement quantities to be included in the measurement report. |

|  |
| --- |
| ***PeriodicalReportConfig* field descriptions** |
| ***coarseLocationRequest***This field is used to request UE to report coarse location information. |
| ***maxNrofRS-IndexesToReport***Max number of RS indexes to include in the measurement report. |
| ***maxReportCells***Max number of non-serving cells to include in the measurement report. |
| ***reportAddNeighMeas***Indicates that the UE shall include the best neighbour cells per serving frequency. |
| ***reportAmount****Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types |
| ***reportQuantityCell***The cell measurement quantities to be included in the measurement report. |
| ***reportQuantityRS-Indexes***Indicates which measurement information per RS index the UE shall include in the measurement report. |
| ***ul-DelayValueConfig***If the field is present, the UE shall perform the actual UL PDCP Packet Average Delay measurement per DRB as specified in TS 38.314 [53] and the UE shall ignore the fields *reportQuantityCell* and *maxReportCells*. The applicable values for the corresponding *reportInterval* are (one of the) {ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, ms20480, ms40960, min1,min6, min12, min30}. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Packet Average Delay per DRB measurement as specified in TS 38.314 [53]. |
| ***ul-ExcessDelayConfig***If the field is present, the UE shall perform the actual UL PDCP Excess Packet Delay per DRB measurement as specified in TS 38.314 [53] and the UE shall ignore the fields *reportQuantityCell* and *maxReportCells*. The applicable values for the corresponding *reportInterval* are (one of the) {ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, ms20480, ms40960, min1,min6, min12, min30}. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Excess Packet Delay per DRB measurement as specified in TS 38.314 [53]. |
| ***useAllowedCellList***Indicates whether only the cells included in the allow-list of the associated measObject are applicable as specified in 5.5.4.1. |

|  |
| --- |
| ***ReportSFTD-NR* field descriptions** |
| ***cellForWhichToReportSFTD***Indicates the target NR neighbour cells for SFTD measurement between PCell and NR neighbour cells. |
| ***drx-SFTD-NeighMeas***Indicates that the UE shall use available idle periods (i.e. DRX off periods) for the SFTD measurement in NR standalone. The network only includes *drx-SFTD-NeighMeas* field when *reprtSFTD-NeighMeas* is set to true. |
| ***reportSFTD-Meas***Indicates whether UE is required to perform SFTD measurement between PCell and NR PSCell in NR-DC. |
| ***reportSFTD-NeighMeas***Indicates whether UE is required to perform SFTD measurement between PCell and NR neighbour cells in NR standalone. The network does not include this field if *reportSFTD-Meas* is set to *true*. |
| ***reportRSRP***Indicates whether UE is required to include RSRP result of NR PSCell or NR neighbour cells in SFTD measurement result, derived based on SSB. If it is set to true, the network should ensure that *ssb-ConfigMobility* is included in the measurement object for NR PSCell or NR neighbour cells. |

|  |
| --- |
| ***RxTxPeriodical field descriptions*** |
| ***reportAmount***This field indicates the number of UE Rx-Tx time difference measurement reports. If configured to *r1,* the network does not configure *rxTxReportInterval* and only one measurement is reported. If configured to *infinity*, UE periodically reports measurements according to the periodicity configured by *rxTxReportInterval*. |
| ***rxTxReportInterval***This field indicates the measurement reporting periodicity of UE Rx-Tx time difference. |

|  |
| --- |
| **otherfield descriptions** |
| ***MeasTriggerQuantity***SINR is applicable only for CONNECTED mode events. |

11 Radio information related interactions between network nodes

11.1 General

This clause specifies RRC messages that are transferred between network nodes. These RRC messages may be transferred to or from the UE via another Radio Access Technology. Consequently, these messages have similar characteristics as the RRC messages that are transferred across the NR radio interface, i.e. the same transfer syntax and protocol extension mechanisms apply.

11.2 Inter-node RRC messages

11.2.1 General

This clause specifies RRC messages that are sent either across the X2-, Xn- or the NG-interface, either to or from the gNB, i.e. a single 'logical channel' is used for all RRC messages transferred across network nodes. The information could originate from or be destined for another RAT.

-- ASN1START

-- TAG-NR-INTER-NODE-DEFINITIONS-START

NR-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

 ARFCN-ValueNR,

 ARFCN-ValueEUTRA,

 CellIdentity,

 CGI-InfoEUTRA,

 CGI-InfoNR,

 CondReconfigExecCondSCG-r17,

 CSI-RS-Index,

 CSI-RS-CellMobility,

 DRX-Config,

 EUTRA-PhysCellId,

 FeatureSetDownlinkPerCC-Id,

 FeatureSetUplinkPerCC-Id,

 FreqBandIndicatorNR,

 GapConfig,

 maxBandComb,

 maxBands,

 maxBandsEUTRA,

 maxCellSFTD,

 maxFeatureSetsPerBand,

 maxFreq,

 maxFreqIDC-MRDC,

 maxNrofCombIDC,

 maxNrofCondCells-r16,

 maxNrofCondCells-1-r17,

 maxNrofPhysicalResourceBlocks,

 maxNrofSCells,

 maxNrofServingCells,

 maxNrofServingCells-1,

 maxNrofServingCellsEUTRA,

 maxNrofIndexesToReport,

 maxSimultaneousBands,

 MBSInterestIndication-r17,

 MeasQuantityResults,

 MeasResultCellListSFTD-EUTRA,

 MeasResultCellListSFTD-NR,

 MeasResultList2NR,

 MeasResultSCG-Failure,

 MeasResultServFreqListEUTRA-SCG,

 NeedForGapsInfoNR-r16,

 NeedForGapNCSG-InfoNR-r17,

 NeedForGapNCSG-InfoEUTRA-r17,

 OverheatingAssistance,

 OverheatingAssistance-r17,

 P-Max,

 PhysCellId,

 RadioBearerConfig,

 RAN-NotificationAreaInfo,

 RRCReconfiguration,

 ServCellIndex,

 SetupRelease,

 SSB-Index,

 SSB-MTC,

 SSB-ToMeasure,

 SS-RSSI-Measurement,

 ShortMAC-I,

 SubcarrierSpacing,

 UEAssistanceInformation,

 UE-CapabilityRAT-ContainerList,

 maxNrofCLI-RSSI-Resources-r16,

 maxNrofCLI-SRS-Resources-r16,

 RSSI-ResourceId-r16,

 SDT-Config-r17,

 SidelinkUEInformationNR-r16,

 SRS-ResourceId,

 UE-RadioPagingInfo-r17

FROM NR-RRC-Definitions;

-- TAG-NR-INTER-NODE-DEFINITIONS-STOP

-- ASN1STOP

11.2.2 Message definitions

– *CG-CandidateList*

This message is used to transfer the SCG radio configuration for one or more candidate cells for Conditional PSCell Addition (CPA) or Conditional PSCell Change (CPC) as generated by the candidate target SgNB.

Direction: Secondary gNB to master gNB or eNB.

