**3GPP TSG-RAN WG2 Meeting #124** **R2-230xxxx**

**Chicago, U.S.A, 13th-17th November, 2023**

**Agenda item: 7.3.1**

**Source: InterDigital (Rapporteur)**

**Title: Report of [Post123bis][022][NES] 38.321 Running CR (Interdigital)**

**Document for: Discussion and Decision**

# Introduction

This document collects the comments received during the following email discussion on the draft MAC CR for NES as well as remaining open issues:

* [POST123bis][022][NES] 38.321 Running CR (Interdigital)

Scope:

- Review running CR

- Identify open issues

- Get inputs for subset of open issues (focus on more detailed open issues that would help with CR finalisation.

Deadline: long

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# Discussion on TS 38.321 running CR

Companies can provide comments and suggestions to the uploaded running CR in this table. Please do not add changes, suggestions, or comments directly to the draft CR document.

|  |  |  |
| --- | --- | --- |
| Company + Issue Number (e.g., ID001) | Issue | Comments and proposed changes |
| A001 | Some IE name inconsistency between RRC parameter list (e.g. *celldtxdrx-Cycle)* and procedure text (e.g.  “- *celldtx-onDurationTimer* is running for the associated Serving Cell.”  The issue happens in both 5.x.1 and 5.x.2. | [Rapporteur]: corrected in v01. Thanks |
| A002 | Followed by A001, maybe we can optimize the spec structure by having a separate sub-section on “general” including common RRC parameter list and 1st paragraph of 5.x.1 (i.e. general description on Cell DTX) and 5.x.2 (general description on Cell DRX). | [Rapporteur]: suggestion adopted in v01. |
| Xiaomi-001 | Legacy SP CSI reporting on PUCCH Activation/Deactivation MAC CE reception issue. | it is not clear whether the legacy SP CSI reporting on PUCCH Activation/Deactivation MAC CE is also received or not.  If no, a note is needed to say, e.g., the UE does not expect to receive SP CSI reporting on PUCCH Activation/Deactivation MAC CE reception if at least one CSI report is configured with sub-configuration for the concerned serving cell id and BWP ID.  [Rapporteur]: the following editor’s note is added in v02:  Editor’s note: whether legacy MAC CE for SP CSI reporting on PUCCH Activation/Deactivation can be received when at least one CSI report is configured with *csi-ReportSubConfigList* for the concerned serving cell id and BWP ID |
| O\_001 | In 5.x.2, the description of “cellDTXDRXactivationStatus is set to deactivated” is missing. We may capture this case to make the UE behaviour clear.  - configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released. | - configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated; if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *deactivated*, cell DTX operation is deactivated; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released.  [Rapporteur]: suggestion adopted in v02, with the addition of “upon cell DTX configuration” in the end to follow the same style as Scell (de)-activation and in order not to confuse it with dynamic deactivation by RRC. Per the R2 agreement “Introduce explicit activation/ deactivation in RRC once DTX/DRX is configured (i.e. not for dynamic activation/ deactivation)” |
| O\_002 | In 5.x.3, the description of “cellDTXDRXactivationStatus is set to deactivated” is missing. We may capture this case to make the UE behaviour clear.  - configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released. | - configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated; if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *deactivated*, cell DRX operation is deactivated; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released.  [Rapporteur]: Suggestion adopted in v02, per the comment on O-001. |
| FJ001 | In 5.x.1, for parameters, cell DRX is also considered. | - *celldtxdrx-onDurationTimer*: the active duration at the beginning of a cell DTX/DRX cycle;  - *celldtxdrx-StartOffset*: defines the subframe where the cell DTX/DRX cycle starts;  - *celldtxdrx-SlotOffset*: the delay before starting the *celldtxdrx-onDurationTimer*;  - *celldtxdrx-Cycle*: the cell DTX/DRX cycle period.  [Rapporteur]: corrected in v02. Thanks |
| FJ002 | In 5.x.2, Typo | 3> start *celldtxdrx-onDurationTimer …*  [Rapporteur]: corrected in v02. Thanks |
| HW001 | In this part:  - configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released.  The spec can be more precise because we know that cell DTX is configured if *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*. | Change “cell DTX is configured” to *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*  [Rapporteur]: I added the following sentence in the beginning of 5.x.2 “Cell DTX is configured if *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*”  I’m trying to avoid repeating this every time “is configured” is mentioned in MAC clauses as it results in mixing of “or”s and “and”s in the same clause. |
| HW002 | Similar comment for cell DRX:  - configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released. | Change “cell DRX is configured” to *cellDTXDRXconfigType* is set to *drx* or *dtxdrx*  [Rapporteur]: I added the following sentence in the beginning of 5.x.3 “Cell DRX is configured if *cellDTXDRXconfigType* is set to *drx* or *dtxdrx*”  I’m trying to avoid repeating this every time “is configured” is mentioned in MAC clauses as it results in mixing of “or”s and “and”s in the same clause. |
| M001 | Now the new DCI 2-9 will be used for following purposes during C-DRX operation in serving cell:   * + - 1. (De-)Activation of cell DTX/DRX       2. Notification of entering NES mode   From the leading WG standpoint, RAN2 should specify a baseline UE behaviour on PDCCH monitoring for the new DCI. We think the baseline UE requirement is that NES-RNTI should be monitored at least during Active Time of UE C-DRX. The general description of section 5.7 should be further amended. | 5.7 Discontinuous Reception (DRX)  The MAC entity may be configured by RRC with a DRX functionality that controls the UE's PDCCH monitoring activity for the MAC entity's C-RNTI, CI-RNTI, CS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, AI-RNTI, SL-RNTI, SL-CS-RNTI ~~and~~ SL Semi-Persistent Scheduling V-RNTI and NES-RNTI. When using DRX operation, the MAC entity shall also monitor PDCCH according to requirements found in other clauses of this specification. When in RRC\_CONNECTED, if DRX is configured, for all the activated Serving Cells, the MAC entity may monitor the PDCCH discontinuously using the DRX operation specified in this clause; otherwise the MAC entity shall monitor the PDCCH as specified in TS 38.213 [6].  [LGE]: We think that this change is needed.  [Nokia] This is still open in RAN1, so shouldn’t be added.  [Rapporteur]: I will add this to the list of MAC open issues that should be addressed. Have not captured it for now. |
| S001 | 5.x.2  Three paragraphs starts with the same condition:  For each Serving Cell configured with cell DTX, the MAC entity shall:  1> if cell DTX is activated for this Serving Cell:  2> if [(SFN × 10) + subframe number] modulo (*celldtxdrx-Cycle*) = (*celldtxdrx-StartOffset*):  …  For each Serving Cell configured with cell DTX, the MAC entity may:  1> if cell DTX is activated and the Serving Cell is not in the cell DTX Active Period:  …  For each Serving Cell configured with cell DTX, the MAC entity shall:  1> if cell DTX operation is deactivated for this Serving Cell; or  … | All three paragraphs can be merged into one.  For each Serving Cell configured with cell DTX, the MAC entity shall:  1> if cell DTX is activated for this Serving Cell:  2> if [(SFN × 10) + subframe number] modulo (*celldtxdrx-Cycle*) = (*celldtxdrx-StartOffset*):  …  ~~For each Serving Cell configured with cell DTX, the MAC entity may:~~  1> if cell DTX is activated and the Serving Cell is not in the cell DTX Active Period:  …  ~~For each Serving Cell configured with cell DTX, the MAC entity shall:~~  1> if cell DTX operation is deactivated for this Serving Cell; or  …  [LGE]: The second paragraph has a different start condition which ends with “may”.  [Nokia] it should not be a “may” since it would impact HARQ feedback for the PDSCH?  [Rapporteur]: I combined the ones ending with “shall” in v03.  For the “may” part, it was discussed in the last email discussion, and this was the reasoning brought by LG and others:  “We think that 'may' is proper for UE behaviors related to reception during cell DTX non-active period. There is no need to explicitly prohibit UE actions related to reception.  For comparison, in case of UE C-DRX, UE “shall” monitor PDCCH during Active time. But, PDCCH monitoring is not explicitly prohibited in the spec. The principal needs to be followed by cell DTX.” |
| S002 | 5.x.2  Clarification of SPS’ associated cell is needed. Only for the non-active cell, SPS reception is not performed.  2> not instruct the physical layer to receive transport block on the DL-SCH according to any configured downlink assignment for SPS; | 2> not instruct the physical layer to receive transport block on the DL-SCH of this service cell according to any configured downlink assignment for SPS;  [Rapporteur]: “of this Serving Cell” was added in v03. |
| S003 | 5.x.2  For each Serving Cell configured with cell DTX, the MAC entity shall:  1> if cell DTX operation is deactivated for this Serving Cell; or  …  2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification.  This should be ‘on this Serving Cell’ as in the beginning it is mentioned that “For each Serving Cell configured with cell DTX, the MAC entity shall” | Change “in this DRX group” to “on this Serving Cell”  [Rapporteur]: currently it’s already captured per serving cell when cell DTX is in the active period or when deactivated:  1> if cell DTX operation is deactivated for this Serving Cell; or  1> if the Serving Cell is in the cell DTX Active Period:  2> monitor PDCCH on this Serving Cell, as specified in TS 38.213 [6] and other clauses of this specification.  The later part is just capturing the legacy conditions/behaviour for PDCCH monitoring per C-DRX (SR pending, during RACH, or during C-DRX retx timers), which is per DRX group. I have not changed that part in order to not create conflicts with section 5.7 that we have not agreed to. Please refer to my further comments under N001 below. |
| S004 | 5.x.2  1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or | It should be in the DRX group of this Serving Cell.  [Rapporteur]: suggestion adopted in v03. |
| S005 | 5.x.2  Separate condition of PDCCH monitoring  1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or  1> if *ra-ResponseWindow* (as described in clause 5.1.4), *ra-ContentionResolutionTimer* (as described in clause 5.1.5), or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or  1> if a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5); or   1. if a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a):   2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification. | All these can be added in definition of cell DTX Active Period.  [Rapporteur]: this results in a change of behaviour. For example, we agreed SPS is not monitored if the PDSCH doesn’t overlap with the active period, with understanding that the active period occurs periodically. If we include (SR pending, RA ongoing, c-drx retx timers running) part of the active period, then UE is now supposed to monitor SPS during those occasions as well. I have not made this suggested change to keep the understanding we have per the agreements. |
| QC001 | DTX/DRX Active/Non-active period notations are used in the CR without definition. Suggest adding a simple definition and/or referring to 38.331. Suggested example here. | * Cell DTX active period: The duration when the *celldtxdrx-onDurationTimer* is running when Cell DTX is configured.   - Cell DRX active period: The duration when the *celldtxdrx-onDurationTimer* is running when Cell DRX is configured.  [Rapporteur]: the following was already captured since the beginning, and already contains the definition.  When cell DTX is configured for a Serving Cell, the cell DTX Active Period includes the time while:  - *celldtxdrx-onDurationTimer* is running for the associated Serving Cell.  Similar definition is section 5.x.3 for cell DRX active period. |
| QC002 | Upon a RACH from the UE, The UE shall also monitor PDCCH, i.e., autonomously deactivate cell DTX/DRX configuration and override its behaviour. For example if a CONNECTED UE transmits RACH due to emergency call (if WA is confirmed) or due to BFR, and a cell DTX non-active period is ongoing, the current spec indicates the UE would actually not be monitoring PDCCH (or rather doesn’t list a case where the UE monitors PDCCH after RACH for a connected UE). | * Prefer to make sure companies share this understanding before updating CR, but we can add something like this:   1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or  1> if *ra-ResponseWindow* (as described in clause 5.1.4), *ra-ContentionResolutionTimer* (as described in clause 5.1.5), or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or  1> if a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5); or  1> if a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a); or  >1 Upon successful completion of the Random Access procedure (as described in clause 5.1.6):  2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification.  [LGE]: We have similar view on RACH triggered for emergency call. After the successful RACH completion, we think that PDCCH monitoring needs to be allowed at least during the time for completing the emergency call setup procedure.  [Rapporteur]: I understand, but I can’t capture this without an agreement. Right now, we already captured in stage 2 that gNB is expected to deactivate cell DTX/DRX after receiving an emergency call. I will add this to the list of MAC open issues that should be addressed for next meeting. For now, I added this editor’s note:  Editor’s note: whether the UE also monitors PDCCH during the cell DTX non-active period following successful completion of RA (e.g. after a RA triggered by an emergency call, per the working assumption). |
