**3GPP TSG-RAN2 Meeting #123bis R2-2309636**

**Xiamen, China , 9th -13th October, 2023**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
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|  | **38.321** | **CR** | DraftCR | **rev** | **-** | **Current version:** | 17.5.0 |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  |  Draft running MAC CR for the introduction of REDCAP positioning |
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| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | RAN2 |
|  |  |
| ***Work item code:*** | NR\_pos\_enh2 |  | ***Date:*** | 2023-10-09 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | * Issue1: RAN1 has agreed that positioning SRS frequency hopping can be supported for both RRC\_INACTIVE and RRC\_CONNECTED

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| SRS Tx Frequency hopping is supported for both RRC\_CONNECTED and RRC\_INACTIVE state. |

* Issue2: The following agreement has been made in RAN1 on the supported time window for REDCAP positoning SRS frequency hopping

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| **Agreement**For RedCap UEs positioning transmitting the UL SRS with frequency hopping, regarding the collisions between other UL and DL signals/channels and the UL SRS with frequency hopping, support both of the following options * Option 1: UL time window where the UE is not expected to []transmit other signals/channels and is only expected to transmit FH SRS for positioning.
	+ FFS details of an UL time window
	+ Note: it implies that UE drops the transmission of other signals/channels and transmits SRS for positioning
* Option 2: new collision rules between the UL SRS with frequency hopping and other UL and DL signals/channels/. Option 2 can apply without ~~[~~or outside~~]~~ UL time window (i.e. option 1)
	+ FFS: details on the collision rules

Note: it is understood that option 2 is a component of the feature for UL SRS Tx hopping (FG 41-5-2), and option 1 is a separate feature group.Note: UE is not expected to be configured with a SRS for positioning hopping cycle partially overlapping with UTW. |

On the UTW configuration, the following has been agreed:

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| **Agreement**The UL time window for UL SRS for positioning with Tx hopping can be configured to be periodic with configurable starting SFN, slot and symbol number, periodicity, duration* FFS values for starting SFN, slot and symbol number, periodicity and duration

**Agreement**With regards to the configuration of the UTW:* the window parameters for periodicity and starting slot offset have the same candidate values as the periodicity and starting slot offset parameters for the SRS for positioning in the IE *PeriodicityAndOffset*
* the duration of the window in slot is {1,2,4,6} slots
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* Issue3: In terms of the supported RRC states for REDCAP positoning, the following has been agreed for SRS

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| **Agreement**SRS Tx Frequency hopping is supported for both RRC\_CONNECTED and RRC\_INACTIVE state. |

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| ***Summary of change:*** | The following changes have been applied in the current CR* Change0: Genral introduction of the feature to MAC spec including the definition of "SRS TX frequency hopping" and etc.
* Change1: support positioning SRS frequency hopping for positioning SRS transmission in RRC\_INACTIVE.
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| ***Consequences if not approved:*** | The new feature REDCAP positioning can not be well supported by the MAC spec |
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| ***Clauses affected:*** | 5.2, 5.26 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Ver0 in RAN2#123bis: R2-2309636 |

====================================CHANGE BEGIN====================================

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Dormant BWP:** The dormant BWP is one of downlink BWPs configured by the network via dedicated RRC signaling. In the dormant BWP, the UE stop monitoring PDCCH on/for the SCell, but continues performing CSI measurements, Automatic Gain Control (AGC) and beam management, if configured.

**DRX group:** A group of Serving Cells that is configured by RRC and that have the same DRX Active Time.

**HARQ information:** HARQ information for DL-SCH, for UL-SCH, or for SL-SCH transmissions consists of New Data Indicator (NDI), Transport Block Size (TBS), Redundancy Version (RV), and HARQ process ID.