***CG-CandidateList* message**

-- ASN1START

-- TAG-CG-CANDIDATELIST-START

CG-CandidateList ::= SEQUENCE {

 criticalExtensions CHOICE {

 c1 CHOICE{

 cg-CandidateList-r17 CG-CandidateList-r17-IEs,

 spare3 NULL, spare2 NULL, spare1 NULL

 },

 criticalExtensionsFuture SEQUENCE {}

 }

}

CG-CandidateList-r17-IEs ::= SEQUENCE {

 cg-CandidateToAddModList-r17 SEQUENCE (SIZE (1..maxNrofCondCells-r16)) OF CG-CandidateInfo-r17 OPTIONAL,

 cg-CandidateToReleaseList-r17 SEQUENCE (SIZE (1..maxNrofCondCells-r16)) OF CG-CandidateInfoId-r17 OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

CG-CandidateInfo-r17 ::= SEQUENCE {

 cg-CandidateInfoId-r17 CG-CandidateInfoId-r17,

 candidateCG-Config-r17 OCTET STRING (CONTAINING CG-Config)

}

CG-CandidateInfoId-r17::= SEQUENCE {

 ssbFrequency-r17 ARFCN-ValueNR,

 physCellId-r17 PhysCellId

}

-- TAG-CG-CANDIDATELIST-STOP

-- ASN1STOP

|  |
| --- |
| ***CG-CandidateList* field descriptions** |
| ***cg-CandidateToAddModList***Contains information regarding candidate target cells to be added or modified for Conditional PSCell Addition (CPA) or Conditional PSCell Change (CPC) or CHO with candidate SCG(s) from the candidate target secondary node to the master node. |
| ***cg-CandidateToReleaseList***Contains information regarding candidate target cells for CPA or CPC or CHO with candidate SCG(s) to be removed from the candidate target secondary node to the master node. This list is not used in CPA or CPC preparation. |

|  |
| --- |
| ***CG-CandidateInfo* field descriptions** |
| ***cg-CandidateInfoId***SSB frequency and Physical Cell Identity of the candidate target cell. |
| ***candidateCG-Config****CG-Config* message corresponding to the cell indicated by *cg-CandidateInfoId*. |

– *HandoverCommand*

This message is used to transfer the handover command as generated by the target gNB.

Direction: target gNB to source gNB/source RAN.

***HandoverCommand* message**

-- ASN1START

-- TAG-HANDOVER-COMMAND-START

HandoverCommand ::= SEQUENCE {

 criticalExtensions CHOICE {

 c1 CHOICE{

 handoverCommand HandoverCommand-IEs,

 spare3 NULL, spare2 NULL, spare1 NULL

 },

 criticalExtensionsFuture SEQUENCE {}

 }

}

HandoverCommand-IEs ::= SEQUENCE {

 handoverCommandMessage OCTET STRING (CONTAINING RRCReconfiguration),

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-HANDOVER-COMMAND-STOP

-- ASN1STOP

|  |
| --- |
| ***HandoverCommand* field descriptions** |
| ***handoverCommandMessage***Contains the *RRCReconfiguration* message used to perform handover within NR or handover to NR, as generated (entirely) by the target gNB. |

Editor’s note: The execution condition parameters provided from T-MN to S-MN at least includes *a4-threshold*, *hysteresis* (optional), *timeToTrigger* (optional), *rsType* (optional). FFS more parameters are needed. FFS it is captured in the inter-node RRC message or in the Xn message.

– *HandoverPreparationInformation*

This message is used to transfer the NR RRC information used by the target gNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information. This message is also used for transferring the information between the CU and DU.

Direction: source gNB/source RAN to target gNB or CU to DU.

***HandoverPreparationInformation* message**

-- ASN1START

-- TAG-HANDOVER-PREPARATION-INFORMATION-START

HandoverPreparationInformation ::= SEQUENCE {

 criticalExtensions CHOICE {

 c1 CHOICE{

 handoverPreparationInformation HandoverPreparationInformation-IEs,

 spare3 NULL, spare2 NULL, spare1 NULL

 },

 criticalExtensionsFuture SEQUENCE {}

 }

}

HandoverPreparationInformation-IEs ::= SEQUENCE {

 ue-CapabilityRAT-List UE-CapabilityRAT-ContainerList,

 sourceConfig AS-Config OPTIONAL, -- Cond HO

 rrm-Config RRM-Config OPTIONAL,

 as-Context AS-Context OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

AS-Config ::= SEQUENCE {

 rrcReconfiguration OCTET STRING (CONTAINING RRCReconfiguration),

 ...,

 [[

 sourceRB-SN-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

 sourceSCG-NR-Config OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

 sourceSCG-EUTRA-Config OCTET STRING OPTIONAL

 ]],

 [[

 sourceSCG-Configured ENUMERATED {true} OPTIONAL

 ]],

 [[

 sdt-Config-r17 SDT-Config-r17 OPTIONAL

 ]]

}

AS-Context ::= SEQUENCE {

 reestablishmentInfo ReestablishmentInfo OPTIONAL,

 configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

 ...,

 [[ ran-NotificationAreaInfo RAN-NotificationAreaInfo OPTIONAL

 ]],

 [[ ueAssistanceInformation OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL -- Cond HO2

 ]],

 [[

 selectedBandCombinationSN BandCombinationInfoSN OPTIONAL

 ]],

 [[

 configRestrictInfoDAPS-r16 ConfigRestrictInfoDAPS-r16 OPTIONAL,

 sidelinkUEInformationNR-r16 OCTET STRING OPTIONAL,

 sidelinkUEInformationEUTRA-r16 OCTET STRING OPTIONAL,

 ueAssistanceInformationEUTRA-r16 OCTET STRING OPTIONAL,

 ueAssistanceInformationSCG-r16 OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL, -- Cond HO2

 needForGapsInfoNR-r16 NeedForGapsInfoNR-r16 OPTIONAL

 ]],

 [[

 configRestrictInfoDAPS-v1640 ConfigRestrictInfoDAPS-v1640 OPTIONAL

 ]],

 [[

 needForGapNCSG-InfoNR-r17 NeedForGapNCSG-InfoNR-r17 OPTIONAL,

 needForGapNCSG-InfoEUTRA-r17 NeedForGapNCSG-InfoEUTRA-r17 OPTIONAL,

 mbsInterestIndication-r17 OCTET STRING (CONTAINING MBSInterestIndication-r17) OPTIONAL

 ]]

}

ConfigRestrictInfoDAPS-r16 ::= SEQUENCE {

 powerCoordination-r16 SEQUENCE {

 p-DAPS-Source-r16 P-Max,

 p-DAPS-Target-r16 P-Max,

 uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

 } OPTIONAL

}

ConfigRestrictInfoDAPS-v1640 ::= SEQUENCE {

 sourceFeatureSetPerDownlinkCC-r16 FeatureSetDownlinkPerCC-Id,

 sourceFeatureSetPerUplinkCC-r16 FeatureSetUplinkPerCC-Id

}

ReestablishmentInfo ::= SEQUENCE {

 sourcePhysCellId PhysCellId,

 targetCellShortMAC-I ShortMAC-I,

 additionalReestabInfoList ReestabNCellInfoList OPTIONAL

}

ReestabNCellInfoList ::= SEQUENCE ( SIZE (1..maxCellPrep) ) OF ReestabNCellInfo

ReestabNCellInfo::= SEQUENCE{

 cellIdentity CellIdentity,

 key-gNodeB-Star BIT STRING (SIZE (256)),

 shortMAC-I ShortMAC-I

}

RRM-Config ::= SEQUENCE {

 ue-InactiveTime ENUMERATED {

 s1, s2, s3, s5, s7, s10, s15, s20,

 s25, s30, s40, s50, min1, min1s20, min1s40,

 min2, min2s30, min3, min3s30, min4, min5, min6,

 min7, min8, min9, min10, min12, min14, min17, min20,

 min24, min28, min33, min38, min44, min50, hr1,

 hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,

 hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,

 day2hr12, day3, day4, day5, day7, day10, day14, day19,

 day24, day30, dayMoreThan30} OPTIONAL,

 candidateCellInfoList MeasResultList2NR OPTIONAL,

 ...,

 [[

 candidateCellInfoListSN-EUTRA MeasResultServFreqListEUTRA-SCG OPTIONAL

 ]]