| Xiaomi 2 | Due to introduction of the new MAC CE, the MAC CE handling text is needed in 5.18.x and the new MAC should also included in the MAC CE list in 5.18.1. | [Rapporteur]: we can add that text in 5.18 once the MAC CE is added. I will add it then together. I assume we will have something similar to what’s in section 5.18.6 for new MAC CE handling and also adding to the list in 5.18.1. |
| ZTE-001 | In the definition of *cellDTXDRXconfigType* in 5.x.1, the description for standalone cell DTX or cell DRX configuration is not crystal clear, we can add “only”, which is also align with the field description of *cellDTXDRXconfigType* in TS 38.331. | - *cellDTXDRXconfigType*: defines whether only cell DTX is configured, only cell DRX is configured, or both are configured;  [Rapporteur]: suggestion adopted in v03 |
| ZTE-002 | In 5.x.2, there is description for UE behaviour in the case that SPS occasion is not in the cell DTX Active Period. However, the condition only mentions the “*Serving Cell is not in the cell DTX Active Period*”, it’s too general and unclear. So we suggest to clarify the condition.  Furthermore, in the sentence “*not set the HARQ Process ID to the HARQ Process ID associated with this PDSCH duration*”, the ‘this PDSCH duration’ is not clear. According to the context, for this case that SPS occasion is not in the cell DTX Active Period, We mainly specify the behaviour that UE does not need to perform at any configured downlink assignment for SPS, so it no longer needs to mention “*this PDSCH duration*”. | For each Serving Cell configured with cell DTX, the MAC entity may:  For each Serving Cell configured with cell DTX, the MAC entity may:  1> if cell DTX is activated and the Serving Cell is not in the cell DTX Active Period and, if the configured downlink assignments are also not in the cell DTX Active Period for this Serving Cell:  2> not instruct the physical layer to receive transport block on the DL-SCH according to any configured downlink assignment for SPS;  2> not indicate the presence of any configured downlink assignment and deliver the stored HARQ information to the HARQ entity;  2> not set the HARQ Process ID to the HARQ Process ID associated with any configured downlink assignment;   1. not consider the NDI bit for the corresponding HARQ process to have been toggled.   [Rapporteur]: I’ve clarified it a bit in v03, though not exactly per the suggestion. To match the style of 5.3.1, I added “for each configured downlink assignment” in the top line. I kept the language HARQ process ID associated with PDSCH duration because HARQ PID is associated with PDSCH duration, but added “PDSCH duration of the configured downlink assignment”. |
| M002 | There is an agreement regarding to the P/SP CSI report in LS R2-2311578 that was presented and noted quickly during online discussion in Xiamen meeting:   * Agreement from RAN1 #112-bis-e:   + From RAN1 point of view, Rel-18 UE supporting cell DRX is not expected to transmit the following signals/channels to the gNB during non-active periods of cell DRX     - Periodic/Semi-persistent CSI report   In our understanding, this agreement means that P/SP CSI report is not transmitted during non-active periods of cell DRX regardless of whether CSI masking is setup or not. Hence the corresponding specification in section 5.7 should be amended accordingly. | 2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or, in current symbol n, if all multicast DRXes corresponding to the DRX group would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX, or, if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period as specified in Clause 5.x.3:  3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7] in this DRX group;  3> not report CSI on PUCCH and semi-persistent CSI configured on PUSCH in this DRX group.  2> if CSI masking (*csi-Mask*) is setup by upper layers:  3> in current symbol n, if *drx-onDurationTimer* of a DRX group would not be running considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and  [Rapporteur]: I have added the following to capture the R1 agreement on CSI reporting in section 5.x.3:  1> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period:  2> not report CSI on PUCCH and semi-persistent CSI configured on PUSCH.  The SRS part was not included part of the LS to R2, so I didn’t include it. From the FL summary in RAN1, there seems to be a note that the agreement on SRS is captured in the RAN1 CR. |
| N001 | 5.x.1 Cell Discontinuous Transmission  “1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or”  This is not agreed per DRX group. Since retransmission timers are per serving cell, enough to have per serving cell monitoring if the retransmission timer is running for the serving cell instead of per DRX group. | Revised it to if the retx timer is running for the serving cell, then monitor PDCCH for the serving cell.  1> if cell DTX operation is deactivated for this Serving Cell; or   1. if the Serving Cell is in the cell DTX Active Period; or   1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running for the Serving Cell:  2> monitor PDCCH on this Serving Cell, as specified in TS 38.213 [6] and other clauses of this specification.  [Rapporteur]: the agreement is: “When the retransmission timer is running (if C-DRX is configured), the UE is expected to monitor PDCCH, like in legacy.”  drx retransmission timers are configured per HARQ process, rather than per serving cell. This suggested edit would change the way the UE performs PDCCH monitoring during C-DRX. The original text is kept in order not to create conflicting behaviour with section 5.7 and conform with the agreement. |
| S006 | 5.x.3  Not transmitting SR (highlighted below) is possible only if the serving cell is configured with PUCCH.  1> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period:  2> not instruct the physical layer to signal the SR on a PUCCH resource for SR;  2> not increment the SR counter for a pending SR;  2> not start the *sr-ProhibitTimer* for a pending SR; | 1> if cell DRX is activated, the Serving Cell is configured with PUCCH and the Serving Cell is not in the cell DRX Active Period:  2> not instruct the physical layer to signal the SR on a PUCCH resource for SR;  2> not increment ~~the SR counter~~*SR\_COUNTER* for ~~a pending~~the SR;  2> not start the *sr-ProhibitTimer* for ~~a pending~~the SR;  [Rapporteur]: I fixed the notation to *SR\_COUNTER* in v04 and removed “pending”, thanks!  I have not implemented the suggested change in the first line though, as some actions in level B2 are not reliant on whether PUCCH is configured or not (e.g. no report semi-persistent CSI configured on PUSCH). Also the behaviour for SR is already captured and it won’t be applicable anyway if PUCCH is not configured. |
| S007 | 5.x.2  The following RAN2#121bis agreement is not captured in the CR: “UE doesn’t monitor PDCCH for dynamic grants/assignments for new transmissions during Cell DTX non-active period, even if the UE is in C-DRX Active time” The below text does not explicitly say the agreement.  1> if cell DTX operation is deactivated for this Serving Cell; or  1> if the Serving Cell is in the cell DTX Active Period:  2> monitor PDCCH on this Serving Cell, as specified in TS 38.213 [6] and other clauses of this specification. | 1> if cell DTX operation is activated and the Serving Cell is not in the cell DTX Active Period:  2> not monitor PDCCH on this Serving Cell;  2> not instruct the physical layer to receive transport block on the DL-SCH of this Serving Cell according to the configured downlink assignment for SPS;  2> not indicate the presence of a configured downlink assignment and deliver the stored HARQ information to the HARQ entity;  2> not set the HARQ Process ID to the HARQ Process ID associated with the PDSCH duration of the configured downlink assignment;  2> not consider the NDI bit for the corresponding HARQ process to have been toggled.  [Rapporteur]: the agreement is implemented by specifying when the UE is required to monitor PDCCH (rather than the other way around), similar to C-DRX. I had initially captured what you suggest in the last email discussion, but many companies complained, refer to the following comments from the previous email discussion (R2-2310235):  LG: “there is no need to explicitly prohibit UE actions related to reception. For comparison, in case of UE C-DRX, UE “shall” monitor PDCCH during Active time. But, PDCCH monitoring is not explicitly prohibited in the spec. The principal needs to be followed by cell DTX.  For actions which UE shall do during UE C-DRX Active Time, we have the same understanding with rapporteur. However, the previous comment is about actions which a UE shall not do and this paragraph lists actions which a UE shall not do.  In case of UE C-DRX, actions which a UE shall do during Active Time are specified in the spec. But the specification says nothing about actions which a UE shall not do during not being in Active Time. For example, if a UE is in Active Time, a UE shall monitor PDCCH according to the spec. On the other hand, the specification doesn’t say that a UE shall not monitor PDCCH during not being in Active Time.  Based on this, although we understand that UE does not monitor PDCCH during not being in Active Time, we don’t think that it is specified that UE shall not monitor PDCCH during not being in Active Time.”  QC: “This is written in the opposite way of C-DRX. The phrasing should be "when the UE monitors PDCCH and for what" (if… -> monitor PDCCH for C-RNTI, etc.). This is the opposite method and goes beyond this agreement:  "The understanding for the gNB scheduling behaviour for new transmissions during Cell DTX non-active period is that the gNB does not schedule UE-specific dynamic grants/assignments, even if the UE is in C-DRX Active Time.   UE doesn’t monitor PDCCH for dynamic grants/assignments for new transmissions during Cell DTX non-active period, even if the UE is in C-DRX Active time. FFS how to deal with any exceptions (e.g. SR if agreed and RACH"  We propose following the C-DRX precedent to specify which occasions UE monitors and what it is monitoring for. C-DRX legacy section says:  "1> if a DRX group is in Active Time:  2> monitor the PDCCH on the Serving Cells in this DRX group as specified in TS 38.213 [6]; "”  Nokia: “Conditions related to Cell DTX active time to be added here for the PDCCH monitoring bullet:  2> if Cell DTX is not activated for the serving cell or the serving cell is in Cell DTX active period; or … 3> monitor the PDCCH on the Serving Cells in this DRX group as specified in TS 38.213 [6];” |
| C001 | Agree with the issue pointed out in S007. However, the proposed fix now introduces conflicting texts as follows:  5.x.2:  1> if cell DTX operation is activated and the Serving Cell is not in the cell DTX Active Period:  2> not monitor PDCCH on this Serving Cell;  […]  1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group of this Serving Cell; or  1> if *ra-ResponseWindow* (as described in clause 5.1.4), *ra-ContentionResolutionTimer* (as described in clause 5.1.5), or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or  1> if a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5); or  1> if a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a):  2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification.  […]  5.7:  1> if a DRX group is in Active Time:  2> monitor the PDCCH on the Serving Cells in this DRX group as specified in TS 38.213 [6];  The common understanding of RAN2 agreements is that this takes precedence over this, and this takes precedence over this. So the only way to implement such precedencies is to explicitly capture it as shown beside. | For each Serving Cell configured with cell DTX, the MAC entity may:  1> if cell DTX is activated and the Serving Cell is not in the cell DTX Active Period:  2> not instruct the physical layer to receive transport block on the DL-SCH according to any configured downlink assignment for SPS;  2> not indicate the presence of any configured downlink assignment and deliver the stored HARQ information to the HARQ entity;  2> not set the HARQ Process ID to the HARQ Process ID associated with this PDSCH duration;  2> not consider the NDI bit for the corresponding HARQ process to have been toggled.  2> not monitor PDCCH irrespective of the requirements of clause 5.7, unless explicitly stated otherwise in this clause  [Rapporteur]: refer to my comments under S007. |