**IAB-donor:** gNB that provides network access to UEs via a network of backhaul and access links.

**IAB-node:** RAN node that supports NR access links to UEs and NR backhaul links to parent nodes and child nodes.

**Listen Before Talk**: A procedure according to which transmissions are not performed if the channel is identified as being occupied, see TS 37.213 [18].

**Msg3**: Message transmitted on UL-SCH containing a C-RNTI MAC CE or CCCH SDU, submitted from upper layer and associated with the UE Contention Resolution Identity, as part of a Random Access procedure.

**Non-terrestrial network:** An NG-RAN consisting of gNBs, which provide non-terrestrial NR access to UEs by means of an NTN payload embarked on an airborne or space-borne NTN vehicle and an NTN Gateway.

**NR backhaul link:** NR link used for backhauling between an IAB-node and an IAB-donor, and between IAB-nodes in case of a multi-hop backhauling.

**NR sidelink communication**: AS functionality enabling at least V2X Communication as defined in TS 23.287 [19] and ProSe communication (including ProSe non-Relay and UE-to-Network Relay communication) as defined in TS 23.304 [26], between two or more nearby UEs, using NR technology but not traversing any network node.

**NR sidelink discovery**: AS functionality enabling ProSe non-Relay discovery and ProSe UE-to-Network Relay discovery for Proximity based Services as defined in TS 23.304 [26], between two or more nearby UEs, using NR technology but not traversing any network node.

**NR sidelink transmission**: Any NR Sidelink-based transmission, including both transmission for NR sidelink discovery and transmission for NR sidelink communication.

**PDCCH occasion**: A time duration (i.e. one or a consecutive number of symbols) during which the MAC entity is configured to monitor the PDCCH.

**PRS Processing Window**: A time window during which UE may perform PRS measurement inside the active DL BWP with the same numerology as the active DL BWP without measurement gap.

**RedCap UE:** A UE with reduced capabilities as specified in clause 4.2.21.1 in TS 38.306 [25].

**Serving Cell:** A PCell, a PSCell, or an SCell in TS 38.331 [5].

**Sidelink transmission information:** Sidelink transmission information included in an SCI for an SL-SCH transmission as specified in clause 8.3 and 8.4 of TS 38.212 [9] consists of Sidelink HARQ information including NDI, RV, Sidelink process ID, HARQ feedback enabled/disabled indicator, Sidelink identification information including cast type indicator, Source Layer-1 ID and Destination Layer-1 ID, and Sidelink other information including CSI request, a priority, a communication range requirement and Zone ID.

**SRS for positioning Tx frequency hopping: Transmit frequency hopping of positioning SRS in RRC\_INACTIVE and RRC\_CONNECTED.**

Editor's NOTE: FFS whether this feature of SRS for positioning Tx frequency hopping is only limited to RedCap UE or applicable for the other UE types.

**Special Cell:** For Dual Connectivity operation the term Special Cell refers to the PCell of the MCG or the PSCell of the SCG depending on if the MAC entity is associated to the MCG or the SCG, respectively. Otherwise the term Special Cell refers to the PCell. A Special Cell supports PUCCH transmission and contention-based Random Access, and is always activated.

**Timing Advance Group:** A group of Serving Cells that is configured by RRC and that, for the cells with a UL configured, using the same timing reference cell and the same Timing Advance value. A Timing Advance Group containing the SpCell of a MAC entity is referred to as Primary Timing Advance Group (PTAG), whereas the term Secondary Timing Advance Group (STAG) refers to other TAGs.

**UE-gNB RTT:** For non-terrestrial networks, the sum of the UE's Timing Advance value (see TS 38.211 [8] clause 4.3.1) and *kmac*.

**V2X sidelink communication**: AS functionality enabling V2X Communication as defined in TS 23.285 [20], between nearby UEs, using E-UTRA technology but not traversing any network node.