}

-- TAG-HANDOVER-PREPARATION-INFORMATION-STOP

-- ASN1STOP

|  |
| --- |
| ***HandoverPreparationInformation* field descriptions** |
| ***as-Context***Local RAN context required by the target gNB or DU. |
| ***rrm-Config***Local RAN context used mainly for RRM purposes. |
| ***sourceConfig***The radio resource configuration as used in the source cell. |
| ***ue-CapabilityRAT-List***The UE radio access related capabilities concerning RATs supported by the UE. A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |
| ***ue-InactiveTime***Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value *s1* corresponds to 1 second, *s2* corresponds to 2 seconds and so on. Value *min1* corresponds to 1 minute, value *min1s20* corresponds to 1 minute and 20 seconds, value *min1s40* corresponds to 1 minute and 40 seconds and so on. Value *hr1* corresponds to 1 hour, *hr1min30* corresponds to 1 hour and 30 minutes and so on. |

|  |
| --- |
| ***AS-Config* field descriptions** |
| ***rrcReconfiguration***Contains the *RRCReconfiguration* configuration as generated entirely by the MN. If the *TMGI-r17* is included in the *MRB-ToAddMod-r17* in the *RadioBearerConfig*, the *plmn-Index* is replaced by the PLMN ID, if needed. |
| ***sdt-Config***Contains the IE *SDT-Config* as generated entirely by the last serving gNB. This field is only used during the SDT procedure with UE context relocation as defined in TS 38.300 [2], clause 18.2. |
| ***sourceRB-SN-Config***Contains the IE *RadioBearerConfig* as generated entirely by the SN. This field is only used when the UE is configured with SN terminated RB(s). |
| ***sourceSCG-Configured***Value *true* indicates that the UE is configured with NR or EUTRA SCG in source configuration. The field is only used in NR-DC and NE-DC and is included only if the fields *sourceSCG-NR-Config* and *sourceSCG-EUTRA-Config* are absent. |
| ***sourceSCG-EUTRA-Config***Contains the current dedicated SCG configuration in *RRCConnectionReconfiguration* message as specified in TS 36.331 [10] and generated entirely by the SN. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* message can only include the field *scg-Configuration* . This field is only used in NE-DC. |
| ***sourceSCG-NR-Config***Contains the current dedicated SCG configuration in *RRCReconfiguration* message as generated entirely by the SN. In this version of the specification, the *RRCReconfiguration* message can only include fields *secondaryCellGroup* and *measConfig*. This field is only used in NR-DC. |

|  |
| --- |
| ***AS-Context* field descriptions** |
| ***configRestrictInfoDAPS***Includes fields for which source cell explicitly indicates the restriction to be observed by target cell during DAPS handover. |
| ***mbsInterestIndication***Includes the information last reported by the UE in the NR *MBSInterestIndication* message, where the *plmn-Index* (if included by the UE in *tmgi*) is replaced by the PLMN ID, if needed. |
| ***needForGapsInfoNR***Includes measurement gap requirement information of the UE for NR target bands. |
| ***selectedBandCombinationSN***Indicates the band combination selected by SN in (NG)EN-DC, NE-DC, and NR-DC. |
| ***sidelinkUEInformationEUTRA***This field includes *SidelinkUEInformation* IE as specified in TS 36.331 [10]. |
| ***sidelinkUEInformationNR***This field includes *SidelinkUEInformationNR* IE. |
| ***ueAssistanceInformation***Includes for each UE assistance feature the information last reported by the UE, if any. |
| ***ueAssistanceInformationSCG***Includes for each UE assistance feature associated with the SCG, the information last reported by the UE in the NR *UEAssistanceInformation* message for the SCG, if any. |

|  |
| --- |
| ***ConfigRestrictInfoDAPS* field descriptions** |
| ***sourceFeatureSetPerUplinkCC/sourceFeatureSetPerDownlinkCC***Indicates an index referring to the position of the *FeatureSetUplinkPerCC*/*FeatureSetDownlinkPerCC* selected by source in the *featureSetsUplinkPerCC*/*featureSetsDownlinkPerCC*. |

|  |
| --- |
| ***RRM-Config* field descriptions** |
| ***candidateCellInfoList***A list of the best cells on each frequency for which measurement information was available |
| ***candidateCellInfoListSN-EUTRA***A list of EUTRA cells including serving cells and best neighbour cells on each serving frequency, for which measurement results were available. This field is only used in NE-DC.  |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *HO* | The field is mandatory present in case of handover within NR or UE context retrieval, e.g. in case of resume or re-establishment. The field is optionally present in case of handover from E-UTRA/5GC. Otherwise the field is absent. |
| *HO2* | The field is optionally present in case of handover within NR; otherwise the field is absent. |

NOTE 1: The following table indicates per source RAT whether RAT capabilities are included or not.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Source RAT** | **NR capabilities** | **E-UTRA capabilities** | **MR-DC capabilities** | **UTRA capabilities** |
| NR | May be included if UE Radio Capability ID as specified in 23.502 [43] is used for the UE. Included otherwise. | May be included | May be included | May be included, ignored by gNB if received |
| E-UTRAN | May be included if UE Radio Capability ID as specified in 23.502 [43] is used for the UE. Included otherwise. | May be included | May be included | May be included, ignored by gNB if received |

NOTE 2: The following table indicates, in case of inter-RAT handover from E-UTRA, which additional IEs are included or not:

|  |  |  |  |
| --- | --- | --- | --- |
| **Source system** | **sourceConfig** | **rrm-Config** | **as-Context** |
| E-UTRA/EPC | Not included | May be included | Not included |
| E-UTRA/5GC | May be included, but only *radioBearerConfig* is included in the *RRCReconfiguration*. | May be included | Not included |

*– CG-ConfigInfo*

This message is used by master eNB or gNB to request the SgNB or SeNB to perform certain actions e.g. to establish, modify or release an SCG. The message may include additional information e.g. to assist the SgNB or SeNB to set the SCG configuration. It can also be used by a CU to request a DU to perform certain actions, e.g. to establish, or modify an MCG or SCG.

Direction: Master eNB or gNB to secondary gNB or eNB, alternatively CU to DU.

