**3GPP TSG-RAN WG2 Meeting #123 *R2-2308502***

**Toulouse, France, 21th – 25th August, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **4256** | **rev** | **-** | **Current version:** | **17.5.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Running 38331 CR for SON on RACH report | | | | | | | | | |
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| ***Source to WG:*** | ZTE Corporation, Sanechips | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** |  | | | | |  | ***Date:*** | | | 2023-09-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | To add RACH report enhancement for RACH partitioning and NR-U relevant optimization based on below agreements:   * RACH partioning  |  | | --- | | **Agreements RAN2#119bis-e**  For RACH report about RACH partitioning information  1 Agree to add the following parameters into RACH report for RACH partitioning:  - Feature or the combination of features that triggered the RACH  - Used feature combination | | **Agreements RAN2#120**  For RACH report for RACH partitioning, RAN2 to agree to include NSAG ID when the applicable feature is slicing.  UE includes RA and SDT information in RA report when an SDT operation fails. | | **Agreements RAN2#122**  RACH Partitioning  1 RAN2 confirms agreed “used feature combination” is all the features configured in the FeatureCombination applied for the RACH procedure.  2 Feature specific RACH information is included in RA-InformationCommon and is also included for RLF report and CEF report.    Msg3 repetition  3 Not include the number of Msg3 repetition applied in RACH procedure in RA report. | | **Agreements RAN2#123**  1 At least the NSAG ID that is assigned to the S-NSSAI triggering the RA attempt and belongs to the NSAG ID of the feature combination used to select the RA configuration should be reported.  2 Addition of an indication in RA report whether RA-SDT procedure is successful or not. Details of the indication and whether it is a single flag or further differentiation of the failure scenarios are needed are FFS. |  * NR-U relevant RACH  |  | | --- | | **Agreements RAN2#119bis-e**  1. The UE will log information of multiple RA procedures related to consistent LBT failures. FFS details.  1 Introduce a new raPurpose in the RA-Report to indicate that the RA was initiated following a “consistent LBT failures” in the SpCell.  2 RAN2 agree to log kind of “the number of LBT failures” in the RA report.  LBT failure is the failure to access the channel before transmission.  The definition of “the number of LBT failures” should be clarified.  FFS how to log the number of LBT failures in the RA report. | | **Agreements RAN2#121**  1: Log the last successful RA procedure related information in the RA report. Only some information to be logged for multiple successive RA procedures failed due to LBT issue. FFS what information. | | **Agreements RAN2#122**  1 Only the preamble transmission attempts for which LBT was successful are represented in the “per RA attempt info list” for a given beam.  2 On how to represent the preamble transmission attempts blocked by LBT,  Introduce a field (or reusing the existing field) that counts the number of preamble transmissions blocked by LBT per RA procedure, and a flag indicating transmission failures experienced right before beam switching. Details can FFS.  3 For the RA-Report, the enhancements on the handling of the “per RA attempt info list” (i.e. as per Proposal 1) apply only to the last RA procedure in the last BWP prior to the random access success.  4 For the other BWPs in which the UE experienced the consistent LBT failure, the UE logs in the RA-InformationCommon:  a. The locationAndBandwidth information of the BWP  b. The subcarrierSpacing information of the BWP  c. The absoluteFrequencyPointA information of the BWP ( How to log once for all the BWPs of the cell is FFS)  5 As baseline, RAN2 assumes the following:  a. Enhancements discussed for the RA-InformationCommon for the RA-Report are applicable also to the RLF-Report  b. The detailed “per RA attempt info” are only reported in the RLF-Report for the last RA procedure before RLF/HOF, FFS whereas limited information are reported for the other BWPs in which consistent LBT failure is detected  c. The above bullets may be revisited case by case depending on future agreements.  6 The UE logs RA-InformationCommon including LBT info in the RLF-Report, in case of HOF and when the RLF cause is randomAccessProblem or beamFailureRecoveryFailure (as in legacy). | | **Agreements RAN2#123**  1 Introduce a new field that counts the number of preamble transmissions blocked by LBT for the last BWP selected for the RA procedure. FFS how to solve the issue of no preamble transmission attempts transmitted in a selected beam due to LBT blockage.  2 All the BWPs (including the first one) in which the UE experienced the consistent UL LBT failure, prior to the successful completion of the RA, are included in the RA-Report.  4 The UE logs the following information in the SHR:  a. The ra-InformationCommon including the new Rel.18 information (i.e. the number of UL LBT failures during HO, the info on the multiple BWPs in which consistent UL LBT failures was triggered), if T304 triggering conditions is fulfilled.  b. FFS: The RSSI measurements of the frequencies associated to the source/target/neighbouring cells, if the measRSSI-ReportConfig is configured for those frequencies.  5 BWPs information included in the RA-Report can be included, within the list of attempted BWP(s), in chronological order of BWP selection. | | | | | | | | | |
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| ***Summary of change:*** | | 1. In subclause 5.3.10.5, include new condition to store RA-InformationCommon in RLF report 2. In subclause 5.7.10.4:    1. update the title of 5.7.10.4 in a more future proofing way to cover all agreed conditions for logging RA information;    2. add new triggers to support logging RACH finformation for failed RA-SDT;    3. update conditions to release varRA-Report in a more future proofing way; 3. In subclause 5.7.10.5 UE procedure to include agreed feature combination parameters and NR-U information is added 4. In subclause 6.2: add procedure in 5.7.10.5 and new IEs in RA-informationCommon to support logging new fields agreed for NR-U and RACH partitioning; add lbt-failure as new raPurpose | | | | | | | | |
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| ***Consequences if not approved:*** | | RACH partitioning and NR-U relevant RACH optimization is not supported. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.10.5, 5.7.10.4, 5.7.10.5, 6.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF CHANGE*

#### **5.3.10.5 RLF report content determination**

The UE shall determine the content in the *VarRLF-Report* as follows:

1> clear the information included in *VarRLF-Report*, if any;

1> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

1> set the *measResultLastServCell* to include the cell level RSRP, RSRQ and the available SINR, of the source PCell (in case HO failure) or PCell (in case RLF) based on the available SSB and CSI-RS measurements collected up to the moment the UE detected failure;

1> if the SS/PBCH block-based measurement quantities are available:

2> set the *rsIndexResults* in *measResultLastServCell* to include all the available measurement quantities of the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

1> if the CSI-RS based measurement quantities are available:

2> set the *rsIndexResults* in *measResultLastServCell* to include all the available measurement quantities of the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected failure;

1> set the *ssbRLMConfigBitmap* and/or *csi-rsRLMConfigBitmap* in *measResultLastServCell* to include the radio link monitoring configuration of the source PCell (in case HO failure) or PCell (in case RLF), if available;

1> for each of the configured *measObjectNR* in which measurements are available:

2> if the SS/PBCH block-based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the cell with highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the cell with highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the cell with highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 0a: For the neighboring cells included in *measResultListNR* in *measResultNeighCells* ordered based on the SS/PBCH block measurement quantities, UE also includes the CSI-RS based measurement quantities, if available.

2> if the CSI-RS based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the cell with highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the cell with highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the cell with highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected radio link failure;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 0b: For ordering the neighboring cells based on the CSI-RS measurement quantities, UE includes measurements only for the cells not yet included in *measResultListNR* in *measResultNeighCells* to avoid overriding SS/PBCH block-based ordered measurements.