NOTE 1: A timer is running once it is started, until it is stopped or until it expires; otherwise it is not running. A timer can be started if it is not running or restarted if it is running. A Timer is always started or restarted from its initial value. The duration of a timer is not updated until it is stopped or expires (e.g. due to BWP switching). When the MAC entity applies zero value for a timer, the timer shall be started and immediately expire unless explicitly stated otherwise.

NOTE 2: In this version of the specification, the SRS in the procedural description includes Positioning SRS except for the Positioning SRS for transmission in RRC\_INACTIVE as in clause 5.26. Positioning SRS except for the Positioning SRS for transmission in RRC\_INACTIVE is treated the same as SRS by the UE unless explicitly stated otherwise.

===================================NEXT CHANGE=====================================

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

AP Aperiodic

BFR Beam Failure Recovery

BSR Buffer Status Report

BWP Bandwidth Part

CE Control Element

CG Cell Group

CG-SDT Configured Grant-based SDT

CI-RNTI Cancellation Indication RNTI

CSI Channel State Information

CSI-IM CSI Interference Measurement

CSI-RS CSI Reference Signal

CS-RNTI Configured Scheduling RNTI

DAPS Dual Active Protocol Stack

DCP DCI with CRC scrambled by PS-RNTI

DL-PRS DownLink-Positioning Reference Signal

G-CS-RNTI Group Configured Scheduling RNTI

G-RNTI Group RNTI

IAB Integrated Access and Backhaul

INT-RNTI Interruption RNTI

LBT Listen Before Talk

LCG Logical Channel Group

LCP Logical Channel Prioritization

MBS Multicast/Broadcast Services

MCCH MBS Control Channel

MCCH-RNTI MBS Control Channel RNTI

MCG Master Cell Group

MPE Maximum Permissible Exposure

MTCH MBS Traffic Channel

NCD-SSB Non Cell Defining SSB

NSAG Network Slice AS Group

NUL Normal Uplink

NZP CSI-RS Non-Zero Power CSI-RS

PDB Packet Delay Budget

PEI-RNTI Paging Early Indication RNTI

PHR Power Headroom Report

PS-RNTI Power Saving RNTI

PTAG Primary Timing Advance Group

PTM Point to Multipoint

PTP Point to Point

QCL Quasi-colocation

PPW PRS Processing Window

PRS Positioning Reference Signal

RA-SDT Random Access-based SDT

RS Reference Signal

SCG Secondary Cell Group

SDT Small Data Transmission

SFI-RNTI Slot Format Indication RNTI

SI System Information

SL-RNTI Sidelink RNTI

SL-CS-RNTI Sidelink Configured Scheduling RNTI

SpCell Special Cell

SP Semi-Persistent

SP-CSI-RNTI Semi-Persistent CSI RNTI

SPS Semi-Persistent Scheduling

SR Scheduling Request

SRI SRS Resource Indicator

SS Synchronization Signals

SSB Synchronization Signal Block

STAG Secondary Timing Advance Group

SUL Supplementary Uplink

TAG Timing Advance Group

TCI Transmission Configuration Indicator

TPC-SRS-RNTI Transmit Power Control-Sounding Reference Signal-RNTI

TRIV Time Resource Indicator Value

TRP Transmit/Receive Point

TRS CSI-RS for tracking

U2N UE-to-Network

UCI Uplink Control Information

UTW Uplink Time Window

V2X Vehicle-to-Everything

ZP CSI-RS Zero Power CSI-RS

===================================NEXT CHANGE=====================================

5.15 Bandwidth Part (BWP) operation

5.15.1 Downlink and Uplink

Editor's NOTE: Whether the separate BWP configuration is inside each existing data BWP or outside any data BWP and its impacts to BWP operation in MAC spec, with the following R1 agreement: For RedCap UEs, support SRS for positioning frequency hopping by Using a configuration separate from the existing BWP configuration

In addition to clause 12 of TS 38.213 [6], this clause specifies requirements on BWP operation.

A Serving Cell may be configured with one or multiple BWPs, and the maximum number of BWP per Serving Cell is specified in TS 38.213 [6].