***CG-ConfigInfo* message**

-- ASN1START

-- TAG-CG-CONFIG-INFO-START

CG-ConfigInfo ::= SEQUENCE {

 criticalExtensions CHOICE {

 c1 CHOICE{

 cg-ConfigInfo CG-ConfigInfo-IEs,

 spare3 NULL, spare2 NULL, spare1 NULL

 },

 criticalExtensionsFuture SEQUENCE {}

 }

}

CG-ConfigInfo-IEs ::= SEQUENCE {

 ue-CapabilityInfo OCTET STRING (CONTAINING UE-CapabilityRAT-ContainerList) OPTIONAL,-- Cond SN-AddMod

 candidateCellInfoListMN MeasResultList2NR OPTIONAL,

 candidateCellInfoListSN OCTET STRING (CONTAINING MeasResultList2NR) OPTIONAL,

 measResultCellListSFTD-NR MeasResultCellListSFTD-NR OPTIONAL,

 scgFailureInfo SEQUENCE {

 failureType ENUMERATED { t310-Expiry, randomAccessProblem,

 rlc-MaxNumRetx, synchReconfigFailure-SCG,

 scg-reconfigFailure,

 srb3-IntegrityFailure},

 measResultSCG OCTET STRING (CONTAINING MeasResultSCG-Failure)

 } OPTIONAL,

 configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

 drx-InfoMCG DRX-Info OPTIONAL,

 measConfigMN MeasConfigMN OPTIONAL,

 sourceConfigSCG OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

 scg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

 mcg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

 mrdc-AssistanceInfo MRDC-AssistanceInfo OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1540-IEs OPTIONAL

}

CG-ConfigInfo-v1540-IEs ::= SEQUENCE {

 ph-InfoMCG PH-TypeListMCG OPTIONAL,

 measResultReportCGI SEQUENCE {

 ssbFrequency ARFCN-ValueNR,

 cellForWhichToReportCGI PhysCellId,

 cgi-Info CGI-InfoNR

 } OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1560-IEs OPTIONAL

}

CG-ConfigInfo-v1560-IEs ::= SEQUENCE {

 candidateCellInfoListMN-EUTRA OCTET STRING OPTIONAL,

 candidateCellInfoListSN-EUTRA OCTET STRING OPTIONAL,

 sourceConfigSCG-EUTRA OCTET STRING OPTIONAL,

 scgFailureInfoEUTRA SEQUENCE {

 failureTypeEUTRA ENUMERATED { t313-Expiry, randomAccessProblem,

 rlc-MaxNumRetx, scg-ChangeFailure},

 measResultSCG-EUTRA OCTET STRING

 } OPTIONAL,

 drx-ConfigMCG DRX-Config OPTIONAL,

 measResultReportCGI-EUTRA SEQUENCE {

 eutraFrequency ARFCN-ValueEUTRA,

 cellForWhichToReportCGI-EUTRA EUTRA-PhysCellId,

 cgi-InfoEUTRA CGI-InfoEUTRA

 } OPTIONAL,

 measResultCellListSFTD-EUTRA MeasResultCellListSFTD-EUTRA OPTIONAL,

 fr-InfoListMCG FR-InfoList OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1570-IEs OPTIONAL

}

CG-ConfigInfo-v1570-IEs ::= SEQUENCE {

 sftdFrequencyList-NR SFTD-FrequencyList-NR OPTIONAL,

 sftdFrequencyList-EUTRA SFTD-FrequencyList-EUTRA OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1590-IEs OPTIONAL

}

CG-ConfigInfo-v1590-IEs ::= SEQUENCE {

 servFrequenciesMN-NR SEQUENCE (SIZE (1.. maxNrofServingCells-1)) OF ARFCN-ValueNR OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1610-IEs OPTIONAL

}

CG-ConfigInfo-v1610-IEs ::= SEQUENCE {

 drx-InfoMCG2 DRX-Info2 OPTIONAL,

 alignedDRX-Indication ENUMERATED {true} OPTIONAL,

 scgFailureInfo-r16 SEQUENCE {

 failureType-r16 ENUMERATED { scg-lbtFailure-r16, beamFailureRecoveryFailure-r16,

 t312-Expiry-r16, bh-RLF-r16,

 beamFailure-r17, spare3, spare2, spare1},

 measResultSCG-r16 OCTET STRING (CONTAINING MeasResultSCG-Failure)

 } OPTIONAL,

 dummy1 SEQUENCE {

 failureTypeEUTRA-r16 ENUMERATED { scg-lbtFailure-r16, beamFailureRecoveryFailure-r16,

 t312-Expiry-r16, spare5,

 spare4, spare3, spare2, spare1},

 measResultSCG-EUTRA-r16 OCTET STRING

 } OPTIONAL,

 sidelinkUEInformationNR-r16 OCTET STRING (CONTAINING SidelinkUEInformationNR-r16) OPTIONAL,

 sidelinkUEInformationEUTRA-r16 OCTET STRING OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1620-IEs OPTIONAL

}

CG-ConfigInfo-v1620-IEs ::= SEQUENCE {

 ueAssistanceInformationSourceSCG-r16 OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1640-IEs OPTIONAL

}

CG-ConfigInfo-v1640-IEs ::= SEQUENCE {

 servCellInfoListMCG-NR-r16 ServCellInfoListMCG-NR-r16 OPTIONAL,

 servCellInfoListMCG-EUTRA-r16 ServCellInfoListMCG-EUTRA-r16 OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1700-IEs OPTIONAL

}

CG-ConfigInfo-v1700-IEs ::= SEQUENCE {

 candidateCellListCPC-r17 CandidateCellListCPC-r17 OPTIONAL,

 twoPHRModeMCG-r17 ENUMERATED {enabled} OPTIONAL,

 lowMobilityEvaluationConnectedInPCell-r17 ENUMERATED {enabled} OPTIONAL,

 nonCriticalExtension CG-ConfigInfo-v1730-IEs OPTIONAL

}

CG-ConfigInfo-v1730-IEs ::= SEQUENCE {

 fr1-Carriers-MCG-r17 INTEGER (1..32) OPTIONAL,

 fr2-Carriers-MCG-r17 INTEGER (1..32) OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

ServCellInfoListMCG-NR-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCells)) OF ServCellInfoXCG-NR-r16

ServCellInfoListMCG-EUTRA-r16 ::= SEQUENCE (SIZE (1.. maxNrofServingCellsEUTRA)) OF ServCellInfoXCG-EUTRA-r16

SFTD-FrequencyList-NR ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueNR

SFTD-FrequencyList-EUTRA ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueEUTRA

ConfigRestrictInfoSCG ::= SEQUENCE {

 allowedBC-ListMRDC BandCombinationInfoList OPTIONAL,

 powerCoordination-FR1 SEQUENCE {

 p-maxNR-FR1 P-Max OPTIONAL,

 p-maxEUTRA P-Max OPTIONAL,

 p-maxUE-FR1 P-Max OPTIONAL

 } OPTIONAL,

 servCellIndexRangeSCG SEQUENCE {

 lowBound ServCellIndex,

 upBound ServCellIndex

 } OPTIONAL, -- Cond SN-AddMod

 maxMeasFreqsSCG INTEGER(1..maxMeasFreqsMN) OPTIONAL,

 dummy INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

 ...,

 [[

 selectedBandEntriesMNList SEQUENCE (SIZE (1..maxBandComb)) OF SelectedBandEntriesMN OPTIONAL,

 pdcch-BlindDetectionSCG INTEGER (1..15) OPTIONAL,

 maxNumberROHC-ContextSessionsSN INTEGER(0.. 16384) OPTIONAL

 ]],

 [[

 maxIntraFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

 maxInterFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL

 ]],

 [[

 p-maxNR-FR1-MCG-r16 P-Max OPTIONAL,

 powerCoordination-FR2-r16 SEQUENCE {

 p-maxNR-FR2-MCG-r16 P-Max OPTIONAL,

 p-maxNR-FR2-SCG-r16 P-Max OPTIONAL,

 p-maxUE-FR2-r16 P-Max OPTIONAL

 } OPTIONAL,

 nrdc-PC-mode-FR1-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

 nrdc-PC-mode-FR2-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

 maxMeasSRS-ResourceSCG-r16 INTEGER(0..maxNrofCLI-SRS-Resources-r16) OPTIONAL,

 maxMeasCLI-ResourceSCG-r16 INTEGER(0..maxNrofCLI-RSSI-Resources-r16) OPTIONAL,

 maxNumberEHC-ContextsSN-r16 INTEGER(0..65536) OPTIONAL,

 allowedReducedConfigForOverheating-r16 OverheatingAssistance OPTIONAL,

 maxToffset-r16 T-Offset-r16 OPTIONAL

 ]],

 [[

 allowedReducedConfigForOverheating-r17 OverheatingAssistance-r17 OPTIONAL,

 maxNumberUDC-DRB-r17 INTEGER(0..2) OPTIONAL,

 maxNumberCPCCandidates-r17 INTEGER(0..maxNrofCondCells-1-r17) OPTIONAL

 ]]