# Remaining MAC open issues

* 1. CG bundled transmissions

The following MAC open issue was identified before R2#123bis:

**Issue 3:** **whether to allow CG bundle transmission if only a part of a bundle overlaps with cell DRX Active Period.**

In the draft running MAC CR [2], the UE doesn’t deliver the CG to the HARQ entity if the CG is not in the Active Period. For bundled CG transmissions, one possibility is that the UE transmits only a subset of the repetitions that do not overlap with non-active period, while another possibility is the UE transmits the repetition bundle only if the whole bundle falls within the active period. The draft running CR thus captures the following editor’s note:

Editor’s note: FFS whether to allow configured grant bundle transmission for the case that only a part of a bundle overlaps with cell DRX Active Period.

So far, the R2 agreement on not transmitting on CG during non-active period was general for all transmissions and did not differentiate between repetitions and non-repetitions. This issue was discussed online during RAN2 123bis without conclusion. The following options were outlined:

* **Option 1:** No special handling needed for repetition/bundling grant [4]:
  + even if the first transmission is not within the Active Time, following repetitions could be also for initial transmission with certain RV patterns, as per already specified legacy behaviour. if the following repetitions cannot be used for initial transmission if no TB is obtained, it is already specified legacy behaviour as well.
  + Nothing additional needs to be captured in TS 38.321, and the editor’s note is removed.
* **Option 2:** In case of CG bundle, the UE transmits CG-PUSCH only if all the CG occasions within a bundle completely overlaps with cell DRX Active Time [3].
* **Option 3:** UE performs the transmission within a bundle of the configured grant regardless the cell is in Cell Transmission ON or OFF duration [5].
* **Option 4:** leave it up to RAN1 to decide if anything is needed.