The BWP switching for a Serving Cell is used to activate an inactive BWP and deactivate an active BWP at a time. The BWP switching is controlled by the PDCCH indicating a downlink assignment or an uplink grant, by the *bwp-InactivityTimer*, by RRC signalling, or by the MAC entity itself upon initiation of Random Access procedure or upon detection of consistent LBT failure on SpCell. Upon RRC (re-)configuration of *firstActiveDownlinkBWP-Id* and/or *firstActiveUplinkBWP-Id* for SpCell except for PSCell when SCG is deactivated (see clause 5.29) or activation of an SCell, the DL BWP and/or UL BWP indicated by *firstActiveDownlinkBWP-Id* and/or *firstActiveUplinkBWP-Id* respectively (as specified in TS 38.331 [5]) is active without receiving PDCCH indicating a downlink assignment or an uplink grant. Upon RRC (re-)configuration of *firstActiveDownlinkBWP-Id* for PSCell when SCG is deactivated, the DL BWP is switched to the *firstActiveDownlinkBWP-Id* as specified in TS 38.331 [5]. The active BWP for a Serving Cell is indicated by either RRC or PDCCH (as specified in TS 38.213 [6]). For unpaired spectrum, a DL BWP is paired with a UL BWP, and BWP switching is common for both UL and DL.

For each SCell a dormant BWP may be configured with *dormantBWP-Id* by RRC signalling as described in TS 38.331 [5]. Entering or leaving dormant BWP for SCells is done by BWP switching per SCell or per dormancy SCell group based on instruction from PDCCH (as specified in TS 38.213 [6]). The dormancy SCell group configurations are configured by RRC signalling as described in TS 38.331 [5]. Upon reception of the PDCCH indicating leaving dormant BWP, the DL BWP indicated by *firstOutsideActiveTimeBWP-Id* or by *firstWithinActiveTimeBWP-Id* (as specified in TS 38.331 [5] and TS 38.213 [6]) is activated. Upon reception of the PDCCH indicating entering dormant BWP, the DL BWP indicated by *dormantBWP-Id* (as specified in TS 38.331 [5]) is activated. The dormant BWP configuration for SpCell or PUCCH SCell is not supported.

For each activated Serving Cell configured with a BWP, the MAC entity shall:

1> if a BWP is activated and the active DL BWP for the Serving Cell is not the dormant BWP and the Serving Cell is not the PSCell of deactivated SCG:

2> transmit on UL-SCH on the BWP;

2> transmit on RACH on the BWP, if PRACH occasions are configured;

2> monitor the PDCCH on the BWP;

2> transmit PUCCH on the BWP, if configured;

2> report CSI for the BWP;

2> transmit SRS on the BWP, if configured;

2> receive DL-SCH on the BWP;

2> (re-)initialize any suspended configured uplink grants of configured grant Type 1 on the active BWP according to the stored configuration, if any, and to start in the symbol according to rules in clause 5.8.2;

2> if *lbt-FailureRecoveryConfig* is configured:

3> stop the *lbt-FailureDetectionTimer*, if running;

3> set *LBT\_COUNTER* to 0;

3> monitor LBT failure indications from lower layers as specified in clause 5.21.2.

1> if a BWP is activated and the active DL BWP for the Serving Cell is dormant BWP:

2> stop the *bwp-InactivityTimer* of this Serving Cell, if running.

2> not monitor the PDCCH on the BWP;

2> not monitor the PDCCH for the BWP;

2> not receive DL-SCH on the BWP;

2> not report CSI on the BWP, report CSI except aperiodic CSI for the BWP;

2> not transmit SRS on the BWP;

2> not transmit on UL-SCH on the BWP;

2> not transmit on RACH on the BWP;

2> not transmit PUCCH on the BWP;

2> clear any configured downlink assignment and any configured uplink grant Type 2 associated with the SCell respectively;

2> suspend any configured uplink grant Type 1 associated with the SCell;

2> if configured, perform beam failure detection and beam failure recovery for the SCell if beam failure is detected.