2> for each neighbour cell, if any, included in *measResultListNR* in *measResultNeighCells*:

3> if the UE supports RLF-Report for conditional handover and if the neighbour cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in the MCG *VarConditionalReconfig* at the moment of the detected failure:

4> set *choConfig* in *MeasResult2NR* to the execution condition for each *measId* within *condTriggerConfig* associated to the neighbour cell within the MCG *VarConditionalReconfig*;

4> if the first entry of *choConfig* corresponds to a fulfilled execution condition at the moment of handover failure, or radio link failure; or

4> if the second entry of *choConfig*, if available, corresponds to a fulfilled execution condition at the moment of handover failure, or radio link failure:

5> set *firstTriggeredEvent* to the execution condition *condFirstEvent* corresponding to the first entry of *choConfig* or to the execution condition *condSecondEvent* corresponding to the second entry of *choConfig*, whichever execution condition was fulfilled first in time;

5> set *timeBetweenEvents* to the elapsed time between the point in time of fullfilling the condition in *choConfig* that was fulfilled first in time, and the point in time of fullfilling the condition in *choConfig* that was fulfilled second in time, if both the first execution condition corresponding to the first entry and the second execution condition corresponding to the second entry in the *choConfig* were fullfilled;

1> for each of the configured EUTRA frequencies in which measurements are available;

2> set the *measResultListEUTRA* in *measResultNeighCells* to include the best measured cells ordered such that the cell with highest RSRP is listed first if RSRP measurement results are available, otherwise the cell with highest RSRQ is listed first, and based on measurements collected up to the moment the UE detected failure;

3> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.

1> set the *c-RNTI* to the C-RNTI used in the source PCell (in case HO failure) or PCell (in case RLF);

1> if the failure is detected due to reconfiguration with sync failure as described in 5.3.5.8.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> if the UE supports RLF-Report for DAPS handover and if any DAPS bearer was configured while T304 was running:

3> set *lastHO-Type* to *daps*;

3> if radio link failure was detected in the source PCell, according to clause 5.3.10.3:

4> set *timeConnSourceDAPS-Failure* to the time between the initiation of the DAPS handover execution and the radio link failure detected in the source PCell while T304 was running;

4> set the *rlf-Cause* to the trigger for detecting the source radio link failure in accordance with clause 5.3.10.4;

2> if the UE supports RLF-Report for conditional handover and if configuration of the conditional handover is available in the MCG *VarConditionalReconfig* at the moment of the handover failure:

3> if the UE executed a conditional handover toward target PCell according to the *condRRCReconfig* of the target PCell:

4> set *timeSinceCHO-Reconfig* to the time elapsed between the execution of the last *RRCReconfiguration* message including *reconfigurationWithSync* for the target PCell of the failed conditional handover, and the reception in the source PCell of the last *conditionalReconfiguration* including the *condRRCReconfig* of the target PCell of the failed conditional handover;

3> else:

4> set *timeSinceCHO-Reconfig* to the time elapsed between the execution of the last *RRCReconfiguration* message including *reconfigurationWithSync* for the target PCell of the failed handover, and the reception in the source PCell of the last *conditionalReconfiguration* including the *condRRCReconfig*;

3> set *choCandidateCellList* to include the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of each of the candidate target cells for conditional handover included in *condRRCReconfig* within the MCG *VarConditionalReconfig* at the time of the failed handover, excluding the candidate target cells included in *measResulNeighCells*;

2> if the UE supports RLF-Report for conditional handover and if the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was concerning a conditional handover:

3> set *lastHO-Type* to *cho*;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

2> set the *timeConnFailure* to the elapsed time since the execution of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

1> else if the failure is detected due to Mobility from NR failure as described in 5.4.3.5, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> if last *MobilityFromNRCommand* concerned a failed inter-RAT handover from NR to E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO EUTRA (NR to EUTRA):

3> set the *eutraFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *MobilityFromNRCommand* message was received;

2> set the *timeConnFailure* to the elapsed time since the initialization of the handover associated to the last *MobilityFromNRCommand* message;

1> else if the failure is detected due to radio link failure as described in 5.3.10.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *rlf*;

2> set the *rlf-Cause* to the trigger for detecting radio link failure in accordance with clause 5.3.10.4;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and the tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

2> if an *RRCReconfiguration* message including the *reconfigurationWithSync* was received before the connection failure:

3> if the last successfully executed *RRCReconfiguration* message including the *reconfigurationWithSync* concerned an intra NR handover and it was received while connected to the previous PCell to which the UE was connected before connecting to the PCell where radio link failure is detected; and

3> if T311 was not running before entering the PCell in which the radio link failure was detected:

4> include the *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the PCell where the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was received;

4> if the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was concerning a DAPS handover:

5> set *lastHO-Type* to *daps*;

4> else if the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was concerning a conditional handover:

5> set *lastHO-Type* to *cho*;

4> set the *timeConnFailure* to the elapsed time since the execution of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

3> else if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned a handover to NR from E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO EUTRA:

4> include the *eutraPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the E-UTRA PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync* embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

2> if configuration of the conditional handover is available in the MCG *VarConditionalReconfig* at the moment of declaring the radio link failure:

3> set *timeSinceCHO-Reconfig* to the time elapsed between the detection of the radio link failure, and the reception, in the source PCell, of the last *conditionalReconfiguration* including the *condRRCReconfig* message;

3> set *choCandidateCellList* to include the global cell identity if available, and otherwise to the physical cell identity and carrier frequency of each of all the candidate target cells for conditional handover included in *condRRCReconfig* within the MCG *VarConditionalReconfig* at the time of radio link failure, excluding the candidate target cells included in *measResulNeighCells*;

1> if *connectionFailureType* is *rlf* and the *rlf-Cause* is set to *randomAccessProblem* or *beamFailureRecoveryFailure*; or

1> if *connectionFailureType* is *rlf* and the *rlf-Cause* is set to *lbtFailure* and the UE was performing random access at the moment of RLF; or

1> if *connectionFailureType* is *hof* and if the failed handover is an intra-RAT handover:

2> set the *ra-InformationCommon* to include the random-access related information as described in clause 5.7.10.5;

1> if available, set the *locationInfo* as in 5.3.3.7.

The UE may discard the radio link failure information or handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure/handover failure is detected.

NOTE 2: In this clause, the term 'handover failure' has been used to refer to 'reconfiguration with sync failure'.

*NEXT CHANGE*

#### 5.7.10.4 Actions for the Random Access report determination

Upon successfully performing random-access procedure initialized with 4-step or 2-step RA type, or upon failed or successfully completed on-demand system information acquisition procedure in RRC\_IDLE or RRC\_INACTIVE state, or upon failed RA-SDT operation as specified in subclause 5.3.13.5, the UE shall:

1> if the RPLMN or the PLMN selected by upper layers (see TS24.501 [23]) from the PLMN(s) included in the *plmn-IdentityList* in *SIB1* is not included in *plmn-IdentityList* stored in a non-empty *VarRA-Report*:

2> clear the information included in *VarRA-Report*;

1> if the number of *RA-Report* entries stored in the *ra-ReportList* in *VarRA-Report* is less than *maxRAReport*:

2> if the number of PLMN entries in *plmn-IdentityList* stored in *VarRA-Report* is less than *maxPLMN*; or

2> if the number of PLMN entries in *plmn-IdentityList* stored in *VarRA-Report* is equal to *maxPLMN* and the list of EPLMNs is subset of or equal to the *plmn-IdentityList* stored in *VarRA-Report*:

3> append the following contents associated to the successfully completed random-access procedure or the failed or successfully completed on-demand system information acquisition procedure as a new entry in the *VarRA-Report*:

4> if the list of EPLMNs has been stored by the UE:

5> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN) without exceeding the limit of *maxPLMN*;

4> else:

5> set the *plmn-Identity*, in *plmn-IdentityList*, to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in SIB1;

4> set the *cellId* to the global cell identity and the tracking area code, if available, otherwise to the physical cell identity and carrier frequency of the cell in which the corresponding random-access preamble was transmitted;

4> if the UE supports spCell ID indication:

5> if the corresponding random-access procedure was performed on an SCell of MCG:

6> set the *spCellId* to the global cell identity of the PCell;

5> if the corresponding random-access procedure was performed on an SCell of SCG; or

5> if the corresponding random-access procedure was performed on PSCell:

6> set the *spCellId* to the global cell identity of the PSCell, if available, otherwise, set the *spCellId* to the global cell identity of the PCell;

4> set the *raPurpose* to include the purpose of triggering the random-access procedure;

4> set the *ra-InformationCommon* as specified in clause 5.7.10.5.