**Question 1: Which of the following options do you prefer?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred Options** | **Comments** |
| Apple | Option 1 or Option 4 | 1. As discussion online, similar issue was discussed in Rel-16/Rel-17 in intra-UE prioritization. And the UE behavior was captured in RAN1 spec (Section 6.1.2.1 of TS 38.214). We assume similar behavior can be reused for Cell DRX, but it should be RAN1 decision as similar case was captured in RAN1 spec. Thus, we think nothing needs to be captured in TS 38.321.  2. In RAN1#114b, RAN1 also discussed this issue in offline although no conclusion was made. |
| Xiaomi | Option 4 | It is RAN1 issue because it will impact the performance. |
| Fraunhofer | Option 4 | In our view this should be left for RAN1 discussion |
| OPPO | Option 1 or 4 | If partial repetitions associated with RV=0 do not fall into non-active, such repetition can be decoded successfully. If not, we can follow the legacy way, i.e. leave the issue to the gNB implementation. Thus, there is no need to capture anything special at least in the RAN2 spec. But if the majority prefers Option 4, we are also fine. |
| NEC | Option 4 | RAN1#114bis meeting discussed the case (details can be found in R1-2310454 Proposal #23-2), however the conclusion was “not agreeable in current form”. To avoid the duplicated discussion, we prefer to leave it up to RAN1 and wait for more RAN1 progress. |
| Fujitsu | Option 4 or Option 1 | Wait for RAN1 discussion. But even if RAN1 cannot reach any consensus, we think no special handling is needed. |
| Huawei | Option 1/4 | No need to capture such case in RAN2. No issue foreseen when CG bundle transmission is allowed if only a part of a bundle overlaps with cell DRX Active Period. No special handling from RAN2 perspective is needed, can be left for RAN1. |
| vivo | Option 1 or 4 | The bundle can be decoded as long as the repetition with RV = 0 falls within the cell DRX active time. It is up to the NW implementation to avoid the opposite case. |
| MediaTek | Option 1 or 4 | Prefer to follow the legacy way in RAN2 territory and wait for RAN1 decision if any. |
| Samsung | Option 2 (current MAC CR) or Option 4 | We think RAN2 should have a common understanding on the current MAC modelling and running CR description. We think Option 2 is what the current MAC CR captures.  The current modelling of CG delivery in TS 38.321 is that all CG occasions within a bundle are delivered to the HARQ entity at the same time. For every CG delivery, NDI is toggled but this NDI toggling is only for the first CG resource.   |  | | --- | | TS 38.321: subclause 5.4.1  For each Serving Cell and each configured uplink grant, if configured and activated, the MAC entity shall:  (omitted)  1> if the MAC entity is not configured with *lch-basedPrioritization*, and the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received on the PDCCH or in a Random Access Response or the PUSCH duration of a MSGA payload for this Serving Cell:  (omitted…)  4> consider the NDI bit for the corresponding HARQ process to have been toggled;  4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity. |   Thus, the interpretation of “deliver the configured uplink grant…” is per bundle. The current behaviour is that if one of CG bundle overlaps with DL-SCH, then the whole CG bundle is not used at all. A similar issue exists for Cell DRX.   |  | | --- | | MAC running CR: subclause 5.x.2  1> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period:  2> not instruct the physical layer to signal the SR on a PUCCH resource for SR;  2> not increment the SR counter for a pending SR;  2> not start the *sr-ProhibitTimer* for a pending SR;  2> not deliver any configured uplink grant and the associated HARQ information to the HARQ entity; |   The delivery of CG resource here means “all CG resources within a bundle”, since legacy text assumes it. Thus, literally speaking, the current running CR implies Option 2.  If companies leave it up to RAN1, we are fine with this. But it seems true that MAC specification should capture something to make it work. Option 1 does not work. |
| Sharp | Option 1 or 4 | No special handling in RAN2 spec or wait for RAN1 if needed. |
| Qualcomm | Option 1 or 4 | We are not 100% clear on option 1 currently. We agree that this should follow legacy and need no special handling, but is the legacy behaviour from a MAC pov that only repetitions that overlap with cell DRX would be transmitted because this is the case where a TB would be obtained and the HARQ is instructed to (re)transmit?  In any case if it’s an active discussion in RAN1 they can come up with a conclusion just useful to clarify what is the current behaviour in MAC if nothing is changed. |
| CATT | Option 4 | RAN1 is already discussing this. Let’s not duplicate. |
| LGE | Option 1 or 4 | We prefer handling a CG bundle in the legacy way based on the current NES agreement. But if the majority prefer Option 4, we are fine with it. |
| ZTE | Option 4 | This is RAN1 issue. |
| Nokia | Option 1 | As explained in our Tdoc. |
| InterDigital | Option 1 or 4 |  |

**Summary:**

16 companies support Option 4, leaving it up to RAN1 to decide given the topic is already discussed there.

11 companies support Option 1, some of which in addition to option 4.

1 company supports Option 2.

Most companies thought no special handling is needed from a R2 perspective anyway. Some companies think that the CG bundle is delivered to the HARQ entity at least if the first CG occasion is in the cell DRX Active Period; proper network configuration can thus handle this. One company interprets the running CR such that CG bundle is not delivered to the HARQ entity if at least one CG occasion is not in the active period.

**Proposal 1:** It is up to RAN1 whether to allow partial transmission of a configured grant bundle in case a part of the bundle overlaps with cell DRX Active Period. (16/17)

* 1. SP CSI reporting on PUCCH Activation MAC CE

RAN1 has been working on spatial and power domain adaption for NES, per the WI objective in [1]. For semi-persistent CSI reporting on PUCCH, the MAC CE design agreed by R1 needs to indicate sub-configuration selection, corresponding to the following RAN1 agreements in RAN1 #113:

**Agreement**

For N>=1 CSI reporting corresponding to N out of L sub-configurations in one reportConfig where each sub-configuration corresponding to an SD adaptation pattern or/[and] a powerControlOffset value,

* For SP-CSI on PUCCH report, support MAC-CE-based triggering
* For MAC-CE based triggering
  + - Opt 2: An indication to select to N sub-configurations in a MAC-CE is supported
    - It is up to RAN2 to decide the signaling designs of the MAC-CE (including whether it is a new MAC CE or an existing MAC CE)
    - Only one MAC CE is used for this triggering

Subsequently, an LS [6] was received towards the end of RAN2#123bis:

|  |
| --- |
| **1. Overall Description:**  RAN1 has discussed the SP-CSI reporting related issues and made the following agreements  • From RAN1 perspective, up to 4 CSI report configurations can be configured in a BWP for SP CSI reporting on PUCCH where one or more report configurations can contain a list of sub-configuration(s).  Furthermore, it is agreed that  • For the max number of sub-configurations Lmax in one CSI report configuration, the maximum value of Lmax is no larger than 8 for semi-persistent CSI reporting on PUCCH  • For report of N CSI(s) in one SP-CSI report where each CSI corresponds to one sub-configuration, the maximum value of N is no larger than 4 for semi-persistent CSI reporting on PUCCH.  **2. Actions:**  **To RAN2:**  **ACTION: RAN1 kindly ask RAN2 to take the above into account in their future work related to the MAC-CE design for SP-CSI reporting for Rel-18 NES.** |

The following was agreed in RAN2 123bis for the MAC CE design:

**Agreements:**

1. design a new MAC CE for activating/deactivating SP CSI report configurations and selecting N out of L subconfigurations for each CSI reportconfiguration.
2. The new MAC CE can be used to activate/deactivate configuration and sub-configuration. One new bit per sub-configuration will be added to activate/deactivate.

During the online discussion, it was suggested to provide a sample for the MAC CE format to avoid having many formats submitted to the next meeting. The rapporteur is thus providing the following sample as a baseline, based on the agreements above:

|  |
| --- |
| 6.1.3.y Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE The enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1. It has a variable size and consists of the following fields:  - Serving Cell ID: This field indicates the identity of the Serving Cell for which the MAC CE applies. The length of the field is 5 bits;  - BWP ID: This field indicates a UL BWP for which the MAC CE applies as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. The length of the BWP ID field is 2 bits;  - Si: This field indicates the activation/deactivation status of the Semi-Persistent CSI report configuration within *csi-ReportConfigToAddModList*, as specified in TS 38.331 [5]. S0 refers to the report configuration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the lowest *CSI-ReportConfigId* within the list with type set to *semiPersistentOnPUCCH*, S1 to the report configuration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the second lowest *CSI-ReportConfigId* and so on. If the number of report configurations within the list with type set to *semiPersistentOnPUCCH* in the indicated BWP is less than i + 1, MAC entity shall ignore the Si field. The Si field is set to 1 to indicate that the corresponding Semi-Persistent CSI report configuration shall be activated. The Si field is set to 0 to indicate that the corresponding Semi-Persistent CSI report configuration i shall be deactivated;  - Ni,x: this field indicates the activation/deactivation status of the Semi-Persistent CSI report SubConfiguration x within *csi-ReportSubConfigList* of *CSI-ReportConfigId* i, as specified in TS 38.331 [5]. If Si set to 1, the octet corresponding to Ni,0 to Ni,7 is present. If Si set to 0, the octet corresponding to Ni,0 to Ni,7 is not present. N0,0 refers to the report SubConfiguration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the lowest *csi-ReportSubConfigID* within the list, N0,1 to the report SubConfiguration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the second lowest *csi-ReportSubConfigID* and so on. If the number of report SubConfigurations within the list with type set to *csi-ReportSubConfigList* in the indicated BWP is less than x + 1, MAC entity shall ignore the Ni,x field. The Ni,x field is set to 1 to indicate that the corresponding Semi-Persistent CSI report SubConfiguration x shall be activated. The Ni,x field is set to 0 to indicate that the corresponding Semi-Persistent CSI report SubConfiguration x shall be deactivated;  - R: Reserved bit, set to 0.    **Figure x: Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE** |