1> if a BWP is deactivated or the Serving Cell is PSCell of deactivated SCG:

2> not transmit on UL-SCH on the BWP;

2> not transmit on RACH on the BWP;

2> not monitor the PDCCH on the BWP;

2> not transmit PUCCH on the BWP;

2> not report CSI for the BWP;

2> not transmit SRS on the BWP;

2> not receive DL-SCH on the BWP;

2> clear any configured downlink assignment and configured uplink grant of configured grant Type 2 on the BWP;

2> suspend any configured uplink grant of configured grant Type 1 on the inactive BWP.

Upon initiation of the Random Access procedure on a Serving Cell, after the selection of carrier for performing Random Access procedure as specified in clause 5.1.1, the MAC entity shall for the selected carrier of this Serving Cell:

1> if PRACH occasions are not configured for the active UL BWP:

2> if the UE is a RedCap UE; and

2> if *initialUplinkBWP-RedCap* is configured:

3> switch the active UL BWP to BWP indicated by *initialUplinkBWP-RedCap*.

2> else:

3> switch the active UL BWP to BWP indicated by *initialUplinkBWP*.

2> if the Serving Cell is an SpCell:

3> if the UE is a RedCap UE; and

3> if *initialDownlinkBWP-RedCap* is configured:

4> switch the active DL BWP to BWP indicated by *initialDownlinkBWP-RedCap*.

3> else:

4> switch the active DL BWP to BWP indicated by *initialDownlinkBWP*.

1> else:

2> if the Serving Cell is an SpCell:

3> if the active DL BWP does not have the same *bwp-Id* as the active UL BWP:

4> switch the active DL BWP to the DL BWP with the same *bwp-Id* as the active UL BWP.

1> stop the *bwp-InactivityTimer* associated with the active DL BWP of this Serving Cell, if running.

1> if the Serving Cell is SCell:

2> stop the *bwp-InactivityTimer* associated with the active DL BWP of SpCell, if running.

1> perform the Random Access procedure on the active DL BWP of SpCell and active UL BWP of this Serving Cell.

If the MAC entity receives a PDCCH for BWP switching of a Serving Cell, the MAC entity shall:

1> if there is no ongoing Random Access procedure associated with this Serving Cell; or

1> if the ongoing Random Access procedure associated with this Serving Cell is successfully completed upon reception of this PDCCH addressed to C-RNTI (as specified in clauses 5.1.4, 5.1.4a, and 5.1.5):

2> cancel, if any, triggered consistent LBT failure for this Serving Cell;

2> perform BWP switching to a BWP indicated by the PDCCH.

If the MAC entity receives a PDCCH for BWP switching for a Serving Cell(s) or a dormancy SCell group(s) while a Random Access procedure associated with that Serving Cell is ongoing in the MAC entity, it is up to UE implementation whether to switch BWP or ignore the PDCCH for BWP switching, except for the PDCCH reception for BWP switching addressed to the C-RNTI for successful Random Access procedure completion (as specified in clauses 5.1.4, 5.1.4a, and 5.1.5) in which case the UE shall perform BWP switching to a BWP indicated by the PDCCH. Upon reception of the PDCCH for BWP switching other than successful contention resolution, if the MAC entity decides to perform BWP switching, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure after performing the BWP switching; if the MAC decides to ignore the PDCCH for BWP switching, the MAC entity shall continue with the ongoing Random Access procedure on the Serving Cell.

Upon reception of RRC (re-)configuration for BWP switching for a Serving Cell while a Random Access procedure associated with that Serving Cell is ongoing in the MAC entity, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure after performing the BWP switching.

Upon reception of RRC (re-)configuration for BWP switching for a Serving Cell, cancel any triggered consistent LBT failure in this Serving Cell.