The UE may discard the random-access report information, i.e. release the UE variable *VarRA-Report*, 48 hours after the last logging of random-access information in the *VarRA-Report*.

NOTE 1: Void

#### 5.7.10.5 RA information determination

The UE shall, for the last completed or last failed random-access procedure, set the content in *ra-InformationCommon* as follows:

1> set the *absoluteFrequencyPointA* to indicate the absolute frequency of the reference resource block associated to the random-access resources used in the random-access procedure;

1> set the *locationAndBandwidth* and *subcarrierSpacing* associated to the UL BWP of the random-access resources used in the random-access procedure;

1> if contention based random-access resources are used in the random-access procedure:

2> set the *msgA\_RO-FrequencyStart* and *msgA-RO-FDM* and *msgA-SubcarrierSpacing* associated to the 2 step random- access resources if used in the random-access procedure;

2> if *msgA-SubcarrierSpacing* associated to the 2 step random-access resources used in the random-access procedure is available:

3> set the *msgA-SubcarrierSpacing* associated to the 2 step random-access resources used in the random-access procedure;

2> else if only 2 step random-access resources are available in the UL BWP used in the random-access procedure:

3> set the *msgA-SCS-From-prach-ConfigurationIndex* to the subcarrier spacing as derived from the *msgA-PRACH-ConfigurationIndex* used in the 2-step random-access procedure;

2> else:

3> set the *msg1-SubcarrierSpacing* associated to the 4 step random-access resources used in the random-access procedure;

2> set the *msg1-FrequencyStart* associated to the 4 step random-access resources if used in the random-access procedure, and if its value is different from the value of *msgA-RO-FrequencyStart* if it is included in the *ra-InformationCommon*;

2> set the *msg1-FDM* associated to the 4 step random-access resources if used in the random-access procedure, and if its value is different from the value of *msgA-RO-FDMCFRA* if it is included in the *ra-InformationCommon;*

2> if *msg1-SubcarrierSpacing* associated to the 4 step random-access resources used in the random-access procedure is available, and if its value is different from the value of *msgA-SubcarrierSpacing* if it is included in the *ra-InformationCommon*:

3> set the *msg1-SubcarrierSpacing* associated to the 4 step random-access resources used in the random-access procedure;

2> else:

3> set the *msg1-SCS-From-prach-ConfigurationIndex* to the subcarrier spacing as derived from the *prach-ConfigurationIndex* used in the 4-step random-access procedure, and if its value is different from the value of *msgA-SCS-From-prach-ConfigurationIndex* if it is included in the *ra-InformationCommon*;

1> if contention free random-access resources are used in the random-access procedure:

2> set the *msg1-FrequencyStartCFRA* and *msg1-FDMCFRA* associated to the 4 step random-access resources if used in the random-access procedure;

2> if *msg1-SubcarrierSpacing* associated to the 4 step random-access resources used in the random-access procedure is available:

3> set the *msg1-SubcarrierSpacingCFRA* associated to the 4 step random-access resources used in the random-access procedure;

2> else:

3> set the *msg1-SCS-From-prach-ConfigurationIndexCFRA* to the subcarrier spacing as derived from the *prach-ConfigurationIndex* used in the 4 step random-access procedure;

2> set the *msgA-RO-FrequencyStartCFRA* and *msgA-RO-FDMCFRA* associated to the 2 step contention free random access resources if used in the random-access procedure;

2> set the *msgA-MCS*, the *nrofPRBs-PerMsgA-PO*, the *msgA-PUSCH-TimeDomainAllocation*, the *frequencyStartMsgA-PUSCH*, the *nrofMsgA-PO-FDM* associated to the 2 step random-access resources if used in the random-access procedure;

2> if *msgA-SubcarrierSpacing* associated to the 2 step random-access resources used in the random-access procedure is available:

3> set the *msgA-SubcarrierSpacing* associated to the 2 step random-access resources used in the random-access procedure;

2> else if only 2 step random-access resources are available in the UL BWP used in the random-access procedure:

3> set the *msgA-SCS-From-prach-ConfigurationIndex* to the subcarrier spacing as derived from the *msgA-PRACH-ConfigurationIndex* used in the 2-step random-access procedure;

2> else:

3> set the *msg1-SubcarrierSpacing* associated to the 4 step random-access resources used in the random-access procedure;

1> if the random access procedure is initialized with *RA\_TYPE* set to *2-stepRA* as described in TS 38.321 [3]:

2> set the *dlPathlossRSRP* to the measeured RSRP of the DL pathloss reference obtained at the time of *RA\_Type* selection stage of the initialization of the RA procedure as captured in TS 38.321 [3];

2> if the configuration for the random access *msgA-TransMax* was configured in *RACH-ConfigDedicated* for this random access procedure, and *ra-Purpose* is set to *reconfigurationWithSync*:

3> set *msgA-TransMax* to the value of *msgA-TransMax* in *RACH-ConfigDedicated*;

2> else if *msgA-TransMax* was configured in *RACH-ConfigCommonTwoStepRA*:

3> set *msgA-TransMax* to the value of *msgA-TransMax* in *RACH-ConfigCommonTwoStepRA*;

2> set the *msgA-PUSCH-PayloadSize* to the size of the overall payload available in the UE buffer at the time of initiating the 2 step RA procedure;

1> if the purpose of the random access procedure is to request on-demand system information (i.e., if the *raPurpose* is set to *requestForOtherSI* or *msg3RequestForOtherSI*):

2> set the *intendedSIBs* to indicate the SIB(s) the UE wanted to receive as a result of the SI request;

2> set the *ssbsForSI-Acquisition* to indicate the SSB(s) used to receive the SI message;

2> if the on-demand system information acquisition was successful:

3> set the *onDemandSISuccess* to *true*;

1> if one or more of the features including RedCap and/or Slicing and/or SDT and/or MSG3 repetition are applicable for this random-access procedure as specified in subclause 5.1.1b of TS 38.321[3]:

2> set the *triggeredFeatureCombination* to indicate all the features triggering this random-access procedure;

2> if the value of used feature or combination of features is different from the *triggeredFeatureCombination*:

3> set the *usedFeatureCombination* to indicate one or more features of *FeatureCombination* *FeatureCombination* associated to the random-access resource used in the random-access procedure;

Editors’notes: For slicing, it is ffs how to indicate the triggered slice information in the *triggeredFeatureCombination* field. The above text procedure may be updated based on further agreements.