}

SelectedBandEntriesMN ::= SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandEntryIndex

BandEntryIndex ::= INTEGER (0.. maxNrofServingCells)

PH-TypeListMCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF PH-InfoMCG

PH-InfoMCG ::= SEQUENCE {

 servCellIndex ServCellIndex,

 ph-Uplink PH-UplinkCarrierMCG,

 ph-SupplementaryUplink PH-UplinkCarrierMCG OPTIONAL,

 ...,

 [[

 twoSRS-PUSCH-Repetition-r17 ENUMERATED{enabled} OPTIONAL

 ]]

}

PH-UplinkCarrierMCG ::= SEQUENCE{

 ph-Type1or3 ENUMERATED {type1, type3},

 ...

}

BandCombinationInfoList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombinationInfo

BandCombinationInfo ::= SEQUENCE {

 bandCombinationIndex BandCombinationIndex,

 allowedFeatureSetsList SEQUENCE (SIZE (1..maxFeatureSetsPerBand)) OF FeatureSetEntryIndex

}

FeatureSetEntryIndex ::= INTEGER (1.. maxFeatureSetsPerBand)

DRX-Info ::= SEQUENCE {

 drx-LongCycleStartOffset CHOICE {

 ms10 INTEGER(0..9),

 ms20 INTEGER(0..19),

 ms32 INTEGER(0..31),

 ms40 INTEGER(0..39),

 ms60 INTEGER(0..59),

 ms64 INTEGER(0..63),

 ms70 INTEGER(0..69),

 ms80 INTEGER(0..79),

 ms128 INTEGER(0..127),

 ms160 INTEGER(0..159),

 ms256 INTEGER(0..255),

 ms320 INTEGER(0..319),

 ms512 INTEGER(0..511),

 ms640 INTEGER(0..639),

 ms1024 INTEGER(0..1023),

 ms1280 INTEGER(0..1279),

 ms2048 INTEGER(0..2047),

 ms2560 INTEGER(0..2559),

 ms5120 INTEGER(0..5119),

 ms10240 INTEGER(0..10239)

 },

 shortDRX SEQUENCE {

 drx-ShortCycle ENUMERATED {

 ms2, ms3, ms4, ms5, ms6, ms7, ms8, ms10, ms14, ms16, ms20, ms30, ms32,

 ms35, ms40, ms64, ms80, ms128, ms160, ms256, ms320, ms512, ms640, spare9,

 spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 },

 drx-ShortCycleTimer INTEGER (1..16)

 } OPTIONAL

}

DRX-Info2 ::= SEQUENCE {

 drx-onDurationTimer CHOICE {

 subMilliSeconds INTEGER (1..31),

 milliSeconds ENUMERATED {

 ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60,

 ms80, ms100, ms200, ms300, ms400, ms500, ms600, ms800, ms1000, ms1200,

 ms1600, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 }

 }

}

MeasConfigMN ::= SEQUENCE {

 measuredFrequenciesMN SEQUENCE (SIZE (1..maxMeasFreqsMN)) OF NR-FreqInfo OPTIONAL,

 measGapConfig SetupRelease { GapConfig } OPTIONAL,

 gapPurpose ENUMERATED {perUE, perFR1} OPTIONAL,

 ...,

 [[

 measGapConfigFR2 SetupRelease { GapConfig } OPTIONAL

 ]],

 [[

 interFreqNoGap-r16 ENUMERATED {true} OPTIONAL

 ]]

}

MRDC-AssistanceInfo ::= SEQUENCE {

 affectedCarrierFreqCombInfoListMRDC SEQUENCE (SIZE (1..maxNrofCombIDC)) OF AffectedCarrierFreqCombInfoMRDC,

 ...,

 [[

 overheatingAssistanceSCG-r16 OCTET STRING (CONTAINING OverheatingAssistance) OPTIONAL

 ]],

 [[

 overheatingAssistanceSCG-FR2-2-r17 OCTET STRING (CONTAINING OverheatingAssistance-r17) OPTIONAL

 ]]

}

AffectedCarrierFreqCombInfoMRDC ::= SEQUENCE {

 victimSystemType VictimSystemType,

 interferenceDirectionMRDC ENUMERATED {eutra-nr, nr, other, utra-nr-other, nr-other, spare3, spare2, spare1},

 affectedCarrierFreqCombMRDC SEQUENCE {

 affectedCarrierFreqCombEUTRA AffectedCarrierFreqCombEUTRA OPTIONAL,

 affectedCarrierFreqCombNR AffectedCarrierFreqCombNR

 } OPTIONAL

}

VictimSystemType ::= SEQUENCE {

 gps ENUMERATED {true} OPTIONAL,

 glonass ENUMERATED {true} OPTIONAL,

 bds ENUMERATED {true} OPTIONAL,

 galileo ENUMERATED {true} OPTIONAL,

 wlan ENUMERATED {true} OPTIONAL,

 bluetooth ENUMERATED {true} OPTIONAL

}

AffectedCarrierFreqCombEUTRA ::= SEQUENCE (SIZE (1..maxNrofServingCellsEUTRA)) OF ARFCN-ValueEUTRA

AffectedCarrierFreqCombNR ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF ARFCN-ValueNR

CandidateCellListCPC-r17 ::= SEQUENCE (SIZE (1..maxFreq)) OF CandidateCellCPC-r17

