**3GPP TSG-RAN WG Meeting #101 *RP-232629***

**Bangalore, India, 11– 15 September 2023 R2-2309009**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **4939** | **rev** | **2** | **Current version:** | **16.12.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction to NS-value utilization | | | | | | | | | |
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| ***Source to WG:*** | - | | | | | | | | | |
| ***Source to TSG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IAB-Core | | | | |  | ***Date:*** | | | 2023-09-08 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | IAB support was included in Rel-16 for NR and EN-DC, with the LTE CR being agreed in CR4233 in R2-2002358. The CR included handling of cell barring for IAB-MT if the iab-Support is not broadcast in SIB1.  However, the procedural text change has been inserted in wrong place (i.ew. in the middle of *p-Max* and *additionalSpectrumEmission* selection for UE), which means the “else” branch using the legacy NS-value and P-Max selection is only entered if the cell is not barred for IAB-MT, which breaks the logic of multiple NS-values for a given (m)FBI. This can also be seen easily from the SIB1-NB procedural text, where the IAB-MT cell barring is not used so the original procedural text is visible.  This means the LTE specification is broken for the NS-value and P-max selection. | | | | | | | | |
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| ***Summary of change:*** | | 1. Move the procedural text of cell barring for IAB-MT node outside of the existing procedural text of selecting the *p-Max* and *additionalSpectrumEmission* in case of multiple values broadcast in SIB1.   **Impacted architecture options:** EN-DC, NE-DC  **Impact analysis**  Impacted functionality: UL power control.  Inter-operability:   1. If the network is implemented according to the CR and the UE is not, the UE may use the incorrect A-MPR and P-Max for the serving carrier. 2. If the UE is implemented according to the CR and the network is not, there are no inter-operability issues. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE selection of NS-value and P-Max is incorrect in LTE RRC. | | | | | | | | |
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| ***Clauses affected:*** | | 5.2.2.7 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | | This CR is mandatory for all UEs implementing LTE Rel-16. | | | | | | | | |
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| ***This CR's revision history:*** | | Rev.2: Corrects indenting changes omitted from Rev.1 (but which were taken into account in Rel-17 shadow CR in R2-2309010) | | | | | | | | |

*First Modified Subclause*

#### 5.2.2.7 Actions upon reception of the *SystemInformationBlockType1* message

Upon receiving the *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* either via broadcast or via dedicated signalling, the UE shall:

1> if the upper layers indicate the selected core network type as 5GC:

2> if the *cellAccessRelatedInfoList-5GC* contains an entry with the *plmn-Identity* or *plmn-Index* of the selected PLMN:

3> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList-5GC* containing the selected PLMN;

1> else if the *cellAccessRelatedInfoList* contains an entry with the *PLMN-Identity* of the selected PLMN:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList* containing the selected PLMN;

1> if in RRC\_IDLE or in RRC\_CONNECTED while T311 is running; and

1> if the UE is a category 0 UE according to TS 36.306 [5]; and

1> if *category0Allowed* is not included in *SystemInformationBlockType1*:

2> consider the cell as barred in accordance with TS 36.304 [4];

1> if in RRC\_CONNECTED while T311 is not running, and the UE supports multi-band cells as defined by bit 31 in *featureGroupIndicators*:

2> disregard the *freqBandIndicator* and *multiBandInfoList*, ifreceived, while in RRC\_CONNECTED;

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers;

1> else:

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2> else

3> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE and it is not a downlink only band; or

3> if the UE supports *multiBandInfoList,* and if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE and they are not downlink only bands:

4> forward the *cellIdentity* to upper layers;

4> forward the *trackingAreaCode* to upper layers;

4> forward the PLMN identity to upper layers;

4> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:

5> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:

6> initiate an RNA update as specified in 5.3.17.2;

4> forward the *ims-EmergencySupport* to upper layers, if present;

4> forward the *eCallOverIMS-Support* to upper layers, if present;

4> if the UE is capable of 5G NAS:

5> forward the *ims-EmergencySupport5GC* to upper layers, if present;

5> forward the *eCallOverIMS-Support5GC* to upper layers, if present;

5> forward *cp-CIoT-5GS-Optimisation* to upper layers, if present for the selected PLMN;

5> forward *up-CIoT-5GS-Optimisation* to upper layers, if present for the selected PLMN;

4> if, for the frequency band selected by the UE (from *freqBandIndicator* or *multiBandInfoList*), the *freqBandInfo* or the *multiBandInfoList-v10j0* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo* or *multiBandInfoList-v10j0*:

5> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfolist-v10j0*;

5> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:

6> apply the *additionalPmax*;

5> else:

6> apply the *p-Max*;

4> else:

5> apply the additionalSpectrumEmission in SystemInformationBlockType2 and the p-Max;

3> else:

4> consider the cell as barred in accordance with TS 36.304 [4]; and

4> perform barring as if *intraFreqReselection* is set to *notAllowed*,and as if the *csg-Indication* is set to *FALSE*;

Upon receiving the *SystemInformationBlockType1-NB*, the UE shall:

1> if the upper layers indicate the selected core network type as 5GC:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the *cellAccessRelatedInfo-5GC*;

1> else:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the *cellAccessRelatedInfo*;

1> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE; or

1> if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE:

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers;

2> if *attachWithoutPDN-Connectivity* is received for the selected PLMN:

3> forward the a*ttachWithoutPDN-Connectivity* to upper layers;

2> else

3> indicate to upper layers that *attachWithoutPDN-Connectivity* is not present;

2> if the UE is capable of 5G NAS:

3> forward *ng-U-DataTransfer* to upper layers, if present for the selected PLMN;

3> forward *up-CIoT-5GS-Optimisation* to upper layers, if present for the selected PLMN;

2> if, for the frequency band selected by the UE (from *freqBandIndicator* or *multiBandInfoList*), the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:

3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;

3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:

4> apply the *additionalPmax*;

3> else:

4> apply the *p-Max*;

2> else:

3> apply the *additionalSpectrumEmission* in *SystemInformationBlockType2-NB* and the *p-Max*;

1> else:

2> consider the cell as barred in accordance with TS 36.304 [4]; and

2> perform barring as if *intraFreqReselection* is set to *notAllowed*.

No UE requirements related to the contents of *SystemInformationBlockType1-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

*End of Changes*