Editors’notes: Addition of an indication in RA report whether RA-SDT procedure is successful or not. Details of the indication and whether it is a single flag or further differentiation of the failure scenarios are needed are FFS.

1> set the parameters associated to individual random-access attempt, in the chronological order of attempts in the *perRAInfoList* as follows:

2> if the random-access resource used is associated to a SS/PBCH block, set the associated random-access parameters for the successive random-access attempts associated to the same SS/PBCH block for one or more random-access attempts as follows:

3> set the *ssb-Index* to include the SS/PBCH block index associated to the used random-access resource;

3> set the *numberOfPreamblesSentOnSSB* to indicate the number of successive random-access attempts associated to the SS/PBCH block;

3> if LBT failure indication was received from lower layers for the last random-access preamble transmission attempt in the SS/PBCH block associated to the *ssb-Index*, before changing the SS/PBCH block for random access preamble transmission, set *lbtDetected* to true;

3> for each random-access attempt performed on the random-access resource, except the random-access attempts for which LBT failure indication was received from lower layers, include the following parameters in the chronological order of the random-access attempt:

4> if the random-access attempt is performed on the contention based random-access resource and if *raPurpose* is not equal to '*requestForOtherSI*', include *contentionDetected* as follows:

5> if contention resolution was not successful as specified in TS 38.321 [6] for the transmitted preamble:

6> set the *contentionDetected* to *true*;

5> else:

6> set the *contentionDetected* to *false*;

4> if the random access attempt is a 2-step random access attempt:

5> if fallback from 2-step random access to 4-step random access occurred during the random access attempt:

6> set *fallbackToFourStepRA* to *true*;

4> if the random-access attempt is performed on the contention based random-access resource; or

4> if the random-access attempt is performed on the contention free random-access resource and if the random-access procedure was initiated due to the PDCCH ordering:

5> if the random access attempt is a 4-step random access attempt and the SS/PBCH block RSRP of the SS/PBCH block corresponding to the random-access resource used in the random-access attempt is above *rsrp-ThresholdSSB*; or

5> if the random access attempt is a 2-step random access attempt and the SS/PBCH block RSRP of the SS/PBCH block corresponding to the random-access resource used in the random-access attempt is above *msgA-RSRP-ThresholdSSB*:

6> set the *dlRSRPAboveThreshold* to *true*;

5> else:

6> set the *dlRSRPAboveThreshold* to *false*;

2> else if the random-access resource used is associated to a CSI-RS, set the associated random-access parameters for the successive random-access attempts associated to the same CSI-RS for one or more random-access attempts as follows:

3> set the *csi-RS-Index* to include the CSI-RS index associated to the used random-access resource;

3> set the *numberOfPreamblesSentOnCSI-RS* to indicate the number of successive random-access attempts associated to the CSI-RS;

3> if LBT failure indication was received from lower layers for the last random-access preamble transmission attempt in the CSI-RS associated to the *csi-RS-Index*, before changing the CSI-RS for random access preamble transmission, set *lbtDetected* to true;

1> if at least one LBT failure indication has been received from lower layers during the random-access procedure:

2> set the *numberOfLBTFailures* to indicate the total number of random-access attempts for which LBT failure indications have been received from lower layer in the random-access procedure;

Editors’notes: FFS how to solve the issue of no preamble transmission attempts transmitted in a selected beam due to LBT blockage

The UE shall, for all the BWPs (including the first one) in which the UE experienced the consistent LBT failure prior to the last successfully completed random-access procedure, set below parameters of *attemptedBWPInfo* in the chronological order of BWP selection:

1> set the *locationAndBandwidth* and *subcarrierSpacing* associated to the UL BWP.

Editor´s note: FFS on whether and how UE logs in the RLF-Report the BWP information (at least the locationAndBandwidth, and the subcarrierSpacing) of all the BWPs in which the UE detected the consistent UL LBT failures right before the RLF/HOF.

NOTE 1: Void.

*NEXT CHANGE*

#### – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer information requested by the network.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to network

*UEInformationResponse message*

-- ASN1START

-- TAG-UEINFORMATIONRESPONSE-START

UEInformationResponse-r16 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

ueInformationResponse-r16 UEInformationResponse-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationResponse-r16-IEs ::= SEQUENCE {

measResultIdleEUTRA-r16 MeasResultIdleEUTRA-r16 OPTIONAL,

measResultIdleNR-r16 MeasResultIdleNR-r16 OPTIONAL,

logMeasReport-r16 LogMeasReport-r16 OPTIONAL,

connEstFailReport-r16 ConnEstFailReport-r16 OPTIONAL,

ra-ReportList-r16 RA-ReportList-r16 OPTIONAL,

rlf-Report-r16 RLF-Report-r16 OPTIONAL,

mobilityHistoryReport-r16 MobilityHistoryReport-r16 OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension UEInformationResponse-v1700-IEs OPTIONAL

}

UEInformationResponse-v1700-IEs ::= SEQUENCE {

successHO-Report-r17 SuccessHO-Report-r17 OPTIONAL,

connEstFailReportList-r17 ConnEstFailReportList-r17 OPTIONAL,

coarseLocationInfo-r17 OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

LogMeasReport-r16 ::= SEQUENCE {

absoluteTimeStamp-r16 AbsoluteTimeInfo-r16,

traceReference-r16 TraceReference-r16,

traceRecordingSessionRef-r16 OCTET STRING (SIZE (2)),

tce-Id-r16 OCTET STRING (SIZE (1)),

logMeasInfoList-r16 LogMeasInfoList-r16,

logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

...

}

LogMeasInfoList-r16 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r16)) OF LogMeasInfo-r16

LogMeasInfo-r16 ::= SEQUENCE {

locationInfo-r16 LocationInfo-r16 OPTIONAL,

relativeTimeStamp-r16 INTEGER (0..7200),

servCellIdentity-r16 CGI-Info-Logging-r16 OPTIONAL,

measResultServingCell-r16 MeasResultServingCell-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultListLogging2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

anyCellSelectionDetected-r16 ENUMERATED {true} OPTIONAL,

...,

[[

inDeviceCoexDetected-r17 ENUMERATED {true} OPTIONAL

]]

}

ConnEstFailReport-r16 ::= SEQUENCE {

measResultFailedCell-r16 MeasResultFailedCell-r16,

locationInfo-r16 LocationInfo-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultList2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

numberOfConnFail-r16 INTEGER (1..8),

perRAInfoList-r16 PerRAInfoList-r16,

timeSinceFailure-r16 TimeSinceFailure-r16,

...