CandidateCellCPC-r17 ::= SEQUENCE {

 ssbFrequency-r17 ARFCN-ValueNR,

 candidateCellList-r17 SEQUENCE (SIZE (1..maxNrofCondCells-r16)) OF PhysCellId

}

-- TAG-CG-CONFIG-INFO-STOP

-- ASN1STOP

|  |
| --- |
| ***CG-ConfigInfo* field descriptions** |
| ***alignedDRX-Indication***This field is signalled upon MN triggered CGI reporting by the UE that requires aligned DRX configurations between the MCG and the SCG (i.e. same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN). |
| ***allowedBC-ListMRDC***A list of indices referring to band combinations in MR-DC capabilities from which SN is allowed to select the SCG band combination. Each entry refers to:- a band combination numbered according to *supportedBandCombinationList* and *supportedBandCombinationList-UplinkTxSwitch* in the *UE-MRDC-Capability* (in case of (NG)EN-DC), or according to *supportedBandCombinationList* and *supportedBandCombinationListNEDC-Only* in the *UE-MRDC-Capability* (in case of NE-DC), or according to *supportedBandCombinationList* in the UE-NR-Capability (in case of NR-DC),- and the Feature Sets allowed for each band entry. All MR-DC band combinations indicated by this field comprise the MCG band combination, which is a superset of the MCG band(s) selected by MN. |
| ***allowedReducedConfigForOverheating***Indicates the reduced configuration that the SCG is allowed to configure.*reducedMaxCCs* in *allowedReducedConfigForOverheating* indicates the maximum number of downlink/uplink PSCell/SCells that the SCG is allowed to configure. This field is used in (NG)EN-DC and NR-DC.*reducedMaxBW-FR1* and *reducedMaxBW-FR2* in *allowedReducedConfigForOverheating* indicates the maximum aggregated bandwidth across all downlink/uplink carriers of FR1 and FR2-1, respectively that the SCG is allowed to configure. *reducedMaxBW-FR2-2* in *allowedReducedConfigForOverheating-r17* indicates the maximum aggregated bandwidth across all downlink/uplink carriers of FR2-2 that the SCG is allowed to configure. This field is only used in NR-DC.*reducedMaxMIMO-LayersFR1* and *reducedMaxMIMO-LayersFR2* in *allowedReducedConfigForOverheating* indicates the maximum number of downlink/uplink MIMO layers of each serving cell operating on FR1 and FR2-1, respectively that the SCG is allowed to configure. *reducedMaxMIMO-LayersFR2-2* in *allowedReducedConfigForOverheating-r17* indicates the maximum number of downlink/uplink MIMO layers of each serving cell operating on FR2-2 that the SCG is allowed to configure. This field is only used in NR-DC. |
| ***candidateCellInfoListMN***, ***candidateCellInfoListSN***Contains information regarding cells that the master node or the source node suggests the target gNB or DU to consider configuring. In case of MN initiated CPA , CPC or CHO with candidate SCG(s), the field *candidateCellInfoListMN* contains information regarding cells that the MN suggests the candidate target secondary node to consider configuring for MN initiated CPA , CPC or CHO with candidate SCG(s).For (NG)EN-DC, including CSI-RS measurement results in *candidateCellInfoListMN* is not supported in this version of the specification. For NR-DC, including SSB and/or CSI-RS measurement results in *candidateCellInfoListMN* is supported. |
| ***candidateCellInfoListMN-EUTRA***, ***candidateCellInfoListSN-EUTRA***Includes the *MeasResultList3EUTRA* as specified in TS 36.331 [10]. Contains information regarding cells that the master node or the source node suggests the target secondary eNB to consider configuring. These fields are only used in NE-DC. |
| ***candidateCellListCPC***Contains information regarding cells that the source secondary node suggests the candidate target secondary node to consider configuring for SN initiated Conditional PSCell Change (CPC). |
| ***configRestrictInfo***Includes fields for which SgNB is explicitly indicated to observe a configuration restriction. |
| ***drx-ConfigMCG***This field contains the complete DRX configuration of the MCG. This field is only used in NR-DC. |
| ***drx-InfoMCG***This field contains the DRX long and short cycle configuration of the MCG. This field is used in (NG)EN-DC and NE-DC. |
| ***drx-InfoMCG2***This field contains the *drx-onDurationTimer* configuration of the MCG. This field is only used in (NG)EN-DC. |
| ***dummy, dummy1***These fields are not used in the specification and SN ignores the received value(s). |
| ***fr-InfoListMCG***Contains information of FR information of serving cells that include PCell and SCell(s) configured in MCG. |
| ***fr1-Carriers-MCG, fr2-Carriers-MCG***Indicates the number of FR1 or FR2 serving cells configured in MCG. |
| ***interFreqNoGap***Indicates that the field *interFrequencyConfig-NoGap-r16* has been included within the *MeasConfig* IE generated by the MN. |
| ***lowMobilityEvaluationConnectedInPCell***Indicates if low mobility criterion has been configured in NR PCell. |
| ***maxInterFreqMeasIdentitiesSCG***Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for inter-frequency measurement. The maximum value for this field is 10. If the field is absent, the SCG is allowed to configure inter-frequency measurements up to the maximum value. This field is only used in NR-DC. |
| ***maxIntraFreqMeasIdentitiesSCG***Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for intra-frequency measurement on each serving frequency. The maximum value for this field is 9 (in case of (NG)EN-DC or NR-DC) or 10 (in case of NE-DC). If the field is absent, the SCG is allowed to configure intra-frequency measurements up to the maximum value on each serving frequency. |
| ***maxMeasCLI-ResourceSCG***Indicates the maximum number of CLI RSSI resources that the SCG is allowed to configure. |
| ***maxMeasFreqsSCG***Indicates the maximum number of NR inter-frequency carriers the SN is allowed to configure with PSCell for measurements. |
| ***maxMeasSRS-ResourceSCG***Indicates the maximum number of SRS resources that the SCG is allowed to configure for CLI measurement. |
| ***maxNumberCPCCandidates***Indicates the maximum numbers of conditional reconfigurations the SN is allowed to configure for SN initiated CPC. Value 0 indicates that the SN is not allowed to configure SN initiated CPC. If the field is absent, the SN is allowed to configure up to *maxNrofCondCells-r16* conditional reconfigurations for SN-initiated CPC. |
| ***maxNumberROHC-ContextSessionsSN***Indicates the maximum number of ROHC context sessions allowed to SN terminated bearer, excluding context sessions that leave all headers uncompressed. |
| ***maxNumberEHC-ContextsSN***Indicates the maximum number of EHC contexts allowed to the SN terminated bearer. The field indicates the number of contexts in addition to CID = "all zeros", as specified in TS 38.323 [5]. |
| ***maxNumberUDC-DRB***Indicates the maximum number of UDC DRBs allowed to SN terminated bearer. This field is used in NGEN-DC, NR-DC and NE-DC. |
| ***maxToffset***Indicates the maximum Toffset value the SN is allowed to use for scheduling SCG transmissions (see TS 38.213 [13]). This field is used in NR-DC only when the fields *nrdc-PC-mode-FR1-r16* or *nrdc-PC-mode-FR2-r16* are set to dynamic. Value *ms0dot5* corresponds to 0.5 ms, value *ms0dot75* corresponds to 0.75 ms, value *ms1* corresponds to 1 ms and so on. |
| ***measuredFrequenciesMN***Used by MN to indicate a list of frequencies measured by the UE. |
| ***measGapConfig***Indicates the FR1 and perUE measurement gap configuration configured by MN. |
| ***measGapConfigFR2***Indicates the FR2 measurement gap configuration configured by MN. |
| ***mcg-RB-Config***Contains all of the fields in the IE *RadioBearerConfig* used in MN, used by the SN to support delta configuration to UE (i.e. when MN does not use full configuration option), for bearer type change between MN terminated bearer with NR PDCP to SN terminated bearer. It is also used to indicate the PDCP duplication related information for MN terminated split bearer (whether duplication is configured and if so, whether it is initially activated) in SN Addition/Modification procedure. Otherwise, this field is absent. |