E bits indicate whether to activate the additional reporting of CSI sub-configurations for a given configuration, in addition to S bits, which indicate activation of CSI configurations per legacy. N bits indicate which subconfigurations are activated. Per RAN1’s agreements, some report configurations can be optionally configured with *csi-ReportSubConfigList* for CSI reporting of the subconfigurations. The UE can thus receive a combination of legacy activation of CSI configurations without *csi-ReportSubConfigList* and configurations configured with *csi-ReportSubConfigList* in the same MAC CE, as the R1 agreement states “Only one MAC CE is used for this triggering”. An alternative is to re-use S bits for both activation of SP CSI reporting for the configuration and also reporting for the configured subconfigurations, instead of using E bits, but this would require handling the cases where some configurations are not configured with *csi-ReportSubConfigList*.

|  |  |
| --- | --- |
| Company | Comments or proposed changes |
| Apple | We support the variable length MAC-CE format suggested by Rapporteur. Only one comment: below RAN1 agreement also needs to be implemented:  • For report of N CSI(s) in one SP-CSI report where each CSI corresponds to one sub-configuration, the maximum value of N is no larger than 4 for semi-persistent CSI reporting on PUCCH.  Our understanding above agreement means: for one report config, 8bit bitmap is needed as Rapporteur suggested (e.g. N07-N00 for 1st report config) but only up to 4bit among 8bit can be set to 1 (i.e. activated number of sub-configuration is no larger than 4).  [Rapporteur]: I was not sure we need to capture this max four 1s in the bitmap restriction part of the MAC CE design or not, given the NW indicates these. We can add more description in the Ni,x part for this if companies think so. |
| Xiaomi | First, the new MAC CE is addressed by eLCID, not LCID.  [Rapporteur]: corrected to eLCID above. Thanks  Second, the new MAC CE is variable size the correct format of the MAC CE is like as below, no optional indication:    Third, whether the Ei bit is needed?  In my understanding, if the Ei bit is set to 1, the Si bit should also be set to 1. There is a relationship between Si bit and Ei bit. The text is needed for the relationship.  The key point is how to handle the CSI report without sub-configuration.  **Option 1**: The Si filed indicates the CSI report trigger and if the Si is set to 1, then the corresponding sub-configuration trigger filed will be included in the new MAC CE.  **Option 2**: The Si filed indicates the CSI report trigger and if the Si is set to 1 and the sub-configurations are configured for this report, then then the corresponding sub-configuration trigger filed will be included in the new MAC CE.  If there is only Si bit and if the Si bit for CSI report without sub-configuration is set to 1, the N bitmap is also included and is set to 0.  So we prefer option 1 and think the Ei bit is not needed. |
| Fraunhofer | We agree with Xiaomi that the Si bits can control directly the presence of the subconfigurations octets. And within that framework option 1, proposed by Xiaomi |
| Ericsson | Agree with Xiaomi. Further, the RAN1 agreement does not support a case where there is subconfigurations configured but non is activated:  **Agreement**  For N>=1 CSI reporting corresponding to N out of L sub-configurations in one reportConfig where each sub-configuration corresponding to an SD adaptation pattern or/[and] a powerControlOffset value,   * For A-CSI and SP-CSI on PUSCH report, support DCI-based triggering   + For A-CSI-RS, CPU and CSI-RS resource/port counting depend on N indicated sub-configurations     - FFS: How to do the counting   + FFS: For P-CSI-RS/SP-CSI-RS, CPU and CSI-RS resource/port counting depend on L or N sub-configurations * For SP-CSI on PUCCH report, support MAC-CE-based triggering   + FFS: For P-CSI-RS/SP-CSI-RS, CPU and CSI-RS resource/port counting depend on L or N sub-configurations   Note: UE complexity reduction is not precluded   * For DCI-based triggering,   + Alt 1: A triggering state corresponding to N sub-configurations is indicated via the existing CSI request field in DCI. Different triggering states could represent different subsets of L sub-configurations.     - The DCI is UE specific (in this case, legacy DCI format applies) * For MAC-CE based triggering   + Opt 2: An indication to select to N sub-configurations in a MAC-CE is supported     - It is up to RAN2 to decide the signaling designs of the MAC-CE (including whether it is a new MAC CE or an existing MAC CE)     - Only one MAC CE is used for this triggering   Hence, it seems with E field we would introduce signaling for an undefined case.  If we want variable size MAC CE, it can be variable size based on RRC configuration such that if there is no subconfigurations configured for a reportconfig, the corresponding octet does not exist. |
| OPPO | We slightly prefer a fixed-length MAC-CE format to make the design simple. For example, the Oct 2,3,4,5 are linked with S0, S1, S2, S3, respectively. If one configuration is without the subconfigurations, the UE can use Ni,0 to check whether the associated configuration is activated or deactivated.    But, if the majority prefers variable-size MAC CE, we think there is no need to introduce Ei. Instead, Si can be reused to achieve a similar intention. |
| Fujitsu | Agree with Xiaomi and Ericsson. It is enough to use Si bits for activation/deactivation, Ei bits are not needed. |
| Huawei | Agree with the revised solution from Xiaomi, option 1. The Ei bit is not needed as the Si bit can represent the status of reporting CSI sub-configuration. |
| Rapporteur | Based on the above comments, I have updated the suggested format with R bits instead of E bits. if the Si is set to 1, then the corresponding sub-configuration trigger filed is included in the MAC CE for the corresponding report config. Comments are still welcome on this updated format.  For the case where a reportconfig is not configured with *csi-ReportSubConfigList*, it would be good to understand what the common view is when the corresponding Si bit is set to 1:   * Option A: the understanding is the N bitmap in the corresponding octet will be set to 0s. * Option B: capture that the MAC entity shall ignore the Ni,x field for the corresponding octet if the reportconfig is not configured with *csi-ReportSubConfigList* * Option C: the corresponding octet is not present if the reportconfig is not configured with *csi-ReportSubConfigList* (even if Si is set to 1) * Option D: Ni,x fields for such reportconfig is already ignored per the text above already in such case. Nothing extra needs to be added.   In my understanding, it’s option D, given there are 0 subconfigs configured. |
| vivo | The maximum size of new MAC CE to indicate the N subconfiguration per legacy configuration is 4 bytes. And if variable-size MAC CE is adopted, then the length field with 1 byte in MAC subheader is also needed. So, we prefer to use fixed-size MAC CE to make both spec and UE implementation simple. |
| Samsung | We prefer fixed-size. A variable-size MAC CE requires 1-byte L field. Overhead reduction by using variable-size is not big, and it could be even worse.  We agree with other companies Ei is not needed.  For the question above, we agree with the rapporteur: our understanding is Option D. |
| Sharp | Fixed-size MAC CE or variable-size MAC CE with Option C is ok. |
| Qualcomm | Seems rapporteur newer version is stable now, so it is fine by us with option D understanding above. No strong view on fixed vs variable length. |
| CATT | Agree with latest updates from Rapporteur (Xiaomi’s option 1) and we also share Rapporteur’s view that the handling of a reportconfig which is not configured with *csi-ReportSubConfigList*, is already addressed by Rapporteur’s above option D. |
| LGE | We are generally fine with the current format. Regarding handling the legacy CSI report config (i.e., CSI report config without *csi-ReportSubConfigList*), we prefer option D. We agree to Samsung’s comment on the overhead analysis. |
| ZTE | We prefer the variable-size MAC CE. A variable-size MAC CE requires 1-byte L field, and a fixed-size MAC CE requires 4-byte Ni,x field. Hence, the overhead of fixed-size MAC-CE is not so small.  For the question above, we also agree with the rapporteur: our understanding is Option D. |
| Ericsson | Current MAC CE implementation looks ok but we also fine with the fixed size Mac CE.  The field description of N should have clarification that subconfigurations can be activated only if the corresponding reportconfiguration is activated. |
| Nokia | Agree to have variable size. Presence of the bitmap for a configuration depends on if Si is set to 1. |

**Summary:**

13 companies are okay with a variable-size MAC CE format, per the updated example above in this section, 3 of which are also okay with a fixed-size MAC CE format.