}

ConnEstFailReportList-r17 ::= SEQUENCE (SIZE (1..maxCEFReport-r17)) OF ConnEstFailReport-r16

MeasResultServingCell-r16 ::= SEQUENCE {

resultsSSB-Cell MeasQuantityResults,

resultsSSB SEQUENCE{

best-ssb-Index SSB-Index,

best-ssb-Results MeasQuantityResults,

numberOfGoodSSB INTEGER (1..maxNrofSSBs-r16)

} OPTIONAL

}

MeasResultFailedCell-r16 ::= SEQUENCE {

cgi-Info CGI-Info-Logging-r16,

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList

}

}

}

RA-ReportList-r16 ::= SEQUENCE (SIZE (1..maxRAReport-r16)) OF RA-Report-r16

RA-Report-r16 ::= SEQUENCE {

cellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 PCI-ARFCN-NR-r16

},

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

raPurpose-r16 ENUMERATED {accessRelated, beamFailureRecovery, reconfigurationWithSync, ulUnSynchronized,

schedulingRequestFailure, noPUCCHResourceAvailable, requestForOtherSI,

msg3RequestForOtherSI-r17, lbtFailure-r18, spare7, spare6, spare5, spare4, spare3,

spare2, spare1},

...,

[[

spCellID-r17 CGI-Info-Logging-r16 OPTIONAL

]]

}

RA-InformationCommon-r16 ::= SEQUENCE {

absoluteFrequencyPointA-r16 ARFCN-ValueNR,

locationAndBandwidth-r16 INTEGER (0..37949),

subcarrierSpacing-r16 SubcarrierSpacing,

msg1-FrequencyStart-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-FrequencyStartCFRA-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-SubcarrierSpacing-r16 SubcarrierSpacing OPTIONAL,

msg1-SubcarrierSpacingCFRA-r16 SubcarrierSpacing OPTIONAL,

msg1-FDM-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

msg1-FDMCFRA-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

perRAInfoList-r16 PerRAInfoList-r16,

...,

[[

perRAInfoList-v1660 PerRAInfoList-v1660 OPTIONAL

]],

[[

msg1-SCS-From-prach-ConfigurationIndex-r16 ENUMERATED {kHz1dot25, kHz5, spare2, spare1} OPTIONAL

]],

[[

msg1-SCS-From-prach-ConfigurationIndexCFRA-r16 ENUMERATED {kHz1dot25, kHz5, spare2, spare1} OPTIONAL

]],

[[

msgA-RO-FrequencyStart-r17 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msgA-RO-FrequencyStartCFRA-r17 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msgA-SubcarrierSpacing-r17 SubcarrierSpacing OPTIONAL,

msgA-RO-FDM-r17 ENUMERATED {one, two, four, eight} OPTIONAL,

msgA-RO-FDMCFRA-r17 ENUMERATED {one, two, four, eight} OPTIONAL,

msgA-SCS-From-prach-ConfigurationIndex-r17 ENUMERATED {kHz1dot25, kHz5, spare2, spare1} OPTIONAL,

msgA-TransMax-r17 ENUMERATED {n1, n2, n4, n6, n8, n10, n20, n50, n100, n200} OPTIONAL,

msgA-MCS-r17 INTEGER (0..15) OPTIONAL,

nrofPRBs-PerMsgA-PO-r17 INTEGER (1..32) OPTIONAL,

msgA-PUSCH-TimeDomainAllocation-r17 INTEGER (1..maxNrofUL-Allocations) OPTIONAL,

frequencyStartMsgA-PUSCH-r17 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

nrofMsgA-PO-FDM-r17 ENUMERATED {one, two, four, eight} OPTIONAL,

dlPathlossRSRP-r17 RSRP-Range OPTIONAL,

intendedSIBs-r17 SEQUENCE (SIZE (1..maxSIB)) OF SIB-Type-r17 OPTIONAL,

ssbsForSI-Acquisition-r17 SEQUENCE (SIZE (1..maxNrofSSBs-r16)) OF SSB-Index OPTIONAL,

msgA-PUSCH-PayloadSize-r17 BIT STRING (SIZE (5)) OPTIONAL,

onDemandSISuccess-r17 ENUMERATED {true} OPTIONAL

]],

[[

selectedFeatureCombination-r18 ReportedFeatureCombination-r18 OPTIONAL,

triggeredFeatureCombination-r18 ReportedFeatureCombination-r18 OPTIONAL,

Editors’notes: triggeredFeaureCombination may be updated if further agreements are achieved for what to be included when trigger is slicing.

attemptedBWPInfo-r18 SEQUENCE (SIZE (1..maxNrofBWPs)) OF AttemptedBWPInfo-r18 OPTIONAL,

numberOfLBTFailures-r18 INTEGER (1..128) OPTIONAL,

perRAInfoList-v18xx PerRAInfoList-v18xx OPTIONAL

Editors’notes: Addition of an indication in RA report whether RA-SDT procedure is successful or not. Details of the indication and whether it is a single flag or further differentiation of the failure scenarios are needed are FFS.

]]

}

AttemptedBWPInfo-r18 ::= SEQUENCE {

locationAndBandwidth-r18 INTEGER (0..37949),

subcarrierSpacing-r18 SubcarrierSpacing

}

ReportedFeatureCombination-r18 ::= SEQUENCE {

redCap-r17 ENUMERATED {true} OPTIONAL,

smallData-r17 ENUMERATED {true} OPTIONAL,

nsag-r17 NSAG-List-r17 OPTIONAL,

msg3-Repetitions-r17 ENUMERATED {true} OPTIONAL,

spare4 ENUMERATED {true} OPTIONAL,

spare3 ENUMERATED {true} OPTIONAL,

spare2 ENUMERATED {true} OPTIONAL,

spare1 ENUMERATED {true} OPTIONAL

}

PerRAInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAInfo-r16

PerRAInfoList-v1660 ::= SEQUENCE (SIZE (1..200)) OF PerRACSI-RSInfo-v1660

PerRAInfoList-v18xx ::= SEQUENCE (SIZE (1..200)) OF PerRAInfo-v18xx

PerRAInfo-r16 ::= CHOICE {

perRASSBInfoList-r16 PerRASSBInfo-r16,

perRACSI-RSInfoList-r16 PerRACSI-RSInfo-r16

}

PerRAInfo-v18xx ::= CHOICE {

perRASSBInfoList-v18xx PerRASSBInfo-v18xx,

perRACSI-RSInfoList-v18xx PerRACSI-RSInfo-v18xx

}

PerRASSBInfo-r16 ::= SEQUENCE {

ssb-Index-r16 SSB-Index,

numberOfPreamblesSentOnSSB-r16 INTEGER (1..200),

perRAAttemptInfoList-r16 PerRAAttemptInfoList-r16

}

PerRASSBInfo-v18xx ::= SEQUENCE {

lbtDetected-r18 ENUMERATED {true} OPTIONAL,

...

}

PerRACSI-RSInfo-r16 ::= SEQUENCE {

csi-RS-Index-r16 CSI-RS-Index,

numberOfPreamblesSentOnCSI-RS-r16 INTEGER (1..200)

}

PerRACSI-RSInfo-v1660 ::= SEQUENCE {

csi-RS-Index-v1660 INTEGER (1..96) OPTIONAL

}

PerRACSI-RSInfo-v18xx ::= SEQUENCE {

lbtDetected-r18 ENUMERATED {true} OPTIONAL,

...