The MAC entity shall for each activated Serving Cell configured with *bwp-InactivityTimer*:

1> if the *defaultDownlinkBWP-Id* is configured, and the active DL BWP is not the BWP indicated by the *defaultDownlinkBWP-Id*, and the active DL BWP is not the BWP indicated by the *dormantBWP-Id* if configured; or

1> if the UE is not a RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and the active DL BWP is not the *initialDownlinkBWP*, and the active DL BWP is not the BWP indicated by the *dormantBWP-Id* if configured; or

1> if the UE is a RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is not configured, and the active DL BWP is not the *initialDownlinkBWP*; or

1> if the UE is a RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is configured, and the active DL BWP is not the *initialDownlinkBWP-RedCap*:

2> if a PDCCH addressed to C-RNTI or CS-RNTI indicating downlink assignment or uplink grant is received on the active BWP; or

2> if a PDCCH addressed to G-RNTI or G-CS-RNTI configured for multicast indicating downlink assignment is received on the active BWP; or

2> if a PDCCH addressed to C-RNTI or CS-RNTI indicating downlink assignment or uplink grant is received for the active BWP; or

2> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers; or

2> if a MAC PDU is received in a configured downlink assignment for unicast or MBS multicast:

3> if there is no ongoing Random Access procedure associated with this Serving Cell; or

3> if the ongoing Random Access procedure associated with this Serving Cell is successfully completed upon reception of this PDCCH addressed to C-RNTI (as specified in clauses 5.1.4, 5.1.4a and 5.1.5):

4> start or restart the *bwp-InactivityTimer* associated with the active DL BWP.

2> if the *bwp-InactivityTimer* associated with the active DL BWP expires:

3> if the *defaultDownlinkBWP-Id* is configured:

4> perform BWP switching to a BWP indicated by the *defaultDownlinkBWP-Id*.

3> else:

4> if the UE is a RedCap UE; and

4> if *initialDownlinkBWP-RedCap* is configured:

5> perform BWP switching to the *initialDownlinkBWP-RedCap*.

4> else:

5> perform BWP switching to the *initialDownlinkBWP*.

NOTE: If a Random Access procedure is initiated on an SCell, both this SCell and the SpCell are associated with this Random Access procedure.

1> if a PDCCH for BWP switching is received, and the MAC entity switches the active DL BWP:

2> if the *defaultDownlinkBWP-Id* is configured, and the MAC entity switches to the DL BWP which is not indicated by the *defaultDownlinkBWP-Id* and is not indicated by the *dormantBWP-Id* if configured; or

2> if the UE is not a RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP* and is not indicated by the *dormantBWP-Id* if configured; or

2> if the UE is a RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is not configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP*; or

2> if the UE is a RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP-RedCap*:

3> start or restart the *bwp-InactivityTimer* associated with the active DL BWP.

Upon initiation of the Random Access procedure, after selection of the carrier for performing Random Access procedure as specified in clause 5.1.1, if the UE is a RedCap UE in RRC\_IDLE or RRC\_INACTIVE mode, the MAC entity shall:

1> if *initialUplinkBWP-RedCap* is configured for the selected carrier:

2> perform the Random Access procedure as specified in clause 5.1 by using the BWP configured by *initialUplinkBWP-RedCap*.

1> else:

2> perform the Random Access procedure as specified in clause 5.1 by using the BWP configured by *initialUplinkBWP*.

1> if *initialDownlinkBWP-RedCap* is configured:

2> if the Random Access procedure was initiated for SI request (as specified in TS 38.331 [5]) and the Random Access Resources for SI request have been explicitly provided by RRC, and if the selected carrier is SUL carrier:

3> monitor the PDCCH on the BWP configured by *initialDownlinkBWP*.

2> else:

3> monitor the PDCCH on the BWP configured by *initialDownlinkBWP-RedCap*.