3 companies prefer only a fixed MAC CE format.

**Proposal 2:** As a baseline, add the implementation in section 3.2 (R2-230xxxx) for the Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE into the TS 38.321 running CR (i.e., in sections and 6.1.3 and 5.18). (13/16)

* 1. Cell DTX/DRX impact on MAC timers

The following issue was identified part of the list of open issue prior to RAN2 123bis:

**[MAC] Issue 7: Any other impact on MAC timers.**

More specifically, the following enhancements were proposed:

* CG and CGRT timers: stopping/pausing the timer during the cell DTX non-active period. The following was proposed:
  + Discuss the potential handling on CGRT and CGT during the cell DRX inactive period (e.g. suspension of the CGRT and CGT). [7]
  + The configuredGrantTimer and cg-RetransmissionTimer should be stopped or paused during cell DRX non-active period. [8]
  + ConfiguredGrantTimer and cg-RetransmissionTimer are suspended at Cell DRX Non-Active Time. [9]
  + Rapporteur comment: in RAN2 123bis, we agreed that “The case that Cell DRX activation is received between delivering a configured grant to the HARQ entity and HARQ processing for the CGO will not be addressed by RAN2, as it is not valid for the MAC model”, which means that the CG is not delivered to the HARQ entity if the PUSCH duration overlaps with the cell DRX non active period and also the TB is not obtained. These timers are only started when a TB is obtained, thus are not started during the non-active period. Further, these timers are typically restarted upon reception of dynamic scheduling (e.g. upon reception of a DG addressed to the UE’s CS-RNTI or C-RNTI), and that should not be changed.
* DRX Inactivity timer: stopping/pausing the timer during the cell DTX non-active period. The following was proposed:
  + Define new conditions for UE behaviour changes when cell DTX non-active period starts during the C-DRX related timer is running, i.e. the drx-InactivityTimer and HARQ timer should be stopped/paused. [8]
  + drx-InactivityTimer or bwp-InactivityTimer can be suspended if it does not expire when the cell DTX/DRX enters non-active period. [10]
* DRX HARQ RTT timers: The following was proposed:
  + Define new conditions for UE behaviour changes when cell DTX non-active period starts during the C-DRX related timer is running, i.e. the drx-InactivityTimer and HARQ timer should be stopped/paused. [8]
    - HARQ RTT timers are stopped/paused the timer during the Cell DTX/DRX non-active period; timers can be resumed/restarted in Cell DTX/DRX active period
  + Rapporteur comment: we already agreed to keep the DRX retransmission timers running during the non-active period to allow the gNB to complete retransmissions of pending HARQ processes. DRX retransmission timers only start after the expiry of the DRX HARQ RTT timers. This enhancement thus kind of reverts an existing agreement. Further, delaying the start of the HARQ RTT timer to the start of the cell On duration timer creates an unnecessary time offset from when the UE starts to monitor PDCCH (i.e. a HARQ RTT period after the start of the cell DTX On duration timer starts).
* BWP inactivity timer and SCell deactivation timer: the following was proposed:
  + The bwp-InactivityTimer and sCellDeactivationTimer should be paused during cell DTX non-active period and resumed during cell DTX active period to decrease unnecessary BWP fallback and SCell deactivation. [8]
  + drx-InactivityTimer or bwp-InactivityTimer can be suspended if it does not expire when the cell DTX/DRX enters non-active period. [10]

**Question 2: Which of the following proposed enhancements to MAC timers, if any, do you support?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Timer(s)** | **Comments** |
| Apple | CG and CGRT timers (if running before entering non-active duration of Cell DRX) | 1. On CG/CGRT, we agree with Rapporteur's analysis that CG/CGRT is not restarted during non-active duration of Cell DRX because "These timers are only started when a TB is obtained, thus are not started during the non-active period". But we think there is a special case: the UE has transmitted CG before entering non-active duration, so CGT is already started and running after the UE enters non-active duration of Cell DRX. To address this issue, we think a simple way is add one sentence in section 5.x.2:  1> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period:  2> stop *configuredGrantTimer* and *cg-RetransmissionTimer*, if running.  2> not instruct the physical layer to signal the SR on a PUCCH resource for SR;  2. On DRX Inactivity timer and DRX HARQ RTT timers, we think RAN2 has discussed such kind of modelling (i.e. specify stopping C-DRX timers during Cell DTX inactive duration) but it was not agreed. That is our understanding why MAC CR rapporteur draft the MAC CR in current way. What's more, we don't prefer to change UE behavior related to UE CDRX because it may cause inter-operation issues. In summary, we don't prefer to touch UE CDRX behavior, so these CDRX timers should not have special handling.  3. BWP inactivity timer and SCell deactivation timer. RAN2 discussed similar issues during Rel-16 dormant BWP (which also suspends PDCCH monitoring). And it resulted in no change to these timers (i.e. left to NW implementation to resolve it). |
| Xiaomi | None |  |
| CEWiT | CG and CGRT timers. | These timers are necessary for indication of successful transmissions but there is no PDCCH in the cell DTX/DRX non-active period. Thus its beneficial for these to be paused till non active period and resumes after non active period. |
| Fraunhofer | BWP and SCell timers | BWP and Scell timers could be further discussed for a potential pause during non-active period. |
| OPPO | None |  |
| NEC | none | Firstly, we understand that the cell DTX/DRX is designed on the top of individual UE C-DRX behaviour. When cell DTX is configured and activated, except the UE active time while retransmission timer is running, the UE is expected to monitor PDCCH only when the UE active time defined by C-DRX overlaps with the cell DTX active period. It is clear enough and no need to further discuss C-DRX related timer enhancement.  For CG and CGRT timers, BWP inactivity timer and SCell deactivation timer, we think nothing is broken with current specification. |
| Fujitsu | None |  |
| Huawei | All timers should be considered | For CG and CGRT timers, when the timer is running during cell DTX non-active period, there might be a misalignment of the UE transmission and NW reception status since no feedback means successful transmission. In the gNB non-active time there is no way to indicate CG transmission errors. To eliminate the possible misalignment, the CG and CGRT timers should be paused or stopped.  We think the BWP and SCell timers should be paused during cell DTX non-active. Though the occurrence of this use case might not be common we should avoid possible frequent BWP fallbacks and SCell deactivations.  If the HARQ-RTT-Timer expires during DTX non-active time, the UE starts monitoring for retransmissions, as per legacy behaviour and previous RAN2 agreements. But the gNB would rather wait for the next active time to perform retransmissions for power saving reasons so it is reasonable not to run the HARQ-RTT-Timer during non-active time. |
| vivo | CG and CGRT timers. | Shares similar view with HW’s analysis on CG/CGRT timers, i.e. the timers should be paused to avoid misaligned understanding of whether the TB is successfully transmitted between the UE and the gNB. |
| MediaTek | None | By current RAN2 agreements, the network and UE behaviour shall be clear for the periods when C-DRX and cell DTX/DRX intersects. It would be better to know if there’re common use cases where these concerns are unable to be avoided by a proper configuration. |
| Samsung | CGT/CGRT  SCellDeativation  BWP-InactivityTimer | During non-active time, quick scheduling is not possible or difficult. Thus, the possibility of timer expiry will increase. To avoid the risk, suspend/resumption of timers will be beneficial.  For drx-InactivityTimer, RAN2 agreed that C-DRX timing and cell DTX/DRX timing are aligned with each other. Even without drx-InactivityTimer, UE will monitor PDCCH in the next active period. |
| Sharp | None |  |
| Qualcomm | None except maybe - DRX Inactivity timer: | Further timer exceptions is unneeded complexity in our view.  For CGT, these timers are already a function of the CG periodicity (e.g., 1-64 timer periodicity for CGT), CGT can simply be set long enough to dissipate any Cell DRX nonactive periods. If NW requires a retx for a CGT instance, it is not logical for the NW to keep activating long non-active periods until CGT runs out then require the UE to just store the PDU in case a retransmission is needed several periodicities in the future. Note that C-DRX does not affect timers so it is not well motivated why the identical Cell DTX would. For CGRT, we do not want to address NR-U MAC here, but it is sufficient to day NW does not need to configure CGRT if it intends to toggle Cell DTX too much, it can rely on dynamic grants only for retransmission.  For DRX HARQ RTT timers, we think there is nothing broken in the current behaviour. In fact, the proposed enhancement break RAN2 agreements about retransmissions since now whether a retx is performed or not would depend on the newly introduced behaviour of HARQ RTT timer and whether its running or expired which would be very ad-hoc behaviour.  For BWP inactivity timer and SCell deactivation timer, we agree with Apple.  Generally, if a timer is not suspended during C-DRX non-active period it should not be suspended for cell DTX non-active period.  There is only a weak case to stop DRX inactivity timer when cell DTX non-active period starts. Note that in this case the UE is not decoding PDCCH, and the inactivity timer is just counting to zero. However, given that cell DTX is per-serving cell, that inactivity timer may have to track several cell patterns/activations so ok to not touch as well and let it count to zero for simplicity. |
| CATT | None | For CG and CGRT timers, considering the NW is allowed to send PDCCH for retransmissions during Cell DTX non-active period, there is no room for ambiguity between UE and NW.  BWP and SCell timers should either be configured larger than the Cell DTX cycle or they should be kept running and expiring.  Stopping HARQ RTT timers would not be consistent with the agreement that retransmission can be scheduled outside Cell DTX Active Period. |
| LGE | BWP inactivity timer and SCell deactivation timer | In addition, data-inactivity timer also needs to be stopped during non-active period.  We think, during non-active period, unnecessary BWP switching by BWP inactivity timer expiry, SCell deactivation by SCell deactivation timer expiry, and RRC state transition to RRC\_IDLE by data-inactivity timer expiry need to be prevented.  For timer handling mechanism, we think that the timers stop at the beginning of non-active period (or at the end of active period) and re-start upon the beginning of active period from the initial value.  For CGT and CGRT, we think that one of the main purposes is to prevent the HARQ buffer from being overwritten by the next new data on the coming CGO. Since CGO is not used during cell DRX non-active period, the HARQ buffer will not be overwritten by a new data on the CGO. Therefore, there is no reason to stop/pause CGT/CGRT.  Also, if the CG transmission is unsuccessful, UE can receive a retransmission even during cell DTX non-active time based on the following agreement.  Agreement: Confirm working assumption, when the retransmission timer is running (if C-DRX is configured), the UE is expected to monitor PDCCH, like in legacy. It is up to the network whether it schedules retransmissions out of the Cell DTX active period, i.e., when the DRX retransmission timer is running, the UE should monitor PDCCH regardless of the Cell DTX.  For the cell DTX/DRX non-active time, we see no reason for stopping/pausing CGT/CGRT.  For DRX Inactivity timer and DRX HARQ RTT timers, we have similar view with Apple. |
| ZTE | CG and CGRT timers | We have similar view as Apple.  For automatic retransmission on CG, if *cg-RetransmissionTimer* expires, UE could retransmit on CG and it requires the network to keep reception during Cell DRX non-active periods, which will reduce the NES gain. Hence, the automatic retransmission on CG couldn’t be allowed during Cell DRX non-active periods, and it is up to the network whether to schedule retransmission. |
| Nokia | None |  |
| InterDigital/ rapporteur | None | Generally, proposals to “suspend” or “pause” a MAC timer would be a fundamental change to the operation of MAC timers, given this note in TS 38.321:  NOTE 1: A timer is running once it is started, until it is stopped or until it expires; otherwise it is not running. A timer can be started if it is not running or restarted if it is running. A Timer is always started or restarted from its initial value.  Therefore, any enhancement would have to rely on either of the following:   * Just stopping the timer upon entering the non-active period if is already running:   + This can deem the functionality of the timer and actions upon expiry as not applicable will not happen when cell DRX is activated. For example, stopping the CGT can result in flushing the HARQ process buffer at the very next Active period, even though the NW did not schedule the UE for a retransmission yet. * stopping the timer upon entering the non-active period and starting it again with the initial value at the beginning of the cell DTX/DRX on duration   + This can result in having the timer never expire, and thus can be problematic or defeats the purpose of configuring the timer.   In all cases, proper network configuration of these timers should be sufficient to address any issues. |