| ***measResultReportCGI, measResultReportCGI-EUTRA***Used by MN to provide SN with CGI-Info for the cell as per SN′s request. In this version of the specification, the *measResultReportCGI* is used for (NG)EN-DC and NR-DC and the *measResultReportCGI-EUTRA* is used only for NE-DC. |
| ***measResultSCG-EUTRA***This field includes the *MeasResultSCG-FailureMRDC* IE as specified in TS 36.331 [10]. This field is only used in NE-DC. |
| ***measResultSFTD-EUTRA***SFTD measurement results between the PCell and the E-UTRA PScell in NE-DC. This field is only used in NE-DC. |
| ***mrdc-AssistanceInfo***Contains the IDC assistance information for MR-DC reported by the UE (see TS 36.331 [10]). |
| ***nrdc-PC-mode-FR1***Indicates the uplink power sharing mode that the UE uses in NR-DC FR1 (see TS 38.213 [13], clause 7.6). |
| ***nrdc-PC-mode-FR2***Indicates the uplink power sharing mode that the UE uses in NR-DC FR2 (see TS 38.213 [13], clause 7.6). |
| ***overheatingAssistanceSCG***Contains the UE's preference on reduced configuration for NR SCG to address overheating. This field is only used in (NG)EN-DC. |
| ***overheatingAssistanceSCG-FR2-2***Contains the UE's preference on reduced configuration for NR SCG on FR2-2 to address overheating. This field is only used in (NG)EN-DC. |
| ***p-maxEUTRA***Indicates the maximum total transmit power to be used by the UE in the E-UTRA cell group (see TS 36.104 [33]). This field is used in (NG)EN-DC and NE-DC. |
| ***p-maxNR-FR1***For (NG)EN-DC and NE-DC, the field indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]). For NR-DC, it indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]) the UE can use in NR SCG. |
| ***p-maxUE-FR1***Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1). |
| ***p-maxNR-FR1-MCG***Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]) the UE can use in NR MCG. This field is only used in NR-DC. |
| ***p-maxNR-FR2-SCG***Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR SCG. |
| ***p-maxUE-FR2***Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 2 (FR2). |
| ***p-maxNR-FR2-MCG***Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR MCG. |
| ***pdcch-BlindDetectionSCG***Indicates the maximum value of the reference number of cells for PDCCH blind detection allowed to be configured for the SCG. |
| ***ph-InfoMCG***Power headroom information in MCG that is needed in the reception of PHR MAC CE in SCG. |
| ***ph-SupplementaryUplink***Power headroom information for supplementary uplink. For UE in (NG)EN-DC, this field is absent. |
| ***ph-Type1or3***Type of power headroom for a serving cell in MCG (PCell and activated SCells). *type1* refers to type 1 power headroom, *type3* refers to type 3 power headroom. (See TS 38.321 [3]).  |
| ***ph-Uplink***Power headroom information for uplink. |
| ***powerCoordination-FR1***Indicates the maximum power that the UE can use in FR1. |
| ***powerCoordination-FR2***Indicates the maximum power that the UE can use in frequency range 2 (FR2). This field is only used in NR-DC. |
| ***scgFailureInfo***Contains SCG failure type and measurement results. In case the sender has no measurement results available, the sender may include one empty entry (i.e. without any optional fields present) in *measResultPerMOList*. This field is used in (NG)EN-DC and NR-DC. |
| ***scg-RB-Config***Contains all of the fields in the IE RadioBearerConfig used in SN, used to allow the target SN to use delta configuration to the UE, e.g. during SN change. The field is signalled upon change of SN unless MN uses full configuration option. Otherwise, the field is absent. |
| ***selectedBandEntriesMNList***A list of indices referring to the position of a band entry selected by the MN, in each band combination entry in *allowedBC-ListMRDC* IE. *BandEntryIndex* 0 identifies the first band in the *bandList* of the *BandCombination*, *BandEntryIndex* 1 identifies the second band in the *bandList* of the *BandCombination*, and so on. This *selectedBandEntriesMNList* includes the same number of entries, and listed in the same order as in *allowedBC-ListMRDC*. The SN uses this information to determine which bands out of the NR band combinations in *allowedBC-ListMRDC* it can configure in SCG in NR-DC. The SN can use this information to determine for which band pair(s) it should check *SimultaneousRxTxPerBandPair*. |
| ***servCellIndexRangeSCG***Range of serving cell indices that SN is allowed to configure for SCG serving cells. |
| ***servCellInfoListMCG-EUTRA***Indicates the carrier frequency and the transmission bandwidth of the serving cell(s) in the MCG in intra-band (NG)EN-DC. The field is needed when MN and SN operate serving cells in the same band for either contiguous or non-contiguous intra-band band combination or LTE NR inter-band band combinations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [34]) in (NG)EN-DC. |
| ***servCellInfoListMCG-NR***Indicates the frequency band indicator, carrier center frequency, UE specific channel bandwidth and SCS of the serving cell(s) in the MCG in intra-band NE-DC. The field is needed when MN and SN operate serving cells in the same band for either contiguous or non-contiguous intra-band band combination or LTE NR inter-band band combinations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [34]) in NE-DC. |
| ***servFrequenciesMN-NR***Indicates the frequency of all serving cells that include PCell and SCell(s) with SSB configured in MCG. This field is only used in NR-DC. *servFrequenciesMN-NR* indicates *absoluteFrequencySSB*. |
| ***sftdFrequencyList-NR***Includes a list of SSB frequencies. Each entry identifies the SSB frequency of a PSCell, which corresponds to one *MeasResultCellSFTD-NR* entry in the *MeasResultCellListSFTD-NR*. |
| ***sftdFrequencyList-EUTRA***Includes a list of E-UTRA frequencies. Each entry identifies the carrier frequency of a PSCell, which corresponds to one *MeasResultSFTD-EUTRA* entry in the *MeasResultCellListSFTD-EUTRA*. |
| ***sidelinkUEInformationEUTRA***This field contains the E-UTRA *SidelinkUEInformation* message as specified in TS 36.331 [10]. |
| ***sidelinkUEInformationNR***This field contains the NR *SidelinkUEInformationNR* message. |
| ***sourceConfigSCG***Includes all of the current SCG configurations used by the target SN to build delta configuration to be sent to UE, e.g. during SN change. The field contains the *RRCReconfiguration* message, i.e. including *secondaryCellGroup* and *measConfig*. The field is signalled upon change of SN, unless MN uses full configuration option. Otherwise, the field is absent. |
| ***sourceConfigSCG-EUTRA***Includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration.* In this version of the specification, this field is absent when master gNB uses full configuration option. This field is only used in NE-DC. |
| ***twoPHRModeMCG***Indicates if the power headroom for MCG shall be reported as two PHRs (each PHR associated with a SRS resource set) is enabled or not. |
| ***twoSRS-PUSCH-Repetition***Indicates whether the indicated serving cell is configured for PUSCH repetition corresponding to two SRS resource sets configured in either *srs-ResourceSetToAddModList* or *srs-ResourceSetToAddModListDCI-0-2* with usage 'codebook' or 'noncodebook'. |
| ***ueAssistanceInformationSourceSCG***Includes for each UE assistance feature associated with the SCG, the information last reported by the UE in the NR *UEAssistanceInformation* message for the source SCG, if any. |
| ***ue-CapabilityInfo***Contains the IE *UE-CapabilityRAT-ContainerList* supported by the UE (see NOTE 3). A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |

|  |
| --- |
| ***BandCombinationInfo* field descriptions** |
| ***allowedFeatureSetsList***Defines a subset of the entries in a *FeatureSetCombination*. Each index identifies a position in the *FeatureSetCombination*, which corresponds to one *FeatureSetUplink*/*Downlink* for each band entry in the associated band combination. |
| ***bandCombinationIndex***In case of NR-DC, this field indicates the position of a band combination in the *supportedBandCombinationList*. In case of NE-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationListNEDC-Only*. In case of (NG)EN-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationList-UplinkTxSwitch*. Band combination entries in *supportedBandCombinationList* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationListNEDC-Only* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationListNEDC-Only* increased by the number of entries in *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationList-UplinkTxSwitch* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList-UplinkTxSwitch* increased by the number of entries in *supportedBandCombinationList*. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *SN-AddMod* | The field is mandatory present upon SN addition and SN change. It is optionally present upon SN modification and inter-MN handover without SN change. Otherwise, the field is absent. |

NOTE 3: The following table indicates per MN RAT and SN RAT whether RAT capabilities are included or not in *ue-CapabilityInfo*.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **MN RAT** | **SN RAT** | **NR capabilities** | **E-UTRA capabilities** | **MR-DC capabilities** |
| E-UTRA | NR | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Not included | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise |
| NR | E-UTRA | Not included | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise |
| NR | NR | Need not be included if the UE Radio Capability ID as specified in 23.502 [43] is used. Included otherwise | Not included | Not included |

# Annex A - collection of RAN2 agreements on CHO including target MCG and candidate SCGs

RAN2#119e

* Observation: Current RAN2 Stage-3 specifications can support CHO including target MCG and target SCG in Rel-17.
* CHO configuration referring to or including CPC/CPA configuration (intended to be applicable together) can be supported.
* FFS: When triggering CHO, UE perform CPC/CPA configuration to start CPC/CPA evaluation, FFS if CHO evaluation and CPC/CPA evaluation is concurrent or sequential.

RAN2#120

* Execution order: the UE doesn’t execute CPC/CPA unless CHO condition is fulfilled (regardless parallel or sequential evaluation)

RAN2#121

* RAN2 agrees to support the simultaneous evaluation of CHO and CPC in Rel-18
* The UE should not need to unpack any of the nested conditionalconfiguration containers in order to measure, acc to agreement above

RAN2#121bis-e

For the CHO+CPC case:

* When both CHO and CPC conditions are met, both CHO and CPC cell change is executed.
* Baseline: The UE waits until both CHO and CPC conditions are met (always). (furthermore, it is assumed that if needed the network can provide a complementary CHO-only configuration, to avoid failures in deployments where failure would otherwise be likely to happen).
* Alternative: FFS if When CHO condition is met, but CPC condition is not met, CHO execution is triggered (and somehow source SCG can be released). IF allowed in the new configuration the UE may continue evaluation of CPC/CPA conditions.

RAN2#122

* P3: The CHO execution conditions (for candidate PCells) and CPA/CPC execution conditions (for candidate PSCells) are provided based on the source MeasConfig.
* P4: For CHO execution conditions, the source MN determines the execution conditions on candidate PCells, based on the source MCG MeasConfig.
* P5: For CPA/CPC execution conditions, the candidate MN determines the parameters of the execution conditions for candidate PSCells (e.g. event A4 threshold).
* P6: The candidate MN informs the source MN about the prepared candidate PSCells and parameters of the associated execution conditions (e.g. event A4 threshold). According to the received information from the candidate MN, the source MN generates the corresponding execution conditions based on the source MCG MeasConfig to the UE.
* FFS how, if to support event A3/A5.
* P8: For CHO with candidate SCGs for CPA/CPC, the RRCReconfigurtaion message in one CHO container includes one MCG configuration and one SCG configuration (i.e. similar to Rel-17 CHO with SCG configuration).
* P9: The execution conditions associated with one CHO container includes both CHO execution condition(s) and CPA/CPC execution condition(s), i.e. triggering conditions on both candidate PCell and candidate PSCell.
* P10: If there are multiple candidate PSCells associated with one candidate PCell, the NW can provide multiple CHO configurations for the same candidate PCell, i.e. each one contains one MCG configuration (for the same candidate PCell) and one SCG configuration (for different candidate PSCell).
* P12: When the CPA/CPC execution condition is met but no CHO execution condition is met, the UE continues to evaluate both CHO and CPA/CPC execution conditions.
* For CHO+CPC we only consider execution when BOTH conditions are met. (When the CHO execution condition is met but no CPC execution condition is met, if there is an available CHO-only or Rel-17 CHO with SCG configuration for which the CHO condition is met, the UE performs the CHO-only or Rel-17 CHO with SCG execution, and THUS the network can handle such situation by providing proper configurations).

RAN2#123

* UE does not remove the configuration for CHO including target MCG and candidate SCG configuration automatically when SCG is to be released.
* R2 assumes Source MN initiates the preparation of the R18 CHO with candidate SCG(s), e.g., S-MN tells the T-MN whether it is allowed to configure candidate SCG(s). FFS the signalling details.
* candidate MN recommends the candidate PSCells to candidate SN (for CHO with MN-initiated CPC).
* CHO recovery details to handle the additions brought by this feature is FFS
* R2 assumes for this R18 feature that the UE does not need to continue conditional reconfiguration evaluation for CHO with Candidate SCG(s) upon initiating SCG failure information procedure
* Recommendation of the candidate PSCells can be based on measurement results.
* R2 assumes for this R18 feature that the evaluation of the execution conditions for CHO with Candidate SCG(s) do not need to continue once PSCell change is triggered.
* P1 postponed
* maxNrofCondCells = max number of conditional configurations that the UE can store (is assumed to be a memory limitation), value FFS
* selectedCondRRCReconfig-r17 is not reused to indicate the selected target SCG to the target MN, i.e., UE indicates physCellId and ARFCN-ValueNR of the selected PSCell to target MN.
* condEventA3 or condEventA5 is not used for the execution conditions for candidate PSCells (can be revisited later if strong justification can be provided)
* condEvent A4 to be used for current PSCell (i.e., in case it is configured as candidate PSCell for evaluation) for CHO with candidate SCGs case.

RAN2#123bis

* P2: The execution of CHO with candidate SCG is prioritized, if both PCell for CHO only or CHO including target MCG and target SCG, and the PCell and the associated PSCell for CHO with candidate SCG(s) is triggered.
* P4: R2 assumes that the maximum number of conditional reconfigurations maxNrofCondCells (i.e., including the coexistence CHO with candidate SCGs, CHO only, CHO with target SCG, CPA/CPC if present) is 8 in Rel-18. FFS whether any optional additional UE cap for higher number is needed.
* P1a: If at least the legacy CPA or CPC was configured, UE removes CHO with candidate SCG configurations when PSCell changes,same as the legacy in the current spec.
* P1b: If the legacy CPA or CPC was not configured, UE does not have to remove the configuration for CHO with candidate SCG(s) autonomously when PSCell changes (i.e. UE just wait and follow the NW signaling).
* P5: The legacy condEventA4 related parameters are provided by the candidate MN to the source MN for the execution condition for candidate PSCell, at least including(FFS more parameters are needed, FFS the parameters are in inter-node message or Xn message),

**- a4-Threshold**

**- hysteresis (optional)**

**- timeToTrigger (optional)**

**- rsType (optional)**

 rsType (optional)

* P6: For the preparation of the R18 CHO with candidate SCG(s), it is up to RAN3 on the signaling details between S-MN and T-MN. The related RN in the running CR can be removed.
* P3: The configuration for CHO with candidate SCG(s) is not considered for CHO recovery.