}

PerRAAttemptInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAAttemptInfo-r16

PerRAAttemptInfo-r16 ::= SEQUENCE {

contentionDetected-r16 BOOLEAN OPTIONAL,

dlRSRPAboveThreshold-r16 BOOLEAN OPTIONAL,

...,

[[

fallbackToFourStepRA-r17 ENUMERATED {true} OPTIONAL

]]

}

SIB-Type-r17 ::= ENUMERATED {sibType2, sibType3, sibType4, sibType5, sibType9, sibType10-v1610, sibType11-v1610, sibType12-v1610,

sibType13-v1610, sibType14-v1610, spare6, spare5, spare4, spare3, spare2, spare1}

RLF-Report-r16 ::= CHOICE {

nr-RLF-Report-r16 SEQUENCE {

measResultLastServCell-r16 MeasResultRLFNR-r16,

measResultNeighCells-r16 SEQUENCE {

measResultListNR-r16 MeasResultList2NR-r16 OPTIONAL,

measResultListEUTRA-r16 MeasResultList2EUTRA-r16 OPTIONAL

} OPTIONAL,

c-RNTI-r16 RNTI-Value,

previousPCellId-r16 CHOICE {

nrPreviousCell-r16 CGI-Info-Logging-r16,

eutraPreviousCell-r16 CGI-InfoEUTRALogging

} OPTIONAL,

failedPCellId-r16 CHOICE {

nrFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 PCI-ARFCN-NR-r16

},

eutraFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-InfoEUTRALogging,

pci-arfcn-r16 PCI-ARFCN-EUTRA-r16

}

},

reconnectCellId-r16 CHOICE {

nrReconnectCellId-r16 CGI-Info-Logging-r16,

eutraReconnectCellId-r16 CGI-InfoEUTRALogging

} OPTIONAL,

timeUntilReconnection-r16 TimeUntilReconnection-r16 OPTIONAL,

reestablishmentCellId-r16 CGI-Info-Logging-r16 OPTIONAL,

timeConnFailure-r16 INTEGER (0..1023) OPTIONAL,

timeSinceFailure-r16 TimeSinceFailure-r16,

connectionFailureType-r16 ENUMERATED {rlf, hof},

rlf-Cause-r16 ENUMERATED {t310-Expiry, randomAccessProblem, rlc-MaxNumRetx,

beamFailureRecoveryFailure, lbtFailure-r16,

bh-rlfRecoveryFailure, t312-expiry-r17, spare1},

locationInfo-r16 LocationInfo-r16 OPTIONAL,

noSuitableCellFound-r16 ENUMERATED {true} OPTIONAL,

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

...,

[[

csi-rsRLMConfigBitmap-v1650 BIT STRING (SIZE (96)) OPTIONAL

]],

[[

lastHO-Type-r17 ENUMERATED {cho, daps, spare2, spare1} OPTIONAL,

timeConnSourceDAPS-Failure-r17 TimeConnSourceDAPS-Failure-r17 OPTIONAL,

timeSinceCHO-Reconfig-r17 TimeSinceCHO-Reconfig-r17 OPTIONAL,

choCellId-r17 CHOICE {

cellGlobalId-r17 CGI-Info-Logging-r16,

pci-arfcn-r17 PCI-ARFCN-NR-r16

} OPTIONAL,

choCandidateCellList-r17 ChoCandidateCellList-r17 OPTIONAL

]]

},

eutra-RLF-Report-r16 SEQUENCE {

failedPCellId-EUTRA CGI-InfoEUTRALogging,

measResult-RLF-Report-EUTRA-r16 OCTET STRING,

...,

[[

measResult-RLF-Report-EUTRA-v1690 OCTET STRING OPTIONAL

]]

}

}

SuccessHO-Report-r17 ::= SEQUENCE {

sourceCellInfo-r17 SEQUENCE {

sourcePCellId-r17 CGI-Info-Logging-r16,

sourceCellMeas-r17 MeasResultSuccessHONR-r17 OPTIONAL,

rlf-InSourceDAPS-r17 ENUMERATED {true} OPTIONAL

},

targetCellInfo-r17 SEQUENCE {

targetPCellId-r17 CGI-Info-Logging-r16,

targetCellMeas-r17 MeasResultSuccessHONR-r17 OPTIONAL

},

measResultNeighCells-r17 SEQUENCE {

measResultListNR-r17 MeasResultList2NR-r16 OPTIONAL,

measResultListEUTRA-r17 MeasResultList2EUTRA-r16 OPTIONAL

} OPTIONAL,

locationInfo-r17 LocationInfo-r16 OPTIONAL,

timeSinceCHO-Reconfig-r17 TimeSinceCHO-Reconfig-r17 OPTIONAL,

shr-Cause-r17 SHR-Cause-r17 OPTIONAL,

ra-InformationCommon-r17 RA-InformationCommon-r16 OPTIONAL,

upInterruptionTimeAtHO-r17 UPInterruptionTimeAtHO-r17 OPTIONAL,

c-RNTI-r17 RNTI-Value OPTIONAL,

...

}

MeasResultList2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2NR-r16

MeasResultList2EUTRA-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2EUTRA-r16

MeasResult2NR-r16 ::= SEQUENCE {

ssbFrequency-r16 ARFCN-ValueNR OPTIONAL,

refFreqCSI-RS-r16 ARFCN-ValueNR OPTIONAL,

measResultList-r16 MeasResultListNR

}

MeasResultListLogging2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResultLogging2NR-r16

MeasResultLogging2NR-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR,

measResultListLoggingNR-r16 MeasResultListLoggingNR-r16

}

MeasResultListLoggingNR-r16 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultLoggingNR-r16

MeasResultLoggingNR-r16 ::= SEQUENCE {

physCellId-r16 PhysCellId,

resultsSSB-Cell-r16 MeasQuantityResults,

numberOfGoodSSB-r16 INTEGER (1..maxNrofSSBs-r16) OPTIONAL

}

MeasResult2EUTRA-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueEUTRA,

measResultList-r16 MeasResultListEUTRA

}

MeasResultRLFNR-r16 ::= SEQUENCE {

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell-r16 MeasQuantityResults OPTIONAL

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList OPTIONAL,

ssbRLMConfigBitmap-r16 BIT STRING (SIZE (64)) OPTIONAL,

resultsCSI-RS-Indexes-r16 ResultsPerCSI-RS-IndexList OPTIONAL,

csi-rsRLMConfigBitmap-r16 BIT STRING (SIZE (96)) OPTIONAL

} OPTIONAL

}

}

MeasResultSuccessHONR-r17::= SEQUENCE {

measResult-r17 SEQUENCE {

cellResults-r17 SEQUENCE{

resultsSSB-Cell-r17 MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell-r17 MeasQuantityResults OPTIONAL

},

rsIndexResults-r17 SEQUENCE{

resultsSSB-Indexes-r17 ResultsPerSSB-IndexList OPTIONAL,

resultsCSI-RS-Indexes-r17 ResultsPerCSI-RS-IndexList OPTIONAL

}

}

}

ChoCandidateCellList-r17 ::= SEQUENCE(SIZE (1..maxNrofCondCells-r16)) OF ChoCandidateCell-r17

ChoCandidateCell-r17 ::= CHOICE {

cellGlobalId-r17 CGI-Info-Logging-r16,

pci-arfcn-r17 PCI-ARFCN-NR-r16

}

SHR-Cause-r17 ::= SEQUENCE {

t304-cause-r17 ENUMERATED {true} OPTIONAL,

t310-cause-r17 ENUMERATED {true} OPTIONAL,

t312-cause-r17 ENUMERATED {true} OPTIONAL,

sourceDAPS-Failure-r17 ENUMERATED {true} OPTIONAL,

...