**Summary:**

For each of the listed timers, the number of companies supporting the enhancement is as follows:

* CG timer (6)
* CG retransmission timer (6)
* SCellDeativation timer (4)
* BWP-InactivityTimer (4)
* DRX Inactivity timer (1)
* DRX HARQ RTT timers (1)

From an implementation perspective, pausing or suspending a timer is not easy to implement, as explained in the note added in the last row above. A majority of companies thought that stopping any of the above timer is either as unnecessary or problematic; proper configuration of these timers can account for cell DRX/DTX non-active periods.

**Proposal 3:** The following timers are not affected by activation of cell DRX/DTX. Proper configuration of these timers (i.e., to account for cell DRX and non-active period) is left to NW implementation.

* CG timer (12/18)
* CG retransmission timer (12/18)
* SCellDeativation timer (14/18)
* BWP-InactivityTimer (14/18)
* C-DRX timers (17/18)
  1. Cell DTX UE specific inactivity timer

One FFS left from RAN2#121 is on whether to introduce DTX UE specific inactivity timer: “Pattern configuration for cell DRX/DTX is common for Rel-18 UEs in the cell. FFS whether we have DTX UE specific inactivity timer. FFS on configuration signaling and stage 3.” The issue was then re-discussed in email discussion [11] without reaching enough support.

In RAN2#123 [2], it was agreed that RAN2 focus on the case where Cell DTX can only be configured when UE CDRX is configured: “We focus on the case where DTX in RRC can only be configured when C-DRX is configured. We will not optimize for the case where C-DRX is not configured.”

Further, during the non-active period, the UE already monitors PDCCH when C-DRX retransmission timers are running, , during RACH and when SR is pending. It can thus be sufficient to rely on those timers and behaviours for PDCCH monitoring. However, some companies still propose this enhancement. The following options are therefore possible:

* **Option 1:** not to introduce any new timer for Cell DTX/DRX specific UE inactivity timer.
  + UE already monitors PDCCH when C-DRX retransmission timer is running, during RACH and when SR is pending.
* **Option 2:** UE specific inactivity timer is introduced to extend on duration time of Cell DTX/DRX, i.e. after expiration of the timer, the UE considers the Cell DTX/DRX to be in non-active period.