1> else:

2> monitor the PDCCH on the BWP configured by *initialDownlinkBWP*.

=================================NEXT CHANGE=======================================

5.26 Positioning SRS transmission in RRC\_INACTIVE

5.26.1 General

Periodic and semi-persistent Positioning SRS can be configured for Positioning SRS transmission in RRC\_INACTIVE.

SRS for positioning Tx frequency hopping as in clause 5.xx can also be configured for Positioning SRS transmission in RRC\_INACTIVE.

The MAC entity shall,

1> if the TA of the configured Positioning SRS is valid according to clause 5.26.2, and the conditions for positioning SRS transmission in clause 7.3.1 of TS 38.213 [6] and clause 6.2.1.4 of TS 38.214 [7] are satisfied:

2> if the UE is configured with UTW and the *UplinkTimeWindowTimer* is running according to clause 5.xx; or

2> if the UE is not configured with UTW:

3> instruct to the lower layer according to TS 38.214 [7] to transmit Positioning Periodic SRS or Semi-Persistent SRS that is activated according to clause 5.18.17.

5.26.2 TA validation for SRS transmission in RRC\_INACTIVE

RRC configures the following parameters for validation for SRS transmission in RRC\_INACTIVE:

- *inactivePosSRS-RSRP-ChangeThreshold*: RSRP threshold for the increase/decrease of RSRP for time alignment validation.

Editor's NOTE: FFS TA validation for positioning SRS transmission frequency hopping in RRC\_INACTIVE for REDCAP positioning

The MAC entity shall:

1> if the UE receives configuration for SRS transmission in RRC\_INACTIVE:

2> store the RSRP of the downlink pathloss reference with the current RSRP value of the downlink pathloss reference as in TS 38.331 [5].

1> else if the UE is configured with SRS transmission in RRC\_INACTIVE:

2> if Timing Advance Command MAC CE is received as in clause 5.2, or;

2> if Timing Advance Command or Absolute Timing Advance Command is received for Random Access procedure that is successfully completed:

3> update the stored the RSRP of the downlink pathloss reference with the current RSRP value of the downlink pathloss reference.

The MAC entity shall consider the TA to be valid when the following conditions are fulfilled:

1> compared to the stored downlink pathloss reference RSRP value, the current RSRP value of the downlink pathloss reference has not increased/decreased by more than *inactivePosSRS-RSRP-ChangeThreshold*, if configured; and

1> inactivePosSRS-TimeAlignmentTimer is running.

=================================NEXT CHANGE=======================================

## 5.xx SRS for positioning Tx frequency hopping

SRS for positioning Tx frequency hopping can be supported for UE in RRC\_CONNECTED and RRC\_INACTIVE. The UE can be configured with UTW during which the UE is allowed to transmit SRS for positioning Tx frequency hopping.

RRC configures the following parameters for the UTW for SRS for positioning Tx frequency hopping:

- *utw-SlotPeriodicity*: Periodicity of the UTW in slot;

- *utw-SlotOffset*: Offset of a UTW with respect to the beginning of the UTW periodicityin time domain in slot;

- *uplinkTimeWindowTimer*: Time duration when the UE performs SRS transmission for positioning Tx frequency hopping.

When UTW is configured, the MAC entity shall start the *uplinkTimeWindowTimer* if for the *current\_sfn*, *current\_subframe* and *current\_slot*, the following is satisfied

(*current\_sfn* × *numberOfSlotsPerFrame* + *current\_subframe* × *numberOfSlotsPerSubframe* + *current\_slot*) modulo (*utw-SlotPeriodicity*) = *utw-SlotOffset*.

When UTW is configured and the UE is in RRC\_CONNECTED, the MAC entity shall instruct the lower layer to transmit SRS for positioning Tx frequency hopping when the *uplinkTimeWindowTimer* is running.

=====================================END OF CHANGES=================================