}

TimeSinceFailure-r16 ::= INTEGER (0..172800)

MobilityHistoryReport-r16 ::= VisitedCellInfoList-r16

TimeUntilReconnection-r16 ::= INTEGER (0..172800)

TimeSinceCHO-Reconfig-r17 ::= INTEGER (0..1023)

TimeConnSourceDAPS-Failure-r17 ::= INTEGER (0..1023)

UPInterruptionTimeAtHO-r17 ::= INTEGER (0..1023)

-- TAG-UEINFORMATIONRESPONSE-STOP

-- ASN1STOP

|  |
| --- |
| *UEInformationResponse-IEs* field descriptions |
| ***coarseLocationInfo***  Parameter type Ellipsoid-Point defined in TS 37.355 [49]. The first/leftmost bit of the first octet contains the most significant bit. The least significant bits of *degreesLatitude* and *degreesLongitude* are set to 0 to meet the accuracy requirement corresponds to a granularity of approximately 2 km.  It is up to UE implementation how many LSBs are set to 0 to meet the accuracy requirement. |
| ***connEstFailReport***  This field is used to provide connection establishment failure or connection resume failure information*.* |
| ***connEstFailReportList***  This field is used to provide the list of *connEstFailReport* that are stored by the UE for the past up to *maxCEFReport-r17.* |
| ***logMeasReport***  This field is used to provide the measurement results stored by the UE associated to logged MDT. |
| ***measResultIdleEUTRA***  EUTRA measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***measResultIdleNR***  NR measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***ra-ReportList***  This field is used to provide the list of RA reports that is stored by the UE for the past upto *maxRAReport-r16* number of successful random access procedures, or failed or successful completion of on-demand system information request procedure. |
| ***rlf-Report***  This field is used to indicate the RLF report related contents. |
| ***successHO-Report***  This field is used to provide the successful handover report if triggered based on the successful handover configuration. |

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| *LogMeasReport* field descriptions |
| ***absoluteTimeStamp***  Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by NR within *absoluteTimeInfo*. |
| ***anyCellSelectionDetected***  This field is used to indicate the detection of *any cell selection* state, as defined in TS 38.304 [20]. The UE sets this field when performing the logging of measurement results in RRC\_IDLE or RRC\_INACTIVE and there is no suitable cell or no acceptable cell. |
| ***inDeviceCoexDetected***  Indicates that measurement logging is suspended due to IDC problem detection. |
| ***measResultServingCell***  This field refers to the log measurement results taken in the Serving cell. |
| ***numberOfGoodSSB***  Indicates the number of good beams (beams that are above *absThreshSS-BlocksConsolidation,* if configured by the network) associated to the cells within the R value range (which is configured by network for cell reselection) of the highest ranked cell as part of the beam level measurements. If the UE has no SSB of a neighbour cell whose measurement quantity is above the *absThreshSS-BlocksConsolidation* or if the network has not configured the *absThreshSS-BlocksConsolidation*, then the UE does not include *numberOfGoodSSB* for the corresponding neighbour cell. If the UE has no SSB of the serving cell whose measurement quantity is above the *absThreshSS-BlocksConsolidation* or if the network has not configured the *absThreshSS-BlocksConsolidation*, then the UE shall set the *numberOfGoodSSB* for the serving cell to one. |
| ***relativeTimeStamp***  Indicates the time of logging measurement results, measured relative to the *absoluteTimeStamp*. Value in seconds. |
| ***tce-Id***  Parameter Trace Collection Entity Id: See TS 32.422 [52]. |
| ***traceRecordingSessionRef***  Parameter Trace Recording Session Reference: See TS 32.422 [52]. |

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| *ConnEstFailReport* field descriptions |
| ***measResultFailedCell***  This field refers to the last measurement results taken in the cell, where connection establishment failure or connection resume failure happened. |
| ***measResultNeighCells***  This field refers to the neighbour cell measurements when connection establishment failure or connection resume failure happened. |
| ***numberOfConnFail***  This field is used to indicate the latest number of consecutive failed RRCSetup or RRCResume procedures in the same cell independent of RRC state transition. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (establishment or resume) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |

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| *RA-InformationCommon* field descriptions |
| ***absoluteFrequencyPointA***  This field indicates the absolute frequency position of the reference resource block (Common RB 0). |
| ***locationAndBandwidth***  Frequency domain location and bandwidth of the bandwidth part associated to the random-access resources used by the UE. |
| ***perRAInfoList, perRAInfoList-v1660***  This field provides detailed information about each of the random access attempts in the chronological order of the random access attempts. If perRAInfoList-v1660 is present, it shall contain the same number of entries, listed in the same order as in perRAInfoList-r16. |
| ***subcarrierSpacing***  Subcarrier spacing used in the BWP associated to the random-access resources used by the UE. |
| ***usedFeatureCombination***  The feature or combination of features (e.g., *redCap*, *smallData*, *nsag* and *msg3-Repetitions*) associated to the selected random-access resources as specified in TS 38.321[3]. |
| ***triggeredFeatureCombination***  One or more features (e.g., *RedCap*, *Slicing*, *SDT* and *MSG3 repetition)* that triggers the random-access procedure. When triggered feature is *Slicing*, UE includes the applied NSAG ID that is associated to the S-NSSAI triggering the RA attempt and is included in SIB1 as specified in subclause 5.3.3.2 and 5.3.13.2.  Editors’ notes: ffs on whether and how UE includes more NSAG IDs in case the triggering event is slicing. |