**Question 3: Which of the following options do you prefer?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred Options** | **Comments** |
| Apple | Option 1 with change | 1. At this stage, we see no valid reason to introduce a new inactivity timer (i.e. Option 2).  2. For option 1, we disagree to introduce new exceptional PDCCH monitoring “when C-DRX inactivity timer is running” because below reasons:   * In our understanding, the existing exceptional PDCCH monitoring “when C-DRX retransmission timer is running” is already a compromise between two camps (high NES gain vs UE QoS).   + The main technique reason to agree this compromise is to help refresh on-going HARQ process and the retransmission time is only a small fraction of the time. * From technique perspective, retransmission timer can already cover duration for gNB to schedule high priority traffic during non-active duration. The benefit to introduce new exceptional PDCCH monitoring on inactivity timer for QoS is quite marginal, but it requires the gNB/UE to wake up more frequently. At this late stage, we don’t think RAN2 should do such optimization without clear benefit.   Thus, we suggest to modify Option 1 as:   * **Option 1:** not to introduce any new timer for Cell DTX/DRX specific UE inactivity timer.   + UE already monitors PDCCH when C-DRX retransmission timer is running, ~~the C-DRX inactivity timer is running,~~ during RACH and when SR is pending. |
| Xiaomi | Option 1 | For option 1, the question is whether the extension of cell DTX active duration should be notified to others RRC\_CONNECTED UEs? If the notification is needed then it will result in signalling overhead. If the notification is not needed then the it will result in the unaligned cell DTX operation between UE and network. The other UEs will not share the benefit of the extension of cell DTX active duration.  For option 2, the pattern of cell DTX is up to the semi-static configuration and the mechanism of cell DTX is simple, but the flexibility is lost. |
| Fraunhofer | New Option 3, | First and foremost, it need to be understood what problem we have. Then, if the problem is agreed by everyone we can list and down-select from solutions (which UE specific inactivity timer is just one potential solution).  Our agreements on strict PDCCH monitoring restrictions (for new data) aimed at balancing “high NES gain” vs “UE QoS” and ended up with a very poor trade-off. We affect the “UE QoS” considerably, specially delay only to achieve a very minor “NES gain”. A detailed analysis backed up by simulations was provided in our contribution to R2#123-bis (R2-2311260)  In general, as long as the gNB has data on the buffer there is no NES gain (nor UE power saving gain) in postponing that transmission artificially (restricting scheduling via PDCCH restrictions) but it adversely increases delay. We consider a key issue to still be addressed in Rel-18  Option 2 could be a solution to that, but in our understanding, it is not ideal as it adds a lot to UE complexity and UE power consumption. Instead, we prefer the following option which addresses the problem more directly with less complexity:  **“Option 3 –** explicit signaling to prolong PDCCH monitoring into Cell DTX/DRX non-active time when the gNB deem as needed“  @Rapporteur In order to streamline the discussion we kindly suggest it would it be better to divided the discussion into 2 questions, e.g. “Question 3A – do you agree exceptional PDCCH monitoring behavior (on non-active time) is needed to address data bursts which do not fit the on-duration?” and “3B – If exceptional behavior (3A) is agreed, which option do you prefer?”  [Rapporteur]: the scope of this email is to discuss remaining MAC open issues that are necessary to finalize the CR. Given we still have the following FFS “FFS whether we have DTX UE specific inactivity timer”, the timer option was captured as option 2, per the FFS. |
| OPPO | Option 1 with change | We do not see the urgent requirement to have Option 2 and prefer a fixed cell DTX/DRX active and non-active durations.  On Option 1, we echo Apple, i.e, exclude the PDCCH monitoring “when C-DRX inactivity timer is running”.   * **Option 1:** not to introduce any new timer for Cell DTX/DRX specific UE inactivity timer.   + UE already monitors PDCCH when C-DRX retransmission timer is running, ~~the C-DRX inactivity timer is running,~~ during RACH and when SR is pending. |
| NEC | Option 2 | We see benefits of supporting UE specific inactivity timer to achieve NW flexibility to handle UE traffic initiated at the end of cell DTX/DRX active period.  Since RAN2#121bis has agreed that “A periodic cell DTX/DRX pattern is configured by UE specific RRC signalling.”, it is simple to have an optional CellDTXDRXInactivityTimer IE associated with cell DTX/DRX pattern configuration from configuration perspective. FFS on whether dynamic L2 signaling is needed or not.  On the Option 1, we have the same understating with Apple, i.e., during cell DTX inactive period, the UE does not monitor PDCCH when C-DRX inactivity timer is running. |
| Fujitsu | Option 1 | RAN2 already discussed an exceptional case during cell DTX/DRX non-active period and only the case of emergency call is allowed to transmit. As there is very few UEs in a serving cell, hence the gNB can handle the UE’s QoS appropriately without such a UE specific inactivity timer. |
| Huawei | Option 1 with comments | Agree with Apple. The extension of cell DTX active time is not needed and we have defined some exceptional cases which can be utilized to satisfy the QoS requirement in the non-active time of cell DTX/DRX.  The current agreements are a compromise. Though there is no Cell DTX inactivity timer, we have agreed on the following options:   * When the retransmission timer is running (if C-DRX is configured), the UE is expected to monitor PDCCH, like in legacy (regardless of the Cell DTX) * When an DG grant is received, scheduled by the gNB during cell DRX/DTX, the UE follows the grant assignment (i.e. like in legacy). In our understanding the assignment can be in cell DTX non-active time, if needed.   For the case “when C-DRX inactivity timer is running”, we agree with Apple that the UE can stop monitoring PDCCH during cell DTX non-active time based on the agreement:   * UE doesn’t monitor PDCCH for dynamic grants/assignments for new transmissions during Cell DTX non-active period, even if the UE is in C-DRX Active time.   Therefore this part should be removed from option 1. |
| vivo | Option 2 | Even if the cell DTX active time is extended by cell DTX inactivity timer, it is still up to the NW whether to schedule new transmission. The introduction of cell DTX inactivity timer allows the NW to timely schedules the UE(s) in the cell DTX non-active time, if necessary.  The description of Option 2 needs to be revised, i.e. it is possible the cell DTX inactivity Timer expires within the cell DTX onDurationTimer, although it is not a common practice. Besides, the inactivity timer can be cell common. It is just maintained per UE. Therefore, we suggest the following revision:  **Option 2:** ~~UE specific~~ Cell common inactivity timer is introduced to extend on duration time of Cell DTX/DRX, which is maintained per UE. ~~, i.e. after expiration of the timer, the UE considers the Cell DTX/DRX to be in non-active period~~. |
| MediaTek | Prefer Option 2 with comment | With option 2, we think it could be a UE specific extension of cell DTX/DRX and other UEs could remain unaware of the individual extension, just like the way C-DRX retransmission (timer) is utilized for a certain UE, allowing more coverages of data continuity (other than extended by retransmission scenario only). |
| Samsung | Option 1 |  |
| Sharp | Option 1 | Agree with Option 1 with change proposed by Apple. |
| Qualcomm | Option 1 with comments | Same understanding of Apple, OPPO and HW. We think that the inactivity timer mechanism is already covered by the UE C-DRX inactivity timer. However, once cell DTX non-active period starts, the UE would stop monitoring PDCCH even if UE C-DRX inactivity timer is running. A new timer would be akin to defining an identical timer that overrides Cell DTX which is a direction RAN2 decided not to pursue, so no need to have two identical timers.  Thus the Apple phrasing and understanding is acceptable to us. |
| CATT | Option 2 | The current state of agreements (mandating PDCCH monitoring only when retransmission timers are running) allows gNB scheduling new UL transmissions on a UE-basis outside Cell DTX/DRX Active Period while *drx-RetransmissionTimerUL* is running. So indeed this can be seen as a compromise for allowing scheduling UL bursts beyond the Cell DTX/DRX Active Period. But it does not work for DL bursts, essentially because unlike *drx-RetransmissionTimerUL, drx-RetransmissionTimerDL* only runs when the DL Tx failed. So we believe something still needs to be done for allowing scheduling on a UE basis some late DL burst beyond the Cell DTX/DRX Active Period.  However, we don’t think a new timer is necessarily needed and the legacy *drx-InactivityTimer* DRX timer can just be reused for that. |
| LGE | Option 1 | We agree to Option 1 with the change proposed by Apple. We think that the extension of cell DTX active period is not needed to keep NES gain reasonably high and to keep NES operation simple. |
| ZTE | Option 1 | We agree with Apple. |
| Nokia | Option 1 | Already agreed no need to optimize for the case of without UE DRX. |
| InterDigital | Option 1 |  |

**Summary:**

12 companies support Option 1

4 companies support Option 2

1 company supports Option 3

**Proposal 4:** No new timer as a Cell DTX/DRX specific UE inactivity timer is introduced. The UE already monitors PDCCH during the non-active period when C-DRX retransmission timer is running, during RACH and when SR is pending (12/17).

# Conclusion

This is the report document for email discussion on remaining NES MAC open issues. As an outcome of this discussion, the following proposals are made:

Detailed summary is provided after each question.

**Proposal 1:** It is up to RAN1 whether to allow partial transmission of a configured grant bundle in case a part of the bundle overlaps with cell DRX Active Period. (16/17)

**Proposal 2:** As a baseline, add the implementation in section 3.2 (R2-230xxxx) for the Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE into the TS 38.321 running CR (i.e., in sections and 6.1.3 and 5.18). (13/16)

**Proposal 3:** The following timers are not affected by activation of cell DRX/DTX. Proper configuration of these timers (i.e., to account for cell DRX and non-active period) is left to NW implementation.

* CG timer (12/18)
* CG retransmission timer (12/18)
* SCellDeativation timer (14/18)
* BWP-InactivityTimer (14/18)
* C-DRX timers (17/18)

**Proposal 4:** No new timer as a Cell DTX/DRX specific UE inactivity timer is introduced. The UE already monitors PDCCH during the non-active period when C-DRX retransmission timer is running, during RACH and when SR is pending (12/17).

# References

1. RP-223540, “New WID: Network energy savings for NR”, Huawei
2. R2-2310233, “Running CR to 38.321 for Network energy savings”, InterDigital
3. R2-2310479, “SPS and Multicast Impacts of Cell DTX/DRX”, Samsung
4. R2-2310685, “Remaining issues on Cell DTX/DRX Nokia”, Nokia Shanghai Bell
5. R2-2307178, “Various (RRC Procedure, Measurement, SR, CG etc.) alignment aspects”, Lenovo
6. R1-2310578, “SP-CSI reporting for network energy savings”, RAN1, Huawei
7. R2-2310982, “UL considerations for Cell DTX/DRX”, NEC Telecom MODUS Ltd.
8. R2-2309998, “Discussion on remaining issues of cell DTX and DRX”, Huawei, HiSilicon
9. R2-2310479, “SPS and Multicast Impacts of Cell DTX/DRX”, Samsung
10. R2-2310262, “Discussion on cell DTX/DRX”, CMCC
11. R2-2302796, “Outcome of [POST121][312][NES] DTX/DRX - Configuration/ activation/ deactivation and alignment”, Huawei, HiSilicon