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| *RA-Report* field descriptions |
| ***cellID***  This field indicates the CGI of the cell in which the associated random access procedure was performed. |
| ***contentionDetected***  This field is used to indicate that contention was detected for the transmitted preamble in the given random access attempt or not. This field is not included when the UE performs random access attempt is using contention free random-access resources or when the *raPurpose* is set to *requestForOtherSI* or when the RA attempt is a 2-step RA attempt and fallback to 4-step RA did not occur (i.e. *fallbackToFourStepRA* is not included). |
| ***csi-RS-Index, csi-RS-Index-v1660***  This field is used to indicate the CSI-RS index corresponding to the random access attempt.  If the random access procedure is for beam failure recovery, the field indicates the NZP-CSI-RS-ResourceId. For CSI-RS index larger than maxNrofCSI-RS-ResourcesRRM-1, the index value is the sum of csi-RS-Index (without suffix) and csi-RS-Index-v1660. |
| ***dlPathlossRSRP***  Measeured RSRP of the DL pathloss reference obtained at the time of *RA\_Type* selection stage of the RA procedure as captured in TS 38.321 [3]. |
| ***dlRSRPAboveThreshold***  In 4 step random access procedure, this field is used to indicate whether the DL beam (SSB) quality associated to the random access attempt was above or below the threshold *rsrp-ThresholdSSB* in *beamFailureRecoveryConfig* in UL BWP configuration of UL BWP selected for random access procedure initiated for beam failure recovery; Otherwise,if the UE has received *rsrp-ThresholdSSB* in *FeatureCombinationPreambles* used for the feature specific random access, the field is used to indicate whether DL beam (SSB) quality associated to the random access attempt was above or below this *rsrp-ThresholdSSB-r17*, else *rsrp-ThresholdSSB* in *rach-ConfigCommon* in UL BWP configuration of UL BWP selected for random access procedure.  In 2 step random access procedure, if the UE has received *msgA-RSRP-ThresholdSSB* in *FeatureCombinationPreambles* used for the feature specific random access, the field is used to indicate whetherDL beam (SSB) quality associated to the random access attempt was above or below this *rsrp-ThresholdSSB-r17* elsethis field is used to indicate whether the DL beam (SSB) quality associated to the random access attempt was above or below the threshold *msgA-RSRP-ThresholdSSB* in *rach-ConfigCommonTwoStepRA* in UL BWP configuration of UL BWP selected for random access procedure. |
| ***fallbackToFourStepRA***  This field indicates if a fallback indication in MsgB is received (according to TS 38.321 [3]) for the 2-step random access attempt. |
| ***intendedSIBs***  This field indicates the SIB(s) the UE wanted to receive as a result of the on demand SI request (when the RA procedure is a used as a SI request) initiated by the UE. That is, it indicates the one(s) of the SIB(s) in the SI message(s) requested to be broadcast that the UE was interested in. |
| ***lbtDetected***  This field is set to true when there is at least one LBT failure indication is received prior to change of beam for preamble transmission during RA procedure, otherwise this field is absent. |
| ***msg1-SCS-From-prach-ConfigurationIndex***  This field is set by the UE with the corresponding SCS for CBRA as derived from the *prach-ConfigurationIndex* in *RACH-ConfigGeneric* when the *msg1-SubcarrierSpacing* is absent; otherwise, this field is absent. |
| ***msg1-SCS-From-prach-ConfigurationIndexCFRA***  This field is set by the UE with the corresponding SCS for CFRA as derived from the *prach-ConfigurationIndex* in *RACH-ConfigGeneric* when the *msg1-SubcarrierSpacing* is absent; otherwise, this field is absent. |
| ***msgA-PUSCH-PayloadSize***  This field indicates the size of the overall payload available in the UE buffer at the time of initiating the 2 step RA procedure. The value refers to the index of TS 38.321 [3], table 6.1.3.1-1, corresponding to the UE buffer size. |
| ***msgA-RO-FDM***  This field indicates the number of msgA PRACH transmission occasions Frequency-Division Multiplexed in one time instance for the PRACH resources configured for 2-step CBRA.. |
| ***msgA-RO-FDMCFRA***  This field indicates the number of msgA PRACH transmission occasions Frequency-Division Multiplexed in one time instance for the PRACH resources configured for 2-step CFRA. |
| ***msgA-RO-FrequencyStart***  This field indicates the lowest resource block of the contention based random-access resources for 2-step CBRA in the random-access procedure. The indication has the form of the offset of the lowest PRACH transmissions occasion with respect to PRB 0 in the frequency domain. |
| ***msgA-RO-FrequencyStartCFRA***  This field indicates the lowest resource block of the contention free random-access resources for the 2-step CFRA in the random-access procedure. The indication has the form of the offset of the lowest PRACH transmissions occasion with respect to PRB 0 in the frequency domain. |
| ***msgA-SCS-From-prach-ConfigurationIndex***  This field is set by the UE with the corresponding SCS as derived from the *msgA-PRACH-ConfigurationIndex* in *RACH-ConfigGenericTwoStepRA* (see tables Table 6.3.3.1-1, Table 6.3.3.1-2, Table 6.3.3.2-2 and Table 6.3.3.2-3, TS 38.211 [16]) when the *msgA-SubcarrierSpacing* is absent and when only 2-step random-access resources are available in the UL BWP used in the random-access procedure; otherwise, this field is absent. |
| ***numberOfLBTFailures***  This field is used to indicate the total number of preamble transmission attempts for which LBT failure indication is received in the RA procedure. If the number of LBT failure indications received from lower layers during the RA procedure exceeds or equals to 128, UE sets the field to 128.This field is optional present when there is at least one preamble transmission attempt for which LBT failure indication is received during the RA procedure, otherwise it is absent. |
| ***numberOfPreamblesSentOnCSI-RS***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding CSI-RS. |
| ***numberOfPreamblesSentOnSSB***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding SS/PBCH block. |
| ***onDemandSISuccess***  This field is set to *true* when the RA report entry is included because of either msg1 based on demand SI request or msg3 based on demand SI request and if the on-demand SI request is successful. Otherwise, the field is absent. |
| ***perRAAttemptInfoList***  This field provides detailed information about a random access attempt. |
| ***perRACSI-RSInfoList***  This field provides detailed information about the successive random access attempts associated to the same CSI-RS. |
| ***perRASSBInfoList***  This field provides detailed information about the successive random access attempts associated to the same SS/PBCH block. |
| ***ra-InformationCommon***  This field is used to provide information on random access attempts. This field is mandatory present. |
| ***raPurpose***  This field is used to indicate the RA scenario for which the RA report entry is triggered. The RA accesses associated to Initial access from RRC\_IDLE, RRC re-establishment procedure, transition from RRC-INACTIVE. The indicator *beamFailureRecovery* is used in case of successful beam failure recovery related RA procedure in the SpCell [3]. The indicator *reconfigurationWithSync* is used if the UE executes a reconfiguration with sync. The indicator *ulUnSynchronized* is used if the random access procedure is initiated in a SpCell by DL or UL data arrival during RRC\_CONNECTED when the timeAlignmentTimer is not running in the PTAG or if the RA procedure is initiated in a serving cell by a PDCCH order [3]. The indicator *schedulingRequestFailure* is used in case of SR failures [3]. The indicator *noPUCCHResourceAvailable* is used when the UE has no valid SR PUCCH resources configured [3]. The indicator *requestForOtherSI* is used for MSG1 based on demand SI request. The indicator *msg3RequestForOtherSI* is used in case of MSG3 based SI request. The indication *lbtFailure* is used when the UE initiates RACH in SpCell due to consistent uplink LBT failures [3]. The field can also be used for the SCG-related RA-Report when the *raPurpose* is set to *beamFailureRecovery*, *reconfigurationWithSync*, *ulUnSynchronized*, *schedulingRequestFailure* and *noPUCCHResourceAvailable*. |
| ***spCellID***  This field is used to indicate the CGI of the SpCell of the cell group associated to the SCell in which the associated random access procedure was performed. If the UE performs RA procedure on a SCell associated to the MCG, then this field is set to the CGI of the PCell and if the UE performs RA procedure on a SCell associated to the SCG, then this field is set to the CGI of the PSCell. If the CGI of the PSCell is not available at the UE for the RA procedure performed on a SCell associated to the SCG or for the RA procedure on the PSCell, this field is set to the CGI of the PCell. Otherwise, the field is absent. |
| ***ssb-Index***  This field is used to indicate the SS/PBCH index of the SS/PBCH block corresponding to the random access attempt. |
| ***ssbsForSI-Acquisition***  This field indicates the SSB(s) (in the form of SSB index(es)) that the UE used to receive the requested SI message(s). The field is present if the purpose of the random access procedure was to request on-demand SI (i.e. if the *raPurpose* is set to *requestForOtherSI* or *msg3RequestForOtherSI*). Otherwise, the field is absent. |

*END OF CHANGE*

# Appendix A – open issue list

Below summarize open issues that are discussed without consensus and stage 2 agreements without no stage 3 details, to help facilitate the discussion next meeting. Companies are welcome to add missing remaining issues in here, thanks!

1. UE capability => Discussed in [Post123][567][R18 SON/MDT]Cap of SON/MDT (Huawei)
2. RACH partitioning:

FFS: Further discuss whether the following NSAG IDs to be included in the RA reports:

a) NSAG ID(s) that belong to the S-NSSAI(s) triggering the RA attempt and included in SIB1 (even if they were not used to select the RA configuration, e.g., due to belonging to lower priority NSAGs).

b) NSAG ID(s) that belong to the S-NSSAI(s) triggering the RA attempt (even if they are not included in SIB1).

Postponed: RAN2 to discuss whether to include the priorities of the NSAG IDs either explicitly or implicitly.

Postponed: RAN2 to discuss whether the UE reports the buffered data volume when RA-SDT procedure is triggered.

Addition of an indication in RA report whether RA-SDT procedure is successful or not. Details of the indication and whether it is a single flag or further differentiation of the failure scenarios are needed are FFS.

1. NR-U relevant RACH information report => Discussed in [Post123] [558][R18 SON/MDT] SON for NR-U (Ericsson)